
Quarterly Board of Regents Meeting

November 6-7, 2014

Lamar University



Texas State University System
November 6-7, 2014 Board of Regents
Thursday, November 6, 2014 - 12:30 PM
Lamar University

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**THE TEXAS STATE UNIVERSITY SYSTEM
BOARD OF REGENTS MEETING**

**Lamar University
November 6-7, 2014**

Holiday Inn Hotel and Suites Beaumont - Plaza

3950 Walden Road
Beaumont, TX 77705
(409) 842-5995

Hospitality Room: Presidential Boardroom 420

Wednesday: 4:00 p.m. – 6:00 p.m. and 8:30 p.m. – 11:00 p.m.

Thursday: 4:00 p.m. – 6:00 p.m. and 8:30 p.m. – 11:00 p.m.

Thursday – November 6, 2014

- 9:15 a.m. Vans depart from Holiday Inn to Lamar University for CFO meeting (CFOs only)
- 9:30 a.m. Chief Finance Officers (Staff Only)
LU Library, 7th floor, Conference Room 717
- 9:45 a.m. Vans depart from Holiday Inn to Lamar University for presidents' meeting (Presidents and Chancellor only)
- 10:00 a.m. Presidents' Meeting (Presidents and Chancellor Only)
LU Library, 8th floor, Lamar Room
- 11:00 a.m. Bus/vans depart from Holiday Inn to Lamar University for lunch and Board of Regents meeting
- 11:30 a.m. Lunch – *LU Library, 8th floor, Plummer Room*
- 12:30 p.m. BOARD OF REGENTS MEETING – *LU Library, 8th floor, Spindletop Room***
- 12:30 p.m. Convene in Open Session/Recess into Executive Session
- Personnel, Legal and Real Estate Matters
 - Presidential Reviews: Dr. Denise Trauth, TXST
- 12:30 p.m. Breakout Meetings
Academic Affairs (Staff Only) – *LU Library, 8th floor, Plummer Room Alcove*
Chief Finance Officers (Staff Only) – *LU Library, 7th floor, Conference Room 717*
Chief Student Affairs Officers (Staff Only) – *LU Library, 6th floor, Conference Room 622*

Reconvene in Open Session – *LU Library, 8th floor, Spindletop Room*

1. Welcome/Remarks by Chairman
2. Approval of Previous Meeting Minutes
3. Reports & Motions
 - Academic Affairs
 - Finance and Audit
 - Planning and Construction
 - Governmental Relations

- Information Resources
- Contracts
- Miscellaneous
- Personnel

- 4:30 p.m. Bus/vans depart LU Library for Holiday Inn
- 5:45 ~~6:00~~ p.m. Meet in hotel lobby to depart for reception/dinner at LSC-O
- 6:30 p.m. Reception/Dinner (casual attire)
The Brown Estate
4205 Park Avenue
Orange, TX

Friday – November 7, 2014

- 8:30 a.m. Bus/Vans depart from Holiday Inn for breakfast at Lamar Institute of Technology
- 9:00 a.m. Student Advisory Board Breakfast (For Regents, Chancellor, and Presidents)
Lamar Institute of Technology, Multi-Purpose Center
- 9:00 a.m. Breakfast for Remaining Attendees
Lamar Institute of Technology, Multi-Purpose Center

10:00 a.m. BOARD OF REGENTS MEETING – *LU Library, 8th floor, Spindletop Room*

10:00 a.m. **Reconvene in Open Session –**

1. Welcome/Remarks by Chairman
2. Student Advisory Board Report
3. Campus Updates
4. Lamar University
 Lamar Institute of Technology
 Lamar State College – Orange
 Lamar State College – Port Arthur
5. Foundation Update
6. Reports & Motions
 - General Motions/Consent Agenda
7. Public Comments
8. Adjourn

Lunch (To Go Boxes will be available) – Lamar University Library, 8th floor

Transportation provided to Holiday Inn and/or airport

Texas State University System General Motions

Action Items

1. TSUS: Approval of Minutes
2. TSUS: Approval of Consent Agenda
3. TSUS: Election of Board Chair
4. TSUS: Election of Vice Chair

Informational Item

5. TSUS: Calendar of Upcoming Board Meetings

TSUS: Approval of Minutes

Upon motion of Regent _____, seconded by Regent _____,
it was ordered that:

The minutes of the quarterly Board of Regents meeting held on August 28-29,
2014 be approved.

TSUS: Approval of Consent Agenda

Upon motion of Regent _____, seconded by Regent _____,
it was ordered that:

Except for items removed from the Consent Agenda at the request of at least one
Regent, all Consent Agenda items be approved.

Background

Because Consent Agenda items have been reviewed at the System and campus levels and are otherwise considered routine in nature, the Board determines it to be in the public interest that such items be approved under one vote unless any board member requests that an item(s) be removed for separate discussion and vote. *Consent Items are found at the end of each section.*

TSUS: Election of Chairman of the Board

Upon motion of Regent _____, seconded by Regent _____,
it was ordered that:

Regent _____, be elected as Chairman of the Board to serve a one-
year term, to commence immediately upon passage of this motion.

Explanation

The Texas State University System chairman is elected by majority vote of the Board at the November meeting each year to serve a one-year term of office, which commences immediately upon election. He or she may succeed him or herself one time only. *Rules and Regulations, Chapter I, Sub-paragraph 5.1.*

TSUS: Election of Vice Chairman of the Board

Upon motion of Regent _____, seconded by Regent _____, it was ordered that:

Regent _____, be elected as Vice Chairman of the Board to serve a one-year term, to commence immediately upon passage of this motion.

Explanation

The Texas State University System vice chairman is elected by majority vote of the Board at the November meeting each year; he or she serves a single, one-year term only, which commences immediately upon election. *Rules and Regulations, Chapter I, Sub-paragraph 5.1.*

TSUS: Calendar of Upcoming Board Meetings

February 19-20, 2015	Austin
May 21-22, 2015	Sam Houston State University
August 13-14, 2015	Sul Ross State University
November 12-13, 2015	Texas State University

**Texas State University System
Academic Affairs**

*Jaime Garza, Chair
Rossanna Salazar
Vernon Reaser*

Action Items

1. LU: Change Online School Counseling Specialization in the M.Ed. Degree
2. SHSU: Distance Education — Bachelor of Arts in Communication Studies, B.A.
3. SHSU: Distance Education — Bachelor of Science in Psychology, B.S.
4. SHSU: Distance Education — Bachelor of Arts in Political Science, B.A. and Bachelor of Science in Political Science, B.S.
5. SHSU: Distance Education — Bachelor of Arts in Spanish, B.A
6. SHSU: Distance Education — Bachelor of Arts in History, B.A. and Bachelor of Science in History, B.S.
7. SHSU: Degree Title Change Request — Department of Leadership and Counseling — Master of Arts in Counseling, MA.
8. SHSU: Degree Program Title Change — Master of Arts in Curriculum and Instruction, M.A.
9. SHSU: New Course Additions — INRW 0111 and INRW 0301
10. SHSU: Mission Statement Change
11. TXST: Change the Name of the Major in Environmental Geography
12. LSCO: Degree Program Changes
13. LSCO: New Program Pharmacy Technology Sterile Preparations Institutional Award
14. LSCPA: Degree Program Changes
15. TSUS: Fourth Class Day Reports

Consent Items

16. TSUS: CONSENT: Out of Country Study Programs
17. TSUS: CONSENT: Curriculum Change

LU: Change Online School Counseling Specialization in the M.Ed. Degree

Upon motion of Regent _____, seconded by Regent _____, it was ordered that:

Lamar University be authorized to phase out the online 36 hour master's degree specialization in school counseling and to offer online the current face to face 48 hour school counseling specialization, effective Fall, 2015.

Explanation

Lamar University wishes to change a specialization area in its Master of Education (M.Ed.) degree offered through the Department of Counseling and Special Populations (COSP) in the College of Education and Human Development. At present, COSP offers on campus the master's degree in education with specializations in School Counseling (48 hours) and Clinical Mental Health Counseling (60 hours). Online, the department offers separate master's degree specializations in School Counseling (36 hours) and in Clinical Mental Health Counseling (60 hours). Because national standards for counseling have changed the hour requirements for accreditation, and because COSP is committed to pursuing national accreditation through the Council for the Accreditation for Counseling and Related Educational Program (CACREP), Lamar University is seeking approval to phase out the 36 hour School Counseling specialization offered online and to replace it with the 48 hour specialization in School Counseling offered on campus. The 12 semester hour difference includes two additional courses and a 6 hour internship. It is our view that the changes to the program are significant enough to warrant new approval.

Lamar's interest in offering the 48 hour specialization in School Counseling within the M.Ed. online is founded on a strong evidentiary basis. In addition to making the online and face to face specializations the same, this basis includes current graduate student requests for accreditation, a survey of internal and external constituencies, research on market need, and a comparative analysis of other universities' offerings. Additionally, this program is being changed to meet the requirements set forth by the CACREP to prepare individuals to become school counselors. The program is targeted toward individuals with a bachelor's degree who are interested in providing school counseling services. Candidates for the School Counseling specialization in the master's degree will complete a minimum of six semesters (including summer), consisting of 48 credit hours, 100 hours of a counseling practicum, and 600 hours of a counseling internship. The hours for the field experience component are dictated by CACREP. As is the case for several of the university's master's degree programs, Lamar University will offer this program through multiple delivery models (online and face to face instruction). Multiple delivery models will allow working professionals to deepen their skills and understanding of mental health issues and theory while maintaining their professional careers.

The specific educational objectives of the program are that graduates will:

Outcome 1: Professional Identity - this outcome involves knowledge, skills, and practice related to the foundational principles of school counseling and the overall counseling profession. Students develop an awareness of professional issues related to counseling. Students are able to analyze and apply legal and ethical guidelines to practice in a variety of school and community contexts.

Outcome 2: Knowledge and Skills in Counseling, Prevention and Intervention - this outcome

involves knowledge, skills, and practice related to principles of school counseling. These principles include prevention, intervention, consultation, education, and advocacy, as well as the operation of programs and networks that promote school counseling in a multicultural society.

Outcome 3: A Commitment to Diversity and Advocacy - this outcome involves knowledge, skills, and practice related to diversity and advocacy. This area of school counseling involves understanding the cultural, ethical, economic, legal, and political issues surrounding diversity, equity, and excellence in terms of student learning. This area of school counseling also involves understanding community, environmental, and institutional opportunities that enhance—as well as barriers that impede—the academic, career, and personal/social development of students. School counseling students will understand the ways in which educational policies, programs, and practices can be developed, adapted, and modified to be culturally congruent with the needs of students and their families

Outcome 4: Knowledge and Skills in Assessment - this outcome involves knowledge, skills, and practice related to assessment in the counseling process. This area of school counseling involves understanding the principles and models of assessment and the influence of multiple factors (e.g., abuse, violence, eating disorders, attention deficit hyperactivity disorder, and childhood depression) that may affect the personal, social, and academic functioning of students. This outcome also involves understanding various forms of needs assessments for academic, career, and personal/social development.

Outcome 5: Knowledge and Skills in Research and Evaluation - this outcome involves knowledge, skills, and practice related to research and evaluation. This area of school counseling involves understanding how to critically evaluate research relevant to the practice of school counseling; understanding models of program evaluation for school based programs; understanding evidence-based approaches; and understanding basic strategies for evaluating counseling outcomes in school counseling. School counseling students will understand current methods of using data to inform decision making and accountability (e.g., school improvement plan, school report card).

Outcome 6: Knowledge and Skills in Academic Development - this outcome involves knowledge, skills, and practice related to academic development. This area of school counseling involves understanding the relationship of the school counseling program to the academic mission of the school. Graduates of this program understand the concepts, principles, strategies, programs, and practices designed to close the achievement gap, promote student academic success, and prevent students from dropping out of school. They also understand curriculum design, lesson plan development, classroom management strategies, and differentiated instructional strategies for teaching counseling- and guidance-related material. Graduates of this program will be able to conduct programs designed to enhance student academic development; implement strategies and activities to prepare students for a full range of postsecondary options and opportunities; and implement differentiated instructional strategies that draw on subject matter and pedagogical content knowledge and skills to promote student achievement.

Outcome 7: Knowledge and Skills in Collaboration and Consultation - this outcome involves knowledge, skills, and practice related to consultation in academic settings. This area of school counseling involves understanding the ways in which student development, well-being, and learning are enhanced by family-school-community collaboration. Graduates of this program understand the strategies to promote, develop, and enhance effective teamwork within the

school and the larger community. They also know how to build effective working teams of school staff, parents, and community members to promote the academic, career, and personal/social development of students. Graduates of this program understand systems theories, models, and processes of consultation in school system settings.

Outcome 8: Knowledge and Skills in Leadership - this outcome involves knowledge, skills, and practice related to leadership in academic settings. This area of school counseling involves understanding Knows the qualities, principles, skills, and styles of effective leadership; strategies of leadership designed to enhance the learning environment of schools; and how to design, implement, manage, and evaluate a comprehensive school counseling program. Graduates of this program participate in the design, implementation, management, and evaluation of a comprehensive developmental school counseling program. They also plan and present school-counseling-related educational programs for use with parents and teachers (e.g., parent education programs, materials used in classroom guidance and advisor/advisee programs for teachers).

Students who are currently enrolled online in the 36 hour specialization will be allowed either to continue in the program and graduate, or switch to the 48 hour CACREP program. This is possible because the courses in the 36 hour degree are a subset of the course that constitute the new 48 hour requirement amended offering of the M.Ed. specialization area in School Counseling will build upon the successful counseling programs at Lamar University, and will integrate the knowledge and expertise of faculty in the Department of Counseling and Special Populations, along with that of faculty in a variety of supporting departments, to include the Department of Educational Leadership. This approach will create a structured program within Lamar University for complementing strengths and synchronizing resources, and will provide our students with the opportunity to work as school counselors in public and private schools throughout the United States. This specialization area will assist the State of Texas in meeting the cognitive, psychological, and developmental needs of children by attracting high quality students to Lamar University's College of Education. By training competent school counselors, the people of Texas will have more resources available to them in their local communities.

SHSU: Distance Education—Bachelor of Arts in Communication Studies, B.A.

Upon motion of Regent _____, seconded by Regent _____, it was ordered that:

Sam Houston State University be authorized to offer the following degree program in the Department of Communications Studies within the College of Humanities and Social Sciences via distance learning as of spring 2015:

- 1) Bachelor of Arts in Communications Studies

Explanation

The Bachelor of Arts in Communications Studies at Sam Houston State University introduces students to the science and art of human communications. Students will learn how to prepare and present informative and persuasive speeches using the latest technologies and acquire the skills necessary to engage in interpersonal, small group, and organizational forms of communication. Students will also study nonverbal communication, argumentation and debate, family communication, principles of persuasion, and rhetoric and communication theories. These skills and techniques are applied in numerous fields such as journalism, public relations, politics, advertising, and research. The online program will accommodate the educational needs of working professionals in these fields. The online format will also provide working professionals and others who are unable to pursue on-campus coursework due to job obligations and geographic location access to an undergraduate education in Communications Studies.

The Bachelor of Arts in Communications Studies requires 120 hours of undergraduate coursework. Students enrolled in this program will have access to a full-time Online Academic Advisor.

The Bachelor of Arts in Communications Studies program is housed in the Department of Communications Studies within the College of Humanities and Social Sciences. The college has had excellent success with other online degree programs.

SHSU: Distance Education—Bachelor of Science in Psychology, B.S.

Upon motion of Regent _____, seconded by Regent _____, it was ordered that:

Sam Houston State University be authorized to offer the following degree program in the Department of Psychology and Philosophy within the College of Humanities and Social Sciences via distance learning as of spring 2015:

- 1) Bachelor of Science in Psychology

Explanation

The Bachelor of Science in Psychology at Sam Houston State University introduces students to the science and profession of Psychology. Students become skilled in techniques of psychological research, methods, and communication. These skills and techniques are applied in numerous fields such as human services, public relations, advertising, correctional institutional work, rehabilitation facility work, and research. The online program will accommodate the educational needs of working professionals in these fields. The online format will also provide working professionals and others who are unable to pursue on-campus coursework due to job obligations and geographic location access to an undergraduate education in Psychology.

The Bachelor of Science in Psychology requires 120 hours of undergraduate coursework. Students enrolled in this program will have access to a full-time Online Academic Advisor.

The Bachelor of Science in Psychology program is housed in the Department of Psychology and Philosophy within the College of Humanities and Social Sciences. The college has had excellent success with other online degree programs.

SHSU: Distance Education—Bachelor of Arts in Political Science, B.A. and Bachelor of Science in Political Science, B.S.

Upon motion of Regent _____, seconded by Regent _____, it was ordered that:

Sam Houston State University be authorized to offer the following degree programs in the Department of Political Science within the College of Humanities and Social Sciences via distance learning as of spring 2015:

- 1) Bachelor of Arts in Political Science
- 2) Bachelor of Science in Political Science.

Explanation

The Bachelor of Arts and the Bachelor of Science in Political Science at Sam Houston State University prepare students to analyze social issues in applied settings. Students become skilled in techniques of political research, electoral statistics, and political theory. These skills and techniques are applied in numerous fields such as teaching, government service, business consultation, advertising, and research. The online program will accommodate the educational needs of working professionals in these fields. The online format will also provide working professionals and others who are unable to pursue on-campus coursework due to job obligations and geographic location access to an undergraduate education in Political Science.

The Bachelor of Arts and Bachelor of Science in Political Science require 120 hours of undergraduate coursework. Students enrolled in these programs will have access to a full-time Online Academic Advisor.

The Bachelor of Arts and Bachelor of Science in Political Science programs are housed in the Department of Political Science within the College of Humanities and Social Sciences. The college has had excellent success with other online degree programs.

SHSU: Distance Education—Bachelor of Arts in Spanish, B.A.

Upon motion of Regent _____, seconded by Regent _____, it was ordered that:

Sam Houston State University be authorized to offer the following degree programs in the Department of Spanish within the College of Humanities and Social Sciences via distance learning as of spring 2015:

- 1) Bachelor of Arts in Spanish

Explanation

The Bachelor of Arts in Spanish at Sam Houston State University introduces students to the use of the Spanish language. Students will learn how to read, write, and speak Spanish in a manner consonant with the demands of professional activity. These skills and techniques are applied in numerous fields such as teaching, translation, medical advocacy, legal advocacy, civil service, advertising, and research. The online program will accommodate the educational needs of working professionals in these fields. The online format will also provide working professionals and others who are unable to pursue on-campus coursework due to job obligations and geographic location access to an undergraduate education in Spanish.

The Bachelor of Arts in Spanish requires 120 hours of undergraduate coursework. Students enrolled in this program will have access to a full-time Online Academic Advisor.

The Bachelor of Arts in Spanish program is housed in the Department of Foreign Languages within the College of Humanities and Social Sciences. The college has had excellent success with other online degree programs.

SHSU: Distance Education—Bachelor of Arts in History, B.A. and Bachelor of Science in History, B.S.

Upon motion of Regent _____, seconded by Regent _____, it was ordered that:

Sam Houston State University be authorized to offer the following degree programs in the Department of History within the College of Humanities and Social Sciences via distance learning as of spring 2015:

- 1) Bachelor of Arts in History
- 2) Bachelor of Science in History.

Explanation

The Bachelor of Arts and the Bachelor of Science in History at Sam Houston State University prepare students to analyze historical data and to apply historical analytical methods. Students become skilled in techniques of historical research, historical writing, and communication. These skills and techniques are applied in numerous fields such as teaching, law, business consultation, and governmental work. The online program will accommodate the educational needs of working professionals in these fields. The online format will also provide working professionals and others who are unable to pursue on-campus coursework due to job obligations and geographic location access to an undergraduate education in history.

The Bachelor of Arts and Bachelor of Science in History require 120 hours of undergraduate coursework. Students enrolled in this program will have access to a full-time Online Academic Advisor.

The Bachelor of Arts and Bachelor of Science in History programs are housed in the Department of History within the College of Humanities and Social Sciences. The college has had excellent success with other online degree programs.

**SHSU: Degree Title Change Request—Department of Leadership and Counseling—
Master of Arts in Counseling, MA.**

Upon motion of Regent _____, seconded by Regent _____, it was ordered that:

Sam Houston State University be authorized to implement a degree title change for the Master of Arts in Clinical Mental Health Counseling degree to be changed to the Master of Arts in Counseling degree in the College of Education to be implemented spring 2015.

Explanation

The counseling faculty are seeking CACREP accreditation for the marriage and family counseling track. Currently, the clinical mental health counseling track is CACREP accredited and the degree offered is a Master of Arts in Clinical Mental Health Counseling. By adding the marriage and family track as a CACREP accredited program, the name of the degree needs to change to Master of Arts in Counseling with two separate CACREP accredited tracks: clinical mental health counseling and marriage and family counseling.

The name change does not involve changes to the type of degree designation, existing courses, completion requirements, new courses, or other substantive changes related to the program or the program's students.

SHSU: Degree Program Title Change—Master of Arts in Curriculum and Instruction, M.A.

Upon motion of Regent _____, seconded by Regent _____, it was ordered that:

Sam Houston State University be authorized to implement a degree program title change for the Master of Arts in Curriculum and Instruction degree to be changed to the Master of Arts in Teaching degree in the Department of Curriculum and Instruction within the College of Education to be implemented as of fall 2015.

Explanation

The degree title of Master of Arts in Teaching offers a more representative and clearer explanation of the students' final goal: teacher certification. The students in this program are preparing to become Texas certified teachers as well as working on their Master's degree.

Changing the title of the program to a Master of Arts in Teaching is more in line with how most universities title their Masters and Certification combination program. Additionally, the new title benefits the student since it is more representative of their final goal and would offer them a degree more recognizable by public school districts.

The name change for this program does not involve changes in existing courses, completion requirements, new courses, or other substantive changes related to the program or the program's students.

SHSU: Mission Statement Change

Upon motion of Regent _____, seconded by Regent _____, it was ordered that:

The Mission Statement for Sam Houston State University be approved.

Explanation

As part of Sam Houston State University's strategic planning process, the University identified a need to alter its current mission statement. Currently, SHSU's mission statement reads:

Sam Houston State University provides high quality education, scholarship, and service to qualified students for the benefit of regional, state, national, and international constituencies.

The proposed addition to the mission statement reads:

Vision/Values

Best at Educating the Texas Workforce:

- Excellence in academics
- Effective in student success
- Efficient in operations
- Loyal to traditions
- Dedicated to innovation

Goals

SHSU will:

- Foster a lifelong learning environment in support of a diverse faculty and staff who are excellent scholars, educators, and professionals.
- Promote a stimulating learning environment through the integration of academic settings, campus culture, and service.
- Increase and develop university resources and infrastructures that support the intellectual transformation of students.
- Enhance marketing outreach and visibility to include academic and scholarly activities through consistent and integrated messaging while optimizing communication channels.
- Promote efficient data driven decision making through the integration of centralized data analysis, review, and dissemination.
- Cultivate a continually sensitive and proactive response to the ever-changing needs of our constituents.

TxSt: Change the Name of the Major in Environmental Geography

Upon motion of Regent _____, seconded by Regent _____, it was ordered that:

Texas State University be authorized to change the name of the Doctor of Philosophy major in Environmental Geography to a major in Geography.

Explanation

This proposal seeks to update the name of the existing Ph.D. program with a major in Environmental Geography. Although useful in the program's start-up phase to focus student recruitment and faculty hires, the "environmental" qualifier for this doctoral program is no longer necessary. Texas State and the Department of Geography have built a strong market presence within the overarching geography discipline, hired and retained a highly-talented faculty group with a broad-base of expertise, and created an efficient and effective graduate administrative system. Graduates of the program are successfully employed at universities and research organizations around the country. The department is now prepared to build on that success by aligning the program's name with those found at top-ranked departments in the geography discipline.

The name change will enable the program to recruit the strongest students and graduate faculty across a more diverse array of research and teaching topics. This, in turn, will enhance the competitiveness of students and faculty in external funding arenas and the job market. This change also has the potential to increase the total number of Ph.D. students in the department and will make graduates even more competitive, by allowing them to successfully seek jobs that require a broad geography degree. Further, students who wish to study in a particular sub-discipline, such as environmental geography, are easily encompassed in the overarching name.

There are no cost implications related to this change, as most program materials are now produced electronically and no additional courses are required. There are no funding implications, as the current program and renamed program will be administered under the same Classification of Instructional Program code. The current program name will be phased out to allow each student the option of completing the program or moving to the new program.

LSC-O: Degree Programs

Upon motion of Regent _____, seconded by Regent _____, it was ordered that:

Lamar State College-Orange be permitted to modify the following degree and certificate programs:

1. Reduce the Associate of Science in Sociology (ASSO) degree from 62 semester credit hours to 60 semester credit hours, effective Fall 2014;
2. Reduce the Associate of Applied Science in Upward Mobility Nursing (RNSG) degree from 65 semester credit hours to 60 semester credit hours, effective Fall 2015;
3. Reduce the Associate of Applied Science in Industrial Technology (INTE) degree from 67 semester credit hours to 60 semester credit hours, effective Spring 2015;
4. Reduce the Associate of Applied Science in Process Operating Technology (PROC) degree from 69 semester credit hours to 60 semester credit hours, effective Spring 2015;
5. Reduce the Associate of Applied Science in Information Technology Support Specialist (ITSS) degree from 64 semester credit hours to 60 semester credit hours, effective Spring 2015;
6. Increase the Certificate of Completion in Pharmacy Technology (PHRA) degree from 30 semester credit hours to 33 semester credit hours to accommodate accreditation requirements, effective Spring 2015;
7. Reduce the Certificate of Completion in Process Technology (PTAC) award from 42 semester credit hours to 40 semester credit hours, effective Spring 2015;
8. Reduce the Certificate of Completion in Safety, Health and Environmental (SHEC) award from 43 semester credit hours to 41 semester credit hours, effective Spring 2015;
9. Modify the Certificate of Completion in Information Technology Support Assistant - Networking Specialist (ITHC) award by replacing ITSY 1342 with a 3 hour approved elective, effective Spring 2015;
10. Modify the Certificate of Completion in Information Technology Support Assistant - Software Development (ITSC) award by replacing ITSY 1342 with a 3 hour approved elective, effective Spring 2015;
11. Increase the Certificate of Completion in Instrumentation (INST) award from 31 semester credit hours to 32 semester credit hours to accommodate a course revision, effective Spring 2015;

Explanation

The changes to the length of the degree programs (bullets 1-5) are submitted to conform to the SB497 requirement limiting the length of associate degrees to 60 semester credit hours.

Changes in certificate programs (bullets 6-11) are necessary to satisfy accreditation requirements (bullet 6) or to keep the certificate programs in sync with the AAS degree requirements (bullets 7-11).

LSC-O: New Program Pharmacy Technology Sterile Preparations Institutional Award

Upon motion of Regent _____, seconded by Regent _____,
it was ordered that:

Lamar State College-Orange be authorized to award a Pharmacy Technology
Sterile Preparations Institutional Award.

Explanation

The Texas State Board of Pharmacy now requires all Pharmacist and Certified Pharmacy Technicians to complete an accredited sterile preparations class. LSC-O is the only institution in the area to offer this class. Since the award is for 3 semester credit hours, it will not require approval from The Texas Higher Education Coordinating Board. The Southern Association of Colleges and Schools Commission on Colleges (SACSCOC) will be notified prior to implementation.

LSCPA: Degree Programs

Upon motion of Regent _____, seconded by Regent _____,
it was ordered that:

The following degree program changes and deletions for Lamar State College-Port Arthur be approved.

Changes

1. Reduce the degree program leading to the Associate of Applied Science in Audiovisual Production within the Technical Programs Division from 64 semester credit hours to 60 semester credit hours effective fall semester 2015.
2. Reduce the degree program leading to the Associate of Applied Science in Software Development within the Technical Programs Division from 64 semester credit hours to 60 semester credit hours effective fall semester 2015.
3. Reduce the degree program leading to the Associate of Applied Science in Graphic Design within the Technical Programs Division from 63 semester credit hours to 60 semester credit hours effective fall semester 2015.
4. Reduce the degree program leading to the Associate of Applied Science in Cosmetology Operator within the Technical Programs Division from 70 semester credit hours to 60 semester credit hours effective fall semester 2015.
5. Reduce the degree program leading to the Associate of Applied Science in Instrumentation Technology within the Technical Programs Division from 65 semester credit hours to 60 semester credit hours effective fall semester 2015.
6. Reduce the degree program leading to the Associate of Applied Science in Paralegal within the Technical Programs Division from 66 semester credit hours to 60 semester credit hours effective fall semester 2015.
7. Reduce the degree program leading to the Associate of Applied Science in Process Technology within the Technical Programs Division from 65 semester credit hours to 60 semester credit hours effective fall semester 2015.
8. Reduce the degree program leading to the Associate of Applied Science in Air Conditioning and Refrigeration within the Technical Programs Division from 63 semester credit hours to 60 semester credit hours effective fall semester 2015.
9. Reduce the degree program leading to the Associate of Applied Science in Commercial Music—Sound Engineer within the Technical Programs Division from 65 semester credit hours to 60 semester credit hours effective fall semester 2015.

10. Reduce the degree program leading to the Associate of Applied Science in Commercial Music--Performance within the Technical Programs Division from 65 semester credit hours to 60 semester credit hours effective fall semester 2015.

11. Reduce the degree program leading to the Associate of Applied Science in Substance Abuse Counseling within the Technical Programs Division from 63 semester credit hours to 60 semester credit hours effective fall semester 2015.

12. Reduce the degree program leading to the Associate of Applied Science in Nursing within the Technical Programs Division from 63 semester credit hours to 60 semester credit hours effective fall semester 2015.

13. Reduce the degree program leading to the Associate of Applied Science in Surgical Technology within the Technical Programs Division from 66 semester credit hours to 60 semester credit hours effective fall semester 2015.

Explanation

The changes to the length of the degree in plans are submitted to conform to the SB 497 requirement limiting the length of associate degrees to 60 semester credit hours unless the institution determines that a compelling academic reason exists for requiring additional semester credit hours. The Coordinating Board must approve any requests for additional semester hours within a degree plan. All degree plans must be reduced to 60 semester credit hours or receive formal approval from the Texas Higher Education Coordinating Board for additional semester hours prior to the fall 2015 semester.

TSUS: Fourth Class Day Reports

Upon motion of Regent _____, seconded by Regent _____, it was ordered that:

The Fourth Class Day Reports for Summer Semester, 2014 for the Texas State University System components be approved.

	Summer	
	2013	2014
Lamar University	7,360	7,883
Sam Houston State University	7,703	7,734
Sul Ross State University	860	804
Sul Ross State University RGC	561	502
Texas State University	12,056	12,714
Lamar Institute of Technology	719	1,005
Lamar State College-Orange	899	815
Lamar State College-Port Arthur	794	675
Totals	30,952	32,132

	Summer SCH	
	2013	2014
Lamar University	61,668	65,980
Sam Houston State University	50,398	50,736
Sul Ross State University	5,805	5,373
Sul Ross State University RGC	3,689	2,262
Texas State University	75,784	79,114
Lamar Institute of Technology	4,443	5,110
Lamar State College-Orange	6,311	5,831
Lamar State College-Port Arthur	15,978	8,160
Totals	224,076	222,566

***Please note that some differences in total between 2012 and 2013 are attributed to reporting differences. Each institution can explain reasons for increases or decreases.**

BACKGROUND

In accordance with the Texas *Education Code*, Sections 51.403(b) and 51.404 and the System *Rules and Regulations*, Chapter III, Section 1.(10) Curriculum Matters, Subsection 1.(10)1 *Twelfth and fourth class day reports* shall be submitted to the Board of Regents for approval.

Texas State University System Certified Enrollment Report Comparison
Summer 2013 vs. Summer 2014

Table 1. Unduplicated Headcount, SCH, Contact Hours, and FTSE

Institution		Summer 2013	Summer 2014	% Change
Lamar	Headcount	7,360	7,883	7%
	SCH	61,668	65,980	7%
	FTSE	6,209	6,635	7%
Sam Houston	Headcount	7,703	7,734	0%
	SCH	50,398	50,736	1%
	FTSE	4,639	4,602	-1%
Sul Ross-Total	Headcount	1,421	1,306	-8%
	SCH	9,494	7,635	-20%
	FTSE	896	723	-19%
<i>Sul Ross-Rio Grande</i>	<i>Headcount</i>	<i>561</i>	<i>502</i>	<i>-11%</i>
	<i>SCH</i>	<i>3,689</i>	<i>2,262</i>	<i>-39%</i>
	<i>FTSE</i>	<i>332</i>	<i>200</i>	<i>-40%</i>
<i>Sul Ross Univ.</i>	<i>Headcount</i>	<i>860</i>	<i>804</i>	<i>-7%</i>
	<i>SCH</i>	<i>5,805</i>	<i>5,373</i>	<i>-7%</i>
	<i>FTSE</i>	<i>564</i>	<i>523</i>	<i>-7%</i>
Texas State	Headcount	12,056	12,714	5%
	SCH	75,784	79,114	4%
	FTSE	6,694	7,007	5%
Lamar-IT	Headcount	719	1,005	40%
	SCH	4,443	5,110	15%
	FTSE	370	426	15%
	Contact	109,232	119,456	9%
Lamar-PA	Headcount	794	675	-15%
	SCH	15,978	8,160	-49%
	FTSE	1,332	680	-49%
	Contact	436,640	223,568	-49%
Lamar-O	Headcount	899	815	-9%
	SCH	6,311	5,831	-8%
	FTSE	526	486	-8%
	Contact	187,104	166,480	-11%
Total	Headcount	30,952	32,132	4%
	SCH	224,076	222,566	-1%
	FTSE	20,666	20,558	-1%
	Contact	732,976	509,504	-30%

Table 2. Continuing Education Unduplicated Headcount, Contact Hours, and FTSE

Institution		Summer 2013	Summer 2014	% Change
Lamar-IT	Headcount	1,021	1,930	89%
	FTSE	230	217	-6%
	Contact	69,115	65,173	-6%
Lamar-PA	Headcount	542	540	0%
	FTSE	433	454	5%
	Contact	129,980	136,288	5%
Lamar-O	Headcount	151	190	26%
	FTSE	15	25	66%
	Contact	2,268	7,481	230%
Total	Headcount	1,714	2,660	55%
	FTSE	679	696	3%
	Contact	201,363	208,942	4%

Texas State University System Certified Enrollment Report
Summer 2014

Table 1. Unduplicated Headcount, SCH, Contact Hours, and FTSE

Institution		Undergrad	Master's	Doctoral-	Doctoral	Post-Bach	Total
Lamar	Headcount	3,662	3,135	295	17	774	7,883
	SCH	29,961	33,563	2,456	-	-	65,980
	FTSE	2,497	3,729	409	-	-	6,635
Sam Houston	Headcount	5,781	1,612	261	-	80	7,734
	SCH	39,568	10,027	1,141	-	-	50,736
	FTSE	3,297	1,114	190	-	-	4,602
Sul Ross-Total	Headcount	466	772	-	-	68	1,306
	SCH	4,525	3,110	-	-	-	7,635
	FTSE	377	346	-	-	-	723
<i>Sul Ross-Rio Grande</i>	<i>Headcount</i>	<i>90</i>	<i>381</i>	<i>-</i>	<i>-</i>	<i>31</i>	<i>502</i>
	<i>SCH</i>	<i>1,860</i>	<i>402</i>	<i>-</i>	<i>-</i>	<i>-</i>	<i>2,262</i>
	<i>FTSE</i>	<i>155</i>	<i>45</i>	<i>-</i>	<i>-</i>	<i>-</i>	<i>200</i>
<i>Sul Ross Univ.</i>	<i>Headcount</i>	<i>376</i>	<i>391</i>	<i>-</i>	<i>-</i>	<i>37</i>	<i>804</i>
	<i>SCH</i>	<i>2,665</i>	<i>2,708</i>	<i>-</i>	<i>-</i>	<i>-</i>	<i>5,373</i>
	<i>FTSE</i>	<i>222</i>	<i>301</i>	<i>-</i>	<i>-</i>	<i>-</i>	<i>523</i>
Texas State	Headcount	10,279	1,880	160	116	279	12,714
	SCH	65,559	11,648	678	1,229	-	79,114
	FTSE	5,463	1,294	113	137	-	7,007
Lamar-IT	Headcount	1,005	-	-	-	-	1,005
	SCH	5,110	-	-	-	-	5,110
	FTSE	426	-	-	-	-	426
	Contact	119,456	-	-	-	-	119,456
Lamar-PA	Headcount	675	-	-	-	-	675
	SCH	8,160	-	-	-	-	8,160
	FTSE	680	-	-	-	-	680
	Contact	223,568	-	-	-	-	223,568
Lamar-O	Headcount	815	-	-	-	-	815
	SCH	5,831	-	-	-	-	5,831
	FTSE	486	-	-	-	-	486
	Contact	166,480	-	-	-	-	166,480
Total	Headcount	22,683	7,399	716	133	1,201	32,132
	SCH	158,714	58,348	4,275	1,229	-	222,566
	FTSE	13,226	6,483	713	137	-	20,558
	Contact	509,504	-	-	-	-	509,504

Table 2. Continuing Education Unduplicated Headcount, Contact Hours, and FTSE

Institution		Undergrad
Lamar-IT	Headcount	1,930
	FTSE	217
	Contact	65,173
Lamar-PA	Headcount	540
	FTSE	454
	Contact	136,288
Lamar-O	Headcount	190
	FTSE	25
	Contact	7,481
Total	Headcount	2,660
	FTSE	696
	Contact	208,942

TSUS Certified Enrollment Report: Universities

Institution: Lamar University
 Term or Semester: Summer 2014
 Submitted by: Gregory Marsh

Table 1
 Unduplicated Headcount

Level	Off-	On-	Both On-	Total
Undergraduate	1,145	1,971	546	3,662
Post-Bach	705	53	16	774
Master's	2,502	559	74	3,135
Doctoral-Research	184	108	3	295
Doctoral-Professional	-	11	6	17
Total	4,536	2,702	645	7,883

Note: Headcount and level should be defined using the same criteria as used for THECB CBM001.

Table 2
 SCH and Full-Time Student Equivalents

Level	Funded		Total	
	SCH	FTSE	SCH	FTSE
Undergraduate	28,552	2,379	29,961	2,497
Master's	33,550	3,728	33,563	3,729
Doctoral-Research	2,456	409	2,456	409
Doctoral-Professional	-	-	-	-
Total	64,558	6,516	65,980	6,635

Note: SCH and level should be defined using the same criteria as used for the THECB CBM004.

TSUS Certified Enrollment Report: Universities

Institution: Sam Houston State University
 Term or Semester: Summer 2014
 Submitted by: SHSU Office of Institutional Effectiveness

Table 1
 Unduplicated Headcount

Level	Off-	On-	Both On-	Total
Undergraduate	1	5,760	20	5,781
Post-Bach	-	80	-	80
Master's	-	1,612	-	1,612
Doctoral-Research	-	261	-	261
Doctoral-Professional	-	-	-	-
Total	1	7,713	20	7,734

Note: Headcount and level should be defined using the same criteria as used for THECB CBM001.

Table 2
 SCH and Full-Time Student Equivalents

Level	Funded		Total	
	SCH	FTSE	SCH	FTSE
Undergraduate	38,235	3,186	39,568	3,297
Master's	10,027	1,114	10,027	1,114
Doctoral-Research	1,141	190	1,141	190
Doctoral-Professional	-	-	-	-
Total	49,403	4,491	50,736	4,602

Note: SCH and level should be defined using the same criteria as used for the THECB CBM004.

TSUS Certified Enrollment Report: Universities

Institution: Sul Ross State University - Rio Grande College
 Term or Semester: Summer 2014
 Submitted by: John D. Jones

Table 1
 Unduplicated Headcount

Level	Off-	On-	Both On-	Total
Undergraduate	-	90	-	90
Post-Bach	-	31	-	31
Master's	-	381	-	381
Doctoral-Research	-	-	-	-
Doctoral-Professional	-	-	-	-
Total	-	502	-	502

Note: Headcount and level should be defined using the same criteria as used for THECB CBM001.

Table 2
 SCH and Full-Time Student Equivalents

Level	Funded		Total	
	SCH	FTSE	SCH	FTSE
Undergraduate	1,674	140	1,860	155
Master's	402	45	402	45
Doctoral-Research	-	-	-	-
Doctoral-Professional	-	-	-	-
Total	2,076	184	2,262	200

Note: SCH and level should be defined using the same criteria as used for the THECB CBM004.

TSUS Certified Enrollment Report: Universities

Institution: Sul Ross State University - Alpine
 Term or Semester: Summer 2014
 Submitted by: John D. Jones

Table 1
 Unduplicated Headcount

Level	Off-	On-	Both On-	Total
Undergraduate	-	346	30	376
Post-Bach	-	37	-	37
Master's	1	390	-	391
Doctoral-Research	-	-	-	-
Doctoral-Professional	-	-	-	-
Total	1	773	30	804

Note: Headcount and level should be defined using the same criteria as used for THECB CBM001.

Table 2
 SCH and Full-Time Student Equivalents

Level	Funded		Total	
	SCH	FTSE	SCH	FTSE
Undergraduate	2,441	203	2,665	222
Master's	2,708	301	2,708	301
Doctoral-Research	-	-	-	-
Doctoral-Professional	-	-	-	-
Total	5,149	504	5,373	523

Note: SCH and level should be defined using the same criteria as used for the THECB CBM004.

TSUS Certified Enrollment Report: Universities

Institution: Texas State University-San Marcos
 Term or Semester: Summer 2014
 Submitted by: Tami Rice

Table 1
 Unduplicated Headcount

Level	Off-Campus Only	On-Campus Only	Both On and Off Campus	Total
Undergraduate	943	8,312	1,024	10,279
Post-Bach	60	203	16	279
Master's	365	1,200	315	1,880
Doctoral-Research	1	159	-	160
Doctoral-Professional	-	116	-	116
Total	1,369	9,990	1,355	12,714

Note: Headcount and level should be defined using the same criteria as used for THECB CBM001.

Table 2
 SCH and Full-Time Student Equivalents

Level	Funded		Total	
	SCH	FTSE	SCH	FTSE
Undergraduate	61,560	5,130	65,559	5,463
Master's	11,648	1,294	11,648	1,294
Doctoral-Research	678	113	678	113
Doctoral-Professional	1,229	137	1,229	137
Total	75,115	6,674	79,114	7,007

Note: SCH and level should be defined using the same criteria as used for the THECB CBM004.

TSUS Certified Enrollment Report: State/Technical Colleges

Institution: Lamar State College - Orange
 Term or Semester: Summer 2014
 Submitted by: Bishar Sethna

Table 1
 Unduplicated Headcount, Contact Hours, Full-Time Student Equivalent, and SCH

Level	Headcount	Contact Hours			Credit Hours			FTSE
		Funded	Unfunded	Total	Funded	Unfunded	Total	
Undergraduate	815	165,792	688	166,480	5,787	44	5,831	486

Note: Measures should be defined using the same criteria as used for THECB CBM001/CBM004

Table 2
 Non-Credit Formula Funded Unduplicated Headcount, Contact Hours, and Full-Time Student Equivalent,

Term	Headcount	Contact Hours	FTSE
Certified Previous Term	80	3,534	12
Current	190	7,481	25

Note: Measures should be defined using the same criteria as used for the THECB CBM00A.

TSUS Certified Enrollment Report: State/Technical Colleges

Institution: Lamar State College - Port Arthur
 Term or Semester: Summer 2014
 Submitted by: Dr. Gary Stretcher, Vice President for Academic Affairs

Table 1
 Unduplicated Headcount, Contact Hours, Full-Time Student Equivalent, and SCH

Level	Headcount	Contact Hours			Credit Hours			FTSE
		Funded	Unfunded	Total	Funded	Unfunded	Total	
Undergraduate	675	221,888	1,680	223,568	8,091	69	8,160	680

Note: Measures should be defined using the same criteria as used for THECB CBM001/CBM004

Table 2
 Non-Credit Formula Funded Unduplicated Headcount, Contact Hours, and Full-Time Student Equivalent,

Term	Headcount	Contact Hours	FTSE
Certified Previous Term	282	68,928	230
Current	540	136,288	454

Note: Measures should be defined using the same criteria as used for the THECB CBM00A.

TSUS Certified Enrollment Report: State/Technical Colleges

Institution: Lamar Institute of Technology
 Term or Semester: Summer 2014
 Submitted by: David Mosley, Coordinator - Institutional Effectiveness & Grants

Table 1
 Unduplicated Headcount, Contact Hours, Full-Time Student Equivalent, and SCH

Level	Headcount	Contact Hours			Credit Hours			FTSE
		Funded	Unfunded	Total	Funded	Unfunded	Total	
Undergraduate	1,005	119,392	64	119,456	5,044	66	5,110	426

Note: Measures should be defined using the same criteria as used for THECB CBM001/CBM004

Table 2
 Non-Credit Formula Funded Unduplicated Headcount, Contact Hours, and Full-Time Student Equivalent,

Term	Headcount	Contact Hours	FTSE
Certified Previous Term	2,073	69,115	230
Current	1,930	65,173	217

Note: Measures should be defined using the same criteria as used for the THECB CBM00A.

Texas State University System Preliminary Enrollment Report Comparison
Certified Fall 2013 vs. Preliminary Fall 2014

Table 1. Unduplicated Headcount, SCH, Contact Hours, and FTSE

Institution		Fall 2013	Fall 2014	% Change
Lamar	Headcount	13,762	14,661	7%
	SCH	133,664	141,025	6%
	FTSE	9,404	10,031	7%
Sam Houston	Headcount	19,210	19,577	2%
	SCH	226,412	231,562	2%
	FTSE	15,426	15,745	2%
Sul Ross-Total	Headcount	2,865	2,987	4%
	SCH	26,499	28,362	7%
	FTSE	1,837	1,978	8%
<i>Sul Ross-Rio Grande</i>	<i>Headcount</i>	<i>976</i>	<i>1,047</i>	<i>7%</i>
	<i>SCH</i>	<i>6,711</i>	<i>7,578</i>	<i>13%</i>
	<i>FTSE</i>	<i>465</i>	<i>528</i>	<i>14%</i>
<i>Sul Ross Univ.</i>	<i>Headcount</i>	<i>1,889</i>	<i>1,940</i>	<i>3%</i>
	<i>SCH</i>	<i>19,788</i>	<i>20,784</i>	<i>5%</i>
	<i>FTSE</i>	<i>1,372</i>	<i>1,450</i>	<i>6%</i>
Texas State	Headcount	35,546	36,790	3%
	SCH	429,091	444,658	4%
	FTSE	29,190	30,240	4%
Lamar-IT	Headcount	2,947	2,920	-1%
	SCH	27,558	30,260	10%
	FTSE	1,837	2,017	10%
	Contact	586,256	663,728	13%
Lamar-PA	Headcount	2,295	2,075	-10%
	SCH	22,295	19,145	-14%
	FTSE	1,486	1,276	-14%
	Contact	475,776	388,576	-18%
Lamar-O	Headcount	2,426	2,281	-6%
	SCH	22,220	20,582	-7%
	FTSE	1,481	1,372	-7%
	Contact	451,184	431,648	-4%
Total	Headcount	79,051	81,291	3%
	SCH	887,739	915,594	3%
	FTSE	60,661	62,659	3%
	Contact	1,513,216	1,483,952	-2%

Note: Preliminary comparisons do not include continuing education data.

Texas State University System Preliminary Enrollment Report
Fall 2014

Table 1. Unduplicated Headcount, SCH, Contact Hours, and FTSE

Institution		Undergrad	Master's	Doctoral-	Doctoral	Post-Bach	Total
Lamar	Headcount	9,283	3,985	362	22	1,009	14,661
	SCH	107,653	30,478	2,624	270	-	141,025
	FTSE	7,177	2,540	292	23	-	10,031
Sam Houston	Headcount	16,813	2,380	276	-	108	19,577
	SCH	215,226	15,084	1,252	-	-	231,562
	FTSE	14,348	1,257	139	-	-	15,745
Sul Ross-Total	Headcount	2,090	740	-	-	157	2,987
	SCH	23,158	5,204	-	-	-	28,362
	FTSE	1,544	434	-	-	-	1,978
<i>Sul Ross-Rio Grande</i>	<i>Headcount</i>	<i>783</i>	<i>190</i>	<i>-</i>	<i>-</i>	<i>74</i>	<i>1,047</i>
	<i>SCH</i>	<i>6,216</i>	<i>1,362</i>	<i>-</i>	<i>-</i>	<i>-</i>	<i>7,578</i>
	<i>FTSE</i>	<i>414</i>	<i>114</i>	<i>-</i>	<i>-</i>	<i>-</i>	<i>528</i>
<i>Sul Ross Univ.</i>	<i>Headcount</i>	<i>1,307</i>	<i>550</i>	<i>-</i>	<i>-</i>	<i>83</i>	<i>1,940</i>
	<i>SCH</i>	<i>16,942</i>	<i>3,842</i>	<i>-</i>	<i>-</i>	<i>-</i>	<i>20,784</i>
	<i>FTSE</i>	<i>1,129</i>	<i>320</i>	<i>-</i>	<i>-</i>	<i>-</i>	<i>1,450</i>
Texas State	Headcount	32,215	3,516	341	117	601	36,790
	SCH	412,717	28,313	2,294	1,334	-	444,658
	FTSE	27,514	2,359	255	111	-	30,240
Lamar-IT	Headcount	2,920	-	-	-	-	2,920
	SCH	30,260	-	-	-	-	30,260
	FTSE	2,017	-	-	-	-	2,017
	Contact	663,728	-	-	-	-	663,728
Lamar-PA	Headcount	2,075	-	-	-	-	2,075
	SCH	19,145	-	-	-	-	19,145
	FTSE	1,276	-	-	-	-	1,276
	Contact	388,576	-	-	-	-	388,576
Lamar-O	Headcount	2,281	-	-	-	-	2,281
	SCH	20,582	-	-	-	-	20,582
	FTSE	1,372	-	-	-	-	1,372
	Contact	431,648	-	-	-	-	431,648
Total	Headcount	67,677	10,621	979	139	1,875	81,291
	SCH	828,741	79,079	6,170	1,604	-	915,594
	FTSE	55,249	6,590	686	134	-	62,659
	Contact	1,483,952	-	-	-	-	1,483,952

Table 2. Continuing Education Unduplicated Headcount, Contact Hours, and FTSE

Institution		Undergrad
Lamar-IT	Headcount	839
	FTSE	105
	Contact	31,407
Lamar-PA	Headcount	48
	FTSE	41
	Contact	12,240
Lamar-O	Headcount	67
	FTSE	7
	Contact	2,231
Total	Headcount	954
	FTSE	153
	Contact	45,878

TSUS Preliminary Enrollment Report: Universities

Institution: Lamar University
 Term or Semester: Fall 2014
 Submitted by: Dr. Gregory Marsh

Table 1
 Unduplicated Headcount

Level	Off-Campus Only	On-Campus Only	Both On and Off Campus	Total
Undergraduate	1,382	6,048	1,853	9,283
Post-Bach	776	193	40	1,009
Master's	2,745	1,092	148	3,985
Doctoral-Research	190	163	9	362
Doctoral-Professional	-	22	-	22
Total	5,093	7,518	2,050	14,661

Note: Headcount and level should be defined using the same criteria as used for THECB CBM001.

Table 2
 SCH and Full-Time Student Equivalents

Level	Funded		Total	
	SCH	FTSE	SCH	FTSE
Undergraduate	103,988	6,933	107,653	7,177
Master's	30,469	2,539	30,478	2,540
Doctoral-Research	2,624	292	2,624	292
Doctoral-Professional	270	23	270	23
Total	137,351	9,786	141,025	10,031

Note: SCH and level should be defined using the same criteria as used for the THECB CBM004.

TSUS Preliminary Enrollment Report: Universities

Institution: Sam Houston State University
 Term or Semester: Fall 2014
 Submitted by: Office of Institutional Effectiveness

Table 1
 Unduplicated Headcount

Level	Off-Campus Only	On-Campus Only	Both On and Off Campus	Total
Undergraduate	75	16,632	106	16,813
Post-Bach	1	107	-	108
Master's	-	2,380	-	2,380
Doctoral-Research	-	276	-	276
Doctoral-Professional	-	-	-	-
Total	76	19,395	106	19,577

Note: Headcount and level should be defined using the same criteria as used for THECB CBM001.

Table 2
 SCH and Full-Time Student Equivalents

Level	Funded		Total	
	SCH	FTSE	SCH	FTSE
Undergraduate	203,964	13,598	215,226	14,348
Master's	16,020	1,335	15,084	1,257
Doctoral-Research	1,457	162	1,252	139
Doctoral-Professional	-	-	-	-
Total	221,441	15,094	231,562	15,745

Note: SCH and level should be defined using the same criteria as used for the THECB CBM004.

TSUS Preliminary Enrollment Report: Universities

Institution: Sul Ross State University - Rio Grande College
 Term or Semester: Fall 2014
 Submitted by: John D. Jones

Table 1
 Unduplicated Headcount

Level	Off-Campus Only	On-Campus Only	Both On and Off Campus	Total
Undergraduate	-	783	-	783
Post-Bach	-	74	-	74
Master's	-	190	-	190
Doctoral-Research	-	-	-	-
Doctoral-Professional	-	-	-	-
Total	-	1,047	-	1,047

Note: Headcount and level should be defined using the same criteria as used for THECB CBM001.

Table 2
 SCH and Full-Time Student Equivalent

Level	Funded		Total	
	SCH	FTSE	SCH	FTSE
Undergraduate	-	-	6,216	414
Master's	-	-	1,362	114
Doctoral-Research	-	-	-	-
Doctoral-Professional	-	-	-	-
Total	-	-	7,578	528

Note: SCH and level should be defined using the same criteria as used for the THECB CBM004.

TSUS Preliminary Enrollment Report: Universities

Institution: Sul Ross State University - Alpine
 Term or Semester: Fall 2014
 Submitted by: John D. Jones

Table 1
 Unduplicated Headcount

Level	Off-Campus Only	On-Campus Only	Both On and Off Campus	Total
Undergraduate	20	1,266	21	1,307
Post-Bach	-	83	-	83
Master's	-	550	-	550
Doctoral-Research	-	-	-	-
Doctoral-Professional	-	-	-	-
Total	20	1,899	21	1,940

Note: Headcount and level should be defined using the same criteria as used for THECB CBM001.

Table 2
 SCH and Full-Time Student Equivalents

Level	Funded		Total	
	SCH	FTSE	SCH	FTSE
Undergraduate	16,773	1,118	16,942	1,129
Master's	3,842	320	3,842	320
Doctoral-Research	-	-	-	-
Doctoral-Professional	-	-	-	-
Total	20,615	1,438	20,784	1,450

Note: SCH and level should be defined using the same criteria as used for the THECB CBM004.

TSUS Preliminary Enrollment Report: Universities

Institution: Texas State University
 Term or Semester: Fall 2014
 Submitted by: Tami Rice

Table 1
 Unduplicated Headcount

Level	Off-Campus Only	On-Campus Only	Both On and Off Campus	Total
Undergraduate	1,143	27,244	3,828	32,215
Post-Bach	117	426	58	601
Master's	618	2,421	477	3,516
Doctoral-Research	-	328	13	341
Doctoral-Professional	-	117	-	117
Total	1,878	30,536	4,376	36,790

Note: Headcount and level should be defined using the same criteria as used for THECB CBM001.

Table 2
 SCH and Full-Time Student Equivalents

Level	Funded		Total	
	SCH	FTSE	SCH	FTSE
Undergraduate	403,886	26,926	412,717	27,514
Master's	28,313	2,359	28,313	2,359
Doctoral-Research	2,294	255	2,294	255
Doctoral-Professional	1,334	111	1,334	111
Total	435,827	29,651	444,658	30,240

Note: SCH and level should be defined using the same criteria as used for the THECB CBM004.

TSUS Preliminary Enrollment Report: State/Technical Colleges

Institution: Lamar State College - Orange
 Term or Semester: Fall 2014
 Submitted by: Bishar Sethna

Table 1
 Unduplicated Headcount, Contact Hours, Full-Time Student Equivalent, and SCH

Level	Headcount	Contact Hours			Credit Hours			FTSE
		Funded	Unfunded	Total	Funded	Unfunded	Total	
Undergraduate	2,281	428,448	3,200	431,648	20,392	190	20,582	1,372

Note: Measures should be defined using the same criteria as used for THECB CBM001/CBM004

Table 2
 Continuing Education Unduplicated Headcount, Contact Hours, and Full-Time Student Equivalent,

Term	Headcount	Contact Hours	FTSE
Previous Term	190	7,481	25
Current Term	67	2,231	7

Note: Measures should be defined using the same criteria as used for the THECB CBM00A.

TSUS Preliminary Enrollment Report: State/Technical Colleges

Institution: Lamar State College-Port Arthur
 Term or Semester: Fall 2014
 Submitted by: Dr. Gary Stretcher, Vice President for Academic Affairs

Table 1
 Unduplicated Headcount, Contact Hours, Full-Time Student Equivalent, and SCH

Level	Headcount	Contact Hours			Credit Hours			FTSE
		Funded	Unfunded	Total	Funded	Unfunded	Total	
Undergraduate	2,075	385,776	2,800	388,576	18,995	150	19,145	1,276

Note: Measures should be defined using the same criteria as used for THECB CBM001/CBM004

Table 2
 Continuing Education Unduplicated Headcount, Contact Hours, and Full-Time Student Equivalent,

Term	Headcount	Contact Hours	FTSE
Previous Term	310	76,256	254
Current Term	48	12,240	41

Note: Measures should be defined using the same criteria as used for the THECB CBM00A.

TSUS Preliminary Enrollment Report: State/Technical Colleges

Institution: Lamar Institute of Technology
 Term or Semester: Fall 2014
 Submitted by: David Mosley

Table 1
 Unduplicated Headcount, Contact Hours, Full-Time Student Equivalent, and SCH

Level	Headcount	Contact Hours			Credit Hours			FTSE
		Funded	Unfunded	Total	Funded	Unfunded	Total	
Undergraduate	2,920	663,728	-	663,728	30,260	-	30,260	2,017

Note: Measures should be defined using the same criteria as used for THECB CBM001/CBM004

Table 2
 Continuing Education Unduplicated Headcount, Contact Hours, and Full-Time Student Equivalent,

Term	Headcount	Contact Hours	FTSE
Previous Term	2,073	61,899	206
Current Term	839	31,407	105

Note: Measures should be defined using the same criteria as used for the THECB CBM00A.

**Texas State University System
Academic Affairs**

CONSENT ITEMS

16. TSUS: CONSENT: Out of Country Study Programs
17. TSUS: CONSENT: Curriculum Change

Sam Houston State University

Faculty-Led Study Abroad Programs 2015

Location: Brazil: Sao Paulo, Curitiba & Rio de Janeiro
Course Number and Title: GEOG 4075: Readings in Geography: Cultural Diversity of Brazil
Dates of Travel: June 7 – 20, 2015
Instructor: Dr. John Strait
Credit for Course: 3

Location: China: Zhejiang Police College (Dual Degree Program)
Course Number and Title: CRIJ 2361: Introduction to the Criminal Justice System
Dates of Travel: Fall 2015
Instructor: Dr. Jurg Gerber
Credit for Course: 3

Location: China: Zhejiang Police College (Dual Degree Program)
Course Number and Title: CRIJ 2362: Criminology
Dates of Travel: Spring 2015
Instructor: Dr. Jurg Gerber
Credit for Course: 3

Location: Costa Rica: San Jose
Course Number and Title: BIOL 4096 Special Topics in Undergraduate
Biology: Medicine Clinical Practicum in Costa Rica
Dates of Travel: May 31 – June 27, 2015
Instructor: Dr. Anne Gaillard
Credit for Course: 3

Location: Costa Rica: San Jose
Course Number and Title: NURS 4030: Community Nursing
Dates of Travel: May 10 – June 1, 2015
Instructor: Dr. Anne Stiles
Credit for Course: 6

Location: Costa Rica: Santa Ana
Course Number and Title: SPAN 2311: Intermediate Spanish I
Dates of Travel: June 3 – July 1, 2015
Instructor: Dr. Frieda Koeninger
Credit for Course: 3

Location: Costa Rica: Santa Ana
Course Number and Title: SPAN 2312: Intermediate Spanish II
Dates of Travel: June 3 – July 1, 2015
Instructor: Dr. Frieda Koeninger
Credit for Course: 3

Location: Costa Rica: Santa Ana
Course Number and Title: SPAN 3369: Conversational Spanish II
Dates of Travel: June 3 – July 1, 2015
Instructor: Dr. Debra Andrist
Credit for Course: 3

Location: Costa Rica: Santa Ana

Course Number and Title:	SPAN 4370: Seminar: Selected Topics in Literature, Language & Culture: Literature & Society in Costa Rica
Dates of Travel:	June 3 – July 1, 2015
Instructor:	Dr. Debra Andrist
Credit for Course:	3
Location:	France: Paris & Tours
Course Number and Title:	FREN 2311: Intermediate French I
Dates of Travel:	June 1 – June 26, 2015
Instructor:	Shirin Edwin
Credit for Course:	3
Location:	France: Paris & Tours
Course Number and Title:	FREN 2312: Intermediate French II
Dates of Travel:	June 1 – June 26, 2015
Instructor:	Shirin Edwin
Credit for Course:	3
Location:	France: Paris & Tours
Course Number and Title:	FREN 4075: Individual French Readings
Dates of Travel:	June 1 – June 26, 2015
Instructor:	Shirin Edwin
Credit for Course:	3
Location:	France & Germany: Paris & Rothenberg/Wurzburg/Koln/Heidelberg/Freiburg
Course Number and Title:	CHEM 3361: Discoveries in Chemistry & Textiles
Dates of Travel:	May 12 – 26, 2015
Instructor:	Dr. Rick White & Dr. David Thompson
Credit for Course:	3
Location:	France & Germany: Paris & Rothenberg/Wurzburg/Koln/Heidelberg/Freiburg
Course Number and Title:	FACS 3350: Discoveries in Chemistry, Textiles & Nutritional Sciences
Dates of Travel:	May 12 – 26, 2015
Instructor:	Dr. Janis White & Dr. Valencia Browning-Keen
Credit for Course:	3
Location:	France & Italy: Paris, Florence & Rome
Course Number and Title:	ARTS 4019: Undergraduate Seminar in Art: Narrativity
Dates of Travel:	May 18 – 28, 2015
Instructor:	Dr. Michael Henderson
Credit for Course:	3
Location:	France & Italy: Paris, Florence & Rome
Course Number and Title:	ARTS 4019: Undergraduate Seminar in Art: Art in Rome, Florence, & Paris
Dates of Travel:	May 18 – 28, 2015
Instructor:	Dr. Melissa Mednicov
Credit for Course:	3
Location:	Italy: Naples
Course Number and Title:	BESL 2301: Multicultural Influences on Learning
Dates of Travel:	June 7 – 24, 2015
Instructor:	Dr. Burcu Ates
Credit for Course:	3

Location: Italy: Naples
Course Number and Title: BESL 3301: Language Acquisition Theory - 2nd Language
Dates of Travel: June 7 – 24, 2015
Instructor: Dr. Helen Berg
Credit for Course: 3

Location: Italy: Naples
Course Number and Title: BESL 4302: Individual Problems in Bilingual Education and English as a Second Language
Dates of Travel: June 7 – 24, 2015
Instructor: Dr. Burcu Ates
Credit for Course: 3

Location: Italy: Naples
Course Number and Title: BESL 6320: Workshop in Education: Multicultural Education
Dates of Travel: June 7 – 24, 2015
Instructor: Dr. Burcu Ates
Credit for Course: 3

Location: Italy: Naples
Course Number and Title: TESL 3303: Literacy Strategies for English Language Learners
Dates of Travel: June 7 – 24, 2015
Instructor: Dr. Helen Berg
Credit for Course: 3

Location: Italy: Rome & Florence
Course Number and Title: CRIJ 4377: Special Topics in Criminal Justice: Study Abroad to Italy
Dates of Travel: May 11 – 23, 2015
Instructor: Dr. Will Oliver
Credit for Course: 3

Location: Italy: Rome & Florence
Course Number and Title: CRIJ 6394: Special Topics in Criminal Justice: Study Abroad to Italy
Dates of Travel: May 11 – 23, 2015
Instructor: Dr. Will Oliver
Credit for Course: 3

Location: Japan: Tokyo
Course Number and Title: ECON 4360: International Field Studies in Economics
Dates of Travel: June 7 – July 2, 2015
Instructor: Dr. Mark Frank
Credit for Course: 3

Location: Japan: Tokyo
Course Number and Title: ECON 4380: Readings in Economics: Japan
Dates of Travel: June 7 – July 2, 2015
Instructor: Dr. Mark Frank
Credit for Course: 3

Location: South Africa: Zimbabwe & Johannesburg
Course Number and Title: BIOL 4096: Special Topics in Undergraduate Biology: Natural History of Southern Africa
Dates of Travel: June 2 – June 29, 2015
Instructor: Dr. Patrick Lewis

Credit for Course:	3
Location:	South Africa: Zimbabwe & Johannesburg
Course Number and Title:	BIOL 4096: Special Topics in Undergraduate Biology: Practical Development & Management of Natural History Collections
Dates of Travel:	June 2 – June 29, 2015
Instructor:	Dr. Monte Thies
Credit for Course:	3
Location:	South Africa: Zimbabwe & Johannesburg
Course Number and Title:	HONR 4375: Special Topics for Honors: Seminar in African Studies
Dates of Travel:	May 10 – May 29, 2015
Instructor:	Dr. Patrick Lewis
Credit for Course:	3
Location:	Spain: Toledo
Course Number and Title:	SPAN 3380: Spanish Culture and Civilization
Dates of Travel:	May 31 – July 2, 2015
Instructor:	Dr. Enrique Mallen
Credit for Course:	3
Location:	Spain: Toledo
Course Number and Title:	SPAN 4075: Individual Readings in Spanish
Dates of Travel:	May 31 – July 2, 2015
Instructor:	Dr. Enrique Mallen
Credit for Course:	3
Location:	Spain: Toledo
Course Number and Title:	SPAN 4365: Pablo Picasso and Spanish Art
Dates of Travel:	May 31 – July 2, 2015
Instructor:	Dr. Enrique Mallen
Credit for Course:	3
Location:	Spain, France & Italy: Valencia & Barcelona, Southern France, Pisa & Florence
Course Number and Title:	CIEE 4336: Social Studies Instruction in Elementary Grades
Dates of Travel:	June 5 – June 30, 2015
Instructor:	Dr. Karla Eidson
Credit for Course:	3
Location:	Spain, France & Italy: Valencia & Barcelona, Southern France, Pisa & Florence
Course Number and Title:	CIEE 4385: Creating Environments for Learning in Elementary Schools
Dates of Travel:	June 5 – June 30, 2015
Instructor:	Dr. Karla Eidson
Credit for Course:	3
Location:	Spain, France & Italy: Valencia & Barcelona, Southern France, Pisa & Florence
Course Number and Title:	CISE 4364: Methods of Teaching in Secondary Schools
Dates of Travel:	June 5 – June 30, 2015
Instructor:	Dr. Lisa Brown
Credit for Course:	3

Location:	Spain, France & Italy: Valencia & Barcelona, Southern France, Pisa & Florence
Course Number and Title:	CISE 4377: Assessment of Student Learning in Secondary
Dates of Travel:	June 5 – June 30, 2015
Instructor:	Dr. Lisa Brown
Credit for Course:	3
Location:	Thailand: Chiang Mai, Khon Kaen, Phayo
Course Number and Title:	NURS 3620: Adult Health I
Dates of Travel:	November 27 – December 20, 2015
Instructor:	Dr. Anne Stiles
Credit for Course:	6
Location:	Thailand: Chiang Mai, Khon Kaen, Phayo
Course Number and Title:	NURS 4030: Community Nursing
Dates of Travel:	November 27 – December 20, 2015
Instructor:	Dr. Anne Stiles
Credit for Course:	6
Location:	United Arab Emirates: Dubai
Course Number and Title:	MKTG 4080: Problems in Marketing: Business in the Middle East
Dates of Travel:	December 11 – 21, 2015
Instructor:	Dr. Ifran Ahmed
Credit for Course:	3
Location:	United States: Honolulu & Hilo, Hawaii
Course Number and Title:	HIST 5378: American Cultural and Religious History
Dates of Travel:	July 7– 19, 2015
Instructor:	Dr. Thomas Cox
Credit for Course:	3
Location:	United States: Honolulu & Hilo, Hawaii
Course Number and Title:	HIST 6394: Seminar in History: Hawaii & the Pacific World
Dates of Travel:	July 7– 19, 2015
Instructor:	Dr. Jeffrey Littlejohn
Credit for Course:	3

Sul Ross State University

Out of State/Country Study Courses - SRSU

Location: Switzerland, Italy, and the French Riviera
Course Numbers and Titles: COMM 4304: Special Topics: Communicating Across Borders
Dates of Travel: May 18-28, 2014
Instructor: Dr. Esther Rumsey
Credit for course: 3 sch

Location: Switzerland, Italy, and the French Riviera
Course Numbers and Titles: COMM 5304: Speech Communication Workshop: Communicating Across Borders
Dates of Travel: May 18-28, 2014
Instructor: Dr. Esther Rumsey
Credit for course: 3 sch

Location: Switzerland, Italy, and the French Riviera
Course Numbers and Titles: GBA 4350: Advanced Readings and Research in Business Administration
Dates of Travel: May 18-28, 2014
Instructor: Dr. Pamela Marett
Credit for course: 3 sch

Texas State University
Out-of-Country Study Programs

Summer 2015 Study Abroad Program Proposals

Approval of new course

Instructor: Dr. Gail Dickinson
Location: Phnom Penh and Siem Reap, Cambodia
Course Number and Title: CI 5339 Project-Based Instruction
CI 5375 Problems in Elementary Education
CI 5376 Problems in Secondary Education
HON 3391W Service Learning Abroad
Benefits: Cambodia offers a perfect setting for a comparative education course. We plan to use classroom videos and students' experience as a basis for examining the education system in Cambodia.

Approval of new course

Instructor: Dr. Heather Galloway
Location: Phnom Penh and Siem Reap, Cambodia
Course Number and Title: HON 3480B Building a Greener Future: One House at a Time
HON 4391 Honors Independent Study
Benefits: Cambodia offers a perfect setting for a comparative education course. We plan to use classroom videos and students' experience as a basis for examining the education system in Cambodia.

Approval of new instructor

Instructor: Dr. Alexis Stokes
Location: Santiago, Chile
Course Number and Title: BLAW 5368G Legal Issues in International Business Law
Benefits: The proposed program contributes to the McCoy College's strategic goal to focus on international business initiatives and strategic planning activities to increase study abroad opportunities for McCoy College's students. In addition, the courses will fulfill requirements in the MBA program with an emphasis in International Business.

Approval of new course

Instructor: Dr. Diego Vacaflores
Location: Santiago, Chile
Course Number and Title: MGT 5333 Problems in Business Administration
Benefits: The proposed program contributes to the McCoy College's strategic goal to focus on international business initiatives and strategic planning activities to increase study abroad opportunities for McCoy College's students. In addition, the courses will fulfill requirements in the MBA program with an emphasis in International Business.

Approval of new course

Instructor:

Location:

Course Number and Title:

Benefits:

Dr. John Mogab

Prague, Czech Republic

ECO 2314 Principles of Microeconomics

The program theme is "Accounting, Economic and Financial Analysis for Investing in Central & Eastern Europe". The program will introduce students to the opportunities and challenges of investing in Central and Eastern European markets. Students will have direct exposure to business professionals in Central and Eastern Europe in meetings with financial institutions (investment, accounting and banking firms, Prague stock market, Czech Republic Central Bank), service companies, and manufacturers, as well as presentations by accountants, financiers and economists from Central Europe.

Approval of new course

Instructor:

Location:

Course Number and Title:

Benefits:

Dr. Kenneth Moon

Prague, Czech Republic

FIN 4318 Portfolio Management & Derivatives

The program theme is "Accounting, Economic and Financial Analysis for Investing in Central & Eastern Europe". The program will introduce students to the opportunities and challenges of investing in Central and Eastern European markets. Students will have direct exposure to business professionals in Central and Eastern Europe in meetings with financial institutions (investment, accounting and banking firms, Prague stock market, Czech Republic Central Bank), service companies, and manufacturers, as well as presentations by accountants, financiers and economists from Central Europe.

Approval of new instructor

Instructor:

Location:

Course Number and Title:

Benefits:

Ludmila Krylova

Prague, Czech Republic

ACC 2361 Introduction to Financial Accounting

The program theme is "Accounting, Economic and Financial Analysis for Investing in Central & Eastern Europe". The program will introduce students to the opportunities and challenges of investing in Central and Eastern European markets. Students will have direct exposure to business professionals in Central and Eastern Europe in meetings with financial institutions (investment, accounting and banking firms, Prague stock market, Czech Republic Central Bank), service companies, and manufacturers, as well as presentations by accountants, financiers and economists from Central Europe.

Approval of new course

Instructor:

Location:

Course Number and Title:

Benefits:

Dr. Bob Price

Canterbury, England

SOCI 3390 Technology and Society

Students in these courses will have the opportunity to participate firsthand in issues related to European society, politics, religion, history, social work and anthropology. As a part of their educational experience, students will have the opportunity to study at a variety of museums, archaeological and historical locations including places such as the British National Museum, Stonehenge, Parliament, Westminster Abbey, and Canterbury Cathedral. This exposure to the places where the foundations of European thought developed cannot be duplicated in an on-campus classroom.

Approval of new course

Instructor:

Location:

Course Number and Title:

Benefits:

Dr. Theodore Hindson

Canterbury, England

POSI 3301 Basic Political Institutions

POSI 4340 Government and Politics of Europe

Students in these courses will have the opportunity to participate firsthand in issues related to European society, politics, religion, history, social work and anthropology. As a part of their educational experience, students will have the opportunity to study at a variety of museums, archaeological and historical locations including places such as the British National Museum, Stonehenge, Parliament, Westminster Abbey, and Canterbury Cathedral. This exposure to the places where the foundations of European thought developed cannot be duplicated in an on-campus classroom.

Approval of new course

Instructor:

Location:

Course Number and Title:

Benefits:

Dr. Bryan N. Mann

Chester, England

HIST 5318C The Age of Stuarts

Chester allows historians to talk about Roman Britain while standing on the best preserved Roman town walls in Britain; to examine the Norman period whilst standing on a Norman Tower; and to analyze the Industrial Revolution whilst standing on the banks of the Shropshire Union Canal which runs through Chester. This program allows a hands-on approach to teaching all areas and aspects of British History.

Approval of new course

Instructor:

Location:

Course Number and Title:

Benefits:

Dr. Jessica Pliley

Chester, England

HIST 4318U Topics in Industrial Britain

HIST 5345Q Women and Citizenship

Chester allows historians to talk about Roman Britain while standing on the best preserved Roman town walls in Britain; to examine the Norman period whilst standing on a Norman Tower; and to analyze the Industrial Revolution whilst standing on the banks of the Shropshire Union Canal which runs through Chester. This program allows a hands-on approach to teaching all areas and aspects of British History.

Approval of new instructor

Instructor: Dr. Lynn Denton
Location: Chester, England
Course Number and Title: HIST 3368A Introduction to Public History
HIST 4388 Problems in History
HIST 5371 The Practice of Public History
HIST 5372 The Practice of Museum Studies and Material Culture
HIST 5390 Problems in Historical Research

Benefits: Chester allows historians to talk about Roman Britain while standing on the best preserved Roman town walls in Britain; to examine the Norman period whilst standing on a Norman Tower; and to analyze the Industrial Revolution whilst standing on the banks of the Shropshire Union Canal which runs through Chester. This program allows a hands-on approach to teaching all areas and aspects of British History.

Approval of new program

Instructor: Dr. David C. Wiley
Location: London and Manchester, England
Course Number and Title: H ED 3374 Interprofessional Service Learning in Global Health
H ED 4347 Independent Study in Health and Wellness Promotion
H ED 5330 Topics in Health Education
H ED 5347 Independent Study in Health Education Problems

Benefits: H ED: 5374 Interprofessional Service Learning in Global Health Students will experience sexual health programs, policies, and services in another country by visiting a variety of agencies and organizations associated with reproductive health for teens and young adults. Included in these class activities are lectures and presentations from leading U.K. sexual health experts and site visits to specific agencies and organizations.

Approval of new location

Instructor: Dr. Bruce Smith
Location: London, England and Paris, France
Course Number and Title: MC 4311 Independent Study: Advertising, Broadcasting, Print Journalism, Public Relations
MC 4376F Strategic Communication in UK and France
MC 5311 Independent Study

Benefits: MC 5322 International Advertising and Public Relations Issues Students will learn about the similarities and differences between media systems in England, France and the U.S. They will also visit public relations and advertising organizations and come to understand how public relations and advertising work at a global level.

Approval of new location

Instructor: Dr. Sandhya Rao
Location: London, England and Paris, France
Course Number and Title: MC 4310 International Communication
MC 4382O Travel Journalism
MC 5310 International Communication
MC 5324 Media Writing

Benefits: Students will learn about the similarities and differences between media systems in England, France and the U.S. They will also visit public relations and advertising organizations and understand how public relations and advertising work at a global level.

Approval of new program

Instructor: Dr. Lyn Litchke
Location: London, England; Stirling and Edinburg, Scotland
Course Number and Title: REC 5320 Selected Topics in Therapeutic Recreation
Benefits: This course will focus on selected topics in Therapeutic Recreation in the U.K., particularly in London, England and Stirling, Scotland. Universities of Stirling-Iris Murdoch Centre and St. Christopher's Hospice in London have both led the way internationally in their focus on meaningful activities and *Namaste End of Life* training for those with dementia.

Approval of new instructor

Instructor: Dr. Melinda Villagran
Location: Rennes, France
Course Number and Title: MC 4303 International Advertising
MC 4305 Theories of Mass Communication
MC 4382 Special Topics in Mass Communication

Benefits: This study abroad program at the University of Rennes II fulfills part of our university-wide goal to promote internationalization of our students, faculty and curriculum. The setting of Rennes will offer an enriching and multicultural experience for our students with a range of classroom experiences. The collaborative model of the University of Rennes also offers opportunities for publication and international research for participating faculty.

Approval of new instructor

Instructor: Dr. Rebecca Bell-Metereau
Location: Rennes, France
Course Number and Title: ENG 3302 Film and Video Theory and Production
ENG 3307 Introduction to the Study of Film
ENG 3390 Problems in Language and Literature
ENG 5302 Media Studies
ENG 5390 Special Problems
HON 3391 Nature of Modernity

Benefits: This study abroad program at the University of Rennes II fulfills part of our university-wide goal to promote internationalization of our students, faculty and curriculum. The setting of Rennes will offer an enriching and multicultural experience for our students with a range of classroom experiences. The collaborative model of the University of Rennes also offers opportunities for publication and international research for participating faculty.

Approval of new program

Instructor:

Location:

Course Number and Title:

Dr. Araceli Martinez Ortiz

Antigua, Guatemala

CI 4350 Mathematics in the Integrated Elementary Curriculum

CI 5304 Teaching Mathematics and Science in the Elementary School

Benefits:

These courses are designed to cover five main objectives: 1) Synthesize issues of language diversity and literacy strategies to use with English learners; 2) Apply principles of effective literacy instruction that integrate reading and writing; 3) Explore mathematical concepts and methodology derived from principles of learning and research; 4) Integrate strategies that address the affective, cognitive, cultural, and linguistic needs of all learners; and 5) Utilize the framework of integrated science, technology, engineering and mathematics (STEM) problem-based learning while drawing upon the host country's history and resources as contexts for the real world application of literacy and mathematics. In addition to living and working in a Mayan community, students will receive 40 hours of Spanish language instruction. Learning Spanish while working with children in a Mayan school will not only aid in communication skills, but will help students develop a deeper understanding of the complexities of language, culture, and education.

Approval of new program

Instructor:

Location:

Course Number and Title:

Dr. Minda Lopez

Antigua, Guatemala

RDG 4380 Independent Study in Reading Instruction

RDG 5380 Independent Study in Reading Research

Benefits:

These courses are is designed to cover five main objectives: 1) Synthesize issues of language diversity and literacy strategies to use with English learners; 2) Apply principles of effective literacy instruction that integrate reading and writing; 3) Explore mathematical concepts and methodology derived from principles of learning and research; 4) Integrate strategies that address the affective, cognitive, cultural, and linguistic needs of all learners; and 5) Utilize the framework of integrated science, technology, engineering and mathematics (STEM) problem-based learning while drawing upon the host country's history and resources as contexts for the real world application of literacy and mathematics. In addition to living and working in a Mayan community, students will receive 40 hours of Spanish language instruction. Learning Spanish while working with children in a Mayan school will not only aid in communication skills, but will help students develop a deeper understanding of the complexities of language, culture, and education.

Approval of new course

Instructor:
Location:
Course Number and Title:

Dr. Moira Di Mauro-Jackson
Florence, Italy
HON 3391W Service Learning Abroad
HON 3397H International Culture Course

Benefits:

The culture course will cultivate student's knowledge of the similarities and differences among international cultures; convey to students a knowledge of other nations' cultural values, traditions, beliefs, and customs; increase students' knowledge of nations and cultures not in isolation, but in relation to one another; and introduce students to interpersonal communication and interaction issues among international cultures. The Service Learning course will offer students the opportunity to learn about aspects of their careers of choice in a different cultural environment. It will also provide them with an additional understanding of the Medieval and Renaissance city of Florence and its relevance in the shaping of the many important advances: Science, Math, Medicine, Politics, Music, and the Arts.

Approval of new instructor

Instructor:
Location:
Course Number and Title:
Benefits:

Jessica Mallios
Florence, Italy
ARTS 4308D Nature and Human Environment of Italy
Students will emerge from this experience with a broadened perspective of visual culture and expanded possibilities for their own unique position in the profession. Witnessing endemic Florentine culture will allow students the opportunity to see artistic advantages that are unique to specific international artistic cultures. In turn, they will be able to make more informed and self-aware choices.

Approval of new program

Instructor:
Location:
Course Number and Title:

Noha Mohama-Akkari
Amman, Jordan
ARAB 1410 Beginning Arabic I
ARAB 2310 Intermediate Arabic I
ARAB 3302 Media Arabic
HON 2390K An Introduction to Arab Culture
HON 2390L Introduction to Islam

Benefits:

The students will gain awareness into Arabic culture, and will have the opportunity to learn and practice Arabic, a critical language, in an authentic environment, which is crucial to better understand the culture and the peoples of the Middle East.

Approval of new program

Instructor:

Location:

Course Number and Title:

Benefits:

Dr. Antonio Gragera

Granada, Spain

HON 3391W Service Learning Abroad

HON 4391 Honors Independent Studies

Students will have the opportunity to immerse themselves in professional settings, as well as in the everyday of Spanish speakers, which will greatly benefit the prospective of these graduating majors and minors from different fields of studies.

This program course will have an important cultural component. Granada has a large Romani community, with a peculiar cultural identity different from that of other Spaniards, and a Muslim and Jewish heritage that remains embedded in the everyday life of its people. Furthermore, this experience will provide them with an additional understanding of Medieval Spain and its relevance in the shaping of the three largest monotheistic religions: Judaism, Islam, and Christianity.

Approval of new program

Instructor:

Location:

Course Number and Title:

Benefits:

Dr. Edna Aguirre Rehbein

Madrid and Barcelona, Spain

ENG 3341 Studies in World Literature

ENG 3390 Independent Study

ENG 5395 Problems in Language and Literature

This dual-city study abroad program offers students the special opportunity to study international communication from mass media and literary perspectives in a unique cultural environment of Spain, a nation that became part of the Roman Empire centuries ago and grew into a powerful global colonial empire that lasted for years. In light of impacts from globalization, technology, geopolitics, and economics, unique cultures in Spain's grandest cities, Madrid and Barcelona, continue to thrive and mature, offering students keen insights into communication study and Spanish life only possible by being there. On-site communication enterprise and cultural visits will highlight student experiences.

Approval of new program

Instructor:

Location:

Course Number and Title:

Dr. Michael McBride

Madrid and Barcelona, Spain

MC 4303 International Advertising

MC 4310 International Communication

MC 4311 Independent Study: Advertising, Broadcasting, Print Journalism, Public Relations

MC 5310 International Communication Issues

MC 5311 Independent Study

MC 5322 International Advertising and Public Relations Issues

Benefits:

This dual-city study abroad program offers students the special opportunity to study international communication from mass media and literary perspectives in a unique cultural environment of Spain, a nation that became part of the Roman Empire centuries ago and grew into a powerful global colonial empire that lasted for years. In light of impacts from globalization, technology, geopolitics, and economics, unique cultures in Spain's grandest cities, Madrid and Barcelona, continue to thrive and mature, offering students keen insights into communication study and Spanish life only possible by being there. On-site communication enterprise and cultural visits will highlight student experiences.

Approval of new instructor

Instructor:

Location:

Course Number and Title:

Dr. Yasmine Beale-Rivaya

Valladolid, Spain

SPAN 2310 Intermediate Spanish I

SPAN 2320 Intermediate Spanish II

SPAN 4390 Studies in Spanish Culture, Language, or Literature

Benefits:

Students will be able to practice Spanish in its natural context, as well as experience firsthand Spanish culture.

Lamar University

COLLEGE/ Academic Unit	COURSE ADDITIONS	COURSE DELETIONS	COURSE TITLE CHANGES	NET ADDITIONS/ DELETIONS
COLLEGE OF ARTS AND SCIENCES				
Biology	4	0	0	4
Nursing	1	23	0	-22
Political Science	1	0	0	1
Psychology	1	0	2	1
COLLEGE OF BUSINESS				
Economics and Finance	1	0	0	1
COLLEGE OF ENGINEERING				
Electrical Engineering	6	3	0	3
Mechanical Engineering	5	1	0	4
COLLEGE OF FINE ARTS AND COMMUNICATION				
Deaf Studies/Deaf Education	0	0	1	0
Music	5	0	0	5
Speech and Hearing Sciences	2	0	0	2
TOTAL	26	27	3	-1

COLLEGE OF ARTS AND SCIENCES

Biology

ADDITIONS

BIOL 4201 Special Problems in Biology
 BIOL 4437 Environmental Microbiology
 BIOL 5201 Special Problems in Biology
 BIOL 5437 Environmental Microbiology

Nursing

ADDITION

NURS 2373 Basic Pathophysiology

DELETIONS

NURS 1373 Basic Pathophysiology
 RNSG 1105 Nursing Skills I
 RNSG 1144 Nursing Skills II
 RNSG 1160 Clinical: Foundations of Nursing Practice
 RNSG 1161 Clinical: Common Concepts of Adult Health
 RNSG 1162 Clinical: Complex Concepts of Adult Health
 RNSG 1215 Health Assessment

RNSG 1301 Pharmacology
RNSG 1327 Transition to the Profession
RNSG 1341 Common Concepts of Adult Health
RNSG 1343 Complex Concepts of Adult Health
RNSG 1413 Foundations of Nursing Practice
RNSG 2160 Clinical: Care of Children and Families
RNSG 2161 Clinical: Maternal-Newborn and Women's Health
RNSG 2162 Clinical: Mental Health Nursing
RNSG 2201 Care of Children and Families
RNSG 2207 Transition to Nursing Practice
RNSG 2208 Maternal/Newborn Women's Health
RNSG 2213 Mental Health Nursing
RNSG 2221 Management of Client Care
RNSG 2231 Advanced Concepts of Adult Health
RNSG 2260 Clinical: Management of Client Care
RNSG 2263 Clinical: Capstone

Political Science

ADDITION

POLS 5330 Introduction to Public Administration

Psychology

ADDITION

PSYC 4390 Psychopharmacology

CHANGES

PSYC 5311 Community Psych Intro Therapy
TO
PSYC 5311 Introduction to Psychotherapy

PSYC 5313 Community Psych Adv Therapy
TO
PSYC 5313 Advanced Psychotherapy

COLLEGE OF BUSINESS

Economics and Finance

ADDITION

FINC 4380 Financial Modeling for Decision Making

COLLEGE OF ENGINEERING

Electrical Engineering

ADDITIONS

ELEN 4336 Instrumentation Systems & Auto
ELEN 5330 Electric Vehicles I
ELEN 5331 Electric Vehicles II
ELEN 5332 Green Power Electronic Ckts
ELEN 5334 Alternative Energy Sources
ELEN 5336 Instrumentation Systems & Auto

DELETIONS

ELEN 4101 Electrical Engineering Sem I
ELEN 4102 Electrical Engineering Sem II
ELEN 4372 Electromagnetics II

Mechanical Engineering**ADDITION**

MEEN 4325 Undergraduate Research
MEEN 5323 Advanced Heat Transfer and Applications
MEEN 5324 Component/System Design with Simulation
MEEN 5325 FEA with ANSYS
MEEN 5328 Advanced MATLAB Programming for Engineers

DELETION

MEEN 4364 Intro to CFD

COLLEGE OF FINE ARTS AND COMMUNICATION***Deaf Studies/Deaf Education*****CHANGE**

DSDE 4314 Fund of Educational/Legal/Medical Interp
TO
DSDE 4314 Fundamentals of Medical/Legal Interp

Music**ADDITIONS**

MULB 3157 Opera Workshop
MULB 3173 Orchestra II
MUSI 3160 Vocal Literature
MUSI 3161 Opera Literature
MUSI 3378 Computers in Music

Speech and Hearing Sciences**ADDITIONS**

SPHS 6362 Pharmacology
SPHS 6371 Electrophysiology III

SAM HOUSTON STATE UNIVERSITY

Curriculum Changes

Effective Spring 2015

College of Humanities and Social Sciences

ADDITIONS:

- 1) INRW 0111 Tutorial for Integrated Read/Write
- 2) INRW 0301 Integrated Reading and Writing

Texas State University

Curriculum Changes Effective Fall 2015

COLLEGE/Department	ADDITIONS	DELETIONS	CHANGES	NET ADDITIONS/ DELETIONS
<u>COLLEGE OF APPLIED ARTS</u>				
Agriculture	0	4	0	-4
Criminal Justice	0	3	0	-3
Family and Consumer Sciences	6	4	0	2
Occupational, Workforce, and Leadership Studies	2	0	0	2
<u>McCOY COLLEGE OF BUSINESS</u>				
<u>ADMINISTRATION</u>				
Computer Information Systems and Quantitative Methods	1	0	0	1
Management	1	0	0	1
<u>COLLEGE OF EDUCATION</u>				
Counseling, Leadership, Adult Education and School Psychology	5	1	0	4
Curriculum and Instruction	1	0	0	1
Health and Human Performance	0	1	0	-1
<u>COLLEGE OF FINE ARTS AND COMMUNICATION</u>				
Art and Design	7	0	0	7
Music	4	4	0	0
Theatre and Dance	3	0	0	3
<u>COLLEGE OF HEALTH PROFESSIONS</u>				
Clinical Laboratory Science	1	1	0	0
Health Administration	2	0	0	2
Nursing	3	5	0	-2
Respiratory Care	0	5	0	-5
<u>COLLEGE OF LIBERAL ARTS</u>				
Dean	1	0	0	1
Anthropology	1	6	0	-5
English	1	0	0	1
Geography	1	0	0	1
History	10	1	0	9
Political Science	11	11	0	0
Psychology	0	2	0	-2
Sociology	1	1	0	0
<u>COLLEGE OF SCIENCE AND ENGINEERING</u>				
Dean	3	0	0	3
Chemistry and Biochemistry	3	0	0	3
Computer Science	2	2	0	0

Engineering	1	0	0	1
Engineering Technology	6	4	0	2
Mathematics	4	0	0	4
Physics	13	2	0	11
TOTAL	94	57	0	37

COLLEGE OF APPLIED ARTS

Department of Agriculture

DELETIONS:

- AG 2421 Range Forage and Pasture Crops
- AG 3311 Agricultural Practices and Pollution Control
- AG 4306 Advanced Landscape Design and Construction
- AG 4371S GPS-Agricultural and Environmental Application

School of Criminal Justice

DELETIONS:

- CJ 7351A Technology for Management and Decision Making
- CJ 7351B Justice and Global Information Technology
- CJ 7351C Transnational Public Policy and Security

School of Family and Consumer Sciences

ADDITIONS:

- CA 3391 Communication Skills and Techniques
- CA 4100 Professional Preparation in Consumer Affairs
- CA 4301 Internship in Consumer Affairs
- CA 4391 Independent Study in Consumer Affairs
- FCS 5310 Research Methods in FCS
- FCS 5311 Statistics and Data Analysis for Family and Consumer Sciences

DELETIONS:

- FCS 3391 Communication Skills and Techniques
- FCS 4100 Professional Preparation in Family and Consumer Science
- FCS 4301 Internship in Family and Consumer Science
- FCS 4391 Independent Study in Family and Consumer Science

Department of Occupational, Workforce, and Leadership Studies

ADDITIONS:

- OCED 4111 Independent Study in Occupational Education
- OCED 5304 Professional Practicum

MCCOY COLLEGE OF BUSINESS ADMINISTRATION

Department of Computer Information Systems and Quantitative Methods

ADDITION:

- CIS 3350 Information Security Assurance: Principles and Practices

Department of Management

ADDITION:

- MGT 4393 Entrepreneurial Internship

COLLEGE OF EDUCATION

Department of Counseling, Leadership, Adult Education, and School Psychology

ADDITIONS:

ED 7316 Advanced Studies in Adult Development
ED 7318 Advanced Studies in Adult Learning
ED 7320 Literature Review for Research Writing
SPSY 6601 Professional Internship in School Psychology
SPSY 6602 Professional Internship in School Psychology

DELETION:

ED 7389F Advanced Studies in Adult Development

Department of Curriculum and Instruction

ADDITION:

SPED 5376 Advanced Intervention Practices for Challenging Behavior

Department of Health and Human Performance

DELETION:

PE 2365 Rhythm and Movement Activities

COLLEGE OF FINE ARTS AND COMMUNICATION

School of Art and Design

ADDITIONS:

ARTS 2301 Expanded Media I
ARTS 3302 Expanded Media II
ARTS 3303 Expanded Media III
ARTS 3304 Expanded Media IV
ARTS 4305 Expanded Media V
ARTS 4306 Expanded Media VI
ARTS 4307 Expanded Media VII

School of Music

ADDITIONS:

MU 5345 Piano Literature I
MU 5346 Piano Literature II
MU 5351 Introduction to Schenkerian Analysis
MUSE 5129 Afro-Caribbean Lab

DELETIONS:

MU 4356 Mariachi Arranging
MU 5356 Mariachi Arranging
MU 5375A Schenkerian Analysis
MU 5375F Piano Literature

Department of Theatre and Dance

ADDITIONS:

TH 5331 Television Writing
TH 5341 Drama Adaptation
TH 5350 Second Year Project

COLLEGE OF HEALTH PROFESSIONS

Clinical Laboratory Science Program

ADDITION:

CLS 3326 Medical Parasitology

DELETION:

CLS 4326 Medical Parasitology

School of Health Administration

ADDITIONS:

LTCA 5302 Elder Abuse and Mistreatment
LTCA 5305 Environmental Design for Dementia

St. David's School of Nursing

ADDITIONS:

NURS 5141 Reproductive, Sexual and Obstetrical Health Practicum
NURS 5208 Current Issues in Advanced Practice Nursing
NURS 5311 Behavioral Health Primary Care

DELETIONS:

NURS 4272 Leadership and Management of Nursing Care II
NURS 4370 Leadership and Management of Nursing Care I
NURS 4471 Leadership and Management of Nursing Care II Practicum
NURS 5252 The Art and Science of Self-Healing
NURS 5411 The Philosophy and Structures of Change in Family Systems

Department of Respiratory Care

DELETIONS:

RC 4214 Polysomnography Instrumentation II
RC 4310 Fundamentals of Polysomnography
RC 4313 Polysomnographic Therapeutic Intervention
RC 4412 Clinical Polysomnography-Sleep Staging I
RC 4415 Clinical Polysomnography-Sleep Staging II

COLLEGE OF LIBERAL ARTS

ADDITION:

DVST 3320 Introduction to Global Diversity Issues

Department of Anthropology

ADDITION:

ANTH 5325 Medical Anthropology

DELETIONS:

ANTH 3323 Cultures of the Middle East
ANTH 3376B Archaeological Myths and Mysteries
ANTH 3376K Japan and American Cultures
ANTH 5323 Cultures of the Middle East
ANTH 5373A Medical Anthropology
ANTH 5373D Disease and Society

Department of English

ADDITION:

ENG 4385 Advanced Studies in Children's or Adolescent Literature

Department of Geography

ADDITION:

GEO 4323 Conservation Leadership

Department of History

ADDITIONS:

HIST 3374 Topics in Religious History
HIST 3374A History of Christianity 1300-1700
HIST 3374B American Religious History
HIST 3374C History of Religion in India
HIST 5315 Topics in the History of Gender and Sexuality
HIST 5315A American Sexualities
HIST 5315B Queer History: GLBT Histories in the United States

HIST 5316 Topics in Women's History
HIST 5316A Women's Rights in Comparative Perspective
HIST 5316B Women and Empire
DELETION:
HIST 3368W American Religious History

Department of Political Science

ADDITIONS:

POSI 5337 Social Contract Theory
POSI 5338 The Problem of Power and The Crisis of Modernity
POSI 5339 Contemporary Perspectives in Modern Liberalism
POSI 5344 American Political Culture and Discourse
POSI 5352 Theological Perspectives in Modern Democracy
POSI 5353 The Crisis of Liberalism and The Future of Democracy
POSI 5354 Justice and Liberty in American Thought
POSI 5358 Contract Law
POSI 5359 Approaches to the Study of Political Science
POSI 5372 Introduction to the Paralegal Profession and Legal Technology
POSI 5388 Applied Research Project

DELETIONS:

POSI 3395 Ethnicity and Nation Building
POSI 4337B The Politics of the American Working Class
POSI 4339 Canadian Government and Politics
POSI 5302A Contemporary Perspective in Modern Liberalism
POSI 5302B The Problem of Power and The Crisis of Modernity
POSI 5302C The Contractarians
POSI 5326A Theological Perspectives in Modern Democracy
POSI 5326B The Crisis of Liberalism and The Future of Democracy
POSI 5326C Justice and Liberty in American Thought
POSI 5327B American Culture and Media
POSI 5396 Law Office Management

Department of Psychology

DELETIONS:

PSY 4390F Psychology of Persuasion
PSY 5371 Behavioral and Cognitive-Behavioral Therapies

Department of Sociology

ADDITION:

SOCI 5110 Proseminar in Sociology

DELETION:

SOCI 5388C Sexual and Reproductive Health

COLLEGE OF SCIENCE AND ENGINEERING

ADDITIONS:

MSEC 7395 Topics in MSEC
MSEC 7395A Microwave and Power Device Physics and Materials
MSEC 7395B Thin Film Photovoltaic Devices

Department of Chemistry and Biochemistry

ADDITIONS:

CHEM 1335 Engineering Chemistry
CHEM 4312 Organometallic Chemistry
CHEM 5312 Organometallic Chemistry

Department of Computer Science

ADDITIONS:

CS 3369 Embedded Computer Systems

CS 4380 Parallel Programming

DELETIONS:

CS 3468 Embedded Computer Systems

CS 4378T Parallel Programming

Ingram School of Engineering

ADDITION:

ENGR 3375 Mechanics for Engineers

Department of Engineering Technology

ADDITIONS:

CSM 5302 Fundamentals of Construction Contracts and Liability Issues

CSM 5304 Fundamentals of Construction Estimating

TECH 1311 Engineering Design Graphics

TECH 4389 Topics in Technology

TECH 4389A Advanced Residential Construction

TECH 4389B Construction Industry Best Practices

DELETIONS:

CIM 4210 Senior Concrete Lab

TECH 4391 Manufacturing Processes II

TECH 5302 Fundamentals of Construction Contracts and Liability Issues

TECH 5304 Fundamentals of Construction Estimating

Department of Mathematics

ADDITIONS:

MATH 3383 Numerical Analysis I

MATH 4383 Numerical Analysis II

MATH 5374 Numerical Linear Algebra

MATH 5393 Numerical Optimization

Department of Physics

ADDITIONS:

PHYS 3311 Mechanics I

PHYS 4121 Undergraduate Research

PHYS 4221 Undergraduate Research

PHYS 4350 Topics in Physics

PHYS 4350A Thin Film Photovoltaic Devices

PHYS 4350B Relativity

PHYS 5100 Professional Development

PHYS 5195 Fundamentals of Research

PHYS 5295 Fundamentals of Research

PHYS 5301 Classical Mechanics

PHYS 5350 Topics in Physics

PHYS 5350A Thin Film Photovoltaic Devices

PHYS 5350B Relativity

DELETIONS:

PHYS 3414: Mechanics I

PHYS 5401 Classical Mechanics

**Lamar Institute of Technology
November 2014**

COLLEGE/ Academic Unit	COURSE ADDITIONS	COURSE DELETIONS	COURSE CHANGES: PREFIX, HRS, NUMBER AND/OR TITLE	NET ADDITIONS/ DELETIONS
BUSINESS TECHNOLOGIES				
Office Technology Administration	1	2		1
PUBLIC SERVICE AND SAFETY				
Criminal Justice Security Threat Groups	3	6		3
Homeland Security	1	6		5
Homeland Security Crime Scene Technician	4	7		3
TECHNOLOGY				
Computer Drafting Technology	1	2		1
TOTAL	10	23		13

BUSINESS TECHNOLOGIES DEPARTMENT

Office Technology Administration A.A.S. (Effective Fall 2015)

DELETIONS

BUSG 2309 Small Business Management 3:3:0
ACNT 1411 Introduction to Computerized Accounting 4:3:2

ADDITIONS

ACNT 1311 Introduction to Computerized Accounting 3:2:2

PUBLIC SERVICE AND SAFETY DEPARTMENT

Criminal Justice Security Threat Groups A.A.S. (Effective Fall 2015)

DELETIONS

HMSY 1342 Understanding and Combating Terrorism 3:3:0
HMSY 1340 Homeland Security Intelligence Operations 3:3:0
CJSA 1308 Criminalistics I 3:3:0
HMSY 1338 Homeland Security Emergency Command Management 3:3:0
CJSA 1325 Criminology 3:3:0
HMSY 2337 Managing a Unified Incident Command 3:3:0

ADDITIONS

CRIJ	2328	Police Systems and Practices	3:3:0
SOCI	2336	Criminology	3:3:0
CRIJ	2313	Correctional Systems and Practices	3:3:0

Homeland Security A.A.S. (Effective Fall 2015)**DELETIONS**

CJSA	2335	First Line Police Supervision	3:3:0
SLPS	1391	Special Topics in Security and Loss Prevention	3:3:0
HMSY	1391	Special Topics in Homeland Security	3:3:0
CJLE	1394	Special Topics in Law Enforcement	3:3:0
CJSA	1308	Criminalistics I	3:3:0
CJSA	1325	Criminology	3:3:0

ADDITIONS

CRIJ	2323	Legal Aspects of Law Enforcement	3:3:0
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Homeland Security Crime Scene Technician A.A.S. (Effective Fall 2015)**DELETIONS**

HMSY	1342	Understanding and Combating Terrorism	3:3:0
HMSY	1343	Weapons of Mass Destruction	3:3:0
HMSY	2337	Managing a Unified Incident Command	3:3:0
HMSY	1338	Homeland Security Emergency Command Management	3:3:0
CJSA	1325	Criminology	3:3:0
CJLE	2345	Vice and Narcotics Investigations	3:3:0
CJSA	2364	Practicum (or Field Experience)	3:0:23

ADDITIONS

SOCI	2336	Criminology	3:3:0
CRIJ	2328	Police Systems and Practices	3:3:0
CJSA	2164	Practicum (or Field Experience)	1:0:16
CRIJ	1306	Court Systems and Practices	3:3:0

TECHNOLOGY DEPARTMENT**Computer Drafting Technology (Effective Fall Semester 2015)****DELETIONS**

DFTG	1310	Specialized Basic CAD	3:2:4
DFTG	1358	Electrical/Electronic Drafting	3:3:4

ADDITIONS

DFTG	2307	Electrical Drafting	3:2:4
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CURRICULUM INVENTORY
Lamar State College-Orange

COLLEGE/ Academic Unit	COURSE ADDITIONS	COURSE DELETIONS	COURSE CHANGES: PREFIX, HRS, NUMBER AND/OR TITLE	NET ADDITIONS/ DELETIONS
Allied Health Division	4	0	1	4
Arts & Science Division	0	0	0	0
Business & Technology Division	0	0	1	0
College Success Division	1	0	0	1
TOTAL	5	0	2	5

Allied Health Division

ADDITIONS

PHRA 1304 Pharmacotherapy and Disease Process
 PHRA 1313 Community Pharmacy Practice
 PHRA 1345 Compounding Sterile Preparations
 PHRA 1349 Institutional Pharmacy Practice

DELETIONS

CHANGES

PHRA 1305 Drug Classification (remove lab hours)

Arts & Science Division

ADDITIONS

DELETIONS

CHANGES

Business & Technology Division

ADDITIONS

DELETIONS

CHANGES

PTAC 1308 Safety, Health, and Environmental I
 TO
 PTAC 1408 Safety, Health, and Environmental I

College Success Division

ADDITIONS

PSYC 1200 Learning Frameworks

DELETIONS

CHANGES

**Texas State University System
Finance and Audit**

*Charlie Amato, Chair
Kevin Lilly
Bill Scott*

Action Items

1. LU: Standardized Distance Learning Fees
2. SHSU: Non - Mandatory Fees – Fall Semester 2015
3. LSCPA: Tuition for Non - credit Courses
4. TSUS: Mandatory Tuition and Fee Increases
5. TSUS: Approval of Investment Policies and Adoption of Investment Policy Resolution
6. TSUS: Investment Custody Services Agreement

Consent Items

7. TSUS: CONSENT: Foundation Reports
8. TSUS: CONSENT: Travel Reports
9. TSUS: CONSENT: Operating Budget Adjustments FY2014
10. TSUS: CONSENT: Operating Budget Adjustments
11. TSUS: CONSENT: Statements of Budgeted Fund Balances
12. TSUS: CONSENT: Status of Implementation of Audit Recommendations
13. TSUS: CONSENT: Quarterly Investment Report

LU: Standardize Distance Learning Fees-Fall 2015 Semester

Upon motion of Regent _____, seconded by Regent _____, it was ordered that:

Lamar University, effective with the Fall 2015 semester, be authorized to increase the distance learning fees charged from \$25 per semester credit hour to \$40 per semester credit hour for distance education courses offered through the Center for Distance Education; provided that none of the revenues raised are used to increase, directly or indirectly, compensation and/or other disbursements to Academic Partnerships, LLC under the Service Agreement (effective August 1, 2014) *and* the Specializations Provider Agreement (effective August 1, 2014), which were approved at the August 2014 Quarterly Meeting.

Explanation

Lamar University has offered distance education courses using a variety of distance learning technologies since 1995. Courses offered through the Center for Distance Education have had a \$25 distance education fee since 2004. Distance learning fees are used to defer operating expenses associated with this increasing population. Lamar University is a national recognized brand known for producing high quality products in this expanding market. The increased revenue will be used to hire and support specialists required in the online market. This includes studio personnel and equipment, instructional designers, support for retention, and specialized software to interact dynamically with the online population. Based upon market research and a review of distance education pricing structures at comparable colleges and universities, the fee of \$40 is competitive.

As a result of this change, the following distance learning fees will be charged beginning Fall 2015:

<u>Program</u>	<u>From</u>	<u>To</u>
Center for Distance Education Courses	\$25 per SCH	\$40 per SCH

Texas State University System
Request for Tuition or Fee Increase

(Submit a separate form for each proposed Tuition or Fee Increase.)

Section

1 Institution Lamar University Effective Date Fall 2015

2 Type of Revenue Standardize Distance Learning Fees-Academic Partnerships and Center for Distance Education Courses
(Designated Tuition, Student Service Fee, Athletic Fee, Residence Hall Rent, Meal Plan, Laboratory Fee, etc.)

3 Justification Course offered through the Center for Distance Education have had a \$25 distance education fee since 2004. A new fee that is standardized would simplify productivity while continuing access.

4 What Cost-Reduction Initiatives did your Institution consider before deciding to request the increase?
LU has eliminated and frozen numerous faculty and staff positions, reduced travel and M&O expenditures, and is investigating opportunities for the outsourcing of a variety of services while at the same time experiencing record enrollments.

	(A)	(B)	(C)	(D)	(E)	(F)
	Current Rate	Proposed Rate	% Change	Type of Revenue Unit (SCH, Student, etc.)	Number of Revenue Units (SCH, Students, etc.)	Revenue from Proposed Change Cols ((B)-(A)) x Col E
Center for Distance Education Courses	25	40.00	60.00%	Per SCH	45,282	679,230
Total for Fiscal Year						<u>679,230</u>

6 Expenditure Plan
(Indicate how your institution plans to apply the revenue change to your annual budget)

Cost of Goods Sold	
Salaries and Wages	435,870
Payroll Related Costs (e.g., Benefits)	122,043
Professional Fees and Services	
Travel	
Materials and Supplies	83,917
Communications and Utilities	
Repairs and Maintenance	
Rentals and Leases	
Printing and Reproduction	
Debt Service or Interest Expense	
Scholarships	37,400
Back Office Support	
Total for Fiscal Year	<u>679,230</u>

7 Fiscal Year-End Fund Balances

	2013	2012	2011
Education and General Fund	\$ 3,361,648	\$ 1,311,938	\$ 10,148,411
Designated Fund	\$ 18,800,162	\$ 14,922,282	\$ 5,496,709
Auxiliary Fund	\$ (16,170)	\$ 625,259	\$ 866,746

SHSU: Non-Mandatory Tuition and Fees Fall 2015 Semester

	<u>From</u>	<u>To</u>	<u>Per</u>	<u>Effective</u>
1. Designated Tuition – Graduate	\$154.00	\$185.50	SCH	Fall 2015
2. Library Fee – Graduate	\$5.00	\$10.00	SCH	Fall 2015

Explanation

1. Unit cost of instruction for graduate programs is higher than undergraduate programs due to smaller class sizes and engagement of higher value senior faculty. This increase is needed to mitigate the programmatic cost differences allowing SHSU to continue to recruit and retain exceptional faculty, expand programs and services. SHSU is currently developing graduate programs in Allied Health that will continue to challenge available resources. These additional revenues will also allow continued investments in graduate student support and assistantships in existing as well as new programs.
5. This fee increase will support acquisition of graduate library materials (journals and professional research publications) as the University continues to expand its graduate programmatic offerings. Additionally, it will allow release HEAF of funds to address infrastructure and other capital needs

**Texas State University System
Request for Tuition or Fee Change**

Section

(Submit a separate form for each proposed Tuition or Fee Increase.)

1 Institution Sam Houston State University **Effective Date** Fall 2015

2 Type of Revenue Designated Tuition - Graduate
(Designated Tuition, Student Service Fee, Athletic Fee, Residence Hall Rent, Meal Plan, Laboratory Fee, etc.)

3 Justification

This increase will allow SHSU to continue to recruit and retain exceptional faculty, expand programs and services, cover fixed cost increases and other operating cost increases that are driven by enrollment growth.

4 What Cost-Reduction Initiatives did your Institution consider before deciding to request the increase?

The university has increased its student to faculty ratio. Additional program and enrollment growth would require unsustainable increases in this ratio.

5 Details	(A)	(B)	(C)	(D)	(E)	(F)
	<i>Current Rate</i>	<i>Proposed Rate</i>	<i>% Change</i>	<i>Type of Revenue Unit (SCH, Student, etc.)</i>	<i>Number of Revenue Units (SCH, Students, etc.)</i>	<i>Revenue from Proposed Change Cols ((B)-(A)) x Col E</i>
Fall	\$154	\$185.50	20.5%	SCH	16,585	\$ 522,428
Spring	\$154	\$185.50	20.5%	SCH	15,616	\$ 491,904
Summer	\$154	\$185.50	20.5%	SCH	12,576	\$ 396,144
Total for Fiscal Year						<u>\$ 1,410,476</u>

6 Expenditure Plan
(Indicate how your institution plans to apply the revenue change to your annual budget)

Cost of Goods Sold	
Salaries and Wages	\$ 604,490
Payroll Related Costs (e.g., Benefits)	\$ 147,764
Professional Fees and Services	
Travel	
Materials and Supplies	
Communications and Utilities	
Repairs and Maintenance	\$ 658,222
Rentals and Leases	
Printing and Reproduction	
Debt Service or Interest Expense	
Scholarships	
Other	
Other	
Total for Fiscal Year	<u>\$ 1,410,476</u>

7 Fiscal Year-End Fund Balances	2014	2013	2012
Education and General Fund			
Designated Fund	\$ -	\$ -	\$ -
Auxiliary Fund			

**Texas State University System
Request for Tuition or Fee Change**

Section

(Submit a separate form for each proposed Tuition or Fee Increase.)

1 Institution Sam Houston State University **Effective Date** Fall 2015

2 Type of Revenue Library Fee - Graduate
(Designated Tuition, Student Service Fee, Athletic Fee, Residence Hall Rent, Meal Plan, Laboratory Fee, etc.)

3 Justification

This fee increase will support acquisition of library materials and release HEAF funds to address infrastructure and other capital needs.

4 What Cost-Reduction Initiatives did your Institution consider before deciding to request the increase?

Institutional needs for facilities maintenance require this transition. Without this funding the Library would need to dramatically revise the size and scope of current publications holdings.

5 Details	(A)	(B)	(C)	(D)	(E)	(F)
	<i>Current Rate</i>	<i>Proposed Rate</i>	<i>% Change</i>	<i>Type of Revenue Unit (SCH, Student, etc.)</i>	<i>Number of Revenue Units (SCH, Students, etc.)</i>	<i>Revenue from Proposed Change Cols ((B)-(A)) x Col E</i>
Fall	\$5	\$10	100%	SCH	16,585	\$ 82,925
Spring	\$5	\$10	100%	SCH	15,616	\$ 78,080
Summer	\$5	\$10	100%	SCH	12,576	\$ 62,880
Total for Fiscal Year						\$ 223,885

6 Expenditure Plan
(Indicate how your institution plans to apply the revenue change to your annual budget)

Cost of Goods Sold	
Salaries and Wages	
Payroll Related Costs (e.g., Benefits)	
Professional Fees and Services	
Travel	
Materials and Supplies	
Communications and Utilities	
Repairs and Maintenance	
Rentals and Leases	
Printing and Reproduction	
Debt Service or Interest Expense	
Scholarships	
Other: Library Materials	\$ 223,885
Other	
Total for Fiscal Year	\$ 223,885

7 Fiscal Year-End Fund Balances	2014	2013	2012
Education and General Fund	\$ 348,369.94	\$ 465,602.78	\$ 412,097.15
Designated Fund			
Auxiliary Fund			

LSCPA: Tuition for Non-credit Courses

Upon motion of Regent _____, seconded by Regent _____, it was ordered that:

Lamar State College-Port Arthur be authorized to charge the following tuition for the non-credit courses listed below taught in the 2014-2015 base funding period:

	Tuition	Fees
All courses at the Federal Correctional Complex-Beaumont (Federal Bureau of Prisons)	-0-	-0-

Explanation

The Texas Higher Education Coordinating Board permits public two-year colleges to receive contact hour formula funding for students enrolled in approved non-credit workforce education courses. The Coordinating Board requires the governing board to formally approve the tuition and fees established for the courses.

The Coordinating Board allows institutions to charge zero tuition to those non-credit workforce education courses where all or a significant portion of the institution's cost for facilities, instruction, equipment, and/or other expenses are covered by public or private clients. Board approval of the zero tuition rate will make the courses eligible for state reimbursement funding.

TSUS: Mandatory Tuition and Fees and Guaranteed Price Plan, Fall 2015 Semester

Upon motion of Regent _____ seconded by Regent _____, it was ordered that the accompanying schedule labeled Mandatory Tuition and Fees and Guaranteed Price Plan, 15 Semester Credit Hours be adopted for institutional components of the Texas State University System, effective for the Fall 2015 Semester.

Explanation

The accompanying schedule summarizes each TSUS institutional component's proposed pricing of undergraduate mandatory tuition and fees for 15 semester credit hour and the Guaranteed Price Plan effective for the Fall 2015 semester. Following the schedule are descriptions and worksheets supporting each component's mandatory tuition and fee changes.

Guaranteed Price Plan changes are based on 4-year annual inflation of 3.95% which yielded a 12% increase in designated tuition. 171 students enrolled in the Guaranteed Price Plan beginning with the Fall 2014 semester.

Texas State University System
Mandatory Tuition and Fees and Guaranteed Price Plan
15 Semester Credit Hours
Fall 2014 and Fall 2015

	Lamar University		Sam Houston State University		Sul Ross State University		Sul Ross State University Rio Grande College		Texas State University	
	Fall 2014	Fall 2015	Fall 2014	Fall 2015	Fall 2014	Fall 2015	Fall 2014	Fall 2015	Fall 2014	Fall 2015
Statutory Tuition	\$ 750.00	\$ 750.00	\$ 750.00	\$ 750.00	\$ 750.00	\$ 750.00	\$ 750.00	\$ 750.00	\$ 750.00	\$ 750.00
Designated Tuition	2,520.00	2,700.00	2,310.00	2,481.00	1,740.00	1,875.00	1,080.00	1,170.00	2,830.20	3,018.15
Advising Fee	55.00	55.00	66.00	66.00	-	-	-	-	90.00	90.00
Transportation (Bus) Fee	-	-	-	-	-	-	-	-	95.00	95.00
Computer/Technology	450.00	450.00	450.00	450.00	360.00	367.50	360.00	376.80	225.00	240.00
Environmental Service	-	-	-	-	-	-	-	-	1.00	1.00
ID / One-Card	15.00	15.00	16.00	16.00	-	-	-	-	5.00	5.00
Intercollegiate Athletics	158.70	158.70	270.00	270.00	90.00	97.80	-	-	300.00	300.00
International Education	2.00	2.00	2.00	2.00	1.00	1.00	1.00	1.00	3.00	3.00
Library	240.00	240.00	75.00	105.00	120.00	120.00	30.00	30.00	150.00	161.10
Medical Center	38.00	38.00	75.00	75.00	31.00	31.00	-	-	53.00	53.00
Publication	-	-	-	-	-	-	-	-	8.00	8.00
Records	15.00	15.00	12.00	12.00	12.00	12.00	12.00	12.00	-	-
Recreational Sports	77.00	77.00	100.00	100.00	58.00	63.00	-	-	94.00	94.00
Registration	-	-	-	-	-	-	-	-	-	-
Scholarship Endowment	-	-	-	-	-	-	-	-	-	-
Student Center	100.00	100.00	100.00	100.00	50.00	50.00	-	-	64.00	64.00
Student Service	250.00	250.00	240.00	240.00	238.00	238.00	140.00	140.00	90.00	90.00 *
Totals	\$ 4,670.70	\$ 4,850.70	\$ 4,466.00	\$ 4,667.00	\$ 3,450.00	\$ 3,605.30	\$ 2,373.00	2,479.80	\$ 4,758.20	4,972.25
Change		3.85%		4.50%		4.50%		4.50%		4.50%
									* \$10/SCH hrs 1-9 only	
Guaranteed Price Plan										
Fall 2014 Cohort	\$ 4,970.70		\$ 4,736.00		\$ 3,660.00		\$ 2,508.00		\$ 5,093.00	
Fall 2015 Cohort		\$ 5,174.70		\$ 4,964.72		\$ 3,830.30		\$ 2,620.20		\$ 5,334.43

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Texas State University System
Mandatory Tuition and Fees - 15 Semester Credit Hours
Fall 2014 and Fall 2015

06

	Lamar Institute of Technology		Lamar State College - Orange		Lamar State College - Port Arthur	
	Fall 2014	Fall 2015	Fall 2014	Fall 2015	Fall 2014	Fall 2015
Statutory Tuition	\$ 750.00	\$ 750.00	\$ 750.00	\$ 750.00	\$ 750.00	\$ 750.00
Designated Tuition	1,048.50	1,162.00	1,020.00	1,123.50	1,048.80	1,167.90
Advising Fee	-	-	-	-	-	-
Transportation (Bus) Fee	-	-	-	-	-	-
Computer/Technology	270.00	270.00	270.00	270.00	308.55	308.55
Environmental Service	-	-	-	-	-	-
ID / One-Card	-	-	5.00	5.00	-	-
Intercollegiate Athletics	-	-	-	-	158.70	158.70
International Education	-	-	-	-	-	-
Library	60.00	60.00	40.00	40.00	60.00	60.00
Medical Center	38.00	38.00	-	-	-	-
Publication	-	-	-	-	-	-
Records	-	-	5.00	5.00	5.00	5.00
Recreational Sports	77.00	77.00	-	-	24.00	24.00
Registration	-	-	-	-	-	-
Scholarship Endowment	-	-	-	-	15.00	15.00
Student Center	30.00	30.00	30.00	30.00	27.00	27.00
Student Service	250.00	250.00	180.00	180.00	250.00	250.00
Totals	\$ 2,523.50	\$ 2,637.00	\$ 2,300.00	\$ 2,403.50	\$ 2,647.05	\$ 2,766.15
Change		4.50%		4.50%		4.50%
Guaranteed Price Plan	Not Applicable		Not Applicable		Not Applicable	

LU: Mandatory Tuition and Fee Increases-Fall 2015 Semester

<u>Fee</u>	<u>From</u>	<u>To</u>	<u>Effective</u>
Designated Tuition	\$168 SCH	\$180	Fall 2015

Explanation

Lamar University requests authorization to increase the fee listed above effective Fall 2015. The overall Tuition and Mandatory Fee cost increase to undergraduate full time students as a result of these changes is 3.85%.

Designated Tuition: Designated Tuition funds the costs of university services and operations which include supplementing E&G activities and general support for various areas. A financial aid set aside of 20% is required. This proposed increase will generate additional funds needed to help maintain the current level of services campus wide; however, these increases are needed to continue to recruit and retain exceptional faculty.

Texas State University System
Request for Tuition or Fee Increase

Section

(Submit a separate form for each proposed Tuition or Fee Increase.)

1 Institution Lamar University Effective Date Fall 2015

2 Type of Revenue Designated Tuition
(Designated Tuition, Student Service Fee, Athletic Fee, Residence Hall Rent, Meal Plan, Laboratory Fee, etc.)

3 Justification The proposed increase would generate additional funds needed to help maintain the current level of services

4 What Cost-Reduction Initiatives did your Institution consider before deciding to request the increase?
LU has eliminated and froze numerous faculty and staff positions, reduced travel and M&O expenditures, and is investigating opportunities for further cost reductions while at the same time experiencing record enrollments.

5 Details	(A)	(B)	(C)	(D)	(E)	(F)
	<i>Current Rate</i>	<i>Proposed Rate</i>	<i>% Change</i>	<i>Type of Revenue Unit (SCH, Student, etc.)</i>	<i>Number of Revenue Units (SCH, Students, etc.)</i>	<i>Revenue from Proposed Change Cols ((B)-(A)) x Col E</i>
Fall	168	180	7.14%	Per SCH	115,000	1,380,000
Spring	168	180	7.14%	Per SCH	103,600	1,243,200
Summer	168	180	7.14%	Per SCH	51,800	621,600
Total for Fiscal Year						3,244,800

6 Expenditure Plan
(Indicate how your institution plans to apply the revenue change to your annual budget)

Cost of Goods Sold	_____
Salaries and Wages	1,447,880
Payroll Related Costs (e.g., Benefits)	304,960
Professional Fees and Services	_____
Travel	_____
Materials and Supplies	27,040
Communications and Utilities	_____
Repairs and Maintenance	_____
Rentals and Leases	_____
Printing and Reproduction	20,280
Debt Service or Interest Expense	_____
Scholarships	648,960
Other _____ Insurance	795,680
Other _____	_____
Total for Fiscal Year	3,244,800

7 Fiscal Year-End Fund Balances	2013	2012	2011
Education and General Fund	\$ 3,361,648	\$ 1,311,938	\$ 10,148,411
Designated Fund	\$ 18,800,162	\$ 14,922,282	\$ 5,496,709
Auxiliary Fund	\$ (16,170)	\$ 625,259	\$ 866,746

SHSU: Mandatory Tuition and Fees Fall 2015 Semester

	<u>From</u>	<u>To</u>	<u>Per</u>	<u>Effective</u>
1. Designated Tuition - Undergraduate	\$154.00	\$165.50	SCH	Fall 2015
2. Library Fee - Undergraduate	\$5.00	\$7.00	SCH	Fall 2015
3. International Education Fee	\$4.00	\$2.00	Student	Summer 2015

Explanation

1. This increase will allow SHSU to continue to recruit and retain exceptional faculty, expand programs and services, and cover fixed cost increases and other operating cost increases that are driven by enrollment growth. Incremental investment in new programs, such as Allied Health, continue to challenge institutional capacity to sustain instructional activities in other areas. These funds will enable institutional agility in responding to changes in student demand for higher education driven by enrollment growth and programmatic expansion.
2. This fee increase will support acquisition of library materials and release HEAF funds to address infrastructure and other capital needs.
3. The International Education fee is currently assessed at \$2 per term for fall and spring, but at \$4 for the summer term. This change is needed to ensure cost is consistent across all terms.

**Texas State University System
Request for Tuition or Fee Change**

Section

(Submit a separate form for each proposed Tuition or Fee Increase.)

1 Institution Sam Houston State University **Effective Date** Fall 2015

2 Type of Revenue Designated Tuition - Undergraduate
(Designated Tuition, Student Service Fee, Athletic Fee, Residence Hall Rent, Meal Plan, Laboratory Fee, etc.)

3 Justification

This increase will allow SHSU to continue to recruit and retain exceptional faculty, expand programs and services, cover fixed cost increases and other operating cost increases that are driven by enrollment growth.

4 What Cost-Reduction Initiatives did your Institution consider before deciding to request the increase?

The university has increased its student to faculty ratio. Additional program and enrollment growth would require unsustainable increases in this ratio.

5 Details	(A)	(B)	(C)	(D)	(E)	(F)
	<i>Current Rate</i>	<i>Proposed Rate</i>	<i>% Change</i>	<i>Type of Revenue Unit (SCH, Student, etc.)</i>	<i>Number of Revenue Units (SCH, Students, etc.)</i>	<i>Revenue from Proposed Change Cols ((B)-(A)) x Col E</i>
Fall	\$154	\$165.50	7.50%	SCH	213,899	\$ 2,459,839
Spring	\$154	\$165.50	7.50%	SCH	192,208	\$ 2,210,392
Summer	\$154	\$165.50	7.50%	SCH	39,568	\$ 455,032
Total for Fiscal Year						<u>\$ 5,125,263</u>

6 Expenditure Plan
(Indicate how your institution plans to apply the revenue change to your annual budget)

Cost of Goods Sold	
Salaries and Wages	\$ 3,500,000
Payroll Related Costs (e.g., Benefits)	\$ 500,000
Professional Fees and Services	
Travel	
Materials and Supplies	
Communications and Utilities	
Repairs and Maintenance	\$ 1,125,263
Rentals and Leases	
Printing and Reproduction	
Debt Service or Interest Expense	
Scholarships	
Other	
Other	
Total for Fiscal Year	
	<u>\$ 5,125,263</u>

7 Fiscal Year-End Fund Balances	2014	2013	2012
Education and General Fund			
Designated Fund	\$ 9,696,172.58	\$ 8,434,767.78	\$ 13,943,998.46
Auxiliary Fund			

**Texas State University System
Request for Tuition or Fee Change**

Section

(Submit a separate form for each proposed Tuition or Fee Increase.)

1 Institution **Effective Date**

2 Type of Revenue
(Designated Tuition, Student Service Fee, Athletic Fee, Residence Hall Rent, Meal Plan, Laboratory Fee, etc.)

3 Justification

This fee increase will support acquisition of library materials and release HEAF funds to address infrastructure and other capital needs.

4 What Cost-Reduction Initiatives did your Institution consider before deciding to request the increase?

Institutional needs for facilities maintenance require this transition. Without this funding the Library would need to dramatically revise the size and scope of current publications holdings.

5 Details	(A)	(B)	(C)	(D)	(E)	(F)
	<i>Current Rate</i>	<i>Proposed Rate</i>	<i>% Change</i>	<i>Type of Revenue Unit (SCH, Student, etc.)</i>	<i>Number of Revenue Units (SCH, Students, etc.)</i>	<i>Revenue from Proposed Change Cols ((B)-(A)) x Col E</i>
Fall	\$5	\$7	40%	SCH	213,899	\$ 427,798
Spring	\$5	\$7	40%	SCH	192,208	\$ 384,416
Summer	\$5	\$7	40%	SCH	39,568	\$ 79,136
Total for Fiscal Year						<u>\$ 891,350</u>

6 Expenditure Plan
(Indicate how your institution plans to apply the revenue change to your annual budget)

Cost of Goods Sold	_____	
Salaries and Wages	_____	
Payroll Related Costs (e.g., Benefits)	_____	
Professional Fees and Services	_____	
Travel	_____	
Materials and Supplies	_____	
Communications and Utilities	_____	
Repairs and Maintenance	_____	
Rentals and Leases	_____	
Printing and Reproduction	_____	
Debt Service or Interest Expense	_____	
Scholarships	_____	
Other: Library Materials	\$ 891,350	
Other	_____	
Total for Fiscal Year		<u>\$ 891,350</u>

7 Fiscal Year-End Fund Balances	2014	2013	2012
Education and General Fund	\$ 348,369.94	\$ 465,602.78	\$ 412,097.15
Designated Fund			
Auxiliary Fund			

Texas State University System
Request for Tuition or Fee Change

Section

(Submit a separate form for each proposed Tuition or Fee Increase.)

1 Institution Sam Houston State University Effective Date Fall 2015

2 Type of Revenue International Education Fee
(Designated Tuition, Student Service Fee, Athletic Fee, Residence Hall Rent, Meal Plan, Laboratory Fee, etc.)

3 Justification

The International Education Fee is currently assessed at \$2 per term for fall and spring, but at \$4 for the summer term. This change is needed to ensure cost is consistent across all terms.

4 What Cost-Reduction Initiatives did your Institution consider before deciding to request the increase?

5 Details	(A) <i>Current Rate</i>	(B) <i>Proposed Rate</i>	(C) <i>% Change</i>	(D) <i>Type of Revenue Unit (SCH, Student, etc.)</i>	(E) <i>Number of Revenue Units (SCH, Students, etc.)</i>	(F) <i>Revenue from Proposed Change Cols ((B)-(A)) x Col E</i>
Fall						\$ -
Spring						\$ -
Summer	\$4	\$2	-50%	Student	7968	\$ (15,936)
Total for Fiscal Year						<u>\$ (15,936)</u>

6 Expenditure Plan
(Indicate how your institution plans to apply the revenue change to your annual budget)

Cost of Goods Sold	_____
Salaries and Wages	_____
Payroll Related Costs (e.g., Benefits)	_____
Professional Fees and Services	_____
Travel	_____
Materials and Supplies	_____
Communications and Utilities	_____
Repairs and Maintenance	_____
Rentals and Leases	_____
Printing and Reproduction	_____
Debt Service or Interest Expense	_____
Scholarships	\$ (15,936)
Other _____	_____
Other _____	_____
Total for Fiscal Year	
	<u>\$ (15,936)</u>

7 Fiscal Year-End Fund Balances	2014	2013	2012
Education and General Fund	\$ 86,763.65	\$ 72,405.20	\$ 70,819.21
Designated Fund	_____	_____	_____
Auxiliary Fund	_____	_____	_____

SRSU-Alpine: Mandatory Tuition and Fee Increases – Fall 2015 Semester

	<u>From</u>	<u>To</u>	<u>Per</u>	<u>Effective</u>
1. Designated Tuition	\$116	\$125	SCH	Fall 2015
2. Technology Services	\$17	\$17.50	SCH	Fall 2015
3. Athletic Fee	\$6	\$6.52	SCH	Fall 2015
4. Recreational Sports	\$58	\$63	Student	Fall 2015

Explanation

- 1. Designated Tuition:** Revenue from this fee is used to cover the costs of services, use and/or availability of all or any of the University's property, activities, operations or other facilities. This fee is used to provide general support for the different budget areas of the University. The University maintains a long term allocation plan for the use of proceeds from this fee. This additional increase will be used primarily to help provide a pay raise for faculty and staff. A financial aid set aside of 20% is also required. Public hearings were held on October 14, 2014 to discuss the proposed increase in this fee and to solicit student input.
- 2. Technology Services:** Revenue from this fee is used to fund SRSU-Alpine's share of the outsourced technology management and related services contract. The cost of the service is shared proportionately by SRSU-Alpine and SRSU-Rio Grande College. This increase will allow revenue from this fee to cover inflation adjustments and other added costs to the contract.
- 3. Athletic Fee:** Revenue from this fee is used to supplement the intercollegiate athletic programs. Proceeds from this increase will be used to help support the increased operating costs of the programs, including equipment replacement and supplies. The summer athletic fee will remain at \$4.50 per SCH.
- 4. Recreational Sports:** Revenue from this fee is used to fund the operations of the Recreational Sports Center. Proceeds from this increase will be used to help support the increased operating costs of the center, including equipment replacement and increased programming.

Texas State University System
Request for Tuition or Fee Change

Section

(Submit a separate form for each proposed Tuition or Fee Change.)

1 Institution Sul Ross State University - Alpine Effective Date Fall 2015

2 Type of Revenue Designated Tuition
(Designated Tuition, Student Service Fee, Athletic Fee, Residence Hall Rent, Meal Plan, Laboratory Fee, etc.)

3 Justification A \$9 increase in the Designated Tuition rate is being requested for SRSU-Alpine. This increase is approximately 7.8% over the amount of the Fall 2014 rate. Overall cost increase to students taking 15 SCH will be 4.5%. These funds are utilized to support general university operations including personnel, technology, utilities, and maintenance and operations across all fund groups. Added revenue from this fee increase will be used to help provide a pay raise to faculty and staff. In addition, 20% of this revenue is required to be set aside for financial aid.

4 What Cost-Reduction Initiatives did your Institution consider before deciding to request the increase?

The flexible hiring freeze continues with each vacancy requiring justification and approval by the Executive Council, utilities costs have been reduced through the full completion of the central boiler replacement, travel and M&O budgets have been maintained at constant levels, and faculty staff pay raises have been modest.

5 Details	(A)	(B)	(C)	(D)	(E)	(F)
	<i>Current Rate</i>	<i>Proposed Rate</i>	<i>% Change</i>	<i>Type of Revenue Unit (SCH, Student, etc.)</i>	<i>Number of Revenue Units (SCH, Students, etc.)</i>	<i>Revenue from Proposed Change Cols ((B)-(A)) x Col E</i>
Fall	116	125	7.8%	SCH	19,804	\$ 178,236.00
Spring	116	125	7.8%	SCH	17,484	\$ 157,356.00
Summer I	116	125	7.8%	SCH	3,144	\$ 28,296.00
Summer II	116	125	7.8%	SCH	2,662	\$ 23,958.00
Total for Fiscal Year					43,094	\$ 387,846.00

6 Expenditure Plan

(Indicate how your institution plans to apply the revenue change to your annual budget)

Cost of Goods Sold	
Salaries and Wages	\$ 238,520
Payroll Related Costs (e.g., Benefits)	\$ 71,757
Professional Fees and Services	
Travel	
Materials and Supplies	
Communications and Utilities	
Repairs and Maintenance	
Rentals and Leases	
Printing and Reproduction	
Debt Service or Interest Expense	
Scholarships	
Other - Financial Aid Set Aside	\$ 77,569
Other	
Total for Fiscal Year	\$ 387,846

7 Fiscal Year-End Fund Balances

	2013	2012	2011
Education and General Fund	\$ 960,486	\$ 1,454,149	\$ 1,678,704
Designated Fund	\$ 5,744,151	\$ 4,653,096	\$ 3,224,286
Auxiliary Fund	\$ 1,476,869	\$ 1,784,533	\$ 1,624,206

Texas State University System
Request for Tuition or Fee Change

Section

(Submit a separate form for each proposed Tuition or Fee Change.)

1 Institution Sul Ross State University - Alpine Effective Date Fall 2015

2 Type of Revenue Recreational Sports
(Designated Tuition, Student Service Fee, Athletic Fee, Residence Hall Rent, Meal Plan, Laboratory Fee, etc.)

3 Justification A \$5 increase in the Recreational Sports Fee is being requested for SRSU-Alpine. This fee is assessed on a per semester basis. This increase is approximately 8.6% over the amount of the Fall 2014 rate. Overall cost increase to students taking 15 SCH will be 4.5%. These funds are utilized to support operations of the Graves Pierce Recreational Sports Center including personnel, equipment, maintenance and operations, and programming. Added revenue from this fee increase will be used to help provide funds for equipment replacement and programming.

4 What Cost-Reduction Initiatives did your Institution consider before deciding to request the increase?

The flexible hiring freeze continues with each vacancy requiring justification and approval by the Executive Council, utilities costs have been reduced through the full completion of the central boiler replacement, travel and M&O budgets have been maintained at constant levels, and faculty staff pay raises have been modest. Staffing at the center is being provided primarily through the use of student employees.

5 Details	(A)	(B)	(C)	(D)	(E)	(F)
	Current Rate	Proposed Rate	% Change	Type of Revenue Unit (SCH, Student, etc.)	Number of Revenue Units (SCH, Students, etc.)	Revenue from Proposed Change Cols ((B)-(A)) x Col E
Fall	58	63	8.6%	Student	1,889	\$ 9,445.00
Spring	58	63	8.6%	Student	1,682	\$ 8,410.00
Summer I	29	31.5	8.6%	Student	701	\$ 1,752.50
Summer II	29	31.5	8.6%	Student	615	\$ 1,537.50
Total for Fiscal Year					4,887	\$ 21,145.00

6 Expenditure Plan

(Indicate how your institution plans to apply the revenue change to your annual budget)

Cost of Goods Sold	_____	
Salaries and Wages	_____	
Payroll Related Costs (e.g., Benefits)	_____	
Professional Fees and Services	_____	
Travel	_____	
Materials and Supplies	_____	
Communications and Utilities	_____	
Repairs and Maintenance	\$ 21,145	
Rentals and Leases	_____	
Printing and Reproduction	_____	
Debt Service or Interest Expense	_____	
Scholarships	_____	
Other - Financial Aid Set Aside	_____	
Other	_____	
Total for Fiscal Year		\$ 21,145

7 Fiscal Year-End Fund Balances

	2013	2012	2011
Education and General Fund	\$ 960,486	\$ 1,454,149	\$ 1,678,704
Designated Fund	\$ 5,744,151	\$ 4,653,096	\$ 3,224,286
Auxiliary Fund	\$ 1,476,869	\$ 1,784,533	\$ 1,624,206

Texas State University System
Request for Tuition or Fee Change

Section

(Submit a separate form for each proposed Tuition or Fee Change.)

1 Institution Sul Ross State University - Alpine Effective Date Fall 2015

2 Type of Revenue Technology Services
(Designated Tuition, Student Service Fee, Athletic Fee, Residence Hall Rent, Meal Plan, Laboratory Fee, etc.)

3 Justification A \$.50 increase in the Technology Services charge is being requested for SRSU-Alpine. This increase is approximately 2.9% over the amount of the Fall 2014 rate. Overall cost increase to students taking 15 SCH will be 4.5%. These funds are utilized to support the technology management and related services contract shared proportionally by SRSU Alpine and SRSU RGC. Added revenue from this fee increase will be used to help cover inflation adjustments and other added costs to the contract.

4 What Cost-Reduction Initiatives did your Institution consider before deciding to request the increase?
The original technology management contract has been reduced in recent years with many of the services now managed inhouse. This has allowed us to reduce the dependency on Designated Tuition to fund the cost of the contract. CPI adjustments have been held to the contract minimum.

5 Details	(A)	(B)	(C)	(D)	(E)	(F)
	<i>Current Rate</i>	<i>Proposed Rate</i>	<i>% Change</i>	<i>Type of Revenue Unit (SCH, Student, etc.)</i>	<i>Number of Revenue Units (SCH, Students, etc.)</i>	<i>Revenue from Proposed Change Cols ((B)-(A)) x Col E</i>
Fall	17	17.5	2.9%	SCH	19,804	\$ 9,902.00
Spring	17	17.5	2.9%	SCH	17,484	\$ 8,742.00
Summer I	17	17.5	2.9%	SCH	3,144	\$ 1,572.00
Summer II	17	17.5	2.9%	SCH	2,662	\$ 1,331.00
Total for Fiscal Year					43,094	\$ 21,547.00

6 Expenditure Plan
(Indicate how your institution plans to apply the revenue change to your annual budget)

Cost of Goods Sold	_____
Salaries and Wages	_____
Payroll Related Costs (e.g., Benefits)	_____
Professional Fees and Services	\$ 21,547
Travel	_____
Materials and Supplies	_____
Communications and Utilities	_____
Repairs and Maintenance	_____
Rentals and Leases	_____
Printing and Reproduction	_____
Debt Service or Interest Expense	_____
Scholarships	_____
Other - Financial Aid Set Aside	_____
Other	_____
Total for Fiscal Year	\$ 21,547

7 Fiscal Year-End Fund Balances	2013	2012	2011
Education and General Fund	\$ 960,486	\$ 1,454,149	\$ 1,678,704
Designated Fund	\$ 5,744,151	\$ 4,653,096	\$ 3,224,286
Auxiliary Fund	\$ 1,476,869	\$ 1,784,533	\$ 1,624,206

Texas State University System
Request for Tuition or Fee Change

Section

(Submit a separate form for each proposed Tuition or Fee Change.)

1 Institution Sul Ross State University - Alpine Effective Date Fall 2015

2 Type of Revenue Athletic Fee
(Designated Tuition, Student Service Fee, Athletic Fee, Residence Hall Rent, Meal Plan, Laboratory Fee, etc.)

3 Justification A \$.52 increase in the Technology Services charge is being requested for SRSU-Alpine. This increase is approximately 8.7% over the amount of the Fall 2014 rate. Overall cost increase to students taking 15 SCH will be 4.5%. These funds are utilized to supplement the intercollegiate athletic programs. Added revenue from this fee increase will be used to help cover increased operating costs of the programs, including equipment replacement and supplies. The summer athletic fee will remain at \$4.50 per SCH.

4 What Cost-Reduction Initiatives did your Institution consider before deciding to request the increase?
The athletics program budgets have been at minimum levels until the passage of the athletic fee by student election. Necessary increases to budgets for each sport were accomplished through this fee. However, annual equipment upgrades are necessary in order to maintain viable programs.

5 Details	(A)	(B)	(C)	(D)	(E)	(F)
	<i>Current Rate</i>	<i>Proposed Rate</i>	<i>% Change</i>	<i>Type of Revenue Unit (SCH, Student, etc.)</i>	<i>Number of Revenue Units (SCH, Students, etc.)</i>	<i>Revenue from Proposed Change Cols ((B)-(A)) x Col E</i>
Fall	6	6.52	8.7%	SCH	19,804	\$ 10,298.08
Spring	6	6.52	8.7%	SCH	17,484	\$ 9,091.68
Summer I	4.5	4.5	0.0%	SCH	3,144	\$ -
Summer II	4.5	4.5	0.0%	SCH	2,662	\$ -
Total for Fiscal Year					43,094	\$ 19,389.76

6 Expenditure Plan
(Indicate how your institution plans to apply the revenue change to your annual budget)

Cost of Goods Sold	_____
Salaries and Wages	_____
Payroll Related Costs (e.g., Benefits)	_____
Professional Fees and Services	_____
Travel	_____
Materials and Supplies	\$ 19,389.76
Communications and Utilities	_____
Repairs and Maintenance	_____
Rentals and Leases	_____
Printing and Reproduction	_____
Debt Service or Interest Expense	_____
Scholarships	_____
Other - Financial Aid Set Aside	_____
Other	_____
Total for Fiscal Year	\$ 19,389.76

7 Fiscal Year-End Fund Balances	2013	2012	2011
Education and General Fund	\$ 960,486	\$ 1,454,149	\$ 1,678,704
Designated Fund	\$ 5,744,151	\$ 4,653,096	\$ 3,224,286
Auxiliary Fund	\$ 1,476,869	\$ 1,784,533	\$ 1,624,206

SRSU-RGC: Mandatory Tuition and Fee Increases – Fall 2015 Semester

	<u>From</u>	<u>To</u>	<u>Per</u>	<u>Effective</u>
1. Designated Tuition	\$72	\$78	SCH	Fall 2015
2. Technology Services	\$17	\$18.12	SCH	Fall 2015

Explanation

- 1. Designated Tuition:** Revenue from this fee is used to cover the costs of services, use and/or availability of all or any of the University's property, activities, operations or other facilities. This fee is used to provide general support for the different budget areas of the University. The University maintains a long term allocation plan for the use of proceeds from this fee. This additional increase will be used primarily to help provide a pay raise for faculty and staff. A financial aid set aside of 20% is also required. Public hearings were held on October 14, 2014 to discuss the proposed increase in this fee and to solicit student input.
- 2. Technology Services:** Revenue from this fee is used to fund SRSU-Rio Grande College's share of the outsourced technology management and related services contract. The cost of the service is shared proportionately by SRSU-Alpine and SRSU-Rio Grande College. This increase will allow revenue from this fee to cover inflation adjustments and other added costs to the contract.

**Texas State University System
Request for Tuition or Fee Change**

Section

(Submit a separate form for each proposed Tuition or Fee Change.)

1 Institution Sul Ross State University - RGC **Effective Date** Fall 2015

2 Type of Revenue Designated Tuition
(Designated Tuition, Student Service Fee, Athletic Fee, Residence Hall Rent, Meal Plan, Laboratory Fee, etc.)

3 Justification A \$6 increase in the Designated Tuition rate is being requested for SRSU-RGC. This increase is approximately 8.3% over the amount of the Fall 2014 rate. Overall cost increase to students taking 15 SCH will be 4.5%. These funds are utilized to support general university operations including personnel, technology, utilities, and maintenance and operations across all fund groups. Added revenue from this fee increase will be used to help provide a pay raise to faculty and staff. In addition, 20% of this revenue is required to be set aside for financial aid.

4 What Cost-Reduction Initiatives did your Institution consider before deciding to request the increase?

The flexible hiring freeze continues with each vacancy requiring justification and approval by the Executive Council, lease space will be evaluated for the possibility of reducing either the cost or the amount of space leased. SRSU-RGC has made enhancements to its distance learning capabilities with the intent of providing more instruction via distance learning. M&O budgets have been maintained at constant levels.

5 Details	(A)	(B)	(C)	(D)	(E)	(F)
	<i>Current Rate</i>	<i>Proposed Rate</i>	<i>% Change</i>	<i>Type of Revenue Unit (SCH, Student, etc.)</i>	<i>Number of Revenue Units (SCH, Students, etc.)</i>	<i>Revenue from Proposed Change Cols ((B)-(A)) x Col E</i>
Fall	72	78	8.3%	SCH	6,784	\$ 40,704.00
Spring	72	78	8.3%	SCH	6,725	\$ 40,350.00
Summer I	72	78	8.3%	SCH	2,026	\$ 12,156.00
Summer II	72	78	8.3%	SCH	1,772	\$ 10,632.00
Total for Fiscal Year					17,307	<u>\$ 103,842.00</u>

6 Expenditure Plan

(Indicate how your institution plans to apply the revenue change to your annual budget)

Cost of Goods Sold	
Salaries and Wages	\$ 63,900
Payroll Related Costs (e.g., Benefits)	\$ 19,174
Professional Fees and Services	
Travel	
Materials and Supplies	
Communications and Utilities	
Repairs and Maintenance	
Rentals and Leases	
Printing and Reproduction	
Debt Service or Interest Expense	
Scholarships	
Other - Financial Aid Set Aside	\$ 20,768
Other	
Total for Fiscal Year	<u>\$ 103,842</u>

7 Fiscal Year-End Fund Balances

	2013	2012	2011
Education and General Fund	\$ 468,704	\$ 930,958	\$ 821,871
Designated Fund	\$ 2,504,816	\$ 2,026,134	\$ 2,702,758
Auxiliary Fund	\$ 899,862	\$ 942,980	\$ 1,534,364

Texas State University System
Request for Tuition or Fee Change

Section

(Submit a separate form for each proposed Tuition or Fee Change.)

1 Institution Sul Ross State University - RGC Effective Date Fall 2015

2 Type of Revenue Technology Services
(Designated Tuition, Student Service Fee, Athletic Fee, Residence Hall Rent, Meal Plan, Laboratory Fee, etc.)

3 Justification A \$1.12 increase in the Technology Services charge is being requested for SRSU-RGC. This increase is approximately 6.6% over the amount of the Fall 2014 rate. Overall cost increase to students taking 15 SCH will be 4.5%. These funds are utilized to support the technology management and related services contract shared proportionally by SRSU Alpine and SRSU RGC. Added revenue from this fee increase will be used to help cover inflation adjustments and other added costs to the contract.

4 What Cost-Reduction Initiatives did your Institution consider before deciding to request the increase?
The original technology management contract has been reduced in recent years with many of the services now managed inhouse. This has allowed us to reduce the dependency on Designated Tuition to fund the cost of the contract. CPI adjustments have been held to the contract minimum.

5 Details	(A)	(B)	(C)	(D)	(E)	(F)
	<i>Current Rate</i>	<i>Proposed Rate</i>	<i>% Change</i>	<i>Type of Revenue Unit (SCH, Student, etc.)</i>	<i>Number of Revenue Units (SCH, Students, etc.)</i>	<i>Revenue from Proposed Change Cols ((B)-(A)) x Col E</i>
Fall	17	18.12	6.6%	SCH	6,784	\$ 7,598.08
Spring	17	18.12	6.6%	SCH	6,725	\$ 7,532.00
Summer I	17	18.12	6.6%	SCH	2,026	\$ 2,269.12
Summer II	17	18.12	6.6%	SCH	1,772	\$ 1,984.64
Total for Fiscal Year					17,307	\$ 19,383.84

6 Expenditure Plan
(Indicate how your institution plans to apply the revenue change to your annual budget)

Cost of Goods Sold	_____
Salaries and Wages	_____
Payroll Related Costs (e.g., Benefits)	_____
Professional Fees and Services	\$ 19,383.84
Travel	_____
Materials and Supplies	_____
Communications and Utilities	_____
Repairs and Maintenance	_____
Rentals and Leases	_____
Printing and Reproduction	_____
Debt Service or Interest Expense	_____
Scholarships	_____
Other - Financial Aid Set Aside	_____
Other	_____
Total for Fiscal Year	\$ 19,383.84

7 Fiscal Year-End Fund Balances

	2013	2012	2011
Education and General Fund	\$ 468,704	\$ 930,958	\$ 821,871
Designated Fund	\$ 2,504,816	\$ 2,026,134	\$ 2,702,758
Auxiliary Fund	\$ 899,862	\$ 942,980	\$ 1,534,364

TxSt: Mandatory Tuition and Fee Increases – Fall 2015 Semester

	From	To	Per	Effective
Designated Tuition	\$188.68	\$201.21	SCH	Fall 2015
Library Fee	\$10.00	\$10.74	SCH	Fall 2015
Computer Service Fee	\$15.00	\$16.00	SCH	Fall S015
Student Service Fee	\$9.00	\$10.00	SCH*	Fall 2015

*The maximum Student Service Fee of \$90 per semester is not being increased. The increase in the hourly rate will only affect students taking 9 hours or less.

Explanation

Designated Tuition

The increase will allow Texas State University to provide a three percent merit pay raise pool for eligible faculty and staff in order to recruit and retain high quality employees, cover anticipated increases in health care benefits for employees and retirees, provide start-up packages for new faculty, particularly in the STEM fields, convert part-time faculty positions used to deal with immediate enrollment growth into full-time tenured and tenure-track positions, make our graduate assistant's pay more competitive with other Emerging Research Universities, and deal with routine inflationary increases in operating budgets.

Library Fee

It has been five years since Texas State increased the Library Fee. Additional funds will be used to increase collections as needed to achieve Association of Research Libraries status and to staff and support the Learning Commons and off-site research and archives repository.

Computer Service Fee

Texas State has not increased the Computer Service Fee in seven years. Additional revenue will be used to support ongoing network growth and internet bandwidth needs. Staffing has been frozen since the last fee increase, and enrollment growth and growth in the research enterprise have caused the need to hire additional full-time staff. Contracted annual hardware and software costs are averaging three percent increases per year as well.

Student Service Fee

The increase in the Student Service Fee is needed to continue existing programs and create additional programming to meet the demands of a growing student body. The vast majority of these programs are focused on student retention. Current staffing has not increased since 2003.

**Texas State University System
Request for Tuition or Fee Change**

Section

(Submit a separate form for each proposed Tuition or Fee Change.)

1 Institution Texas State University **Effective Date** Fall 2015

2 Type of Revenue Designated Tuition

3 Justification Texas State University is seeking approval for a \$12.53 increase in Designated Tuition for the fall semester 2015. The increase will be used for 3% merit pools for faculty and staff who are paid from General Revenue appropriations and statutory tuition as well as Designated tuition. It will also be used to fund other salary and benefit increases, new faculty positions and start-up packages, and routine inflationary operating increases.

4 What Cost-Reduction Initiatives did your Institution consider before deciding to request the increase?

We considered delaying the employment of additional faculty and increases to their start-up packages, but to do so would delay our progress toward achieving NRU status. We considered cutting academic and administrative departments' operating budgets, but with 2,300 more students than two years ago that is not possible.

5 Details	(A)	(B)	(C)	(D)	(E)	(F)
	<i>Current Rate</i>	<i>Proposed Rate</i>	<i>% Change</i>	<i>Type of Revenue Unit</i>	<i>Number of Revenue Units</i>	<i>Revenue from Proposed Change</i>
Fall	188.68	201.21	0.06641	SCH	414,646	\$5,195,514
Spring	188.68	201.21	0.06641	SCH	378,051	4,736,979
Summer	188.68	201.21	0.06641	SCH	70,303	880,897
Total for Fiscal Year					863,000	<u>\$10,813,390</u>

6 Expenditure Plan The revenue from Section 4 will be spent among the following where needed.

Salary Issues including 3% pay raise, faculty tenure and promotion, librarian career ladder, and increase in faculty salaries	\$6,996,400
Benefit cost increases	1,827,864
New faculty positions and start up packages	2,000,000
Routine growth including custodial contract, achievement scholarships, academic department operating budgets and TSUS	1,432,141
Financial Aid Set Aside	2,162,678
Total for Fiscal Year	\$14,419,083

7 Fiscal Year-End Fund Balances

	2014	2013	2012
Education and General Fund	\$2,473,882	\$2,855,576	\$1,844,918
Designated Fund	\$88,227,356	\$83,912,716	\$79,595,319
Auxiliary Fund	\$41,330,731	\$48,267,145	\$46,486,227

preliminary

**Texas State University System
Request for Tuition or Fee Change**

Section

(Submit a separate form for each proposed Tuition or Fee Change.)

1 Institution Texas State University **Effective Date** Fall 2015

2 Type of Revenue Library Fee

3 Justification Texas State University is seeking approval for a \$0.74 increase in Library Fee rate. An increase is needed to keep up with merit raises, fringe benefits, and insurance increases. Additional staffing is needed for Learning Commons as well as off-site research and archives repository. Our collection development effort is seriously lagging behind in real dollar terms given historical inflation rates on collection acquisitions of 4%+.

4 What Cost-Reduction Initiatives did your Institution consider before deciding to request the increase?

Library Fee has not been increased since Fiscal Year 2010. Increasing uasage of the Library by a growing student body preclude reducing any budgets funded by this fee.

5 Details	(A)	(B)	(C)	(D)	(E)	(F)
	<i>Current Rate</i>	<i>Proposed Rate</i>	<i>% Change</i>	<i>Type of Revenue Unit</i>	<i>Number of Revenue Units</i>	<i>Revenue from Proposed Change</i>
Fall	\$10.00	\$10.74	7.40%	SCH	414,646	\$306,838
Spring	\$10.00	\$10.74	7.40%	SCH	378,051	\$279,758
Summer	\$10.00	\$10.74	7.40%	SCH	70,303	\$52,024
Total for Fiscal Year					863,000	<u>\$638,620</u>

6 Expenditure Plan

Merit, fringe and insurance increases	\$59,000
Library acquisitions inflation	329,620
Off-site research and archives repository staff and support	150,000
Learning Commons support	100,000
Total for Fiscal Year	<u>\$638,620</u>

7 Fiscal Year-End Fund Balances

	2014	2013	2012
Education and General Fund	\$2,473,882	\$2,855,576	\$1,844,918
Designated Fund	\$88,227,356	\$83,912,716	\$79,595,319
Auxiliary Fund	\$41,330,731	\$48,267,145	\$46,486,227

preliminary

**Texas State University System
Request for Tuition or Fee Change**

Section

(Submit a separate form for each proposed Tuition or Fee Change.)

1 Institution Texas State University Effective Date Fall 2015

2 Type of Revenue Computer Service Fee

3 Justification Texas State University is seeking approval for a \$1 increase in Computer Service Fee rate. An increase is needed to keep up with merit raises, fringe benefits, and insurance increases, to support ongoing network growth, and commodity internet bandwidth needs, and contracted hardware and software maintenance escalators. Permanent staffing is needed for online course development, .net programming, and the ePortfolio project.

4 What Cost-Reduction Initiatives did your Institution consider before deciding to request the increase?

Computer Use Fee has not been increased since Fiscal Year 2008. Information technology cost increases and usage increases from a growing student body preclude cuts to existing budgets funded by this fee.

5 Details	(A)	(B)	(C)	(D)	(E)	(F)
	<i>Current Rate</i>	<i>Proposed Rate</i>	<i>% Change</i>	<i>Type of Revenue Unit</i>	<i>Number of Revenue Units</i>	<i>Revenue from Proposed Change</i>
Fall	\$15.00	\$16.00	6.67%	SCH	414,646	\$414,646
Spring	\$15.00	\$16.00	6.67%	SCH	378,051	\$378,051
Summer	\$15.00	\$16.00	6.67%	SCH	70,303	\$70,303
Total for Fiscal Year					863,000	<u>\$863,000</u>

6 Expenditure Plan

Merit, fringe and insurance increases	<u>\$313,000</u>
Permanent salaries for temporarily funded positions	
On-line course development	<u>226,000</u>
ePortfolio support and training	<u>192,000</u>
Hardware/Software mainenance increases	<u>60,000</u>
Network infrastructure upgrades	<u>72,000</u>
Total for Fiscal Year	<u>\$863,000</u>

7 Fiscal Year-End Fund Balances

	2014	2013	2012
Education and General Fund	\$2,473,882	\$2,855,576	\$1,844,918
Designated Fund	\$88,227,356	\$83,912,716	\$79,595,319
Auxiliary Fund	\$41,330,731	\$48,267,145	\$46,486,227

preliminary

Texas State University System
Request for Tuition or Fee Change

Section

(Submit a separate form for each proposed Tuition or Fee Change.)

1 Institution Texas State University Effective Date Fall 2015

2 Type of Revenue Student Service Fee

3 Justification Texas State University is seeking approval for a \$1 increase in Student Service Fee rate while retaining the current \$90 maximum. An increase is needed to keep up with merit raises, fringe benefits, and insurance increases as well enhance current programming and create additional programs.

4 What Cost-Reduction Initiatives did your Institution consider before deciding to request the increase?

Student Service Fee has not been increased since Fiscal Year 2007. The primary mission of areas funded by this fee is student retention and success which takes many hours of in-person contact to be most effective. After a review of Student Service Fee programming, we did move two achievement scholarships and some budget for other programs to Designated Tuition.

5 Details	(A)	(B)	(C)	(D)	(E)	(F)
	<i>Current Rate</i>	<i>Proposed Rate</i>	<i>% Change</i>	<i>Type of Revenue Unit</i>	<i>Number of Revenue Units</i>	<i>Revenue from Proposed Change</i>
Fall	\$9.00	\$10.00	11.11%	SCH	51,342	\$51,342
Spring	\$9.00	\$10.00	11.11%	SCH	46,875	\$46,875
Summer	\$9.00	\$10.00	11.11%	SCH	58,201	\$58,201
			b			
Total for Fiscal Year					156,418	\$156,418
					a	

- a The proposed increase would only increase the rate for students taking 9 semester credit hours or less.
- b There would be no impact on the cost to a student taking 15 SCH

6 Expenditure Plan

Salaries and Wages	\$100,373
Payroll Related Costs (e.g., Benefits)	56,045
Total for Fiscal Year	\$156,418

7 Fiscal Year-End Fund Balances

	2014	2013	2012
Education and General Fund	\$2,473,882	\$2,855,576	\$1,844,918
Designated Fund	\$88,227,356	\$83,912,716	\$79,595,319
Auxiliary Fund	\$41,330,731	\$48,267,145	\$46,486,227

preliminary

LIT – Mandatory Tuition and Fee Increases – Fall 2015 Semester

Fee	From	To	Per	Effective Date
Designated Tuition	\$69.90	\$77.47	SCH	Fall 2015

Explanation

Lamar Institute of Technology requests authorization to increase the fees listed above effective with the Fall 2015 semester. The total increase to mandatory tuition and fee cost to undergraduate students registered for 15 semester credit hours as a result of the above request is 4.5% generating \$450,067 in additional revenue.

Designated Tuition Fee: Lamar Institute of Technology proposes to increase its Designated Tuition Fee to generate additional funds to maintain the current levels of support for the academic instructional programs and support services. The financial aid set aside of 20% is required in this increase. A public hearing on the proposed designated tuition increase was held October 2014.

Texas State University System
Request for Tuition or Fee Change

Section

(Submit a separate form for each proposed Tuition or Fee Change.)

1 Institution Lamar Institute of Technology Effective Date Fall 2015

2 Type of Revenue Designated Tuition
(Designated Tuition, Student Service Fee, Athletic Fee, Residence Hall Rent, Meal Plan, Laboratory Fee, etc.)

3 Justification Increase to cover additional needs in operational and instructional budgets.

4 What Cost-Reduction Initiatives did your Institution consider before deciding to request the increase?
Budget reductions in operating costs, capital equipment, not filling vacant job positions, and travel reductions have all been considered and carried out before this decision was made.

5 Details	(A)	(B)	(C)	(D)	(E)	(F)
	<i>Current Rate</i>	<i>Proposed Rate</i>	<i>% Change</i>	<i>Type of Revenue Unit (SCH, Student, etc.)</i>	<i>Number of Revenue Units (SCH, Students, etc.)</i>	<i>Revenue from Proposed Change Cols ((B)-(A)) x Col E</i>
Fall	69.9	77.47	11%	SCH	27558	208,614
Spring	69.9	77.47	11%	SCH	26786	202,770
Summer I	69.9	77.47	11%	SCH	2946	22,301
Summer II	69.9	77.47	11%	SCH	2164	16,381
Total for Fiscal Year						450,067

6 Expenditure Plan
(Indicate how your institution plans to apply the revenue change to your annual budget)

Cost of Goods Sold	
Salaries and Wages	360054
Payroll Related Costs (e.g., Benefits)	
Professional Fees and Services	
Travel	
Materials and Supplies	
Communications and Utilities	
Repairs and Maintenance	
Rentals and Leases	
Printing and Reproduction	
Debt Service or Interest Expense	
Scholarships	
Other _Required set aside ____	90013
Other _____	
Total for Fiscal Year	450,067

7 Fiscal Year-End Fund Balances	2013	2012	2011
Education and General Fund	2,130,666	4,473,832	2,375,650
Designated Fund	5,901,135	5,704,882	3,482,288
Auxiliary Fund	2,786,032	2,140,996	1,841,328

LSC-O: Mandatory Tuition and Fee Increase – Fall 2015 Semester

<u>Fee</u>	<u>From</u>	<u>To</u>	<u>Effective</u>
Designated Tuition	\$68	\$74.90	Fall 2015

Explanation

Lamar State College – Orange requests authorization to increase the fee listed above effective Fall 2015. The overall Tuition and Mandatory Fee cost increase to full time students as a result of this change is 4.5%.

Designated Tuition: Designated Tuition provides general support for the operations of the college supplementing the E & G activities. A financial set aside of 20% is required. The proposed increase generates additional funds to improve support for the academic instructional programs, support services, salary increases and funding for faculty development.

**Texas State University System
Request for Tuition or Fee Change**

Section

(Submit a separate form for each proposed Tuition or Fee Change.)

1 Institution Lamar State College - Orange **Effective Date** Fall 2015

2 Type of Revenue Designated Tuition

(Designated Tuition, Student Service Fee, Athletic Fee, Residence Hall Rent, Meal Plan, Laboratory Fee, etc.)

3 Justification Designated tuition increase is requested to fund increased costs for flood insurance, system component charges, salary support and faculty development.

4 What Cost-Reduction Initiatives did your Institution consider before deciding to request the increase?

Appropriated budgets have not increased with increased demands on departments. Delays in filling critical positions and reducing travel are some cost reduction initiatives that have been implemented.

5 Details	(A)	(B)	(C)	(D)	(E)	(F)
	<i>Current Rate</i>	<i>Proposed Rate</i>	<i>% Change</i>	<i>Type of Revenue Unit (SCH, Student, etc.)</i>	<i>Number of Revenue Units (SCH, Students, etc.)</i>	<i>Revenue from Proposed Change Cols ((B)-(A)) x Col E</i>
Fall	68	74.9	10.15%	SCH	20,582	142,016
Spring	68	74.9	10.15%	SCH	19,767	136,392
Summer I	68	74.9	10.15%	SCH	5,787	39,930
Summer II						
Total for Fiscal Year						318,338

6 Expenditure Plan

(Indicate how your institution plans to apply the revenue change to your annual budget)

Cost of Goods Sold	
Salaries and Wages	234,670
Payroll Related Costs (e.g., Benefits)	
Professional Fees and Services	
Travel	
Materials and Supplies	20,000
Communications and Utilities	
Repairs and Maintenance	
Rentals and Leases	
Printing and Reproduction	
Debt Service or Interest Expense	
Scholarships	
Other _____ Set Aside	63,668
Other _____	
Total for Fiscal Year	318,338

7 Fiscal Year-End Fund Balances

	2013	2012	2011
Education and General Fund	941,980	1,798,088	1,933,060
Designated Fund	8,968,862	8,353,941	8,319,088
Auxiliary Fund	3,018,926	2,706,127	2,381,600

LSC-PA: Designated Tuition Increase

<u>Fee</u>	<u>From</u>	<u>To</u>	<u>Per</u>	<u>Effective</u>
Designated Tuition	\$69.92	\$77.86	SCH	Fall 2015

Explanation

Lamar State College-Port Arthur requests authorization to increase its Designated Tuition per the criteria proposed by System Office instructions. The overall Tuition cost increase to students as a result of this change is 4.50% in Fall 2015, generating a projected revenue of \$404,940 in new revenue.

Designated Tuition: Lamar State College Port Arthur proposes to increase its Designated Tuition rate to fund the costs of the college's services and operations which include supplementing E&G activities and provide general support for various areas campus wide. The financial aid set aside of 20% is required with this fee. A public hearing on the proposed designated tuition increase was held on October 17, 2014.

Texas State University System
Request for Tuition or Fee Change

Section

(Submit a separate form for each proposed Tuition or Fee Change.)

1 Institution Lamar State College Port Arthur Effective Date Fall 2015

2 Type of Revenue Designated Tuition
(Designated Tuition, Student Service Fee, Athletic Fee, Residence Hall Rent, Meal Plan, Laboratory Fee, etc.)

3 Justification Lamar State College Port Arthur is seeking approval for a \$7.94 increase in Designated Tuition per SCH for the Fall 2015 semester. The increase will be used to fund salaries for faculty and staff who are paid from General Revenue Appropriations and Statutory Tuition.

4 What Cost-Reduction Initiatives did your Institution consider before deciding to request the increase?

Current reductions have been inacted and further reductions are being considered for implementation in FY2016 in anticipation of General Revenue Appropriation reductions for the upcoming Biennium.

5 Details	(A)	(B)	(C)	(D)	(E)	(F)
	<i>Current Rate</i>	<i>Proposed Rate</i>	<i>% Change</i>	<i>Type of Revenue Unit (SCH, Student, etc.)</i>	<i>Number of Revenue Units (SCH, Students, etc.)</i>	<i>Revenue from Proposed Change Cols ((B)-(A)) x Col E</i>
Fall	69.92	77.86	11.36%	SCH	22,295	\$ 177,022
Spring	69.92	77.86	11.36%	SCH	20,543	\$ 163,111
Summer I	69.92	77.86	11.36%	SCH	5,615	\$ 44,583
Summer II	69.92	77.86	11.36%	SCH	2,547	\$ 20,223
Total for Fiscal Year						\$ 404,940

6 Expenditure Plan

(Indicate how your institution plans to apply the revenue change to your annual budget)

Cost of Goods Sold	
Salaries and Wages	404,940
Payroll Related Costs (e.g., Benefits)	
Professional Fees and Services	
Travel	
Materials and Supplies	
Communications and Utilities	
Repairs and Maintenance	
Rentals and Leases	
Printing and Reproduction	
Debt Service or Interest Expense	
Scholarships	
Other	
Other	
Total for Fiscal Year	\$ 404,940

7 Fiscal Year-End Fund Balances

	2013	2012	2011
Education and General Fund	823,007	449,505	836,844
Designated Fund	2,487,478	2,177,102	846,560
Auxiliary Fund	416,303	553,974	615,494

TSUS: Approval of Investment Policies and Adoption of Investment Policy Resolution

Upon motion of Regent _____, seconded by Regent _____, it was ordered that:

- a. The following Investment Policies of the Texas State University System dated November 2014 be approved:
 1. Texas State University System Endowment Funds Investment Policy
 2. Texas State University System Operating Funds Investment Policy

- b. and the accompanying Resolution to Acknowledge Review of Investment Policies be adopted.

Explanation

The Texas Public Funds Investment Act requires annual Board of Regents' adoption of the investment policies of the Texas State University System and requires the Board to adopt a written instrument by rule, order, ordinance or resolution indicating it has reviewed the investment policies.

BOARD OF REGENTS
of
THE TEXAS STATE UNIVERSITY SYSTEM

Austin, Texas

**RESOLUTION TO ACKNOWLEDGE
REVIEW OF INVESTMENT POLICIES AND STRATEGY**

WHEREAS, The Texas Public Funds Investment Act requires that the investment policies and strategies of each University System and its components be annually reviewed by its governing board; and

WHEREAS, the law also requires the governing body to adopt a written instrument stating that it has reviewed the investment policies and strategies;

NOW THEREFORE BE IT RESOLVED that the Texas State University System Board of Regents, by issuance of this Resolution, hereby approves the following investment policies and strategies of the Texas State University System and its components as presented November 6, 2014;

1. Texas State University System Endowment Funds Investment Policy
2. Texas State University System Operating Funds Investment Policy

and

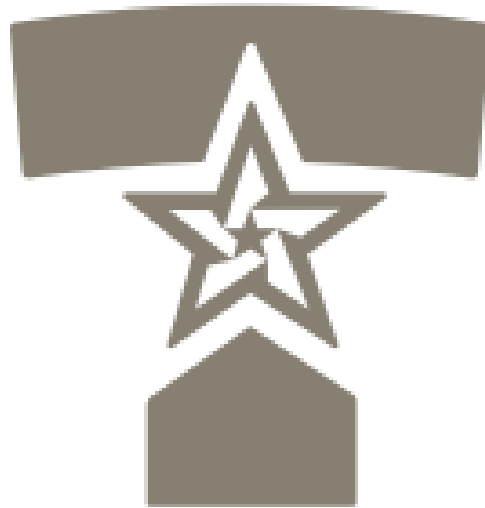
BE IT FURTHER RESOLVED that a copy of this resolution be incorporated within the minutes of the November 6-7, 2014 meeting of the Board.

Attest:

Donna Williams, Chairman

Brian McCall, Ph.D, Secretary

TEXAS STATE UNIVERSITY SYSTEM



Endowment Funds Investment Policy November 20134

Texas State University System Endowment Funds Investment Policy

I. DEFINITIONS

Texas State University System (“TSUS”) refers to System Administration, Lamar University, Sam Houston State University, Sul Ross State University, Texas State University-~~San Marcos~~, Lamar Institute of Technology, Lamar State College-Orange, Lamar State College-Port Arthur, and Sul Ross State University Rio Grande College.

II. POLICY AND OBJECTIVES

Endowment funds represent private funds including, but not limited to, gifts of property, stock, and real assets, donated to TSUS to provide funding for scholarships, fellowships, professorships, academic chairs, and other uses as specified by the donors. It is the policy of TSUS to invest endowment funds in compliance with the Uniform Prudent Management of Institutional Funds Act (UPMIFA), Texas Property Code Chapter 163.

This *Endowment Funds Investment Policy* (“Policy”) applies to endowment funds, including funds functioning as endowments held by TSUS and its components. Funds functioning as endowments (sometimes referred to as quasi-endowments) are used to report resources that the governing board, rather than the donor, has determined are to be retained and managed like an endowment. Principal and income of these funds may be utilized at the discretion of the governing board. Resources that the governing board sets aside to function as an endowment may be unrestricted or restricted by an agent outside the institution.

The endowment funds may be invested as authorized by this Policy and, for short periods, be placed in authorized TSUS depositories for the processing of receivables and disbursements.

This Policy is designed to fulfill the following objectives:

- provide security of invested principal;
- provide for appreciation of principal;
- provide a continuing and dependable cash payout within market constraints;
- provide for planned liquidity for anticipated cash flow purposes;
- manage market risks;
- maximize overall total return within the established risk constraints; and
- provide for diversification of investment assets.

The long term objective of the endowment is to preserve the intergenerational equity of the endowment while providing an appropriate current spending policy. All endowment funds will be managed by the ‘prudent person standard.’

Endowment funds should be invested to provide funding for scholarships, fellowships, professorships, academic chairs, and other uses as specified by donors. Investment of

University funds for endowments shall be accomplished in accordance with the following principles:

- There are two primary investment objectives. One is to provide a continual and dependable cash payout, stable and preferably growing in real terms, after giving effect to inflation. The second is to cause the total value of the funds to appreciate, over time, exclusive of growth derived from donations.
- The cash payout requirement for endowment funds shall be consistent and continuous. Income must be sufficient to provide an adequate cash stream to support the programs for which the endowments were created. In addition, the corpus of the endowment accounts should appreciate to insure preservation of purchasing power, and also to satisfy the need for future growth in payouts.
- Endowment funds will be invested to meet these objectives by maximizing total return consistent with an appropriate level of risk and subject to generation of adequate current income. Additionally, the investments shall be diversified to provide reasonable assurance that investment in a single security, a class of securities, or market sector will not have an excessive impact on the funds.

III. DELEGATION OF AUTHORITY AND RESPONSIBILITY

Board of Regents

The TSUS Board of Regents (“the Board”) retains ultimate responsibility for investments as fiduciaries of TSUS assets regardless of who is investing those assets. The Board shall:

- designate Investment Officers by resolution,
- at least annually, review and adopt this Policy,
- at least annually, review and adopt a list of qualified broker/dealers authorized to engage in investment transactions with TSUS,
- and at least quarterly, receive and review investment reports prepared in accordance with this Policy.

Investment Officers

The Chief Financial Officer (CFO) for System Administration and the CFO for each component are designated as Investment Officers for their respective entities by the Board. Additional Investment Officers may be designated by the Chancellor or the President of the component.

Investment Officers are responsible for investment management decisions and activities and all transactions undertaken.

~~The Investment Officers of the components shall establish and maintain (a) written administrative procedures and guidelines in support of this Policy for their respective~~

~~component, (b) distribution formulae/procedures for the funds, and (c) internal controls in support of this Policy. A copy of the current procedures shall be provided to the CFO for System Administration no later than November 1 of each year.~~

Each Investment Officer must attend five hours of investment training within six months of assuming the position and not less than once each state fiscal biennium. The Board acknowledges investment act training alternatives as designated by the Texas Higher Education Coordinating board or the Texas Society of Certified Public Accountants (Texas CPA investment training continuing education units) as acceptable sources of complying with section 2256.007(d) of the Public Funds Investment Act. ~~System Administration shall prepare a report to the Board of any changes made to the Act impacting TSUS not later than the 180th day after the last day of each regular session of the Texas Legislature [2256.007(d)].~~

Every Investment Officer shall disclose any personal or business relationship with any business organization engaging in an investment transaction with TSUS. An Investment Officer who is related within the second degree by affinity or consanguinity to an individual seeking to sell an investment to TSUS shall file a statement disclosing that relationship. For components, the disclosure shall be filed with the component President and the Board. For System Administration, the disclosure shall be filed with the Chancellor and the Board. No Investment Officer of TSUS may accept anything of value in connection with investment transactions.

The following reporting requirements apply:

1. An Investment Officer related within the second degree by affinity or consanguinity to an individual seeking to sell an investment to TSUS shall file a statement disclosing that relationship;
2. An Investment Officer having a business relationship of any nature with an individual seeking to sell an investment to TSUS shall file a statement disclosing that relationship;
3. The disclosure shall be filed with the Board and the component President or with the Chancellor if the applicable Investment Officer is the CFO for System Administration.

No officer or designee may engage in an investment transaction except as provided under terms of this Policy. No Investment Officer or employee of TSUS may accept anything of value from counter-parties or others in connection with investment transactions.

Investment Consultant

System Administration and the components may contract with an Investment Consultant ("Consultant") registered under the Investment Advisers Act of 1940 (15 U.S.C. Section 80b-1 et seq.) to provide for management of the endowment funds.

The Consultant must certify to the receipt and review of this Policy; it will act in accordance with this Policy; and it will provide a copy of its most current ADV and ADV brochure to the CFO for System Administration and the CFO(s) for the component(s)

that it advises.

The Investment Consultant's responsibilities are to the System Administration and the components it advises. Additionally, the Consultant will:

- Assist in the development and implementation of investment policies, objectives, and guidelines to submit to the Board for approval each year,
- Prepare an asset allocation analysis and recommend an asset allocation strategy with respect to the Endowment's objectives,
- Review Investment Managers, including search, selection, and recommendation to the Investment Officer,
- Prepare and present performance evaluation reports in accordance to established investment standards,
- Make recommendations as to mutual funds,
- Review contracts and fees for both current and proposed Investment Managers, and;
- Communicate investment policies and objectives to managers, monitoring those strategies, and notifying the Investment Officer of any significant changes in portfolio managers, litigation, or violation of securities regulations.

Investment Manager(s)

System Administration and the components may contract with an ~~i~~investment ~~M~~anager(s)~~ment firm~~ registered under the Investment Advisers Act of 1940 (15 U.S.C. Section 80b-1 et seq). For the purpose of this Policy, mutual funds are not considered Investment Managers. A contract made under authority of this subsection may not be for a term longer than two years. A renewal or extension of the contract must be made by the governing body of the investing entity by order or resolution.

The ~~i~~investment ~~M~~anager(s)~~ment firm~~ must certify to the receipt and review of this Policy; it will act in accordance with the Policy; and it will provide the most current ADV and ADV brochure to ~~the CFO for System Administration and~~ the Investment Officer~~CFO(s)~~ for the component(s) that it advises.

All ~~i~~investment ~~M~~anagers have a fiduciary responsibility to make a good faith determination that commissions paid to a broker are reasonable and competitive. All ~~i~~investment ~~M~~anagers shall treat the accounts they manage as discretionary accounts and have authority to act for Investment Officers. The ~~M~~anagers have the authority to make investment decisions for the purpose of placing orders to effect any purchase, sale, exchange, liquidation or other investment of the assets in the accounts, within their asset class, and according to this Policy, without obtaining prior approval.

TSUS requests that all orders for transactions of account assets be placed in such markets and through such brokers as shall offer the most favorable price, execution and commission cost of each order (best execution).

TSUS acknowledges that ~~i~~investment ~~M~~anagers may from time to time and in accordance with applicable law pay commissions to brokers that are higher than those that might be obtainable elsewhere in order to obtain research and other services provided by such

brokers in the expectation to enhance the long-term value of the account. The Investment Officers shall try to negotiate the contract without the use of these soft dollar arrangements. In the event that the soft dollar arrangements remain as part of the contract, ~~the Investment Consultant shall review, at least annually, the investment managers' soft dollar practices and any soft dollar purchases.~~ The soft dollar purchases shall be aggregated and a report provided to the Investment Officers on an annual basis.

The use of soft dollars by an Investment Manager in the portfolio must be disclosed under the investment disclosure requirements section on the component's webpage.

Investment Custodians:

The Investment Custodians are responsible for the safekeeping of the Endowment Fund's assets. Their responsibilities are to:

- Provide timely and accurate reports detailing investment holdings, including:
 - statement of all securities and other assets held,
 - statement of all receipts, sales, redemptions, and principal payments,
 - statement of all distributions, expenses paid, purchases,
 - statement of all income, and;
 - establish and maintain separate accounts for each Investment Manager of the Endowment, and;
- Provide all normal custodian functions, including but not limited to:
 - security safekeeping,
 - collection of income,
 - settlement of trades,
 - collection of proceeds of maturing securities, and
 - daily investment of available cash.

IV. STANDARD OF CARE

The 'prudent person standard' shall be the standard used in all investment functions and shall be applied in the context of individual transactions as well as management of the overall portfolio. Accordingly, all investments shall be made with judgment and care, under circumstances then prevailing, which persons of prudence, discretion, and intelligence exercise in the management of their own affairs, not for speculation, but for investment, emphasizing the probable safety of their capital as well as the expected income to be derived.

All investments shall be consistent with this Policy. Investment Officers shall not be held personally liable for a specific security's credit risk or market value change as long as actions were in accordance with this Policy; unexpected deviations were reported to the President and CFO for System Administration in a timely manner; and all appropriate actions were taken to control adverse developments.

V. INSTITUTIONAL INVESTMENT PROCEDURES ~~INTERNAL CONTROLS~~

The Investment Officer(s) of each component shall establish written procedures supporting this Policy and promoting internal control. ~~These procedures shall be reviewed annually and a copy of the procedures sent to the CFO for System Administration no later than November 1 of each year. If an institution does not have endowment funds, the requirements for written procedures do not apply.~~

System Administration and each component shall pursue an active portfolio management strategy for endowment funds. The Investment Officer and/or ~~i~~investment Consultant will regularly monitor the contents of the portfolio, the available markets, and the relative value of competing instruments to adjust the portfolio in response to market conditions. Quarterly reviews of performance shall be made by the Investment Officers.

~~The Investment Officers of the components shall establish and maintain (a) written administrative procedures and guidelines in support of this Policy for their respective component, (b) distribution formulae/procedures for the funds, and (c) internal controls in support of this Policy. A copy of the current procedures shall be provided to the CFO for System Administration no later than November 1 of each year.~~

All components shall incorporate the following specific controls for non-investment manager traded assets, as further defined by this Policy, into their operating procedures:

- all securities will be settled delivery versus payment (DVP) into the component's designated depository or custodian bank,
- all transactions will be made on a competitive basis,
- no securities will be custodied or held for safekeeping with a broker/dealer, every transaction will be documented for accounting information, and security description,
- trade confirmations will be maintained as part of the audit trail,
- all transaction documentation will be completed within five business days of month end,
- an investment ledger will be maintained for reconciliation with the general ledger,
- ~~bank reports, and trade confirmations on a monthly basis, at a minimum,~~
- a monthly reconciliation of transactions and income will be made, a review of the portfolio will be made by all Investment Officers at least quarterly,
- designated levels of signatory approval will be set by the component, and
- all transactions will have dual control and/or oversight and separation of responsibilities.

All components shall incorporate the following specific controls for investment manager traded assets into their operating procedures:

- All securities must be maintained under a custodial agreement and
- All managers must create monthly statements.

VI. INVESTMENT STRATEGIES AND OBJECTIVES

The Endowment performance objective is to grow the market value of assets net of inflation, spending, and expenses, over a full market cycle (generally defined as a three to five year period) without undue exposure to risk. The Endowment is particularly risk-adverse to the probability of not meeting the total return goal. Liquidity must be considered and sufficient to meet the spending needs and expenses.

The total return goal can be achieved while assuming acceptable risk levels commensurate with “market volatility.” To achieve the total return goal, the endowment’s assets will be invested to generate appreciation and/or dividend and interest income.

The Portfolio is expected to endure into perpetuity. Inflation is a key component in the performance objective. The long-term risk of not investing in growth securities outweighs the short-term volatility risk. As a result, the majority of assets should be invested in equity or equity-like securities. Fixed income securities lower the short-term volatility of the portfolio and provide income stability, especially during periods of weak or negative equity markets. Cash is not a strategic asset of the portfolio, but it is a residual to the investment process and used to meet short-term liquidity needs. Other asset classes are included to provide diversification and incremental total return.

The cash payout requirement for endowment funds is significant and continuous. The portfolio shall be diversified to diminish risks associated with particular securities, market sectors, or industries with an excessive impact on the funds.

VII. AUTHORIZED INVESTMENTS

NOTE: Components that retain an Investment Consultant to advise regarding the Component’s Endowments have additional investment options for Fixed Income and Equity and Other investments as articulated in paragraphs S and T.

Investments made by TSUS are restricted to the following list for endowment funds. No change to the authorized list can be made until this Policy is reviewed, amended, and adopted by the Board.

Policy ratings and diversification requirements must be met at time of purchase. Changes in portfolio size or cash flow may alter these percentages during the life of the investment. If authorizations change on investments held or investments lose their minimum rating requirements, they are not required to be immediately liquidated. However, prudent measures including a review will be taken, consistent with the Investment Policy, to manage these investments and decide on final disposition. Managers are required to contact the Endowment whenever a security held in the portfolio drops outside the authorized investment parameters.

The target asset allocation of the Endowment is expected to be diversified by asset class and style. The following list of authorized investments shall be used as part of the overall asset allocation. The fixed income portfolio is expected to have duration of +/- 25% of the effective duration of the benchmark index.

Fixed Income:

NOTE: Components that retain an Investment Consultant to advise regarding the Component's Endowments have additional investment options for Fixed Income investments as articulated in paragraph S.

- A. Obligations of the US Government, its agencies and instrumentalities including mortgage backed securities, and excluding letters of credit. Only Collateralized Mortgage Obligations (CMOs) backed by pools of mortgages guaranteed by the full faith and credit of the U. S. Government or an agency thereof will be used.
- B. Federally insured or collateralized certificates of deposit with banks doing business in Texas, under the terms of an executed collateral agreement, in accordance with FIRREA, collateralized in compliance with this Policy.
- C. The CDARS program through a Texas bank, not to exceed twenty-four (24) months to stated maturity.
- D. Negotiable certificates of deposit issued by a bank within a holding company with a commercial paper rating of A1/P1 or equivalent by two nationally recognized credit rating agencies or an S&L in two highest rating categories by a recognized rating agency with a maximum maturity of twenty-four (24) months.
- E. Commercial paper rated A1/P1 or equivalent by two nationally recognized rating agencies and with a stated final maturity not to exceed 270 days.
- F. Taxable or non-taxable governmental and municipal (state and local) securities rated not less than BBB (see Appendix B for comparative ratings for the other rating agencies) or equivalent by two nationally recognized rating organizations. Split rated securities will be governed by the lower rating.
- G. With the exception of Global Fixed Income Managers, no more than 20% of the portfolio may be invested in SEC Registered, US Dollar denominated, and US Government backed securities issued by foreign governments (Brady Bonds). No investments are allowed in foreign currency denominated government bonds, any type of foreign corporate bonds (including both US Dollar denominated securities, referred to as Yankee Bonds, and foreign ordinary bonds) or any other foreign securities are not expressly allowed.
- H. 1. Domestic Fixed Income Core Managers, defined as managers benchmarked against the Barclay's Aggregate Index: Domestic Investment Grade Corporate Bonds, shall maintain a minimum quality of all fixed income securities in one of the four highest classifications of a major rating service (AAA, AA, A, BBB). The fixed income portfolio may not have more than 40% in corporate bonds with no more than 10% of the corporate bond portfolio invested in a single issuer, and with not more than 5% invested in a single issue. The corporate bond allocation shall be well diversified with no more than 25% of this corporate sector invested in any one economic sector.

2. Domestic Fixed Income Managers, defined as Corporate Only bond portfolios, or Government and Corporate portfolios NOT benchmarked against the Barclay's Aggregate Index: Domestic Investment Grade Corporate Bonds, shall maintain a minimum quality of all fixed income securities in one of the four highest classifications of a major rating service (AAA, AA, A, BBB). The fixed income portfolio may not have more than 10% invested in a single non-government or government backed agency issuer, and with not more than 5% invested in a single issue. The corporate bond allocation shall be well diversified with no more than 25% of the corporate sector invested in any one economic sector.
 3. Global Fixed Income Core Managers, defined as managers investing in U.S. and non-U.S. bonds benchmarked against the Barclay's Global Aggregate Index or other equivalent diversified Global Bond Index: Domestic Investment Grade Corporate Bonds, shall maintain a minimum quality of all fixed income securities in one of the four highest classifications of a major rating service (AAA, AA, A, BBB). The fixed income portfolio may not have more than 10% invested in a single non-government or government backed agency issuer, and with not more than 5% invested in a single issue. The portfolio may be invested in SEC Registered, US Dollar denominated, and US Government backed securities issued by foreign governments (Brady Bonds). Investments in foreign currency denominated government and corporate bonds are allowed for Developed Country Bonds only (defined as EAFE Countries only). Foreign corporate bonds from non-EAFE countries are permissible so long as they are US Dollar denominated securities, referred to as Yankee Bonds. The corporate bond allocation shall be well diversified by Country and economic sector, with no more than 40% in a non-US country, and no more than 25% of the corporate sector invested in any one economic sector. The Global Bond allocation may not exceed 20% of the total Endowment portfolio.
- I. Fixed income funds sponsored by organizations exempt from federal income taxation under Section 501(f), Internal Revenue Code of 1986 (26 U.S.C. Section 501(f).
 - J. SEC-registered money market mutual funds which have as an investment objective the maintenance of a stable net asset value (NAV) of \$1 for each share. In the event of SEC rule changes impacting the NAV of \$1, the NAV \$1 requirement for money market mutual funds is waived.
 - K. No-load, SEC registered, ultra-short, short and intermediate, fixed income mutual funds rated as three stars or better by Morningstar and invested exclusively in obligations approved by this Policy. For mutual funds with multiple classes, the highest rating share class for any of the classes will apply.
 - L. Fully collateralized repurchase agreements with a defined termination date, secured by obligations of the United States or its agencies and instrumentalities, under the terms of a SIFMA Bond Market Master Repurchase Agreement, and placed through a primary government securities dealer.

M. REITS that have fixed income-like characteristics.

N. Commercial Backed securities and Asset Backed securities are allowable investments as long as they meet the quality requirements of this section, and cannot exceed 20% of the fixed income portfolio.

Cash:

O. Cash management and ultra-short fixed income funds sponsored by organizations exempt from federal income taxation under the Internal Revenue Code of 1986 as amended (26 U.S.C., Section 501(f)) basically defined as the Commonfund.

P. Interest bearing accounts in banks doing business in Texas held for investment purposes and not exceeding the federal insurance of FDIC or its successor.

Equity:

NOTE: Components that retain an Investment Consultant to advise regarding the Component's Endowments have additional investment options for and Equity and Other investments as articulated in paragraphs S and T.

Q. Equity Securities: Permissible investments in equity securities are as follows:

- a. Common and Preferred Stock of domestic corporations with a market capitalization of at least \$250 million at time of purchase,
- b. REITS that have equity-like characteristics, c. Invest in only marketable securities,
- d. No position in any one company to exceed 10% of the equity portfolio as measured at market values,
- e. Include at a minimum 20 names per portfolio to provide adequate diversification,
- f. No purchase shall cause a position in the portfolio to exceed 10% of the outstanding voting shares of the company or invest with the intent of controlling management,
- g. Invest no more than 40% of the portfolio in any one economic sector, or 2.5x the relevant benchmark sector weight, whichever is less,
- h. International equities shall be limited to no more than 25% of the portfolio (excluding ADRS),
- i. Maintain appropriate diversification with respect to currency and country exposure for international equities, and;
- j. No-load, SEC registered, equity mutual funds rated as three stars or better by Morningstar.
- k. Equity funds sponsored by organizations exempt from federal income taxation under Section 501(f), Internal Revenue Code of 1986 (26 U.S.C. Section 501(f)).

Other:

R. Hybrid Securities, such as but not limited to Convertible Securities and Real Estate

Investment Trusts (REITS), which can exhibit equity or fixed income characteristics (or both) will be characterized as Equity (or Equity Like) or Fixed Income (or Fixed Income Like) based on the characteristics of the portfolio, including standard deviation (for risk) and expected return. Hybrids class allocation will be determined based on the characterization by the investment committee and/or the consultant.

Components advised by an Independent Investment Consultant for Endowment Funds:

For Components that retain the services of an independent Investment Consultant with oversight responsibilities for Endowment funds investments, the following provisions will apply:

- S. Fixed Income: Independent Investment Managers with oversight responsibilities of Fixed Income investments may invest no more than 20% of the Fixed Income portfolio in below Investment Grade securities. Non-rated paper will be considered to carry the rating of similar bond issues by the same issuer, if other issues have ratings. Use of derivatives is allowed as long as the investment (as structured) does not expose the Endowment to risk of loss outside the actual invested amount. Private Placements are allowed as long as the Endowment meets regulator qualifications and no single issuer can represent more than 10% of the portfolio, with no single issue representing more than 5% of the portfolio.
- T. Equity and Other: Independent Investment Managers with oversight responsibilities over Equity and Other Investments may invest with managers who utilize derivatives, short sales, futures, options, private placements, limited partnerships, and otherwise prohibited investments and instruments as long as the Endowment is a qualified investor for the product, the investment (as structured) does not expose the Endowment to risk of loss outside the actual invested amount, and the investments are supervised by an investment manager (no direct purchases). Where possible, fund of funds, and pools are vehicles of choice for alternative investments as they can provide for more diversification and additional layers of oversight. These investments, in total cannot comprise more than 20 % of the Endowment. Private Placements are allowed as long as the Endowment meets regulatory qualifications and no single issuer can represent more than 10% of the portfolio, with no single issue representing more than 5% of the portfolio.

VIII. PROHIBITED INVESTMENTS AND ACTIVITIES

The following are unauthorized transactions and securities. Any change in this list shall require amendment of this Policy and adoption by the Board.

- A. TSUS may not directly purchase or sell financial futures, options, interest rate swaps, or forward rate agreements.
- B. TSUS may not directly engage in adjusted trading or short sales.
- C. TSUS may not directly purchase any adjustable rate securities if tied to non-US interest rates or spread product.

~~CD~~. TSUS may not purchase residual interests in CMOs/REMICs, mortgages service rights, commercial mortgage backed securities, or small business related securities (excluding Small Business Administration debentures).

~~DE~~. MBS securities may not be purchased if they are structured as inverse MBS floaters, principal only MBS, or interest only MBS.

~~EE~~. TSUS may not purchase 144A or other private placement securities not registered with the SEC except in cases where the component has procured the services of an independent Investment Consultant.

IX. DIVERSIFICATION AND ASSET ALLOCATION

Policy asset allocation is the most single important decision. A significant proportion of a portfolio investment behavior can be attributed to the asset classes/styles and the weighting of each asset class/style.

TSUS recognizes that investment risks can result from issuer defaults, market price changes, or various technical complications leading to temporary illiquidity. This risk is controlled through portfolio diversification. Investment Officer(s) shall diversify the portfolio(s) by market sector and maturity to minimize market risks.

Diversification shall be considered within major market sectors so that various industries, maturities, markets, domestic and international factors are considered within the portfolio.

Below is the asset class allocation range.

ASSET CLASS	TARGET	ACCEPTABLE RANGE
Domestic Equity and Equity Like	50%	20% – 65%
International Equity	10%	0% - 20%
Total Equity	60%	320% - 805%
Domestic Fixed Income & Fixed Income Like	35%	20% - 50%
Other Fixed Income Like	0%	0% - 20%
Total Fixed Income	35%	20% - 750%
Cash	5%	0%-20%
TOTAL	100%	

X. REBALANCING

Since asset allocation is the most critical component of the Endowment's returns, it is desirable to review the Endowment's holdings at least annually, more frequently if necessary to determine if rebalancing is necessary. Rebalancing should be done in the event any individual asset class falls outside the asset allocation range specified in this Policy. The appropriateness of this allocation will be reviewed annually.

XI. SAFEKEEPING

All securities, including collateral bought under a repurchase agreement, but excluding certificates of deposit, and mutual funds, shall be settled on a delivery versus payment (DVP) basis. All securities shall be held by System Administration's or the component's depository banks, as applicable, or an independent third party custodian approved by the State of Texas. All securities will be held in the name of System Administration or the component, as applicable. No broker/dealer shall be used for safekeeping purposes.

The third party custodian of TSUS-owned assets shall be required to issue an original, safekeeping trust receipt to System Administration or the component, as applicable, describing the specific instrument, coupon, maturity, par, CUSIP, and other pertinent information. The safekeeping receipt shall clearly identify ownership by TSUS.

All funds in time and demand deposits shall be restricted to Texas approved financial institutions and will be limited such that it will not exceed the insurance of the FDIC or its successors. The TSUS *Depository Funds Policy* applies to these time and demand deposits excluding reference in that policy to collateralization.

Authorized Collateral for Repurchase Agreements

The following securities are the only authorized collateral for repurchase agreements:

- A. Obligations of the United States, its agencies or instrumentalities, or other evidence of indebtedness of the United States guaranteed as to principal and interest including MBS which pass the bank (volatility) test and are eligible as collateral with the Federal Reserve.

XII. AUTHORIZED FINANCIAL DEALERS AND INSTITUTIONS

TSUS shall pursue an active portfolio management strategy for endowment funds. The Investment Officers and/or Investment Consultant will regularly monitor the contents of the portfolio, the available markets, and the relative value of competing instruments to adjust the portfolio in response to market conditions. Quarterly reviews of performance shall be made by the Investment Officers. Nothing in this section relieves the investing entity of the responsibility for monitoring the investments made by the investing entity to determine that they are in compliance with this *Policy*.

- A. If a business organization (including investment pools and investment management firms under contract with an entity to invest or manage the entity's investment

portfolio) is not utilized, the transaction requires competitive bidding by at least three Board-authorized broker/dealers who have fulfilled all compliance requirements of this Policy.

- B. An "Authorized Broker/Dealer List" of financial institutions and broker/dealers authorized to do business with TSUS shall be compiled and maintained by the CFO for System Administration. All investment Officers who invest directly will utilize the authorized list. The CFO for System Administration will provide an official list to component Investment Officers.
- C. Authorized broker/dealers will be required to comply with the following requirements and procedures established by the CFO for System Administration:
 - broker/dealers must complete a questionnaire supplying basic firm and broker contact and delivery information.
 - brokers/dealers may be affiliated with a Texas bank, designated by the New York Federal Reserve Bank as "primary dealers" or qualify as regional dealers under the Securities and Exchange Commission's "Uniform NetCapital Rule",
 - broker/dealers must be registered with FINRA (Financial Institutions Regulatory Authority),
 - broker/dealers must be registered with the Texas Securities Commission, and;
 - broker/dealers must provide the written certification detailed below.
- D: Certification: A written copy of this Policy shall be presented to any firm or person seeking to engage in an Endowment Investment transaction with TSUS. This includes ~~business organizations~~, brokers/dealers, and banks.

Nothing in this section relieves the investing entity of the responsibility for monitoring the investments made by the investing entity to determine that they are in compliance with this Policy.

- E. The Qualified Representative of the ~~business organization or the~~ broker offering to engage in an investment transaction shall certify in writing substantially to the effect that the registered principal or broker has:
 1. received and reviewed this Policy and
 2. acknowledged that the business organization has implemented reasonable procedures and controls in an effort to preclude investment transactions conducted between TSUS and the organization that are not authorized by this Policy, except to the extent that this authorization is dependent on an analysis of the makeup of the entire portfolio or requires an interpretation of subjective portfolio standards.

Investment Officers may not acquire or otherwise obtain any investment from a person who has not delivered to CFO for System Administration this certification as confirmed by the CFO for System Administration.

~~F. Banks providing certificates of deposit or demand deposits shall provide to the CFO for~~

~~System Administration their most recent audited annual financial statements as soon as legally available.~~

~~G. Financial institutions providing safekeeping services for the collateral (for repurchase agreements) or securities of TSUS shall provide financial statements annually and information on the institution shall be maintained by the CFO for System Administration.~~

XIII. INVESTMENT REPORTING AND MONITORING

A. Investment Officers shall cause to be prepared and review a written report of investment transactions for all endowment funds ~~covered under the Public Funds Investment Act~~ not less than quarterly. The report is to be submitted to the CFO for System Administration or designee and any respective component President by the end of the next month following the end of each quarter.

This report will be consolidated into a system-wide summary report to be submitted to the Board through the Finance and Audit Committee in the format prescribed by ~~CFO for System Administration the Act and Committee, the General Appropriations Act and requirements promulgated by other oversight agencies.~~

The reports must:

1. be signed by the Investment Officer,
2. state the compliance of the portfolio to the investment strategy expressed in this Policy,
3. ~~E~~ending market values of the portfolio, including, at a minimum, ending market value of each asset class all on a trade date full accrual accounting basis,
4. actual net total portfolio return of the reporting quarter and the fiscal year to date which includes actual net market return for each asset class Investment returns are to be calculated net of fees, using the performance reporting methodology found in the Global Investment Performance Standards Handbook published under the guidance of the CFA Institute: <http://www.cfainstitute.org/ethics/codes/gipsstandards/Pages/index.aspx>. The Global Investment Performance Standards (GIPS Standards) is a set of standardized, industry-wide ethical principles that provides guidance on how to calculate and report investment results.
5. state a rate of return comparison to the established benchmarks of each asset class (indicate each benchmark that is used), and a weighted benchmark based on the asset allocation for the entire portfolio. The total portfolio benchmark should include the weights used to reflect that benchmark.

Market prices are to be obtained from an independent, published source such as the Wall Street Journal, a custodian bank, and/or through a contractual arrangement with a pricing service. MBS prices are not to be obtained from broker/dealers having sold TSUS any MBS being priced.

XIV. BENCHMARKS

~~The performance will be measured against a customized blended index that most~~

~~represents the TSUS component policy index. All performance returns shall be stated net of investment management fees.~~

~~Other applicable indexes matching the specific allocation of the funds (for example international mutual funds or equities) shall be detailed in the component's procedures and included on all monthly and quarterly reporting as a benchmark for these investments.~~

The performance of each TSUS component will be measured against a customized blended index. The index will be developed and reviewed each November by a committee of three TSUS Investment Officers or their appointed representatives in consultation with the Investment Consultant. The index will be developed by a committee of the CFOs on an annual basis for approval by the CFO for System Administration. All performance returns shall be stated net of investment management fees. Other applicable indexes matching the specific allocation of the funds (for example international mutual funds or equities) shall be detailed in the component's procedures and included on all monthly and quarterly reporting as a benchmark for these investments.

XV. DEPOSITORY

Funds not invested in securities may be maintained in a separate account in the banking services depository of the component to accommodate collections and disbursements. Funds maintained in the depository may not exceed the insurance coverage of the FDIC, or its successor because endowment funds are not public funds and are not eligible for collateral coverage.

XVI. INVESTMENT POLICY ADOPTION AND CERTIFICATION

This Policy shall be reviewed and adopted annually by the Board.

TEXAS STATE UNIVERSITY SYSTEM



Operating Funds Investment Policy November 20134

Texas State University System Operating Funds Investment Policy

I. DEFINITIONS

Texas State University System ("TSUS") refers to System Administration, Lamar University, Sam Houston State University, Sul Ross State University, Texas State University-~~San Marcos~~, Lamar Institute of Technology, Lamar State College-Orange, Lamar State College- Port Arthur, and Sul Ross State University Rio Grande College.

II. POLICY AND OBJECTIVES

It is the policy of TSUS to invest operating funds in applicable compliance with the Public Funds Investment Act (Texas Government Code Chapter 2256, the "Act"), and ~~the Public Funds Collateral Act (Texas Government Code 2257)~~ the "Prudent Person Standard" as defined by Texas Education Code §51.003(d) and described in Article VII, Section 11b of the Texas Constitution. Applicable sections of the Act are referenced in brackets throughout this Policy. The Operating Funds Investment Policy ("Policy") of TSUS is designed to fulfill the following objectives:

- provide security of invested principal;
- provide liquidity for operating requirements which may be reasonably anticipated;
- manage interest-rate and market risk;
- maximize total return within established risk constraints; and
- provide for diversification of investment assets.

To meet these objectives, TSUS will base investment decisions on long-term, intermediate-term, and short-term needs dictated by cash flow analyses of present and anticipated future financial requirements. ~~The rate of return will be secondary to the overall safety of the funds and general economic conditions.~~

This Policy applies to all the following operating funds held by TSUS. ~~These funds are defined as:~~

- Current Funds (Unrestricted and Restricted);
- Loan Funds;
- Bond Funds;
- Plant Funds;
- Board-Designated Reserves held as Quasi-Endowment Funds (the principal of which may be utilized at the discretion of the Board of Regents); and
- Agency Funds which apply to applicable to the ongoing operations of TSUS.

These funds may be held in investments authorized by this Policy, (based on liquidity needs or bond covenants) or in authorized depositories which would be needed as required for day-to-day operations within any fund group. Funds held in banking institutions are also covered by the TSUS *Depository Funds Policy*. The *Operating Funds Investment Policy* is not applicable to private funds, including but not limited to Endowment Funds or Foundation Funds.

This Policy ~~and the amended Public Funds Investment Act~~ does not apply to investments

donated to TSUS for a particular purpose or donated according to terms specified by a donor if those donated investments are outside the ~~these~~ authorized within the Policy.

This Policy shall be reviewed and updated annually and presented to the Board for adoption by resolution [Government Code 2256.005]. Copies of the Policy will be forwarded on an annual basis by each component investment officer to any entity (except TexPool) with which the component conducts investment transactions. Such entities will be required to acknowledge in writing receipt of the Policy and to attest that they have implemented reasonable procedures and internal controls to comply with provisions contained within the Policy. The Vice Chancellor for Finance will distribute the Policy to and obtain the acknowledgement from TexPool on behalf of the Texas State University System and its components and will do likewise for any entity, including investment advisors, conducting investment transactions on behalf of the System Office.

Funds covered under this Policy are classified as:

Short Term Funds: Funds needed to meet daily or short term operating requirements, usually held in an approved local government investment pool. These are funds meant to be used within the year and are typically invested in:

- ~~— Investments with maturities of less than 180 days or~~
- Investments with maturities ~~of up to~~ not to exceed twelve (12) months.

Long Term Funds: Operating funds that have not been needed in the past, and are not anticipated to be needed for a minimum of ~~12~~ months or more. They are typically invested in investments with maturities of more than twelve (12) months and less than seven (7) years.

Bond Proceed Funds – Bond proceeds and reserves may be invested in a manner consistent with the requirements and restrictions stated in the applicable Bond Covenants, but typically not longer than three years.

III. DELEGATION OF AUTHORITY AND RESPONSIBILITY

Board of Regents

The TSUS Board of Regents (the “Board”) retains ultimate responsibility for investments as fiduciaries of TSUS assets regardless of who is investing those assets. The Board is statutorily required to:

- designate Investment Officers, at least annually, review and adopt this Policy, at least annually, review and adopt a list of qualified broker/dealers authorized to engage in investment transactions with TSUS,
- at least quarterly, receive and review investment reports prepared in accordance with this Policy, and
- attend at least one training session as regards to Board responsibilities under the Act within six (6) months of assuming office as provided by the Higher Education Coordinating Board.

Investment Officers

The Chief Financial Officer (CFO) for System Administration and the CFO for each component are designated as Investment Officers for their respective entities by the Board. Additional Investment Officers may be designated by the Chancellor or President of the component.

Investment Officers are responsible for investment management decisions and activities and all transactions undertaken, including the hiring/firing of Investment Manager(s) recommended by the Investment Consultants, and Banking Relationships. No officer or designee may engage in an investment transaction except as provided under terms of this Policy. No Investment Officer or employee of TSUS may accept anything of value from counter-parties or others in connection with investment transactions.

~~The Investment Officers of the components shall establish and maintain (a) written administrative procedures and guidelines in support of this Policy for their respective component, (b) distribution formulae/procedures for the funds, and (c) internal controls in support of this Policy.~~

Each Investment Officer must attend five or more hours of investment training within six months of assuming the position and not less than once each state fiscal biennium. The Board acknowledges investment act training alternatives as designated by the Texas Higher Education Coordinating Board or the Texas Society of Certified Public Accountants (Texas CPA investment training continuing education units) as acceptable sources of complying with Section 2256.007(d) of the Public Funds Investment Act. ~~The CFO for System Administration shall prepare a report to the Board of any changes made to the Act impacting TSUS not later than the 180th day after the last day of each regular session of the Texas Legislature [2256.007(d)].~~

The investment officer of each component shall prepare a report on compliance with the training requirements and deliver the report to the Vice Chancellor for Finance by January 5th of every-numbered year. The Vice Chancellor for Finance will provide to the Board a summary report on compliance with the training requirements not later than the 180th day after the last day of each regular session of the legislature.

Investment Officer Disclosures

The following reporting requirements apply:

1. An Investment Officer related within the second degree by affinity or consanguinity to an individual seeking to sell an investment to TSUS shall file a statement disclosing that relationship;
2. An Investment Officer having a business relationship of any nature with an individual seeking to sell an investment to TSUS shall file a statement disclosing that relationship;
3. The disclosure shall be filed with the Board and the component President or with the Chancellor if the applicable Investment Officer is the CFO for System Administration.

~~No officer or designee may engage in an investment transaction except as provided under terms of this Policy. No Investment Officer or employee of TSUS may accept anything of value from counter parties or others in connection with investment transactions.~~

Investment Consultant

System Administration ~~and the components~~ may contract with an ~~i~~investment ~~management~~ Consultant firm registered under the Investment Advisers Act of 1940 (15 U.S.C. Section 80b-1 et seq.) to provide for ~~management~~ investment oversight of the operating funds. The initial contract may not be for a term exceeding ~~twesix~~ years and renewals or extensions of the contract must be made by order or resolution of the Board. The ~~i~~investment ~~C~~onsultant must acknowledge in writing that they are a fiduciary to the fund(s).

The Investment Consultant must certify to the receipt and review of this Policy and that it will act in accordance with the Policy; and it will provide the most recent copy of its Form ADV and ADV brochure as filed with the Securities and Exchange Commission to ~~the CFO for System Administration and~~ the CFO(s) for the component(s) that it advises.

The Investment Consultant's responsibilities are to the System Administration and the components it advises. Additionally, the Investment Consultant will:

- Assist in the development and implementation of investment policies, objectives, and guidelines to submit to the Board for approval each November.
- Review Investment Managers, including search, selection, and recommendation to the Investment Officer.
- Prepare and present performance evaluation reports in accordance to established investment standards.
- Review contracts and fees for both current and proposed Investment Managers.
- Communicate investment policies and objectives to managers, monitor those strategies, and notify the Investment Officers of any significant changes in portfolio managers, litigation, or violation of securities regulations.

Investment Manager(s)

System Administration and the components may contract with an ~~i~~investment ~~M~~anager(s) ~~ment firm~~ registered under the Investment Advisers Act of 1940 (15 U.S.C. Section 80b-1 et seq.) ~~or with the State Securities Board to provide for the investment and management of its public funds or other funds under its control.~~ A contract made under authority of this subsection may not be for a term longer than two years. A renewal or extension of the contract must be made by the governing body of the investing entity by order or resolution.

The ~~i~~investment ~~M~~anager(s) ~~ment firm~~ must certify to the receipt and review of this Policy; it will act in accordance with the Policy; and it will provide a copy of its most current Form ADV and ADV brochure as filed with the Securities and Exchange Commission to the ~~CFO for System Administration and~~ the CFO(s) for the component(s) that it advises.

All ~~i~~investment ~~M~~anagers have a fiduciary responsibility to make a good faith determination that commissions paid to a broker are reasonable and competitive. All ~~i~~investment ~~M~~anagers shall treat the accounts they manage as discretionary accounts

and have authority to act for Investment Officers. The Investment Managers have the authority to make investment decisions for the purpose of placing orders to effect any purchase, sale, exchange, liquidation or other investment of the assets in the accounts, within their asset class, and according to this Policy, without obtaining prior approval.

TSUS requests that all orders for transactions of account assets be placed in such markets and through such brokers as shall offer the most favorable price, execution and commission cost of each order (best execution). All such orders must also be in compliance with this investment policy.

TSUS acknowledges that ~~Investment Managers~~ may from time to time and in accordance with applicable law pay commissions to brokers that are higher than those that might be obtainable elsewhere in order to obtain research and other services provided by such brokers in the expectation to enhance the long-term value of the account. The Investment Officers shall try to negotiate the contract without the use of these soft dollar arrangements. In the event that the soft dollar arrangements remain as part of the contract, the Investment Manager(s) will report to the Investment Consultant and the Investment Officers Advisor shall review, at least annually, the ~~Investment Managers' soft dollar practices and any soft dollar purchases. The soft dollar purchases shall be aggregated and a report provided to the Investment Officers on an annual basis.~~ In addition, Investment Managers must demonstrate how the soft dollars were used solely for the benefit of the account which generated the soft dollars.

The use of soft dollars by an Investment Manager in the portfolio must be disclosed under the investment disclosure requirements section on the component's webpage.

Investment Custodians

The Investment Custodians are responsible for the safekeeping of the Operating Fund's assets. Their responsibilities are to:

- Provide timely and accurate reports detailing investment holdings, including:
 - statement of all securities and other assets held,
 - statement of all receipts, sales, redemptions, and principal payments,
 - statement of all distributions, expenses paid, purchases, and
 - statement of all income, and
 - establishing and maintaining separate accounts for each Investment Manager.
- Provide all normal custodian functions, including but not limited to:
 - security safekeeping,
 - collection of income,
 - settlement of trades,
 - collection of proceeds of maturing securities, and
 - daily investment of available cash.

IV. STANDARD OF CARE

The "~~Prudent Person~~ standard" shall be the standard used in all investment functions and shall be applied in the context of individual transactions as well as management of the overall portfolio. Accordingly, all investments shall be made with judgment and care, under circumstances then prevailing, which persons of prudence, discretion, and

intelligence exercise in the management of their own affairs, not for speculation, but for investment, emphasizing the probable safety of their capital as well as the expected income to be derived.

All investments shall be consistent with this Policy. The Investment Officer shall not be held personally liable for a specific security's credit risk or market value change as long as actions were in accordance with this Policy; ~~unexpected deviations were reported to the component President and the CFO for System Administration in a timely manner, and all appropriate actions were taken to control adverse developments.~~

V. INSTITUTIONAL INVESTMENT PROCEDURES~~INTERNAL CONTROLS~~

The Investment Officer(s) of each component shall establish written procedures supporting this Policy and promoting internal control. The procedures shall be directed towards preventing loss of funds due to fraud, employee error, misrepresentation, or imprudent actions. ~~These procedures shall be reviewed annually and a copy of the procedures sent to the CFO for System Administration no later than November 1 of each year.~~

The Investment Officers of the components shall establish and maintain (a) written administrative procedures and guidelines in support of this Policy for their respective component, (b) distribution formulae/procedures for the funds, and (c) internal controls in support of this Policy.

All components shall incorporate the following specific controls, as further defined by this Policy, into their operating procedures.

- all securities will be settled delivery versus payment (DVP) into the component's depository or custodian bank,
- no securities will be safe-kept with a broker/dealer,
- Operating funds cash flow will be reviewed at a high level at least annually to determine investment strategy impact and projections,
- every transaction will be documented for accounting information, and security description,
- all transaction documentation will be completed within five business days of receipt,
- an investment ledger will be maintained for reconciliation with the general ledger, bank reports, and trade confirmations on a monthly basis, at a minimum,
- a monthly reconciliation of transactions and income will be made,
- a review of the portfolio will be made by all Investment Officers at least quarterly,
- designated levels of signatory approval will be set
- investment reporting will be completed monthly, and
- all transactions will have dual control and/or oversight and separation of responsibilities.

VI. INVESTMENT STRATEGIES AND OBJECTIVES

~~Component e~~Operating funds may be commingled at each component for investment purposes but will address the unique characteristics and needs of each fund group and

classification of funds represented in the portfolio. Operating funds are constricted by expenditure plans and can serve a fiscal year or multiple year purposes.

The investment strategy for current operating, loan, plant, quasi-endowment, and agency funds has as its primary objective assurance that anticipated liabilities are matched and adequate investment liquidity provided. The secondary objective is to create a portfolio structure which will experience minimal volatility while generating income.

Funds are normally invested as follows:

Operating Funds

~~These are F~~unds needed to meet daily or short term operating requirements, ~~usually held in an approved local government investment pool~~. These are funds are meant to be used within the year and are typically invested in investments with maturities of less than ~~180 days; or investments with maturities of up to~~ twelve (12) months.

Non-Operating Funds

Funds that ~~have not been needed in the past, and~~ are not anticipated to be needed for a minimum of 12 months or more. They are typically invested in investments with maturities of more than twelve (12) months and less than seven (7) years.

- A. The investment strategy for debt service funds shall have as its primary objective the assurance of available funds adequate to fund the debt service obligations on a timely basis. Two consecutive principal and interest payments must be held liquid at all times. The investment strategy for reserve funds shall have as its primary objective the ability to generate a revenue stream from high quality securities with a low degree of volatility. The potential for loss shall be further controlled through the purchase of securities within the specified maturity range dependent on use. Bond proceeds and reserves may be invested in a manner consistent with the requirements and restrictions stated in the applicable Bond Covenants.
- B. The investment strategy for capital projects or capital project funds will have as its primary objective assurance that anticipated cash flows are matched and provide adequate investment liquidity. At least 10% total liquidity is planned to provide flexibility and for unanticipated project outlays. The stated final maturity dates of securities held shall be based on the project completion date, but typically may not exceed three years.

The intention is to match investments with projected cash flow and liquidity needs. TSUS may pursue an active versus a passive portfolio management strategy. That is, securities may be sold before they mature if market conditions present an opportunity for a net positive horizon fiscal benefit from the trade, or to manage a market downturn.

The Investment Officer and/or Investment Consultant will continuously monitor the contents of the portfolio, the available markets, and the relative value of all authorized, competing instruments to adjust the portfolio in response to market conditions.

VII. AUTHORIZED INVESTMENTS

~~Investments made by TSUS are restricted to the list reflected in this section. No change modifying or expanding this list will be authorized until the Policy is reviewed and adopted by formal action of the Board. All investments must comply with all applicable federal and state statutes, rules, regulations or policies. Investment of bond proceeds must be in accordance with the bond covenants.~~

~~Policy rating and diversification requirements must be met at time of purchase. Investments that were authorized at the time of acquisition but that are not currently authorized, including those no longer meeting the minimum rating requirements by at least one rating agency, are not required to be immediately liquidated. However, evaluation of the situation and prudent measures will be taken, consistent with this Policy, to manage or sell these investments.~~

A. OPERATING CASH FUNDS LESS THAN \$100 MILLION(Short Term Investments):

1. Obligations of the US Government, or its agencies and instrumentalities, direct obligations of this state or its agencies and instrumentalities, collateralized mortgage obligations directly issued by a federal agency or instrumentality of the United States, the underlying security for which is guaranteed by an agency or an instrumentality of the United States, other obligations the principal and interest of which are unconditionally guaranteed or insured by, or backed by the full faith and credit of, this state or the United States or their respective agencies and instrumentalities, including obligations that are fully guaranteed or insured by the Federal Deposit Insurance Corporation or by the explicit full faith and credit of the United States; obligations of states, agencies, counties, cities, and other political subdivisions of any state rated as to investment quality or nationally recognized investment rating firm not less than Investment Grade A- A or its equivalent, and bonds issued, assumed, or guaranteed by the State of Israel. This includes collateralized mortgage obligations and excludes letters of credit with a maximum stated final maturity of five (5) years. Collateralized mortgage obligations may have a stated final maturity of ten years but an expected life of no more than five (5) years. The aggregate investment in all types of mortgage-backed securities shall not exceed 25% of the total portfolio.
2. Certificates of deposit issued by a state or national bank, a savings bank, or a state or federal credit union domiciled in this state, and guaranteed or insured by the FDIC or its successor or the National Credit Union Share Insurance Fund or its successor. The Certificate of Deposit must be secured by obligations that are consistent with section VII.A. (1a), including mortgage backed securities directly issued by a federal agency or instruments that have a market value of not less than the principal amount of the certificates, but excluding the mortgage backed securities listed in section IX. (E) VIII (f), or secured in any other manner and amount provided by law for deposits of the investing entity. Maximum allowable stated maturity for certificates of deposit is 12 months~~three (3) years~~. Investments in Federally insured or collateralized certificates of deposit through Texas banks or through Texas broker- dealers shown in Section XII.B of this Policy, provided that they are acquired, under the terms of an executed collateral agreement in accordance with FIRREA, collateralized in compliance with this Policy, to include the CDARS program, and not to exceed 12 months~~three (3) years~~ to stated maturity.

3. Commercial paper with a stated final maturity not to exceed 270 days from the date of issuance rated A-1 or P-1 or equivalent by at least two nationally recognized rating agencies, or; Rated not less than A-1 or P-1 or an equivalent by one nationally recognized rating agency plus fully secured by an irrevocable letter of credit issued by a domestic bank.
4. Prime bankers acceptances must have a stated maturity of 270 days or fewer from the date of issuance, will be liquidated in full at maturity, and is eligible as collateral from a Federal Reserve Bank. Bank or bank holding company short-term obligations must be rated not less than A-1 or P-1 or an equivalent rating by at least one nationally recognized credit rating agency.
5. Taxable or non-taxable governmental and municipal securities rated not less than A- Investment Grade A- or equivalent by at least one ~~two~~ nationally recognized rating organizations with a stated final maturity not to exceed three ~~five~~ (~~5~~) years.
6. Corporate bonds, debentures, or similar debt obligations rated not less than Investment Grade A- or equivalent by at least one nationally recognized investment rating organization firm in one of the two highest long-term rating categories, without regard to gradations within those debt categories, with a maximum stated final maturity of three ~~five~~ (~~5~~) years.
- ~~7. Constant dollar, Texas local government investment pools continuously rated AAA by at least one nationally recognized rating service and approved by resolution of the Board.~~
- ~~8.~~ SEC-registered, money market mutual funds which have as an investment objective the maintenance of a stable net asset value (NAV) of \$1 for each share, and have a dollar-weighted average stated maturity of 90 days or fewer. The investing entity must be provided a prospectus and other documentation as required by the SEC. In the event of SEC rules changes impacting the NAV of \$1 the NAV of \$1 requirement for money market mutual funds is waived.
- ~~7.~~ 8. Constant dollar, Texas local government investment pools continuously rated AAA by at least one nationally recognized rating service and approved by resolution of the Board.
9. No load money market mutual funds which are registered with and regulated by the SEC, and have a dollar-weighted average stated maturity of less than two ~~three~~ years, and must conform to the requirements of this Policy. Maximum aggregate position size of these mutual funds is 45 ~~100~~% of the Average Monthly Fund Balance excluding the dollar amounts of funds held for bond proceeds, reserves, and debt service. Investment in the fund can not constitute 10 % or more of the fund's total assets under management, and no fund can represent more than 40% of the Operating (Short Term) Fund portfolio.
10. Fully collateralized repurchase agreements with a defined termination date, secured by a combination of cash and obligations of the United States or its agencies and instrumentalities in accordance with this Policy, with securities purchased by or cash

held by System Administration or the component, as applicable, to be pledged to System Administration or the component, as applicable, held in the name of System Administration or the component, as applicable, and deposited at the time the investment is made with System Administration or the component, as applicable, or with a third party selected and approved by the CFO for System Administration under the terms of a SIFMA Bond Market Master Repurchase Agreement, and placed through a primary government securities dealer, or a financial institution doing business in the state. ~~A flex repurchase agreement may be used for bond funds with a stated maturity not to exceed the anticipated expenditure plan of the funds.~~

The term of any reverse security repurchase agreement may not exceed 90 days after the date the reverse security repurchase agreement is delivered. Money received through a reverse security repurchase agreement shall be used to acquire additional authorized investments, but the term of the authorized investments acquired must mature not later than the expiration date stated in the reverse security repurchase agreement.

A flex repurchase agreement may be used for bond funds with a stated maturity not to exceed the anticipated expenditure plan of the funds.

11. Interest bearing accounts in banks doing business in Texas held for investment purposes only.
12. Cash management and fixed income funds sponsored by organizations exempt from federal income taxation under section 501(f), Internal Revenue Code of 1986 (26 U.S. C. Section 501(f)).
13. Eligible investment pools. Must be continuously rated not less -lower than A or A- Investment Grade -AAA or AAA- or at an equivalent rating by at least one nationally recognized rating organization service. ~~Eligibility is based upon full compliance with provisions of section 2256.016 of the Public Funds Investment Act, including specific approval by the Board.~~ An investment pool authorized by the Board must invest the funds it receives from TSUS as permitted by this Policy. An investment pool may invest its funds in money market mutual funds to the extent permitted by ~~and consistent with the Public Funds Investment Act and objectives adopted by the investment pool~~ this Policy. The weighted average maturity of the portfolio is limited to 60 days calculated using the reset date for variable rate notes ("VRNs") and 90 days or fewer using the final maturity date for VRNs with the maximum maturity for any individual security in the portfolio not exceeding 397 days for fixed rate securities and 24 months for VRNs.
14. A professionally managed portfolio of Bank Loans, Collateralized Loan Obligations (CLO's) and/or other floating rate securities with a Fixed Spread to a benchmark rate (such as LIBOR) and rate resets periods of no more than 90 days. These securities must be rated no lower than A- or equivalent by at least one nationally recognized rating service and have a weighted average life of three (3) years or less. Asset-backed Securities other than CLO's and Bank Loans must be backed by the full faith and credit of the US Government.

15. An entity is not required to liquidate investments that were authorized investments at time of purchase but have subsequently had a reduction of rating below the minimum. In cases where liquidation is desired, the CFO (or designee) for System Administration will monitor rating changes in investments acquired with public funds and will work with component CFOs (or designee) to develop prudent measures consistent with this Policy to liquidate an investment that does not meet the minimum rating requirements.

B. NON-OPERATING FUNDS (Long Term) OPERATING CASH FUNDS GREATER THAN OR EQUAL TO \$100 MILLION:

This section applies to Components with total Operating Funds (Short Term and Long Term) greater than \$75 million. Components that do not meet this requirement are advised to invest their Non-Operating Funds according to the authorized investments listed in VII (A).

Investments made by TSUS components are restricted to the following list for non-operating funds. No change to the authorized list can be made until this Policy is reviewed, amended, and adopted by the Board.

Policy ratings and diversification requirements must be met at time of purchase. Changes in portfolio size or cash flow may alter these percentages during the life of the investment. If authorizations change on investments held or investments lose their minimum rating requirements, they are not required to be immediately liquidated. However, prudent measures including a review will be taken, consistent with the Investment Policy, to manage these investments and decide on final disposition. Managers are required to contact the Investment Officer and/or Investment Consultant whenever a security held in the portfolio drops outside the authorized investment parameters.

The target asset allocation of the operating fund is expected to be diversified by asset class and style. The following list of authorized investments shall be used as part of the overall asset allocation. The fixed income portfolio is expected to have duration of +/- 25% of the effective duration of the benchmark index.

Fixed Income:

1. Obligations of the US Government or its agencies and instrumentalities, including - mortgage backed securities and excluding letters of credit. Only collateralized mortgage obligations (CMOs) backed by pools of mortgages guaranteed by the full faith and credit of the U.S. Government or an agency thereof will be used.
2. Federally insured or collateralized certificates with banks doing business in Texas, under the terms of an executed collateral agreement, in accordance with FIRREA, collateralized in accordance with this Policy .
3. The CDARS program through a Texas bank, not to exceed thirty-six(36) months to stated maturity.
4. Negotiable certificates of deposit issued by a bank within a holding company with a commercial paper rating of A1/P1 or equivalent by two nationally recognized credit

rating agencies or an S&L in two highest rating categories by a recognized rating agency with a maximum maturity of twenty-four (24) months.

5. Commercial Paper rated A1/P1-4 or P-4 or equivalent by two nationally recognized rating agencies and a stated final maturity no longer than 270 days.
6. Taxable or non-taxable governmental and municipal (state and local) securities rated not less than A- or equivalent by two nationally recognized rating organizations. Split rated securities will be governed by the lower rating. No more than 20% of the portfolio may be invested in SEC Registered, US Dollar denominated, and US Government backed securities issued by foreign governments (Brady Bonds). No investments are allowed in foreign currency denominated government bonds, any type of foreign corporate bonds (including both US Dollar denominated securities, referred to as Yankee Bonds, and foreign ordinary bonds) or any other foreign securities are not expressly allowed.
7. a) Domestic Fixed Income Core Managers, defined as managers benchmarked against the Barclay's Aggregate Index: Domestic Investment Grade Corporate Bonds, shall maintain an Investment Grade average A- with no more than 20% of the portfolio invested below investment grade. Non-rated paper will be considered to carry the rating of similar bond issues by the same issuer, if other issues have ratings. The fixed income portfolio may not have more than 40% in corporate bonds with no more than 10% of the corporate bond portfolio invested in a single issuer, and with not more than 5% invested in a single issue. The corporate bond allocation shall be well diversified with no more than 25% of this corporate sector invested in any one economic sector.
 - b) Domestic Fixed Income Managers, defined as Corporate Only bond portfolios, or Government and Corporate portfolios NOT benchmarked against the Barclay's Aggregate Index: Domestic Investment Grade Corporate Bonds, shall maintain an Investment Grade average A- with no more than 20% of the Non-Operating (Longer Term) portfolio invested below investment grade. Non-rated paper will be considered to carry the rating of similar bond issues by the same issuer, if other issues have ratings. The Non-Operating (Longer Term) fixed income portfolio may not have more than 10% invested in a single non- government or government backed agency issuer, and with not more than 5% invested in a single issue. The corporate bond allocation shall be well-diversified with no more than 25% of the corporate sector invested in any one economic sector.
 - c) Global Fixed Income Core Managers, defined as managers investing in U.S. and non-U.S. bonds benchmarked against the Barclay's Global Aggregate Index or other equivalent diversified Global Bond Index: Domestic Investment Grade Corporate Bonds, shall maintain a minimum credit quality of Investment Grade A-. The fixed income portfolio may not have more than 10% invested in a single non-government or government backed agency issuer, and with not more than 5% invested in a single issue. The portfolio may be invested in SEC Registered, US Dollar denominated, and US Government backed securities issued by foreign governments (Brady Bonds). Investments in foreign currency denominated government and corporate bonds are allowed for Developed Country Bonds only (defined as EAFE Countries only). Foreign corporate bonds from non-EAFE countries are permissible so long as they are US Dollar denominated securities, referred to as Yankee Bonds. The corporate bond allocation shall be well diversified by Country and economic sector, with no more than 40% in a non-US country, and no more than 25% of the corporate sector invested in any one economic sector. The Global Bond allocation may not exceed 20% of the

total Non-Operating (Long Term) portfolio.

8. Fixed income funds sponsored by organizations exempt from federal income taxation under Section 501(f), Internal Revenue Code of 1986 (26 U.S.C. Section 501(f)).

9. SEC-registered money market mutual funds which have as an investment objective the maintenance of a stable net asset value (NAV) of \$1 for each share. In the event of SEC rule changes impacting the NAV of \$1, the NAV \$1 requirement for money market mutual funds is waived.

10. No-load, SEC registered, ultra-short, short and intermediate, fixed income mutual funds invested in obligations approved by this Policy. No Mutual Fund will comprise more than 40% of the Fixed Income portion of the portfolio.

11. Fully collateralized repurchase agreements with a defined termination date, secured by obligations of the United States or its agencies and instrumentalities, under the terms of a SIFMA Bond Market Master Repurchase Agreement, and placed through a primary government securities dealer.

12. REITS that have fixed income-like characteristics.

13. Commercial Backed securities and Asset Backed securities are allowable investments as long as they meet the quality requirements of this section, and cannot exceed 20% of the fixed income portfolio.

14. Bank Loans, Collateralized Loan Obligations (CLO's) and other floating rate securities with a Fixed Spread to a benchmark rate (such as LIBOR) and rate resets periods of no more than 90 days. A portfolio of these securities must maintain an Investment Grade A-average.

15. MLPs that have fixed income-like characteristics.

16. Use of derivatives is allowed as long as the investment (as structured) does not expose the operating fund to risk of loss outside the actual invested amount.

Equity:

NOTE: Components must retain an Investment Consultant to invest in Equity and Equity Like investments as described below. Agency Funds and Bond Funds may not be invested in Equity and Equity Like investments.

17. Equity Securities: Permissible investments in equity securities are as follows:

- a. Common and Preferred Stock of domestic corporations with a market capitalization of at least \$250 million at time of purchase,
- b. REITS that have equity-like characteristics.
- c. Invest in only marketable securities,
- d. No position in any one company to exceed 10% of the equity portfolio as measured at market values.
- e. Include at a minimum 20 names per portfolio to provide adequate diversification.
- f. No purchase shall cause a position in the portfolio to exceed 10% of the outstanding

- voting shares of the company or invest with the intent of controlling management.
- g. Invest no more than 40% of the Equity portfolio in any one economic sector, or 2.5x the relevant benchmark sector weight, whichever is less.
- h. International equities shall be limited to no more than 25% of the portfolio (excluding American Depository Receipts).
- i. Maintain appropriate diversification with respect to currency and country exposure for international equities, and;
- j. No-load, SEC registered, equity mutual funds invested in securities approved by this Policy. No Mutual Fund can comprise more than 40% of the Equity portion of the portfolio.
- k. Equity funds sponsored by organizations exempt from federal income taxation under Section 501(f), Internal Revenue Code of 1986 (26 U.S.C. Section 501(f)).
- l. Investment Managers may invest with managers who utilize derivatives, short sales, futures, options, private placements, limited partnerships, and otherwise prohibited investments and instruments as long as the investment (as structured) does not expose the Component to risk of loss outside the actual invested amount, and the investments are supervised by an investment manager (no direct purchases). Where possible, fund of funds (i.e., funds that invest in other funds), and pools are vehicles of choice for alternative investments as they can provide for more diversification and additional layers of oversight. These investments, in total cannot comprise more than 20 % of the Component's portfolio. Private Placements are allowed as long as the Component meets regulatory qualifications and no single issuer can represent more than 10% of the portfolio, with no single issue representing more than 5% of the portfolio.

Other:

18. Hybrid Securities, such as but not limited to Convertible Securities, REITs, and MLPs which can exhibit equity or fixed income characteristics (or both) will be characterized as Equity (or Equity Like) or Fixed Income (or Fixed Income Like) based on the characteristics of the portfolio, including standard deviation (for risk) and expected return.
19. Investment Managers with oversight responsibilities over Other Investments may invest with managers who utilize derivatives, short sales, futures, options, private placements, limited partnerships, and otherwise prohibited investments and instruments as long as the investment (as structured) does not expose the Component to risk of loss outside the actual invested amount, and the investments are supervised by an investment manager (no direct purchases). Where possible, fund of funds (i.e., funds that invest in other funds), and pools are vehicles of choice for alternative investments as they can provide for more diversification and additional layers of oversight. These investments, in total cannot comprise more than 20 % of the Component's portfolio. Private Placements are allowed as long as the Component meets regulatory qualifications and no single issuer can represent more than 10% of the portfolio, with no single issue representing more than 5% of the portfolio.

VIII. DIVERSIFICATION

Investment Officers shall diversify the portfolio(s) by asset classes, maturity, and market sector to minimize market risks and align with the liquidity needs of their institution. Liquidity should be assessed on an ongoing basis to address the diversification needs of the Component.

~~Annually, all Investment Officers shall review the projected cash flow to determine the short, intermediate, and long term cash needs of the institution. Investment Officers are required to review current levels and projections on a rolling quarterly basis and submit these to the component's President each fiscal year end. The CFO shall consider extension risk when diversifying the portfolio.~~

TSUS recognizes that investment risks can result from issuer defaults, market price changes, or various technical complications leading to temporary illiquidity. This ~~R~~ risk is controlled through portfolio diversification.

Diversification shall be considered within major market sectors so that various industries, maturities, markets, domestic and international factors are considered within the portfolio.

A. OPERATING FUNDS

The allocation ranges for the Operating Funds Portfolio are as follows:

	<u>Allocation Range</u>
<u>US Treasuries</u>	<u>0-100%</u>
<u>US Agencies and Instrumentalities</u>	<u>0-100%</u>
<u>Mortgage backed securities</u>	<u>0-100%</u>
<u>Certificates of Deposit</u>	<u>0-100%</u>
<u>Repurchase Agreements</u>	<u>0-100%</u>
<u>Flex per bond issue</u>	<u>0-100%</u>
<u>Local Government Investment Pools</u>	<u>0-100%</u>
<u>Money Market Funds</u>	<u>0-100%</u>
<u>Ownership in the fund</u>	<u>maximum 10%</u>
<u>Ownership in one fund in portfolio</u>	<u>maximum 40%</u>
<u>Commercial Paper</u>	<u>0-100%</u>
<u>Bankers acceptances</u>	<u>0-100%</u>
<u>Municipal debt</u>	<u>0-100%</u>
<u>Corporate debt</u>	<u>0-100%</u>
<u>Collateralized Loan Obligations</u>	<u>0-460%</u>
<u>No Load Bond mutual funds</u>	<u>0-460%</u>
<u>Ownership in fund</u>	<u>maximum 10%</u>
<u>Ownership in one fund in portfolio</u>	<u>maximum 40%</u>

Operating Funds Liquidity Construction

A minimum of 25% of the Operating Funds should be invested in ~~securities~~ investments that are available on a daily liquidity basis to meet short term needs.

B. NON-OPERATING FUNDS

The allocation ranges for the Non-Operating Funds Portfolio are as follows:

Allocation Range

<u>Equity & Equity-Like</u>	<u>0-50%</u>
<u>Fixed Income and Fixed Income-Like</u>	<u>50-100%</u>

Non-Operating Funds Liquidity Construction

A maximum of 50% of the total Operating Funds (Short Term and Long Term) should be invested in Non-Operating Fund **investments**.

The maximum limits for diversification of the total portfolio will be:

	Operating Funds	
	<\$100 M	>= \$100 M
	Max. % of Portfolio	Max. % of Portfolio
US Treasuries	100%	100%
US Agencies and Instrumentalities	100%	100%
Mortgage-backed securities	25%	100%
Certificates of Deposit	35%	100%
Repurchase Agreements	50%	100%
Flex per bond issue	100%	100%
Local Government Investment Pools	100%	100%
Ownership of the pool	5%	N/A
Money Market Funds	100%	100%
Ownership of the fund	5%	10%
Commercial Paper	20%	100%
Any one issuer	5%	N/A
Bankers acceptances	20%	100%
Any one bank	5%	N/A
Municipal debt	20%	100%
Any one issuer	5%	N/A
Corporate debt	25%	100%
Any one issuer	5%	N/A
No Load Bond mutual funds	15%	15%
Ownership of one fund	10%	10%

IX. PROHIBITED INVESTMENTS AND ACTIVITIES

The following are unauthorized transactions and securities for TSUS. Any change in this list shall require amendment of this Policy and adoption by the Board.

- A. TSUS may not purchase or sell financial futures, options, interest rate swaps, or forward rate agreements.
- B. TSUS may not engage in adjusted trading or short sales.
- C. TSUS may not purchase residual interests in CMOs/REMICs, mortgages serving rights, commercial mortgage backed securities, or small business related securities (excluding Small Business Administration debentures). Specific securities that may not be purchased are:
 1. inverse CMO floaters
 2. principal only CMOs
 3. interest only CMOs

- D. TSUS may not purchase 144-A or other private placement securities not registered with the SEC.
- E. Obligations whose payment represents the coupon payments on the outstanding principal balance of the underlying mortgage-backed security collateral and pays no principal; obligations whose payment represents the principal stream of cash flow from the underlying mortgage-backed security collateral and bear no interest; collateralized mortgage obligations that have a stated final maturity date of greater than 10 years; and collateralized mortgage obligations the interest rate of which is determined by an index that adjusts opposite to the changes in a market index.

X. SAFEKEEPING

All securities, including collateral bought under a repurchase agreement, but excluding investment pool funds, certificates of deposit, and mutual funds, shall be settled on a delivery versus payment (DVP) basis. All securities shall be held by System Administration's or the component's depository bank, as applicable, or an independent third party custodian approved by the State of Texas. All securities will be held in the name of System Administration or the component, as applicable. No broker/dealer shall be used for safekeeping purposes.

The third party custodian of TSUS-owned assets shall be required to issue an original safekeeping trust statement to the System Administration or the component, as applicable, on a timely basis describing the specific instrument, coupon, maturity, par, CUSIP, and other pertinent information. The safekeeping receipt shall clearly identify ownership by System Administration or the component, as applicable.

XI. COLLATERALIZATION

All time and demand deposits in financial institutions shall be fully collateralized on balances in excess of federal insurance in accordance with the *TSUS Depository Funds Policy*. Depository agreements shall be executed in accordance with the Financial Institutions Resource, Recovery and Enforcement Act (FIRREA) which requires a written agreement and a resolution from the Bank Board or Bank Loan Committee.

In order to anticipate market price changes and provide additional security for all funds, the collateralization level will be maintained and monitored, at a minimum, at 102% of the value of principal and accrued interest. The pledging institution shall be contractually liable for monitoring and maintaining this margin daily.

Collateral pledged to secure deposits shall be held in the name of System Administration or the component, as applicable, by an independent financial institution outside the holding company of the depository in accordance with a safekeeping agreement signed by authorized representatives of System Administration or the component, as applicable, the depository, and the custodian. A collateral safekeeping trust statement must be issued to the component no less than monthly. All collateral shall be subject to inspection and audit by TSUS or its independent auditors.

Authorized Collateral

The following securities are the only authorized collateral for time and demand deposits:

- A. FDIC insurance coverage.
- B. Obligations of the United States, its agencies or instrumentalities, or other evidence of indebtedness of the United States guaranteed as to principal and interest, including MBS which pass the bank (volatility) test and are eligible as collateral with the Federal Reserve.
- C. Obligations, the principal and interest on which, are guaranteed or insured by the State of Texas or Texas political subdivisions having been rated no less than "A" (or its equivalent) by two nationally recognized rating agencies.
- D. Obligations of any state or of a county, city or other political subdivision of a state having been rated no less than "A" (or its equivalent) by at least one nationally recognized rating agency.

In accordance with the Depository Funds Policy, if a depository offers 'pooled collateral' as a collateral alternative, the Investment Officer shall evaluate the risk and cost of the program and provide that information to the CFO for System Administration for possible approval by the Board.

XII. AUTHORIZED FINANCIAL DEALERS AND INSTITUTIONS

- A. If a business organization (including investment pools and investment management firms under contract) is not utilized, then the transaction requires competitive bidding by at least three broker/dealers.
- B. An "Authorized Broker/Dealer List" of financial institutions and broker/dealers authorized to do business with TSUS shall be compiled and maintained by the CFO for System Administration. All Investment Officers who invest directly will utilize the authorized list. The CFO for System Administration will provide an official list to component Investment Officers.
- C. Authorized broker/dealers will be required to conform with compliance requirements and procedures established by the CFO for System Administration to include the following:
 - brokers/dealers must complete a questionnaire supplying basic firm and broker contact and delivery information,
 - brokers/dealers may be affiliated with a Texas bank, designated by the New York Federal Reserve Bank as "primary dealers" or qualify as regional dealers under the Securities and Exchange Commission's "Uniform Net Capital Rule",
 - brokers/dealers must be FINRA (Financial Institutions Regulatory Authority) ,
 - brokers/dealers must be registered with the Texas Securities Commission, and;
 - brokers/dealers must provide the written certification detailed below.
- D. Certification: A written copy of this Policy shall be presented to any firm or person seeking to engage in an Operating investment transaction with TSUS. This includes

business organizations, brokers/dealers, and banks.

Nothing in this section relieves the investing entity of the responsibility for monitoring the investments made by the investing entity to determine that they are in compliance with this Policy.

- E. The Qualified Representative of the business organization or the broker offering to engage in an investment transaction shall certify in writing substantially to the effect that the registered principal or broker has:
1. received and reviewed this Policy and
 2. acknowledged that the business organization has implemented reasonable procedures and controls in an effort to preclude investment transactions conducted between TSUS and the organization that are not authorized by this Policy except to the extent that this authorization is dependent on an analysis of the makeup of the entire portfolio or requires an interpretation of subjective portfolio standards.

Investment Officers may not acquire or otherwise obtain any investment from a person, bank or firm that has not delivered to the CFO for System Administration this certification as confirmed by the CFO for System Administration.

~~F. Banks providing certificates of deposit or demand deposits shall provide to the CFO for System Administration their most recent audited annual financial statements as soon as legally available.~~

~~Financial institutions providing safekeeping services for the collateral (for repurchase agreements) or securities of the TSUS shall provide financial statements annually and information on the institution shall be maintained by the CFO for System Administration.~~

XIII. INVESTMENT REPORTING AND MONITORING

- A. Investment Officers shall cause to be prepared and review a written report of investment transactions for all ~~investments funds covered under the Public Funds Investment Act~~ not less than quarterly. The report is to be submitted to the CFO for System Administration or designee and any respective component President ~~by~~ the end of the next month following the end of each quarter.

~~Component investment reports and a consolidated report (compiled by the CFO for System Administration or designee) This report will be consolidated into a system-wide summary report to shall~~ be submitted to the Board through the Finance and Audit Committee in the format prescribed by Sec. 2256.023 of the Public Funds Investment Act, ~~and Committee~~, the General Appropriations Act, and requirements promulgated ~~by~~ other oversight agencies.

The reports must:

1. describe in detail the investment position of the component on the date of the report;
2. be prepared jointly by all investment officer(s) of the component;

3. be signed by each investment officer(s) of the component;
4. contain a summary statement of each pooled fund group that states the:
 - (a) beginning market value (defined as trade date with accruals) for the reporting period;
 - (b) ending market value (defined as trade date with accruals) for the period; and
 - (c) fully accrued interest for the reporting period;
5. state the book value and market value of each separately invested asset at the end of the reporting period categorized by the type of asset and fund type invested;
6. state the maturity date of each separately invested asset that has a maturity date (liquid positions will carry next day end dates);
7. state the account, fund or portfolio for which the investment was acquired; and
8. state the compliance of the investment portfolio of the component as it relates to:
 - (a) the investment strategy expressed in this Policy; and
 - (b) relevant provisions of the Act.
9. state the performance investment return in comparison to the established benchmarks as established by this Policy. Investment returns are to be calculated net of fees, using the performance reporting methodology found in the Global Investment Performance Standards Handbook published under the guidance of the CFA Institute
<http://www.cfainstitute.org/ethics/codes/gipsstandards/Pages/index.aspx>

The Global Investment Performance Standards (GIPS Standards) is a set of standardized, industry-wide ethical principles that provides guidance on how to calculate and report investment results.

Market prices are to be obtained from an independent, published source such as the Wall Street Journal, a custodian bank, and/or through a contractual arrangement with a pricing service. MBS prices are not to be obtained from broker/dealers having sold TSUS the MBS.

XIV. BENCHMARKS

The performance of each TSUS component will be measured against a customized blended index. The index will be developed and reviewed each November by a committee of three TSUS Investment Officers or their appointed representatives in consultation with the Investment Consultant. All performance returns shall be stated net of investment management fees. Other applicable indexes matching the specific allocation of the funds (for example international mutual funds or equities) shall be detailed in the component's procedures and included on all monthly and quarterly reporting as a benchmark for these investments.

~~Benchmarks designed to measure performance and risk on the portfolios are established by Policy based on the objectives and limits of cash flow and spending.~~

~~The benchmarks used for reporting purposes will be the 182-day T-Bill rate for Operating Funds, and the Merrill Lynch 1-3 year Treasury for Non-Operating Funds, or other such recognized indices which are most appropriate for the portfolio.~~

XV. AUDITS

- A. TSUS shall have a compliance audit of management controls on investments and adherence to the Public Funds Investment Act and this Policy at least once every two years. The audit shall be performed by the Office of Audits & Analysis or by a private auditor and the results of the audit shall be reported to the Board and the State Auditor not later than January 1 of each even-numbered year.
- B. If a component invests in other than money market mutual funds, investment pools or accounts offered by its depository bank in the form of certificates of deposit or money market accounts, the reports prepared by the investment(s) officers shall be reviewed at least annually by an independent auditor, and the results reported to the Board.

XVI. INVESTMENT POLICY ADOPTION AND CERTIFICATION

This Policy shall be reviewed and adopted annually by the Board. The Policy adopted by the Board shall record any changes made to either the investment policy or investment strategies.

TSUS: Investment Custody Services Agreement

Upon motion of Regent _____, seconded by Regent _____
it was ordered that:

The Texas State University System office be authorized to enter into a systemwide agreement for TSUS component investment custody services with Trustmark National Bank for a term of six years, effective immediately.

Explanation

The services of an investment custodian are necessary to support the System's investment activities outside TexPool. Trustmark's principal office is located in Jackson, Mississippi but it has more than 15 bank and financial services offices in the Houston area. Trustmark has provided TSUS investment custody services to TSUS components since 2010. Trustmark currently serves as investment custodian for a combined \$266.3 million of TSUS financial assets.

CUSTODY AGREEMENT

THIS CUSTODY AGREEMENT ("Agreement") is made and entered into on this the ____ day of _____, 20__, by and between the following component ("Component") of the TEXAS STATE UNIVERSITY SYSTEM, ("System"):

_____ Lamar University
_____ Sam Houston State University
_____ Sul Ross State University
_____ Texas State University
_____ Lamar Institute of Technology
_____ Lamar State College-Orange
_____ Lamar State College-Port Arthur
_____ Texas State University System Office
_____ Other (specify) _____

and Trustmark National Bank. System is a state governmental agency, having its principal office in Austin, Texas. Components are colleges and universities under the management and control of the Texas State University System Board of Regents ("Board"); each is a separate agency of the state of Texas and, therefore, not liable for the obligations of its sister institutions. **TRUSTMARK NATIONAL BANK** is a National Banking Association having its principal office in Jackson, Mississippi, but doing business with 15 offices in Texas ("Trustmark") in its capacity as custodian.

W I T N E S S E T H:

WHEREAS, Component is in possession of certain assets and securities, including cash, and other similar property "Assets"; and

WHEREAS, Component requests that Trustmark hold and/or dispose of all securities or similar property and cash deposited, delivered to or received by custodian; and

WHEREAS, Trustmark has agreed to perform the duties as described below;

NOW THEREFORE, in consideration of the mutual covenants contained herein, and other good and valuable considerations, the receipt and sufficiency of which are hereby acknowledged, Component and Trustmark agree as follows:

Section 1.

(a) Trustmark will hold the Assets in one or more custodian accounts ("Account") which will be opened and maintained in such name(s) as Component shall designate.

(b) Trustmark will have the authority to deposit any part, or all of any Asset with the Depository Trust Company, the Federal Reserve Book Entry System, or any centralized security depository system ("CSDS"), whether presently or hereafter organized in which Trustmark is a participant and which will expedite or make more efficient the disposition of the Assets.

(c) Certificated securities shall be held separate from all other securities or in a fungible bulk. Securities held in a fungible bulk shall be separately identified on Trustmark's official records as being owned by the Board.

(d) The Assets are to be held in the Account in bearer form; with respect to Assets, which are received in registered form, Trustmark is authorized, at its own lawfully and reasonably-exercised discretion, to re-register such Assets in its nominee name or the nominee name of any CSDS, unless alternate registration instructions are furnished to Trustmark in writing. In lieu of re-registration, Trustmark may change the mailing address for debt service by notification to the paying agent.

(e) That any foreign bank acting as Trustmark's custodian/agent or U.S. Custodian's foreign agent, or a foreign clearing corporation is only holding foreign securities or securities required by the foreign country in order for the insurer to do business in that country and a US custodian will hold all other securities.

(f) Trustmark and its agents, upon reasonable request, shall send all reports which they receive concerning Component or its accounts, from a clearing corporation or the Federal Reserve book-entry which the clearing corporation or Federal Reserve permits to be redistributed and reports prepared by Trustmark's outside auditors, to Component, on their respective systems on internal control. The System shall be copied on all such reports.

Section 2. Trustmark is authorized to accept, act upon and rely upon all written instructions given by employee, officer or agent of Component when Trustmark acts reasonably and within the scope of his\her authority. In addition, Trustmark may rely on telephonic instructions or facsimile transmissions provided they are later confirmed in writing by Component. Trustmark will incur no liability as a result of any act in accordance with instructions on which Trustmark is authorized to rely pursuant to the provisions of this section. It shall be the sole responsibility of Component to confirm any telephonic instructions in writing. In lieu of the written confirmation by Component, Trustmark may solely rely on these telephonic instructions.

Section 3. Unless instructed otherwise in writing, the proceeds of sales, redemption collections, and other receipts and dividend and interest income will be credited to the Account in accordance with standard industry practices.

Section 4.

(a) In no event shall Trustmark be responsible for taking any action concerning any puts, calls, conversions, exchanges, reorganizations, offers, tenders or similar matters relating to the Assets held in the Account, other than to transmit to Component or the appropriate agent all information relating to any such transaction received by Trustmark from any offerors or otherwise. Component agrees that its instructions to Trustmark with respect to tender offers must be in writing and delivered to Trustmark within sufficient time for it to act thereon if any action is required. Trustmark may act upon emailed instructions.

(b) All proxies and material pertaining thereto received by Trustmark in connection with stocks, bonds, securities and other property held in the Account, whether physically or on deposit with the CSDS, are to be voted in accordance with Component's written instructions to Trustmark.

(c) Should any securities held in a CSDS be called for a partial redemption by the issuer of such securities, Trustmark is authorized to accept allocation as determined pursuant to the program therefore then in effect at such CSDS or, in the absence of any such program, in Trustmark's reasonably-exercised discretion, to allot the called portion to the respective holders in any manner deemed to be fair and equitable.

(d) Recapitalizations and stock distributions will be credited to the account within three business days after the Stock Exchange due bill redeemable date (ten business days after payable date) in order to comply with the normal course of settling Trustmark's position at CSDS and to provide sufficient time to allocate these shares to the Account. Stock dividends will be credited on payable date.

(e) Trustmark is authorized to exchange temporary certificates for definitive certificates, and old certificates for new or over stamped certificates evidencing a change therein.

Section 5. Trustmark will bill the Account for all costs incurred by Trustmark in connection with Trustmark's receipt of Assets for the Account. Trustmark is not obligated to effect any transaction or make any payment in connection therewith unless there are sufficient available funds on deposit in the Account or funds have otherwise been made available to it. Should payments made by Trustmark with respect to transactions pursuant to this Agreement exceed available funds and result in an Account overdraft, such overdraft shall be deemed a loan from Trustmark in the same amount, payable on demand and bearing interest at the rate customarily charged on similar loans.

Section 6.

(a) Trustmark agrees to provide Component with monthly written and/or electronic reports of transactions and holdings in the Account. To the extent that certain information maintained by Trustmark is relied upon by Component, in preparation of its annual statement and supporting schedules, Trustmark agrees to maintain records sufficient to determine and verify such information. Upon written request from a regulator or an authorized officer of Component, Trustmark shall provide the appropriate affidavits, with respect to Component's securities held in the Account.

(b) Trustmark shall be under no duty or obligation to take any action with respect to any property held in the Account except to the extent of any properly issued instructions by System or by its appropriate agent.

(c) Trustmark is authorized and empowered in Component's name and on its behalf to execute any certificates of ownership or other reports, declarations or affidavits which Trustmark is or may hereafter be required to execute and furnish under any regulation of the Internal Revenue Service, or other authority of the United States, so far as the same are required in connection with any Asset which is now or may hereafter be held in the Account, claiming no exemptions on Component's behalf.

(d) During regular business hours, and upon reasonable notice, an officer or employee of Component or System, an independent accountant selected by Component or System, and a representative of an appropriate regulatory body shall be entitled to examine, and copy on the premises of Trustmark, its records relating directly or indirectly to securities or other documents, in whatever form they may exist, upon written instructions of an authorized officer of Component.

(e) Trustmark shall secure and maintain insurance protection in an adequate amount.

Section 7. Component agrees to compensate Trustmark as described in Exhibit A to this Agreement.

Section 8.

(a) Trustmark may act in reliance upon any authorized written or oral instructions or instrument or signature which it reasonably believes to be genuine, may assume the validity and accuracy of any statement or assertion contained in such a writing or instrument. Trustmark shall not be liable in any manner for the sufficiency or correctness as to form, manner and execution, or validity of any instrument deposited with it, nor as to the identity, authority or right of any person executing the same; and its duties hereunder shall be limited to those specifically provided herein.

(b) Trustmark shall not be liable for any failure to take any action required to be taken hereunder in the event and to the extent that the taking of such action is prevented or delayed by war (whether declared or not and including existing wars), revolution, insurrection, riot, civil action, act of God, accident, fire, explosions, stoppage of labor, strikes or other differences with employees, laws, regulators' orders or other acts of any governmental authority, or any other cause whatever beyond its reasonable control.

(c) Trustmark is obligated to indemnify Component for any loss of securities occasioned by the negligence, gross negligence or dishonesty of Trustmark's officers or employees, or burglary, robbery, holdup, theft, or mysterious disappearance, including loss by damage or destruction. In the event of a loss of the securities for which Trustmark is obligated to indemnify Component, the securities shall be promptly replaced or the value of the securities and the value of any loss or rights or privileges resulting from said loss of securities shall be promptly replaced.

(d) Trustmark may consult with counsel of its own choice and shall have full and complete authorization hereunder for any actions taken or omitted to be taken in reliance upon the opinion of such counsel.

Section 9. Upon termination of this Agreement, Trustmark will transfer to Component or its designated agent the Assets of the Account. Component shall remain responsible for Trustmark's fee and documented expenses. In addition, if 100% of the Assets of the Account are withdrawn, this Agreement terminates and within three Component business days of the termination, Trustmark shall provide written notification to Component's domiciliary insurance commissioner.

Section 10. This Agreement contains the whole of the understanding between Component and Trustmark, and no provision hereof shall be modified or altered except in writing signed by both parties to this Agreement.

Section 11. This Agreement shall be governed by the laws of the State of Texas, wherein venue shall lie in Travis County district court, shall be binding upon the parties, their successors and assigns, and shall be deemed continuing until terminated by either party upon at least sixty (60) days prior written notice to the other. Nothing herein shall be construed as a waiver of the constitutional, statutory, and common law rights, privileges, immunities and defenses of the parties.

Section 12. If any provision of this Agreement is deemed to be invalid or unenforceable, such determination shall not affect the validity or enforceability of any other provisions of this Agreement.

Section 13. Trustmark is authorized to invest all cash proceeds in all Accounts in the Performance Money Market Fund until Trustmark receives a written request from Component, which shall designate another money market fund. Trustmark is authorized to invest in the Performance Mutual Funds or any other open-end or closed-end management type investment company or investment trust registered under the Investment Company Act of 1940, as from time to time amended, notwithstanding the fact that Custodian or any of its affiliates is providing services to such investment company or investment trust and is receiving reasonable compensation for such services, in addition to Custodian's compensation hereunder. Trustmark National Bank serves as investment advisor to the Performance Mutual Funds and is compensated for such services.

Section 14. Any notice, authorization, request, or demand required or permitted hereunder shall be in writing and be deemed to have been duly given when mailed by registered mail or certified mail, return receipt requested, postage prepaid addressed as follows:

To Component:

(Name of Component)

(Name of Component Contact)

(Address)

(City, State, Zip Code)

Telephone: _____

Fax: _____

Email: _____

To Trustmark:

Trustmark National Bank
Custody Department, Suite 820
P.O. Box 291
Jackson, MS 39205
Fax: (601) 208-6383
Telephone: (601) 208-2347
Email: scarter@trustmark.com

Section 15. The resolution of the Texas State University System setting forth the names and signatures of parties authorized to give notices and instructions in connection with this custody Agreement, are attached hereto as Exhibit B.

Executed as of the date contained herein.

Texas State University System

By: _____

Title:

ATTEST:

TRUSTMARK NATIONAL BANK

By: _____

**W. Sanders Carter, Jr.
Title: First Vice President and
Trust Officer**

ATTEST:

COMPONENTTAX IDENTIFICATION NUMBER - _____

DAILY REPORTING OF SECURITY TRANSACTIONS

I understand that according to Regulation 12CFR 12.5(a) I have a right to be notified in writing of security transactions in my account within five (5) business days from the date of the transaction, or if a broker/dealer is utilized, within five (5) business days from the receipt by Trustmark of the broker/dealer's confirmation at no additional cost.

By signing below, I acknowledge that I DO NOT wish to receive written notification of the security transactions in my account.

TAX LOT ACCOUNTING

Federal law requires that each client must advise their financial institution of their desired tax lot selection method. The method that has been predominantly used is LTHC (which is longest held, highest purchase price) resulting in reducing gains to the lowest level possible. The new law requires that if no selection is made, FIFO (which is first security purchased, first security sold) will be used.

Please check the tax selection method desired below. Remember, if no response is provided, the FIFO method will be used. Otherwise, you must check the method you prefer.

I hereby request that my account have the following Tax Lot Selection Method:

<input type="checkbox"/>	H	HIFO(highest in, first out)
<input type="checkbox"/>	L	LOFO(lowest in, first out)

	F	FIFO(first in, first out)
	I	LIFO(last in, first out)
	A	Average
	S	Select
	T	LTHC(long term high cost)
	M	MLMG(maximize loss minimize gain)

BY:

Date:

Exhibit A

Fees

Account fees:

Mutual Funds – 5 basis points

Domestic Equity Separate Accounts - 5 basis points

Domestic Fixed Income Separate Accounts - 5 basis points

International Equity Separate accounts - 6 basis points

International Fixed Income Separate Accounts - 6 basis points

Commingled Pools - 6 basis points

Limited/Partnerships - 6 basis points

Certificates of Deposit – 30 basis points

Minimum fee - \$1000 per relationship or \$500 per account

Termination fee

Actual cost of transfers of securities

Extraordinary Expenses

Any out-of-pocket expenses associated with extraordinary events

Wire Fees

An account is allowed 15 free domestic, outgoing wire transfers per calendar year; thereafter, Trustmark charges \$15 for domestic, outgoing wire transfers and \$35 for international, outgoing wire transfers. There are no charges for incoming wires.

CERTIFIED COPY OF BOARD RESOLUTION

I hereby certify that at a meeting of the Board of Regents of **Texas State University System**, located in Austin, Texas, ("System"), held the _____ day of _____, 20____ at which said meeting a quorum was present and

acting throughout, the following resolution was adopted and ever since has been and is now in full force and effect:

"RESOLVED, System and Trustmark National Bank (the "Bank") entered into a Custodian Agreement dated _____, ____, 20____ (the "Agreement"), which Agreement is hereby ratified and confirmed.

RESOLVED, that whereas the terms of the Agreement require certain officers and authorized signatories to be designated by System to direct the Bank on its matters. Accordingly, it is hereby resolved that any one of the Authorized Signatories of System, are fully authorized and empowered to give instructions, either orally or written, or to direct or otherwise move assets and/or cash held in its accounts at the Bank. This authority to direct includes, but is not limited to, transfers of assets or cash by wire transfer, check or transfer to other accounts of System and/or to accounts of third parties either within or outside the Bank, approving and/or delegating settlement instructions or responsibilities for trades or any other disposition of funds or assets. The aforesaid Officers and Authorized Signatories are as follows:

Authorized Signatory:

_____, _____
Name Signature

Authorized Signatory:

_____, _____
Name Signature

RESOLVED, that the Board has retained the following investment advisor(s), as its agents, to instruct Bank on the investment of all securities held in System's account(s), and to the retention of additional money mangers:

Asset Strategy Consultants

RESOLVED, that the Bank may rely on and will be indemnified by System in acting upon any instruction believed by it to be genuine and to have been orally or communicated in writing signed by one of the above designated Officers, Authorized Signatories or Investment Managers."

I further certify that the actions taken through the Resolution are consistent with the Charter of this System as of the present date and that the signatures are genuine specimen signatures of the said officer and authorized signatories.

IN witness whereof, I have hereunto set my hand and the Seal of said Resolution, this the ____ day of _____, 20____

Secretary, Board of Regents

SEAL

**Texas State University System
Finance and Audit**

CONSENT ITEMS

5. TSUS: CONSENT: Foundation Reports
6. TSUS: CONSENT: Travel Reports
7. TSUS: CONSENT: Operating Budget Adjustments FY2014
8. TSUS: CONSENT: Operating Budget Adjustments
9. TSUS: CONSENT: Statements of Budgeted Fund Balances
10. TSUS: CONSENT: Status of Implementation of Audit Recommendations
11. TSUS: CONSENT: Quarterly Investment Report

Lamar University Foundation, Inc.

Annual Report to the Board of Regents

Purpose:

The Lamar University Foundation is a non-profit corporation formed for exclusively charitable, educational, and scientific purposes and to assist in the development of Lamar University. Under the control and direction of the Board of Trustees, the Foundation oversees the management of expenditure of funds for the purposes of assisting the University in obtaining and maintaining the best faculty, staff and students, and for the establishment and maintenance of facilities and laboratories to be used by the University; and for the advancement of research and other literary and scientific undertakings. The Foundation accepts donations, gifts and grants of money and property, and administers the same. The Lamar University Foundation manages the investments, and serves as trustee of the endowment funds and other private assets contributed for the benefit of Lamar University.

Officers:

Joseph F. Domino, President
Chuck Mason, Vice President
Sandra F. Clark, Treasurer
Richard Price, Secretary
Marsha Hoffer, Past President

Board of Trustees:

Mike Aldredge	Terry Garth	Kevin Roy
Elizabeth Alexander	Elaine Henry	William Scott
Jimmy Booker	Dr. Edward Hurwitz	Bart Simmons
Mike Burrow	Robert Jones	J. Mark Smith
Dr. Tamerla Chavis	Larry Lawson	Michele Smith
Grady Crawford	Don Lyle	Henry Strait
Colby Crenshaw	Nell McCallum Morris	Roy Steinhagen
James Crump	Sina Nejad	Mike Turner
Dr. Barry Davis	Anita Riddle	Joe Vernon
Mark Eddingston	Ellen Rienstra	Joe Williams
Grace England	Carl Roth	Paige Windham

Honorary Trustees

Michael Grimes
William Mitchell
Dr. Charles Turco

Financial Information as of August 31, 2014

Assets	\$70,795,127
Income/Contributions	\$12,857,920
Expenditures	\$ 1,905,212

Summary of Activities

The Foundation's bi-annual meetings were scheduled for October 22, 2013, and April 22, 2014.

The current slate-of-officers are in the second year of two-year terms, which expire August 31, 2016. The Foundation manages approximately \$70 million in assets and provided over \$1.9 million during FY14 in support of Lamar University in the form of scholarships, faculty and department support, and research activities.

ANNUAL FINANCIAL REPORT

for the fiscal year ended August 31, 2014

**SAM HOUSTON UNIVERSITY
FOUNDATION**
Huntsville, Texas

**UNAUDITED
ANNUAL FINANCIAL REPORT**

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**UNAUDITED
ANNUAL FINANCIAL REPORT**

**BOARD OF TRUSTEES
SAM HOUSTON UNIVERSITY FOUNDATION**

August 31, 2014

OFFICERS

Richard A. Hartley
Leo T. Metcalf
Ferne S. Frosch
Erin M. Steele

President
Vice President
Treasurer
Secretary

TRUSTEES

Charles E. Amato
Robert L. Bruner
Robert E. Hutson
Preston Johnson

Jack C. Parker
Don A. Sanders
William P. Thomas
Gary L. Whitlock

TRUSTEE EMERITUS

Letcher N. Sikes

Sam Houston University Foundation
Statement of Net Assets
For the Fiscal Year Ended August 31, 2014

<u>Cash & Cash Equivalents</u>	FY2014	FY2013
Cash - 1st Rate Account FNB	\$17,314.10	\$16,532.93
Money Market - Invesco	\$4,980.34	\$4,980.34
<u>Investments - Fixed Income</u>		
FNB-CD	\$0.00	\$100,605.54
G.E. Capital CD	\$0.00	\$60,000.00
Frisco SD Bonds	\$109,824.00	\$105,719.00
Walker County CO (Jail)	\$103,566.00	\$102,637.00
Huto ISD Bonds	\$147,199.50	\$150,000.00
White Settlement ISD Bonds	\$58,797.00	\$60,000.00
Sherman ISD Bonds	\$48,666.00	\$46,726.50
N.W. ISD Bonds	\$48,666.00	\$45,187.50
Wylie ISD Bonds (Hoyt Endowment)	\$49,188.00	\$48,070.50
Alamo Heights TX ISD	\$24,364.00	\$0.00
Allen TX ISD	\$175,155.75	\$0.00
<u>Investments - Partnerships</u>		
Enterprise Prods Parners L Com	\$97,512.00	\$0.00
Kinder Morgan Energy Partnerut LTD Partner	\$87,705.80	\$0.00
<u>SHSU Agency Accounts</u>		
President's Discretionary Fund	\$52,589.53	\$23,095.44
President's Circle	\$2,813.11	\$4,658.57
Baseball Video Board	\$0.00	\$40,000.00
Crazy Ant & Fire Ant Research	\$33,675.25	\$15,578.52
Unrestricted Gifts	\$200.00	\$0.00
<u>Mineral Rights</u>		
Foster Property - Walker County	\$1.00	\$1.00
Adams/Lundy Property - Houston County	\$1.00	\$1.00
Gibbs Ranch - Walker County	\$1.00	\$1.00
<u>Real Estate</u>		
Lot 10, Block 7, Unit 1, Waterwood	\$18,100.00	\$18,100.00
Total Assets	<u><u>\$1,080,319.38</u></u>	<u><u>\$841,894.84</u></u>
<u>Liabilities</u>		
Liabilities	\$0.00	\$0.00
<u>Net Position</u>		
Fund Balance	\$1,080,319.38	\$841,894.84
Total Liabilities & Fund Balance	<u><u>\$1,080,319.38</u></u>	<u><u>\$841,894.84</u></u>

Sam Houston University Foundation
Statement of Revenue, Expenditures, & Changes in Net Assets
For the Fiscal Year Ended August 31, 2014

Revenue

Interest Income	\$21,838.84
Oil & Gas Royalties - Warrior (Adams/Lundy)	\$6,808.50
Endowment Gifts	
- Endowment - John Hoyt	\$25,000.00
- Endowment - Ethel Nicholson	\$166,803.54
Unrealized Gain	\$45,828.00
SHSU Agency Interest Income	\$49.53
SHSU Agency Unrestricted Gifts	\$64,950.00

Total Revenue **\$331,278.41**

Expenditures

Operations	\$293.77
Association Dues	\$375.00
Attorney Fees	\$700.00
Taxes	\$254.36
FNB Trust Fee	\$3,416.23
Scholarship Support	
- SHSU Honors - Let's Talk 2015	\$10,000.00
- SHSU Honors - Enrichment	\$10,000.00
- Endowment - Hoyt	\$2,218.00
- Endowment - Nicholson	\$5,568.00
SHSU Agency Operations	
- SHUF - President's Circle	\$12,289.50
- SHUF - Crazy Ant and Fire Ant	\$48,018.85
- SHUF - Baseball Scoreboard	\$60,000.00
- SHUF Discretionary	\$1,710.12

Total Expenditures **\$154,843.83**

Net Income (Deficit) **\$176,434.58**

Net Position, September 1, 2013 \$841,894.84

Restatements \$61,989.96

Net Position, September 1, 2013, Restated **\$903,884.80**

Net Position, August 31, 2014 **\$1,080,319.38**

Sul Ross State University Friends of the
Center for Big Bend Studies

Annual Foundation Report to the Board of Regents
As of August 31, 2014

Purpose of the Organization:

The Sul Ross State University Friends of the Center for Big Bend Studies Foundation is a non-profit corporation created in September 2003 exclusively for charitable, educational, and scientific purposes in support of Sul Ross State University's Center for Big Bend Studies. These purposes include but are not limited to: a) raising funds to support the mission and programs of the Center including research, fieldwork and reporting on archeological studies of the Big Bend Region of Texas; b) promoting the Center for Big Bend Studies as it fosters interdisciplinary scholarship of the diverse prehistoric, historic and modern cultures of the borderlands region of the United States and Mexico; c) providing funds in support of the operations of the Center as well as special projects of the Center; d) assisting the Center in other endeavors as the Board may deem appropriate.

Board of Directors:

J. Travis Roberts, Jr., President
G.E. (Pete) Peterson, Vice President
Kathleen Olsen, Secretary
Homer Mills, Treasurer
David Cockrum
Linda Duncan
Iris Korus
Roxana McAllister Richardson
Ike Roberts

Financial Information as of August 31, 2014:

Assets:	\$78,881
Income FY14:	\$262,994
Expenditures FY14:	\$303,407

Summary of Activities:

The Sul Ross State University Friends of the Center for Big Bend Studies Foundation was incorporated in September 2003. The TSUS Board of Regents approved the Board of Directors at its August 2004 meeting and the Directors held their organizational meeting on September 8, 2004. Semiannual meetings have been regularly held each year in September, November, or March. The latest meeting was held on September 26, 2014. Since its inception, the organization's activities have been primarily fundraising to support the mission and programs of the SRSU Center for Big Bend Studies. All of the expenditures noted above were made to provide funds in support of the Trans Pecos Archeological Program of the Center for Big Bend Studies. In addition, a small administrative fee is paid to Sul Ross State University annually. This organization has been very instrumental and active in helping the Center raise funds to replace reduced appropriations and to support new initiatives.

Sul Ross State University Support Organization

Annual Foundation Report to the Board of Regents
As of August 31, 2014

Purpose of the Organization:

The Sul Ross State University Support Organization is a non-profit corporation created in September 2003 exclusively for charitable, educational and scientific purposes in support of Sul Ross State University's programs and activities. These purposes include but are not limited to: a) raising funds to support the mission and programs of Sul Ross State University; b) promoting Sul Ross State University as it fosters and enhances higher education opportunities in its service region; c) providing funds in support of the operations, projects and programs of Sul Ross State University; d) assisting Sul Ross State University in any other endeavors as the Board may deem appropriate.

Board of Directors:

David Rogers, President
Vacant, Vice President
Paula Denton, Treasurer
Chip Love
Robert Potts
Vacant
Vacant
Vacant
Vacant

Financial Information as of August 31, 2014:

Assets:	\$1,445,422
Income FY14:	\$ 442,733
Expenditures FY14:	\$ 61,871

Summary of Activities:

The Sul Ross State University Support Organization Foundation was incorporated in September 2003. The TSUS Board of Regents approved the Board of Directors at its August 2004 meeting and the Directors held their organizational meeting on November 1, 2004. Subsequent meetings were held on November 21, 2005 and September 2, 2008. During FY2014, the Board met four times. The organization's activities have been directed primarily at fundraising to support the mission and programs of the University. Most of the funds received and expended to date have been in support of the Museum of the Big Bend. The board went through a reorganization and the current officers were recently elected. Several positions on the board are currently vacant and potential new members are being identified. These officers are expected to be an active group and an asset as we develop our new campaign. We continue to evaluate the Support Organization Foundation so that it can be a great asset in attracting funds in support of all the University's programs and activities. The Foundation in February 2012 received a donation of \$1 million in support of the Borderlands Research Institute and in February 2014 signed a Memorandum of Understanding with the Dixon Water Foundation establishing the *Clint Josey Endowed Chair for Sustainable Ranch Management*. The Dixon Water Foundation commitment is for \$1,200,000 to be paid over six years. The Board selected South Texas Money Management as its investment advisor and since November 2013 invests in equities, mutual funds, and fixed income investments.

Texas State Alumni Association
Annual Report to the Board of Regents

Purpose

The Texas State Alumni Association promotes the future by embracing the past through the contributions and talents of alumni and friends. The Association is the link that forges a strong bond between alumni and the University. We serve present and future alumni by actively supporting the goals, values, and accomplishments of Texas State.

Membership

Membership in the Texas State Alumni Association is open to and consists of graduates of the University, former students, friends, parents, and current students.

Board of Directors Executive Committee:

President – Dionicio Flores, El Paso
First Vice President – Andrae Turner, Houston
Second Vice President – Norma Essary, Bedford
Chief Finance Office – Gilbert Rodriguez, Del Rio
Immediate Past President – Chuck Dicker, New Braunfels
Executive Director – Kim R. Gannon, New Braunfels

Financial Report

During FY14 the Association reported payments of \$76,500 in student scholarships. At August 31, 2014, Texas State University held \$232,303.54 in deposits considered “Held in Trust for Others – Agency Funds” on behalf of the Association. Agency funds are assets not owned by the University, but held in custodianship, to be used or withdrawn by depositors at will. Agency Fund resources, including those of the Association, are reflected in the University’s financial records as cash and cash equivalents with a corresponding liability to the depositing organizations. * The Alumni Association administers Endowed Scholarships to students attending Texas State University with a fair market value of \$177,270.

*Method of fund calculation was changed in 2013 to reflect endowments solely benefiting to the Alumni Association.

Association Balance Sheet as of August 31, 2014:

Cash in Agency Accounts	\$ 232,303.54
Frost Website	323,976.90
Frost Investment Trust **	852,321.82
Frost Reserve	984,939.03
Certificate of Deposit	100,000.00
Fixed Assets	<u>24,000.00</u>
Total Assets	<u>\$ 2,517,541.29</u>

**The investment policy established by the Alumni Association Board of Directors dictates that fifty percent of the endowment is to be invested in fixed income and fifty percent is to be invested in conservative equities. Investment decisions and purchases are handled by the Frost Bank Trust Department, San Antonio, Texas.

Summary of Activities

The efforts and funds of the Texas State Alumni Association, Inc were dedicated to the university in student scholarships, campus support; alumni outreach activities and membership activities. Numerous alumni social, educational and promotional events occurred on and off campus. Many of these events had joint sponsorship from key campus departments or groups.

Texas State University Development Foundation

Annual Report to the Board of Regents

Purpose

The Texas State University Development Foundation is formed exclusively for educational and scientific purposes to manage endowment funds designated for the sole purpose of Texas State University.

Membership

The board of trustees for the fiscal year ending June 30, 2014, were:

Vernetta Westbrook, Chair	Kenneth Huewitt, Vice Chair	Richard "Rusty" Phillips, Treasurer
Dedee Roberts, Secretary	Scott Barnes	Michael Bowman
Dan Diepenhorst	Richard "Rich" Durand	Matt Edgar
Donicio (Don) Flores	Steve Gregg	O.C. Haley
Julia Hamon	Dottie St. Clair Hill	Carol Huntsberger
Hope Koch	Thomas Kowalski	Bonnie Longcope
Paige Lueking	Lyndon Nugent	Brandy Orsag
Dan Pearson	Richard Pettit	Bill Poston
Patrick Rose	John Schott	Cindy Sexton
Mark Smith	James Taylor	Susan Wittliff

Financial Report

During the fiscal year ending June 30, 2014, the Texas State University Development Foundation remitted to the University \$1,182,202.46 for student scholarships and \$1,531,576.83 for other support. Contributions to the Foundation are permanently restricted gifts of \$2,278,948.01, temporarily restricted gifts of \$951,493.33. The Foundation's ending net assets as of June 30, 2014, are \$70,701,910.73.

BDO USA, LLP audited the statement of financial position as of August 31, 2013. It was determined that the results of the Foundation's operations and changes in net assets, and its cash flow for the year ended in conformity with generally accepted accounting principles.

Summary of Activities

The Foundation manages 456 endowments, 7 quasi-endowments, 17 non-endowment accounts, 14 annuities, and 2 charitable remainder trusts. Within the Foundation's endowments, 38 have fair market values less than \$10,000; 418 of the endowments have fair market values in excess of \$10,000; 327 of the endowments have fair market values in excess of \$25,000; and there are 7 new endowments with fair market values in excess of \$25,000.

Texas State University McCoy College of Business Administration Development Foundation

Annual Report to the Board of Regents

Purpose

Celebrating its tenth anniversary in 2014, the McCoy College of Business Administration Development Foundation (Foundation) is approved by the IRS as an independent 501c.3. non-profit corporation that serves exclusively for the benefit of the McCoy College of Business Administration (College). By Regental agreement, the Foundation is the primary recipient and fiscal manager of new major gifts to the College – with the University development staff leading the acquisition of new gifts that the Foundation Board of Directors manages for the College.

Membership

The Foundation Directors for the fiscal year ending August 31, 2014 were:

Mr. Brian F. McCoy, President, San Marcos, TX	Mr. Jesse C. Luxton, Vice President, Leakey, TX
Dr. William T. Chittenden, Secretary, Seguin, TX	Mr. Rick H. Bell, Treasurer, San Marcos, TX
Mrs. Miriam M. McCoy, Director, San Marcos, TX	Mr. C. Patrick Oles, Jr., Director, Austin, TX
Mr. R. Tom Roddy, Director, San Antonio, TX	Dr. Denise T. Smart, McCoy College Dean and Director, San Marcos, TX
Dr. Denise M. Trauth, University President and Ex Officio Director, San Marcos, TX	Dr. Ann L. Watkins, Director, New Braunfels, TX
Dr. E. E. "Gene" Payne, Executive Director, Austin, TX	

Financial Report

Since its founding in 2004 through August 31, 2014, - the Foundation:

Has, since 2004, received total contributions:	\$ 27,755,894
Has, since 2004, made distributions to the College for enhancement:	\$ 6,309,131
Has, currently, estimated total Assets of:	\$ 35,259,306
Has, currently, estimated accrued Liabilities of:	\$ 18,300

Has, with the lead of the Development staff, helped donors establish 56 new endowments – nine in the last year – including:

- Two Chairs fully-funded with gifts of \$2 million and \$2.1 million.
- Four Chairs half-funded with a gift of \$1 million for each.*
- Five Professorships fully-funded with gifts ranging from \$600,000 to \$690,500 for each.
- One Professorship half-funded with a gift of \$300,000 and a pledge of \$300,000.
- Four Professorships half-funded with a gift of \$300,000 for each.**
- Two Graduate Fellowship-Scholarship programs with gifts of \$1,550,000.
- Twenty-six scholarship programs with gifts of \$4,490,485.
- Three faculty development programs with gifts of \$3,202,070.
- Six student development programs with gifts of \$2,826,885.
- One Academic Department Program Development endowment with a pledge of \$50,000.
- A College General & Program endowment with numerous gifts totaling \$3,069,238

*Two half-funded Chairs, until fully-funded, can be combined to provide support for one Chair position.

**Two half-funded Professorships, until-fully-funded, can be combined to provide support for one Professorship position.

Summary of Activities

The elite of the many thousands colleges of business across the globe are the 700-plus AACSB accredited institutions. McCoy College is one of these accredited institutions. Since the creation of the McCoy Foundation in 2004, *the College of Business at Texas State has moved from the bottom quarter to the top quarter of AACSB institutions in size of endowment.*

An independent, external audit is conducted annually. Every year the Foundation has received a “clean opinion” – with all operations in conformity with generally accepted accounting principles. Audit reports are provided by the Foundation to the University Administration. The Foundation pays for its operating expenses (including staff salaries, accounting, supplies and auditing) which totaled \$78,165 in FY2014, or less than three tenths of 1% of the assets of the Foundation. Fees for investment management were less than 1.0% of the assets.

Texas State University Research Foundation

Annual Report to the Board of Regents

Purpose

The Texas State University Research Foundation is organized and operated exclusively for charitable, scientific, and educational purposes under Internal Revenue Code §501(c)(3). The Foundation will facilitate acquiring sponsored research funds from public and private sources and manage such funds if requested to do so by the University, solicit donations to support activities of the Foundation, pursue appropriate legal protection for proprietary technologies developed through University research, hold and manage real and intellectual property assets on behalf of the University, and promote commercialization of research products and transfer of University technologies to appropriate partners for further development and commercialization.

Membership

Board of Directors:

Dr. Denise M. Trauth, Chair

Dr. Eugene Bourgeois, President

Dr. Billy Covington, Executive Director

Financial Report

An Annual Financial Report for the fiscal year ended February 28, 2014, was reviewed and approved by the Foundation's Board members. Total revenues and expenditures were \$2,569.00 for the fiscal year ended February 28, 2014.

Summary of Activities

The grant proposal entitled Sarcoidosis Research Initiative received the full amount of \$35,000 from HRJ Consulting Ltd., a Canadian firm, during fiscal year 2014.

The grant proposal entitled Texas State University: Project Closing the Gaps for Student Veterans received the OK to proceed with negotiations of an awarding document for \$231,637. Terms and conditions are currently being reviewed and negotiated with the assistance of TSUS System Legal counsel. This grant is expected to be funded for \$150,000.

Texas State University Support Foundation

Annual Report to the Board of Regents

Purpose

The Texas State University Support Foundation is a non-profit corporation formed for exclusively charitable, educational, and scientific purposes and to assist in the development of Texas State University under the operation, control and management of the Board of Trustees, including the expenditure of funds for the purpose of assisting the University in obtaining and maintaining the best faculty and staff available, and for the establishment and maintenance of facilities and laboratories to be used by the University; for the advancement of research and other literary and scientific undertakings; and to accept donations, gifts, and grants of money and property, to administer the same, and to expend funds upon a charitable, educational, or nonprofit basis.

Membership

Board of Trustees

Stanley J. Naumann, Chairman
Valarie R. Van Vlack, Treasurer
Wallace D. Dockall, Member
Jean Barber, Member
Dr. Sylvester Walleck, Member
Jimmie Scott, Member

William A. Nance, Assistant Chairman
McBride Wilson, Secretary
Valerie Morrison, Member
Terry Serur, Vice Chairman
Ed Boney, Member

Financial Report

Information as of August 31, 2014

Assets	\$ 332,183.89
Income	\$ 3,286.65
Expenditures	\$ 6,230.28
Contributions to Texas State Support	\$ 3,600.00

Summary of Activities

Expenditures for fiscal year 2014, in the amount of \$6,230.28, were primarily in support of University and Foundation administrative expenses. Contributions to Texas State University for educational support totaled \$3,600.

LAMAR INSTITUTE OF TECHNOLOGY FOUNDATION
Annual Foundation Report to the Board of Regents

Purpose:

The Lamar Institute of Technology Foundation was established as a nonprofit corporation under the laws of the State of Texas and is organized exclusively for charitable educational purposes within the meaning of Section 501(c)(3) of the Internal Revenue Code and its Regulations. The purposes of the Foundation are: (i) to provide support to Lamar Institute of Technology by soliciting, receiving, and accepting gifts of money and other property—tangible or intangible, real, and personal from the general public, including individuals, corporations, and other entities and sources; (ii) administer, manage, invest, and reinvest such money and property; (iii) apply and expend the income and proceeds thereof for such purposes, all to or for the benefit of the Lamar Institute of Technology; (iv) employ or retain any bank, trust company, or financial institution to guide the Foundation in the investment and management of its real and personal property.

Board of Directors:

Shawn Oubre, <i>President</i>	Alice Cater	Dr. Mattie Londow
Pat Calhoun, <i>Vice President</i>	Bessie Chisum	Jean McFaddin
Rod Carroll, <i>Treasurer</i>	M.I. "Margie" Conway	Walter (Eric) Newby
Pat Avery, <i>Secretary</i>	Shaun P. Davis	David Parmer
Dean Robinson, <i>Past President</i>	Greg Gentry	J. Hoke Peacock II
Eddie Arnold	Daryl Gilbert, Sr.	Vernon Pierce
Dalton Babineaux	Guy Goodson	Raymond Polk
Danny Babineaux	Kyle Hayes	Jim Rich
A. B. Bernard	Brandon Hebert	C.A. "Pete" Shelton
Tyrus Bordelon	Gisela Houseman	Dr. Joel Lane Smith
Arthur "Ted" Boumans	Chris Ingram	Tim Sudela
Don Burnett	Kathleen Jackson	David Thornhill
Micki Carpenter-Platt	Chuck Kalkbrenner	Greg Willms

FY14 Financial Information as of August 31, 2014:

Net Assets:	\$3,361,773.57
Income/Contributions:	\$951,748.51
Expenditures:	\$156,401.42

Summary of Activities:

The Foundation manages fifty-two endowments. Six of the endowments have fair market values less than \$10,000; twenty-three of the endowments have fair market values in excess of \$10,000; twenty-three of the endowments have fair market values in excess of \$25,000; there are nine new endowments.

Cash contributions for FYE 2010 were \$257,589.97; cash contributions at FYE 2011 were \$315,976.10; cash contributions at FYE 2012 were \$333,823.26; cash contributions for FYE 2013 were \$483,386.60; cash contributions for FYE 2014 were \$591,907.38

The target asset allocation of the Foundation portfolio recommended by the Board of Directors is that ten to fifteen percent is to be invested in cash and equivalents, thirty to fifty percent is to be invested in fixed incomes and sixty to seventy-five percent is to be invested in equities. 7.7 percent was invested in cash and equivalents, 33.10 percent was invested in fixed incomes and 59.20 percent was invested in equities.

LAMAR STATE COLLEGE – ORANGE FOUNDATION, INC.

Lamar State College-Orange Foundation
Annual Foundation Report to the Board of Regents

Purpose:

Lamar State College-Orange Foundation, Inc. is a non-profit organization, which was established in December 1983, to support the development and promotion of Lamar State College-Orange, its students, faculty, staff, and physical facilities, and to accept donations, gifts, and grants of money and property, to administer the same, and to expend funds upon an educational basis.

Board of Directors:

Carlton Harmon, Chairman	Kathy Gunn, Director
John Cash Smith, Vice-Chairman	Shawn Oubre, Director
Dan Mohon, Secretary/Treasurer	Stephen Lee, Director
Courtney Arkeen, Director	Ron Borel, Director
Ross Smith, Director	Lana Fillyaw, Director
Gisela Houseman, Director	Shane Johns, Director
David Jones, Director	
Patty Cloeren, Director	

Net Assets as of December 31, 2013: \$5,222,593

Summary of Activities:

Scholarship Support:	\$70,000
Brown Estate Lawn Care:	\$49,524.96

LAMAR STATE COLLEGE-PORT ARTHUR
Port Arthur Higher Education Foundation, Inc.

Purpose of the Organization

The Port Arthur Higher Education Foundation is organized to support the development and promotion of the arts and sciences and programs of Lamar State College-Port Arthur, its students, faculty, staff and the people of this area and the State of Texas.

Board of Trustees

A. Morris Albright, Chairman
Floyd Marceaux, Vice Chairman
Edward Boone, Secretary-Treasurer
Sam Monroe, President
K. T. "Ike" Akbari James W. Moore
John Comeaux Lee F. Moore
William R. Coons Carl A. Parker
Leonard Gabriel, Jr. George Taylor
Jeff Hayes Bill Worsham
Joel Levingston

Financial Information as of December 31, 2013

Assets:	\$4,872,931
Income:	\$806,132
Expenditures:	\$610,388

Summary of Activities

The Port Arthur Higher Education Foundation, Inc. raises and administers funds for the benefit of Lamar State College-Port Arthur. Current activities include the administration of endowed scholarships and prompt acquisition of property within the Campus Master Planning area to facilitate the development of the campus facilities. The organization also administers scholarship funds for the Port Arthur Industrial Group awarded to students attending colleges and universities across the nation. Scholarships and special support for the athletic program and cultural events sponsored by the college were provided by the Foundation. The organization also sponsors community projects of behalf of Lamar State College-Port Arthur.

Texas State University System
System Office
Travel Report
Fiscal Years 2010-2014

CATEGORY	2010	2011	2012	2013	2014
OUT OF STATE TRAVEL					
Education and General	\$ 11,716.99	\$ 10,418.07	\$ 22,925.12	\$ 24,380.30	\$ 28,742.25
Designated					
Auxiliary					
Restricted					
Plant					
Agency					
Other (Describe)					
TOTAL	\$ 11,716.99	\$ 10,418.07	\$ 22,925.12	\$ 24,380.30	\$ 28,742.25
OUT OF COUNTRY TRAVEL					
Education and General	\$ -	\$ -	\$ -	\$ -	\$ -
Designated					
Auxiliary					
Restricted					
Plant					
Agency					
Other (Describe)					
Total	\$ -	\$ -	\$ -	\$ -	\$ -

TEXAS STATE UNIVERSITY SYSTEM
LAMAR UNIVERSITY
Travel Report
Fiscal Years 2010-2014

FUNDS	FISCAL YEAR ENDED AUGUST 31,				
	2010	2011	2012	2013	2014
OUT OF STATE TRAVEL					
Education and General	\$ 8,095.22	\$ 2,904.91	\$ 5,388.50	\$ 1,633.05	\$ 3,073.31
Designated	371,181.52	301,709.13	264,286.22	329,309.83	375,046.88
Auxiliary	465,061.68	574,386.23	614,936.02	600,684.74	903,425.40
Restricted	109,029.86	85,495.75	80,618.32	90,963.07	115,956.76
Plant					
Agency					
Other (Describe)					
TOTAL	\$ 953,368.28	\$ 964,496.02	\$ 965,229.06	\$ 1,022,590.69	\$ 1,397,502.35
OUT OF COUNTRY TRAVEL					
Education and General	\$ -	\$ -	\$ -	\$ -	\$ -
Designated	119,298.05	54,219.65	34,370.66	57,808.17	40,361.00
Auxiliary	25,811.31	12,059.69	10,807.16	9,071.48	16,305.50
Restricted	28,928.00	25,960.27	45,444.00	58,787.84	80,309.14
Plant					
Agency					
Other (Describe)					
TOTAL	\$ 174,037.36	\$ 92,239.61	\$ 90,621.82	\$ 125,667.49	\$ 136,975.64

**SAM HOUSTON STATE UNIVERSITY
TRAVEL REPORT**

FUNDS	2010	2011	2012	2013	2014
OUT OF STATE TRAVEL					
Education and General	\$ 12,312.80	\$ 28,561.67	\$ 15,032.80	\$ 19,999.14	\$ 30,050.35
Designated	724,216.17	821,387.13	992,031.61	1,054,379.61	1,153,284.56
Auxiliary	79,112.27	133,304.01	96,184.49	213,661.99	229,067.25
Restricted	156,028.03	137,497.71	214,097.79	145,381.15	211,066.65
Plant					
Agency	2,100.97	31,697.36	2,500.12	2,198.13	2,017.25
Other (Describe)					
TOTAL	\$ 973,770.24	\$ 1,152,447.88	\$ 1,319,846.81	\$ 1,435,620.02	\$ 1,625,486.06
OUT OF COUNTRY TRAVEL					
Education and General	\$ 875.60	\$ 6,942.22	\$ 5,794.71	\$ 3,393.58	
Designated	213,852.59	186,690.44	221,760.62	286,865.02	444,724.99
Auxiliary	1,925.68	24,883.22	26,350.89	45,698.02	75,527.00
Restricted	48,529.78	15,110.24	21,155.84	48,851.67	26,395.30
Plant					
Agency		3,735.67			
Other (Describe)					
TOTAL	\$ 265,183.65	\$ 237,361.79	\$ 275,062.06	\$ 384,808.29	\$ 546,647.29

Note: For comparison purposes all student and student study abroad travel have been removed from FY10, FY11, FY12, FY13 travel totals. The increase in out of state travel occurred through general increase through almost all areas of the university. The increase in foreign travel was related to increased travel in SHSU academic areas.

SUL ROSS STATE UNIVERSITY

**Travel Report
Fiscal Year 2009 Through 2014**

FUNDS						
	2009	2010	2011	2012	2013	2014
OUT OF STATE TRAVEL						
Education and General	\$ 36,304.34	\$ 39,691.54	\$ 17,717.52	\$ 20,199.99	\$ 15,986.75	30,660.04
Designated	19,834.28	19,698.13	9,593.05	23,029.73	16,078.54	18,932.18
Auxiliary	49,715.12	49,798.72	46,750.93	74,843.18	47,833.43	38,800.30
Restricted	72,411.35	113,960.89	146,616.20	159,430.39	129,640.05	98,111.79
Plant						
Agency	1,100.00	5,061.44	1,200.00	0.00	0.00	1519.68
Other (Describe)						
TOTAL	\$ 179,365.09	\$ 228,210.72	\$ 221,877.70	\$ 277,503.29	\$ 209,538.77	\$ 188,023.99
OUT OF COUNTRY TRAVEL						
Education and General	\$ 5,271.04	\$ 1,280.10	\$ -	\$ 1,253.50	\$ 754.75	\$ 767.00
Designated	9,590.57	7,440.26	982.57		5,328.00	-
Auxiliary	4,199.60	-			677.00	-
Restricted	9,517.77	5,989.53	17,180.66	\$ 383.04	\$ 11,193.84	\$ 12,709.35
Plant	-	-				
Agency	-	-				
Other (Describe)	-	-				
TOTAL	\$ 28,578.98	\$ 14,709.89	\$ 18,163.23	\$ 1,636.54	\$ 17,953.59	\$ 13,476.35

Texas State University

Travel Report
Fiscal Years 2010-2014

FUNDS	FISCAL YEAR ENDED AUGUST 31,				
	2010	2011	2012	2013	2014
OUT OF STATE TRAVEL					
Education and General	\$ 19,162.22	\$ 19,680.42	\$ 16,572.10	\$ 23,936.42	\$ 18,643.07
Designated	1,206,098.73	1,272,949.39	1,412,885.51	1,483,512.06	1,599,969.93
Auxiliary	694,583.12	664,710.02	904,247.04	1,694,927.11	2,057,333.79
Restricted	535,262.91	524,996.05	594,381.91	588,447.79	471,380.06
TOTAL	\$2,455,106.98	\$2,482,335.88	\$2,928,086.56	\$3,790,823.38	\$4,147,326.85
OUT OF COUNTRY TRAVEL					
Education and General	\$ -	\$ 3,004.12	\$ 23,783.79	\$ 3,692.83	\$ 5,788.77
Designated	801,354.52	894,955.39	1,030,940.54	1,026,994.87	1,563,935.50
Auxiliary	113,025.87	8,861.97	121,192.49	24,305.61	54,612.83
Restricted	255,880.20	261,281.47	303,003.87	357,332.89	208,172.36
TOTAL	\$1,170,260.59	\$1,168,102.95	\$1,478,920.69	\$1,412,326.20	\$1,832,509.46

**TEXAS STATE UNIVERSITY SYSTEM
LAMAR INSTITUTE OF TECHNOLOGY
Travel Report
Fiscal Years 2010 through 2014**

FUNDS	FISCAL YEAR ENDED AUGUST 31,				
	2010	2011	2012	2013	2014
OUT OF STATE TRAVEL					
Education and General		\$ -	\$ -	\$ -	\$ -
Designated	28,640.86	48,289.01	34,272.43	29,309.60	23,162.21
Auxiliary	11,940.04	16,613.01	16,631.49	21,103.85	23,229.12
Restricted	8,539.52	1,024.40	1,063.60	495.00	5,625.80
Plant					
Agency					
Other (Describe)					
TOTAL	\$ 49,120.42	\$ 65,926.42	\$ 51,967.52	\$ 50,908.45	\$ 52,017.13
OUT OF COUNTRY TRAVEL					
Education and General					
Designated					
Auxiliary					
Restricted					
Plant					
Agency					
Other (Describe)					
TOTAL	\$ -	\$ -	\$ -	\$ -	\$ -

**Lamar State College - Orange
Travel Report
Fiscal Year 2010 - Fiscal Year 2014**

FUNDS	2010	2011	2012	2013	2014
OUT OF STATE TRAVEL					
Education and General					
Designated	\$28,675.30	\$12,424.37	\$29,913.62	\$25,585.48	\$29,428.31
Auxiliary	\$6,145.75	\$10,153.48	\$9,794.17	\$11,074.47	\$4,507.24
Restricted	\$1,247.69	\$1,672.43	\$1,324.38	\$7,956.01	\$3,293.73
Plant					
Agency					
Other (Describe)					
TOTAL	\$36,068.74	\$24,250.28	\$41,032.17	\$44,615.96	\$37,229.28
OUT OF COUNTRY TRAVEL					
Education and General	\$ -	\$ -	\$ -	\$ -	\$ -
Designated					
Auxiliary			\$ 5,445.32		
Restricted					
Plant					
Agency					
Other (Describe)					
TOTAL	\$ -	\$ -	\$ 5,445.32	\$ -	\$ -

TEXAS STATE UNIVERSITY SYSTEM
LAMAR STATE COLLEGE-PORT ARTHUR
TRAVEL REPORT
Fiscal Years 2010 - 2014

CATEGORY					
	2010	2011	2012	2013	2014
OUT OF STATE TRAVEL					
Education and General					
Designated	36,940.34	46,717.71	35,826.39	46,775.58	54,627.44
Auxillary	8,699.26	41,367.70	36,089.05	33,243.98	47,453.83
Restricted	9,278.53	3,655.95	8,949.94	10,395.57	6,110.83
Plant					
Agency					
Other (Describe)					
TOTAL	\$ 54,918.13	\$ 91,741.36	\$ 80,865.38	\$ 90,415.13	\$ 108,192.10
OUT OF COUNTRY TRAVEL					
Education and General					
Designated			1,615.82		
Auxillary				2,020.98	2,407.02
Restricted					
Plant					
Agency					
Other (Describe)					
TOTAL	\$ -	\$ -	\$ 1,615.82	\$ 2,020.98	\$ 2,407.02

TEXAS STATE UNIVERSITY SYSTEM
2013-2014 OPERATING BUDGET & RELATED ADJUSTMENTS
For the Period Ending 08/31/2014

	Adjustments Requiring Board Approval			Adjustments Approved by Chancellor	Adjusted Revenue Budget
	Original Budget	Previously Approved by Board	Requested Budget Adjustments		
EDUCATIONAL AND GENERAL REVENUE BUDGET					
State General Revenue	\$1,425,000				\$1,425,000
Employee Benefits - Retirement	205,907				\$205,907
Employee Benefits - Group Insurance	183,524				\$183,524
Employee Benefits - Social Security	160,627				\$160,627
Investment Income	8,000				\$8,000
Texas State University System Foundation	51,400				\$51,400
Central Service Charge	4,258,425			(77,791)	\$4,180,634
Fund Balance	164,796				\$164,796
Deferred Compensation Transfer In	100,000				\$100,000
Totals	<u>\$6,557,679</u>	<u>\$0</u>	<u>\$0</u>	<u>(\$77,791)</u>	<u>\$6,479,888</u>

EDUCATIONAL AND GENERAL EXPENDITURE BUDGET (ORIGINAL) \$6,557,679

Adjustments Previously Approved:

Board	Chancellor
<u>\$0</u>	<u>(\$77,791)</u>

	Original Budget	Adjustments this Period		Adjusted Expenditure Budget
		Board	Chancellor	
Salaries and Wages	\$3,660,359			\$3,660,359
Employee Fringe Benefits	778,507			778,507
Professional Fees	882,101		55,600	937,701
Travel	203,479			203,479
Material and Supplies	101,306		81,520	182,826
Communications and Utilities	3,745		30,050	33,795
Repairs and Maintenance	4,300		15,500	19,800
Rentals and Leases	79,863		(1,520)	78,343
Printing and Reproduction	92,540			92,540
Furniture and Equipment	0			0
Other	626,479		(181,150)	445,329
Deferred Compensation Transfer Out	125,000			125,000
Totals	<u>\$6,557,679</u>	<u>\$0</u>	<u>\$0</u>	<u>\$6,557,679</u>

Total Adjustments Submitted for Board Approval this Period \$0

Total Adjustments Approved by Chancellor This Period: \$0

Total Cumulative Adjustments Approved by Board: 0

Total Cumulative Adjustments Approved by Chancellor: (77,791)

EDUCATIONAL AND GENERAL EXPENDITURE BUDGET ADJUSTED \$ 6,479,888

LAMAR UNIVERSITY
2013-2014 OPERATING BUDGET AND RELATED ADJUSTMENTS
For Period Ending 08/31/14

Fund	Adjustments Requiring Board Approval			Cumulative Adjustments Approved by President	Adjusted Budget
	Original Budget	Previously Approved by Board	Requested Budget Adjustments		
Education & General	\$ 100,974,614	\$ 3,997,549	\$ -	\$ -	104,972,163
Designated	74,381,200	(3,344,858)	-	26,299	71,062,641
Auxiliary Enterprises	37,506,743	-	-	58,614	37,565,357
Total	\$ 212,862,557	\$ 652,691	\$ -	\$ 84,913	213,600,161

EDUCATION & GENERAL

Original Budget:	<u>100,974,614</u>
Previously Approved by Board:	<u>3,997,549</u>
Requested Budget Adjustments:	
None	
Total Requested Budget Adjustments This Period:	<u>-</u>
Cumulative Adjustments Approved by President:	
Previously Reported:	
Approved This Period:	
None	
Total Adjustments Approved by President This Period:	<u>-</u>
Total Cumulative Adjustments Approved by President:	<u>-</u>
Adjusted Budget:	<u>104,972,163</u>

DESIGNATED

Original Budget:	<u>74,381,200</u>
Previously Approved by Board:	<u>(3,344,858)</u>
Requested Budget Adjustments:	
None	
Total Requested Budget Adjustments This Period:	<u>-</u>
Cumulative Adjustments Approved by President:	
Previously Reported:	6,000
Approved This Period:	
Additional Benefit Budget	<u>20,299</u>
Total Adjustments Approved by President This Period:	<u>20,299</u>
Total Cumulative Adjustments Approved by President:	<u>26,299</u>
Adjusted Budget:	<u>71,062,641</u>

AUXILIARY ENTERPRISES

Original Budget:	<u>37,506,743</u>
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Previously Approved by Board:	<u><u> </u></u>
Requested Budget Adjustments:	
None	
Total Requested Budget Adjustments This Period:	<u><u> -</u></u>
Cumulative Adjustments Approved by President:	58,614
None	
Total Adjustments Approved by President This Period:	<u><u> -</u></u>
Total Cumulative Adjustments Approved by President:	<u><u> 58,614</u></u>
Adjusted Budget:	<u><u> 37,565,357</u></u>

SAM HOUSTON STATE UNIVERSITY
For the Period Ending 08/31/2014

Fund	Adjustments Requiring Board Approval			Adjustments Approved by President(YTD)Over 150k	Adjusted Budget
	Original Budget(2014)	Previously Approved by Board	Requested Budget Adjustments		
Education & General	\$ 107,470,224	\$ 524,291	\$ 8,565	\$ 400,000	108,403,080
Designated	\$ 112,263,368	\$ 2,024,236	\$ 684,883	\$ 7,961,416	122,933,903
Auxiliary Enterprises	\$ 57,825,471	\$ 875,033	\$ 881,861	\$3,816,500	63,398,865
Trust	-	-	-	-	0
Restricted	-	-	-	-	0
Total	\$ 277,559,063	\$ 3,423,560	\$ 1,575,309	\$ 12,177,916	294,735,848

EDUCATION & GENERAL

Original Budget:	<u>107,470,224</u>
Previously Approved by Board:	<u>524,291</u>
Theatre	7,553
Theatre	622
Theatre	390
Requested Budget Adjustments:	
Total Requested Budget Adjustments This Period:	<u>8,565</u>
Cumulative Adjustments Approved by President:	
Previously Reported:	<u>400,000</u>
	<u>0</u>
Total Adjustments Approved by President This Period:	<u>0</u>
Total Cumulative Adjustments Approved by President:	<u>400,000</u>
Adjusted Budget as of 08/31/2014	<u>108,003,080</u>

DESIGNATED

Original Budget:	<u>112,263,368</u>
Previously Approved by Board:	<u>2,024,236</u>
Requested Budget Adjustments:	
College of Science Distance Learning Fee	4,000
Recreation Fee - Pritchett Field	1,894
Recreation Fee - Intramurals	2,330
Recreation Fee - Club Sports	8,129
Recreation Fee - Wellness	14,500
PGM Fee	5,000
Theatre Designated Tuition	68
Nursing Study Abroad Costa Rica	18,000
College of Science Distance Learning Fee	4,500
Southeast Texas Applied Forensic Science (STAFS)	16,855
Recreation Fee - Coliseum	25,000
Maintenance Services Sales and Service	110,000
Recreation Fee - Coliseum	20,000
Office of Research Admin - Overhead Allowance	14,296

SAM HOUSTON STATE UNIVERSITY
For the Period Ending 08/31/2014

Management and Marketing Designated Tuition	3,600
College of Science Distance Learning Fee	3,784
Biological Study Abroad - Costa Rica	108
SBDC Training Small Business	3,000
Maintenance Services Sales and Service	60,000
Advisement Fee	16,000
Southeast Texas Applied Forensic Science (STAFS)	3,600
Recreation Fee - Coliseum	25,000
College of Science Distance Learning Fee	2,139
College of Science Distance Learning Fee	2,220
Employee Scholarships Designated Tuition	14,420
Advisement Fee	30,000
Recreation Fee - Pritchett Field	1,900
TPEG Non Resident	107,064
TPEG Resident - Graduate HB 3015	62,309
Recreation Fee - Coliseum	15,320
Recreation Fee - Pritchett Field	33,000
Recreation Fee - Intramurals	420
Library Fee	10,000
Recreation Fee - Special Events	2,055
Installment Plan Fee	25,000
Business Study Abroad - Japan	5,900
Executive MBA Program	12,000
Summer Camp	1,472
Total Requested Budget Adjustments This Period:	684,883

Cumulative Adjustments Approved by President:

Previously Reported:

3,775,469

College of Science Distance Learning Fee	186,151
Academic Instruction Tech Distance Learning	350,000
TPEG Resident - Undergrad HB 3015	1,721,401
TPEG Resident	1,733,516
Construction Maintenance Fee	194,879
Total Adjustments Approved by President This Period:	4,185,947

Total Cumulative Adjustments Approved by President:

7,961,416

Adjusted Budget as of 08/31/2014

122,933,903

AUXILIARY ENTERPRISES

Original Budget:	57,825,471
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Previously Approved by Board:

875,033

Requested Budget Adjustments:

Sam Houston Press	30,000
Animation Creation Camp	5,000
Public Safety Services	25,000
Houstonian	1,500
CJ Summer Camps	14,575
Parent's Weekend	1,120
University Message Marquee	3,000
Athletics Fee	108,600

SAM HOUSTON STATE UNIVERSITY
For the Period Ending 08/31/2014

Athletics Fee	52,500
University Hotel	115,132
Military Science Student Service Fee	6,720
Agriculture Annual Judging	68
Dean of Students - Student Service Fee	625
Sam Houston Press	25,000
Freshman Orientation	50,000
Center for Rural Studies	10,043
Bearkat Camp	25,000
Residence Life Dining	863
Sam Houston Press	5,000
Transfer Camp	2,000
Bearkat Camp	7,700
Center for Rural Studies	3,659
Graduate School of Banking	19,776
Thesis Binding	1,000
Athletics Fee	35,740
Transfer Camp	2,800
Bearkat Camp	4,614
Dietetic Internship Program	1,110
Sam Houston Press	20,000
Athletics Fee	30,000
Houstonian	6,594
Houstonian	10,561
Houstonian	3,667
Public Safety Services	26,618
Freshman Orientation	35,000
Athletics Concessions	73,842
CMIT TPTA Juvenile	3,500
Biology Lab	25,745
Residence Life Dining	1,340
Sam Houston Press	30,000
Athletics Fee	40,349
Alumni Relations	16,500
Total Requested Budget Adjustments This Period:	881,861

Cumulative Adjustments Approved by President:

Previously Reported:	3,656,500
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Athletics Capital Expenditures	160,000
Total Adjustments Approved by President This Period:	160,000

Total Cumulative Adjustments Approved by President:	3,816,500
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Adjusted Budget as of 08/31/2014	63,398,865
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SUL ROSS STATE UNIVERSITY
2013-2014 OPERATING BUDGET AND RELATED ADJUSTMENTS
For the Period Ending August 31, 2014

Fund	Adjustments Requiring Board Approval			Cumulative Adjustments Approved by President	Adjusted Budget
	Original Budget	Previously Approved by Board	Requested Budget Adjustments		
Education & General	\$ 28,146,043	\$ -	\$ 258,241	\$ -	\$ 28,404,284
Designated	5,015,808		(275,899)		4,739,909
Auxiliary Enterprises	4,530,531		17,658		4,548,189
Trust	-				-
Restricted	5,202,267				5,202,267
Total	\$ 42,894,649	\$ -	\$ -	\$ -	\$ 42,894,649

EDUCATION & GENERAL

Original Budget:	<u>\$ 28,146,043</u>
Previously Approved by Board:	<u>\$ -</u>
Requested Budget Adjustments:	
None	-
Total Requested Budget Adjustments This Period:	<u>\$ -</u>
Cumulative Adjustments Approved by President:	
Previously Reported:	\$ -
Approved This Period:	
Budget Adjustment for Approved Pay Increase for FY14	258,241
Total Adjustments Approved by President This Period:	<u>258,241</u>
Total Cumulative Adjustments Approved by President:	<u>\$ 258,241</u>
Adjusted Budget:	<u>\$ 28,404,284</u>

DESIGNATED

Original Budget:	<u>\$ 5,015,808</u>
Previously Approved by Board:	<u>\$ -</u>
Requested Budget Adjustments:	
None	-
Total Requested Budget Adjustments This Period:	<u>\$ -</u>
Cumulative Adjustments Approved by President:	
Previously Reported:	\$ -
Approved This Period:	
Budget Adjustment for Approved Pay Increase for FY14	(275,899)
Total Adjustments Approved by President This Period:	<u>(275,899)</u>
Total Cumulative Adjustments Approved by President:	<u>\$ (275,899)</u>
Adjusted Budget:	<u>\$ 4,739,909</u>

AUXILIARY ENTERPRISES

Original Budget:	<u>\$ 4,530,531</u>
Previously Approved by Board:	<u>\$ -</u>

SUL ROSS STATE UNIVERSITY

Requested Budget Adjustments: 17,658
Budget Adjustment for Approved Pay Increase for FY14

Total Requested Budget Adjustments This Period: \$ 17,658

Cumulative Adjustments Approved by President:
Previously Reported: \$ -
Approved This Period: -
None -
Total Adjustments Approved by President This Period: -

Total Cumulative Adjustments Approved by President: \$ -

Adjusted Budget: \$ 4,548,189

TRUST

Original Budget: \$ -

Previously Approved by Board: \$ -
Requested Budget Adjustments:
None -
Total Requested Budget Adjustments This Period: \$ -

Cumulative Adjustments Approved by President:
Previously Reported: \$ -
Approved This Period: -
None -
Total Adjustments Approved by President This Period: -

Total Cumulative Adjustments Approved by President: \$ -

Adjusted Budget: \$ -

RESTRICTED

Original Budget: \$ 5,202,267

Previously Approved by Board: \$ -
Requested Budget Adjustments:
None -
Total Requested Budget Adjustments This Period: \$ -

Cumulative Adjustments Approved by President:
Previously Reported: \$ -
Approved This Period: -
None -
Total Adjustments Approved by President This Period: -

Total Cumulative Adjustments Approved by President: \$ -

Adjusted Budget: \$ 5,202,267

Texas State University

FISCAL YEAR 2014 OPERATING BUDGET AND RELATED ADJUSTMENTS For the Period Ending August 31, 2014

Fund	Adjustments Requiring Board Approval			Cumulative	
	Original Budget	Previously Approved by Board	Requested Budget Adjustments	Approved by President	Adjusted Budget
Education & General	243,365,807	3,159,894	2,012,000	213,474	248,751,175
Designated	197,514,000	37,286,622	11,396,042	2,274,804	248,471,468
Auxiliary Enterprises	124,641,680	8,114,554	1,731,808	704,588	135,192,630
Total	565,521,487	48,561,070	15,139,850	3,192,866	632,415,273

EDUCATION & GENERAL

Original Budget:	<u>243,365,807</u>
Previously Approved by Board:	<u><u>3,159,894</u></u>
Requested Budget Adjustments:	
Increase estimated income for Statutory Tuition due to growth Reserved for FY 2015 planning considerations	1,673,681
Texas Public Education Grant	<u>338,319</u>
Total Requested Budget Adjustments This Period:	<u><u>2,012,000</u></u>
Cumulative Adjustments Approved by President:	
Previously Reported:	186,554
Approved This Period:	
Increase budget for Child Development Center	13,000
Increase Budget for Speech/Hearing/Language clinic	11,000
Increase estimated income for Lab fees-Biology	2,920
Total Adjustments Approved by President This Period:	<u>26,920</u>
Total Cumulative Adjustments Approved by President:	<u><u>213,474</u></u>
Adjusted Educational & General Budget:	<u><u>248,751,175</u></u>

DESIGNATEDOriginal Budget: 197,514,000**Previously Approved by Board:** 37,286,622**Requested Budget Adjustments:**

Increase estimated income for Designated Tuition due to growth	
Reserved for FY 2015 planning considerations	5,171,000
Financial Aid set aside	857,000
Be On Time transfer	238,000
Total Designated Tuition from growth	<u>6,266,000</u>
Use reserves for Comanche Hill/Camous Colony Apt Demo	1,367,404
Use reserves for Fiber Project and Voice/Data project	1,053,100
Increase estimated income for Electronic Course Fee	588,305
Increase estimated income for Orientation	433,000
Increase budget for funding Campus Colony Apartment payment to Housing	378,643
Increase budget for IT Services-Hardware, Network, Client Support	345,601
Use reserves for Comanche St Bridge structural repair	275,000
Increase estimated income for Extension	249,329
Increase estimated income for Undergraduate Application Fee	200,000
Use reserves for Old Main interior repairs	125,000
Use reserves for funding Evans Liberal Arts electrical retrofit	114,660

Total Requested Budget Adjustments This Period: 11,396,042

Cumulative Adjustments Approved by President:

Previously Reported:	1,496,606
Approved This Period:	
Use reserves for funding Flowers Hall electrical retrofit	97,006
Increase budget for Teacher Fellows	86,000
Increase estimated income for Sponsored Programs contract residual	78,774
Increase budget for library operations	55,179
Increase estimated income for Continuing Education	53,620
Increase estimated income for Tx State Intensive English	46,507
Increase estimated income for Edwards Aquifer Research Ctr	40,500
Increase estimated Income for FACTS community services	31,879
Increase estimated income for Meadows Center income	27,581
Increase estimated income for Athletic Training Camps and workshops	25,000
Increase estimated income for Summer Theatre Camp	24,381
Increase estimated income for Industrial Testing Agreement	24,146
Increase estimated income for Certified Public Managers Program	21,379
Increase estimated income for Health and Human Performance Internships	20,000
Increase estimated income for STAR Park	17,000
Increase estimated income for Research Service Center	15,000
Increase estimated income for International Piano Festival	14,955
Increase estimated income for Geography	13,375
Increase estimated Income for course pack royalties	10,789
Increase budget for Education Testing Services	10,000
Increase estimated income for Geography Alliance	10,000
Increase estimated income for Beta Sigma Gamma	10,000
Increase budget for Moore Street Housing Project	7,323
Increase budget for Educator Preparation	7,000
Increase estimated income for HHP Autism Camp	5,251
Increase estimated income for Transcripts	5,000

Increase budget for Sustainable Farm	4,397
Increase estimated income for Health and Human Performance IG Events	3,200
Increase budget for Evans Income Generating	3,000
Increase estimated income for respiratory therapy	2,770
Increase estimated income for Business Leadership Week	2,400
Increase estimated income for Bootcamp	1,700
Increase budget for Athletic Training Program	1,500
Increase estimated income for Kid's Kollege	1,025
Increase budget for HUB Forum	500
Increase budget for Piano Competition	61
Total Adjustments Approved by President This Period:	<u>778,198</u>
Total Cumulative Adjustments Approved by President:	<u><u>2,274,804</u></u>
Adjusted Designated Budget:	<u><u>248,471,468</u></u>
AUXILIARY ENTERPRISES	
Original Budget:	<u>124,641,680</u>
Previously Approved by Board:	<u><u>8,114,554</u></u>
Requested Budget Adjustments:	
Use reserves for Comanche Hills & Campus Colony demolition	787,108
Increase budget for Student Bus Fee GPS contract	425,000
Increase estimated income for University Dining Services	275,000
Use Recreational Sports Fee reserves for equipment	144,700
Use reserves for Blanco Hall Rehab project	100,000
Total Requested Budget Adjustments This Period:	<u><u>1,731,808</u></u>
Cumulative Adjustments Approved by President:	
Previously Reported:	391,842
Approved This Period:	
Transfer Ropes Course reserve to Rec Sports	69,056
Increase budget for ID Services hardware	46,000
Increase estimated income for RRC Auxiliaries	32,000
Increase estimated income for Postal Retail Unit	32,000
Use reserves for KTSW improvements	30,400
Increase estimated income for Recreational Sports	21,300
Increase estimated income for Salsa/Mariachi	21,000
Increase estimated income for Fine Arts Box Office	20,296
Use reserves for repaving JCK parking lot	20,000
Increase estimated income for Student Center Management	10,562
Increase estimated income for Dramatics	5,132
Increase budget for Texas State Golf Course	5,000
Total Adjustments Approved by President This Period:	<u><u>312,746</u></u>
Total Cumulative Adjustments Approved by President:	<u><u>704,588</u></u>
Adjusted Enterprise Budget:	<u><u>135,192,630</u></u>

Fund	Lamar Institute of Technology Adjustments Requiring Board Approval			Cumulative Adjustments Approved by President	Adjusted Budget
	Original Budget	Previously Approved by Board	Requested Budget Adjustments		
Education & General	\$ 14,704,375	\$ -	\$ -	\$ 99,101	\$ 14,803,476
Designated	5,132,655			(3,047)	5,129,608
Auxiliary Enterprises	2,210,000			142	2,210,142
Trust	-			-	-
Restricted	-			-	-
Total	\$ 22,047,030	\$ -	\$ -	\$ 96,196	\$ 22,143,226

EDUCATION & GENERAL

Original Budget:	<u>\$ 14,704,375</u>
Previously Approved by Board:	<u>\$ -</u>
Requested Budget Adjustments:	
None	-
Total Requested Budget Adjustments This Period:	<u>\$ -</u>
Cumulative Adjustments Approved by President:	
Previously Reported:	\$ 99,825
Approved This Period:	
Cancel prior year encumbrance	(724)
Total Adjustments Approved by President This Period:	<u>(724)</u>
Total Cumulative Adjustments Approved by President:	<u>\$ 99,101</u>
Adjusted Budget:	<u>\$ 14,803,476</u>

DESIGNATED

Original Budget:	<u>\$ 5,132,655</u>
Previously Approved by Board:	
Requested Budget Adjustments:	
None	-
Total Requested Budget Adjustments This Period:	<u>\$ -</u>
Cumulative Adjustments Approved by President:	
Previously Reported:	\$ 33,616
Approved This Period:	
Cancel prior year encumbrance	(36,663)
Total Adjustments Approved by President This Period:	<u>(36,663)</u>
Total Cumulative Adjustments Approved by President:	<u>\$ (3,047)</u>
Adjusted Budget:	<u>\$ 5,129,608</u>

Lamar Institute of Technology

AUXILIARY ENTERPRISES

Original Budget:	<u>\$ 2,210,000</u>
Previously Approved by Board:	<u>\$ -</u>
Requested Budget Adjustments:	
None	-
Total Requested Budget Adjustments This Period:	<u>\$ -</u>
Cumulative Adjustments Approved by President:	
Previously Reported:	\$ 592
Approved This Period:	
Cancel prior year encumbrance	(450)
Total Adjustments Approved by President This Period:	<u>(450)</u>
 Total Cumulative Adjustments Approved by President:	 <u>\$ 142</u>
 Adjusted Budget:	 <u>\$ 2,210,142</u>

TRUST

Original Budget:	<u>\$ -</u>
Previously Approved by Board:	<u>\$ -</u>
Requested Budget Adjustments:	
None	-
Total Requested Budget Adjustments This Period:	<u>\$ -</u>
Cumulative Adjustments Approved by President:	
Previously Reported:	\$ -
Approved This Period:	-
Total Adjustments Approved by President This Period:	<u>-</u>
 Total Cumulative Adjustments Approved by President:	 <u>\$ -</u>
 Adjusted Budget:	 <u>\$ -</u>

Lamar Institute of Technology

RESTRICTED

Original Budget:	<u>\$</u>	-
Previously Approved by Board:	<u>\$</u>	-
Requested Budget Adjustments:		
None		-
Total Requested Budget Adjustments This Period:	<u>\$</u>	-
Cumulative Adjustments Approved by President:		
Previously Reported:	\$	-
Approved This Period:		
Prior Year Encumbrances		-
		-
Total Adjustments Approved by President This Period:		-
Total Cumulative Adjustments Approved by President:	<u>\$</u>	-
Adjusted Budget:	<u>\$</u>	-

Fund	Lamar State College - Orange Adjustments Requiring Board Approval			Cumulative Adjustments Approved by President	Adjusted Budget
	Original Budget	Previously Approved by Board	Requested Budget Adjustments		
Education & General	\$ 14,471,597	\$ 2,870,640	\$ 29,871	\$ 19,818	\$ 17,391,926
Designated	5,606,793	789,898	313,431	(2,543)	6,707,579
Auxiliary Enterprises	1,015,526	41,860	-	(1,250)	1,056,136
Total	\$ 21,093,916	\$ 3,702,398	\$ 343,302	\$ 16,025	\$ 25,155,641

EDUCATION & GENERAL

Original Budget:	<u>\$ 14,471,597</u>
Previously Approved by Board:	<u>\$ 2,870,640</u>
Requested Budget Adjustments:	
Utilities	<u>29,871</u>
Total Requested Budget Adjustments This Period:	<u>\$ 29,871</u>
Cumulative Adjustments Approved by President:	
Previously Reported:	\$ 19,818
Approved This Period:	
None	-
Total Adjustments Approved by President This Period:	-
Total Cumulative Adjustments Approved by President:	<u>\$ 19,818</u>
Adjusted Budget:	<u>\$ 17,391,926</u>

DESIGNATED

Original Budget:	<u>\$ 5,606,793</u>
Previously Approved by Board:	<u>\$ 789,898</u>
Requested Budget Adjustments:	
Continuing Education	279,431
Brown Estate	<u>34,000</u>
Total Requested Budget Adjustments This Period:	<u>\$ 313,431</u>
Cumulative Adjustments Approved by President:	
Previously Reported:	\$ (23,911)
Approved This Period:	
Brown Estate	<u>21,368</u>
Total Adjustments Approved by President This Period:	21,368
Total Cumulative Adjustments Approved by President:	<u>\$ (2,543)</u>
Adjusted Budget:	<u>\$ 6,707,579</u>

Lamar State College - Orange

AUXILIARY ENTERPRISES

Original Budget:	<u>\$ 1,015,526</u>
Previously Approved by Board:	<u>\$ 41,860</u>
Requested Budget Adjustments:	
None	
Total Requested Budget Adjustments This Period:	<u>\$ -</u>
Cumulative Adjustments Approved by President:	
Previously Reported:	\$ (1,250)
Approved This Period:	
None	
Total Adjustments Approved by President This Period:	<u>-</u>
Total Cumulative Adjustments Approved by President:	<u>\$ (1,250)</u>
Adjusted Budget:	<u>\$ 1,056,136</u>

Lamar State College Port Arthur

Fund	Adjustments Requiring Board Approval			Cumulative Adjustments Approved by President	Adjusted Budget
	Original Budget	Previously Approved by Board	Requested Budget Adjustments		
Education & General	\$ 16,048,091	\$ 160,000	\$ -	\$ 65,992	\$ 16,274,083
Designated	2,706,158	145,974	-	67,300	2,919,432
Auxiliary Enterprises	1,849,112	85,300	-	35,045	1,969,457
Total	\$ 20,603,361	\$ 391,274	\$ -	\$ 168,337	\$ 21,162,972

EDUCATION & GENERAL

Original Budget:	<u>\$ 16,048,091</u>
Previously Approved by Board:	<u>160,000</u>
Requested Budget Adjustments:	
Public Information Specialist	
Total Requested Budget Adjustments This Period:	<u><u>-</u></u>
Cumulative Adjustments Approved by President:	
Previously Reported:	<u>29,843</u>
Approved This Period:	
Position Changes	31,149
Equipment for President's Office Office	<u>5,000</u>
Total Adjustments Approved by President This Period:	<u><u>36,149</u></u>
Total Cumulative Adjustments Approved by President:	<u><u>65,992</u></u>
Adjusted Budget:	<u><u>\$ 16,274,083</u></u>

DESIGNATED

Original Budget:	<u>\$ 2,706,158</u>
Previously Approved by Board:	<u>145,974</u>
Requested Budget Adjustments:	
Setup Advertising Budget	
Total Requested Budget Adjustments This Period:	<u><u>-</u></u>
Cumulative Adjustments Approved by President:	
Previously Reported:	<u>27,500</u>
Approved This Period:	
Theatrical Drapery	17,500
Travel Related Budgets	15,000
President's Retirement Book, Brochures, Retirement Party	<u>7,300</u>
Total Adjustments Approved by President This Period:	<u><u>39,800</u></u>
Total Cumulative Adjustments Approved by President:	<u><u>67,300</u></u>
Adjusted Budget:	<u><u>\$ 2,919,432</u></u>

Lamar State College Port Arthur

AUXILIARY ENTERPRISES

Original Budget:	<u>\$ 1,849,112</u>
Previously Approved by Board:	<u>85,300</u>
Requested Budget Adjustments:	<u> </u>
	<u> </u>
Total Requested Budget Adjustments This Period:	<u> -</u>
Cumulative Adjustments Approved by President:	
Previously Reported:	<u>30,951</u>
Approved This Period:	
Athletic Marketing	830
Parking Tags	1,400
Volleyball Program	1,864
Total Adjustments Approved by President This Period:	<u>4,094</u>
Total Cumulative Adjustments Approved by President:	<u>35,045</u>
Adjusted Budget:	<u>\$ 1,969,457</u>

TEXAS STATE UNIVERSITY SYSTEM
2014-2015 OPERATING BUDGET & RELATED ADJUSTMENTS
For the Period Ending 08/31/2015

	Adjustments Requiring Board Approval			Adjustments Approved by Chancellor	Adjusted Revenue Budget
	Original Budget	Previously Approved by Board	Requested Budget Adjustments		
EDUCATIONAL AND GENERAL REVENUE BUDGET					
State General Revenue	\$1,425,000				\$1,425,000
Employee Benefits - Retirement	221,191				\$221,191
Employee Benefits - Group Insurance	196,976				\$196,976
Employee Benefits - Social Security	163,839				\$163,839
Investment Income	16,000				\$16,000
Texas State University System Foundation	51,400				\$51,400
Central Service Charge	4,598,697				\$4,598,697
Fund Balance	729,630				\$729,630
Deferred Compensation Transfer In	125,000				\$125,000
Totals	<u>\$7,527,733</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$7,527,733</u>

EDUCATIONAL AND GENERAL EXPENDITURE BUDGET (ORIGINAL)	\$7,527,733				
	Adjustments Previously Approved:				
	<table border="1"> <tr> <th style="text-align: center;">Board</th> <th style="text-align: center;">Chancellor</th> </tr> <tr> <td style="text-align: center;">\$0</td> <td style="text-align: center;">\$0</td> </tr> </table>	Board	Chancellor	\$0	\$0
Board	Chancellor				
\$0	\$0				

	Original Budget	Adjustments this Period	Adjusted Expenditure Budget
Salaries and Wages	\$4,071,390		\$4,071,390
Employee Fringe Benefits	1,214,813		1,214,813
Professional Fees	994,176		994,176
Travel	213,417		213,417
Material and Supplies	92,698		92,698
Communications and Utilities	37,925		37,925
Repairs and Maintenance	7,100		7,100
Rentals and Leases	123,939		123,939
Printing and Reproduction	28,785		28,785
Furniture and Equipment	0		0
Other	543,490		543,490
Deferred Compensation Transfer Out	200,000		200,000
Totals	<u>\$7,527,733</u>	<u>\$0</u>	<u>\$7,527,733</u>

Total Adjustments Submitted for Board Approval this Period \$0
Total Adjustments Approved by Chancellor This Period: \$0

Total Cumulative Adjustments Approved by Board: 0
Total Cumulative Adjustments Approved by Chancellor: 0

EDUCATIONAL AND GENERAL EXPENDITURE BUDGET ADJUSTED	<u><u>\$ 7,527,733</u></u>
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FY 2015 Q1 Budget Adjustment System Office

Approved This Period:	-
Total Adjustments Approved by President This Period:	-
Total Cumulative Adjustments Approved by President:	-
Adjusted Budget:	<u>72,197,429</u>
AUXILIARY ENTERPRISES	
Original Budget:	<u>40,396,743</u>
Previously Approved by Board:	<u> </u>
Requested Budget Adjustments:	
None	
Total Requested Budget Adjustments This Period:	<u> </u>
Cumulative Adjustments Approved by President:	<u> </u>
Total Adjustments Approved by President This Period:	<u> </u>
Total Cumulative Adjustments Approved by President:	<u> 0</u>
Adjusted Budget:	<u>40,396,743</u>

SAM HOUSTON STATE UNIVERSITY
For the Period Ending 09/30/2014

Fund	Adjustments Requiring Board Approval			Adjustments Approved by President(YTD)Over 150k	Adjusted Budget
	Original Budget(2015)	Previously Approved by Board	Requested Budget Adjustments		
Education & General	\$ 107,969,649	\$ -	\$ 11,669	\$ 500,000	\$ 108,481,318
Designated	\$ 120,263,037	\$ -	\$ 1,179,009	\$ 776,852	\$ 122,218,898
Auxiliary Enterprises	\$ 59,751,311	\$ -	\$ 397,311	\$ 390,000	\$ 60,538,622
Trust	-	-	-	-	-
Restricted	-	-	-	-	-
Total	\$ 287,983,997	\$ -	\$ 1,587,989	\$ 1,666,852	\$ 291,238,838

EDUCATION & GENERAL

Original Budget: \$ 107,969,649

Previously Approved by Board: \$ -

Educational and General \$ 11,669

Requested Budget Adjustments:

Total Requested Budget Adjustments This Period: 11,669

**Cumulative Adjustments Approved by President:
Previously Reported:**

\$ -

Law Enforcement Management Institute of Texas \$500,000

Total Adjustments Approved by President This Period: \$ 500,000

Total Cumulative Adjustments Approved by President: \$ 500,000

Adjusted Budget as of 09/30/2014 **\$ 108,481,318**

DESIGNATED

Original Budget: \$ 120,263,037

Previously Approved by Board: \$ -

Requested Budget Adjustments:

Designated Tuition	\$5,383
Designated Tuition	\$150,000
Advisement Fee	\$52,008
Designated Tuition	\$129,338
Advisement Fee	\$43,798
Distance Learning Fee - College of Science	\$58,315
Distance Learning Fee - College of Science	\$66,021
Advisement Fee	\$64,061
Advisement Fee	\$130,000
Telephone	\$96,000
Veterans Affairs Support	\$10,500
Maintenence Fees	\$139,527
Application Fee Undergrad	\$47,000
Application Fee - Graduate Studies	\$59,950

SAM HOUSTON STATE UNIVERSITY
For the Period Ending 09/30/2014

CMIT Probation Certificate Training	\$35,100
Advisement Fee	\$50,000
Advisement Fee	\$42,008

Total Requested Budget Adjustments This Period:	\$ 1,179,009
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Cumulative Adjustments Approved by President:	
Previously Reported:	\$ -

Designated Tuition	\$250,000
Distance Learning Fee - College of Humanities and Social Science	\$526,852

Total Adjustments Approved by President This Period:	776,852
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Total Cumulative Adjustments Approved by President:	\$ 776,852
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Adjusted Budget as of 09/30/2014	\$ 122,218,898
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AUXILIARY ENTERPRISES

Original Budget:	\$ 59,751,311
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Previously Approved by Board:	\$ -
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Requested Budget Adjustments:

Diplomas and Transcripts	\$100,000
Student Service Fee	\$125,000
University Hotel	\$100,000
Continuing Education	\$60,000
Center of Rural Studies	\$12,311

Total Requested Budget Adjustments This Period:	\$397,311
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Cumulative Adjustments Approved by President:	
Previously Reported:	\$ -

University Hotel	\$390,000
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Total Adjustments Approved by President This Period:	\$ 390,000
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Total Cumulative Adjustments Approved by President:	390,000
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Adjusted Budget as of 09/30/2014	\$ 60,538,622
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SUL ROSS STATE UNIVERSITY
 2014-2015 OPERATING BUDGET AND RELATED ADJUSTMENTS
 For the Period Ending September 30, 2014

Fund	Adjustments Requiring Board Approval			Cumulative Adjustments Approved by President	Adjusted Budget
	Original Budget	Previously Approved by Board	Requested Budget Adjustments		
Education & General	\$ 27,994,114	\$ -	\$ -	\$ -	\$ 27,994,114
Designated	4,962,476				4,962,476
Auxiliary Enterprises	4,822,165				4,822,165
Trust	-				-
Restricted	4,077,815				4,077,815
Total	\$ 41,856,570	\$ -	\$ -	\$ -	\$ 41,856,570

EDUCATION & GENERAL

Original Budget:	<u>\$ 27,994,114</u>
Previously Approved by Board:	<u>\$ -</u>
Requested Budget Adjustments:	
None	-
Total Requested Budget Adjustments This Period:	<u>\$ -</u>
Cumulative Adjustments Approved by President:	
Previously Reported:	\$ -
Approved This Period:	
None	-
Total Adjustments Approved by President This Period:	-
Total Cumulative Adjustments Approved by President:	<u>\$ -</u>
Adjusted Budget:	<u>\$ 27,994,114</u>

DESIGNATED

Original Budget:	<u>\$ 4,962,476</u>
Previously Approved by Board:	<u>\$ -</u>
Requested Budget Adjustments:	
None	-
Total Requested Budget Adjustments This Period:	<u>\$ -</u>
Cumulative Adjustments Approved by President:	
Previously Reported:	\$ -
Approved This Period:	
None	-
Total Adjustments Approved by President This Period:	-
Total Cumulative Adjustments Approved by President:	<u>\$ -</u>
Adjusted Budget:	<u>\$ 4,962,476</u>

AUXILIARY ENTERPRISES

Original Budget:	<u>\$ 4,822,165</u>
Previously Approved by Board:	<u>\$ -</u>

SUL ROSS STATE UNIVERSITY

Requested Budget Adjustments: _____
None -
Total Requested Budget Adjustments This Period: \$ -

Cumulative Adjustments Approved by President:
Previously Reported: \$ -
Approved This Period:
None -
Total Adjustments Approved by President This Period: _____

Total Cumulative Adjustments Approved by President: \$ -

Adjusted Budget: \$ 4,822,165

TRUST

Original Budget: \$ -

Previously Approved by Board: \$ -
Requested Budget Adjustments:
None -
Total Requested Budget Adjustments This Period: \$ -

Cumulative Adjustments Approved by President:
Previously Reported: \$ -
Approved This Period:
None -
Total Adjustments Approved by President This Period: _____

Total Cumulative Adjustments Approved by President: \$ -

Adjusted Budget: \$ -

RESTRICTED

Original Budget: \$ 4,077,815

Previously Approved by Board: \$ -
Requested Budget Adjustments:
None -
Total Requested Budget Adjustments This Period: \$ -

Cumulative Adjustments Approved by President:
Previously Reported: \$ -
Approved This Period:
None -
Total Adjustments Approved by President This Period: _____

Total Cumulative Adjustments Approved by President: \$ -

Adjusted Budget: \$ 4,077,815

Texas State University

FISCAL YEAR 2015 OPERATING BUDGET AND RELATED ADJUSTMENTS For the Period Ending September 30, 2014

Fund	Adjustments Requiring Board Approval			Cumulative	Adjusted
	Original Budget	Previously Approved by Board	Requested Budget Adjustments	Adjustments Approved by President	
Education & General	252,297,550				252,297,550
Designated	215,793,200				215,793,200
Auxiliary Enterprises	132,661,850				132,661,850
Total	600,752,600	0	0	0	600,752,600

EDUCATION & GENERAL

Original Budget: 252,297,550

Previously Approved by Board: 0

Requested Budget Adjustments:

Total Requested Budget Adjustments This Period: 0

Cumulative Adjustments Approved by President:

Previously Reported: 0

Approved This Period: 0

Total Adjustments Approved by President This Period: 0

Total Cumulative Adjustments Approved by President: 0

Adjusted Educational & General Budget: 252,297,550

Texas State University

FISCAL YEAR 2015 OPERATING BUDGET AND RELATED ADJUSTMENTS For the Period Ending September 30, 2014

DESIGNATED

Original Budget:	<u>215,793,200</u>
Previously Approved by Board:	<u>0</u>
Requested Budget Adjustments:	<u> </u>
Total Requested Budget Adjustments This Period:	<u>0</u>
Cumulative Adjustments Approved by President:	
Total Adjustments Approved by President This Period:	<u>0</u>
Total Cumulative Adjustments Approved by President:	<u>0</u>
Adjusted Designated Budget:	<u><u>215,793,200</u></u>

Texas State University

FISCAL YEAR 2015 OPERATING BUDGET AND RELATED ADJUSTMENTS For the Period Ending September 30, 2014

AUXILIARY ENTERPRISES

Original Budget:	<u>132,661,850</u>
Previously Approved by Board:	<u>0</u>
Requested Budget Adjustments:	
Total Requested Budget Adjustments This Period:	<u>0</u>
Total Adjustments Approved by President This Period:	<u>0</u>
Total Cumulative Adjustments Approved by President:	<u>0</u>
Adjusted Enterprise Budget:	<u><u>132,661,850</u></u>

Lamar Institute of Technology

Fund	Adjustments Requiring Board Approval			Cumulative Adjustments Approved by President	Adjusted Budget
	Original Budget	Previously Approved by Board	Requested Budget Adjustments		
Education & General	\$ 14,949,160	\$ -	\$ -	\$ 302,063	\$ 15,251,223
Designated	5,218,255			30,007	5,248,262
Auxiliary Enterprises	2,110,000			10,815	2,120,815
Trust	-			-	-
Restricted	-			-	-
Total	\$ 22,277,415	\$ -	\$ -	\$ 342,885	\$ 22,620,300

EDUCATION & GENERAL

Original Budget:	<u>\$ 14,949,160</u>
Previously Approved by Board:	<u>\$ -</u>
Requested Budget Adjustments:	
None	-
Total Requested Budget Adjustments This Period:	<u>\$ -</u>
Cumulative Adjustments Approved by President:	
Previously Reported:	
Approved This Period:	
Prior Year Encumbrance	302,063
Total Adjustments Approved by President This Period:	<u>302,063</u>
Total Cumulative Adjustments Approved by President:	<u>\$ 302,063</u>
Adjusted Budget:	<u>\$ 15,251,223</u>

DESIGNATED

Original Budget:	<u>\$ 5,218,255</u>
Previously Approved by Board:	
Requested Budget Adjustments:	
None	-
Total Requested Budget Adjustments This Period:	<u>\$ -</u>
Cumulative Adjustments Approved by President:	
Previously Reported:	
Approved This Period:	
Prior Year Encumbrance	30,007
Total Adjustments Approved by President This Period:	<u>30,007</u>
Total Cumulative Adjustments Approved by President:	<u>\$ 30,007</u>
Adjusted Budget:	<u>\$ 5,248,262</u>

Lamar Institute of Technology

AUXILIARY ENTERPRISES

Original Budget:	<u>\$ 2,110,000</u>
Previously Approved by Board:	<u>\$ -</u>
Requested Budget Adjustments:	
None	-
Total Requested Budget Adjustments This Period:	<u>\$ -</u>
Cumulative Adjustments Approved by President:	
Previously Reported:	
Approved This Period:	
Prior Year Encumbrance	10,815
Total Adjustments Approved by President This Period:	<u>10,815</u>
 Total Cumulative Adjustments Approved by President:	 <u>\$ 10,815</u>
 Adjusted Budget:	 <u>\$ 2,120,815</u>

TRUST

Original Budget:	<u>\$ -</u>
Previously Approved by Board:	<u>\$ -</u>
Requested Budget Adjustments:	
None	-
Total Requested Budget Adjustments This Period:	<u>\$ -</u>
Cumulative Adjustments Approved by President:	
Previously Reported:	\$ -
Approved This Period:	-
Total Adjustments Approved by President This Period:	<u>-</u>
 Total Cumulative Adjustments Approved by President:	 <u>\$ -</u>
 Adjusted Budget:	 <u>\$ -</u>

Lamar Institute of Technology

RESTRICTED

Original Budget:	<u>\$</u>	-
Previously Approved by Board:	<u>\$</u>	-
Requested Budget Adjustments:		
None		-
Total Requested Budget Adjustments This Period:	<u>\$</u>	-
Cumulative Adjustments Approved by President:		
Previously Reported:	\$	-
Approved This Period:		
Prior Year Encumbrances		-
		-
Total Adjustments Approved by President This Period:		-
Total Cumulative Adjustments Approved by President:	<u>\$</u>	-
Adjusted Budget:	<u>\$</u>	-

Fund	Lamar State College - Orange			Cumulative Adjustments Approved by President	Adjusted Budget
	Adjustments Requiring Board Approval				
	Original Budget	Previously Approved by Board	Requested Budget Adjustments		
Education & General	\$ 14,208,062	\$ -	\$ -	\$ -	\$ 14,208,062
Designated	5,675,012	-	335,995	-	6,011,007
Auxiliary Enterprises	873,058	-	-	-	873,058
Total	\$ 20,756,132	\$ -	\$ 335,995	\$ -	\$ 21,092,127

EDUCATION & GENERAL

Original Budget:	<u>\$ 14,208,062</u>
Previously Approved by Board:	<u>\$ -</u>
Requested Budget Adjustments:	
None	<u>-</u>
Total Requested Budget Adjustments This Period:	<u>\$ -</u>
Cumulative Adjustments Approved by President:	
Previously Reported:	\$ -
Approved This Period:	
None	<u>-</u>
Total Adjustments Approved by President This Period:	<u>-</u>
Total Cumulative Adjustments Approved by President:	<u>\$ -</u>
Adjusted Budget:	<u>\$ 14,208,062</u>

DESIGNATED

Original Budget:	<u>\$ 5,675,012</u>
Previously Approved by Board:	<u>\$ -</u>
Requested Budget Adjustments:	
Continuing Education	<u>335,995</u>
Total Requested Budget Adjustments This Period:	<u>\$ 335,995</u>
Cumulative Adjustments Approved by President:	
Previously Reported:	\$ -
Approved This Period:	
None	<u>-</u>
Total Adjustments Approved by President This Period:	<u>-</u>
Total Cumulative Adjustments Approved by President:	<u>\$ -</u>
Adjusted Budget:	<u>\$ 6,011,007</u>

Lamar State College - Orange

AUXILIARY ENTERPRISES

Original Budget:	<u>\$ 873,058</u>
Previously Approved by Board:	<u>\$ -</u>
Requested Budget Adjustments:	
None	
Total Requested Budget Adjustments This Period:	<u>\$ -</u>
Cumulative Adjustments Approved by President:	
Previously Reported:	\$ -
Approved This Period:	
None	
Total Adjustments Approved by President This Period:	<u>-</u>
Total Cumulative Adjustments Approved by President:	<u>\$ -</u>
Adjusted Budget:	<u>\$ 873,058</u>

Fund	Lamar State College Port Arthur Adjustments Requiring Board Approval			Cumulative Adjustments Approved by President	Adjusted Budget
	Original Budget	Previously Approved by Board	Requested Budget Adjustments		
	Education & General	\$ 16,024,626	\$ -		
Designated	2,585,844	-	265,000	-	2,850,844
Auxiliary Enterprises	1,889,217	-	-	-	1,889,217
Total	\$ 20,499,687	\$ -	\$ 315,000	\$ -	\$ 20,814,687

EDUCATION & GENERAL

Original Budget:	<u>\$ 16,024,626</u>
Previously Approved by Board:	_____
Requested Budget Adjustments:	_____
President's Office Remodel	50,000
Total Requested Budget Adjustments This Period:	<u>50,000</u>
Cumulative Adjustments Approved by President:	_____
Previously Reported:	_____
Approved This Period:	_____
Performing Arts Center Signage	_____
Equipment for President's Office Office	_____
Total Adjustments Approved by President This Period:	<u>-</u>
Total Cumulative Adjustments Approved by President:	<u>-</u>
Adjusted Budget:	<u>\$ 16,074,626</u>

DESIGNATED

Original Budget:	<u>\$ 2,585,844</u>
Previously Approved by Board:	_____
Requested Budget Adjustments:	_____
Software Implementation	265,000
Total Requested Budget Adjustments This Period:	<u>265,000</u>
Cumulative Adjustments Approved by President:	_____
Previously Reported:	_____
Approved This Period:	_____
Theatrical Drapery	_____
Travel Related Budgets	_____
President's Retirement Book, Brochures, Retirement Party	_____
Total Adjustments Approved by President This Period:	<u>-</u>
Total Cumulative Adjustments Approved by President:	<u>-</u>
Adjusted Budget:	<u>\$ 2,850,844</u>

Lamar State College Port Arthur

AUXILIARY ENTERPRISES

Original Budget:	<u>\$ 1,889,217</u>
Previously Approved by Board:	_____
Requested Budget Adjustments:	_____
Total Requested Budget Adjustments This Period:	<u> -</u>
Cumulative Adjustments Approved by President:	
Previously Reported:	<u> -</u>
Approved This Period:	
Athletic Marketing	
Parking Tags	
Volleyball Program	
Total Adjustments Approved by President This Period:	<u> -</u>
Total Cumulative Adjustments Approved by President:	<u> -</u>
Adjusted Budget:	<u>\$ 1,889,217</u>

TEXAS STATE UNIVERSITY SYSTEM
STATEMENT OF CHANGES IN FUND BALANCES
FOR THE PERIOD SEPTEMBER 1, 2013 THROUGH August 31, 2014

DESCRIPTION	AVAILABLE	BUDGETED		AVAILABLE	RESERVED	UNRESERVED		RESTRICTED
	BALANCE 9/1/2013	ORIGINAL	SUPPLEMENTAL	BALANCE 8/31/2014		ALLOCATED	UNALLOCATED	
EDUCATIONAL & GENERAL								
TOTAL E&G	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
DESIGNATED Other Income	4,806,142.63			4,993,444.95		4,993,444.95		
TOTAL DESIGNATED	4,806,142.63	0.00	0.00	4,993,444.95	0.00	4,993,444.95	0.00	0.00
AUXILIARY								
TOTAL AUXILIARY	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
ENDOWMENT AND SIMILAR FUNDS								
TOTAL ENDOWMENT AND SIMILAR FUNDS	7,553,881.62			7,652,706.05				7,652,706.05
TOTAL ENDOWMENT AND SIMILAR FUNDS	7,553,881.62	0.00	0.00	7,652,706.05	0.00	0.00	0.00	7,652,706.05
PLANT FUNDS								
Invested in Capital Assets, Capital Projects	(812,824,882.87) (10,648,000.90)			(841,724,343.27) (6,301,027.02)				(841,724,343.27) (6,301,027.02)
TOTAL PLANT FUNDS	(823,472,883.77)	0.00	0.00	(848,025,370.29)	0.00	0.00	0.00	(848,025,370.29)
TOTAL ALL FUNDS	(811,112,859.52)	0.00	0.00	(835,379,219.29)	0.00	4,993,444.95	0.00	(840,372,664.24)

LAMAR UNIVERSITY
STATEMENT OF CHANGES IN FUND BALANCES
FOR THE PERIOD SEPTEMBER 1, 2013 THROUGH AUGUST 31, 2014

DESCRIPTION	AVAILABLE BALANCE	BUDGETED		AVAILABLE BALANCE	RESERVED	UNRESERVED		RESTRICTED
	9/1/2013	ORIGINAL	SUPPLEMENTAL	8/31/2014		ALLOCATED	UNALLOCATED	
EDUCATIONAL & GENERAL								
Dedicated GR Funds	49,351.00			49,351.00	61,688.88			
HEAF	277,824.00			277,824.00			277,824.00	
TOTAL E&G	327,175.00	0.00	0.00	327,175.00	61,688.88	0.00	277,824.00	0.00
DESIGNATED								
Designated Tuition	8,717,195.00			8,717,195.00	602,938.00		8,114,257.00	
Information Technology	1,872,891.47			1,872,891.47	1,171,000.00		701,891.47	
Library Fees	675,930.16			675,930.16		675,930.16		
All Other Fees	736,855.76			736,855.76		736,855.76		
Distance Education	(482,006.00)			(482,006.00)				
Sales and Services	480,343.01			480,343.01		480,343.01		
Institutional Scholarships	3,350,878.87			3,350,878.87				3,350,878.87
Other Income	1,466,208.53			1,466,208.53		1,466,208.53		
TOTAL DESIGNATED	16,818,296.80	0.00	0.00	16,818,296.80	1,773,938.00	3,359,337.46	8,816,148.47	3,350,878.87
AUXILIARY								
Student Service Fees	(2,301,101.08)			(2,301,101.08)				
Medical Service Fees	(7,877.17)			(7,877.17)				
Student Center Fees	236,920.60			236,920.60		236,920.60		
Bookstore	1,901,787.18			1,901,787.18			1,901,787.18	
Parking	210,433.06			210,433.06		210,433.06		
Food Service	1,409,412.62			1,409,412.62			1,409,412.62	
Student Housing	4,709,337.16			4,709,337.16	4,709,337.16			
Montagne Center	(3,429,512.59)			(3,429,512.59)				
Athletic Fee	(6,515,191.36)			(6,515,191.36)				
Auxiliary Donation	3,834,218.04			3,834,218.04	3,834,218.04			
Other	276,102.90			276,102.90		276,102.90		
TOTAL AUXILIARY	324,529.36	0.00	0.00	324,529.36	8,543,555.20	723,456.56	3,311,199.80	0.00
ENDOWMENT AND SIMILAR FUNDS								
	17,710,008.80			17,710,008.80				17,710,008.80
PLANT FUNDS								
Administration Building	5,001,817.11			5,001,817.11		5,001,817.11		
General Construction	125,133.00			125,133.00		125,133.00		
Series 2013 Energy Bond	2,967,140.49			2,967,140.49		2,967,140.49		
HEAF - Construction	8,522,840.67			8,522,840.67	0.00	8,522,840.67	0.00	
TOTAL PLANT FUNDS	16,616,931.27	0.00	0.00	16,616,931.27	0.00	16,616,931.27	0.00	0.00
TOTAL ALL FUNDS	51,796,941.23	0.00	0.00	51,796,941.23	10,379,182.08	20,699,725.29	12,405,172.27	21,060,887.67

SAM HOUSTON STATE UNIVERSITY
STATEMENT OF CHANGES IN BUDGETED FUND BALANCES
FOR THE PERIOD JUNE 1, 2014 - AUGUST 31, 2014

DESCRIPTION	AVAILABLE BALANCE 9/1/2014	BUDGETED		AVAILABLE BALANCE 8/31/2014	CURRENT FUNDS			NON-CURRENT FUNDS RESTRICTED
		ORIGINAL	SUPPLEMENTAL		RESERVED	UNRESERVED		
					ALLOCATED	UNALLOCATED		
EDUCATIONAL & GENERAL								
Fund 259	\$ 164,113	\$ -	\$ 64,008	\$ 100,105	\$ -	\$ 100,105	\$ -	\$ -
LEMIT	2,390,996.35	-	774	2,390,221.97	-	-	-	-
CMIT	1,853,911.52	-	400,000	1,453,911.52	-	-	-	-
HEAF	3,533,945	-	252,750	3,281,195	-	3,281,195	-	-
TOTAL E&G	\$ 3,800,652	\$ -	\$ 717,532	\$ 7,225,434	\$ -	\$ 3,381,300	\$ -	\$ -
DESIGNATED								
Designated Tuition	\$ 8,434,868	\$ -	\$ -	\$ 8,434,868	\$ -	\$ 8,434,868	\$ -	\$ -
Course Fees	9,050	-	-	9,050	-	9,050	-	-
Computer Use Fees	3,024,597	-	1,350,000	1,674,597	-	1,674,597	-	-
Advisement Fees	1,155,033	-	210,246	944,787	-	944,787	-	-
Library Fees	465,603	-	110,000	355,603	-	355,603	-	-
Recreation Fees	1,271,433	-	900,000	371,433	-	371,433	-	-
All Other Fees	3,161,151	-	1,802,486	1,358,664	-	1,358,664	-	-
Indirect Cost Recovery	2,186,237	-	90,543	2,095,693	-	2,095,693	-	-
Continuing Education	-	-	-	-	-	-	-	-
Sales and Services	15,166,065	-	4,219,431	10,946,634	-	10,946,634	-	-
Investment Income	-	-	-	-	-	-	-	-
Other Income	275,629	-	-	275,629	-	275,629	-	-
TOTAL DESIGNATEE	\$ 32,815,101	\$ -	\$ 8,682,707	\$ 26,466,959	\$ -	\$ 26,466,959	\$ -	\$ -
AUXILIARY								
Student Service Fees	\$ 1,723,914	\$ -	\$ 762,560	\$ 961,354	\$ -	\$ 961,354	\$ -	\$ -
Medical Service Fees	941,424	-	-	941,424	-	941,424	-	-
Student Center Fees	473,685	-	-	473,685	-	473,685	-	-
Athletics Student Service Fees	1,227,388	-	310,870	916,518	-	916,518	-	-
Recreational Sports Fees	-	-	-	-	-	-	-	-
Other Student Fees	-	-	-	-	-	-	-	-
Food Service	1,449,556	-	528,000	921,556	-	921,556	-	-
Housing	1,178,072	-	1,000	1,177,072	-	1,177,072	-	-
Other Sales and Services	8,434,011	-	2,524,336	5,909,674	-	5,909,674	-	-
Investment Income	608,700	-	-	608,700	-	608,700	-	-
TOTAL AUXILIARY	\$ 11,214,357	\$ -	\$ 4,126,766	\$ 11,909,984	\$ -	\$ 11,909,984	\$ -	\$ -
ENDOWMENT AND SIMILAR FUNDS								
Quasi-Endowments	\$ 6,352,779	\$ -	\$ -	\$ 6,352,779	\$ -	\$ -	\$ -	\$ 6,352,779
PLANT FUNDS								
HEAF	\$ 4,034,618	\$ -	\$ -	\$ 4,034,618	\$ -	\$ -	\$ -	\$ 4,034,618
Tuition Revenue Bonds	-	-	-	-	-	-	-	-
Current Building Projects	32,279,921	-	-	32,279,921	-	-	-	32,279,921
Projected Building Projects	-	-	-	-	-	-	-	-
TOTAL PLANT FUNDS	\$ 34,902,460	\$ -	\$ -	\$ 36,314,540	\$ -	\$ -	\$ -	\$ 36,314,540
TOTAL ALL FUNDS	\$ 88,952,918	\$ -	\$ 13,527,006	\$ 88,269,695	\$ -	\$ 41,758,242	\$ -	\$ 42,667,319

Preparation Notes:

The budgeted supplemental does not match the budget from FB report because this report does not include all E&G funds.
Reserved balances are prior year budget change to FB, account 315200 plus any additional amounts reserved by the VPFO or President.

SUL ROSS STATE UNIVERSITY-COMBINED
STATEMENT OF CHANGES IN FUND BALANCES
FOR THE PERIOD SEPTEMBER 1, 2013 THROUGH AUGUST 31, 2014

DESCRIPTION	AVAILABLE	BUDGETED	SUPPLEMENTAL	AVAILABLE	RESERVED	RESTRICTED	UNRESERVED	
	BALANCE			BALANCE			ALLOCATED	UNALLOCATED
	9/1/2013	ORIGINAL		8/31/2014				
EDUCATIONAL & GENERAL								
Fund 262	559,479.04		-	559,479.04	559,479.04			
HEAF	2,516,079.10			2,516,079.10	2,516,079.10			
TOTAL E&G	3,075,558.14	-	-	3,075,558.14	3,075,558.14	-	-	-
DESIGNATED								
Designated Tuition	1,808,797.42	791,544.00	-	1,017,253.42		1,017,253.42		
Course Fees	3,255.59	-		3,255.59		3,255.59		
Computer Use Fees	519,503.81	-		519,503.81		519,503.81		
Advisement Fees				-		-		
Library Fees	62,527.54			62,527.54		62,527.54		
Recreation Fees				-		-		
All other Fees	1,607,188.07	-		1,607,188.07		1,607,188.07		
Indirect cost recovery	542,386.99			542,386.99		542,386.99		
Continuing Education	31,060.68			31,060.68		31,060.68		
Sales and Services				-		-		
Investment Income				-		-		
Other Income	3,674,246.98			3,674,246.98		3,674,246.98		
TOTAL DESIGNATED	8,248,967.08	791,544.00	-	7,457,423.08	-	7,457,423.08	-	-
AUXILIARY								
Student Service Fees	884,380.43	193,318.00	-	691,062.43		691,062.43		
Medical Service Fees	109,261.25	-		109,261.25		109,261.25		
Student Center Fees	(81,522.69)			(81,522.69)		(81,522.69)		
Recreational Sports Fee	140,953.45			140,953.45		140,953.45		
Athletic Fee	38,781.85			38,781.85		38,781.85		
Other Student Fees	125,025.30			125,025.30		125,025.30		
Food Service				-		-		
Housing	1,159,851.19	1,159,851.19	-	-		-		
Other Sales and Services				-		-		
Investment Income				-		-		
TOTAL AUXILIARY	2,376,730.78	1,353,169.19	-	1,023,561.59	-	1,023,561.59	-	-
ENDOWMENT AND SIMILAR FUNDS								
Quasi-Endowments	-			-		-		
PLANT FUNDS								
HEAF	27,434.11			27,434.11		-		27,434.11
Current Building Projects	-			-		-		-
Reserve for Debt Service	287,812.79	(1,159,851.19)		1,447,663.98		-		1,447,663.98
Projected Building Projects	1,266,998.87			1,266,998.87		-		1,266,998.87
TOTAL PLANT FUNDS	1,582,245.77	(1,159,851.19)	-	2,742,096.96	-	-	-	2,742,096.96
TOTAL ALL FUNDS	15,283,501.77	984,862.00	-	14,298,639.77	3,075,558.14	8,480,984.67	-	2,742,096.96

TEXAS STATE UNIVERSITY
STATEMENT OF CHANGES IN FUND BALANCES
FOR THE PERIOD SEPTEMBER 1, 2013 THROUGH AUGUST 31, 2014

DESCRIPTION	AVAILABLE	BUDGETED		AVAILABLE	RESERVED	CURRENT FUNDS		NON-CURRENT FUNDS RESTRICTED
	BALANCE 9/1/2013	ORIGINAL	SUPPLEMENTAL	BALANCE 8/31/2014		UNRESERVED	UNALLOCATED	
EDUCATIONAL & GENERAL								
Fund 260	\$2,855,576		166,312	\$2,689,264	\$1,394,932		\$1,294,332	
TOTAL E&C	2,855,576		166,312	2,689,264	1,394,932		1,294,332	
DESIGNATED								
Designated Tuition	44,967,976		24,618,577	20,349,398.68	3,853,697	16,495,701.52		
Computer Use Fees	6,352,294		2,703,850	3,648,443.89	269,968	3,378,475.64		
Advisement Fees	3,158,533		618,000	2,540,533.24	64,997	2,475,536.08		
Library Fees	3,873,499		158,179	3,715,320.15	131,674	3,583,646.52		
All Other Fees	1,861,515		132,000	1,729,514.94	41,480	1,688,035.30		
Indirect Cost Recovery	3,612,088		3,607,115	4,973.21	4,973	-		
Sales and Services	15,597,147		4,032,451	11,564,695.69	1,307,611	10,257,085.18		
Other Income	4,489,664		-	4,489,664.17	2,053,455	2,436,209.33		
TOTAL DESIGNATED	83,912,716		35,870,172	48,042,544	7,727,854	40,314,690		-
AUXILIARY								
Student Service Fees	4,872,447		1,310,551	3,561,896.36	73,250	3,488,646		
Medical Service Fees	1,682,844		-	1,682,843.74	50,061	1,632,783		
Student Center Fees	2,436,513		1,002,283	1,434,229.52	66,305	1,367,924		
Recreational Sports Fees	2,567,608		1,006,800	1,560,807.73	212,265	1,348,543		
Other Student Fees	3,020,902		1,250,000	1,770,902.44	130,653	1,640,249		
Food Service	1,956,576		816,700	1,139,875.62	124,416	1,015,460		
Housing	15,635,664		2,787,108	12,848,555.58	856,166	11,992,389		
Other Sales and Services	16,094,592		874,048	15,220,544.14	6,670,727	8,549,817		
TOTAL AUXILIARY	48,267,145		9,047,490	39,219,655	8,183,843	31,035,812		-
ENDOWMENT AND SIMILAR FUNDS								
Quasi-Endowments	16,422,751			16,422,750.50				16,422,751
PLANT FUNDS								
HEAF	29,939,116	29,939,116		-				
Tuition Revenue Bonds	3,794,961		3,794,961	-				-
System Revenue Bonds	60,904,798		60,904,798	-				-
Projected Building Projects	28,176,503		28,176,503	-				-
TOTAL PLANT FUNDS	122,815,377	29,939,116	92,876,262	-	-	-	-	-
TOTAL ALL FUNDS	\$274,273,566	\$29,939,116	\$137,960,236	\$106,374,214	\$17,306,630	\$71,350,501	\$1,294,332	\$16,422,751

LAMAR INSTITUTE OF TECHNOLOGY
STATEMENT OF CHANGES IN FUND BALANCES
FOR THE PERIOD SEPTEMBER 1, 2013 THROUGH AUGUST 31, 2014

DESCRIPTION	AVAILABLE	BUDGETED		AVAILABLE	RESERVED	UNRESERVED		RESTRICTED
	BALANCE	ORIGINAL	SUPPLEMENTAL	BALANCE		ALLOCATED	UNALLOCATED	
	09/01/13			08/31/14				
EDUCATIONAL & GENERAL								
Fund 287	\$1,150,373			\$1,150,373	\$0	\$1,150,373		
HEAF	7,702,147	0	0	7,702,147	5,000,000	2,702,147		
TOTAL E&G	8,852,520	0	0	8,852,520	5,000,000	3,852,520	0	0
DESIGNATED								
Designated Tuition	5,393,916	0	0	5,393,916	2,000,000	3,393,916		
Course Fees	0	0	0	0	0	0		
Computer Use Fees	462,238	0	0	462,238	250,000	212,238		
Advisement Fees	0	0	0	0	0	0		
Library Fees	0	0	0	0	0	0		
Recreation Fees	0	0	0	0	0	0		
All Other Fees	44,981	0	0	44,981	0	44,981		
Indirect Cost Recovery	0	0	0	0	0	0		
Continuing Education	0	0	0	0	0	0		
Sales and Services	0	0	0	0	0	0		
Investment Income	0	0	0	0	0	0		
Other Income	0	0	0	0	0	0		
TOTAL DESIGNATED	5,901,135	0	0	5,901,135	2,250,000	3,651,135	0	0
AUXILIARY								
Student Service Fees	1,248,166	0	0	1,248,166	300,000	948,166		
Medical Service Fees	0	0	0	0	0	0		
Student Center Fees	0	0	0	0	0	0		
Recreational Sports Fees	0	0	0	0	0	0		
Other Student Fees	1,537,866	0	0	1,537,866	1,100,000	437,866		
Food Service	0	0	0	0	0	0		
Housing	0	0	0	0	0	0		
Other Sales and Services	0	0	0	0	0	0		
Investment Income	0	0	0	0	0	0		
TOTAL AUXILIARY	2,786,032	0	0	2,786,032	1,400,000	1,386,032	0	0
ENDOWMENT AND SIMILAR FUNDS								
Quasi-Endowments	0	0	0	0	0	0	0	0
PLANT FUNDS								
HEAF	0	0	0	0	0	0		
T.R.B	0	0	0	0	0	0		0
Other	0			0	0	0		
TOTAL PLANT FUNDS	0	0	0	0	0	0	0	0
TOTAL ALL FUNDS	\$17,539,687	\$0	\$0	\$17,539,687	\$8,650,000	\$8,889,687	\$0	\$0

Lamar State College-Orange
STATEMENT OF CHANGES IN FUND BALANCES
FOR THE PERIOD SEPTEMBER 1, 2013 THROUGH AUGUST 31, 2014

DESCRIPTION	AVAILABLE	BUDGETED		AVAILABLE	RESERVED	UNRESERVED		RESTRICTED
	BALANCE	ORIGINAL	SUPPLEMENTAL	BALANCE		ALLOCATED	UNALLOCATED	
	9/1/2013			8/31/2014				
EDUCATIONAL & GENERAL								
Fund 285	\$ 809,491			\$ 809,491		\$ 809,491		
HEAF	4,968,939			4,968,939	\$ 4,968,939			
TOTAL E&G	5,778,430	-	-	5,778,430	4,968,939	809,491	-	-
DESIGNATED								
Designated Tuition	3,935,744			3,935,744		3,935,744		
Computer Use Fees	2,402,320	184,916		2,217,404		2,217,404		
Advisement Fees				-		-		
Library Fees	257,100	49,302		207,798		207,798		
Recreation Fees				-		-		
All Other Fees	261,245			261,245		261,245		
Indirect Cost Recovery	1,508,084			1,508,084		1,508,084		
Continuing Education/ACE	345,486	48,285	6,722	290,479		290,479		
Other Income	258,883			258,883		258,883		
TOTAL DESIGNATED	8,968,862	282,503	6,722	8,679,637	-	8,679,637	-	-
AUXILIARY								
Student Service Fees	1,965,695			1,965,695		1,965,695		
Medical Service Fees				-		-		
Student Center Fees	397,979	21,860		376,119		376,119		
Recreational Sports Fees				-		-		
Other Student Fees	263,278			263,278		263,278		
Food Service	344			344		344		
Housing				-		-		
Other Sales and Services	391,631			391,631		391,631		
Investment Income				-		-		
TOTAL AUXILIARY	3,018,927	21,860	-	2,997,067	-	2,997,067	-	-
ENDOWMENT AND SIMILAR FUNDS								
Quasi-Endowments				-		-		
PLANT FUNDS								
HEAF				-		-		
Current Building Projects				-		-		
Projected Building Projects				-		-		
TOTAL PLANT FUNDS	-	-	-	-	-	-	-	-
TOTAL ALL FUNDS	\$ 17,766,219	\$ 304,363	\$ 6,722	\$ 17,455,134	\$ 4,968,939	\$ 12,486,195	\$ -	\$ -

LAMAR STATE COLLEGE PORT ARTHUR
STATEMENT OF CHANGES IN FUND BALANCES
FOR THE PERIOD SEPTEMBER 1, 2013 THROUGH AUGUST 31, 2014

DESCRIPTION	AVAILABLE	BUDGETED		AVAILABLE	RESERVED	UNRESERVED		RESTRICTED
	BALANCE	ORIGINAL	SUPPLEMENTAL	BALANCE		ALLOCATED	UNALLOCATED	
	09/01/13			08/31/14				
EDUCATIONAL & GENERAL								
Fund 286	\$823,007			\$823,007	\$823,007			
HEAF	3,469,574	1,214,880	109,400	2,145,294	2,145,294			
TOTAL E&G	4,292,581	1,214,880		2,968,301	2,968,301	0	0	0
DESIGNATED								
Designated Tuition	1,162,573			1,162,573		1,162,573		
Institutional Scholarships	152,032			152,032		152,032		
Computer Use Fees	412,274			412,274		412,274		
Insurance Contingency	271,611			271,611		271,611		
Application Fees	94,120			94,120		94,120		
IT Contingency	388,329			388,329		388,329		
All Other Fees	6,539			6,539		6,539		
TOTAL DESIGNATED	2,487,478	0	0	2,487,478	0	2,487,478	0	0
AUXILIARY								
Student Service Fees	62,608			62,608		62,608		
Student Center	12,259							
Parking Fee	157,734			157,734		157,734		
Bookstore	137,393			137,393		137,393		
Other Sales and Services	46,310			46,310		46,310		
TOTAL AUXILIARY	416,303	0	0	404,044	0	404,044	0	0
ENDOWMENT AND SIMILAR FUNDS								
Quasi-Endowments	1,097,380			1,097,380		0		1,097,380
PLANT FUNDS								
HEAF				0				
T.R.B				0				
Other				0				
TOTAL PLANT FUNDS				0				
TOTAL ALL FUNDS	\$8,293,742	\$1,214,880	\$0	\$6,957,203	\$2,968,301	\$2,891,522	\$0	\$1,097,380

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TEXAS STATE UNIVERSITY SYSTEM – QUARTERLY STATUS REPORT – NOVEMBER 2014 BOARD MEETING
STATUS OF IMPLEMENTATION OF AUDIT RECOMMENDATIONS FOR REPORTS ISSUED THROUGH SEPTEMBER 2014

Audit Report	Recommendations	Management’s Most Current Response	Status (*)
LAMAR INSTITUTE OF TECHNOLOGY			
Banner Security, November 2012	<p>Issues, recommendations, and management action plans for this audit are actively being addressed. The details are not presented here due to exemptions allowed for information that relates to computer network security or to the design, operation, or defense of a computer network (Texas Government Code 552.139).</p> <p>As of the date of this report, there is one recommendation in progress of being implemented.</p>		
LIT-IT Policy Guideline Compliance Review, August 2013	<p>Issues, recommendations, and management action plans for this audit are actively being addressed. The details are not presented here due to exemptions allowed for information that relates to computer network security or to the design, operation, or defense of a computer network (Texas Government Code 552.139).</p> <p>Factors precluded verification of progress since August towards completion. Compliance achieved for the elements noted as of that date is as follows:</p> <p>Policy: 68% complete (compared to 68% in August) Procedure: 64% complete (compared to 64% in August) Demonstrable Procedure: 50% complete (compared to 50% in August)</p>		
Inter-Component Re-bills LIT Results, October 2013	<p>The Lamar components should collaborate to update and execute new legal agreements for significant services.</p>	<p>Management is in the process of collaboration with Lamar University management to update and execute a service level agreement with Lamar University and annually review the agreement and costs calculations for IT services rendered.</p> <p>Person Responsible: Bonnie Albright, Vice President for Finance and Operations Timetable for Completion: December 31, 2014</p>	In Progress
	<p>Use of HEAF funds: Re-bills from LU should not be paid for with HEAF funds. Prior payments of the LU library re-bills which used HEAF funds should be refunded retroactively from other sources.</p>	<p>LIT is no longer paying LU for Library with HEAF funds. LIT is working on a plan to repay the HEAF funds from other sources to cover those costs.</p> <p>Person Responsible: Bonnie Albright, Vice President for Finance and Operations Timetable for Completion: Prepare Plan: August 31, 2015</p>	In Progress
	<p>Veterans Affairs Chapter 33 Benefits: In order to streamline procedures, procedures should be changed such that VA Chapter 33 Benefits are wired directly to LIT (as they are currently for Chapter 31 Benefits). LU should calculate and remit any remaining funds still held to LIT.</p>	<p>LIT management has not been able to have the benefits wired directly to LIT. LIT will continue to work to change the procedures with the Veterans but it is not known when that will be possible. LU is remitting the funds timely.</p> <p>Person Responsible: Bonnie Albright, Vice President for Finance and Operations Timetable for Completion:</p>	Factors Delay Implementation

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(*) Status Categories: Implemented; Planned; In Progress; Factors Delay Implementation; Management Does Not Plan to Implement Recommendation; Verification of Implementation in Progress

ITEMS PRESENTED IN RED ARE FROM AUDIT REPORTS ISSUED PRIOR TO SEPTEMBER 30, 2013

TEXAS STATE UNIVERSITY SYSTEM – QUARTERLY STATUS REPORT – NOVEMBER 2014 BOARD MEETING
STATUS OF IMPLEMENTATION OF AUDIT RECOMMENDATIONS FOR REPORTS ISSUED THROUGH SEPTEMBER 2014

Audit Report	Recommendations	Management’s Most Current Response	Status (*)
	<p>Inefficient small payments: A more efficient method of purchasing postal services should be implemented, for example, using a dedicated procurement card.</p>	<p>We could not establish another method. LU Post Office no longer takes credit cards. We are receiving invoices monthly and paying the invoices monthly.</p> <p>Person Responsible: Bonnie Albright, Vice President for Finance and Operations Timetable for Completion:</p>	<p>Management Does Not Plan to Implement Recommendation</p>
	<p>Several fund balances at FY2012 year-end appeared incorrect:</p> <p>Management should review and correct the above fund balances. Year-end procedures should be improved to review all fund balances at every year-end. Management should consider combining Funds 3000 and 3050 to provide for a clearer picture of the state of the Fund.</p>	<p>LIT will work to review and correct the fund balances. Year-end procedures will be improved to review all the fund balance at every year-end. LIT will combine 3000 and 3050.</p> <p>Person Responsible: Bonnie Albright, Vice President for Finance and Operations Timetable for Completion: August 31, 2015</p>	<p>In Progress</p>
<p>ACH & Wire Transfers, May 2014</p>	<p><i>Report Observation #1</i> Segregation of Duties: 1. Those who initiate online banking transactions should not also approve the same transaction.</p> <p>2. Those who initiate or approve online banking transactions should not prepare or approve bank account reconciliations.</p>	<p>1 .Federal drawdowns are the only direct deposits into Capital One and then a transfer is made to Wells Fargo. We have completed a test to have the drawdowns directly deposited into Wells Fargo. Capital One should be closed by May 31, 2014. Currently at Wells Fargo the person that initiates the wire does not approve the same transaction.</p> <p>2. This has been implemented; currently the Accountant II reviews the bank reconciliation and she does not have bank access.</p> <p>Person Responsible: Bonnie Albright, Vice President of Finance Timetable for completion: May 31, 2014</p>	<p>Implemented</p> <p>Implemented</p>
	<p><i>Report Observation #2</i> Local Depository Contract: A local depository contract for the Wells Fargo account should be executed in accordance with TSUS rules.</p>	<p>A local depository contract will be executed in accordance with TSUS rules in coordination with the TSUS office.</p> <p>Person Responsible: Bonnie Albright, Vice President of Finance Timetable for completion: August 31, 2014</p>	<p>Implemented</p>

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(*) Status Categories: Implemented; Planned; In Progress; Factors Delay Implementation; Management Does Not Plan to Implement Recommendation; Verification of Implementation in Progress

TEXAS STATE UNIVERSITY SYSTEM – QUARTERLY STATUS REPORT – NOVEMBER 2014 BOARD MEETING
STATUS OF IMPLEMENTATION OF AUDIT RECOMMENDATIONS FOR REPORTS ISSUED THROUGH SEPTEMBER 2014

Audit Report	Recommendations	Management’s Most Current Response	Status (*)
	Report Observation #3 Timely Transaction Posting:	<p>Lamar Institute of Technology has enhanced its procedures for posting transactions so that we are posting timely. We will strive to meet the three business day time period. However, due to registration or financial reporting deadlines, this timeframe may, on occasion, be extended to not more than 5 business days.</p> <p>Person Responsible: Bonnie Albright, Vice President of Finance Timetable for completion: May 31, 2014</p>	Implemented
	Report Observation #5 Initiator/Approver Documentation	<p>Lamar Institute of Technology will consistently document the transaction audit trail information by attaching the appropriate “verification” bank report to each journal voucher.</p> <p>Person Responsible: Bonnie Albright, Vice President of Finance Timetable for completion: May 31, 2014</p>	Implemented
	Report Observation #6 Diploma Fees: The reconciliation process would be simplified if the Diploma Fees collected from students were recorded as a payable due to Lamar University instead recording them as paid to Lamar University immediately.	<p>Lamar Institute of Technology will setup a payable to Lamar University for diploma fees and we will liquidate the payable when we pay by wire quarterly.</p> <p>Person Responsible: Bonnie Albright, Vice President of Finance Timetable for completion: May 31, 2014</p>	Implemented
Student Services Fees, May 2014		Final resolution of these issues depends upon the receipt of guidance from System Counsel concerning allowable uses of the Student services fees Fund and the appointment of a new Dean of Student Services at LIT, although as many improvements as possible will be implemented in the meantime.	
	<p>Expenditures charged to the Student Services Fee Fund did not comply with statute. LIT should:</p> <ol style="list-style-type: none"> 1. Ensure that only expenditures allowable by statute are approved by the Student Fee Advisory Committee. 2. Ensure that only expenditures allowable by statute are paid by the Student Services Fee Fund. 3. Ensure that only expenditures approved by the Committee (at its annual or other meetings) are charged to the Student Services Fee Fund. 	<p>We will ensure that only expenditures allowable by statute be approved by the Student Fee Advisory Committee, and we will ensure that only expenditures allowable by statute be paid by the Student Services Fee Fund. Finally, we will ensure that only expenditures approved by the Committee will be charged to the Student Services Fee Fund.</p> <p>Person Responsible: Nickoulas Cicoi, Interim, Dean of Student Services Ms. Bonnie Albright, Vice President for Finance Timetable for completion: August 31, 2015</p>	In Progress

(*) Status Categories: Implemented; Planned; In Progress; Factors Delay Implementation; Management Does Not Plan to Implement Recommendation; Verification of Implementation in Progress

ITEMS PRESENTED IN RED ARE FROM AUDIT REPORTS ISSUED PRIOR TO SEPTEMBER 30, 2013

**TEXAS STATE UNIVERSITY SYSTEM – QUARTERLY STATUS REPORT – NOVEMBER 2014 BOARD MEETING
STATUS OF IMPLEMENTATION OF AUDIT RECOMMENDATIONS FOR REPORTS ISSUED THROUGH SEPTEMBER 2014**

Audit Report	Recommendations	Management’s Most Current Response	Status (*)
	<p>Fees: The Student Fee Advisory Committee should:</p> <ol style="list-style-type: none"> 1. Review the fee at its annual meeting and make a recommendation to management concerning the fee to be charged for the next academic year, 2. Consider management’s estimation of total revenues to be raised. 3. Ensure that their budgeted, permissible expenditures correlate to the expected fees generated (within a reasonable contingency), and 4. Review balances in the Student Services Fees Fund periodically to determine the disposition of any excess of revenues over permissible expenditures. 	<p>The Student Fee Advisory Committee will review the fee and discuss with management the fee to be charged for the next academic year. The Committee will consider management’s estimation of total revenues to be raised, and will ensure that their budgeted, permissible expenditures correlate to the expected fees generated within a reasonable contingency. And finally the committee will review the balance in the Student Services Fees Fund with management periodically to determine the disposition of any excess of revenues over permissible expenditures.</p> <p>Person Responsible: Nickoulas Cicoi, Interim, Dean of Student Services Ms. Bonnie Albright, Vice President for Finance Timetable for completion: August 31, 2015</p>	In Progress
	<p>Accounting: Two fund codes were used to record expenditures from the fund. Also, fund balances appeared to be incorrect. Management should:</p> <ol style="list-style-type: none"> 1. Ensure that revenues and expenditures related to Student Services Fees are recorded properly and consistently in fund 3050, and 2. Research and resolve historical issues with the fund balances, and restate them correctly. 	<p>Starting immediately, we will ensure that revenues and expenditures related to Student Services Fees are recorded properly and consistently in fund 3050. We will also research and resolve historical issues with fund balances and restate them correctly.</p> <p>Person Responsible: Bonnie Albright, Vice President for Finance Timetable for completion: August 31, 2015</p>	In Progress
	<p>Fund Surplus: A large surplus of unspent fees is building up. LIT should:</p> <ol style="list-style-type: none"> 1. Align its Student Services Fees with expenditures for student activities, by considering both the activities to be provided for students and the size of the fee. 2. Determine how to correct or use the large surplus in the Student Services Fee Fund. 	<p>We will align Student Services Fees collected with expenditures. We will determine how to correct or use the large surplus in the Student Services Fee Fund.</p> <p>Person Responsible: Nickoulas Cicoi, Interim, Dean of Student Services Ms. Bonnie Albright, Vice President for Finance Timetable for completion: August 31, 2015</p>	In Progress

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(*) Status Categories: Implemented; Planned; In Progress; Factors Delay Implementation; Management Does Not Plan to Implement Recommendation; Verification of Implementation in Progress

ITEMS PRESENTED IN RED ARE FROM AUDIT REPORTS ISSUED PRIOR TO SEPTEMBER 30, 2013

TEXAS STATE UNIVERSITY SYSTEM – QUARTERLY STATUS REPORT – NOVEMBER 2014 BOARD MEETING
STATUS OF IMPLEMENTATION OF AUDIT RECOMMENDATIONS FOR REPORTS ISSUED THROUGH SEPTEMBER 2014

Audit Report	Recommendations	Management’s Most Current Response	Status (*)
	<p>Student Fee Advisory Committee: There were problems concerning the eligibility of members of the Advisory Committee which met in June 2013.</p>	<p>We will have a committee formed earlier in the academic year and the annual meeting will be held in April or early May. And we will hold additional committee meetings to address additional needs or requests which may occur during the academic year.</p> <p>Person Responsible: Nickoulas Cicoi, Interim, Dean of Student Services Timetable for completion: August 31, 2015</p>	<p>In Progress</p>
LAMAR STATE COLLEGE-ORANGE			
<p>IT Policy Guideline Compliance Review, August 2013</p>	<p>Issues, recommendations, and management action plans for this audit are actively being addressed. The details are not presented here due to exemptions allowed for information that relates to computer network security or to the design, operation, or defense of a computer network (Texas Government Code 552.139).</p> <p>Compliance achieved for the elements noted is as follows:</p> <p>Policy: 100% complete Procedure: 61% complete (compared to 61% in August) Demonstrable Procedure: 50% complete (compared to 43% in August)</p>		
<p>Inter-Component Re-bills, LSC-O Results, October 2013</p>	<p>The Lamar components should collaborate to update and execute new legal agreements for significant services.</p>	<p>A discussion between Lamar State College – Orange (LSC-O) and Lamar University (LU) took place in August regarding potential new format for method of calculation for IT services provided to LSC-O by LU. Agreements are reviewed and revised annually. As recommended, agreement will be vetted through the System’s General Counsel. Discussion regarding proposed agreement between LU and LSC-O continues. Agreement has been completed as of this date. Campuses continue to work together regarding detailed computer related services provided by LU to LSC-O.</p> <p>Person Responsible: Dana Rogers, VP for Finance and Operations Timetable for Completion: July 31, 2014</p>	<p>In Progress</p>
<p>ACH & Wire Transfers, May 2014</p>	<p>1. Segregation of duties The College should reorganize duties to strengthen controls by reducing the Director of Finance’s influence</p>	<p>Duties have been reorganized to strengthen controls regarding the preparation of the bank reconciliations. The</p>	<p>Implemented</p>

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ITEMS PRESENTED IN RED ARE FROM AUDIT REPORTS ISSUED PRIOR TO SEPTEMBER 30, 2013

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	over the reconciliation process	AR Accountant II will no longer prepare the bank reconciliations. The task has moved to the Project Accountant who has no access to the banking system and does not prepare any journal vouchers. The Project Accountant prepares other reconciliations. Person Responsible: Jamie Simmons, Director of Finance Timetable for completion: May 15, 2014	
	2. Timely bank reconciliations Reconciliations should be completed by the 25th of the succeeding month.	Bank reconciliations will be completed timely by the 25th of the succeeding month as recommended in TSUS Depository Funds Policy. The task of preparing bank reconciliations has moved to the Project Accountant. The Project Accountant will devote needed effort to this task as there is no involvement in the day to day operations of the office. Supervisor, Jamie Simmons, currently reviews all accounts receivable and bank reconciliations and will ensure deadline is met. Person Responsible: Jamie Simmons, Director of Finance Timetable for completion: May 15, 2014	Implemented
	3. Banking contract signatures The College’s bank contract should be signed by the TSUS Board Chairman.	Current banking contract will be signed by the TSUS Board Chairman at the time of the completion of the current banking contract. Person Responsible: Dana N. Rogers, Vice President, Finance and Operations Timetable for completion: May 16, 2014	Implemented
	4. Timely transaction posting ACH batches and wire transfers should be timely posted.	LSC-O will ensure deposits or withdrawals are recorded immediately into the Banner system. Moving the task of preparing bank reconciliations provides additional time for the A/R Accountant to ensure deposits are recorded timely. Person Responsible: Jamie Simmons, Director of Finance Timetable for completion: May 15, 2014	Implemented
LAMAR STATE COLLEGE-PORT ARTHUR			
IT Policy Guideline	Issues, recommendations, and management action plans for this audit are actively being addressed. The details are not presented here due to exemptions allowed for information that relates to computer network security or to the design, operation, or defense of a computer network (Texas		

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<p>Compliance Review, August 2013</p>	<p>Government Code 552.139).</p> <p>Compliance achieved for the elements noted is as follows:</p> <p>Policy: 100% complete Procedure: 93% complete (compared to 93% in August) Demonstrable Procedure: 86% complete (compared to 86% in August)</p>		
<p>Inter-Component Re-bills, LSC-PA Results, October 2013</p>	<p>The Lamar components should collaborate to update and execute new legal agreements for significant services.</p>	<p>Lamar State College Port Arthur will work with Lamar University on a legal agreement for major inter-component services. This agreement will be in writing, reviewed annually to reflect current changes, include the method of calculation and the rationale for the method and applicable percentages used, and vetted through the System's General Counsel.</p> <p>Person Responsible: Mary Wickland, VP for Finance Timetable for Completion: September 30, 2014</p>	<p>Planned</p>
<p>LAMAR UNIVERSITY</p>			
<p>Montagne Center Audit, August 2011</p>	<p>Regarding rentals of the Montagne Center to Third Parties (e.g., concerts):</p> <p>An Account Receivable should be set up in the Banner accounting system to ensure collection.</p>	<p>Procedures have been established by Finance / Accounts Receivable and implemented by Athletics. A workgroup has been established to define an appropriate workflow for notification of new rentals and the reconciliation and monitoring of the rentals. A receivable was established for Montagne Receivables.</p> <p>Person Responsible: Jason Henderson, Athletic Director; Twila Baker, Assoc. Vice President, Finance Timetable for Completion: November 30, 2014</p>	<p>In Progress</p>

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Student Affairs Advisory Review, August 2012	Implement on-going measures to emphasize fiscal stewardship and accountability.	<p>A three-year programmatic strategic plan (FY2016-FY2018) is being developed and is due to Lamar University Administration on January 15th, 2015. This plan will address areas such as a free standing budget, internal operations, and fiscal stewardship. A University policy about fiscal stewardship and accountability has been drafted and is under review by Executive management as well as internal controls guide and employee handbook.</p> <p>Person Responsible: Dr. Cruse Melvin, VP for Finance and Operations Timetable for Completion: December 31, 2016</p>	In Progress
	Make organizational improvements: <ol style="list-style-type: none"> a. Create departmental policies & procedures b. Update job descriptions c. Review personnel qualifications & skill sets d. Evaluate salary equity following staff reassignments e. Conduct open, transparent, policy-compliant searches when filling vacancies. 	<p>A three-year programmatic strategic plan (FY2016-FY2018) is being developed and is due to Lamar University Administration on January 15th, 2015. This plan will address areas such as a free standing budget, internal operations, fiscal stewardship and organizational improvements. A University policy about fiscal stewardship and accountability has been drafted and is under review by Executive management as well as internal controls guide and employee handbook.</p> <p>Person Responsible: Dr. Cruse Melvin, VP for Finance and Operations Timetable for Completion: December 31, 2016</p> <p>The newly appointed Vice President for Student Affairs is conducting a review of the department, and reorganizing existing personnel as necessary.</p> <p>Person Responsible: Vicki McNeil-VP Student Affairs Timetable for Completion: December 31, 2015</p>	In Progress

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	Provide training in purchasing policies & procedures for all employees.	<p>Training for the area of SEM was completed in July 2013. Additional campus-wide training has been conducted. Training sessions will be delivered to the remaining areas of student affairs on October 22, 2014. This will be on-going process. In future, the Director of Purchasing will present training at new employee orientation.</p> <p>Person Responsible: Diane Thibodeaux, AVP Administration Timetable for Completion: October 31, 2014</p>	In Progress
	Develop & deploy a formalized annual departmental budget-setting process, including consideration of actual expenditures and future operational plans, and with participation by all budget-accountable managers.	<p>The current budget process included meeting with deans and department chairs on an individual basis. Individual budget reviews are conducted throughout the fiscal year on an as needed basis. The Budget FY15 was completed and approved by the Board of Regents.</p> <p>A three-year programmatic strategic plan (FY2016-FY2018) is being developed and is due to Lamar University Administration on January 15th, 2015. This plan will address areas such as a free standing budget, internal operations, and fiscal stewardship. A University policy about fiscal stewardship and accountability has been drafted and is under review by Executive management as well as internal controls guide and employee handbook. The planning process for FY16 has begun and will look at best practices from other institutions to enhance the budgeting process. Budgeting policy and procedures are being drafted and will be submitted for review.</p> <p>Person Responsible: Twila Baker, AVP Finance Timetable for Completion May 31, 2015</p>	In Progress

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	<p>Improve contracting procedures to ensure compliance with established policies & laws.</p>	<p>The Director of Contract Management has personally touched, approved, rejected and/or negotiated approximately 2,638 individual contracts in the last 24 months. He has personally had one-on-one contact with 111 departments. Reminder notifications as to policies and procedures have been sent. The Director of Contracts is responsible for reviewing contracts to ensure compliance with established policies and laws. All files reside on a Lamar University password protected network drive.</p> <p>Person Responsible: Diane Thibodeaux, AVP Administration Timetable for Completion: August 15, 2014</p>	<p>Implemented</p>
<p>IT Physical Environment, October 2012</p>	<p>Issues, recommendations, and management action plans for this audit are actively being addressed. The details are not presented here due to exemptions allowed for information that relates to computer network security or to the design, operation, or defense of a computer network (Texas Government Code 552.139).</p> <p>As of the date of this report, there were five recommendations. Three recommendations are in process of being implemented, and certain factors delay two of the recommendations from being implemented (implementation is dependent upon completion of the new Administration building).</p>		

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<p>Cash Collection Functions, December 2012</p>	<p>There were several issues adversely impacting the security of sports box offices:</p> <p>Responsibility for assessing security measures, including the CCTV system, should be clearly assigned in a documented policy.</p> <p>Security precautions should be reviewed for effectiveness, including rectification of the problems identified regarding the CCTV system and panic alarm buttons.</p> <p>Continuing functioning of equipment should be properly monitored and maintained.</p>	<p>A University wide CCTV policy has been drafted that includes the person responsible for CCTV issues. A new position of Technology Services Coordinator has been filled and has the functional responsibility of managing the day to day operations and maintenance of the CCTV system.</p> <p>Person Responsible: Asst. Chief Hector Flores Timetable for Completion:</p> <p>Security precautions have been implemented to include mirror placement outside the ticket office and the repair of panic buttons and alarm systems inside the office. All monetary deposits are escorted by an armed police officer.</p> <p>The replacement of the E Watch video camera system is underway. Milestone is the selected vendor and a partnership of IT and the Police Department is working with an integrator for the project. Dual systems will be managed until all E Watch cameras are migrated to the Milestone system. This project will also allow the inclusion of access control in combination with the CCTV function.</p> <p>Person Responsible: Asst. Chief Hector Flores Timetable for Completion:</p>	<p>In Progress</p> <p>Implemented</p> <p>In Progress</p>
<p>Banner Security, May 2013</p>	<p>Issues, recommendations, and management action plans for this audit are actively being addressed. The details are not presented here due to exemptions allowed for information that relates to computer network security or to the design, operation, or defense of a computer network (Texas Government Code 552.139).</p> <p>As of the date of this report, one recommendation is in progress.</p>		

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IT Policy Guideline Compliance Review, August 2013	<p>Issues, recommendations, and management action plans for this audit are actively being addressed. The details are not presented here due to exemptions allowed for information that relates to computer network security or to the design, operation, or defense of a computer network (Texas Government Code 552.139).</p> <p>Compliance achieved for the elements noted is as follows:</p> <p>Policy: 57% complete (compared to 57% in August) Procedure: 75% complete (compared to 75% in August) Demonstrable Procedure: 71% complete (compared to 71% in August)</p>		
Chartwells Dining Services, September 2013		<p>The current food services contract was extended through December 31, 2014. A proposed new contract is being reviewed for compliance with Bond conditions.</p>	
	<p>Entry to the dining hall should be properly controlled to ensure payment by diners</p>	<p>Measures have been taken to minimize the width of entrance pathways within necessary accessibility standards. The food services contract for FY 15 is still pending.</p> <p>Person Responsible: Michael Ruland, AVP Facilities Timetable for Completion: February 28, 2015</p>	<p>In Progress</p>
	<p>The costs of purchases from vendors for food and supplies could not be verified. Chartwells should be required to account for its purchase costs, net of discounts from its suppliers.</p>	<p>The current food services contract expires in December 2014. The bid process will anticipate a profit/loss contract with the successful bidder eliminating the need for university oversight of rebates, discounts and refunds. The contract process has been completed. Final contract is pending with TSUS. See measures noted above to enhance oversight controls and monitoring.</p> <p>Person Responsible: Diane Thibodeaux, AVP Administration Timetable for Completion: December 31, 2014</p>	<p>In Progress</p>
	<p>Several aspects of contract management were deficient: Board approval was not sought for loans made by Chartwells under the contract; key terms were not reduced to writing; Chartwells' performance was not consistently evaluated; and significant purchases made by Chartwells with money it loaned to the University were made without regard to applicable statutes and rules</p>	<p>The RFP process was prepared and followed standard procurement procedures. Appropriate performance indicators were determined and included in the proposed contract terms. Contract terms were submitted to TSUS legal counsel for review. Award has not yet been made.</p> <p>Person Responsible: Diane Thibodeaux, AVP Administration Timetable for Completion: December 31, 2014</p>	<p>In Progress</p>

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	<p>Sales taxes had not been remitted to the state. The University should ensure that sales taxes are remitted as required by statute, and work with Chartwells management to ensure that all other sales tax issues are resolved and reported back to the University.</p>	<p>Internal finance office procedures have been established to ensure proper remittance of sales tax. Outstanding University sales taxes have been paid. Lamar University overpaid sales tax for fiscal year 2014 and received a refund.</p> <p>The Auxiliary Finance Manager reviews the current contract and will review the pending contract to ensure that sales tax are remitted as required and to work with Chartwells to resolve all other sales tax issues are resolved.</p> <p>This is an ongoing process.</p> <p>Person Responsible: Twila Baker, AVP Finance Timetable for Completion: December 31, 2015</p>	<p>In Progress</p>
	<p>Several improvements to procedures for catering for LU departments by Chartwells are necessary.</p>	<p>Appropriate responsibilities are being established within the LU organizational structure to provide effective management of dining hall services, including contract management, vendor management, and performance management. Management strategies and operational procedures have been developed to address internal procurement and payment for dining services as well as exclusivity exemption requests. A committee was formed that specifically deals with the Chartwells contract. The Contracts Manager as well as the Auxiliary Finance Manager monitor and review all aspects of the operations.</p> <p>LU will establish payment procedures that include remittance of payments to the corporate lockbox. Notification may be made to the local Chartwells office of the payment. A committee was formed that specifically deals with the Chartwells contract. The Contracts Manager as well as the Auxiliary Finance Manager monitor and review all aspects of the operations.</p> <p>Person Responsible: Cruse Melvin, VP, Finance & Operations Timetable for Completion: December 31, 2014</p>	<p>In Progress</p> <p>In Progress</p>

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	University Accounting: All capital items should be recorded in the University's capital inventory.	A review of capital items was conducted prior to contract expiry and applied to the university capital inventory. Person Responsible: Diane Thibodeaux, AVP Administration Timetable for Completion: August 31, 2014	Implemented
	LU students employed by LU should not work more than 20 hours per week, especially F-1 visa holders.	The pending food services contract includes requirement to adhere to university student employment policies. In the interim, Chartwells was notified via email the link to Lamar Student Handbook. Person Responsible: Diane Thibodeaux, AVP Administration Timetable for Completion: December 31, 2014.	In Progress
	The TALH program should be charged for its dining plan scholarships and Recruiting should be charged for visitor meals it authorizes.	The Auxiliary Finance Manager along with the Contracts Manager provide effective management of dining hall services, including contract management, vendor management, and performance management. Management strategies and operational procedures have been developed to address internal procurement and payment for dining services as well as exclusivity exemption requests. Person Responsible: Cruse Melvin, VP, Finance & Operations Timetable for Completion: December 31, 2014	In Progress
	Chartwells should be required to research sex offender registries prior to employment for all new hires, and to include credit checks as required by TSUS policy.	Pending food services contracts require adherence to all TSUS policies regarding third party vendors. In the interim, Chartwells was notified of Lamar's Sexual Misconduct Policy & Procedure. Person Responsible: Diane Thibodeaux, AVP Administration Timetable for Completion: December 31, 2014.	In Progress

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	<p>Because the initial term of the current contract expires on July 1, 2014, the University should consider initiating a Request for Proposal to procure dining services. Additionally, the University should seek the assistance of the System Office in any such procurement endeavors.</p>	<p>The scope of the RFP was submitted to and reviewed by TSUS. In addition, TSUS Office of Finance has been engaged in regards to bond review by Tax & Bond Attorney.</p> <p>A consulting service assisted in the development of the RFP.</p> <p>Person Responsible: Diane Thibodeaux, AVP Administration Timetable for Completion: December 31, 2014</p>	<p>In Progress</p>
<p>Inter-Component Re-bills, LU Results, October 2013</p>	<p>Written Agreements: The Lamar components should collaborate to update and execute new legal agreements for significant services.</p>	<p>Review and revision of the inter-component agreement with LIT was completed and signed January 31, 2014 including development of service descriptions and cost methodology.</p> <p>The IT and audit rebill methodologies remain open Person Responsible: Cruse Melvin, VP, Finance and Operations Timetable for Completion: June 30, 2014</p>	<p>In Progress</p>
	<p>Accounts Receivable Sub-ledger: Implement a suitable system to support invoicing and accounts receivable for use in most of the cases listed above.</p> <p>Improve year-end procedures to record the balance of outstanding receivable, especially for non-centralized systems (e.g., medical billing systems)</p>	<p>Lamar University will document AR system requirements, evaluate currently licensed products for applicability, and if required, review external solutions.</p> <p>Person Responsible: Twila Baker, AVP Finance Timetable for Completion: December 31, 2014</p> <p>Implementation of an AR system described under item 1 above should provide automation of this process.</p> <p>Person Responsible: Twila Baker, AVP Finance Timetable for Completion December 31, 2014</p>	<p>Planned</p>
	<p>Veterans Affairs: LU should work with LIT management to change procedures such that VA Chapter 33 Benefits are wired directly to LIT (as they are currently for Chapter 31 Benefits).</p> <p>LU should calculate and remit any remaining funds still held to LIT.</p>	<p>LU management will evaluate alternative methods for processing veteran's benefits including but not limited to the potential of transferring processing to LIT staff. Recommendations will be presented to LU and LIT management for approval. Due to extenuating circumstances, this recommendation will need to be delayed for approximately 6 months.</p> <p>Responsible person: Twila Baker AVP Finance Timetable for Completion: December 31, 2014</p>	<p>Factors Delay Implementation</p>

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	Inefficient Small Payments: LU should request LIT to implement a more efficient method of purchasing postal services, for example, using a dedicated procurement card.	We could not establish another method. LU Post Office no longer takes credit cards. Person Responsible: Diane Thibodeaux, AVP Administration	Management Does Not Plan to Implement Recommendation
Women's Soccer Camps Management Advisory Letter, November 2013	The method of determination of compensation payments for new employees should be documented in a form, such as an offer letter, such that the stipend or salary is documented and other significant payments, such as participation in profits from camps, are clear and can be definitively calculated. Payments made for camps should be calculated in strict accordance with the defined method, taking into account all revenues and expenses, and documentation to support the corresponding payroll payments should be retained.	The management team has developed an offer letter for all new incoming employees that state the salary amount and any other significant payments that might be made to the employee. The management team is further clarifying payments that are received for coaches as the result of camps in the Camps and Clinics Manual and is working to define the parameters by which the coaches will be able to disperse proceeds made from camp. Person Responsible: Jason Henderson Athletics Director Timetable for Completion: May 1, 2014	Verification of Implementation in Progress
	Develop procedures for the running of all camps. Management should: 1. Standardize camp administration procedures to the greatest extent possible to ensure consistent controls and efficient processing. 2. Eliminate personal information, such as credit card details, from its forms in favor of more secure processing methods. 3. Ensure that a standard medical release is developed, approved by General Counsel, and used consistently. 4. Work with the Finance Office to review all relationships with third party vendors collecting money on behalf of the University, in order to ensure adherence to all rules concerning custody and control of funds. 5. Provide appropriate training to athletics department personnel	The department has standardized the agreement with the online vendor and has limited those who can change where payments are sent to one person inside the athletics department that is not a coach. Credit card information will be removed from any future camp brochures and management will work with athletic training department and office of General Counsel to approve final product. A new employee orientation program for the department of athletics has been implemented to cover camps and in addition to other standard department policies and procedures. Person Responsible: Jason Henderson, Athletics Director Timetable for Completion: May 1, 2014	Verification of Implementation in Progress
Center for Executive Leadership Audit, April 2014		A three-year programmatic strategic plan (FY2016-FY2018) is being developed and is due to Lamar University Administration on January 15th, 2015. This plan will address areas such as a free standing budget, leadership transition, integration of the center into the College of Education and Human Development, overall direction of the program for the next three years, and audit findings from 2014.	

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	<p>2. Records Retention The University should:</p> <p>1. Assign responsibility for records retention to a suitable member of management, as required by Government Code Title 4, Subtitle D, Chapter 441.184.</p> <p>2. Develop a records retention schedule specific to the needs of the University and submit it to TSLAC, as required by Government Code Title 4, Subtitle D, Chapter 441.185.</p> <p>3. Develop a records management policy defining the types of data to be retained, retention responsibilities, timeframes for retaining those records, and required steps for destruction.</p> <p>4. Ensure that the Center for Executive Leadership purge all documents not in compliance with the certified RRS recommended above.</p>	<p>Management within the Mary and John Gray Library will assume responsibility for records retention. Lamar University requested an extension of time for the compliance review for three months. Person Responsible: David Carroll, Director of Library Services Timetable for Completion: This will be effective October 31, 2014.</p> <p>2. Assumption of these responsibilities will require a planning period during which timelines will be established for policy, procedure, and retention schedule development. Person Responsible: David Carroll, Director of Library Services Timetable for Completion: March 31, 2015.</p> <p>3. Assumption of these responsibilities will require a planning period during which timelines will be established for policy, procedure, and retention schedule development. Person Responsible: David Carroll, Director of Library Services Timetable for Completion: March 31, 2015</p> <p>4. The Center will purge all documents not in compliance with the University Records Retention Schedule. Person Responsible: Tammy Comeaux, Assistant Director Timetable for Completion: Begin immediately, finalize within 90 days of receiving the final draft of the University’s Document Management Policy.</p>	<p>In Progress</p> <p>In Progress</p> <p>In Progress</p> <p>In Progress</p>

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Audit Report	Recommendations	Management’s Most Current Response	Status (*)
	<p>3. Spending</p> <p>1. Items should not be purchased when adequate alternatives already exist.</p> <p>2. As required by University policy, surplus equipment should be notified to the Facilities Department in order to make them available to other users.</p> <p>3. Items which are within the responsibility of other departments (e.g., CCTV cameras, refrigerators) should not be purchased by user departments</p>	<p>1. The Center will seek to find adequate alternatives as recommended.</p> <p>2. The Center will immediately begin assessing equipment and notify the Facilities department of identified surplus equipment to be removed and so that they can be made available to other users. We will meet with the appropriate administrators to get guidance on whether to surplus the equipment or retain it for use when the Center is given a permanent home.</p> <p>3. The Center will not purchase items that are within the responsibility of other departments. Person Responsible: Tammy Comeaux, Assistant Director Timetable for Completion: 1. Immediate 2. Completed by August 31, 2014 3. Immediate</p>	<p>Implemented</p>
	<p>4. Approval of Fee Increases The University should obtain Board approval for all changes to tuition rates, as required by the System Rules and Regulations.</p>	<p>In the future the approval of all fees will be obtained from the Board of Regents. All fee increases will only be authorized by LU Executive management. Most recent tuition increase is planned for Board of Regent approval in Feb. 2015 Person Responsible: Dr. Jason Mixon-Chair of Ed Leadership Timetable for Completion: February 28, 2015</p>	<p>In Progress</p>
	<p>5. Off-campus Meeting Space University management should ensure that suitable meeting space on campus is identified, reserved, and utilized for the academies, instead of incurring additional expense at an area hotel.</p>	<p>The 8th Floor of the John Gray Library will be utilized for the 2015-16 Academies. Currently an area hotel is offering space for the academies at no rental fee. Only cost for food is being charged. Person Responsible: Dr. Jason R. Mixon, Chair of the Educational Leadership Department Timetable for Completion: August 31, 2014</p>	<p>Implemented</p>

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	<p>6. Departmental Website</p> <p>1. The University should:</p> <ul style="list-style-type: none"> • Ensure that all departments follow the web use policy and the visual standards manual • Ensure that all departmental websites are hosted in accordance with the University’s policies • Ensure that all uses of the University logo by third party websites are authorized by appropriate written agreements • Ensure that users should be warned when leaving the University website, as recommended by State of Texas guidelines. • Avoid association with potential copyright violations. <p>2. The Center should ensure that the departmental website complies with current policies and standards.</p>	<p>1. We are in the process of migrating outdated web pages into our Content Management System (CMS) to ensure departments follow the web use policy and visual standards manual. All colleges and all academic departments have been completed and a portion of administrative and faculty sites have been completed Person Responsible: Juan Zabala, Vice President, University Advancement Timetable for Completion: August 1, 2015</p> <p>2. We will meet with the appropriate University administrator and make the changes necessary to bring the website into compliance with current policies and standards. Person Responsible: Tammy Comeaux, Assistant Director Timetable for Completion: January 30, 2015</p>	<p>In Progress</p> <p>Planned</p>
<p>ACH & Wire Transfers, May 2014</p>	<p>1. A local depository contract should be executed in accordance with TSUS rules</p>	<p>1. Current depository agreement was amended and approved by the Board and executed by the Chairman of the Board. Person Responsible: Vice President for Finance and Operations: Dr. Cruise Melvin Timetable for completion: August 31, 2014.</p>	<p>Implemented</p>
	<p>2. Reconciliations should be completed by the 25th of the succeeding month</p>	<p>2. We will increase our diligence in monitoring the reconciliation timely preparation. Person Responsible: Associate Vice President for Finance: Twila Baker Timetable for completion: Implemented currently: monitoring will continue.</p>	<p>Implemented</p>
	<p>3. Online banking transactions should be timely posted, i.e., within three business days.</p>	<p>3. Current procedures require all transactions are posted immediately into a clearing account and then properly and timely posted into the appropriate Banner account. Person Responsible: Associate Vice President for Finance: Twila Baker Timetable for completion: August 31, 2014.</p>	<p>Implemented</p>

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	<p>4. LU should</p> <ol style="list-style-type: none"> 1. Complete its research of stale dated payments making additional remittances to the State, as necessary. 2. Monitor its outstanding payments so as to maintain compliance with the State statute on unclaimed property. 	<p>4. LU has completed it research of stale dated payments and is in the process of making additional remittances to the State as necessary. LU also will monitor its outstanding payments so as to maintain compliance with Statute on unclaimed property.</p> <p>Person Responsible: Associate Vice President for Finance: Twila Baker Timetable for completion: 1. Current research on existing stale dated checks will be completed by March 31, 2015. 2. Monitoring will continue thereafter.</p>	In Progress
	<p>5. Management should ensure that all policies and procedures for ACH and wire transfer administration and activities are formalized and current.</p>	<p>5. LU will develop written procedures for processing wire transfers or ACH batches to include such information as description of ACH and wire activity, policy/process owners, and internal control descriptions. Person Responsible: Associate Vice President for Finance: Twila Baker Timetable for completion: December 31, 2014.</p>	In Progress
<p>Police Department Incidents Review, August 2014</p>	<p>At management’s request, a brief, limited review of the Lamar University Police Department (LUPD) incident log from the Computer Aided Dispatch system for the six month period September 25, 2013 through April 7, 2014, and of measures in place to control and reduce inherent risk in Lamar’s police operations was performed.</p> <p>The scope included officer turnover, jurisdictional area, incidents, traffic citations, policies and procedures, training, recruiting, discipline, and supervision.</p> <p>It concluded that the biggest adverse impact on inherent risks may be the inexperience of patrol officers caused by recent resignations and their replacement with rookies.</p>	<p>The limited scope of this review precluded detailed recommendations.</p>	N/A
SAM HOUSTON STATE UNIVERSITY			
<p>Review of Certain Components of the SHSU</p>	<p>Procedures and protocols should be established for inventory record maintenance, including order and receipt, checkout, check- in and disposal. When equipment is</p>	<p>The department began researching potential software packages prior to October 1, 2011. During the research process, the College of Business Administration professors</p>	<p>Verification of Implementation In Progress</p>

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Athletics Department Compliance Program, April 2011	<p>checked out to student athletes and/or coaches, it should be documented in a record that reflects the type of equipment/apparel checked out, date of checkout, and signature of student athlete or coach documenting it was received. When equipment/apparel is checked back in, the check-out records could be used to document the equipment/apparel being checked in, date it was checked in, signature of student athlete or coach returning the equipment/apparel along with the Equipment Coordinator’s signature acknowledging the return of the equipment/apparel. It is the Equipment Coordinator’s responsibility to maintain adequate inventory controls and records.</p>	<p>Dr. Pamela Zelbst and Dr. Jeremy Bellah made a presentation of their radio frequency identification (RFID) tracking system. The system was well received by athletic administration and it was decided to continue exploring the system’s athletic applications.</p> <p>In the Spring 2013, the department developed the appropriate procedures for using the system from an operational perspective. We interviewed coaches who manage the equipment and determined the best way for the technology to support the process. In the Summer 2013, we performed the analysis and design for the software, and the programmers developed the software. Also in the summer, we purchased all of the hardware.</p> <p>Although the software programming work was completed according to the documentation last summer, some development issues remain. The department has determined that additional review of the program will be performed to determine if future implementation of the system is necessary, due to significant changes in coaching personnel. The department previously consulted with Audits and Analysis, and developed a consistent department manual inventory system that was implemented Summer 2013 by providing a revised Equipment Policy and inventory templates to Head Coaches. This revised manual system will serve as the department’s primary inventory process in lieu of the radio frequency identification (RFID) system, and will be monitored by management. The original intent of the RFID system was to provide staff with a more automated tool to supplement or replace the individual manual systems of all sports. However, due to the implementation of the revised manual system there is not an immediate need for the RFID system in the near future.</p> <p>Person Responsible: Bobby Williams, Director of Athletics Timetable for completion: August 16, 2013</p>	
IT Policy Guideline Compliance Review, August 2013	<p>Issues, recommendations, and management action plans for this audit are actively being addressed. The details are not presented here due to exemptions allowed for information that relates to computer network security or to the design, operation, or defense of a computer network (Texas Government Code 552.139).</p> <p>Compliance achieved for the elements noted is as follows:</p>		

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	<p>Policy: 100% complete Procedure: 96% complete (compared to 96% in August) Demonstrable Procedure: 96% complete (compared to 96% in August)</p>		
<p>SHSU-14-003 Public Funds Investment Act Audit, December 2013</p>	<p>The University should ensure investment reports and procedures fully comply with requirements articulated by the PFIA, the SAO, Rider 5, and the TSUS <i>Investment Policy – Operating Funds</i>.</p>	<p>The SHSU Investment Officer will work closely with The System Office and Asset Strategy Consultants to ensure compliance with all requirements of PFIA, SAO, and Rider 5 as well as the TSUS Investment Policy. Noncompliant investments were sold by the end of January 2014. In addition, there is a Treasurer position that was recently filled that will play an integral role in future compliance.</p> <p>Person Responsible: Dr. Carlos Hernandez, Vice President for Finance and Operations Timetable for Completion: October 31, 2014</p>	<p>Implemented</p>
<p>SHSU-13-010 ACH/Wire Transfer (WT) Audit, May 2014</p>	<p>A log to track all ACH batch and wire transfer transactions should be created internally or provided by the depository institution and maintained to serve as a tool for reconciling against bank statements and accounting records.</p>	<p>The General Accounting Office created a manual tracking log for outgoing ACH batch and wire transactions in May 2014. The General Accounting Office has been recording outgoing ACH batch and wire transactions since May 1, 2014 and continue to maintain the log. The current log contains the date of ACH / wire transaction, amount, check number (if transaction is a wire), bank (if transaction is an ACH batch), person / department initiating transaction, individual(s) authorizing the transaction, purpose, process status and return status (for wires). This process was introduced to the Financial Reporting group. As the Financial Reporting group currently utilizes Banner reporting and bank statements to perform bank reconciliations, the manual tracking log will serve as a backup report used to research any discrepancies between the transactions in the accounting records in Banner and the bank statements. The logging used to track ACH batch and wire transactions could become electronic in the future depending on the capabilities of the depository bank chosen with the RFP for depository services but in the interim, the manual log will be utilized in the bank reconciliation process.</p> <p>Person Responsible: C. Aaron LeMay, Controller Timetable for Completion: September 30, 2014</p>	<p>Verification of Implementation In Progress</p>
	<p>Management should ensure that all policies and procedures for ACH and wire transfer administration and</p>	<p>SHSU agrees with the recommendation and is in the process of formalizing policies and procedures. SHSU</p>	<p>In Progress</p>

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	activities are formalized, current, detailed, specific and consistent to promote a seamless transition in the event of employee turnover / absence.	<p>released an RFP for a depository bank on June 30, 2014. One of the main factors in this RFP is electronic banking operations. The Controller's Office established a work team to develop a policy for receiving payments including by electronic methods. The General Accounting Office has been developing policies for disbursements by electronic payment methods which have been in draft stage since June 2014. SHSU now has a treasurer on staff who will review the policies and make recommendations for changes. Formal policies and procedures will be updated as part of the implementation process after the depository contract is formally awarded in November 2014.</p> <p>Person Responsible: C. Aaron LeMay, Controller Timetable for Completion: August 31, 2015</p>	
	Ensure depository institution provides electronic disbursement services to include electronic capabilities for initiation and authorization of ACH (credit / debit) and wire transactions. The banking services should also include ACH block and filter settings to prevent unauthorized ACH debit transactions.	<p>SHSU agrees with the recommendation and released a Request for Proposal ("RFP") for SHSU's depository bank on June 30, 2014. One of the main factors in the RFP will be improving electronic disbursement services. SHSU's current depository bank does not allow SHSU to meet this recommendation. The bank chosen with this RFP will meet or be able to meet this recommendation by the end of fiscal year 2015.</p> <p>Person Responsible: C. Aaron LeMay, Controller Timetable for Completion: August 31, 2015</p>	In Progress
SHSU-14-011 Compliance With The Jeanne Clery Act Audit, July 2014	Management should strengthen controls to ensure the accuracy of crime statistics within the ASR.	<p>We concur. The following corrective actions have been implemented to address these deficiencies. Report scoring was changed to ensure an audit trail was created for ASR reporting purposes. When an incident or offense is initially reported it is reviewed and a determination is made regarding its report-ability under Clery. Items that are reportable are then scored for the proper statistic to be recorded. This statistic is recorded and each incident is audited/examined or recounted for statistical verification.</p> <p>An additional process was implemented to ensure information is captured more easily and is more identifiable to audit procedures. Based on department incident reporting, our department will run a report in our records management system (CRIMES) to pull all reports taken during a time period. At this time each offense/incident</p>	In Progress

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		<p>reported to our department is examined to make a determination if it meets ASR guidelines for statistical reporting.</p> <p>All individual offenses/incidents reports are reviewed. Those that meet a statistic that need to be reported are documented and then entered onto a prepared form for that particular statistic. The form captures the case number, the date, the offense, and the proper Geography for Clery Statistical guidelines. The information is then documented on the appropriate form for its corresponding crime. These redundant forms and reports provide two different ways to audit and make sure statistics are correct. Finally, the crime statistics publication page has been updated to reflect all geographic areas (Main Campus/The Woodlands Campus/Lone Star University Park).</p> <p>Person Responsible: Kevin Morris, Director of Public Safety Services Timetable for Completion: October 31, 2014</p>	
	<p>The University should ensure that a comprehensive policy to address Clery Act requirements (including applicable training) for CSAs is developed, communicated, and implemented. Because the Clery Act involves multiple stakeholders and crosses organizational reporting lines, assignment of a champion with sufficient authority to ensure completion of the effort is also recommended.</p>	<p>We concur. A campus taskforce has been named by the President and charged with ensuring compliance with all aspects of the Clery Act reporting requirements. The Chair of the taskforce will identify and notify all institutional CSA’s. The taskforce will develop recommendations on appropriate training for CSA’s. The taskforce is chaired by the Executive Vice President for Administration (or appropriate designee as determined by the President) in an effort to provide the appropriate level of authority and oversight.</p> <p>Person Responsible: Al Hooten, Executive Vice President for Administration Timetable for Completion: December 31, 2014</p>	<p>In Progress</p>
	<p>Executive management should ensure that complete and sufficient policy statements as required by the Clery Act are documented, communicated, and functioning. As noted in the previous recommendation, the assignment of a champion, with authority to ensure completion of the policy statements, may be necessary to ensure coordination amongst the various policy “owners” of the numerous subjects required to be addressed by the Clery Act.</p>	<p>We concur. A campus taskforce has been working on addressing many of the issues laid out in the audit. The taskforce is charged with reviewing and revising policies and procedures to ensure the continued safety of our University community. The taskforce is collaborating with the TSUS System to ensure that University policies and procedures are aligned with System-wide requirements. The taskforce anticipates completing their policy and</p>	<p>In Progress</p>

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		<p>procedure work by Fall 2014.</p> <p>Person Responsible: Jeanine Bias, Associate Dean of Students Timetable for Completion: December 31, 2014</p>	
	<p>Management should ensure that notification to current employees and enrolled students of the ASR occurs no later than October 1st of each year.</p>	<p>We Concur. Timely publication and issuance of required notifications will be coordinated by Public Safety Services, Human Resources and IT ensuring that these are issued no later than October 1st of the reporting year.</p> <p>Person Responsible: Kevin Morris, Director of Public Safety Services Timetable for Completion: September 15, 2014</p>	<p>Implemented</p>
<p>SHSU-14-012 Review of State Auditor's Office Special Investigations Unit Hotline Complaint of Financial Aid Improper Awarding, September 2014</p>	<p>Policies and procedures surrounding protocols for granting additional awards above established algorithms should be developed, documented, and disseminated. Further, the need to ensure that adequate documentation to evidence the rationale for awarding additional aid should be addressed.</p>	<p>Management agrees with the recommendation. Policies and procedures have been established related to the justification and documentation for additional funds. The appeal form was created, and the new process was implemented with the Financial Aid Counselors. The Financial Aid Policies and Procedures manual was also updated to reflect this change. The appeal form and updated policies and procedures are located in a centralized location within the financial aid department's T Drive. An internal departmental audit will be run periodically after the initial aid award periods (Fall, Spring, Summer) to verify the process and award packaging of students of the aid packaging philosophy for the aid year.</p> <p>Person Responsible: Lydia T. Hall, Director of Financial Aid Timetable for Completion: July 30, 2014</p>	<p>Verification of Implementation in Progress</p>
	<p>Current and future staff members should be educated on basic practices, guidelines, protocols, expectations, discretion allowed, and financial stewardship responsibilities, including how to report fraud, waste, abuse or misappropriation. Current and future staff should likewise be encouraged to escalate matters of concern outside the normal chain of command without fear of repercussions when communications regarding concerns within established reporting lines appear to be unheeded.</p>	<p>Management agrees with the recommendation. The Enrollment Management division has retreats and training opportunities each academic year. The communication listed above will be incorporated into the divisional training.</p> <p>Person Responsible: Heather Thielemann, EdD, Vice President for Enrollment Management Timetable for Completion: January 30, 2015</p>	<p>In Progress</p>
	<p>Discussions at the cabinet level need to address the fact that students should be directed to the appropriate person in Financial Aid when they need financial aid assistance. The information and directive should then be disseminated</p>	<p>Management agrees with the recommendation. The process listed in the first observation will be communicated to the Cabinet members, to also be distributed within each of their divisions. Cabinet members will be responsible for</p>	<p>Implemented</p>

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	<p>to faculty and staff and should specifically inform them that they should not intervene or contact Financial Aid on behalf of specific students and that students seeking assistance should be directed to a single point of contact within the Financial Aid Office without further intervention.</p>	<p>communicating to their faculty and staff that if there are concerns for students’ financial circumstances they should direct the students to visit the Financial Aid office to complete the appeal for additional funds. The student will then receive a response from Financial Aid within 10 working days. If the faculty or staff member feels the need to reach out to the Financial Aid department then this needs to be communicated in writing to the Director of Financial Aid. At this point the Director of Financial Aid would contact the student to discuss the need for completing “Financial Aid Appeal for Additional Funds” form with all requirements. A decision will solely be made in accordance with the current Financial Aid Policies and Procedures manual. The decision will be provided to the student only. Students may request additional funds in person, over the phone or in writing. Once the request is made the “Financial Aid Appeal for Additional Funds” form will be used and all procedures followed.</p> <p>Person Responsible: Heather Thielemann, EdD, Vice President for Enrollment Management</p> <p>Timetable for Completion: October 31, 2014</p>	
	<p>Only accounts which uniquely identify an individual should be used by individuals to transact and process. The use of generic accounts should not be used by functional users and should be controlled when used by support staff. To control the usage of generic accounts while individual accounts are established for functional users the password should be changed immediately. A process should then be established such that permission to use the account is granted only when the access request is explicitly approved by both the user’s manager and the data owner. The request should also provide business justification for the access. The credentials should then be provided by IT to maintain segregation of duties in the user provisioning process. All individuals who have access to the generic account should be tracked, including the date access was granted. This list should be reviewed periodically for appropriateness.</p>	<p>Management agrees with all parts of the recommendation except for the recommendation that generic accounts should not be used by functional users. The need for a “generic” user still exists; however, management plans to implement controls to address the issue and mitigate the risks. During Banner’s inception, recommendations from several consultants were received to create a “generic user”. Currently, there are three known processes linked to the generic FAISUSR account: ROPSAPR (Financial Aid Student Academic Progress), Population Selections (Popsels), and Job Submission Parameter Sets. Creating multiple parameter sets or Popsels for individual users, and/or amending revised processes for individual users will increase error probabilities since the same definition changes would need to be made to all individual accounts rather than the one FAISUSR account.</p> <p>FAISUSR access to all other modules in development will be removed by Information Technology (IT) the week of</p>	<p>In Progress</p>

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		<p>October 6 – October 10. Testing will begin the same week of October 6 – October 10, to ensure that the removal of the cross-module will not have any effect on the business processes currently being ran by the Financial Aid Office.</p> <p>Designated personnel will access FAISUSR through proxy and their own primary account via MySam. This provides a log of users that leverage FAISUSR within the Banner system. IT service requests will serve as the granting vehicle and documentation for access grants. Proxy access will enable user tracking for access to the FAISUSR account by logging computer specific, user, and time data for future reference. FAISUSR will be restricted to Banner - Financial Aid access and certain General functions such as Popsel creation, variable creation and letter generation. The last user that modified a database record can be identified for review of FAISUSR activities. The Director of Financial Aid will review an activity report of the generic FAISUSR account to determine whether all transactions are appropriate and authorized.</p> <p>A report will be created that will identify users with access to the FAISUSR environment, the associated processes, and the date access was granted. It will be used as a management tool to confirm user need and proper utilization. A copy of this report will be saved on the T drive. The IT request for development has been placed, and is estimated to be completed by October 15, 2014.</p> <p>When proxy functionality is available, the Director of Financial Aid & Scholarships will review the FAISUSR report, remove any unwarranted users, and require new users to submit a request for access. If deemed necessary, the Director will place a Cherwell request to have the user added to the FAISUSR account.</p> <p>Person Responsible: Lydia T. Hall, Director of Financial Aid</p> <p>Timetable for Completion: October 15, 2014 (Proxy Access Implemented and User Appropriateness Review in Place) and May 15, 2015 (FAISUSR Activity Report Review)</p>	
	The access granted to financial aid staff in INB should be	Management agrees with the recommendation. We have	Verification of

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	<p>researched and reviewed for possible control breaches as well as the necessity to segregate functional duties. Segregation of duties can serve as a valuable tool for the prevention of errors and wrong doing.</p>	<p>re-assigned job responsibilities resulting in the segregation of duties; The Sr. Accountant and/or the Accountant will place available funds in RFRMGMT. The Senior Analyst and/or the Analyst will be responsible for defining business rules for student eligibility and packaging, and for executing the awarding process (RPEPCKG).</p> <p>It is imperative that the aforementioned positions be afforded access to all of these forms due to the limited number of resources available to process financial aid for students. Access for all other individuals with access to execute the awarding process and update the business rules is currently being reviewed to determine the access changes required to enforce segregation of duties. Access will be altered after the proxy functionality for the FAISUSR ID is implemented. The FAISUSR ID will also have access to all rule building forms mentioned above. “Trouble-shooting” potential errors arising from new software upgrades, federal guideline changes or a unique situation necessitates a thorough examination via the use of the FAISUSR ID.</p> <p>Logging functionality is enabled for the following forms: Packaging Process (RPEPCKG), Financial Aid Selection Rules (RORRULE), Algorithmic Packaging Rules (RPRALGR), Fund Management (RFRMGMT) and Packaging Group Fund Rules (RPRGFND). This will provide a time stamp, name, and description, detailing who was using the form, when it was being used, and what was added or altered. Now that the audit logs are enabled, the Director of Financial Aid is working with Technology to determine the audit logs which will be part of the monitoring procedure to detect whether individuals with segregation of duties conflicts are awarding students additional funding in accordance with the policies and procedures.</p> <p>Person Responsible: Lydia T. Hall, Director of Financial Aid</p> <p>Timetable for Completion: September 15, 2014 (Logging Enabled); October 31, 2014 (Banner Financial Aid Access Altered) and May 15, 2015 (Monitoring/Review Procedure Implemented)</p>	<p>Implementation in Progress</p> <p>In Progress</p> <p>In Progress</p>

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SUL ROSS STATE UNIVERSITY			
Purchasing and Procurement, October 2009	Develop a policy to limit the use of the reimbursement process to instances where the purchase process is not feasible. Also included in this policy should be a requirement that purchases be delivered to SRSU receiving.	The purchasing policy has been revised and approved by the Executive Cabinet. The policy has been approved on September 30, 2014. Person Responsible: Noe Hernandez, Purchasing Director, Lisa George, Director of Accounting Services Timetable for Completion: August 31, 2014	Verification of Implementation In Progress
Endowment Fund Investments and Related Scholarships, June 2012	The scholarship process should be documented. The entire flow, from receipt of the funds from donors, through the final award of scholarships and monitoring of recipient performance, should be included in the process documentation, with clear assignment of responsibility and accountability. Ownership of each part of the process, including managing of both endowment and scholarship accounts, should be clearly stated and communicated to all departments participating in the process. The processes at Rio Grande College should be included in this governance structure.	Enrollment Management went live with AcademicWorks scholarship software on September 1, 2014. During the fall 2014 semester, we will be recreating the entire scholarship and awarding process from receipt of funds through final award of scholarships as well as monitoring renewable awards. Since the process has drastically changed since the audit due to the implementation of the software, we will need a cycle to document and train the campus community. A final document will be available January 1, 2015. This document will serve as policy and procedure as well as outline departmental roles in the process. Person Responsible: Denise Groves, Vice President for Enrollment Management. Timetable for Completion: January 1, 2015	In Progress
	We recommend remediation of the negative scholarship accounts. Action plans should be developed and implemented to bring these accounts back into good standing.	Regarding the deficit balances, funds from enhanced earnings have been used to supplement the scholarship funds that have a deficit balances. Considerable progress has been made in reducing the amount and number of deficit accounts. Much of the remaining deficits have been cleared. As of June 30, 2014, the negative balances not awaiting supplemental transfers was minimal compared to \$339,000 in March 2012. The remainder of this balance will be remediated at the next earnings drawdown scheduled for July 2014. Person Responsible: Cesario Valenzuela, Vice President for Finance and Operations Timetable for Completion: July 31, 2014	Implemented
	The amount communicated to the Committee as available for award should be net of any existing commitments.	This has been incorporated into the written process for projecting funds available for scholarships. This documentation was provided to Internal Audit on April 7,	In Progress

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(*) Status Categories: Implemented; Planned; In Progress; Factors Delay Implementation; Management Does Not Plan to Implement Recommendation; Verification of Implementation in Progress

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		<p>2014.</p> <p>Beginning in fall 2014, Finance will deliver the available scholarship funds report to Financial Aid at least by October. The Scholarship Coordinator will take the amount reported by Finance and adjust it to account for possible renewal awards. Renewal amounts and remaining available funds for initial awards will be reported to the committee/department so scholarship nominations can be made for each without exceeding the total amount available for the year.</p> <p>In the future, Financial Aid will work with Finance before the available funds list is made available so that the list will document the total amount available to award in the year, the amount encumbered for renewals, and the amount available for new awards.</p> <p>Financial Aid Response: SRSU purchased Academic Works Scholarship Software and the Renewal Module that goes with it. The Renewal Module enables the Scholarship Coordinator/Financial Aid to track all Renewable Scholarships and account for future expenditures for renewals. Once Financial Aid is notified by Finance/Accounting of the total available funds for each scholarship, Financial Aid can easily account for how much of the available funds should be encumbered for Renewals and the amount that will be available for new awards.</p> <p>Persons Responsible: Cesario Valenzuela, Vice President for Finance and Operations; Oscar Jimenez, Senior Manager, John Young, Accountant, Denise Groves, Vice President for Enrollment Management, Mickey Corbett, Director of Financial Aid Timetable for Completion: October 31, 2014</p>	
	<p>Communication between the Finance Department and Student Financial Aid should be enhanced to assure that postings are accurate. Similar coding of accounts could be used, or a mapping of accounts maintained that would show which account name in Finance equates to which account name in Student Financial Aid, and vice versa.</p>	<p>Document the naming convention for scholarships, excellence funds.</p> <p>Financial Aid and Finance/Accounting will review the entire list of scholarships and make sure all Accounting detail codes match Financial Aid fund codes. The available funds list will also be updated to match information on</p>	<p>In Progress</p>

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		<p>Scholarship/Endowment Agreements. The team has a meeting scheduled for the October 14, 2014 to review all fund codes in relation to financial aid detail codes and titles and make any necessary changes. To ensure all tables and schedules are in place and communications are in line as needed. Team expects to have items resolved by estimated timetable.</p> <p>Person Responsible: Mickey Corbett, Director of Financial Aid, Oscar Jimenez, Senior Manager, Lisa George, Director of Accounting, Tanya Romero, Budget /Banner Finance Coordinator</p> <p>Timetable for Completion: October 30, 2014</p>	
	<p>As a part of the endowment contract review recommended above, we recommend notation of any required ongoing student performance requirements and any specifics as to how the scholarship should be funded. A monitoring of student performance, enrollment, etc., should then be conducted before further awards (e.g. spring semester) are funded.</p>	<p>Administrative policy will be developed addressing the designation and cancellation policy regarding mid-term scholarship awards. The university is currently working on a retention plan and this policy will be reflective of the plan. Due to the implementation of AcademicWorks software, the entire process must be re-engineered. We will begin our first cycle in Fall 2014; therefore, the administrative policy will reflect the new process.</p> <p>Person Responsible: Denise Groves, Vice President for Enrollment Management</p> <p>Timetable for Completion: January 1, 2015</p>	In Progress
	<p>The written scholarship processes previously recommended should incorporate not only retention guidelines, but also the method of retention and location of the documents. The processes should be sufficiently detailed so that in the event of employee turnover (planned or unplanned), there is enough information available for new/existing employees to perform the activities necessary to ensure the scholarship processes function as prescribed by management.</p>	<p>A standard operating procedures manual will be written outlining the scholarship awarding process and document retention schedule. Completion of manual is pending. Formal written guidelines from Finance and Advancement concerning who their contact person is and procedure to determine available funds for scholarships are still needed.</p> <p>An initial meeting was held between staff members of Financial Aid, Finance, and the President's Office. A general outline of scholarship creation, fund balance notification, and nomination/awarding/crediting student accounts was discussed. The University purchased software to automate the application and selection processes. Training and implementation is ongoing at this time. We do not expect completion of the implementation until October 2014. After implementation of the new process a complete scholarship process will be formally</p>	In Progress

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		<p>written and approved.</p> <p>With implementation of the first cycle of scholarship awards using new AcademicWorks scholarship software, Financial Aid is in a position to complete this item. A meeting will be scheduled in October between staff members from Advancement, Finance, Accounting and Financial Aid to document scholarship processing from start to finish. Application and award records will be stored in the AcademicWorks software. Policies and Procedures will be written to document all steps in the process.</p> <p>Person Responsible: Mickey Corbett, Director of Financial Aid Timetable for Completion: October 30, 2014</p>	
	<p>We recommend an increased level of scrutiny during the review and approval process. Related expenditure policies, such as the Travel Policy and the Purchasing Policy, should be revised to clearly assign accountability for the accuracy of the requisitions or other requests for payment submitted into the Purchase and Requisition process in Banner or otherwise submitted to the Controller’s Office for payment.</p>	<p>The Purchasing and Accounting Senior Manager’s Office has increased the level of scrutiny during the review and approval process of all reimbursements. In situations such as this, the Senior Manager will require a copy of the signed Endowment/Excellence agreement indicating that the expenditure is allowed under the agreement. Any questionable items must be resolved before payment.</p> <p>The Senior Manager’s Office will require a copy of the written contract which supports the expenses to be reimbursed for speakers. In addition, the Travel and Purchasing policies have been modified to reflect this requirement.</p> <p>In fiscal year 2014 year to date, excellence funds have not been used to pay guest speakers. Accounting Services department will continue to monitor payment requests and will require copy of contract before payment is approved.</p> <p>The Senior Manager’s Office requires a copy of all service contracts before payment is approved.</p> <p>The travel policy has been revised and submitted to the Executive Committee and was approved on September 30, 2014.</p> <p>Persons Responsible: Kim Lewis, Budget Assistant, Lisa</p>	<p>Verification of Implementation In Progress</p>

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	<p>Communication with donors should be ongoing and proactive. Planned and strategic communication can result in increased donor satisfaction, particularly where defined objectives (such as scholarships) are embedded in the donation. We recommend establishing a program of donor communication and cultivation which would automate periodic communications to each donor.</p>	<p>George, Director of Accounting Services, Noe Hernandez, Purchasing Director. Timetable for Completion: September 30, 2014</p> <p>We recognize the need to implement a comprehensive donor management program (from identification and cultivation to solicitation and stewardship) and have begun implementation of the following steps to move us towards that goal:</p> <ol style="list-style-type: none"> Donor and Alumni data consolidation/updating: Consolidate donor, alumni, and prospective donor records into University's Banner system. Address and wealth screen all available data records once in Banner system. Code donor records (according to wealth screen and other available criteria) in order to segment donors/approaches. Document donor contacts within system and track stewardship 'touches.' <p>A Programmer was hired and the data consolidation from the Plus system was completed in December 2012. Program re-assigned in fall 2013 to continue project and assist alumni office with data clean up. Timetable for Completion: December 31, 2014</p> <p>Person Responsible: Butch Worley, Vice President for External Affairs, Karen Brown, Director of Alumni Affairs and Coordinator of Advancement is assisting. Timetable for Completion: December 31, 2014</p>	<p>In Progress</p>
	<p>We recommend reviewing the spreadsheet used to allocate earnings to assure appropriate methodology, including excluding Historical Treasures from the endowment values for allocation purposes.</p>	<p>Management agrees with the recommendation to review the endowment interest allocation process. The endowment spreadsheet will be reviewed.</p> <p>Project has been extended to June 30, 2014.</p> <p>Persons Responsible: Lisa George, Director of Accounting Services, Cesario Valenzuela, Vice President for Finance and Operations, and Nieves Kolesar, Accountant. Timetable for Completion: June 30, 2014</p>	<p>Implemented</p>
<p>McNair Grant, August 2012</p>	<p>Provide training to McNair staff, faculty and travelling students regarding the Travel Policy requirements. Documentation should be maintained, such as a sign-in</p>	<p>Management agrees with this recommendation. The Senior Manager's Office will provide training for McNair staff, faculty and traveling students already approved for travel.</p>	<p>Verification of Implementation In Progress</p>

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	<p>sheet, to verify that all students and faculty participating in the McNair Project have been trained prior to travelling.</p>	<p>Department heads will sign a statement verifying responsibility for reimbursing the University in the absence of required receipts, acknowledging personal credit-debit card statements will not substitute for actual receipts.</p> <p>The Accounting Services Department conducted a travel training workshop on April 17, 2013.</p> <p>Annual training will be provided for all McNair students who return in the fall semester intending to present their work to a location where travel is required.</p> <p>These workshops will be on an as needed basis for McNair Students who have been approved to travel.</p> <p>Persons Responsible: Lisa George, Director of Accounting Services, Mary Bennett , McNair Grant Director, and Irma Ramirez, Accounting Assistant Timetable for Completion: August 31, 2014</p>	
	<p>The McNair Student Travel Policy should be reviewed for consistency with the January 2012 version of the Travel Regulations. The purpose and allowable costs of the grant should be considered when determining policy provisions, such as the type of travel performed by the students and the support provided the students by the grant.</p>	<p>The McNair Student Travel Policy has been reviewed for consistency with the revised SRSU Travel Regulations. The allowable cost of the grant has been considered in determining policy revisions.</p> <p>Person Responsible: Lisa George, Director of Accounting Services Timetable for Completion: August 31, 2014</p>	<p>Verification of Implementation In Progress</p>
	<p>Available applications of the Banner system should be developed to act as preventive controls, preventing inappropriate combinations of Funds with Organizations. Additionally, and until Banner is so developed, detection controls should be implemented to assist in detecting and correcting such errors in a timely fashion.</p>	<p>Management agrees with the recommendation. In addition to the controls currently in use, management plans to implement online Travel applications, which would put in place an additional layer of controls for account managers to detect and disapprove items not valid for their fund.</p> <p>The incorrect posting of the \$405.78 has been corrected with JE # FL001972 on August 17, 2012. Primary persons responsible for finalizing the project are Tanya Romero and Noe Hernandez. The primary piece that is pending is the setting up of the routine travel routing queues. Kim Lewis may assist in training as needed. Plan to work on routing queues no later than November 1, 2014, upon completion of new year and year end issues and hope to begin phase</p>	<p>In Progress</p>

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		<p>out and testing by November 10, 2014 with most of the project completed by end of calendar year. Unfortunately we have to start set up and testing over as all of our data was lost during a conversion/update project of Banner.</p> <p>Persons Responsible: Tanya Romero, Budget/Banner Finance Coordinator and Noe Hernandez, Purchasing Director</p> <p>Timetable for Completion: December 30, 2014</p>	
<p>Signature Authority, April 2013</p>	<p>Delegation of signature authority (other than lateral or upward delegation) should be prohibited in any situation where the signature is required by policy. Policy should be developed to describe any delegation of signature authority that is allowed, if any, and the documentation that should be maintained to support such delegation.</p>	<p>The Executive Cabinet approved the Signature for Internal Transactions Policy on April 8, 2014, for inclusion in the University-wide Administrative Policy Manual.</p> <p>Persons Responsible: SRSU Executive Cabinet</p> <p>Timetable for Completion: April 8, 2014</p>	<p>Implemented</p>
<p>Purchasing Card, University General Credit Card, and University Corporate Travel Card Programs, June 2013</p>	<p>Purchasing policy should be revised to clarify the frequency and substance of periodic purchasing card audits and the requirements for transaction log approval for account managers as cardholders.</p>	<p>Since copies of backup documentation, including but not limited to receipts, transaction log, invoices and packing lists(when available), are being kept centrally at Purchasing Office, these audits will be easier to perform. The policy states audit will be conducted at least once a year for compliance for all p-cards. In addition, the policy has been clarified to require cardholders to have supervisor's review and signature. The revised policy was approved by EC on September 30, 2014.</p> <p>Person Responsible: Noe Hernandez, Purchasing Director</p> <p>Timetable for Completion: September 30, 2014</p>	<p>Verification of Implementation In Progress</p>
	<p>Any purchasing card issued (excepting to the SRSU President) should be approved by the cardholder's supervisor. This includes approval of the transaction and monthly limits, as well as any changes to the limits thereafter.</p> <p>Changes to credit limits should be approved by the cardholder's supervisor and the related documentation of the Purchasing Department with the credit card company should be retained.</p>	<p>The policy has been revised to require all purchasing cardholders to obtain supervisory approval. Two levels of approvals are required.</p> <p>Person Responsible: Noe Hernandez, Purchasing Director</p> <p>Timetable for Completion: September 30, 2014</p>	<p>Verification of Implementation In Progress</p>
	<p>Transitions of both account managers and cardholders should be managed by the Purchasing department so that the risk of noncompliance by the account manager and possible misuse of the cards is mitigated.</p>	<p>Cardholders will be retrained and this issue will be emphasized. In addition, Purchasing will coordinate with Human Resources whenever terminations or transfers are known in order that compliance with the policy is</p>	<p>In Progress</p>

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ITEMS PRESENTED IN RED ARE FROM AUDIT REPORTS ISSUED PRIOR TO SEPTEMBER 30, 2013

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		<p>assured.</p> <p>Person Responsible: Noe Hernandez, Purchasing Director Timetable for Completion: October 31, 2014</p>	
	<p>While ethics training is provided biannually for all staff, the Purchasing policy should also reference the ethics policy. Purchasing card training should also include ethics training. A review of invoices by the Purchasing Department may also reveal that gifts are being sent to the requisitioning department. Vendors known to be giving such gifts should be contacted and informed of SRSU policy.</p>	<p>The Purchasing Policy has been revised to reference the ethics policy and also remind all employees of the prohibition against accepting gifts from vendors. We feel that if we receive all backup documentation for Purchasing Card purchases, we can better control this process. To control the purchase order process, a note has been added to our Purchase Orders reminding all vendors of this prohibition. In addition, we will continue to notify all vendors about our gift policies. All Purchasing staff will be retrained on reviewing supporting documentation for indications of gifts by vendors. All employees receiving such gifts will be reminded of the prohibition against such actions. Ethics Policy has been included in the Purchasing Policy. Policy has been approved by EC on September 30, 2014.</p> <p>A note has been added to all purchase orders as a reminder to vendors of our gift policy. Policy revision is pending. A process has been implemented to where the Purchasing Office receives P-card documentation for review.</p> <p>Person Responsible: Noe Hernandez, Purchasing Director Timetable for Completion: September 30, 2014</p> <p><i>Auditor’s Note: Follow-up verification did confirm the referenced note informing vendors of the gift policy was added to the purchase orders. However, the recommendation is being returned to “In Progress” status until implementation of the remainder of the action plan.</i></p>	<p>Verification of Implementation In Progress</p>
	<p>The webpages and all linked documents pertaining to the Purchasing Card program should be updated to reflect the most current information.</p>	<p>Webpage links will be updated. Most of the links have been fixed, but are still working on a few issues.</p> <p>Persons Responsible: Noe Hernandez, Purchasing Director, Monica Lopez, Purchasing Staff Timetable for completion: October 10, 2014</p>	<p>Verification of Implementation In Progress</p>

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		<i>Auditor’s Note: This recommendation was returned to “In Progress” status because follow-up verification did not find evidence of implementation.</i>	
	The Finance department should evaluate the cost/benefit of centralizing the purchase of common office supply items through the central supply room. Having departments order through the supply room could provide better control over pricing, limit the number of purchases, and expedite the receipt of the goods in the requesting department.	The TSUS office entered into a system-wide contract for e-procurement. SRSU has partnered up with Texas State University for E-procurement and are awaiting production setup from Texas State University for this process. Testing has been done. After an evaluation period of this process, we will re-consider the Central Store Supply option. Persons Responsible: Noe Hernandez, Purchasing Director, Cesario Valenzuela, VPFO Timetable for Completion: September 30, 2014	Verification of Implementation In Progress
	Governance should be applied toward the purchase of food items. A formal policy should be developed to control the food items purchased to assure compliance with the TSUS Rules and Regulations.	The Purchasing Policy has been revised and approved by Executive Cabinet on September 30, 2014. In addition, all Purchasing Card transactions for the current year have been reviewed and these purchases are in compliance with the TSUS Rules and Regulations. We will continue to monitor future purchases to make sure they are in compliance. Person Responsible: Noe Hernandez, Purchasing Director Timetable for Completion: September 30, 2014	Verification of Implementation In Progress
	Purchasing Department staff should document verification of the User Guidelines on the Card Request Form each time a user requests the University General Credit Card.	Users are filling out the User Guidelines form every time they check out a credit card. Policy guidelines have been printed and will be attached to the request form as part of backup documentation. Everyone is reminded of and/or made aware of the policy. Persons Responsible: Cassandra Guevara, Senior Buyer, Noe Hernandez, Purchasing Director Timetable for Completion: August 31, 2014	Verification of Implementation In Progress
	Purchasing department staff who issue and receive returned University Credit Cards, and log card use, should be trained on the policy, including examples so as to increase understanding of when documents and receipts are compliant. Only trained staff should be allowed to issue cards, receive cards, or log card use.	Purchasing staff has been re-trained to look for completeness of receipts, requisitions, and related documents. In addition, if a receipt is determined to be noncompliant, the card user will be required to return a compliant receipt and will not be allowed to use the card until this is done. Persons Responsible: Cassandra Guevara, Senior Buyer,	Verification of Implementation In Progress

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		<p>Noe Hernandez, Purchasing Director Timetable for Completion: August 31, 2014</p> <p><i>Auditor’s Note: This recommendation was returned to “In Progress” status because follow-up verification did not find evidence of implementation.</i></p>	
	<p>University Credit Card requestors/users and requesting account managers should receive training as to the requirements of the University Card policies prior to use of the University card.</p>	<p>Card users are being trained/informed about the policy before issuance of card. In addition, the card requestors are signing the user’s guide and policy to certify that they have read all policies and are aware of their responsibilities. Violations of these requirements will result in the forfeiture of card privileges and may result in personal financial responsibility on the part of the violator.</p> <p>Persons Responsible: Cassandra Guevara, Senior Buyer, Noe Hernandez, Purchasing Director Timetable for Completion: August 31, 2014</p> <p><i>Auditor’s Note: This recommendation was returned to “In Progress” status because follow-up verification did not find evidence of implementation.</i></p>	<p>Verification of Implementation In Progress</p>
	<p>Oversight of the University card processes should be increased to include a monthly (at minimum) management review of the log. Additionally, the credit card statement should be reconciled monthly to the log to assure no unauthorized transactions have occurred.</p>	<p>Monthly reconciliation between the receipts, requisitions and statements is currently performed by Purchasing Staff. The monthly statement is currently being matched to all the requests before file is being sent to accounting for payment. All transactions have to have backup documentation (i.e. requests forms, itemized receipts, and completed user’s guide). The transaction log will be added as part of this reconciliation to increase oversight. The Director of Purchasing will conduct a monthly review of all the reconciliations performed to ensure compliance with this requirement.</p> <p>Persons Responsible: Cassandra Guevara, Senior Buyer, Noe Hernandez, Purchasing Director Timetable for Completion: August 31, 2014</p>	<p>Verification of Implementation In Progress</p>
	<p>Purchasing policy should be revised to address special circumstances, and when additional scrutiny and/or approval should be required as regards compliance with University Credit Card restrictions.</p>	<p>A practice has been implemented to get signature on all Credit Card Receipts by the account manager. The Purchasing policy has been updated with this requirement similarly to the travel receipts requirement. The policy has been approved on September 30, 2014.</p>	<p>Verification of Implementation In Progress</p>

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		<p>Person Responsible: Noe Hernandez, Purchasing Director Timetable for Completion: September 30, 2014</p>	
	<p>The Travel Regulations should be revised to incorporate additional guidance and governance over the Travel Card program. Key controls over card issuance, physical custody of the cards, any required forms, etc., should be well defined in the policy.</p>	<p>The travel regulations have been revised to include more explicit detail of controls as outlined in the audit report. This policy was submitted to the Executive Cabinet and approved on September 30, 2014.</p> <p>Person Responsible: Lisa George, Director of Accounting Services Timetable for Completion: September 30, 2014</p>	<p>Verification of Implementation In Progress</p>
	<p>The Travel Card use agreement is a key control in the Travel Card program and the requirement for each cardholder to sign one, and the timing of such, should be included in the Travel Regulations.</p>	<p>Management agrees with this recommendation and the practice has been to require the agreement. This requirement is incorporated into the Travel Regulations as a formal requirement. This policy was submitted to the Executive Cabinet and approved on September 30, 2014.</p> <p>Person Responsible: Lisa George, Director of Accounting Services Timetable for Completion: September 30, 2014</p>	<p>Verification of Implementation In Progress</p>
	<p>All uses of the Travel Card should have documented supervisory approval. If travel vouchers are not required for each use, then some other form of documented approval should be required by policy.</p>	<p>The policy has been revised so that non-travel expenditures are not allowed uses of the travel card. If other arrangements for the non-travel expenditures cannot be made, exceptions to the policy will require advance written supervisory approval. This policy was submitted to the Executive Cabinet and approved on September 30, 2014.</p> <p>Person Responsible: Lisa George, Director of Accounting Services Timetable for Completion: September 30, 2014</p>	<p>Verification of Implementation In Progress</p>
	<p>Guidance should be provided in the policy to define what is an allowable and what is an unallowable use of the travel card, as well as what documentation should be submitted to substantiate the items purchased. Accounting Services staff should examine receipts for authenticity and question receipts that appear suspicious.</p>	<p>The policy has been revised to clearly define allowable and unallowable uses of the travel card. This policy was submitted to the Executive Cabinet and approved on September 30, 2014.</p> <p>The Accounting Services staff has been retrained in reviewing receipts and documentation for authenticity and question any receipts which appear suspicious.</p> <p>Person Responsible: Lisa George, Director of Accounting</p>	<p>Verification of Implementation In Progress</p>

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	<p>In addition to requiring the receipts, the travel policy should be revised to also state what the processes will be, and any potential repercussions, in situations when receipts are missing or insufficient.</p>	<p>Services Timetable for Completion: September 30, 2014</p> <p>The travel policy has been revised to include the process for proper documentation as well as consequences for noncompliance. One form of consequence for noncompliance to be included will be that expenses without receipts will not be paid without approval from an Executive Cabinet member. If this approval is not received then the cardholder will be held responsible for the expense and further card privileges suspended. This policy was submitted to the Executive Cabinet and approved on September 30, 2014.</p> <p>Person Responsible: Lisa George, Director of Accounting Services Timetable for Completion: September 30, 2014</p>	<p>Verification of Implementation In Progress</p>
	<p>The Travel Regulations available on the SRSU website should be consistent and should be the approved version. Travel vouchers should not be accepted by Accounting Services without the appropriate supervisory approval.</p>	<p>The information provided on the SRSU website on the Accounting Services web page and the Administrative Policy Manual (APM) were updated to both reflect the most current approved Travel Regulations. This policy was approved by the Executive Cabinet on September 30, 2014. In addition, travel staff has been retrained to ensure understanding of and compliance with this requirement. The website and APM are consistent.</p> <p>Person Responsible: Lisa George, Director of Accounting Services Timetable for Completion: September 30, 2014</p>	<p>Verification of Implementation In Progress</p>
	<p>Travel vouchers should not be accepted by Accounting Services without all receipts either signed or initialed by the traveler.</p>	<p>Accounting Services department staff has been retrained not to process travel vouchers without signed receipts. As noted above, the travel policy has been revised to include the process for proper documentation as well as consequences for noncompliance. One of these consequences added to the policy is that expenses without signed receipts will not be paid without approval from an Executive Cabinet member. If this approval is not received then the cardholder will be held responsible for the expense and further card privileges suspended. This policy was submitted to the Executive Cabinet and approved on September 30, 2014.</p>	<p>Verification of Implementation In Progress</p>

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TEXAS STATE UNIVERSITY SYSTEM – QUARTERLY STATUS REPORT – NOVEMBER 2014 BOARD MEETING
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Audit Report	Recommendations	Management's Most Current Response	Status (*)
		<p>Persons Responsible: Lisa George, Director of Accounting Services, Corina Ramirez, Accounts Payable Supervisor, Irma Ramirez, Accounting Assistant. Timetable for Completion: September 30, 2014</p>	
	<p>Accounting Services staff should be trained on current policy, empowered to enforce policy, and held accountable for detecting noncompliance. As previously recommended, Accounting Services should not accept travel vouchers that are not compliant with policy.</p>	<p>Accounting Services staff and travel accountant have been retrained to not accept travel vouchers that are not compliant with policy and to enforce allowed penalties upon violators.</p> <p>Persons Responsible: Lisa George, Director of Accounting Services, Corina Ramirez, Accounts Payable Supervisor, Irma Ramirez, Accounting Assistant. Timetable for Completion: August 31, 2014</p>	<p>Verification of Implementation In Progress</p>
	<p>Developing formal cardholder training materials and training each cardholder would assure consistent and effective communication of expectations. Training materials should be updated and cardholders retrained whenever there are changes to the processes or policy.</p>	<p>Training sessions will be held for all users of university travel cards. In addition, a written helpful hints document will be provided to each user for future reference. Will be included in the tentative October 20, 2014 training.</p> <p>A new travel training workshop is tentatively scheduled for October 20, 2014 for University Administrative staff based on new travel policies.</p> <p>Person Responsible: Lisa George, Director of Accounting Services, Corina Ramirez, Accounts Payable Supervisor, Irma Ramirez, Accounting Assistant. Timetable for Completion: October 20, 2014</p>	<p>In Progress</p>
	<p>The penalties as delineated in the Purchasing policy and the Travel Regulations for noncompliance, misuse, abuse, etc., of the credit cards and the credit card programs should be enforced as written in policy.</p>	<p>Penalties will be enforced as noted in the policy for all programs as written in the policy approved by the EC on September 30, 2014. Campus notifications will remind all staff of this.</p> <p>Persons Responsible: Lisa George, Director of Accounting Services, Noe Hernandez, Purchasing Director Timetable for Completion: September 30, 2014</p>	<p>Verification of Implementation In Progress</p>
<p>Management Advisory Letter, GEAR UP Grant, June 2013</p>	<p>Employment applications for applicants who are selected for hire should be reviewed, processed and maintained by Human Resources before the applicants are hired.</p>	<p>Human Resources currently does receive, review, process and maintain applications for regular full-time staff and faculty before hire. In addition, HR will begin requiring applications for regular part-time and temporary staff and faculty be submitted through</p>	<p>In Progress</p>

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Audit Report	Recommendations	Management’s Most Current Response	Status (*)
		<p>applicant tracking software. To accomplish this SRSU has contracted with People Admin. Implementation is scheduled to begin in Fall 2014 and be completed in the Spring of 2015.</p> <p>Person Responsible: Judy Perry, Director of Human Resources Timetable for Completion: February 28, 2015</p>	
	<p>While ethics training is provided biannually for all staff, the Purchasing policy should also reference the ethics policy. The Purchasing Department should regularly remind requisitioning departments and Purchasing Card cardholders that gifts may not be accepted. The Purchasing department staff and Accounting Services staff should review supporting documentation for indications of gifts being given by the vendors. Vendors known to be giving such gifts should be contacted and informed of SRSU policy.</p>	<p>To control the purchase order process, we have added a text note to our Purchase Orders reminding all vendors of this prohibition. In addition, we will continue to notify all vendors about our gift policies. All Purchasing staff have been re-trained on reviewing supporting documentation for indications of gifts by vendors. All employees receiving such gifts will be reminded of the prohibition against such actions. The Purchasing Policy has been revised to reference the ethics policy and also remind all employees of the prohibition against accepting gifts from vendors. The policy has been approved on September 30, 2014.</p> <p>A note has been added to all purchase orders as a reminder to vendors of our gift policy. Policy revision is pending.</p> <p>Person Responsible: Noe Hernandez, Purchasing Director Timetable for Completion: September 30, 2014</p> <p><i>Auditor’s Note: Follow-up verification did confirm the referenced note informing vendors of the gift policy was added to the purchase orders. However, the recommendation is being returned to “In Progress” status until implementation of the remainder of the action plan.</i></p>	<p>Verification of Implementation In Progress</p>
	<p>Grant Project Directors should assure advance approval for expenditures is obtained appropriate to the respective grant. The Director should certify on the Purchase Requisition (to also be printed on the Purchase Order) that the expenditure received the appropriate approval.</p>	<p>We will require grant directors to include or provide written approval from the grant coordinator at the Department of Education and include a certification on the requisition and subsequently printed on the purchase order that indicates approval was received and on Purchasing Card logs when appropriate.</p> <p>Person Responsible: Denise Groves, Vice President for</p>	<p>Verification of Implementation In Progress</p>

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	<p>Department managers should submit a financial analysis monthly to their immediate supervisors. The financial analysis should include explanations of large or unusual Maintenance and Operations (M&O) expenditures. This additional oversight will assist in detecting misspent funds and will also increase the accountability of the department managers.</p>	<p>Enrollment Management Timetable for Completion: September 1, 2014</p> <p>We will develop a policy which will require explanations of large or unusual purchases made with M&O funds. This explanation will have to be submitted to and approved by the account manager's supervisor. Since we use semester budgeting for M&O, it will be easier to identify these unusual circumstances. An account manager guidelines policy is under development. This requirement will be included in that policy. Banner reports which may help with this process will be made available for managers.</p> <p>Person Responsible: Cesario Valenzuela, Vice President for Finance and Operations Timetable for Completion: October 31, 2014</p>	<p>In Progress</p>
<p>IT Policy Guideline Compliance Review, August 2013</p>	<p>Issues, recommendations, and management action plans for this audit are actively being addressed. The details are not presented here due to exemptions allowed for information that relates to computer network security or to the design, operation, or defense of a computer network (Texas Government Code 552.139).</p> <p>Compliance achieved for the elements noted is as follows:</p> <p>Policy: 75% complete (compared to 68% in August 2014) Procedure: 75% complete (compared to 75% in August 2014) Demonstrable Procedure: 57% complete (compared to 54% in August 2014)</p>		
<p>McNair Grant, September 2013</p>	<p>Measures should be taken to remedy the grant for violations of regulations governing when grant funds may be expended (34 CFR 75.263 - Expanded Authorities). A review process should be implemented to assist the Grants Accountant and to assure compliance.</p>	<p>Management agrees with the recommendation to remedy the grant for the aforementioned violations.</p> <p>The Grants Accountant has refunded the Department of Education thus violations have been remediated. The Director of Accounting Services will supervise work of the Grants Accountant. Supervision shall include periodic review and approval of journal entries and reconciliations for the purpose of assuring compliance. Director of Accounting will further supervise Grants Accountant to include ongoing communication regarding grants and federal regulations affecting grants.</p> <p>The review process has been established and include review and approval of Journal Entries, ongoing communication on issues and decisions regarding grants, dual development of indirect cost reconciliations, and</p>	<p>Verification of Implementation In Progress</p>

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	<p>A reconciliation process should be developed and implemented to assure that the appropriate amount of indirect cost is recorded. This reconciliation should be included in the management review process. Additionally, Accounting Services leadership should be informed when indirect cost charging is discontinued and/or resumed, with documented acknowledgment by leadership. Actions should be taken to remedy the grant for the \$2,088 incorrectly charged for indirect costs.</p>	<p>review of reconciliations when completed.</p> <p>Person Responsible: Lisa George, Director of Accounting Services; John Young, Grant Accountant Timetable for Completion: October 7, 2014</p> <p>The Grants have been remedied for incorrect charges. Grants Accountant will create an Indirect Cost Recovery Summary that reconciles Calculated Indirect Cost Recovery with Actual Indirect Cost Recovery. This report and any reconciling differences will be reviewed and approved by the Director of Accounting Services on a quarterly basis. The Grants Accountant will request reviews with the Project Director to identify participant expenses to ensure correct allocation of indirect costs. The Grants Accountant will communicate to the Director of Accounting Services and the Vice President for Finance and Operations any issues and unusual circumstances.</p> <p>Person Responsible: Lisa George, Director of Accounting Services; John Young, Grants Accountant; Mary Bennett, McNair Grant Director Timetable for Completion: September 30, 2014 to remedy the grant. December 31, 2014 to create Indirect Cost Recovery Summary Report.</p>	<p>In Progress</p>
<p>Joint Admission Medical Program Council (JAMP) Grant, October 2013</p>	<p>JAMP management and staff should review SRSU policy regarding time and effort documentation and develop systems to maintain the required level of documentation. Compliance with policy should be monitored by the JAMP Faculty Director.</p>	<p>JAMP Faculty Director authorized hours to be worked under the grant by non-exempt employee using a Temporary Employment Form for fiscal year 2014. The non-exempt employee has been submitting separate timesheets for grant hours worked on a monthly basis as the work is performed. The timesheets have been approved by the JAMP Faculty Director. The process appears to be in compliance and working successfully.</p> <p>Person Responsible: Dr. Chris Ritzi, JAMP Faculty Director Timetable for Completion: August 31, 2014</p>	<p>Verification of Implementation In Progress</p>
<p>Banner Security, December 2013</p>	<p>Issues, recommendations, and management action plans for this audit are actively being addressed. The details are not presented here due to exemptions allowed for information that relates to computer network security or to the design, operation, or defense of a computer network (Texas Government Code 552.139).</p> <p>There were twenty-two audit recommendations resulting from this audit. Eight recommendations have been implemented and fourteen of the recommendations are in progress of being implemented.</p>		

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Audit Report	Recommendations	Management’s Most Current Response	Status (*)
Public Funds Investment Act Audit (PFIA), December 2013	<p>The SRSU Investment Officer should ensure investment reports and procedures fully comply with requirements articulated by the PFIA, the SAO, Rider 5, and the TSUS Investment Policy – Operating Funds.</p>	<p>Finance staff will create a formal checklist of all required investment reporting to specifically identify PFIA, SAO, and Rider 5 quarterly and annual investment report requirements. This checklist has been created and is being utilized. In addition, the TSUS Vice Chancellor for Finance has compiled a checklist of off policies and regulations to be used by components which will assist in ensuring compliance with all required investment reporting.</p> <p>Person Responsible: Cesario Valenzuela, Vice President for Finance and Operations (VPFO) and Investment Officer, and Tammy Jamison, Administrative Assistant to the VPFO Timetable for Completion: October 15, 2014</p> <p><i>Auditor’s Note: This recommendation was returned to “In Progress” status. Follow-up verification did find evidence that a checklist was implemented for the Rider 5 requirements, but the remainder of the implementation is in progress.</i></p>	<p>Verification of Implementation In Progress</p>
Student Financial Aid, April 2014	<p>To ensure that work activities are performed in accordance with management objectives and applicable laws and regulations and to facilitate more seamless transitions in the event of employee turnover, the Student Financial Aid Policies and Procedures should be updated and then reviewed periodically according to an established review schedule. Review and approvals should be documented. All campuses should have access (written or electronic) to the most recent version.</p>	<p>SRSU Financial Aid’s Policies and Procedures will be updated and revised to reflect current actual processes and policy in accordance with Federal, State, and Institutional regulations. Revisions will be presented to Denise Groves, Vice President for Enrollment Management and final approval will be made by the Executive Cabinet.</p> <p>Financial Aid Staff will use the National Association of Student Financial Aid Administrators’ (NAASFAA) Policy and Procedures Tool-Kit to create an outline of all areas that need to be included in the new Policies and Procedures Manual. Each staff member will be assigned a section to work on until all areas are covered. We believe most can be completed this fall with final completion by summer 2015.</p> <p>Person Responsible: Michael Corbett, Director of Financial Aid Timetable for Completion: Financial Aid Staff will begin revising the current Policies and Procedure Manual section by section with the goal of final completion by July 1, 2015.</p>	<p>In Progress</p>

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	<p>Even if initial communications are verbal, any new processes or process changes should be documented at least in an email that can be distributed to all locations, including Alpine. Communications should be standardized among locations. A schedule should be set for the Director to spend time at each Rio Grande College location to conduct administrative and supervisory reviews and ensure staff is receiving correct communications and functioning appropriately.</p>	<p>Financial Aid staff will be informed of any new processes or changes through both written email notifications and staff meetings. Staff members will continue to have opportunity to attend regional and state training conferences. The Financial Aid Director will travel to RGC campuses on at least a quarterly basis to conduct training, review procedures and monitor staff progress.</p> <p>Financial Aid staff is receiving instruction by both verbal and email notification. The Director has traveled to the RGC sites to monitor staff there and review progress of the new Counselors. He plans to visit again this fall and during upcoming spring 2015 semester.</p> <p>Person Responsible: Michael Corbett, Director of Financial Aid Timetable for Completion: April 1, 2015</p>	<p>In Progress</p>
	<p>A workload analysis should be performed, including staff’s input for process improvement and standardization. Efficiencies may be gained through use of tools developed by staff or obtained through benchmarking or other research. Cross-training and management participation during heavy student flow periods would also alleviate strain on staff.</p> <p>Initial and ongoing training programs, standardized for all staff, should be implemented. Processes should be documented in user-friendly formats, such as checklists. Feedback from staff should be encouraged, with action plans developed and communicated in written formats. Teamwork exercises are recommended so that staff develops a sense of team and support.</p>	<p>The University is investing in a Noel Levitz financial aid consultation designed to give valuable feedback regarding the institution’s financial aid and scholarship goals, policies, procedures, strategies, and systems. The intent of this on-campus review is to identify the strengths and limitations that contribute to or inhibit effectiveness. These strengths and limitations could be structural (facilities), procedural, management-related, perceptions (internal and external), and customer service orientation. The evaluation is also intended to help establish priorities and set directions for improving the delivery of financial aid and scholarship services that meet students’ needs and contribute directly to institutional enrollment goals.</p> <p>Person Responsible: Denise Groves, Vice President for Enrollment Management Timetable for Completion: April 8th & 9th is the scheduled consultation. At a May 5th meeting, responsibilities were assigned; prioritized, assigned to a staff member(s) and timetable for completion of recommended changes will be December 1, 2014. Another Meeting in early October is scheduled to review the recommendations.</p>	<p>In Progress</p>
	<p>A request for retroactive approval on the change in scope for the RGC Title V grant used to fund employee</p>	<p>We will again submit a change in scope request for the RGC Title V grant in order to address this issue. Upon</p>	<p>In Progress</p>

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	<p>scholarships should be requested from the DOE.</p>	<p>further review and research by the Grants Accountant and following his discussion with the Director of Internal Audit (memo dated 9/28/2014), he has recommended that there is sufficient justification for funding these scholarships and for considering this finding implemented. This recommendation and related justification will be presented as a follow up to previous DOE communications again in an effort to bring this issue to a final resolution.</p> <p>Person Responsible: Cesario Valenzuela, Vice President for Finance and Operations. Timetable for Completion: October 31, 2014</p> <p><i>Auditor’s Note: Discussions with the internal auditor did not result in any agreement as to appropriateness of the Grant Accountant’s recommendation.</i></p>	
	<p>The University should discontinue use of public funds to provide scholarships for employees unless the classes are “related to the duties or prospective duties of the administrator or employee” as authorized by Section 656.044 or “health fitness and education programs” as authorized by Section 664 .” The application form used to request employee scholarships that will be funded with public funds should be modified to provide a space for an explanation evidencing how the planned course load will support/improve employee’s job-related performance consistent with Texas Government Code Sections 656.044 and/or 664. This will ensure that the information is available for the approver’s consideration/evaluation in determining whether to approve the application. Lastly, the University should ascertain available sources of funds that can be used to replenish the public funds used for scholarships inconsistent with provisions authorized under the cited Texas Government Code Sections.</p>	<p>The Staff Development Policy was modified to include a requirement that the classes to be taken under the policy are related to the duties or prospective duties of the employee or to health fitness or related education. The application form used under this policy was modified to include an explanation space for ensuring compliance with the requirements mentioned herein. We have submitted a question to TSUS General Counsel and to the Vice Chancellor for Finance in an effort to identify which funds qualify as non public funds and thus could be used to replenish the public funds mentioned in this recommendation. These changes were developed, approved by the Executive Council and communicated to the campus.</p> <p>The audit follow up revealed that additional changes to the form were needed. HR has updated the form again, the Executive Cabinet approved on September 30, 2014 and the changes were communicated to the campus.</p> <p>Person Responsible: Judy Perry, Director of Human Resources Timetable for Completion: October 1, 2014</p> <p><i>Auditor’s Note: This recommendation was returned to “In Progress” status because follow-up verification did not find</i></p>	<p>Verification of Implementation In Progress</p>

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	<p>The Staff Development Policy should be revised to require that a minimum grade be achieved for classes taken by employees for which tuition and fees are waived. The Policy should also detail consequences for not achieving the minimum grade (such as reimbursement). Likewise, when Excellence funds are used for employee scholarships, defined criteria should be utilized in determining eligibility. The practice of recruiting/encouraging staff to “fill” classes for the purpose of meeting minimum class sizes and/or bolstering enrollment should immediately be discontinued.</p>	<p><i>evidence of implementation.</i></p> <p>A requirement that a minimum grade must be achieved in classes taken by employees for which tuition and fees are waived will be included in the revision of the staff development policy described above. A requirement that a class must have already “made” before an employee may take it under this development program will also be included.</p> <p>Person Responsible: Judy Perry, Director of Human Resources Timetable for Completion: May 1, 2014</p>	<p>Implemented</p>
	<p>The Staff Development Policy should be revised to provide for management review of the Form to assure that it is completed properly before being processed by the Cashier.</p>	<p>The revision of the Staff Development Policy will also include a requirement that the employee’s supervisor must review and approve the classes being taken under this program for compliance with the revised policy.</p> <p>The audit follow up revealed that additional changes to the form were needed. Human Resources has updated the form again, the Executive Cabinet approved on September 30, 2014 and the changes were communicated to the campus.</p> <p>Person Responsible: Judy Perry, Director of Human Resources Timetable for Completion: October 1, 2014</p> <p><i>Auditor’s Note: This recommendation was returned to “In Progress” status because follow-up verification did not find evidence of implementation.</i></p>	<p>Verification of Implementation In Progress</p>
	<p>A report should be developed to list all manual grade changes. The report should be reviewed periodically by someone who does not have that access.</p>	<p>Access to the Banner grade maintenance form (SHATCKN) has been restricted to only those personnel in Records and Registration that have a legitimate need for it. An Argos report has been developed that lists all original and changed grades by term and student. The report also lists who made the manual change. The report will be run and reviewed mid-term for the prior semester by a staff member that does not have edit access to SHATCKN. Assigned staff will select five grade changes for semester and research Image Now and departmental files as necessary for proper documentation authorizing</p>	<p>In Progress</p>

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		<p>grade change. Reports will be exported from Argos into Excel files and saved on registrar’s share drive in the folder titled Grade Change. Each file will have the naming convention Grade_Audit date performed mmddyy- auditor’s initials.</p> <p>Person Responsible: Pamela Pipes, Director of Records and Registration Timetable for Completion: End of term, fall 2014- approximately December 19, 2014 but no later than Jan 15, 2015. Exact time of completion is dependent on training of the staff member that will review the report.</p>	
	<p>Review processes of cashier functions related to student refunds should be implemented to ensure that refunds are appropriately calculated and posted to students’ accounts.</p>	<p>These processes have been reviewed to ensure refunds are appropriately calculated and posted to students’ accounts. We are currently reviewing reporting that is available from the Banner system to agree with the amount of refunds on Banner FARCHKR report.</p> <p>Person Responsible: Lisa George, Director of Accounting Services, Oscar Jimenez, Senior Manager, Terrie Salas, Cashier Timetable for Completion: October 31, 2014</p>	<p>In Progress</p>
<p>ACH and Wire Transfers, August 2014</p>	<p>There are several types of ACH debits and wire transfers. Examples are wire transfers in and out of TexPool, payroll-related ACH debits (such as tax payments), credit card fees (initiated by credit card companies), and direct deposits to vendors, to employees, and to students. Each situation should be analyzed and respective plans implemented to assure that the required signatures and documentation are achieved.</p>	<p>Procedures will be developed, documented, and implemented to ensure that all required signatures and necessary documentation are maintained for each of these transactions.</p> <p>Procedures such as logs and approvals are implemented. Working on the documenting of the individual transactions.</p> <p>Person Responsible: Lisa George, Director of Accounting Services Timetable for Completion: October 31, 2014</p>	<p>In Progress</p>
	<p>Management should ensure that all policies and procedures for ACH and wire transfer administration and activities are formalized, current, detailed, specific and consistent to promote a seamless transition in the event of employee turnover / absence and to provide for controlled operations. The implemented plan should consider segregation of duties, and provide for supplemental controls when segregation of duties is not possible.</p>	<p>A policy and procedure to appropriately govern the administration and activities of ACH and Wire Transfers will be developed, documented, and implemented. Logs and approvals are already implemented. Working on policy development.</p> <p>Person Responsible: Lisa George, Director of Accounting Services Timetable for Completion: October 31, 2014</p>	<p>In Progress</p>

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	<p>A log to track all ACH batch and wire transfer transactions should be created internally or provided by the depository institution and maintained to serve as a tool for reconciling against bank statements and accounting records. This log should be included in the above recommended SRSU policies and procedures.</p>	<p>This corrective action is currently in place. Each transaction for ACH transfers and wire transfers are logged including date, dollar amount, initiator of transaction, and purpose. We will include in this log a dual signature to comply with the full recommendation. Vice President for Finance and Operations receives direct notification from the bank of wire transactions in and out.</p> <p>Person Responsible: Lisa George, Director of Accounting Services Timetable for Completion: August 15, 2014</p>	<p>Verification of Implementation In Progress</p>
	<p>In developing and documenting the SRSU policies and procedures, the workflow should be analyzed to assure that controls are in place to either prevent the opportunity for unauthorized transactions and, when prevention fails, that controls are in place to then detect an unauthorized transaction. Segregating certain duties, such as initiating a transaction and also recording the same transaction, serves as a preventive control. Also, escalation procedures should not be performed by any person who could have initiated the transaction being escalated.</p>	<p>The workflow will be analyzed and adjusted as needed to ensure that proper controls are in place and functioning. Currently in place is added approval by the Director of Accounting Services of all journal entries including those made by the Senior Manager as a preventative control.</p> <p>Person Responsible: Lisa George, Director of Accounting Services Timetable for Completion: October 31, 2014</p>	<p>In Progress</p>
	<p>Access to Banner should be limited to only what is necessary for the employee’s current position.</p>	<p>Action will be taken to complete this “clean up” and ensure that access to Banner is limited to only what is necessary for each employee’s current position.</p> <p>Person Responsible: Lisa George, Director of Accounting Services and Tanya Romero, Budget /Banner Finance Coordinator Timetable for Completion: October 15, 2014</p>	<p>In Progress</p>
	<p>Signature cards should be updated to reflect current key personnel. Letters were sent to the RGC depositories September and October of 2013, instructing them to make specific changes to the signature cards. However, the</p>	<p>All signature cards are currently up to date and a process developed which ensures that changes are made as needed and on a timely basis. In addition, the Vice President for Finance and Operations will bring this issue</p>	<p>In Progress</p>

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TEXAS STATE UNIVERSITY SYSTEM – QUARTERLY STATUS REPORT – NOVEMBER 2014 BOARD MEETING
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Audit Report	Recommendations	Management’s Most Current Response	Status (*)
	<p>requests were not processed and no follow up was performed.</p> <p>Additionally, a Board Resolution should be brought before the Board of Regents to authorize current and appropriate key personnel as authorized representative for TexPool transactions.</p> <p>A system should be implemented to prompt the timely replacement of signature cards when there is turnover in these key positions.</p>	<p>to the attention of the TSUS Vice Chancellor for Finance for consideration of a system-wide resolution. In process of updating new signature cards with arrival of Dr. Kibler (SRSU President).</p> <p>Person Responsible: Lisa George, Director of Accounting Services and Cesario Valenzuela, Vice President for Finance and Operations Timetable for Completion: December 1, 2014</p>	
	<p>The agreements with all depository institutions should be amended to include ACH Debit filter and/or blocks. Processes and controls should be established to monitor daily for unauthorized ACH debits.</p>	<p>We will contact each depository partner institution and establish the necessary agreement/documentation to enable these debit filters. Additionally, West Texas National Bank has implemented security systems where only authorized users have electronic devices which provide random passwords to initiate transactions. Bank personnel personally contact either the Director of Accounting Services or Senior Manager to verify the validity.</p> <p>Person Responsible: Lisa George, Director of Accounting Services and Cesario Valenzuela, Vice President for Finance and Operations Timetable for Completion: October 31, 2014</p>	In Progress
<p>Management Advisory Letter, Departmental Scholarships, August 2014</p>	<p>Guidelines and standard processes should be developed for scholarship administration including:</p> <ul style="list-style-type: none"> • Documentation of scholarship objective(s) and formal criteria for recipient selection. • Standards for advertising, especially for competitive scholarships. • Required documentation of person nominating and person approving selections, or committee (when applicable), with the minimum such participation defined. (Applicants for a scholarship should not be allowed to participate in the process.) • Processes for Financial Aid to follow, defining additional approvals needed, for departments to override documented processes and criteria. • Reference to the Texas State University System 	<p>Management will create an administrative policy outlining the criteria provided to all departments on campus.</p> <p>Person Responsible: Denise Groves, Vice President for Enrollment Management Timetable for Completion: January 1, 2015</p>	In Progress

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(*) Status Categories: Implemented; Planned; In Progress; Factors Delay Implementation; Management Does Not Plan to Implement Recommendation; Verification of Implementation in Progress

TEXAS STATE UNIVERSITY SYSTEM – QUARTERLY STATUS REPORT – NOVEMBER 2014 BOARD MEETING
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Audit Report	Recommendations	Management’s Most Current Response	Status (*)
	<p>Code of Ethics to instruct staff as to compliance, especially regarding conflict of interest.</p> <ul style="list-style-type: none"> • Required approval of funding source by Accounting Services when setting up new scholarships. • Standards for document retention. 		
	<p>The revisions to policy and processes in the previous recommendation (regarding development of guidelines and standard processes for scholarship administration) represent significant changes. The risks associated with this change should be assessed and managed so that the desired objectives are achieved. For example, there is a risk that all appropriate departmental personnel will not understand the policy changes. The potential impact is noncompliance which could result in objectives not being achieved. A possible action to manage this risk is required training of all departmental personnel before they are allowed to make further scholarship nominations.</p>	<p>The Office of Financial Aid will provide training of the policy outlined in the administrative policy being created in the previous recommendation as noted.</p> <p>Initial training has begun with the RGC Scholarship Committee and will continue with the Alpine Scholarship Committee. Instruction is being given on how to review applications and make selections in AcademicWorks software. Committee members are also counseled on ethics and University goals. Meetings will be set up starting later this month with Departmental Committees as they begin reviewing applications.</p> <p>Person Responsible: Michael Corbett, Director of Financial Aid Timetable for Completion: February 15, 2015 – Scholarship priority deadline (2015)</p>	<p>In Progress</p>
	<p>Scholarship funds resulting from related endowments should not be comingled with other funds unless the same criteria for recipient selection is applied to the other funds. If management intends to apply less restrictive criteria to scholarships funded with other funds, the other funds should be accounted for separately.</p>	<p>A provision to apply consistent criteria to scholarship funds in the same account will be incorporated into the new written policy.</p> <p>Person Responsible: Denise Groves, Vice President for Enrollment Management and Cesario Valenzuela, Vice President for Finance & Operations Timetable for Completion: January 1, 2015</p>	<p>In Progress</p>
	<p>Utilization of scholarship funds should be aligned with the overall and specific strategic goals and objectives of SRSU and RGC. Such goals may include recruitment, retention, and/or growth in certain departments. The Scholarship Committee, as a part of its responsibilities, or some other party so designated by the Vice President for Enrollment Management, should assist the Executive Cabinet in developing a plan for use of scholarship funds, and then monitor the actual utilization to assure desired goals are achieved. If another party is designated to perform this function, the Policy should be revised to</p>	<p>This strategic alignment can be achieved by incorporating scholarship objectives within the University Enrollment Management plan. It is my opinion that the Scholarship Committee does not develop strategic use of scholarship money as part of their charge. Rather, their charge is to determine as a group if applicants meet the qualifications of each of the scholarships for which they are designated as authority.</p> <p>Person Responsible: Denise Groves, Vice President for Enrollment Management</p>	<p>In Progress</p>

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ITEMS PRESENTED IN RED ARE FROM AUDIT REPORTS ISSUED PRIOR TO SEPTEMBER 30, 2013

**TEXAS STATE UNIVERSITY SYSTEM – QUARTERLY STATUS REPORT – NOVEMBER 2014 BOARD MEETING
STATUS OF IMPLEMENTATION OF AUDIT RECOMMENDATIONS FOR REPORTS ISSUED THROUGH SEPTEMBER 2014**

Audit Report	Recommendations	Management’s Most Current Response	Status (*)
	<p>release the Scholarship Committee from this responsibility.</p> <p>A process should be developed to detect the inappropriate application of major exemptions and discounts to student accounts. For the Hazlewood exemption, for example, an automated report could be generated periodically comparing the students receiving the discount to the students in the Financial Aid system who are eligible.</p> <p>Additionally, the student’s account which was inappropriately credited for the Hazlewood exemption should be corrected, along with any other reports containing the erroneous information.</p>	<p>Timetable for Completion: March 1, 2015</p> <p>A request was submitted for a script to be written by OIT that will compare the exemption and waivers to the financial aid system as well as the student record since the accuracy of this data is also important in the Texas Higher Education Coordinating Board (THECB) reporting of the CBM004 (class enrollment report). These reports are typically called exception reports and can be run during the reporting periods by the Director of Records and Registration as she prepares the data for submission to the THECB. (A schedule of report submission can be found on the THECB website.) The Veteran Certification officer has to report Hazlewood twice a year on the THECB Hazlewood Database Report. It is reported in April for spring and November for summer and fall. This report includes students who received the waiver, how many credit hours it was for and the amount of the waiver.</p> <p>Collaboratively, the Director of Records and Registration with the Veteran Certification officer will create an Argos report which can identify certified Hazlewood students from Banner screen SGASTDN, the amounts of the waiver from Banner screen TSAAREV, and which students are flagged on Banner screen SZASSTD as having received a waiver. This report can be run any time to check for errors, but most importantly before submission of the Hazlewood Database Report. This also gives ample time to correct errors with the student as well as with the State.</p> <p>Person Responsible: Pam Pipes, Director of Records and Registration</p> <p>Timetable for Completion: January 1, 2015</p>	<p>In Progress</p>
EXTERNAL AUDIT REPORTS (Items will only be reported once)			
<p>Adelante Tejas Sub-Award Desk Review for Eleven Months Ending August 31, 2012 (External Audit)</p>	<p>The University should:</p> <ul style="list-style-type: none"> • Provide written assurance that any future budget adjustments made to provide for a construction project will conform to the Davis Bacon Act in a timely manner. • Provide written assurance that the expenditures for the sub-award will be separately identified in 	<p>Management provided a written response including the written assurances as follows:</p> <ul style="list-style-type: none"> • Although the project does not anticipate any future expenditures under the “construction” line item, the Project Director, Grants Accountant and Associate Vice President for Facilities, Planning and Operations have had numerous meetings to 	<p>External Audit / Status as “Implemented” is pending verification by external auditor</p>

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Audit Report	Recommendations	Management’s Most Current Response	Status (*)
	<p>the Schedule of Expenditures of Federal Awards as required under OMB Circular A-133 in a timely manner.</p>	<p>discuss compliance with the Davis Bacon Act. Should the need arise, all three parties will review SRSU’s policy regarding the Davis Bacon Act and monitoring duties will be assigned before any work begins. This will eliminate any misunderstandings with the contractors and ensure that adequate documentation is kept to demonstrate compliance.</p> <ul style="list-style-type: none"> The expenditures related to this project were incorrectly classified as “direct federal revenue” on SRSU’s fiscal year 2012 Annual financial Report. However, the incorrect classification was discovered before the fiscal year 2013 report was issued and the error has been corrected in SRSU’s reporting software. All future reports should classify this project as “pass through federal funds.” 	

TEXAS STATE UNIVERSITY			
<p>The Texas Prompt Payment Act Audit, June 2009</p>	<p>Texas State management has determined that it is in the best interest of the University to operate a student bookstore. However, both the University Bookstore point-of-sale and the Alkek Library system require double entry to maintain receiving systems. Financial Services should jointly work with the Library and Bookstore to determine if there is a method of interfacing their independent systems with SAP to eliminate the double entry process.</p>	<p>10/3/14 - In Fiscal Year 2015, a team of key library and university staff will study, identify and implement the best automated solution to transfer collection material order information from library purchasing systems/databases to SAP. The automated solution will accomplish an 85% success rate on record transfers thus significantly decreasing duplicate effort.</p> <p>Persons Responsible: Lori Hughes, Administrative Librarian, Alkek Library, James Webb, Director of Accounting, and Joyce Muñoz, Competency Center Lead, FI Competency Center</p> <p>Timetable for Completion: September 2013 7/16/13 – Revised Timetable for Completion: December 2014 10/3/2014 - Revised Timetable for Completion: August 31, 2015.</p>	<p>In Progress</p>
<p>Audit of the</p>	<p>Missing, Damaged Or Stolen Property Reports should be</p>	<p>9/12/14 – Verified as implemented by the Office of Audits</p>	<p>Implemented</p>

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TEXAS STATE UNIVERSITY SYSTEM – QUARTERLY STATUS REPORT – NOVEMBER 2014 BOARD MEETING
STATUS OF IMPLEMENTATION OF AUDIT RECOMMENDATIONS FOR REPORTS ISSUED THROUGH SEPTEMBER 2014

Audit Report	Recommendations	Management's Most Current Response	Status (*)
Controlled Assets Management Processes, October 2012	prepared and processed according to University Policies and Procedures Statements (UPPS).	and Analysis.	
IT Policy Guideline Compliance Review, August 2013	<p>Issues, recommendations, and management action plans for this audit are actively being addressed. The details are not presented here due to exemptions allowed for information that relates to computer network security or to the design, operation, or defense of a computer network (Texas Government Code 552.139).</p> <p>Since August 2013, Texas State has maintained the compliance rate for the elements noted as follows: Policy: 100% complete Procedure: 100% complete Demonstrable Procedure: 100% complete (compared to 96% in August)</p>		
Emergency Operations, November 2013	The University should develop, approve, and implement a single comprehensive policy that includes an Emergency Operations Plan that is compliant with TEC Section 51.217.	9/30/14 - Verified as implemented by the Office of Audits and Analysis.	Implemented
	The University should have a safety and security audit conducted and should report the results of that audit to the Board of Regents and to the division of emergency management of the office of the governor.	9/30/14 - Verified as implemented by the Office of Audits and Analysis.	Implemented
	Approved published policies and plans should be followed or new updated policies and/or plans should be approved and implemented in a timely manner.	9/30/14 - Verified as implemented by the Office of Audits and Analysis.	Implemented
	The Director of EHS&RM and the University Radiation Safety Officer should review their UPPS and ensure the review cycle deadlines have been met.	9/26/14 - Verified as implemented by the Office of Audits and Analysis.	Implemented
Spill Prevention controls and Countermeasures (SPCC) Plan, November 2013	<p>EHSRM management must ensure that all oil handling employees are trained on the Plan on an annual basis.</p> <p>Furthermore, to help increase the awareness of the Plan, it should be added to a University UPPS.</p>	<p>7/21/14 – Training should be started no later than September 1, 2014 with non-facilities personnel having completed training by December 31, 2014.</p> <p>Person Responsible: Russell Clark, Director of EHSRM Timetable for completion: June 1, 2014 7/21/14 – Revised timetable for completion: December 31, 2014</p>	In Progress

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ITEMS PRESENTED IN RED ARE FROM AUDIT REPORTS ISSUED PRIOR TO SEPTEMBER 30, 2013

TEXAS STATE UNIVERSITY SYSTEM – QUARTERLY STATUS REPORT – NOVEMBER 2014 BOARD MEETING
 STATUS OF IMPLEMENTATION OF AUDIT RECOMMENDATIONS FOR REPORTS ISSUED THROUGH SEPTEMBER 2014

Audit Report	Recommendations	Management’s Most Current Response	Status (*)
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TEXAS STATE UNIVERSITY SYSTEM – SYSTEM ADMINISTRATION			
<p>Public Funds Investment Act (PFIA) Audit, December 2013</p>	<p>System Administration should ensure investment reports and procedures fully comply with requirements articulated by the PFIA, the SAO, Rider 5, and the TSUS investment policies for operating and endowment funds.</p>	<p>Corrective Action Plan - System staff will formalize and publish an “investment reporting activity plan” to identify and schedule PFIA, SAO and Rider 5 quarterly and annual investment reports and related activities at the component and system levels.</p> <p>Person Responsible - Vice Chancellor for Finance in consultation with component chief financial officers. Timetable for Completion - The plan will be initiated with investment reports required for the February 2014 Regents meeting and formalized over the course of the following 10 months.</p>	<p>Implemented</p>

(*) Status Categories: Implemented; Planned; In Progress; Factors Delay Implementation; Management Does Not Plan to Implement Recommendation; Verification of Implementation in Progress

Texas State University System Consolidated Institutions
OPERATING & NON OPERATING QUARTERLY INVESTMENT REPORT
PERIOD ENDING 08/31/2014 (TRADE DATE FULL ACCRUAL BASIS)

Agency/Description	Beginning Market Value As of 05/31/14	Ending Market Value As of 08/31/14	Book Balance As of 08/31/14	Quarter Ending 08/31/14 Investment Income Return	
<u>Operating Funds</u>					
Lamar University	\$ 46,124,920.15	\$ 53,188,048.04	\$ 53,188,048.04	\$ 58,995.78	0.12%
Sam Houston State University	\$ 94,012,080.42	\$ 107,487,340.49	\$ 107,487,340.49	\$ 27,212.46	0.01%
Sul Ross State University	\$ 16,905,895.37	\$ 19,437,858.21	\$ 19,437,613.52	\$ 6,522.80	0.03%
Texas State University	\$ 191,477,353.91	\$ 254,405,851.79	\$ 254,405,851.79	\$ 22,913.89	0.02%
Lamar Institute of Technology	\$ 6,904,328.78	\$ 6,200,227.30	\$ 6,200,227.30	\$ 1,552.62	0.03%
Lamar State College - Orange	\$ 16,479,925.26	\$ 16,509,203.35	\$ 16,509,203.35	\$ 2,462.02	0.01%
Lamar State College - Port Arthur	\$ 6,107,112.62	\$ 5,237,002.93	\$ 5,237,002.93	\$ 2,311.22	0.04%
Texas State University System Office	\$ 6,091,676.16	\$ 5,946,093.34	\$ 5,946,093.34	\$ 455.38	0.01%
<u>Operating Funds Total</u>	\$ 384,103,292.67	\$ 468,411,625.45	\$ 468,411,380.76	\$ 122,426.18	0.03%
				6 Month T-Bill	0.03%
<u>Non-Operating Funds</u>					
Lamar University	\$ 11,516,261.58	\$ 14,407,754.77	\$ 14,407,754.77	\$ 629.55	0.00%
Sam Houston State University	\$ 47,570,994.72	\$ 50,302,007.84	\$ 51,187,348.87	\$ 286,236.59	0.06%
Sul Ross State University	\$ -	\$ -	\$ -	\$ -	-
Texas State University	\$ 108,757,485.26	\$ 159,073,741.24	\$ 158,869,377.04	\$ 365,821.11	0.02%
Lamar Institute of Technology	\$ -	\$ -	\$ -	\$ -	-
Lamar State College - Orange	\$ 1,428,312.01	\$ 1,399,586.24	\$ 1,399,586.24	\$ 105.91	0.01%
Lamar State College - Port Arthur	\$ 939,054.27	\$ 939,208.23	\$ 939,208.23	\$ 153.97	0.01%
Texas State University System Office	\$ 3,851,863.51	\$ 9,755,920.77	\$ 9,755,920.77	\$ 657.79	0.02%
<u>Non-Operating Funds Total</u>	\$ 174,063,971.35	\$ 235,878,219.09	\$ 236,559,195.92	\$ 653,604.92	0.03%
				ML 1-3 Year Treasury	0.04%
<u>Grand Total</u>	\$ 558,167,264.02	\$ 704,289,844.54	\$ 704,970,576.68	\$ 776,031.09	0.03%

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**Texas State University System Consolidated Institutions
ENDOWMENT QUARTERLY INVESTMENT REPORT
PERIODS ENDING 08/31/2014 (TRADE DATE FULL ACCRUAL BASIS)**

Allocation Current	Endowment	Market Value	Quarter Return	FYTD Return
100%	Total System Return	\$ 183,353,872	4.06%	18.87%
16.1%	Lamar University <i>30.3% Russell 3000, 3.8% BC Credit, 65.9% at 0% Annual Return.</i>	29,550,936	1.49% 1.48%	7.61% 7.83%
42.0%	Sam Houston State University <i>*Currently the policy benchmark is a blend of 27% S&P 500, 12% Russell Mid, 12% Russell 2000, 9% MSCI EAFE, 5% S&P Developed ex-US SC, 26.33% BC Aggregate, 5.66% BC Muni Bond, and 3% ML 6 Month T-Bill.</i>	76,917,207	4.65% 2.35%	20.50% 16.18%
10.0%	Sul Ross State University <i>*Currently the policy benchmark is a blend of 27% S&P 500, 24% Russell 2500, 9% MSCI EAFE, 5% S&P Developed Ex-US SC, 4.5% BC Gov/Credit, and 30.5% BC Aggregate.</i>	18,335,490	4.57% 2.35%	23.51% 15.82%
31.9%	Texas State University <i>*Currently the Total Blended Index consists of 23.1% S&P 500, 22.2% Russell 2500, 9.2% MSCI EAFE, 5.5% S&P Developed SC Ex-US, 5% ML All Invest ex Man V0A1, 28% BC Aggregate, 3% 91-Day T-Bill, and 4% ML 6 Month T-Bill.</i>	58,550,238	4.41% 2.33%	20.98% 15.63%
	Lamar Institute of Technology N/A	0	- N/A	- N/A
	Lamar State College - Orange N/A	0	- N/A	- N/A
	Lamar State College - Port Arthur N/A	0	- N/A	- N/A
	Texas State University System Office N/A	0	- N/A	- N/A

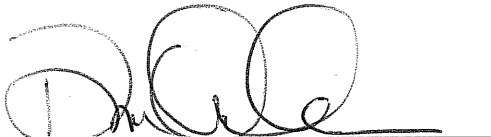
Index Listing	Quarter	FYTD
Russell 3000 - Domestic Equity	4.71%	24.74%
S&P 500 - Domestic Equity	3.72%	24.10%
MSCI EAFE - International Equity	-1.18%	16.86%
FTSE NAREIT - Real Estate	4.40%	24.47%
BC Int. Gov't - Fixed Income Government / Agency	0.23%	2.23%
BC Credit - Fixed Income Corporates	1.48%	9.06%
BC 3 Yr Muni - Fixed Income Municipal Bonds	0.43%	2.34%
91-Day T-Bill - Fixed Income Money Market	0.01%	0.05%
ML All Invest ex Man V0A1 - Convertibles	5.71%	23.25%
ML 1-3 Year Treasury - Fixed Income Money Market	0.04%	0.64%
6 Month T-Bill - Fixed Income Money Market	0.03%	0.14%

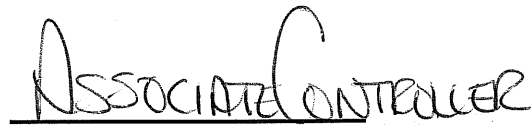

Lamar University
OPERATING & NON OPERATING QUARTERLY INVESTMENT REPORT
PERIODS ENDING 08/31/2014 (TRADE DATE FULL ACCRUAL BASIS)

Agency/Description	Cusip Number	Purchase Date	Maturity Date	Beginning Market Value As of 05/31/14	Ending Market Value As of 08/31/14	Book Balance As of 08/31/14	Quarter Ending 08/31/14 Investment Income	Return
Operating Funds								
Cash in Bank				\$ 30,443,674.72	\$ 41,767,464.99	\$ 41,767,464.99	\$ 51,405.94	0.12%
Cash in Treasury				\$ 8,645,445.97	\$ 9,585,607.57	\$ 9,585,607.57	\$ 7,287.64	0.13%
TexPool Series 0001	General Fund			\$ 7,035,799.46	\$ 1,834,975.48	\$ 1,834,975.48	\$ 302.20	0.01%
Totals				\$ 46,124,920.15	\$ 53,188,048.04	\$ 53,188,048.04	\$ 58,995.78	0.12%
							6 Month T-Bill	0.03%

Non-Operating Funds								
Cash in Bank				\$ 3,637,226.13	\$ 6,528,089.77	\$ 6,528,089.77	\$ -	0.00%
TexPool Series 0002				\$ 2,875,911.54	\$ 2,876,141.43	\$ 2,876,141.43	\$ 229.89	0.01%
TexPool Series 0001				\$ 5,003,123.91	\$ 5,003,523.57	\$ 5,003,523.57	\$ 399.66	0.01%
Totals				\$ 11,516,261.58	\$ 14,407,754.77	\$ 14,407,754.77	\$ 629.55	0.00%
							ML 1-3 Year Treasury	0.04%

Lamar University investment portfolios comply with investment strategy expressed in Texas State University System Investment Policies and with relevant provisions of the Texas Public Funds Investment Act.


 Name of Signee
 Title



Lamar University
ENDOWMENT QUARTERLY INVESTMENT REPORT
PERIODS ENDING 08/31/2014 (TRADE DATE FULL ACCRUAL BASIS)

Allocation Current	Mutual Fund / Manager	Market Value	Quarter Return	FYTD Return
100.0 %	Total Plan Composite	\$ 29,550,936	1.49%	7.61%
	<i>Total Blended Index</i>		1.48%	7.83%
30.3 %	Domestic Equity	\$ 8,942,866	4.78%	24.17%
	<i>Russell 3000</i>		4.71%	24.74%
30.3	Commonfund MS Equity Fund	8,942,866	4.78%	24.17%
3.8 %	Total Fixed Income	\$ 1,120,959	1.12%	7.10%
3.8 %	Corporates	\$ 1,120,959	1.12%	7.10%
	<i>BC Credit</i>		1.48%	9.06%
3.8	Commonfund MS Bond Fund	1,120,959	1.12%	7.10%
65.9 %	Other	\$ 19,487,111	0.01%	0.03%
	Cash in Bank	0	-	0.00%
65.9	Texpool Series 0001	19,487,111	0.01%	0.03%

Blended Indexes are based on the current weights of each asset class and their respective benchmarks. Currently the Total Blended Index is: 30.3% Russell 3000, 3.8% BC Credit, 65.9% at 0% Annual Return.

Lamar University
ENDOWMENT QUARTERLY INVESTMENT REPORT
PERIOD ENDING 08/31/2014 (TRADE DATE FULL ACCRUAL BASIS)

Mutual Fund / Manager	Beginning Market Value	Deposits	Withdrawals	Income	Fees	Gains / Losses	Ending Market Value
Total Plan Composite	\$29,027,649	\$628,989	-\$528,331	\$41,017	\$8,762	\$372,851	\$29,550,936
All Equity Composite	\$8,563,710	\$0	-\$29,455	\$29,455	\$7,917	\$371,239	\$8,942,866
Domestic Equity	\$8,563,710	\$0	-\$29,455	\$29,455	\$7,917	\$371,239	\$8,942,866
Commonfund MS Equity Fund	\$8,563,710		-\$29,455	\$29,455	\$7,917	\$371,239	\$8,942,866
Total Fixed Income	\$1,118,502	\$0	-\$10,014	\$10,014	\$845	\$1,611	\$1,120,959
Corporates	\$1,118,502	\$0	-\$10,014	\$10,014	\$845	\$1,611	\$1,120,959
Commonfund MS Bond Fund	\$1,118,502		-\$10,014	\$10,014	\$845	\$1,611	\$1,120,959
Other	\$19,345,437	\$628,989	-\$488,862	\$1,548	\$0	\$0	\$19,487,111
Cash in Bank	\$60,999	\$283,920	-\$344,919			\$0	
Texpool Series 0001	\$19,284,437	\$345,069	-\$143,943	\$1,548		\$0	\$19,487,111

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Sam Houston State University
OPERATING & NON OPERATING QUARTERLY INVESTMENT REPORT
PERIODS ENDING 08/31/2014 (TRADE DATE FULL ACCRUAL BASIS)

Agency/Description	Cusip Number	Purchase Date	Maturity Date	Beginning Market Value As of 05/31/14	Ending Market Value As of 08/31/14	Book Balance As of 08/31/14	Quarter Ending 08/31/14 Investment Income Return	
Operating Funds								
Cash in Bank				\$ 22,494,311.05	\$ 25,461,240.89	\$ 25,461,240.89	\$ 1,166.31	-0.01%
Cash in Treasury				\$ 31,301,783.28	\$ 3,881,704.19	\$ 3,881,704.19	\$ 22,780.31	0.11%
TexPool Series 0004	Non Educational			\$ 37,105,322.75	\$ 75,033,483.40	\$ 75,033,483.40	\$ 3,017.17	0.01%
TexPool Series 0005	Educational & General			\$ 855,274.39	\$ 855,342.77	\$ 855,342.77	\$ 68.38	0.01%
TexPool Series 0006	Auxiliary			\$ 114,688.12	\$ 114,697.27	\$ 114,697.27	\$ 9.15	0.01%
TexPool Series 0012	Student Loan Fund			\$ 1,555,376.44	\$ 1,555,500.76	\$ 1,555,500.76	\$ 124.32	0.01%
TexPool Series 0013	Short Term Student Loan			\$ 585,324.39	\$ 585,371.21	\$ 585,371.21	\$ 46.82	0.01%
Totals				\$ 94,012,080.42	\$ 107,487,340.49	\$ 107,487,340.49	\$ 27,212.46	0.01%

6 Month T-Bill 0.03%

Non-Operating Funds								
Cash in Bank				\$ 15,721.08	\$ 15,721.87	\$ 15,721.87	\$ 0.79	0.01%
TexPool Series 0008	Designated Funds			\$ 4,162,531.93	\$ 4,162,864.60	\$ 4,162,864.60	\$ 332.67	0.01%
TexPool Series 0009	Restricted Funds			\$ 1,958,233.18	\$ 1,958,389.67	\$ 1,958,389.67	\$ 156.49	0.01%
TexPool Series 0010	Quasi Endowments			\$ 251,088.45	\$ 251,108.54	\$ 251,108.54	\$ 20.09	0.01%
TexPool Series 0011	Endowments			\$ 1,491,192.37	\$ 1,491,311.53	\$ 1,491,311.53	\$ 119.16	0.01%
TexPool Series 0023	Non-Ed Plant			\$ 367,198.66	\$ 367,228.05	\$ 367,228.05	\$ 29.39	0.01%
TexPool Series 0028	Residence Hall Bonds 2011			\$ 1,571,018.95	\$ 1,571,144.50	\$ 1,571,144.50	\$ 125.55	0.01%
Cash in Bank (Trustmark)				\$ 2,435.90	\$ 2,185.94	\$ 2,185.94	\$ -	0.00%
Baird Advisors FI	*Holdings Report Attached			\$ 15,132,525.51	\$ 15,122,289.72	\$ 15,892,954.39	\$ 205,032.27	0.06%
Agincourt Capital Mgmt	*Holdings Report Attached			\$ 13,090,454.82	\$ 13,098,618.55	\$ 13,223,400.78	\$ 63,165.96	0.09%
Atlanta Capital Mgmt	*Holdings Report Attached			\$ 7,525,831.02	\$ 7,529,614.66	\$ 7,519,508.79	\$ 16,909.26	0.08%
TexPool Series 0032	Series 2013 Construction E			\$ 2,002,762.85	\$ 1,306,309.51	\$ 1,306,309.51	\$ 124.26	0.01%
TexPool Series 0033	Series 2014 Bonds			\$ -	\$ 3,425,220.70	\$ 3,425,220.70	\$ 220.70	0.00%
Totals				\$ 47,570,994.72	\$ 50,302,007.84	\$ 51,187,348.87	\$ 286,236.59	0.06%

ML 1-3 Year Treasury 0.04%

Sam Houston State University investment portfolios comply with investment strategy expressed in Texas State University System Investment Policies and with relevant provisions of the Texas Public Funds Investment Act.


 Name of Signee J. Carlos Hernandez
 Title Vice President for Finance and Operations

October 1, 2014
 Date: _____



Sam Houston State University
ENDOWMENT QUARTERLY INVESTMENT REPORT
PERIODS ENDING 08/31/2014 (TRADE DATE FULL ACCRUAL BASIS)

Allocation Current	Mutual Fund / Manager	Market Value	Quarter Return	FYTD Return
100.0 %	Total Plan Composite	\$ 76,917,207	4.65%	20.50%
	<i>Total Blended Index</i>		2.35%	16.18%
70.1 %	All Equity Composite	\$ 53,924,182	5.05%	21.39%
	<i>Blended Equity Index</i>		3.61%	23.27%
57.0 %	Domestic Equity	\$ 43,834,145	5.74%	21.98%
	<i>Russell 3000</i>		4.71%	24.74%
0.8	Vanguard Prime Cap Fund - VPMAX	594,477	5.56%	31.53%
10.0	Vanguard Instl Index - VINIX	7,677,225	4.68%	26.33%
9.7	Laudus LCG Fund - LGILX	7,496,715	4.88%	22.63%
9.9	Parnassus LCV Fund - PRILX	7,644,923	2.97%	25.10%
13.5	Columbus Circle SMID G	10,375,962	8.36%	14.51%
13.1	Baird Investment Mgmt SMID V	10,044,842	6.34%	21.78%
13.1 %	International Equity	\$ 10,090,038	2.04%	18.56%
	<i>MSCI EAFE</i>		-1.18%	16.86%
8.1	Hancock Horizon Intl LC - HHDTX	6,216,484	2.97%	17.28%
5.0	Driehaus Intl SCG - DRIOX	3,873,553	0.54%	20.30%
13.5 %	Real Estate	\$ 10,384,761	3.09%	18.47%
	<i>FTSE NAREIT</i>		4.40%	24.47%
13.5	Rems RE Income - RREIX	10,384,761	3.09%	18.47%
16.2 %	Total Fixed Income	\$ 12,493,191	3.67%	17.77%
	<i>Blended Fixed Index</i>		2.52%	11.18%
4.0 %	Corporates	\$ 3,082,398	0.82%	5.54%
	<i>BC Credit</i>		1.48%	9.06%
4.0	Agincourt Capital Mgmt	3,082,398	0.82%	5.54%
4.2 %	Municipal Bonds	\$ 3,196,891	1.55%	11.18%
	<i>BC 3 Yr Muni</i>		0.43%	2.34%
4.2	iShares S&P Natl Muni - MUB	3,196,891	1.55%	11.18%
2.3 %	Money Markets	\$ 1,731,821	0.36%	1.05%
	<i>91-Day T-Bill</i>		0.01%	0.05%
2.3	Ridgeworth Ultra Short- SIGVX	1,731,821	0.36%	1.05%
5.8 %	Hybrid Securities	\$ 4,482,082	9.37%	43.17%
	<i>ML All Invest ex Man VOA1</i>		5.71%	23.25%
0.1	Invesco Convertibles - Class A	69,253	2.52%	15.26%
5.7	Tortoise Capital - MLP	4,412,828	9.48%	43.61%
0.1 %	Other	\$ 115,074	-0.59%	-0.59%
0.1	Money Market Sweep	115,074	-0.59%	-0.59%

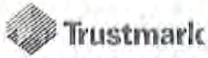
Blended Indexes are based on the current weights of each asset class and their respective benchmarks.

*Currently the policy benchmark is a blend of 27% S&P 500, 12% Russell Mid, 12% Russell 2000, 9% MSCI EAFE, 5% S&P Developed ex-US SC, 26.33% BC Aggregate, 5.66% BC Muni Bond, and 3% ML 6 Month T-Bill.

Sam Houston State University
ENDOWMENT QUARTERLY INVESTMENT REPORT
 PERIOD ENDING 08/31/2014 (TRADE DATE FULL ACCRUAL BASIS)

Mutual Fund / Manager	Beginning					Gains / Losses	Ending Market Value
	Market Value	Deposits	Withdrawals	Income	Fees		
Total Plan Composite	\$73,649,278	\$58,460	-\$108,505	\$302,510	-\$71,999	\$3,087,463	\$76,917,207
All Equity Composite	\$51,424,702	\$18,740	-\$63,348	\$91,609	-\$57,517	\$2,509,995	\$53,924,182
Domestic Equity	\$41,534,944	\$18,740	-\$63,348	\$91,609	-\$56,456	\$2,308,655	\$43,834,145
Vanguard Prime Cap Fund - VPMAX	\$563,174					\$31,303	\$594,477
Vanguard Instl Index - VINIX	\$7,334,111			\$33,267	-\$530	\$310,377	\$7,677,225
Laudus LCG Fund - LGILX	\$7,147,851				-\$530	\$349,395	\$7,496,715
Parnassus LCV Fund - PRILX	\$7,424,591			\$21,592	-\$530	\$199,270	\$7,644,923
Columbus Circle SMID G	\$9,590,312	\$7,433	-\$22,039	\$7,783	-\$22,595	\$815,069	\$10,375,962
Baird Investment Mgmt SMID V	\$9,474,906	\$11,307	-\$41,309	\$28,968	-\$32,270	\$603,241	\$10,044,842
International Equity	\$9,889,758	\$0	\$0	\$0	-\$1,061	\$201,340	\$10,090,038
Hancock Horizon Intl LC - HHDTX	\$6,036,938				-\$530	\$180,077	\$6,216,484
Driehaus Intl SCG - DRIOX	\$3,852,821				-\$530	\$21,263	\$3,873,553
Real Estate	\$10,073,714	\$5,699	-\$5,696	\$117,049	-\$530	\$194,525	\$10,384,761
Rems RE Income - RREIX	\$10,073,714	\$5,699	-\$5,696	\$117,049	-\$530	\$194,525	\$10,384,761
Total Fixed Income	\$12,053,205	\$11,436	-\$34,968	\$93,852	-\$13,786	\$383,451	\$12,493,191
Corporates	\$3,058,669	\$625	-\$1,913	\$30,681	-\$1,913	-\$3,750	\$3,082,398
Agincourt Capital Mgmt	\$3,058,669	\$625	-\$1,913	\$30,681	-\$1,913	-\$3,750	\$3,082,398
Municipal Bonds	\$3,169,865	\$0	-\$21,913	\$21,913	-\$530	\$27,556	\$3,196,891
iShares S&P Natl Muni - MUB	\$3,169,865		-\$21,913	\$21,913	-\$530	\$27,556	\$3,196,891
Money Markets	\$1,725,604	\$0	\$0	\$2,806	-\$530	\$3,942	\$1,731,821
Ridgeworth Ultra Short- SIGVX	\$1,725,604			\$2,806	-\$530	\$3,942	\$1,731,821
Hybrid Securities	\$4,099,068	\$10,812	-\$11,142	\$38,453	-\$10,812	\$355,703	\$4,482,082
Invesco Convertibles - Class A	\$67,875		-\$330	\$330		\$1,378	\$69,253
Tortoise Capital - MLP	\$4,031,193	\$10,812	-\$10,812	\$38,123	-\$10,812	\$354,325	\$4,412,828
Other	\$97,657	\$22,584	-\$4,493	\$0	-\$167	-\$508	\$115,074
Money Market Sweep	\$97,657	\$22,584	-\$4,493	\$0	-\$167	-\$508	\$115,074

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Baird Advisors
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Statement Period: 08/01/2014 through 08/31/2014
 Account Number: 1044005609

Asset Detail As Of 08/31/2014

UNITS/BOOK VALUE	DESCRIPTION	MARKET VALUE	COST	% OF PORT	ACCRUED INCOME
SHORT TERM INVESTMENTS					
455,407.77	CUSIP # 60934N708 FEDERATED PRIME OBLIGATIONS FUND -SS 396-A	455,407.77	455,407.77	3	2.83
		455,407.77	455,407.77	3	2.83
US GOVT. OBLIGATIONS					
1,975,000	CUSIP # 912828NR7 U S TREASURY NOTE DTD 07/31/2010 2.375% 07/31/2017 LAST PRICED: 08/31/2014	2,056,014.50	2,075,363.99	14	4,078.80
8,125,000	CUSIP # 912828QY9 U S TREASURY NOTE DTD 07/31/2011 2.25% 07/31/2018 LAST PRICED: 08/31/2014	3,231,437.50	3,237,994.86	21	5,380.43
		5,287,452.00	5,313,358.85	35	9,459.23
COLLATERALIZED MORTGAGE OBLIGATIONS					
63,685,458.25	CUSIP # 31358PQU4 FED NATL MTG ASSN SERIES 1992-136 CLASS PK DTD 08/01/1992 6% 08/25/2022 LAST PRICED: 08/31/2014	68,893.53	70,213.22	0	307.81
93,828.99	CUSIP # 3136AGLY6 FED NATL MTG ASSN SERIES 2013-M12 CLASS FA DTD 09/25/2013 .485% 10/25/2017 LAST PRICED: 08/31/2014	94,011.96	93,828.99	1	7.58
73,920,559	CUSIP # 31392EPT1 FED NATL MTG ASSN SERIES 2002-56 CLASS MC DTD 08/01/2002 5.5% 09/25/2017 LAST PRICED: 08/31/2014	77,227.62	78,194.10	1	327.51



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Statement Period 08/01/2014 through 08/31/2014
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Asset Detail As Of 08/31/2014

UNITS/BOOK VALUE	DESCRIPTION	MARKET VALUE	COST	% OF PORT	ACCRUED INCOME
		240,133.11	242,236.31	2	642.90
MUNICIPAL OBLIGATIONS					
215,000	CUSIP # 011536EJ4 ALAMOSA & CONEJOS CNTY CO SCH DIST #RE11J TXBL-CABS-REF-SER B G/O UNLTD NON-CALLABLE ZERO CPN FED TAXABLE OID DTD 03/20/2013 0% 12/01/2014 LAST PRICED: 08/31/2014	212,284.55	212,284.55	1	2,425.20
300,000	CUSIP # 014464RD5 ALEDO TEX INDPT SCH DIST PREREFUNDED-SCH BLDG-SER A G/O UNLTD FED TAX-EXEMPT DTD 04/15/2005 5% 02/15/2024-2015 LAST PRICED: 08/31/2014	306,558.00	326,235.00	2	666.67
150,000	CUSIP # 154686BK4 CENTRAL OHIO SOLID WASTE AUTH SER B G/O LTD FED & ST TAX-EXEMPT DTD 10/21/2004 5% 12/01/2022-2014 LAST PRICED: 08/31/2014	151,776.00	162,078.00	1	1,875.00
100,000	CUSIP # 157411NZ8 CHAFFEY CA JT UNION HIGH SCH DIST TXBL-REF G/O UNLTD NON-CALLABLE FED TAXABLE/ST TAX-EXEMPT DTD 03/19/2014 .629% 08/01/2015 LAST PRICED: 08/31/2014	100,029.00	100,000.00	1	52.42
130,000	CUSIP # 238253YE2 DAUPHIN CNTY PA TXBL G/O UNLTD NON-CALLABLE FED TAXABLE/ST TAX-EXEMPT DTD 04/17/2013 1.194% 03/01/2016 LAST PRICED: 08/31/2014	129,500.80	130,000.00	1	776.10
220,000	CUSIP # 286677D5 ELIZABETH N J G/O UNLTD NON-CALLABLE FED TAXABLE/ST TAX-EXEMPT DTD 05/17/2013 1.42% 03/01/2015 LAST PRICED: 08/31/2014	220,635.80	220,000.00	1	1,562.00



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Asset Detail As Of 08/31/2014

UNITS/BOOK VALUE	DESCRIPTION	MARKET VALUE	COST	% OF PORT	ACCRUED INCOME
125,000	CUSIP # 29137RAT2 EMMAUS PA GEN AUTH SCH LEASE REVENUE TXBL-CAREER INSTITUTE TECHNOLOGY-SER B REV BDS NON-CALLABLE DTD 05/29/2014 1.356% 11/15/2016 LAST PRICED: 08/31/2014	124,718.75	125,000.00	1	433.17
100,000	CUSIP # 365418BR2 GARDEN ST PRESERVATION TR N J OPEN SPACE & FARMLAND PRES 2005 A REV BDS FED & ST TAX-EXEMPT DTD 12/01/2005 5.8% 11/01/2018-2015 LAST PRICED: 08/31/2014	106,512.00	113,920.00	1	1,933.33
100,000	CUSIP # 373541T68 GEORGIA MUN ELEC AUTH PWR REV TXBL-SER D REV BDS FED TAXABLE/ST TAX-EXEMPT DTD 12/21/2011 3.052% 01/01/2016 LAST PRICED: 08/31/2014	102,827.00	104,319.00	1	508.67
125,000	CUSIP # 43232FJ36 HILLSBOROUGH CNTY FL CAPITAL IMPT PROGRAM REF-CRIMINAL JUSTICE FACS REV BDS NON-CALLABLE FED TAX-EXEMPT DTD 06/30/2003 5% 08/01/2016 LAST PRICED: 08/31/2014	136,095.00	143,435.00	1	520.83
100,000	CUSIP # 452252DB2 ILLINOIS ST TOLL HWY AUTH SR PRIORITY-SER A-1 REV BDS FED TAX-EXEMPT/ST TAXABLE DTD 06/07/2006 5% 01/01/2026-2016 LAST PRICED: 08/31/2014	108,490.00	114,558.00	1	833.33
255,000	CUSIP # 483686BF7 KANAWHA PUTNAM CNTY HUNTINGTON CHARLESTON W VA SF MTGE COMPOUND INT-1984 SER A REV BDS NON-CALLABLE FED & ST TAX-EXEMPT ZERO CPN OID DTD 09/19/1984 0% 12/01/2016 LAST PRICED: 08/31/2014	252,217.95	247,262.90	2	0.00



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Asset Detail As Of 08/31/2014

UNITS/BOOK VALUE	DESCRIPTION	MARKET VALUE	COST	% OF PORT	ACCRUED INCOME
145,000	CUSIP # 501445KD1 KUTZTOWN PA TXBL-SER A G/O UNLTD NON-CALLABLE FED TAXABLE/ST TAX-EXEMPT DTD 04/02/2013 1.488% 11/15/2015 LAST PRICED: 08/31/2014	146,133.90	145,000.00	1	635.29
735,000	CUSIP # 507408KV3 LAKE & MCHENRY CNTYS IL CMNTY UNIT SCH DIST #118 UNREFUNDED-SER A G/O UNLTD FED TAX-EXEMPT/ST TAXABLE DTD 05/01/20 5% 01/01/2019-2015 LAST PRICED: 08/31/2014	746,554.20	796,362.00	5	6,125.00
300,000	CUSIP # 567288QR9 MARICOPA CNTY AZ UNIF SCH DIST #48 SCOTTSDALE PREREFUNDED-SCH IMPT-PJ 2004-B G/O UNLTD FED & ST TAX-EXEMPT OID DTD 10/01/2006 4% 07/01/2026-2016 LAST PRICED: 08/31/2014	320,016.00	333,774.00	2	2,000.00
135,000	CUSIP # 570850JX6 MARLBORO TWP NJ BRD OF EDU TXBL-REF G/O UNLTD NON-CALLABLE FED TAXABLE/ST TAX-EXEMPT DTD 03/26/2013 .788% 07/15/2015 LAST PRICED: 08/31/2014	135,263.25	135,000.00	1	135.93
225,000	CUSIP # 575577K17 MASSACHUSETTS BAY MA TRANSPRTN AUTH REV ASSMT-SER A REV BDS NON-CALLABLE FED & ST TAX-EXEMPT DTD 11/13/2008 5% 07/01/2017 LAST PRICED: 08/31/2014	253,298.25	266,161.50	2	1,875.00
145,000	CUSIP # 575579XJ1 MA ST BAY TRANSPRTN AUTH SALES TAX REVENUE PREREFUNDED-SR-SER C REV BDS NON-CALLABLE FED & ST TAX-EXEMPT DTD 12/22/2004 5.5% 07/01/2017 LAST PRICED: 08/31/2014	165,047.70	174,149.35	1	1,329.17



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Statement Period 08/01/2014 through 08/31/2014
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Asset Detail As Of 08/31/2014

UNITS/BOOK VALUE	DESCRIPTION	MARKET VALUE	COST	% OF PORT	ACCRUED INCOME
350,000	CUSIP # 576000BJ1 MASSACHUSETTS ST SCH BLDG AUTH SALES TAX REV SER A REV BDS FED & ST TAX-EXEMPT DTD 08/17/2005 5% 08/15/2025-2015 LAST PRICED: 08/31/2014	365,991.50	389,116.00	2	777.78
230,000	CUSIP # 576000NS8 MASSACHUSETTS ST SCH BLDG AUTH SALES TAX REVENUE PREREFUNDED 2012-2-SER A REV BDS FED & ST TAX-EXEMPT DTD 08/17/2005 DTD 08/17/2005 5% 08/15/2030-2015 LAST PRICED: 08/31/2014	240,508.70	255,548.40	2	511.11
100,000	CUSIP # 592710EM5 METUCHEN NJ SCH DIST G/O UNLTD FED & ST TAX-EXEMPT DTD 03/15/2005 4.375% 08/15/2022-2015 LAST PRICED: 08/31/2014	104,027.00	109,516.00	1	194.44
130,000	CUSIP # 64469DTZ4 NEW HAMPSHIRE ST HSG FIN AUTH REV TXBL-REF-ACQUISITION-SER A REV BDS NON-CALLABLE FED TAXABLE/ST TAX-EXEMPT DTD 04/03/2013 1.25% 07/01/2016 LAST PRICED: 08/31/2014	129,707.50	130,000.00	1	270.83
105,000	CUSIP # 658256J45 NORTH CAROLINA ST PREREFUNDED-PUB IMP'T-SER A G/O UNLTD FED & ST TAX-EXEMPT DTD 01/01/2005 5% 03/01/2021-2015 LAST PRICED: 08/31/2014	107,470.65	114,308.25	1	2,625.00
525,000	CUSIP # 6591544W5 NORTH EAST TX INDEP SCH DIST PREREFUNDED-REF G/O UNLTD FED TAX-EXEMPT DTD 08/01/2005 5% 02/01/2026-2015 LAST PRICED: 08/31/2014	548,252.25	582,550.50	4	2,187.50



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Asset Detail As Of 08/31/2014

UNITS/BOOK VALUE	DESCRIPTION	MARKET VALUE	COST	% OF PORT	ACCRUED INCOME
125,000	CUSIP # 662858EE5 NORTH TEXAS TOLLWAY AUTH DALLAS N TOLLWAY SYS REV SER A REV BDS FED TAX-EXEMPT OID DTD 03/01/2005 4.5% 01/01/2038-2015 LAST PRICED: 08/31/2014	126,761.25	134,315.00	1	937.50
75,000	CUSIP # 677581DS6 OHIO ST MAJOR NEW STATE INFRASTRUCTURE PROJ REV BUILD AMER BDS-TAXABLE-SER 2-DIRECT REVENUE BONDS FED TAXABLE/ST TAX-EXEMPT DTD 05/25/2010 3.893% 06/15/2017 LAST PRICED: 08/31/2014	80,409.75	80,160.75	1	616.39
125,000	CUSIP # 68608UKM7 OREGON ST TXBL-ALT ENERGY PROJS-SER G G/O UNLTD FED TAXABLE/ST TAX-EXEMPT DTD 03/14/2012 1% 07/01/2015-2015 LAST PRICED: 08/31/2014	125,040.00	125,062.50	1	208.33
190,000	CUSIP # 7048792G9 PEARLAND TEX INDPT SCH DIST PREREFUNDED-REF SCHOOLHOUSE SER A G/O UNLTD FED TAX-EXEMPT DTD 11/15/2005 5% 02/15/2022-2015 LAST PRICED: 08/31/2014	194,153.40	206,809.30	1	422.22
100,000	CUSIP # 720560QF1 PIERCE CNTY WA SCH DIST #400 CLOVER PARK G/O UNLTD FED TAX-EXEMPT DTD 06/01/2006 5% 12/01/2023-2016 LAST PRICED: 08/31/2014	108,103.00	114,211.00	1	1,250.00
250,000	CUSIP # 722195LR3 PINAL CNTY AZ UNIF SCH DIST #43 APACHE JUNCTION SCH IMPT-SER B G/O UNLTD FED & ST TAX-EXEMPT DTD 05/01/2006 5% 07/01/2025-2016 LAST PRICED: 08/31/2014	271,225.00	286,335.00	2	2,083.33



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Asset Detail As Of 08/31/2014

UNITS/BOOK VALUE	DESCRIPTION	MARKET VALUE	COST	% OF PORT	ACCRUED INCOME
125,000	CUSIP # 750514TG6 RADNOR TWP PA TXBL-NTS-SER A G/O UNLTD NON-CALLABLE FED TAXABLE/ST TAX-EXEMPT DTD 06/13/2013 .889% 07/15/2016 LAST PRICED: 08/31/2014	125,196.25	125,000.00	1	141.99
450,000	CUSIP # 759136LN2 REGIONAL TRANSPRTN DIST CO SALES TAX REV FASTRACKS PROJ-SER A REV BDS FED & ST TAX-EXEMPT 10/19/2006 5% 11/01/2036-2016 LAST PRICED: 08/31/2014	495,157.50	521,833.50	3	7,500.00
145,000	CUSIP # 759136PJ7 REGIONAL TRANSPRTN DIST CO SALES TAX PREREFUNDED-FASTRACKS PJ-A REV BDS FED & ST TAX-EXEMPT DTD 10/19/2006 5% 11/01/2031-2016 LAST PRICED: 08/31/2014	159,550.75	168,089.80	1	2,416.67
400,000	CUSIP # 768364GX3 RIVER ROAD TX INDEP SCH DIST G/O UNLTD 5% 08/15/2025-2015 LAST PRICED: 08/31/2014	418,472.00	444,140.00	3	888.89
725,000	CUSIP # 795560CE9 SALT LAKE & SANDY UT MET WTR DIST WTR SER A REV BDS FED & ST TAX-EXEMPT DTD 01/15/2005 5% 07/01/2018-2015 LAST PRICED: 08/31/2014	754,166.75	802,009.50	5	6,041.67
80,000	CUSIP # 88880NAF6 TOBACCO SETTLEMENT FING CORP VA ASSET BKD REV BDS FED & ST TAX-EXEMPT OID DTD 05/16/2005 5.5% 06/01/2026-2015 LAST PRICED: 08/31/2014	83,128.00	83,775.20	1	1,100.00
150,000	CUSIP # 91412G8WG UNIV OF CALIFORNIA CA REVS TXBL-GEN-SER AJ REV BDS FED TAXABLE/ST TAX-EXEMPT DTD 10/02/2013 .528% 05/15/2015 LAST PRICED: 08/31/2014	150,196.50	150,000.00	1	233.20



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Statement Period 08/01/2014 through 08/31/2014
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Asset Detail As Of 08/31/2014

UNITS/BOOK VALUE	DESCRIPTION	MARKET VALUE	COST	% OF PORT	ACCRUED INCOME
100,000	CUSIP # 97705LEQ6 WISCONSIN ST SER E G/O UNLTD FED TAX-EXEMPT/ST TAXABLE DTD 10/21/2004 5% 05/01/2019-2015 LAST PRICED: 08/31/2014	103,183.00	109,804.00	1	1,666.67
465,000	CUSIP # 977123TX6 WISCONSIN ST DTD 03/10/2005 5% 07/01/2025-2015 LAST PRICED: 08/31/2014	483,507.00	513,650.35	3	3,875.00
		8,892,165.90	9,295,774.35	59	59,635.63
CORPORATE BONDS					
113,000	CUSIP # 36962GLF9 GENERAL ELEC CAP CORP DTD 09/10/1997 6.9% 09/15/2015 LAST PRICED: 08/31/2014	120,216.18	129,451.67	1	3,595.28
250,000	CUSIP # 459200GX3 INTL BUSINESS MACHINES CORP DTD 07/22/2011 1.95% 07/22/2016 LAST PRICED: 08/31/2014	255,972.50	259,420.00	2	528.13
176,000	CUSIP # 98385XAG1 XTO ENERGY INC DTD 04/13/2005 5.3% 06/30/2015 LAST PRICED: 08/31/2014	183,316.32	195,305.44	1	1,580.58
		559,505.00	584,177.11	4	5,703.99



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Asset Detail As Of 08/31/2014

UNITS/BOOK VALUE	DESCRIPTION	MARKET VALUE	COST	% OF PORT	ACCRUED INCOME
	TOTAL INVESTMENTS	15,434,663.78			
	CASH	0.00			
	DUE FROM BROKER	0.00			
	DUE TO BROKER	387,818.64			
	NET ASSETS	15,046,845.14			
	ACCRUED INCOME	75,444.58			
	TOTAL MARKET VALUE	15,122,289.72			



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Asset Detail As Of 08/31/2014

UNITS/BOOK VALUE	DESCRIPTION	MARKET VALUE	COST	% OF PORT	ACCRUED INCOME
SHORT TERM INVESTMENTS					
141,463.19	CUSIP # 60934N708 FEDERATED PRIME OBLIGATIONS FUND -SS 396-A	141,463.19	141,463.19	1	0.83
		141,463.19	141,463.19	1	0.83
US GOVT. OBLIGATIONS					
4,700,000	CUSIP # 912828J0 U S TREASURY NOTE DTD 02/29/2012 875% 02/28/2017 LAST PRICED: 08/31/2014	4,715,040.00	4,702,161.26	36	20,676.10
1,170,000	CUSIP # 912828TP5 U S TREASURY NOTE DTD 09/15/2012 25% 09/15/2015 LAST PRICED: 08/31/2014	1,171,509.30	1,171,420.72	9	1,351.22
330,000	CUSIP # 912828TW0 U S TREASURY NOTE DTD 10/31/2012 75% 10/31/2017 LAST PRICED: 08/31/2014	326,828.70	326,365.95	2	838.52
		6,213,378.00	6,199,947.93	47	22,865.84
MORTGAGE BACKED SECURITIES					
1,005,738.87	CUSIP # 31294UA29 FED HOME LOAN MTG CORP POOL E09025 DTD 02/01/2013 2.5% 03/01/2028 LAST PRICED: 08/31/2014	1,019,859.44	1,039,682.56	8	2,095.29
661,561.98	CUSIP # 31294UA00 FED HOME LOAN MTG CORP POOL E09018 DTD 12/01/2012 2.5% 01/01/2028 LAST PRICED: 08/31/2014	670,850.31	685,130.13	5	1,378.25



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 Account Number 1044005627

Asset Detail As Of 08/31/2014

UNITS/BOOK VALUE	DESCRIPTION	MARKET VALUE	COST	% OF PORT	ACCRUED INCOME
730,877.83	CUSIP # 3138A4Y58 FED NATL MTG ASSN POOL AH3431 DTD 01/01/2011 3.5% 01/01/2026 LAST PRICED: 08/31/2014	773,232.20	775,529.91	6	2,131.73
		2,463,941.95	2,500,342.60	19	5,605.27
CORPORATE BONDS					
300,000	CUSIP # 046353AB4 ASTRAZENECA PLC DTD 09/12/2007 5.9% 09/15/2017 LAST PRICED: 08/31/2014	339,633.00	361,191.00	3	8,161.67
300,000	CUSIP # 06406HBZ1 BANK OF NEW YORK MELLON DTD 11/23/2011 1.7% 11/24/2014-2014 LAST PRICED: 08/31/2014	300,570.00	306,465.00	2	1,374.17
300,000	CUSIP # 084664BS9 BERKSHIRE HATHAWAY FIN DTD 05/15/2012 1.6% 05/15/2017 LAST PRICED: 08/31/2014	303,945.00	305,823.00	2	1,413.33
170,000	CUSIP # 17275RAC6 CISCO SYSTEMS INC DTD 02/22/2006 5.5% 02/22/2016 LAST PRICED: 08/31/2014	182,376.00	187,581.40	1	233.75
250,000	CUSIP # 191216AK6 COCA-COLA CO/THE DTD 11/01/2007 5.35% 11/15/2017 LAST PRICED: 08/31/2014	281,780.00	297,177.50	2	3,938.19
300,000	CUSIP # 36962G3H5 GENERAL ELFC CAP CORP SERIES MTN DTD 09/24/2007 5.625% 09/15/2017 LAST PRICED: 08/31/2014	337,110.00	353,877.00	3	7,781.25
300,000	CUSIP # 459200GJ4 INTL BUSINESS MACHINES CORP DTD 09/14/2007 5.7% 09/14/2017 LAST PRICED: 08/31/2014	339,411.00	360,084.00	3	7,932.50



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Asset Detail As Of 08/31/2014

UNITS/BOOK VALUE	DESCRIPTION	MARKET VALUE	COST	% OF PORT	ACCRUED INCOME
298,000	CUSIP # 656531AF7 NORSK HYDRO A/S DTD 01/15/1998 6.7% 01/15/2018 LAST PRICED: 08/31/2014	348,284.52	358,172.16	3	2,551.21
300,000	CUSIP # 822582AC6 SHELL INTERNATIONAL FIN DTD 03/22/2007 5.2% 03/22/2017 LAST PRICED: 08/31/2014	331,575.00	349,608.00	3	6,890.00
100,000	CUSIP # 842434CD2 SOUTHERN CALIF GAS CO SERIES HH DTD 10/17/2003 5.45% 04/15/2018 LAST PRICED: 08/31/2014	113,114.00	120,534.00	1	2,058.89
300,000	CUSIP # 89233P6S0 TOYOTA MOTOR CREDIT CORP DTD 10/05/2012 1.25% 10/05/2017 LAST PRICED: 08/31/2014	299,376.00	300,426.00	2	1,520.83
300,000	CUSIP # 911312AH9 UNITED PARCEL SERVICE INC DTD 01/15/2008 5.5% 01/15/2018 LAST PRICED: 08/31/2014	339,183.00	345,582.00	3	2,108.33
300,000	CUSIP # 931142CJ0 WAL-MART STORES INC DTD 08/24/2007 5.8% 02/15/2018 LAST PRICED: 08/31/2014	343,143.00	366,111.00	3	773.33
300,000	CUSIP # 98385XAL0 XTO ENERGY INC DTD 07/19/2007 6.25% 08/01/2017 LAST PRICED: 08/31/2014	343,563.00	369,015.00	3	1,562.50
		4,203,063.52	4,381,647.06	32	48,299.95



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Asset Detail As Of 08/31/2014

UNITS/BOOK VALUE	DESCRIPTION	MARKET VALUE	COST	% OF PORT	ACCRUED INCOME
	TOTAL INVESTMENTS	13,021,846.66			
	CASH	0.00			
	DUE FROM BROKER	0.00			
	DUE TO BROKER	0.00			
	NET ASSETS	13,021,846.66			
	ACCRUED INCOME	76,771.89			
	TOTAL MARKET VALUE	13,098,618.55			



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UNITS/BOOK VALUE	DESCRIPTION	MARKET VALUE	COST	% OF PORT	ACCRUED INCOME
SHORT TERM INVESTMENTS					
78,892.4	CUSIP # 60934N708 FEDERATED PRIME OBLIGATIONS FUND -SS 396-A	78,892.40	78,892.40	1	0.38
		78,892.40	78,892.40	1	0.38
US GOVT. OBLIGATIONS					
115,000	CUSIP # 3130A1NN4 FED HOME LOAN BANK DTD 04/11/2014 .875% 05/24/2017 LAST PRICED: 08/31/2014	114,789.55	114,778.05	2	271.13
250,000	CUSIP # 3134G4HK7 FED HOME LOAN MTG CORP DTD 10/24/2013 .5% 10/24/2016-2014 LAST PRICED: 08/31/2014	250,092.50	250,000.00	3	440.97
250,000	CUSIP # 3135G0LN1 FED NATL MTG ASSN DTD 05/21/2012 .5% 07/02/2015 LAST PRICED: 08/31/2014	250,672.50	250,810.00	3	204.86
250,000	CUSIP # 3135G0NV1 FED NATL MTG ASSN DTD 08/17/2012 .5% 09/28/2015 LAST PRICED: 08/31/2014	250,832.50	250,663.00	3	531.25
250,000	CUSIP # 3135G0VA8 FED NATL MTG ASSN DTD 02/15/2013 .5% 03/30/2016 LAST PRICED: 08/31/2014	250,345.00	250,470.75	3	524.31
250,000	CUSIP # 3137EADA4 FED HOME LOAN MTG CORP FR DTD 12/16/11 .625% 12/29/2014 LAST PRICED: 08/31/2014	250,425.00	251,607.50	3	269.10
100,000	CUSIP # 3137EADQ9 FED HOME LOAN MTG CORP DTD 03/07/2013 .5% 05/13/2016 LAST PRICED: 08/31/2014	100,111.00	99,958.80	1	150.00



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Asset Detail As Of 08/31/2014

UNITS/BOOK VALUE	DESCRIPTION	MARKET VALUE	COST	% OF PORT	ACCRUED INCOME
390,000	CUSIP # 912828A67 U S TREASURY NOTE DTD 12/31/2013 .25% 12/31/2015 LAST PRICED: 08/31/2014	390,152.10	389,053.33	5	167.83
600,000	CUSIP # 912828B82 U S TREASURY NOTE DTD 02/28/2014 .25% 02/29/2016 LAST PRICED: 08/31/2014	599,580.00	598,630.59	8	754.14
450,000	CUSIP # 912828C81 U S TREASURY NOTE DTD 04/30/2014 .375% 04/30/2016 LAST PRICED: 08/31/2014	450,054.00	449,424.46	6	571.72
65,000	CUSIP # 912828SE1 U S TREASURY NOTE ISSUE DATE 02/01/2012 .25% 02/15/2015 LAST PRICED: 08/31/2014	65,053.30	64,997.68	1	7.51
190,000	CUSIP # 912828SJ0 U S TREASURY NOTE DTD 02/29/2012 .875% 02/28/2017 LAST PRICED: 08/31/2014	190,608.00	190,290.09	3	835.84
235,000	CUSIP # 912828SK7 U S TREASURY NOTE DTD 03/15/2012 .375% 03/15/2015 LAST PRICED: 08/31/2014	235,385.40	235,473.94	3	407.10
365,000	CUSIP # 912828TT7 U S TREASURY NOTE DTD 10/15/2012 .25% 10/15/2015 LAST PRICED: 08/31/2014	365,343.10	363,817.44	5	346.55
425,000	CUSIP # 912828TX8 U S TREASURY NOTE DTD 11/15/2012 .375% 11/15/2015 LAST PRICED: 08/31/2014	425,930.75	424,401.82	6	472.06
50,000	CUSIP # 912828UC2 U S TREASURY NOTE DTD 12/15/2012 .25% 12/15/2015 LAST PRICED: 08/31/2014	50,017.50	49,652.51	1	26.64



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Asset Detail As Of 08/31/2014

UNITS/BOOK VALUE	DESCRIPTION	MARKET VALUE	COST	% OF PORT	ACCRUED INCOME
355,000	CUSIP # 912828UM0 U S TREASURY NOTE DTD 02/15/2013 .375% 02/15/2016 LAST PRICED: 08/31/2014	355,415.35	354,143.38	5	61.50
250,000	CUSIP # 912828VG2 U S TREASURY NOTE DTD 06/15/2013 .5% 06/15/2016 LAST PRICED: 08/31/2014	250,332.50	250,067.25	3	266.39
300,000	CUSIP # 912828VR8 U S TREASURY NOTE DTD 08/15/2013 .625% 08/15/2016 LAST PRICED: 08/31/2014	300,738.00	300,329.13	4	86.62
265,000	CUSIP # 912828WF3 U S TREASURY NOTE DTD 11/15/2013 .625% 11/15/2016 LAST PRICED: 08/31/2014	265,082.15	264,731.75	4	490.57
225,000	CUSIP # 912828WX4 U S TREASURY NOTE DTD 07/31/2014 .5% 07/31/2016 LAST PRICED: 08/31/2014	225,123.75	224,835.50	3	97.83
		5,636,083.95	5,628,136.97	75	6,983.92
MORTGAGE BACKED SECURITIES					
89,523.58	CUSIP # 3136ADZT9 FED NATL MTG ASSN SERIES 2013-M5 CLASS ASQ2 DTD 04/01/2013 .59482% 08/25/2015 LAST PRICED: 08/31/2014	89,680.16	89,523.58	1	42.90
		89,680.16	89,523.58	1	42.90
COLLATERALIZED MORTGAGE OBLIGATIONS					
140,801.64	CUSIP # 3136AQW0 FED NATL MTG ASSN ASERIES 2011-79 CLASS GC DTD 07/01/2011 2% 12/25/2022 LAST PRICED: 08/31/2014	142,410.72	143,895.08	2	226.85



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Asset Detail As Of 08/31/2014

UNITS/BOOK VALUE	DESCRIPTION	MARKET VALUE	COST	% OF PORT	ACCRUED INCOME
120,628.49	CUSIP # 3136A3UG4 FED NATL MTG ASSN SERIES 2012-1 CLASS AE DTD 01/01/2012 1.75% 12/25/2021 LAST PRICED: 08/31/2014	122,381.70	123,041.06	2	170.05
43,254.44	CUSIP # 3136A9YB8 FED NATL MTG ASSN SERIES 2012-123 CLASS WM DTD 10/01/2012 2.5% 02/25/2022 LAST PRICED: 08/31/2014	44,238.78	44,590.93	1	87.11
41,965.74	CUSIP # 3137A7Z52 FEDERAL HOME LOAN MTG CORP SERIES 3825 CLASS AB DTD 03/01/2011 3% 08/15/2020 LAST PRICED: 08/31/2014	43,346.04	43,408.31	1	101.42
89,473.23	CUSIP # 3137A9E28 FED HOME LOAN MTG CORP SERIES 3840 CLASS BA DTD 04/01/2011 2% 02/15/2018 LAST PRICED: 08/31/2014	90,841.28	91,178.83	1	144.15
18,506.86	CUSIP # 3137ABGF3 FED HOME LOAN MTG CORP SERIES 3852 CLASS EA DTD 05/01/2011 4.5% 12/15/2021 LAST PRICED: 08/31/2014	19,580.33	19,698.23	0	67.09
29,763.8564	CUSIP # 3137ABC33 FED HOME LOAN MTG CORP SERIES 3864 CLASS CA DTD 05/01/2011 2% 08/15/2018 LAST PRICED: 08/31/2014	30,269.90	30,387.04	0	47.95
58,415.424	CUSIP # 3137AJAV6 FED HOME LOAN MTG CORP SERIES 3955 CLASS YA DTD 11/01/2011 1.75% 03/15/2021 LAST PRICED: 08/31/2014	59,300.83	59,261.99	1	82.35
52,110.52	CUSIP # 31393JQU5 FED HOME LOAN MTG CORP SERIES 2573 CLASS HC DTD 02/01/2003 4.5% 02/15/2018 LAST PRICED: 08/31/2014	54,408.96	54,520.62	1	188.90



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Asset Detail As Of 08/31/2014

UNITS/BOOK VALUE	DESCRIPTION	MARKET VALUE	COST	% OF PORT	ACCRUED INCOME
114,318.5922	CUSIP # 31393NK24 FED HOME LOAN MTG CORP SERIES 2590 CLASS NV DTD 03/01/2003 5% 03/15/2018 LAST PRICED: 08/31/2014	120,332.78	121,406.76	2	460.45
80,277.71375	CUSIP # 31393QQP0 FED HOME LOAN MTG CORP SERIES 2622 CLASS PE DTD 05/01/2003 4.5% 05/15/2018 LAST PRICED: 08/31/2014	84,140.84	84,591.84	1	291.01
31,141.4	CUSIP # 31393ULR2 FED NATL MTG ASSN SERIES 2003-122 CLASS OL DTD 11/01/2003 4% 12/25/2018 LAST PRICED: 08/31/2014	32,620.37	32,464.91	0	100.34
22,223.55	CUSIP # 31394BBQ6 FED NATL MTG ASSN SERIES 2004-81 CLASS AC DTD 10/01/2004 4% 11/25/2019 LAST PRICED: 08/31/2014	23,220.28	23,112.48	0	71.61
60,604.03	CUSIP # 31394LUH3 FED HOME LOAN MTG CORP SERIES 2707 CLASS QE DTD 11/01/2003 4.5% 11/15/2018 LAST PRICED: 08/31/2014	64,156.15	64,846.31	1	219.69
64,968.47	CUSIP # 31394RLZ0 FED HOME LOAN MTG CORP SERIES 2752 CLASS JB DTD 02/01/2004 4.5% 02/15/2019 LAST PRICED: 08/31/2014	68,521.66	69,678.68	1	235.51
27,439.23	CUSIP # 31394XTB2 FED HOME LOAN MTG CORP SERIES 2780 CLASS YC DTD 04/01/2004 5% 04/15/2019 LAST PRICED: 08/31/2014	28,803.51	29,016.99	0	110.52
74,409.55	CUSIP # 31395FHB3 FED HOME LOAN MTG CORP SERIES 2854 CLASS AK DTD 09/01/2004 4% 09/15/2019 LAST PRICED: 08/31/2014	78,181.15	78,502.08	1	239.76



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Asset Detail As Of 08/31/2014

UNITS/BOOK VALUE	DESCRIPTION	MARKET VALUE	COST	% OF PORT	ACCRUED INCOME
42,562.31	CUSIP # 31396WL95 FED NATL MTG ASSN SERIES 2007-68 CLASS WB DTD 06/01/2007 4.5% 10/25/2020 LAST PRICED: 08/31/2014	44,801.51	44,903.24	1	154.29
37,282.48	CUSIP # 31396YXB3 FED NATL MTG ASSN SERIES 2008-18 CLASS HD DTD 02/01/2008 4% 12/25/2018 LAST PRICED: 08/31/2014	38,777.92	39,146.61	1	120.13
90,609.31	CUSIP # 31397FS37 FED HOME LOAN MTG CORP SERIES 3294 CLASS DB DTD 03/01/2007 4.5% 03/15/2022 LAST PRICED: 08/31/2014	96,045.14	97,036.91	1	328.46
55,582.63	CUSIP # 31397SAY0 FED NATL MTG ASSN SERIES 2011-23 CLASS AB DTD 02/01/2011 2.75% 06/25/2020 LAST PRICED: 08/31/2014	57,190.30	57,310.90	1	123.13
41,769.71	CUSIP # 31397UDX4 FED NATL MTG ASSN SERIES 2011-47 CLASS MA DTD 05/01/2011 2.5% 01/25/2022 LAST PRICED: 08/31/2014	42,763.79	42,918.38	1	84.12
67,388.63	CUSIP # 31398M5V4 FED NATL MTG ASSN SERIES 2010-30 CLASS DB DTD 03/01/2010 2% 08/25/2018 LAST PRICED: 08/31/2014	68,494.34	68,230.99	1	108.57
31,487.5	CUSIP # 31398MS56 FED NATL MTG ASSN SERIES 2010-29 CLASS KB DTD 03/01/2010 2.25% 12/25/2021 LAST PRICED: 08/31/2014	32,172.48	32,146.77	0	57.07
102,223.1	CUSIP # 31398QUB1 FED HOME LOAN MTG CORP SERIES 3747 CLASS NA DTD 10/01/2010 1.3% 10/15/2018 LAST PRICED: 08/31/2014	102,867.21	103,341.16	1	107.05



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UNITS/BOOK VALUE	DESCRIPTION	MARKET VALUE	COST	% OF PORT	ACCRUED INCOME
37,056.94	CUSIP # 31398SJH7 FED NATL MTG ASSN SERIES 2010-128 CLASS LA DTD 10/01/2010 2% 11/25/2020 LAST PRICED: 08/31/2014	37,772.62	37,728.60	1	59.70
15,933.16	CUSIP # 31398VHD1 FED HOME LOAN MTG CORP SERIES 3640 CLASS JA DTD 03/01/2010 1.5% 03/15/2015 LAST PRICED: 08/31/2014	15,938.10	16,027.76	0	19.25
69,605.31	CUSIP # 31398VU87 FED HOME LOAN MTG CORP SERIES 3659 CLASS DB DTD 04/01/2010 1.5% 03/15/2019 LAST PRICED: 08/31/2014	70,261.62	70,562.38	1	84.11
		1,713,840.31	1,722,955.84	23	4,090.64
	TOTAL INVESTMENTS	7,518,496.82			
	CASH	0.00			
	DUE FROM BROKER	0.00			
	DUE TO BROKER	0.00			
	NET ASSETS	7,518,496.82			
	ACCRUED INCOME	11,117.84			
	TOTAL MARKET VALUE	7,529,614.66			

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OPERATING & NON OPERATING QUARTERLY INVESTMENT REPORT
PERIODS ENDING 08/31/2014 (TRADE DATE FULL ACCRUAL BASIS)

Agency/Description	Cusip Number	Purchase Date	Maturity Date	Beginning Market Value		Ending Market Value		Book Balance		Quarter Ending 08/31/14	
				As of 05/31/14	As of 08/31/14	As of 08/31/14	As of 08/31/14	Investment Income	Return		
Operating Funds											
Cash in Bank - WTNB				\$ 3,374,165.81	\$ 4,132,346.12	\$ 4,132,346.12	\$ 4,132,346.12	\$ 5,216.11	0.14%		
Cash in Treasury				\$ 381,355.88	\$ 141,579.34	\$ 141,579.34	\$ 141,579.34	\$ 209.09	0.09%		
TexPool Series 0001	General Fund			\$ 13,106,448.97	\$ 15,053,018.25	\$ 15,053,018.25	\$ 15,053,018.25	\$ 1,038.04	0.01%		
MBS FNMA (CMO)	31358TRG	11/29/1993	2/25/2023	\$ 1,634.47	\$ 1,565.78	\$ 1,321.09	\$ 1,321.09	\$ 10.60	1.19%		
WTNB - Payroll				\$ 26,697.97	\$ 25,139.64	\$ 25,139.64	\$ 25,139.64	\$ 44.84	0.17%		
WTNB Clearing				\$ 244.44	\$ 244.80	\$ 244.80	\$ 244.80	\$ 0.36	0.15%		
IBC Bank				\$ 7,978.89	\$ 31,376.40	\$ 31,376.40	\$ 31,376.40	\$ 1.58	0.05%		
Clearing Fund- US Bank				\$ 542.48	\$ 4,413.23	\$ 4,413.23	\$ 4,413.23	\$ 2.18	0.04%		
Clearing Fund- FSB Bank				\$ 3,968.23	\$ 29,017.62	\$ 29,017.62	\$ 29,017.62	\$ -	0.00%		
Clearing Fund- TB&T Bank				\$ 2,858.23	\$ 19,157.03	\$ 19,157.03	\$ 19,157.03	\$ -	-4.46%		
Totals				\$ 16,905,895.37	\$ 19,437,858.21	\$ 19,437,613.52	\$ 19,437,613.52	\$ 6,522.80	0.03%	6 Month T-Bill	0.03%

This report is prepared in conformity with (a) Texas State University System Investment Policy, (b) State Statutes and Guidelines including the Public Funds Investment Act and (c) Sul Ross State University Investment Policy. All investments are in compliance with the investment strategy included therein.

Cesario Valenzuela
 Name of Signee
 Title VPFO-Investment Officer

10/1/14

Cesario Valenzuela



Sul Ross State University
ENDOWMENT QUARTERLY INVESTMENT REPORT
PERIODS ENDING 08/31/2014 (TRADE DATE FULL ACCRUAL BASIS)

Allocation Current	Mutual Fund / Manager	Market Value	Quarter Return	FYTD Return
100.0 %	Total Plan Composite	\$ 18,335,490	4.57%	23.51%
	<i>Total Blended Index</i>		2.35%	15.82%
47.5 %	Domestic Equity	\$ 8,706,437	3.78%	21.66%
	<i>Russell 3000</i>		4.71%	24.74%
8.7	Parnassus Equity Income - PRILX	1,589,443	2.97%	25.10%
8.6	Vanguard Instltl Index - VINIX	1,576,795	4.68%	25.20%
8.8	Laudus Growth Investors -LGILX	1,621,689	4.88%	22.63%
10.5	Penn Capital Management	1,929,401	3.94%	14.17%
10.8	Quantum Capital Management	1,989,109	2.37%	22.00%
11.3 %	International Equity	\$ 2,066,772	1.52%	16.02%
	<i>MSCI EAFE</i>		-1.18%	16.86%
6.9	Hancock Horizon - HHDTX	1,273,349	2.97%	17.28%
4.3	Franklin Intl SCG - FKSCX	793,423	-0.79%	13.87%
11.3 %	Real Estate	\$ 2,068,523	3.09%	18.47%
	<i>FTSE NAREIT</i>		4.40%	24.47%
11.3	REMS RE 50/50 Income - RREIX	2,068,523	3.09%	18.47%
23.8 %	Total Fixed Income	\$ 4,359,680	6.56%	27.06%
	<i>Blended Fixed Index</i>		5.21%	21.57%
2.7 %	Corporates	\$ 498,850	0.42%	4.18%
	<i>BC Credit</i>		1.48%	9.06%
2.7	FNMA 7/30/2019	498,850	0.42%	4.18%
0.1 %	Municipal Bonds	\$ 10,026	2.55%	5.24%
	<i>BC 3 Yr Muni</i>		0.43%	2.34%
0.1	Tampa FI, Alleghany Health	10,026	2.55%	5.24%
21.0 %	Hybrid Securities	\$ 3,850,803	9.44%	42.11%
	<i>ML All Invest ex Man VOA1</i>		5.71%	23.25%
21.0	Tortoise MLP	3,850,803	9.44%	42.11%
6.2 %	Other	\$ 1,134,079	0.01%	0.21%
4.7	TexPool Series 0001	862,776	0.01%	0.03%
1.4	CD- Sallie Mae	248,000	0.00%	0.85%
0.1	Cash in Bank- Trustmark	23,303	0.00%	0.00%

Blended Indexes are based on the current weights of each asset class and their respective benchmarks.

*Currently the policy benchmark is a blend of 27% S&P 500, 24% Russell 2500, 9% MSCI EAFE, 5% S&P Developed Ex-US SC, 4.5% BC Gov/Credit, and 30.5% BC Aggregate.

Sul Ross State University
ENDOWMENT QUARTERLY INVESTMENT REPORT
PERIOD ENDING 08/31/2014 (TRADE DATE FULL ACCRUAL BASIS)

Mutual Fund / Manager	Beginning Market Value	Deposits	Withdrawals	Income	Fees	Gains / Losses	Ending Market Value
Total Plan Composite	\$18,130,125	\$38,078	-\$570,387	\$76,931	-\$18,376	\$679,119	\$18,335,490
Domestic Equity	\$8,399,503	\$4,644	-\$8,576	\$16,602	-\$9,210	\$303,474	\$8,706,437
Parnassus Equity Income - PRILX	\$1,543,634			\$4,489	-\$100	\$41,420	\$1,589,443
Vanguard Institl Index - VINIX	\$1,506,324			\$6,833	-\$100	\$63,738	\$1,576,795
Laudus Growth Investors -LGILX	\$1,546,223				-\$100	\$75,567	\$1,621,689
Penn Capital Management	\$1,856,401	\$4,644	-\$4,727	\$2,724	-\$4,894	\$75,253	\$1,929,401
Quantum Capital Management	\$1,946,922		-\$3,850	\$2,557	-\$4,016	\$47,496	\$1,989,109
International Equity	\$2,036,339	\$0	\$0	\$0	-\$200	\$30,633	\$2,066,772
Hancock Horizon - HHDTX	\$1,236,572				-\$100	\$36,878	\$1,273,349
Franklin Intl SCG - FKSCX	\$799,767				-\$100	-\$6,244	\$793,423
Real Estate	\$2,006,566	\$1,135	-\$1,135	\$24,158	-\$250	\$38,048	\$2,068,523
REMS RE 50/50 Income - RREIX	\$2,006,566	\$1,135	-\$1,135	\$24,158	-\$250	\$38,048	\$2,068,523
Total Fixed Income	\$4,028,199	\$8,443	-\$11,295	\$36,092	-\$8,716	\$306,956	\$4,359,680
Corporates	\$499,280	\$0	-\$2,500	\$2,500	\$0	-\$430	\$498,850
FNMA 7/30/2019	\$499,280		-\$2,500	\$2,500		-\$430	\$498,850
Municipal Bonds	\$10,027	\$0	-\$256	\$256	\$0	-\$1	\$10,026
Tampa FI, Alleghany Health	\$10,027		-\$256	\$256		-\$1	\$10,026
Hybrid Securities	\$3,518,892	\$8,443	-\$8,539	\$33,336	-\$8,716	\$307,387	\$3,850,803
Tortoise MLP	\$3,518,892	\$8,443	-\$8,539	\$33,336	-\$8,716	\$307,387	\$3,850,803
Other	\$1,659,517	\$23,856	-\$549,382	\$79	\$0	\$8	\$1,134,079
TexPool Series 0001	\$1,387,464	\$22,969	-\$547,744	\$79		\$8	\$862,776
CD- Sallie Mae	\$248,000						\$248,000
Cash in Bank- Trustmark	\$24,053	\$887	-\$1,637			\$0	\$23,303

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08/01/2014 through 08/31/2014
1044005716

Asset Detail As Of 08/31/2014

UNITS/BOOK VALUE	DESCRIPTION	MARKET VALUE	COST	% OF PORT	ACCRUED INCOME
SHORT TERM INVESTMENTS					
22,751.46	CUSIP # 60934N708 FEDERATED PRIME OBLIGATIONS FUND -SS 396-A	22,751.46	22,751.46	1	0.19
		22,751.46	22,751.46	1	0.19
MUTUAL FUNDS EQUITY					
145,536.134	CUSIP # 98147A428 WORLDS FUNDS TRUST REMS REAL ESTATE INCOME 50/50 FUND - INS LAST PRICED: 08/31/2014	2,063,702.38	2,030,512.01	99	0.00
		2,063,702.38	2,030,512.01	99	0.00
TOTAL INVESTMENTS		2,086,453.84			
CASH		0.00			
DUE FROM BROKER		0.00			
DUE TO BROKER		0.00			
NET ASSETS		2,086,453.84			
ACCRUED INCOME		0.19			
TOTAL MARKET VALUE		2,086,454.03			



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Asset Detail As Of 08/31/2014

UNITS/BOOK VALUE	DESCRIPTION	MARKET VALUE	COST	% OF PORT	ACCRUED INCOME
SHORT TERM INVESTMENTS					
551.39	CUSIP # 60934N708 FEDERATED PRIME OBLIGATIONS FUND - SS 396-A	551.39	551.39	0	0.01
		551.39	551.39	0	0.01
MUTUAL FUNDS EQUITY					
35,247.57	CUSIP # 353533888 FRANKLIN INTERNATIONAL SMALL CAP GROWTH FUND - ADV LAST PRICED: 08/31/2014	793,422.80	637,788.27	12	0.00
53,300.508	CUSIP # 41012R787 HANCOCK HORIZON DIVERSIFIED INTERNATIONAL FUND - IN LAST PRICED: 08/31/2014	1,273,349.14	1,118,270.11	19	0.00
83,851.554	CUSIP # 51855Q549 LAUDUS GROWTH INVESTORS U.S. LARGE CAP GROWTH FUND LAST PRICED: 08/31/2014	1,621,689.05	1,351,341.95	24	0.00
39,803.732	CUSIP # 701769408 PARNASSUS CORE EQUITY FUND - INS LAST PRICED: 08/31/2014	1,589,442.88	1,351,558.47	23	0.00
8,557.447	CUSIP # 922040100 VANGUARD INSTITUTIONAL INDEX FD 94 LAST PRICED: 08/31/2014	1,576,795.18	1,286,110.90	23	0.00
		6,854,699.05	5,745,069.70	100	0.00



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Asset Detail As Of 08/31/2014

UNITS/BOOK VALUE	DESCRIPTION	MARKET VALUE	COST	% OF PORT	ACCRUED INCOME
	TOTAL INVESTMENTS	6,855,250.44			
	CASH	0.00			
	DUE FROM BROKER	0.00			
	DUE TO BROKER	0.00			
	NET ASSETS	6,855,250.44			
	ACCRUED INCOME	0.01			
	TOTAL MARKET VALUE	6,855,250.45			



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Asset Detail As Of 08/31/2014

UNITS/BOOK VALUE	DESCRIPTION	MARKET VALUE	COST	% OF PORT	ACCRUED INCOME
SHORT TERM INVESTMENTS					
23,401.58	CUSIP # 60934N708 FEDERATED PRIME OBLIGATIONS FUND - SS 396-A	23,401.58	23,401.58	1	0.24
		23,401.58	23,401.58	1	0.24
COMMON STOCK					
528	CUSIP # 00971T101 AKAMAI TECHNOLOGIES INC COM LAST PRICED: 08/31/2014	31,901.76	25,584.71	2	0.00
451	CUSIP # 035623107 ANN INC COM LAST PRICED: 08/31/2014	18,689.44	15,530.30	1	0.00
690	CUSIP # 05463D100 AXIAL CORP COM LAST PRICED: 08/31/2014	28,690.20	28,206.91	1	0.00
1,782	CUSIP # 103304101 BOYD GAMING CORP COM LAST PRICED: 08/31/2014	18,978.30	19,932.17	1	0.00
1,028	CUSIP # 122017106 BURLINGTON STORES INC COM LAST PRICED: 08/31/2014	36,668.76	28,352.81	2	0.00
698	CUSIP # 12467B304 C&J ENERGY SERVICES INC COM LAST PRICED: 08/31/2014	20,025.62	19,929.03	1	0.00
1,815	CUSIP # 127387108 CADENCE DESIGN COM LAST PRICED: 08/31/2014	31,998.45	24,470.19	2	0.00
377	CUSIP # 142339100 CARLISLE COMPANIES INC COM LAST PRICED: 08/31/2014	31,253.30	26,808.02	2	94.25



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Asset Detail As Of 08/31/2014

UNITS/BOOK VALUE	DESCRIPTION	MARKET VALUE	COST	% OF PORT	ACCRUED INCOME
454	CUSIP # 14964U108 CAVIUM INC COM LAST PRICED: 08/31/2014	25,505.72	17,694.58	1	0.00
362	CUSIP # 15135B101 CENTENE CORP COM LAST PRICED: 08/31/2014	28,283.06	21,144.02	1	0.00
891	CUSIP # 205768203 COMSTOCK RESOURCES INC COM LAST PRICED: 08/31/2014	21,722.58	23,092.66	1	0.00
325	CUSIP # 229678107 CUBIST PHARMACEUTICALS INC COM LAST PRICED: 08/31/2014	22,434.75	20,478.51	1	0.00
666	CUSIP # 242309102 DEALERTRACK TECHNOLOGIES INC COM LAST PRICED: 08/31/2014	29,810.16	29,682.74	2	0.00
425	CUSIP # 261608103 DRESSER-RAND GROUP INC COM LAST PRICED: 08/31/2014	29,452.50	26,594.41	2	0.00
538	CUSIP # 29977A105 EVERCORE PARTNERS INC CL A COM LAST PRICED: 08/31/2014	27,561.74	25,817.63	1	134.50
1,385	CUSIP # 302693106 FXCM INC - A COM LAST PRICED: 08/31/2014	20,068.65	23,342.50	1	0.00
1,046	CUSIP # 31787A507 FINISAR CORPORATION COM LAST PRICED: 08/31/2014	21,244.26	23,569.29	1	0.00
2,080	CUSIP # 320517105 FIRST HORIZON NATIONAL CORP COM LAST PRICED: 08/31/2014	25,292.80	23,320.31	1	0.00
1,351	CUSIP # 337915102 FIRSTMERT CORP COM LAST PRICED: 08/31/2014	23,284.49	28,173.82	1	216.16



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Asset Detail As Of 08/31/2014

UNITS/BOOK VALUE	DESCRIPTION	MARKET VALUE	COST	% OF PORT	ACCRUED INCOME
915	CUSIP # 34959E109 FORTNET INC COM LAST PRICED: 08/31/2014	23,616.15	17,968.04	1	0.00
527	CUSIP # 368736104 GENERAC HOLDINGS INC COM LAST PRICED: 08/31/2014	24,516.04	27,434.16	1	0.00
224	CUSIP # 371559105 GENESEE & WYOMING INC CL A COM LAST PRICED: 08/31/2014	22,025.92	20,571.30	1	0.00
1,199	CUSIP # 382410405 GOODRICH PETROLEUM CORP COM LAST PRICED: 08/31/2014	26,497.90	28,684.11	1	0.00
622	CUSIP # 402635304 GULFPORT ENERGY CORPORATION COM LAST PRICED: 08/31/2014	36,387.00	37,617.52	2	0.00
1,353	CUSIP # 447011107 HUNTSMAN CORPORATION COM LAST PRICED: 08/31/2014	36,382.17	26,539.74	2	0.00
678	CUSIP # 45256B101 IMPAX LABORATORIES INC COM LAST PRICED: 08/31/2014	16,705.92	19,284.64	1	0.00
248	CUSIP # 48020Q107 JONES LANG LASALLE INC COM LAST PRICED: 08/31/2014	33,135.28	20,781.41	2	0.00
247	CUSIP # 497266106 KIRBY CORP COM LAST PRICED: 08/31/2014	29,464.63	20,061.34	2	0.00
1,388	CUSIP # 501014104 KRISPY KREME DOUGHNUTS INC COM LAST PRICED: 08/31/2014	23,609.88	27,353.10	1	0.00
487	CUSIP # 50212V100 LPL FINANCIAL HOLDINGS INC COM LAST PRICED: 08/31/2014	23,712.03	19,430.40	1	0.00



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Asset Detail As Of 08/31/2014

UNITS/BOOK VALUE	DESCRIPTION	MARKET VALUE	COST	% OF PORT	ACCRUED INCOME
313	CUSIP # 521865204 LEAR CORPORATION COM LAST PRICED: 08/31/2014	31,653.69	22,056.54	2	0.00
922	CUSIP # 535919203 LIONS GATE ENTERTAINMENT CORP COM LAST PRICED: 08/31/2014	29,882.02	28,805.27	2	0.00
1,381	CUSIP # 584404107 MEDIA GENERAL INC CL A LAST PRICED: 08/31/2014	21,281.21	27,578.30	1	0.00
419	CUSIP # 58501N101 MEDIVATION INC COM LAST PRICED: 08/31/2014	38,237.94	24,784.72	2	0.00
330	CUSIP # 58502B106 MEDNAZ INC COM LAST PRICED: 08/31/2014	18,892.50	16,313.91	1	0.00
520	CUSIP # 62886E108 NCR CORP COM LAST PRICED: 08/31/2014	17,763.20	18,581.13	1	0.00
895	CUSIP # 62936P103 NPS PHARMACEUTICALS, INC LAST PRICED: 08/31/2014	27,011.10	22,553.57	1	0.00
748	CUSIP # 65336K103 NEXSTAR BROADCASTING GROUP INC CLASS A COM LAST PRICED: 08/31/2014	34,138.72	25,595.20	2	0.00
499	CUSIP # 688239201 OSHKOSH CORP LAST PRICED: 08/31/2014	24,790.32	28,455.79	1	0.00
632	CUSIP # 70959W103 PENSKE AUTO GROUP INC COM LAST PRICED: 08/31/2014	30,317.04	25,806.40	2	126.40
1,454	CUSIP # 720279108 PIER I IMPORTS INC COM LAST PRICED: 08/31/2014	22,915.04	30,729.08	1	0.00



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UNITS/BOOK VALUE	DESCRIPTION	MARKET VALUE	COST	% OF PORT	ACCRUED INCOME
1,196	CUSIP # 723456109 PINNACLE ENTERTAINMENT INC COM LAST PRICED: 08/31/2014	29,888.04	29,274.64	2	0.00
1,438	CUSIP # 742962103 PRIVATEBANCORP INC COM LAST PRICED: 08/31/2014	42,406.62	32,288.17	2	0.00
966	CUSIP # 74762E102 QUANTA SERVICES INC COM LAST PRICED: 08/31/2014	35,104.44	25,217.72	2	0.00
633	CUSIP # 75605Y106 REALOGY HOLDINGS CORP COM LAST PRICED: 08/31/2014	25,807.41	26,620.93	1	0.00
1,205	CUSIP # 762760106 RICE ENERGY INC COM LAST PRICED: 08/31/2014	35,282.40	28,072.77	2	0.00
558	CUSIP # 783764103 RYLAND GROUP INC COM LAST PRICED: 08/31/2014	20,701.80	20,213.30	1	0.00
2,520	CUSIP # 80874P109 SCIENTIFIC GAMES CORP-A COM LAST PRICED: 08/31/2014	25,578.00	33,882.40	1	0.00
573	CUSIP # 829226109 SINCLAIR BROADCAST GROUP INC CL A LAST PRICED: 08/31/2014	16,646.22	14,685.30	1	94.55
592	CUSIP # 831865209 A O SMITH CORP COM LAST PRICED: 08/31/2014	29,055.36	25,345.41	2	0.00
538	CUSIP # 848577102 SPIRIT AIRLINES INC COM LAST PRICED: 08/31/2014	37,869.82	23,853.22	2	0.00
666	CUSIP # 868157108 SUPERIOR ENERGY SVC INC COM LAST PRICED: 08/31/2014	23,869.44	18,421.32	1	0.00



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UNITS/BOOK VALUE	DESCRIPTION	MARKET VALUE	COST	% OF PORT	ACCRUED INCOME
445	CUSIP # 88033G407 TENET HEALTHCARE CORP COM LAST PRICED: 08/31/2014	27,225.10	17,551.87	1	0.00
1,500	CUSIP # 880770102 TERADYNE INC COM LAST PRICED: 08/31/2014	30,885.00	23,878.59	2	0.00
735	CUSIP # 880779103 TEREX CORP NEW COM LAST PRICED: 08/31/2014	27,496.35	21,468.79	1	36.75
364	CUSIP # 896047503 TRIBUNE MEDIA CO CLASS A COM LAST PRICED: 08/31/2014	27,773.20	26,514.75	1	0.00
380	CUSIP # 896818101 TRIUMPH GROUP INC COM LAST PRICED: 08/31/2014	26,360.60	27,429.85	1	15.20
795	CUSIP # 903293405 USG CORP COM NEW LAST PRICED: 08/31/2014	23,031.15	18,924.97	1	0.00
675	CUSIP # 92210H105 VANTIV INC CL A LAST PRICED: 08/31/2014	21,114.00	17,809.25	1	0.00
808	CUSIP # 92342Y109 VERIFONE HOLDINGS INC COM LAST PRICED: 08/31/2014	28,215.36	17,125.26	1	0.00
401	CUSIP # 966387102 WHITING PETROLEUM CORPORATION COM LAST PRICED: 08/31/2014	37,156.66	20,509.71	2	0.00
2,238	CUSIP # 97717P104 WISDOMTREE INVESTMENTS INC COM LAST PRICED: 08/31/2014	26,475.54	25,364.96	1	0.00
800	CUSIP # 989701107 ZIONS BANCORP COM LAST PRICED: 08/31/2014	23,312.00	22,953.28	1	0.00



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Asset Detail As Of 08/31/2014

UNITS/BOOK VALUE	DESCRIPTION	MARKET VALUE	COST	% OF PORT	ACCRUED INCOME
304	CUSIP # G0450A105 ARCH CAPITAL GROUP LTD COM LAST PRICED: 08/31/2014	16,896.32	16,301.22	1	0.00
1,880	CUSIP # G1154H107 BELMOND LTD CL A COM LAST PRICED: 08/31/2014	24,026.40	23,166.19	1	0.00
		1,747,979.47	1,545,584.15	91	717.81
FOREIGN STOCK					
467	CUSIP # 59151K108 METHANEX CORP NO PAR COM LAST PRICED: 08/31/2014	31,204.94	29,015.80	2	0.00
495	CUSIP # 733174700 POPULAR INC COM LAST PRICED: 08/31/2014	15,325.20	15,152.03	1	0.00
809	CUSIP # G3727Q101 FREESCALLE SEMICONDUCTOR LTD COM LAST PRICED: 08/31/2014	17,029.45	11,839.97	1	0.00
533	CUSIP # G4705A100 ICON PLC COM LAST PRICED: 08/31/2014	26,404.82	20,115.87	1	0.00
802	CUSIP # G66721104 NORWEGIAN CRUISE LINE HLDGS LTD COM LAST PRICED: 08/31/2014	26,714.62	25,417.49	1	0.00
1,598	CUSIP # Y7542C106 SCORPIO TANKERS INC COM LAST PRICED: 08/31/2014	15,276.88	18,482.81	1	159.80
		131,955.91	120,023.97	7	159.80
UNIT INVESTMENT TRUSTS					



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Asset Detail As Of 08/31/2014

UNITS/BOOK VALUE	DESCRIPTION	MARKET VALUE	COST	% OF PORT	ACCRUED INCOME
864	CUSIP # 517942108 LASALLE HOTEL PROPERTIES COM SH BEN INT LAST PRICED: 08/31/2014	31,579.20	23,367.56	2	0.00
		31,579.20	23,367.56	2	0.00
	TOTAL INVESTMENTS	1,934,916.16			
	CASH	0.00			
	DUE FROM BROKER	0.00			
	DUE TO BROKER	6,393.36			
	NET ASSETS	1,928,522.80			
	ACCRUED INCOME	877.85			
	TOTAL MARKET VALUE	1,929,400.65			



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Asset Detail As Of 08/31/2014

UNITS/BOOK VALUE	DESCRIPTION	MARKET VALUE	COST	% OF PORT	ACCRUED INCOME
SHORT TERM INVESTMENTS					
50,435.76	CUSIP # 60934N708 FEDERATED PRIME OBLIGATIONS FUND - SS 396-A	50,435.76	50,435.76	3	0.38
		50,435.76	50,435.76	3	0.38
COMMON STOCK					
1,527	CUSIP # 003654100 ABIOMED INC COM LAST PRICED: 08/31/2014	39,778.35	35,541.54	2	0.00
1,600	CUSIP # 00912X302 AIR LEASE CORPORATION COM LAST PRICED: 08/31/2014	60,640.00	48,393.67	3	0.00
875	CUSIP # 016255101 ALIGN TECHNOLOGY INC COM LAST PRICED: 08/31/2014	47,652.50	42,645.74	2	0.00
329	CUSIP # 01748X102 ALLEGiant TRAVEL CO COM LAST PRICED: 08/31/2014	40,420.94	39,038.88	2	0.00
2,941	CUSIP # 024061103 AMERICAN AXLE & MFG HLDGS IN COM LAST PRICED: 08/31/2014	53,232.10	54,269.73	3	0.00
459	CUSIP # 043436104 ASBURY AUTOMOTIVE GROUP INC COM LAST PRICED: 08/31/2014	31,983.12	29,569.26	2	0.00
775	CUSIP # 14149Y108 CARDINAL HEALTH INC COM LAST PRICED: 08/31/2014	57,117.50	53,662.88	3	0.00
941	CUSIP # 141619106 CARDIOVASCULAR SYSTEMS INC COM LAST PRICED: 08/31/2014	26,809.09	27,648.84	1	0.00



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UNITS/BOOK VALUE	DESCRIPTION	MARKET VALUE	COST	% OF PORT	ACCRUED INCOME
2,221	CUSIP # 171779309 CIENA CORPORATION COM LAST PRICED: 08/31/2014	45,952.49	48,467.48	2	0.00
329	CUSIP # 171798101 CIMAREX ENERGY CO COM LAST PRICED: 08/31/2014	47,757.64	27,515.59	2	52.64
4,008	CUSIP # 205638109 COMPUWARE CORPORATION LAST PRICED: 08/31/2014	37,474.80	39,095.38	2	0.00
2,162	CUSIP # 211171103 CONTINENTAL BLDG PRODUCTS INC COM LAST PRICED: 08/31/2014	34,570.38	34,886.80	2	0.00
1,947	CUSIP # 225223304 CRAY INC COM LAST PRICED: 08/31/2014	54,924.87	50,504.93	3	0.00
1,628	CUSIP # 277461406 EASTMAN KODAK CO COM LAST PRICED: 08/31/2014	37,655.64	48,203.70	2	0.00
908	CUSIP # 29404K106 ENVESTNET INC COM LAST PRICED: 08/31/2014	41,777.08	38,325.77	2	0.00
762	CUSIP # 294429105 EQUIFAX INC COM LAST PRICED: 08/31/2014	60,015.12	47,893.64	3	190.50
735	CUSIP # 33616C100 FIRST REPUBLIC BK/SAN FRANCISCO COM LAST PRICED: 08/31/2014	35,941.50	39,973.25	2	0.00
390	CUSIP # 371559105 GENESEE & WYOMING INC CL A COM LAST PRICED: 08/31/2014	38,348.70	39,937.79	2	0.00
1,816	CUSIP # 382410405 GOODRICH PETROLEUM CORP COM LAST PRICED: 08/31/2014	40,133.60	47,802.45	2	0.00



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UNITS/BOOK VALUE	DESCRIPTION	MARKET VALUE	COST	% OF PORT	ACCRUED INCOME
1,123	CUSIP # 416515104 HARTFORD FINL SVCS GROUP COM LAST PRICED: 08/31/2014	41,607.15	40,688.73	2	202.14
1,811	CUSIP # 419596101 HAVERTY FURNITURE COM LAST PRICED: 08/31/2014	42,141.97	45,770.02	2	1,955.88
1,223	CUSIP # 436893200 HOME BANCSHARES INC COM LAST PRICED: 08/31/2014	36,384.25	40,427.98	2	122.30
899	CUSIP # 45784P101 INSULET CORPORATION COM LAST PRICED: 08/31/2014	32,462.89	32,586.50	2	0.00
2,911	CUSIP # 50077C106 KRATON PERFORMANCE POLYMERS INC COM LAST PRICED: 08/31/2014	59,180.63	57,662.62	3	0.00
1,841	CUSIP # 535919203 LIONS GATE ENTERTAINMENT CORP COM LAST PRICED: 08/31/2014	59,666.81	49,505.78	3	0.00
2,782	CUSIP # 55405Y100 MA-COM TECHNOLOGY SOLUTIONS HOLDINGS INC COM LAST PRICED: 08/31/2014	65,877.76	55,576.57	3	0.00
2,495	CUSIP # 573075108 MARTEN TRANSPORT COM LAST PRICED: 08/31/2014	49,401.00	60,052.54	2	0.00
1,008	CUSIP # 626755102 MURPHY USA INC COM LAST PRICED: 08/31/2014	54,905.76	41,231.29	3	0.00
847	CUSIP # 628530107 MYLAN, INC. COM LAST PRICED: 08/31/2014	41,164.20	38,053.10	2	0.00



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UNITS/BOOK VALUE	DESCRIPTION	MARKET VALUE	COST	% OF PORT	ACCRUED INCOME
1,918	CUSIP # 62936P103 NPS PHARMACEUTICALS, INC LAST PRICED: 08/31/2014	57,885.24	58,201.74	3	0.00
440	CUSIP # 783549108 RYDER SYSTEM INC COM LAST PRICED: 08/31/2014	39,749.60	39,325.79	2	162.80
807	CUSIP # 78709Y105 SAIA INC COM LAST PRICED: 08/31/2014	38,308.29	36,061.84	2	0.00
1,394	CUSIP # 79970Y105 SANCHEZ ENERGY CORP COM LAST PRICED: 08/31/2014	46,266.86	48,893.62	2	0.00
652	CUSIP # 83421A104 SOLERA HOLDINGS INC COM LAST PRICED: 08/31/2014	39,745.92	35,384.04	2	0.00
1,262	CUSIP # 84649R200 SPANSION INC CLASS A COM LAST PRICED: 08/31/2014	28,142.60	25,357.24	1	0.00
521	CUSIP # 90384S303 ULTA SALON COSMETICS & FRAGRANCE INC COM LAST PRICED: 08/31/2014	50,698.51	49,452.96	3	0.00
15,469	CUSIP # 92886T201 VONAGE HOLDINGS CORPORATION COM LAST PRICED: 08/31/2014	53,368.05	57,986.70	3	0.00
1,217	CUSIP # 966244105 WHITEWAVE FOODS COMPANY A COM LAST PRICED: 08/31/2014	42,619.34	40,115.97	2	0.00
864	CUSIP # 969904101 WILLIAMS SONOMA INC COM LAST PRICED: 08/31/2014	56,825.28	51,580.80	3	0.00
	FOREIGN STOCK	1,768,587.33	1,697,295.15	89	2,696.26



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UNITS/BOOK VALUE	DESCRIPTION	MARKET VALUE	COST	% OF PORT	ACCRUED INCOME
459	CUSIP # G81276100 SIGNET JEWELERS LTD COM LAST PRICED: 08/31/2014	54,102.33	50,007.91	3	0.00
1,909	CUSIP # Q9235V101 TRONOX LIMITED CL A COM LAST PRICED: 08/31/2014	57,957.24	51,546.80	3	477.25
		112,059.57	101,554.71	6	477.25
	ADRS				
3,768	CUSIP # 204448104 COMPANIA DE MINAS BUENAVENTURA S.A.U. - ADR LAST PRICED: 08/31/2014	54,862.08	39,809.97	3	0.00
		54,862.08	39,809.97	3	0.00
	TOTAL INVESTMENTS	1,985,944.94			
	CASH	0.00			
	DUE FROM BROKER	0.00			
	DUE TO BROKER	0.00			
	NET ASSETS	1,985,944.94			
	ACCRUED INCOME	3,163.89			
	TOTAL MARKET VALUE	1,989,108.83			



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Asset Detail As Of 08/31/2014

UNITS/BOOK VALUE	DESCRIPTION	MARKET VALUE	COST	% OF PORT	ACCRUED INCOME
SHORT TERM INVESTMENTS					
110,594.34	CUSIP # 60934N708 FEDERATED PRIME OBLIGATIONS FUND - SS 396-A	110,594.34	110,594.34	3	0.81
		110,594.34	110,594.34	3	0.81
COMMON STOCK					
1,636	CUSIP # 00434L109 ACCESS MIDSTREAM PARTNERS LP COM LAST PRICED: 08/31/2014	105,276.60	84,761.77	3	0.00
2,956	CUSIP # 118230101 BUCKEYE PARTNERS LP LTD PARTNSHP INTS LAST PRICED: 08/31/2014	233,524.00	214,463.80	6	0.00
2,231	CUSIP # 23311P100 DCP MIDSTREAM PARTNERS, LP COM LAST PRICED: 08/31/2014	126,229.98	112,308.56	3	0.00
1,373	CUSIP # 26885B100 EQT MIDSTREAM PARTNERS LP COM LAST PRICED: 08/31/2014	133,853.77	88,746.30	3	0.00
5,360	CUSIP # 29273V100 ENERGY TRANSFER EQUITY LP COM LAST PRICED: 08/31/2014	325,084.00	207,503.11	8	0.00
1,140	CUSIP # 29336U107 ENLINK MIDSTREAM PARTNERS LP COM LAST PRICED: 08/31/2014	35,328.60	33,620.08	1	0.00
8,728	CUSIP # 293792107 ENTERPRISE PRODUCTS PARTNERS LP COM LAST PRICED: 08/31/2014	354,618.64	284,001.37	9	0.00
1,650	CUSIP # 371927104 GENESIS ENERGY L.P. COM LAST PRICED: 08/31/2014	91,905.00	86,269.58	2	0.00



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UNITS/BOOK VALUE	DESCRIPTION	MARKET VALUE	COST	% OF PORT	ACCRUED INCOME
1,148	CUSIP # 55336V100 MPLX LP COM LAST PRICED: 08/31/2014	70,028.00	48,921.73	2	0.00
4,382	CUSIP # 559080106 MAGELLAN MIDSTREAM PARTNERS COM LAST PRICED: 08/31/2014	367,781.26	276,746.24	10	0.00
2,354	CUSIP # 570759100 MARKWEST ENERGY PARTNERS L P COM LAST PRICED: 08/31/2014	187,684.42	155,279.20	5	0.00
1,258	CUSIP # 678049107 OILTANKING PARTNERS LP COM LAST PRICED: 08/31/2014	61,755.22	37,959.46	2	0.00
2,401	CUSIP # 682680103 ONEOK INC NEW COM LAST PRICED: 08/31/2014	168,550.20	141,329.09	4	0.00
502	CUSIP # 718549207 PHILLIPS 66 PARTNERS LP COM LAST PRICED: 08/31/2014	37,148.00	20,380.28	1	0.00
5,725	CUSIP # 726503105 PLAINS ALL AMERN PIPELINE LP COM LAST PRICED: 08/31/2014	343,099.25	310,964.15	9	0.00
915	CUSIP # 72651A108 PLAINS GP HOLDINGS LP CLASS A COM LAST PRICED: 08/31/2014	28,236.90	22,765.13	1	0.00
3,021	CUSIP # 75885Y107 REGENCY ENERGY PARTNERS LP COM LAST PRICED: 08/31/2014	99,632.58	82,538.00	3	0.00
1,703	CUSIP # 847560109 SPECTRA ENERGY CORPORATION COM LAST PRICED: 08/31/2014	70,946.98	62,272.50	2	570.51
2,421	CUSIP # 84756N109 SPECTRA ENERGY PARTNERS LP COM LAST PRICED: 08/31/2014	137,972.79	116,587.75	4	0.00



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Asset Detail As Of 08/31/2014

UNITS/BOOK VALUE	DESCRIPTION	MARKET VALUE	COST	% OF PORT	ACCRUED INCOME
4,598	CUSIP # 86764L108 SUNOCO LOGISTICS PARTNERS L.P. COM LAST PRICED: 08/31/2014	227,509.04	175,595.96	6	0.00
1,120	CUSIP # 87611X105 TARGA RESOURCES PARTNERS LP COM LAST PRICED: 08/31/2014	83,328.00	59,925.27	2	0.00
1,456	CUSIP # 88160T107 TESORO LOGISTICS LP COM LAST PRICED: 08/31/2014	102,327.68	84,550.30	3	0.00
752	CUSIP # 91914J102 VALERO ENERGY PARTNERS LP COM LAST PRICED: 08/31/2014	40,089.12	27,043.77	1	0.00
1,843	CUSIP # 958254104 WESTERN GAS PARTNERS LP COM LAST PRICED: 08/31/2014	142,814.07	117,564.02	4	0.00
869	CUSIP # 95825R103 WESTERN GAS EQUITY PARTNERS LP COM LAST PRICED: 08/31/2014	52,096.55	37,220.70	1	0.00
1,898	CUSIP # 969457100 WILLIAMS COMPANIES INC COM LAST PRICED: 08/31/2014	112,817.12	78,900.78	3	0.00
	TOTAL INVESTMENTS	3,739,637.77	2,968,218.90	97	570.51
	CASH	3,850,232.11			
	DUE FROM BROKER	0.00			
	DUE TO BROKER	0.00			
	NET ASSETS	3,850,232.11			
	ACCRUED INCOME	571.32			
	TOTAL MARKET VALUE	3,850,803.43			

Texas State University
OPERATING & NON OPERATING QUARTERLY INVESTMENT REPORT
PERIODS ENDING 08/31/2014 (TRADE DATE FULL ACCRUAL BASIS)

Agency/Description	Cusip Number	Purchase Date	Maturity Date	Beginning Market Value As of 05/31/14	Ending Market Value As of 08/31/14	Book Balance As of 08/31/14	Quarter Ending 08/31/14 Investment Income	Return
Operating Funds								
Cash In Bank				\$ 19,569,711.05	\$ 29,727,571.13	\$ 29,727,571.13	\$ 406.60	0.00%
Cash In State Treasury				\$ 10,041,595.00	\$ 29,601,822.43	\$ 29,601,822.43	\$ 10,077.91	0.10%
TexPool Series 0009	General Account			\$ 161,723,605.53	\$ 194,934,004.47	\$ 194,934,004.47	\$ 12,417.95	0.01%
TexPool Series 0011				\$ 142,442.33	\$ 142,453.76	\$ 142,453.76	\$ 11.43	0.01%
Totals				\$ 191,477,353.91	\$ 254,405,851.79	\$ 254,405,851.79	\$ 22,913.89	0.02%
							6 Month T-Bill	0.03%

Non-Operating Funds								
Cash in Bank				\$ 77,380.11	\$ 107,670.78	\$ 107,670.78	\$ -	0.00%
TexPool Series 0025	TRB-08			\$ 400,366.19	\$ 300,390.75	\$ 300,390.75	\$ 24.56	0.01%
TexPool Series 0028	North Housing			\$ 5,170,541.38	\$ 5,170,954.62	\$ 5,170,954.62	\$ 413.24	0.01%
TexPool Series 0029	TSUS-11			\$ 652,830.66	\$ 259,546.04	\$ 259,546.04	\$ 42.39	0.01%
TexPool Series 0070	TSUS-13			\$ 19,061,536.55	\$ 14,290,928.09	\$ 14,290,928.09	\$ 1,389.42	0.01%
TexPool Series 0073	TSUS-12			\$ 4,078,073.25	\$ 3,158,245.31	\$ 3,158,245.31	\$ 288.66	0.01%
TexPool Series 0076 & Bk 76	2014 TSUS Bond			\$ -	\$ 56,473,952.07	\$ 56,473,952.07	\$ 3,959.65	0.00%
Sage Non-Operating	*Holdings Report Attached			\$ 79,316,757.12	\$ 79,312,053.58	\$ 79,107,689.38	\$ 359,703.19	0.04%
Totals				\$ 108,757,485.26	\$ 159,073,741.24	\$ 158,869,377.04	\$ 365,821.11	0.02%
							ML 1-3 Year Treasury	0.04%

Texas State University investment portfolios comply with investment strategy expressed in Texas State University System Investment Policies and with relevant provisions of the Texas Public Funds Investment Act.

William A. Nance

William A. Nance
Vice President for Finance and Support Services



Texas State University
ENDOWMENT QUARTERLY INVESTMENT REPORT
PERIODS ENDING 08/31/2014 (TRADE DATE FULL ACCRUAL BASIS)

Allocation Current	Mutual Fund / Manager	Market Value	Quarter Return	FYTD Return
100.0 %	Total Plan Composite	\$ 58,550,238	4.41%	20.98%
	<i>Total Blended Index</i>		2.33%	15.63%
68.4 %	All Equity Composite	\$ 40,025,474	5.08%	23.65%
	<i>Blended Equity Index</i>		3.62%	23.28%
55.7 %	Domestic Equity	\$ 32,606,234	5.56%	24.80%
	<i>Russell 3000</i>		4.71%	24.74%
8.5	Banyan Partners LCG - SA	4,949,370	5.31%	28.15%
8.8	Seizert LCV - SA	5,159,911	6.41%	24.91%
10.1	Vanguard S&P 500 Index - VIFSX	5,938,711	4.68%	25.19%
15.0	APEX SMIDG - SA	8,789,576	9.00%	30.21%
13.3	Systematic SMIDV - SA	7,768,666	4.23%	25.46%
12.7 %	International Equity	\$ 7,419,240	1.40%	15.91%
	<i>MSCI EAFE</i>		-1.18%	16.86%
7.4	Hancock Horizon Div - HHDTX	4,326,696	2.97%	17.28%
5.3	Franklin Intl SCG - FKSCX	3,092,544	-0.79%	13.87%
5.7 %	Real Estate	\$ 3,319,925	3.09%	18.47%
	<i>FTSE NAREIT</i>		4.40%	24.47%
5.7	REMS RE Income - RREIX	3,319,925	3.09%	18.47%
23.5 %	Total Fixed Income	\$ 13,740,071	2.50%	12.01%
	<i>Blended Fixed Index</i>		2.70%	12.26%
9.8 %	Corporates	\$ 5,752,180	0.99%	5.28%
	<i>BC Credit</i>		1.48%	9.06%
9.8	Sage FI	5,752,180	0.99%	5.28%
5.1 %	Money Markets	\$ 2,986,901	0.32%	0.94%
	<i>91-Day T-Bill</i>		0.01%	0.05%
0.5	Endowment Cash Account	300,790	0.00%	0.00%
4.6	RidgeWorth UltraShort - SIGVX	2,686,112	0.36%	1.05%
8.5 %	Hybrid Securities	\$ 5,000,989	6.03%	26.96%
	<i>ML All Invest ex Man V0A1</i>		5.71%	23.25%
3.8	Cutler Converts - SA	2,228,151	1.71%	8.55%
4.7	Tortoise MLP	2,772,839	9.50%	41.76%
2.5 %	Other	\$ 1,464,768	0.01%	0.03%
0.0	Cash in Bank	6,466	0.00%	0.00%
2.5	TexPool Series 0023	1,455,018	0.01%	0.03%
0.0	Money Market Sweep	3,284	0.00%	0.00%

Blended Indexes are based on the current weights of each asset class and their respective benchmarks.

*Currently the Total Blended Index consists of 23.1% S&P 500, 22.2% Russell 2500, 9.2% MSCI EAFE, 5.5% S&P Developed SC Ex-US, 5% ML All Invest ex Man V0A1, 28% BC Aggregate, 3% 91-Day T-Bill, and 4% ML 6 Month T-Bill.

Texas State University
ENDOWMENT QUARTERLY INVESTMENT REPORT
PERIOD ENDING 08/31/2014 (TRADE DATE FULL ACCRUAL BASIS)

Mutual Fund / Manager	Beginning Market Value	Deposits	Withdrawals	Income	Fees	Gains / Losses	Ending Market Value
Total Plan Composite	\$55,140,497	\$1,099,467	-\$99,753	\$213,938	-\$72,484	\$2,268,572	\$58,550,238
All Equity Composite	\$38,064,800	\$35,994	-\$41,997	\$111,423	-\$51,636	\$1,906,891	\$40,025,474
Domestic Equity	\$30,745,795	\$35,994	-\$41,997	\$111,423	-\$51,065	\$1,806,084	\$32,606,234
Banyan Partners LCG - SA	\$4,692,795	\$7,714	-\$118	\$20,107	-\$8,068	\$236,941	\$4,949,370
Seizert LCV - SA	\$4,855,526		-\$6,981	\$25,734	-\$7,226	\$292,858	\$5,159,911
Vanguard S&P 500 Index - VIFSX	\$5,673,261			\$25,933	-\$285	\$239,802	\$5,938,711
APEX SMIDG - SA	\$8,064,805	\$17,788	-\$18,232	\$12,743	-\$18,439	\$730,911	\$8,789,576
Systematic SMIDV - SA	\$7,459,408	\$10,492	-\$16,666	\$26,906	-\$17,046	\$305,572	\$7,768,666
International Equity	\$7,319,004	\$0	\$0	\$0	-\$571	\$100,807	\$7,419,240
Hancock Horizon Div - HHDTX	\$4,201,731				-\$285	\$125,251	\$4,326,696
Franklin Intl SCG - FKSCX	\$3,117,274				-\$285	-\$24,444	\$3,092,544
Real Estate	\$3,220,485	\$1,821	-\$1,821	\$37,420	-\$285	\$62,305	\$3,319,925
REMS RE Income - RREIX	\$3,220,485	\$1,821	-\$1,821	\$37,420	-\$285	\$62,305	\$3,319,925
Total Fixed Income	\$13,407,750	\$20,513	-\$32,005	\$65,000	-\$20,562	\$299,376	\$13,740,071
Corporates	\$5,692,934	\$5,526	-\$2,705	\$24,624	-\$2,989	\$34,790	\$5,752,180
Sage FI	\$5,692,934	\$5,526	-\$2,705	\$24,624	-\$2,989	\$34,790	\$5,752,180
Money Markets	\$2,999,758	\$3	-\$22,505	\$4,360	-\$452	\$5,737	\$2,986,901
Endowment Cash Account	\$323,289	\$3	-\$22,505	\$8	-\$167	\$161	\$300,790
RidgeWorth UltraShort - SIGVX	\$2,676,469			\$4,352	-\$285	\$5,576	\$2,686,112
Hybrid Securities	\$4,715,058	\$14,984	-\$6,795	\$36,016	-\$17,122	\$258,848	\$5,000,989
Cutler Converts - SA	\$2,182,470	\$8,188		\$12,097	-\$10,076	\$35,472	\$2,228,151
Tortoise MLP	\$2,532,589	\$6,795	-\$6,795	\$23,919	-\$7,045	\$223,377	\$2,772,839
Other	\$447,462	\$1,041,140	-\$23,930	\$96	\$0	\$0	\$1,464,768
Cash in Bank	\$8,829	\$20,356	-\$22,718				\$6,466
TexPool Series 0023	\$437,422	\$1,017,500		\$96		\$0	\$1,455,018
Money Market Sweep	\$1,211	\$3,284	-\$1,211			\$0	\$3,284

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STATEMENT OF ACCOUNT

Statement Period 08/01/2014 through 08/31/2014
Account Number 1044005146

Asset Detail As Of 08/31/2014

UNITS/BOOK VALUE	DESCRIPTION	MARKET VALUE	COST	% OF PORT	ACCRUED INCOME
SHORT TERM INVESTMENTS					
1,858,347.86	CUSIP # 60934N708 FEDERATED PRIME OBLIGATIONS FUND - SS 396-A	1,858,347.86	1,858,347.86	2	14.17
		1,858,347.86	1,858,347.86	2	14.17
US GOVT. OBLIGATIONS					
3,955,000	CUSIP # 3130A0SD3 FEDERAL HOME LOAN BANK DTD 01/24/2014 .375% 02/19/2016 LAST PRICED: 08/31/2014	3,955,316.40	3,953,299.35	5	494.38
2,380,000	CUSIP # 3133EC6V1 FEDERAL FARM CREDIT BANK DTD 12/17/2012 .39% 12/17/2015-2013 LAST PRICED: 08/31/2014	2,380,023.80	2,379,913.25	3	1,907.97
750,000	CUSIP # 3133EDNX6 FEDERAL FARM CREDIT BANK DTD 06/23/2014 .32% 12/23/2015-2014 LAST PRICED: 08/31/2014	750,090.00	749,437.50	1	453.33
80,000	CUSIP # 3135G0FY4 FED NATL MTG ASSN DTD 11/17/2011 .75% 12/19/2014 LAST PRICED: 08/31/2014	80,159.20	80,095.90	0	120.00
3,195,000	CUSIP # 3135G0GY3 FED NATL MTG ASSN DTD 01/09/2012 1.25% 01/30/2017 LAST PRICED: 08/31/2014	3,229,026.75	3,216,806.08	4	3,439.06
1,000,000	CUSIP # 3137EADC0 FED HOME LOAN MTG CORP DTD 01/30/2012 1% 03/08/2017 LAST PRICED: 08/31/2014	1,003,380.00	1,006,804.50	1	4,805.56
1,241,000	CUSIP # 912828C40 U S TREASURY NOTE DTD 03/31/2014 .375% 03/31/2016 LAST PRICED: 08/31/2014	1,241,732.19	1,241,821.45	2	1,947.49



STATEMENT OF ACCOUNT

Statement Period 08/01/2014 through 08/31/2014
Account Number 1044005146

Asset Detail As Of 08/31/2014

UNITS/BOOK VALUE	DESCRIPTION	MARKET VALUE	COST	% OF PORT	ACCRUED INCOME
2,337,615.45	CUSIP # 912828QD5 U S TREASURY NOTE INFL INDEX DTD 04/15/2011 .125% 04/15/2016 LAST PRICED: 08/31/2014	2,377,612.05	2,397,969.12	3	0.00
7,655,000	CUSIP # 912828SC5 U S TREASURY NOTE DTD 01/31/2012 .875% 01/31/2017 LAST PRICED: 08/31/2014	7,686,079.30	7,672,546.08	10	5,824.46
5,335,000	CUSIP # 912828SE1 U S TREASURY NOTE ISSUE DATE 02/01/2012 .25% 02/15/2015 LAST PRICED: 08/31/2014	5,339,374.70	5,340,167.22	7	616.13
1,542,471	CUSIP # 912828SQ4 U S TREASURY NOTE INFL INDEX DTD 04/15/2012 .125% 04/15/2017 LAST PRICED: 08/31/2014	1,577,901.56	1,588,705.51	2	365.06
4,975,000	CUSIP # 912828TP5 U S TREASURY NOTE DTD 09/15/2012 .25% 09/15/2015 LAST PRICED: 08/31/2014	4,981,417.75	4,977,228.73	6	5,745.58
7,875,000	CUSIP # 912828UK4 U S TREASURY NOTE DTD 01/31/2013 .25% 01/31/2015 LAST PRICED: 08/31/2014	7,881,142.50	7,878,524.23	10	1,711.96
7,125,000	CUSIP # 912828VD9 U S TREASURY NOTE DTD 05/31/2013 .25% 05/31/2015 LAST PRICED: 08/31/2014	7,133,906.25	7,128,294.46	9	4,526.13
		49,617,162.45	49,611,613.38	63	31,957.11
MORTGAGE BACKED SECURITIES					
717,826.5	CUSIP # 31283KZW1 FED HOME LOAN MTG CORP POOL G11657 DTD 01/01/2005 4.5% 12/01/2018 LAST PRICED: 08/31/2014	757,271.07	754,447.35	1	2,691.85



STATEMENT OF ACCOUNT

Statement Period 08/01/2014 through 08/31/2014
Account Number 1044005146

Asset Detail As Of 08/31/2014

UNITS/BOOK VALUE	DESCRIPTION	MARKET VALUE	COST	% OF PORT	ACCRUED INCOME
356,009.6878	CUSIP # 31416BQM3 FED NATL MTG ASSN POOL 995160 DTD 11/01/2008 5% 09/01/2022 LAST PRICED: 08/31/2014	376,145.60	375,135.75	0	1,483.37
		1,133,416.67	1,129,583.10	1	4,175.22
COLLATERALIZED MORTGAGE OBLIGATIONS					
334,595.02	CUSIP # 3134G3KS8 FED HOME LOAN MTG CORP SERIES 2012-1 CLASS A10 DTD 01/17/2012 2.06% 01/15/2022 LAST PRICED: 08/31/2014	339,112.39	336,990.91	0	306.34
247,491.15	CUSIP # 3136A3UV1 FED NATL MTG ASSN SERIES 2012-1 CLASS GB DTD 01/01/2012 2% 02/25/2022 LAST PRICED: 08/31/2014	250,376.90	252,729.77	0	398.74
1,147,064.13	CUSIP # 3137A7Z52 FEDERAL HOME LOAN MTG CORP SERIES 3825 CLASS AB DTD 03/01/2011 3% 08/15/2020 LAST PRICED: 08/31/2014	1,184,792.22	1,185,060.63	1	2,772.07
379,257.63	CUSIP # 3137A9BB1 FED HOME LOAN MTG CORP SERIES 3846 CLASS CK DTD 04/01/2011 1.5% 09/15/2020 LAST PRICED: 08/31/2014	383,146.16	383,914.71	0	458.27
387,998.5	CUSIP # 31392EPW4 FED NATL MTG ASSN SERIES 2002-56 CLASS UC DTD 08/01/2002 5.5% 09/25/2017 LAST PRICED: 08/31/2014	407,478.74	406,717.10	1	1,719.05
857,939.83	CUSIP # 31393TJ49 FED NATL MTG ASSN SERIES 2003-112 CLASS AN DTD 10/01/2003 4% 11/25/2018 LAST PRICED: 08/31/2014	898,918.47	896,215.00	1	2,764.47



STATEMENT OF ACCOUNT

Statement Period
Account Number

08/01/2014 through 08/31/2014
1044005146

Asset Detail As Of 08/31/2014

UNITS/BOOK VALUE	DESCRIPTION	MARKET VALUE	COST	% OF PORT	ACCRUED INCOME
15,309.56	CUSIP # 31393VLG4 FED HOME LOAN MTG CORP SERIES 2635 CLASS DW DTD 06/01/2003 4% 01/15/2018 LAST PRICED: 08/31/2014	15,340.24	15,478.87	0	49.33
646,409.57	CUSIP # 31394W3P1 FED HOME LOAN MTG CORP SERIES 2770 CLASS TW DTD 03/01/2004 4.5% 03/15/2019 LAST PRICED: 08/31/2014	687,028.01	690,579.02	1	2,343.23
510,237.404	CUSIP # 31396QJS9 FED NATL MTG ASSN SERIES 2009-47 CLASS EG DTD 06/01/2009 4.5% 08/25/2019 LAST PRICED: 08/31/2014	537,325.91	536,026.54	1	1,849.61
395,104.49	CUSIP # 31397MHG5 FED NATL MGT ASSN SERIES 2008-70 CLASS BY DTD 07/01/2008 4% 08/25/2023 LAST PRICED: 08/31/2014	414,741.97	416,230.48	1	1,273.11
80,476.99	CUSIP # 31398F3T6 FEDERAL NATL MTG ASSN SER 2009-88 CL DB DTD 10/01/2009 3% 10/25/2020 LAST PRICED: 08/31/2014	83,215.06	82,948.70	0	201.19
619,710.51	CUSIP # 31398PMK2 FED NATL MTG ASSN SERIES 2010-45 CLASS AH 2.25% 02/25/2021 LAST PRICED: 08/31/2014	631,357.97	633,275.43	1	1,123.23
166,026.97	CUSIP # 31398T3R0 FED NATL MTG ASSN SERIES 2010-99 CLASS YA DTD 08/01/2010 2% 09/25/2020 LAST PRICED: 08/31/2014	167,359.67	169,075.67	0	267.49
575,633.73	CUSIP # 31398TUD1 FED NATL MTG ASSN SERIES 2010-83 CLASS AH DTD 07/01/2010 2.5% 11/25/2018 LAST PRICED: 08/31/2014	589,113.35	589,381.74	1	1,159.26



STATEMENT OF ACCOUNT

Statement Period 08/01/2014 through 08/31/2014
Account Number 1044005146

Asset Detail As Of 08/31/2014

UNITS/BOOK VALUE	DESCRIPTION	MARKET VALUE	COST	% OF PORT	ACCRUED INCOME
		6,589,307.06	6,594,624.57	8	16,685.39
CORPORATE BONDS					
625,000	CUSIP # 001055AH5 AFLAC INC DTD 02/10/2012 2.65% 02/15/2017 LAST PRICED: 08/31/2014	646,193.75	647,130.65	1	736.11
777,000	CUSIP # 00206RAV4 AT&T INC 07/30/2010 2.5% 08/15/2015 LAST PRICED: 08/31/2014	791,879.55	790,892.61	1	863.33
400,000	CUSIP # 0258M0DD8 AMERICAN EXPRESS CREDIT MED TERM NT DTD 03/26/2012 2.375% 03/24/2017 LAST PRICED: 08/31/2014	412,164.00	410,206.23	1	4,143.06
395,000	CUSIP # 0258M0DM8 AMERICAN EXPRESS CREDIT DTD 06/05/2014 1.125% 06/05/2017 LAST PRICED: 08/31/2014	393,574.05	393,834.75	0	1,061.56
395,000	CUSIP # 02665WAB7 AMERICAN HONDA FINANCE CORP DTD 10/10/2013 1.125% 10/07/2016 LAST PRICED: 08/31/2014	397,318.65	393,907.85	1	1,777.50
940,000	CUSIP # 03523TBM9 ANHEUSER BUSCH INBEV WORLDWIDE DTD 07/16/2012 .8% 07/15/2015 LAST PRICED: 08/31/2014	943,703.60	942,885.86	1	960.89
500,000	CUSIP # 03524BAD8 ANHEUSER-BUSCH INBEV FIN DTD 01/27/2014 .4286% 01/27/2017 LAST PRICED: 08/31/2014	500,840.00	500,156.51	1	214.30
595,000	CUSIP # 05565QCA4 BP CAPITAL MARKETS PLC DTD 11/06/2012 .7% 11/06/2015 LAST PRICED: 08/31/2014	596,243.55	595,279.64	1	1,330.49



STATEMENT OF ACCOUNT

Statement Period 08/01/2014 through 08/31/2014
Account Number 1044005146

Asset Detail As Of 08/31/2014

UNITS/BOOK VALUE	DESCRIPTION	MARKET VALUE	COST	% OF PORT	ACCRUED INCOME
515,000	CUSIP # 05574LTW8 BNP PARIBAS DTD 12/12/2013 1.25% 12/12/2016 LAST PRICED: 08/31/2014	517,173.30	514,469.55	1	1,412.67
765,000	CUSIP # 06406HBN8 BANK OF NEW YORK MELLON DTD 11/16/2009 3.1% 01/15/2015 LAST PRICED: 08/31/2014	772,795.35	769,155.50	1	3,030.25
565,000	CUSIP # 06406HCF4 BANK OF NEW YORK MELLON DTD 10/25/2012 .4631% 10/23/2015 LAST PRICED: 08/31/2014	565,960.50	565,240.36	1	290.72
740,000	CUSIP # 073902KF4 BEAR STEARNS CO INC DTD 10/31/2005 5.3% 10/30/2015 LAST PRICED: 08/31/2014	779,005.40	758,552.36	1	13,182.28
395,000	CUSIP # 14912L5Z0 CATERPILLAR FINANCIAL SE DTD 03/03/2014 1% 03/03/2017 LAST PRICED: 08/31/2014	394,719.55	394,486.50	0	1,953.06
350,000	CUSIP # 20030NAL5 COMCAST CORPORATION DTD 03/02/2006 5.9% 03/15/2016 LAST PRICED: 08/31/2014	377,513.50	377,677.72	0	9,521.94
380,000	CUSIP # 22541LAR4 CREDIT SUISSE USA INC DTD 12/15/2004 4.875% 01/15/2015 LAST PRICED: 08/31/2014	386,266.20	386,960.04	0	2,367.08
435,000	CUSIP # 24422ESD2 JOHN DEERE CAPITAL CORP DTD 10/11/2013 1.05% 10/11/2016 LAST PRICED: 08/31/2014	436,887.90	434,447.55	1	1,776.25
1,035,000	CUSIP # 36962G5W0 GENERAL ELEC CAP CORP SERIES MTN DTD 04/27/2012 2.3% 04/27/2017 LAST PRICED: 08/31/2014	1,064,052.45	1,060,512.44	1	8,199.50

STATEMENT OF ACCOUNT

 Statement Period 08/01/2014 through 08/31/2014
 Account Number 1044005146

Asset Detail As Of 08/31/2014

UNITS/BOOK VALUE	DESCRIPTION	MARKET VALUE	COST	% OF PORT	ACCRUED INCOME
335,000	CUSIP # 373334GE5 GEORGIA POWER COMPANY DTD 06/12/2007 5.7% 06/01/2017 LAST PRICED: 08/31/2014	374,885.10	376,316.14	0	4,773.75
585,000	CUSIP # 377373AB1 GLAXOSMITHKLINE CAPITAL DTD 05/09/2012 .75% 05/08/2015 LAST PRICED: 08/31/2014	586,509.30	585,651.02	1	1,377.19
595,000	CUSIP # 458140AL4 INTEL CORP DTD 12/11/2012 1.35% 12/15/2017 LAST PRICED: 08/31/2014	594,637.05	594,262.20	1	1,695.75
395,000	CUSIP # 68389XAN5 ORACLE CORPORATION DTD 10/25/2012 1.2% 10/15/2017 LAST PRICED: 08/31/2014	393,574.05	394,435.15	0	1,790.67
395,000	CUSIP # 822582AR3 SHELL INTERNATIONAL FIN DTD 08/21/2012 1.125% 08/21/2017 LAST PRICED: 08/31/2014	394,498.35	394,348.25	0	123.44
380,000	CUSIP # 824348AN6 SHERWIN WILLIAMS CO DTD 12/21/2009 3.125% 12/15/2014 LAST PRICED: 08/31/2014	382,850.00	383,222.91	0	2,506.94
595,000	CUSIP # 828807CC9 SIMON PROPERTY GROUP LP DTD 01/25/2010 4.2% 02/01/2015-2014 LAST PRICED: 08/31/2014	598,415.30	602,578.34	1	2,082.50
580,000	CUSIP # 89153VAC3 TOTAL CAPITAL INTL SA DTD 06/28/2012 1.55% 06/28/2017-2012 LAST PRICED: 08/31/2014	586,751.20	586,928.83	1	1,573.25
595,000	CUSIP # 89236TBD6 TOYOTA MOTOR CREDIT CORP DTD 03/05/2014 .75% 03/03/2017-2016 LAST PRICED: 08/31/2014	592,893.70	593,215.00	1	1,066.04



STATEMENT OF ACCOUNT

Statement Period 08/01/2014 through 08/31/2014
Account Number 1044005146

Asset Detail As Of 08/31/2014

UNITS/BOOK VALUE	DESCRIPTION	MARKET VALUE	COST	% OF PORT	ACCRUED INCOME
495,000	CUSIP # 91324PBX9 UNITEDHEALTH GROUP INC DTD 10/22/2012 .85% 10/15/2015 LAST PRICED: 08/31/2014	496,831.50	495,833.69	1	1,589.50
790,000	CUSIP # 94974BFG0 WELLS FARGO AND CO DTD 12/26/2012 1.5% 01/16/2018 LAST PRICED: 08/31/2014	788,625.40	787,092.80	1	1,481.25
		15,766,762.25	15,729,680.45	20	72,881.27
FOREIGN BONDS-NOTES & DEBENTURES					
590,000	CUSIP # 055451AN8 BHP BILLITON FIN USA LTD DTD 02/24/2012 1% 02/24/2015 LAST PRICED: 08/31/2014	592,011.90	591,784.56	1	114.72
600,000	CUSIP # 06366RJH9 BANK OF MONTREAL DTD 11/06/2012 .8% 11/06/2015 LAST PRICED: 08/31/2014	602,442.00	599,964.00	1	1,533.33
750,000	CUSIP # 064159EK8 BANK OF NOVA SCOTIA DTD 04/11/2014 1.25% 04/11/2017 LAST PRICED: 08/31/2014	752,130.00	749,407.50	1	3,645.83
380,000	CUSIP # 73755LAG2 POTASH CORP SASK INC SR NT DTD 09/28/2009 3.75% 09/30/2015 LAST PRICED: 08/31/2014	392,977.00	393,385.39	0	5,977.08
495,000	CUSIP # 76720AAL0 RIO TINTO FIN USA PLC DTD 06/19/2013 1.375% 06/17/2016 LAST PRICED: 08/31/2014	500,024.25	495,620.11	1	1,399.06
750,000	CUSIP # 78008K5V1 ROYAL BANK OF CANADA DTD 04/19/2011 2.875% 04/19/2016 LAST PRICED: 08/31/2014	777,780.00	774,206.26	1	7,906.25



STATEMENT OF ACCOUNT

Statement Period 08/01/2014 through 08/31/2014
Account Number 1044005146

Asset Detail As Of 08/31/2014

UNITS/BOOK VALUE	DESCRIPTION	MARKET VALUE	COST	% OF PORT	ACCRUED INCOME
580,000	CUSIP # 78010UNX1 ROYAL BANK OF CANADA DTD 01/23/2014 1.2% 01/23/2017 LAST PRICED: 08/31/2014	582,099.60	579,472.20	1	734.67
		4,199,464.75	4,183,840.02	5	21,310.94
	TOTAL INVESTMENTS	79,164,461.04			
	CASH	3,169,221.48			
	DUE FROM BROKER	0.00			
	DUE TO BROKER	3,168,653.04			
	NET ASSETS	79,165,029.48			
	ACCRUED INCOME	147,024.10			
	TOTAL MARKET VALUE	79,312,053.58			

Lamar Institute of Technology
OPERATING & NON OPERATING QUARTERLY INVESTMENT REPORT
PERIODS ENDING 08/31/2014 (TRADE DATE FULL ACCRUAL BASIS)

Agency/Description	Cusip Number	Purchase Date	Maturity Date	Beginning Market Value		Ending Market Value		Book Balance		Quarter Ending 08/31/14	
				As of 05/31/14	As of 08/31/14	As of 08/31/14	As of 08/31/14	Investment Income	Return		
Operating Funds											
Cash in Bank- Operating				\$ 1,770,226.91	\$ 1,601,349.38	\$ 1,601,349.38	\$ 533.86	0.05%			
Cash in Treasury				\$ 1,226,929.40	\$ 663,434.54	\$ 663,434.54	\$ 664.05	0.08%			
TexPool Series 0001	General Fund			\$ 3,841,967.65	\$ 3,842,274.68	\$ 3,842,274.68	\$ 307.03	0.01%			
Cash in Bank- Payroll				\$ 65,204.82	\$ 93,168.70	\$ 93,168.70	\$ 47.68	0.04%			
Totals				\$ 6,904,328.78	\$ 6,200,227.30	\$ 6,200,227.30	\$ 1,552.62	0.03%			
										6 Month T-Bill	0.03%

Lamar Institute of Technology investment portfolios comply with investment strategy expressed in Texas State University System Investment Policies and with relevant provisions of the Texas Public Funds Investment Act.

Bonnie Albright

Name of Signee
Title

VP Finance & Operations




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Lamar State College - Orange
OPERATING & NON OPERATING QUARTERLY INVESTMENT REPORT
PERIODS ENDING 08/31/2014 (TRADE DATE FULL ACCRUAL BASIS)

Agency/Description	Cusip Number	Purchase Date	Maturity Date	Beginning Market Value As of 05/31/14	Ending Market Value As of 08/31/14	Book Balance As of 08/31/14	Quarter Ending 08/31/14 Investment Income Return	
Operating Funds								
Cash in Bank- Depository				\$ 327,317.11	\$ 676,379.67	\$ 676,379.67	\$ 32.76	0.01%
Cash in Treasury				\$ 1,500,556.05	\$ 1,054,321.79	\$ 1,054,321.79	\$ 1,248.68	0.11%
TexPool Series 0001	General Funds			\$ 14,652,051.79	\$ 14,778,324.34	\$ 14,778,324.34	\$ 1,179.52	0.01%
Cash in Bank- Payroll				\$ 0.31	\$ 177.55	\$ 177.55	\$ 1.06	0.00%
Totals				\$ 16,479,925.26	\$ 16,509,203.35	\$ 16,509,203.35	\$ 2,462.02	0.01%
							6 Month T-Bill	0.03%
Non-Operating Funds								
Cash in Bank- Depository	Non-Operating			\$ 113,112.98	\$ 39,374.33	\$ 39,374.33	\$ -	0.00%
TexPool Series 0003	TexPool Series Non-			\$ 1,315,199.03	\$ 1,360,211.91	\$ 1,360,211.91	\$ 105.91	0.01%
Totals				\$ 1,428,312.01	\$ 1,399,586.24	\$ 1,399,586.24	\$ 105.91	0.01%
							ML 1-3 Year Treasury	0.04%

Lamar State College - Orange investment portfolios comply with investment strategy expressed in Texas State University System Investment Policies and with relevant provisions of the Texas Public Funds Investment Act.



 Name of Signee

Vice President for Finance and Operations

 Title

October 1, 2014

 Date



Lamar State College - Port Arthur
OPERATING & NON OPERATING QUARTERLY INVESTMENT REPORT
PERIODS ENDING 08/31/2014 (TRADE DATE FULL ACCRUAL BASIS)

Agency/Description	Cusip Number	Purchase Date	Maturity Date	Beginning Market Value	Ending Market Value	Book Balance	Quarter Ending 08/31/14		
				As of 05/31/14	As of 08/31/14	As of 08/31/14	Investment Income	Return	
Operating Funds									
Cash in Bank**5508	PayRoll Account			\$ 1,007,516.38	\$ 1,028,930.17	\$ 1,028,930.17	\$ 314.19	0.03%	
Cash in Bank**7246	Clearing Account			\$ 116,577.79	\$ 157,593.90	\$ 157,593.90	\$ 67.25	0.06%	
Cash in Bank**7253	Clearing Account			\$ 786,618.45	\$ 475,917.80	\$ 475,917.80	\$ 336.43	0.08%	
Cash in Bank**7287	Fin Aid Refd Disb			\$ 41,772.30	\$ 40,492.23	\$ 40,492.23	\$ 24.18	0.06%	
Cash in Bank**7295	E&G State Sweep			\$ 252.46	\$ 296.00	\$ 296.00	\$ 43.54	17.25%	
Cash in Treasury				\$ 937,203.93	\$ 1,316,161.27	\$ 1,316,161.27	\$ 1,085.39	0.09%	
TexPool Series 0001	Cash Mgmt Acct			\$ 87,027.11	\$ 87,034.04	\$ 87,034.04	\$ 6.93	0.01%	
TexPool Prime 0001	TexPool Prime			\$ 3,130,144.20	\$ 2,130,577.52	\$ 2,130,577.52	\$ 433.31	0.02%	
Totals				\$ 6,107,112.62	\$ 5,237,002.93	\$ 5,237,002.93	\$ 2,311.22	0.04%	
								6 Month T-Bill	0.03%

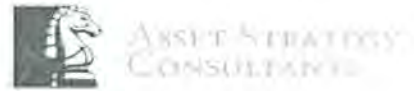
Non-Operating Funds									
TexPool Series 0001	Cash Mgmt Acct			\$ 38,143.87	\$ 38,146.91	\$ 38,146.91	\$ 3.04	0.01%	
TexPool Prime 0001	TexPool Prime			\$ 900,910.40	\$ 901,061.32	\$ 901,061.32	\$ 150.93	0.02%	
Totals				\$ 939,054.27	\$ 939,208.23	\$ 939,208.23	\$ 153.97	0.01%	
								ML 1-3 Year Treasury	0.04%

Lamar State College - Port Arthur investment portfolios comply with investment strategy expressed in Texas State University System Investment Policies and with relevant provisions of the Texas Public Funds Investment Act

Mary Wickland
 Name of Signee
 Title

VP of Finance

October 10, 2014



Texas State University System Office
OPERATING & NON OPERATING QUARTERLY INVESTMENT REPORT
 PERIODS ENDING 08/31/2014 (TRADE DATE FULL ACCRUAL BASIS)

Agency/Description	Cusip Number	Purchase Date	Maturity Date	Beginning Market Value		Ending Market Value		Quarter Ending 08/31/14	
				As of 05/31/14	As of 08/31/14	As of 08/31/14	As of 08/31/14	Investment Income	Return
Operating Funds									
Cash in Bank				\$ -	\$ -	\$ -	\$ -	\$ -	-
Cash in Treasury				\$ -	\$ -	\$ -	\$ -	\$ -	-
TexPool Series 0002	Designated Fund			\$ 6,071,944.75	\$ 5,883,671.14	\$ 5,883,671.14	\$ 5,883,671.14	\$ 455.38	0.01%
Wells Fargo	Designated Fund			\$ 19,731.41	\$ 62,422.20	\$ 62,422.20	\$ 62,422.20	\$ -	0.00%
Totals				\$ 6,091,676.16	\$ 5,946,093.34	\$ 5,946,093.34	\$ 5,946,093.34	\$ 455.38	0.01%
									6 Month T-Bill 0.03%

Non-Operating Funds									
Cash in Bank (Wells Fargo)				\$ -	\$ -	\$ -	\$ -	\$ -	-
TexPool Series 0001	Interest & Sinking			\$ 2,735,900.59	\$ 2,731,314.99	\$ 2,731,314.99	\$ 2,731,314.99	\$ 239.40	0.01%
TexPool Series 0007	Capitalized Interest			\$ 1,115,962.92	\$ 7,024,605.78	\$ 7,024,605.78	\$ 7,024,605.78	\$ 418.39	0.02%
Totals				\$ 3,851,863.51	\$ 9,755,920.77	\$ 9,755,920.77	\$ 9,755,920.77	\$ 657.79	0.02%
									ML 1-3 Year Treasury 0.04%

Texas State University System Office investment portfolios comply with investment strategy expressed in Texas State University System Investment Policies and with relevant provisions of the Texas Public Funds Investment Act.


 Vice Chancellor for Finance


 Associate Vice Chancellor for Finance

10.10.2014
 Date

Texas State University System Planning and Construction

*Bill Scott, Chair
Ron Mitchell
David Montagne*

Action Items

1. SHSU: Design Development Documents for South Residence Complex
2. TSUS: Revision of System Policies and Procedures Manual for Planning and Construction
3. TSUS: Approval of Indefinite Quantity Project Management Contracts
4. TSUS: Additions and revisions to Capital Improvements Program

APPENDIX

5. TSUS: APPENDIX: Executive Summary

SHSU: Design Development Documents for South Residence Complex

Upon motion of Regent _____, seconded by Regent _____, it was ordered that:

The design development documents prepared by SHW Group of Houston, Texas, for the South Residence Complex project at Sam Houston State University and the projected total project cost of \$67,400,000 be approved, with the source of funding being Texas State University System Revenue Financing Bonds and Auxiliary Residence Life funds.

Explanation

Campus Master Plan/CIP: This project is in the campus master plan and master plan update adopted by the Board of Regents in February 2013. The South Residence Complex project is on the TSUS CIP.

Background Information: This project known as the *South Residential District* was originally listed on the FY2014-1019 Capital Improvement Program adopted by the Board of Regents in May 2013. It comprised housing, food service and parking elements. However, during the project's programming, it was determined that each element should proceed as a separate project. The preliminary budget was \$64,400,000 based on the initial conception of the *South Residence Complex* project. It was then adjusted to align with the identified components for the Living and Learning program necessities and additional rooms.

Project Site: This project will be located in the South District on the old site of the Richmond Apartments in between Avenue I and Avenue J adjacent to Raven Village. The site has a thirty (30) foot elevation grade change from the North to the South property limits, thus contributing to a higher foundation cost than those that would be incurred with a fairly level site. In addition, the project includes several topographic solutions to accommodate pedestrian access traversing the site. Accommodations for service access traffic between the Allen & Adams House and the new Residence Hall also require greater site work improvements to establish the facility on the project site.

Scope of the Project: The scope of the work for the new South Residence Complex will provide a living-learning environment for 700 students. The 233,320 gross square foot project features a Community Learning Center, a community building lounge/pre-function area, faculty-in-residence facilities, laundry and recreation facilities, multipurpose rooms, community kitchens, study rooms and outdoor social and study spaces. Two different unit types are included: single room suites with a bathroom and double room suites sharing a bathroom. Communities of 42 – 45 students will share a social lounge, community kitchen and study rooms. A guest bathroom is provided on each floor adjacent to the study rooms to accommodate overflow housing if needed. Two staff apartments are provided, as well as an additional apartment for a faculty-in-residence. Construction is planned to start in March 2015 with substantial completion in June 2016. Final completion is scheduled for July 2016.

In a separate project, a 421 space surface parking lot is currently being constructed, providing shared campus parking for residents and commuters.

Construction Manager-at-Risk: The construction manager-at-risk for the Project is Linbeck, of Houston, Texas.

Project Justification: The proposed new residence hall is necessary as the campus currently has a shortfall of beds. The 2012 Master Plan Update stated that 1,500 new beds would be required within the next seven years to accommodate the projected increase of on-campus enrollment. As King Hall was demolished for the new Student Health & Counseling Center, the University is in need of replacement beds as well as another 1,500 new beds in order to maintain the requirement for incoming freshmen to live on campus. Currently, upper classmen who wish to continue living on campus are being turned away. As a gateway to campus, the new South Residence Complex project will be a signature building that reflects the mission of Sam Houston State University and Residence Life. This highly active and engaging living and learning environment will offer students a strong sense of community, a place where they feel connected to the University, comfortable, and eager to live on campus. Functionality, energy efficiency and flexibility are key elements of this new facility inside and out. This new residential complex will enhance the South Housing District with great, green outdoor spaces for the students to use.

Funding Source(s): The project will be funded from Texas State University System Bonds [ResLife – SHSU] (\$62,900,000) and Auxiliary Residence Life funds (\$4,500,000).

Design Development Submittal Documents: The Design Development Submittal documents follow this Motion.

Operating and Maintenance Cost

The completion of these improvements will result in a projected operation and maintenance cost of approximately \$5.55 per square foot for maintenance \$1.30 per square foot for power/utilities for a yearly total of \$164,280 and \$37,000.00 respectively.

Environmental Impact

Sam Houston State University anticipates no negative environmental impact as the result of this project.

Certification

The design documents submitted by the Architect/Engineer have been reviewed and found to be a complete and satisfactory Design Development (35% or more) design submittal. This certification is based on a review by the Component, and upon receipt by the System Office and/or the Component of a satisfactory statement from the Architect/Engineer of record for every discipline that to the best of their knowledge the design is complete, and all that remains to be provided are details required for the creation of construction documents and the preparation of such documents.

Total Project Budget

Construction Cost Limitation (CCL):	\$56,273,107
Owner's Construction Contingency:	included in CCL
Total Estimated Construction Cost:	\$56,273,107
CM Pre-Construction Services	\$89,520
Architect /Engineer Fees:	\$3,125,000
Furnishings and Equipment:	\$3,298,883
Owner Contracted Services / Other Work:	included below
Owner Provided Services / Miscellaneous:	\$1,335,180
Project Contingency:	\$2,010,720
Project Management Administrative Fees:	\$600,000
Landscape Enhancement	Incl. in CCL
Public Art	<u>\$667,590</u>

Estimated Total Project Cost (TPC): \$67,400,000

This budget represents the University's best estimate of project costs at this stage of design, based upon third-party construction estimates reconciled between the Architect's Cost Estimating Consultant and the Construction Manager at Risk.

Information Regarding Soft Costs in Total Project Budget

Construction Cost Limitation (CCL) is the sum of all the amounts related to construction cost which include the cost of the construction work itself, the profit and overhead for the construction professional, the construction professional's administrative cost to support the project during the construction duration and the construction contingency which is the mutually agreed upon amount between the System and the construction professional for the risk to complete the project based on the completion and refinement of the construction drawings.

CM Pre-Construction Services is the amount contractually agreed upon to compensate the Construction Manager-at-Risk for services rendered during the pre-construction phase of the Project.

Owner's Construction Contingency is the budgeted amount available to the Owner to assist in any monetary issues that may arise after the project is bid. This amount represents 3% of the CCL.

Architect/Engineer Fees are the contracted amounts due the Project Architect/Engineer for its services on the Project.

Furnishings and Equipment represents the projected cost of furniture, fixtures and equipment to be incorporated into the Project. Included in this project are basic furniture, permanent fixtures, technology, audio/visual items, fundamental residence hall operating equipment and exterior furnishings.

Owner Contracted Services / Other Work includes construction materials testing, surveys and geotechnical services.

Project Contingency is for the operational aspects of the project, including professional services amendments, project expenses incurred by users and others, additional fees and other miscellaneous costs.

Project Management Administrative Fees is the amount projected to be charged to the Project by the Component to offset personnel and overhead costs in connection with managing the Project.

Landscape Enhancement is the 1% amount of the construction cost, when required by TSUS Rules and Regulations, for the enhancement of exterior landscape, hardscape, and waterscape features. This scope of work is included in the CCL.

Public Art is the 1% of the construction cost when required by TSUS Rules and Regulations, for acquisition of works of public art.



DESIGN DEVELOPMENT PACKAGE

FOR THE

SOUTH RESIDENCE COMPLEX

AT

SAM HOUSTON STATE UNIVERSITY

A MEMBER OF THE TEXAS STATE UNIVERSITY SYSTEM

PRESENTED TO

THE TEXAS STATE UNIVERSITY SYSTEM

BOARD OF REGENTS

NOVEMBER 2014



DESIGN DEVELOPMENT FOR THE
SOUTH RESIDENCE COMPLEX AT
SAM HOUSTON STATE UNIVERSITY
SEPTEMBER 2014

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& Operations*

DESIGN DEVELOPMENT FOR THE
SOUTH RESIDENCE COMPLEX AT
SAM HOUSTON STATE UNIVERSITY
SEPTEMBER 2014

DESIGN TEAM

ARCHITECTS

TREANOR ARCHITECTS - DESIGN ARCHITECT

Nadia Zhiri, AIA, LEED AP *Principal-in-Charge, Design*

James Reittinger *Director of Design, Project Designer*

Brady Jobe *Project Architect + Revit Coordinator*

Matthew Johnston *Project Assistant*

SHW GROUP - EXECUTIVE ARCHITECT

Don Hensley, AIA, LEED AP *Practice Director*

Erin Machac, AIA, *Senior Associate*

Peter Dreghorn, *Project Manager*

Jon Pippert, AIA, *Project Architect*

CONSTRUCTION MANAGER AT RISK

LINBECK

Bill Bailey *Client Manager*

Thomas Smith *Project Manager*

DESIGN DEVELOPMENT FOR THE
SOUTH RESIDENCE COMPLEX AT
SAM HOUSTON STATE UNIVERSITY
SEPTEMBER 2014

ENGINEERS

E&C ENGINEERS + CONSULTANTS, INC.

MECHANICAL, ELECTRICAL & PLUMBING

Heather Camden, PE LEED AP *Mechanical*

Wayne Pellerin, PE *Electrical*

Ghasson (Gus) Mobayed, PE *Plumbing*

KIMLEY-HORN ASSOCIATES (KHA) - CIVIL

Mike Moore, PE *Practice Leader*

Chris Harris, PE *Project Manager*

Tyler Lewis, PE *Engineer*

CARDNO HAYNES WHALEY - STRUCTURAL

John Rohrer, PE *Principal*

David Chlebus, PE *Project Manager*

4B TECHNOLOGY GROUP - AV/IT & SECURITY

Geoff Basford, RCDD *Vice President*

CCM CONSTRUCTION SERVICES, LLC - COST ESTIMATION

Jim Nuckolls, *Principal, Lead Estimator*

CONTACT INFORMATION

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1040 Vermont Street
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www.treanorarchitects.com

SHW GROUP/STANTEC

Contact: Erin Machac
Senior Associate
c. 281.773.4297
emachac@shwgroup.com
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Suite 200
Houston, Texas 77046
o. 713.548.5830

www.shwgroup.com

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South Residence Complex
ARCHITECTURAL RENDERINGS
+ ELEVATIONS

TAB 1



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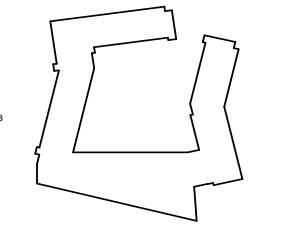
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SOUTH RESIDENCE COMPLEX
HUNTSVILLE, TX

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KEY PLAN (NTS)

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EXTERIOR VIEWS
A200A



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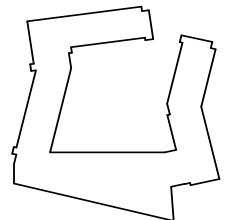
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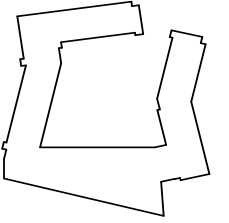


A1 SOUTHEAST CORNER



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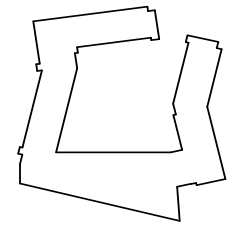
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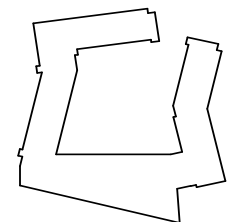
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SHW Project:



A1 COURTYARD VIEW LOOKING SOUTH



KEY PLAN (NTS)



A1 COURTYARD VIEW LOOKING NORTHEAST

378



SHW GROUP

ARCHITECTS | ENGINEERS | PLANNERS
20 E. GREENWAY PLAZA, 200, HOUSTON, TEXAS 77046
P 713.548.5700 F 713.548.5701



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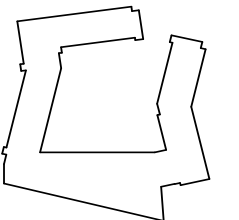


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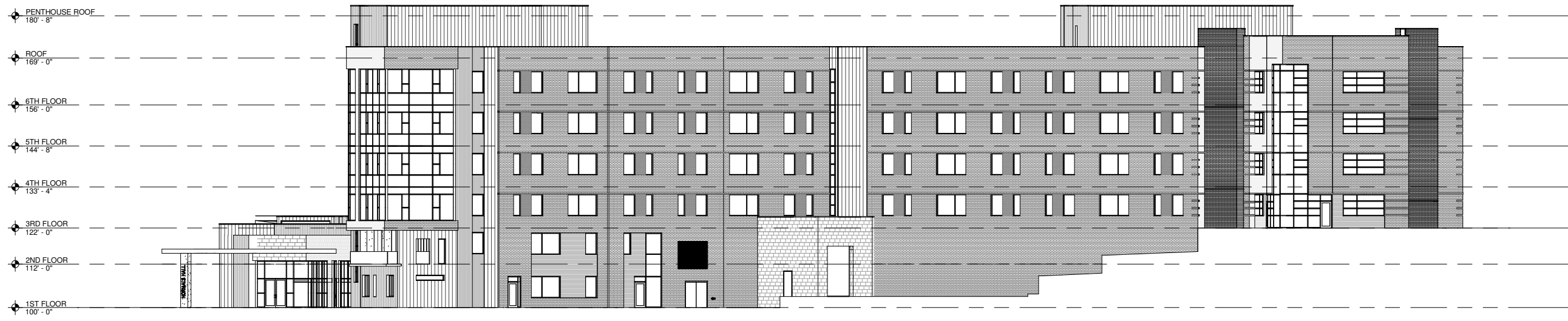
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SHW Project:

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E1 COMPOSITE ELEVATION - SOUTH



A1 COMPOSITE ELEVATION - NORTH

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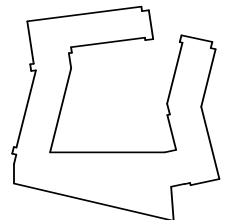
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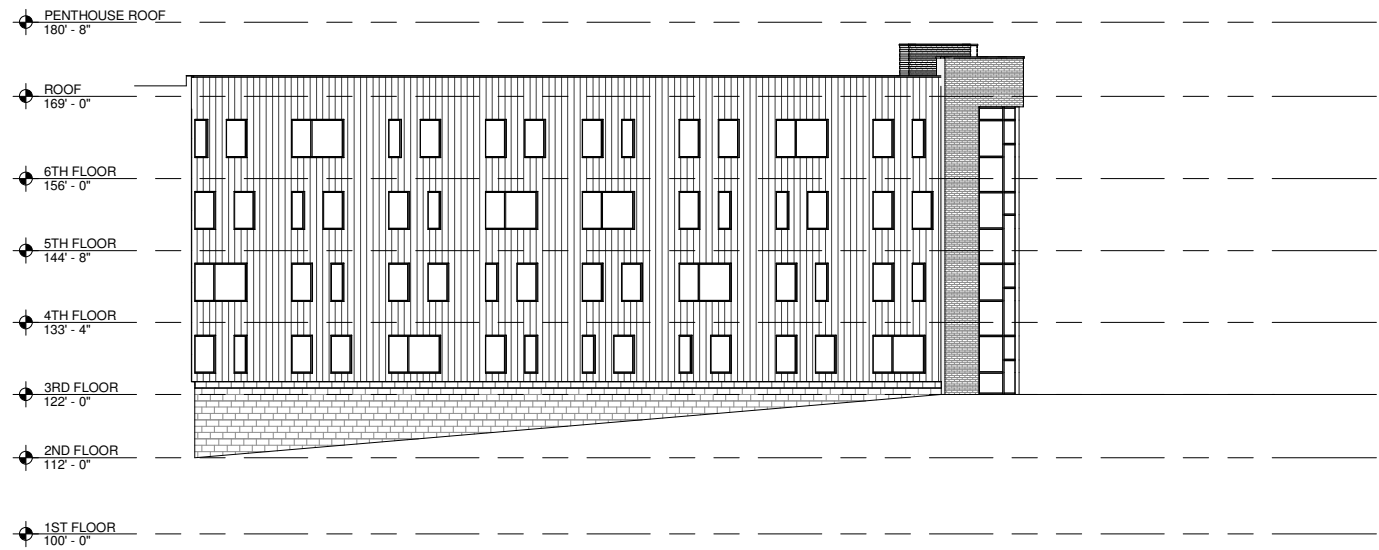
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SHW Project:



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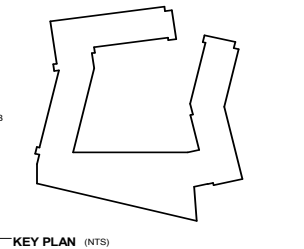
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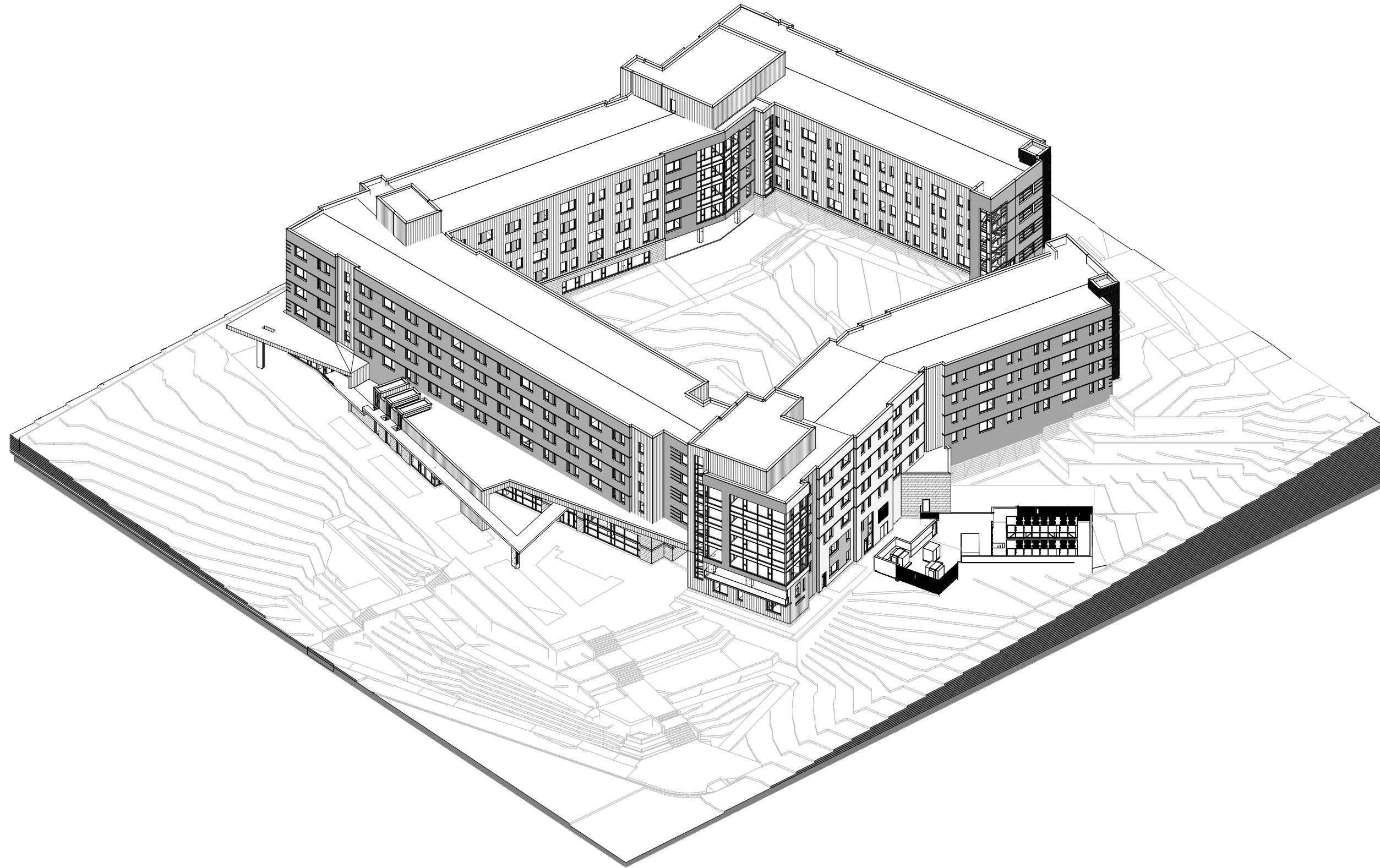
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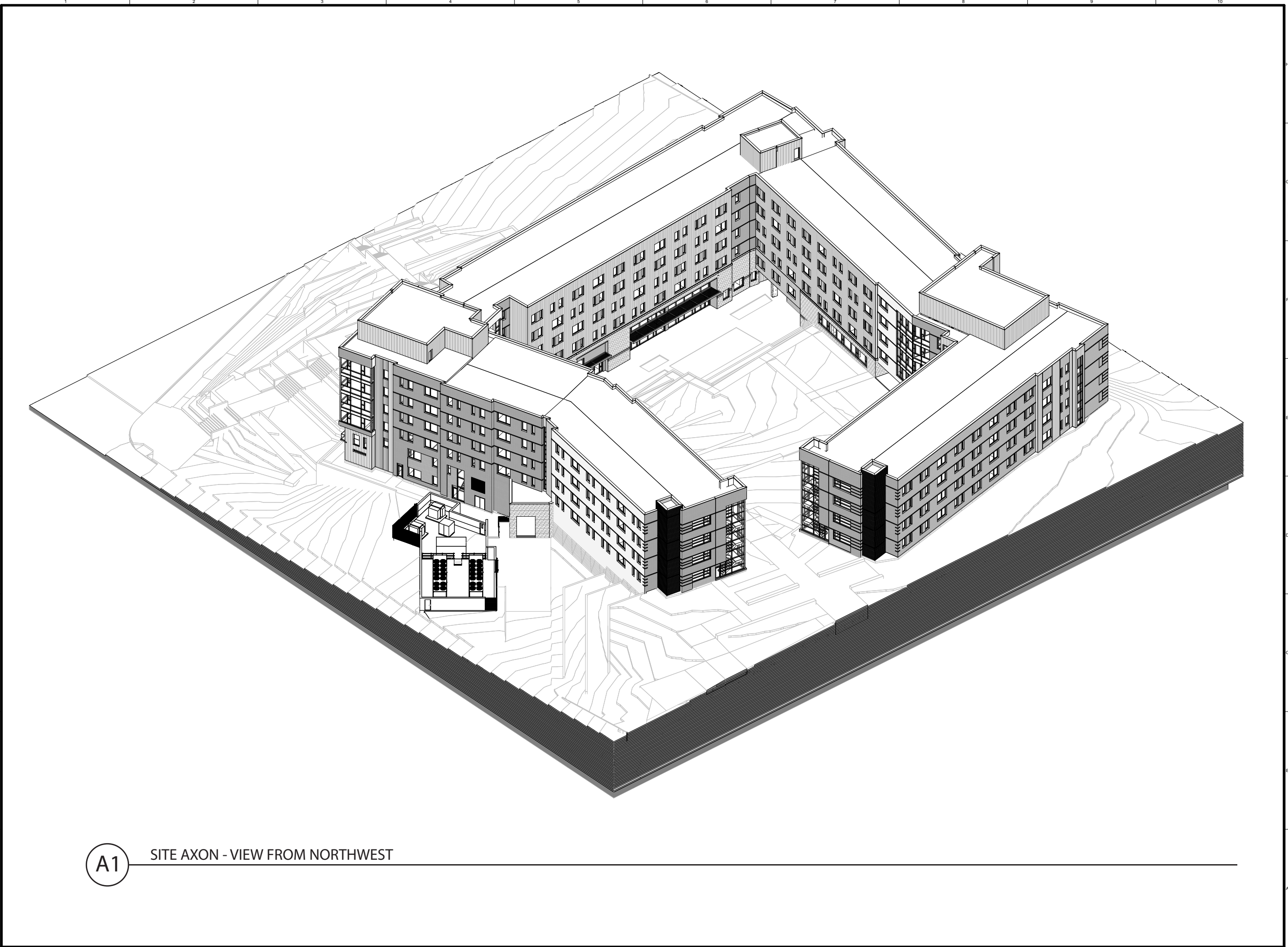
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SHW Project:



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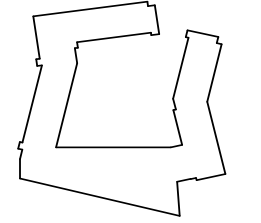
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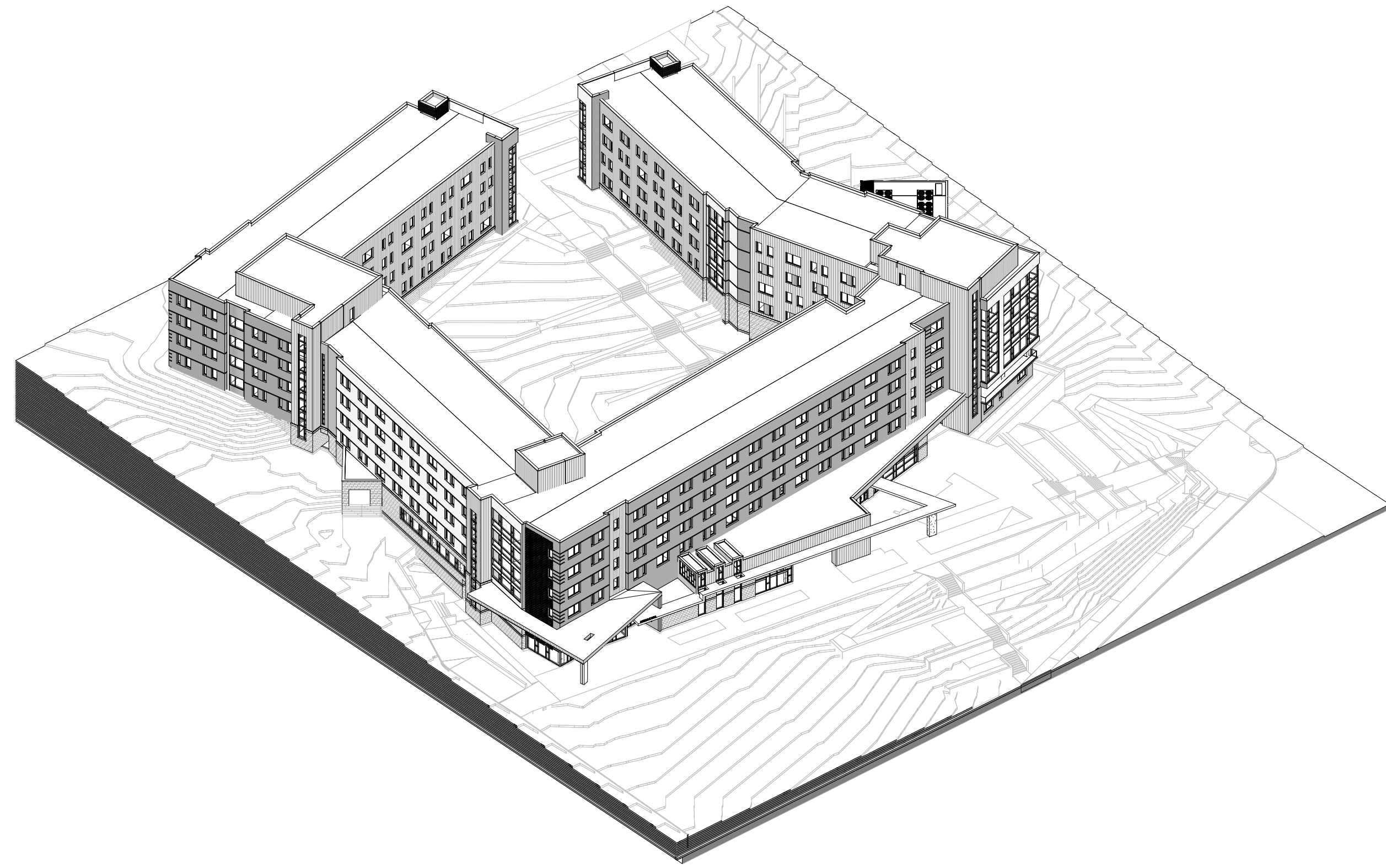
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**EXTERIOR VIEWS
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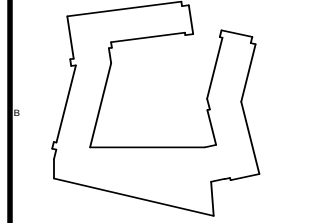
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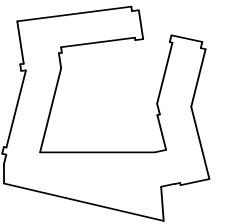
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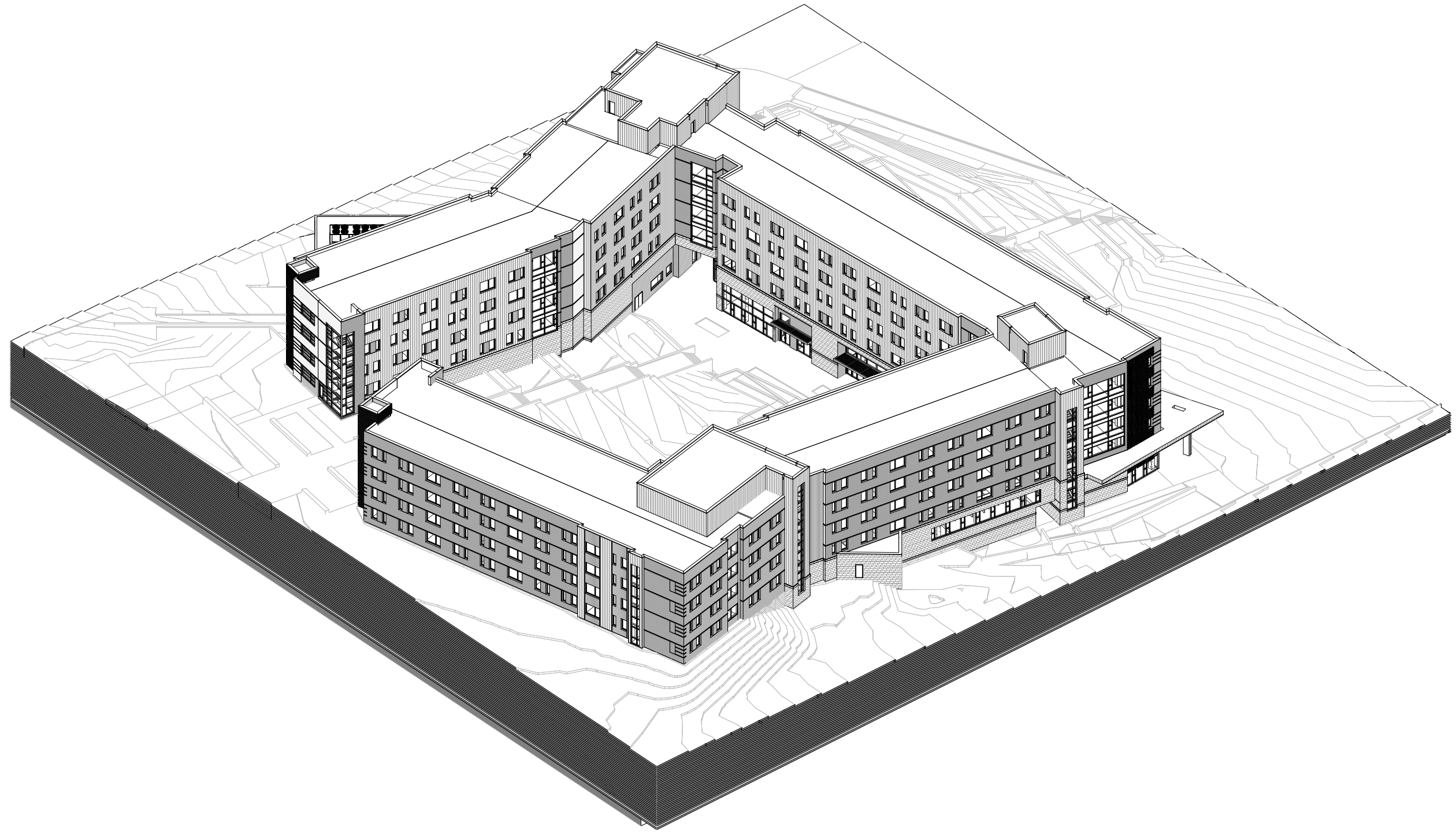
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EXTERIOR VIEWS
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A1 SITE AXON - VIEW FROM SOUTHWEST

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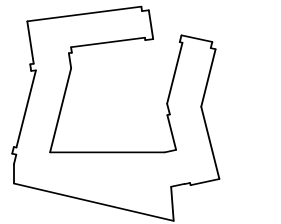
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 HUNTSVILLE, TX

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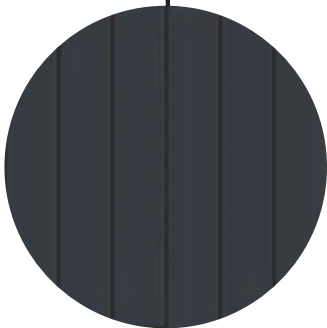
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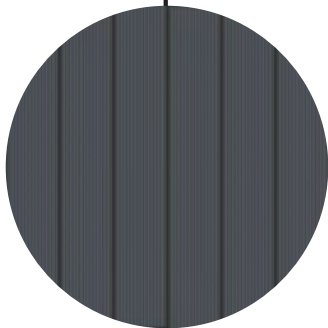
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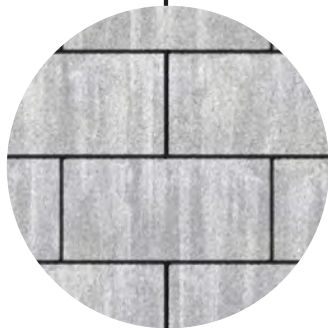
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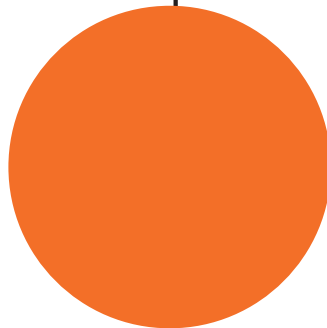
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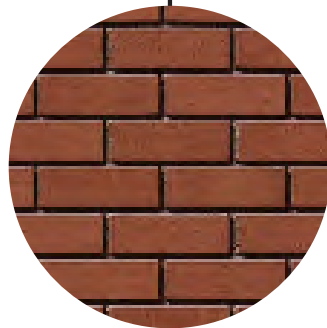
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LIMESTONE 6"X24"



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BRICK

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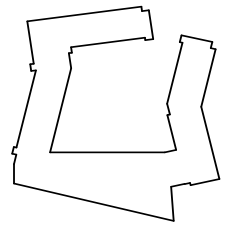
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**SOUTH RESIDENCE COMPLEX
HUNTSVILLE, TX**

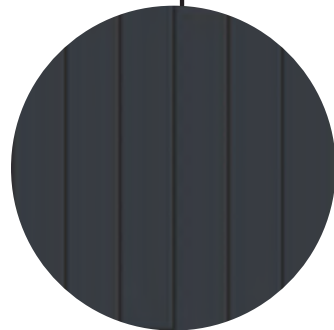
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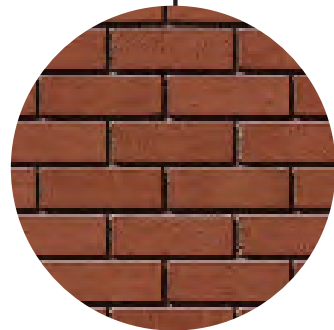
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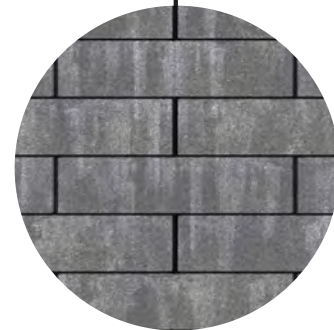
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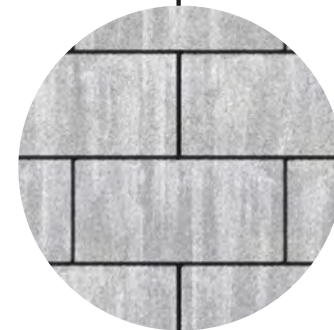
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BRICK



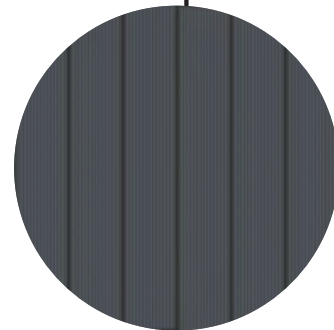
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LIMESTONE 12"X24"



WOOD



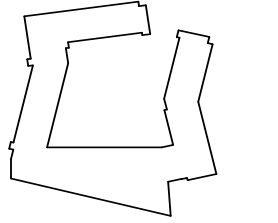
METAL - B

A1 MATERIALS

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South Residence Complex
ARCHITECTURAL SITE PLAN
+ FLOOR PLANS

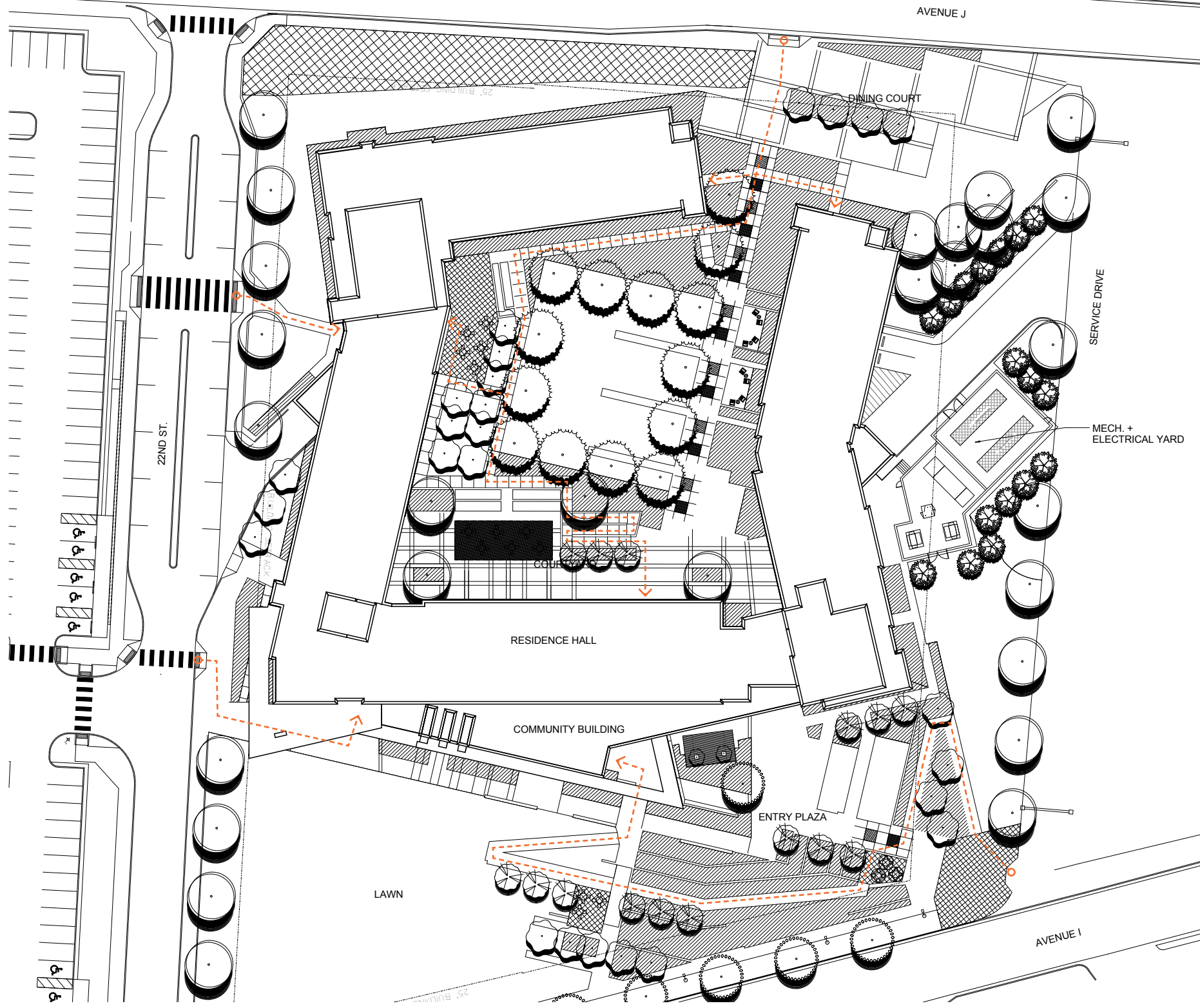
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KEY PLAN (NTS)

SHEET TITLE:
SITE PLAN
A100

SHW Project:



LEGEND
 ACCESSIBLE PATH FROM PUBLIC WAY

A1 SITE PLAN

390



ARCHITECTS | ENGINEERS | PLANNERS
20 E. GREENWAY PLAZA, 200, HOUSTON, TEXAS 77046
P 713.548.5700 F 713.548.5701



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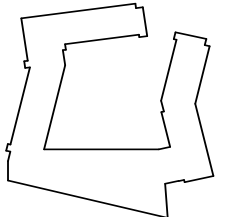
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KEY PLAN (NTS)



SHEET TITLE:

FLOOR PLANS
A100A

SHW Project:

- APARTMENTS
- GUEST BATHROOM
- COMMON / LIVING
- COMMUNITY LEARNING
- CIRCULATION
- SERVICE
- ADMINISTRATION

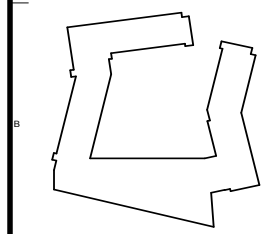


A1 FLOOR PLAN - FIRST FLOOR



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NO.	DATE	DESCRIPTION



KEY PLAN (NTS)



A1 FLOOR PLAN - SECOND FLOOR

392

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- DOUBLE UNIT
- DOUBLE ADA UNIT
- SINGLE UNITS
- GUEST BATHROOM
- KITCHEN & LOUNGE
- STUDY
- CIRCULATION
- SERVICE

A1 FLOOR PLAN - THIRD FLOOR



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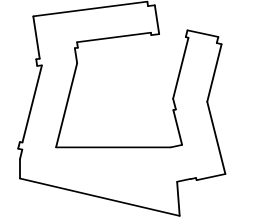
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KEY PLAN (NTS)

SHEET TITLE:
FLOOR PLANS
A100C

SHW Project

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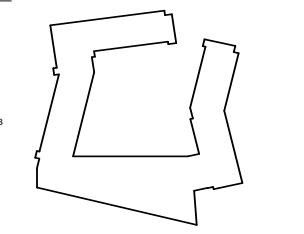
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ISSUE:



KEY PLAN (NTS)

SHEET TITLE:



**FLOOR PLANS
A100D**



- DOUBLE UNIT
- DOUBLE ADA UNIT
- SINGLE UNITS
- GUEST BATHROOM
- KITCHEN & LOUNGE
- STUDY
- CIRCULATION
- SERVICE

A1 FLOOR PLAN - FOURTH FLOOR

395

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- DOUBLE UNIT
- DOUBLE ADA UNIT
- SINGLE UNITS
- GUEST BATHROOM
- KITCHEN & LOUNGE
- STUDY
- CIRCULATION
- SERVICE

A1 FLOOR PLAN - FIFTH FLOOR



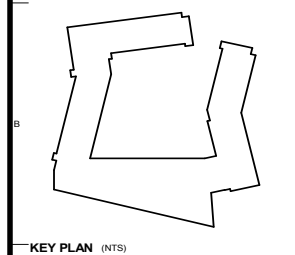
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SHEET TITLE: FLOOR PLANS
A100E

SHW Project

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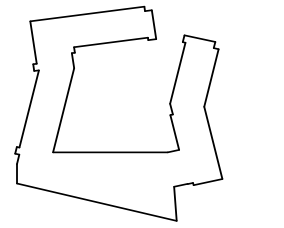
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HUNTSVILLE, TX

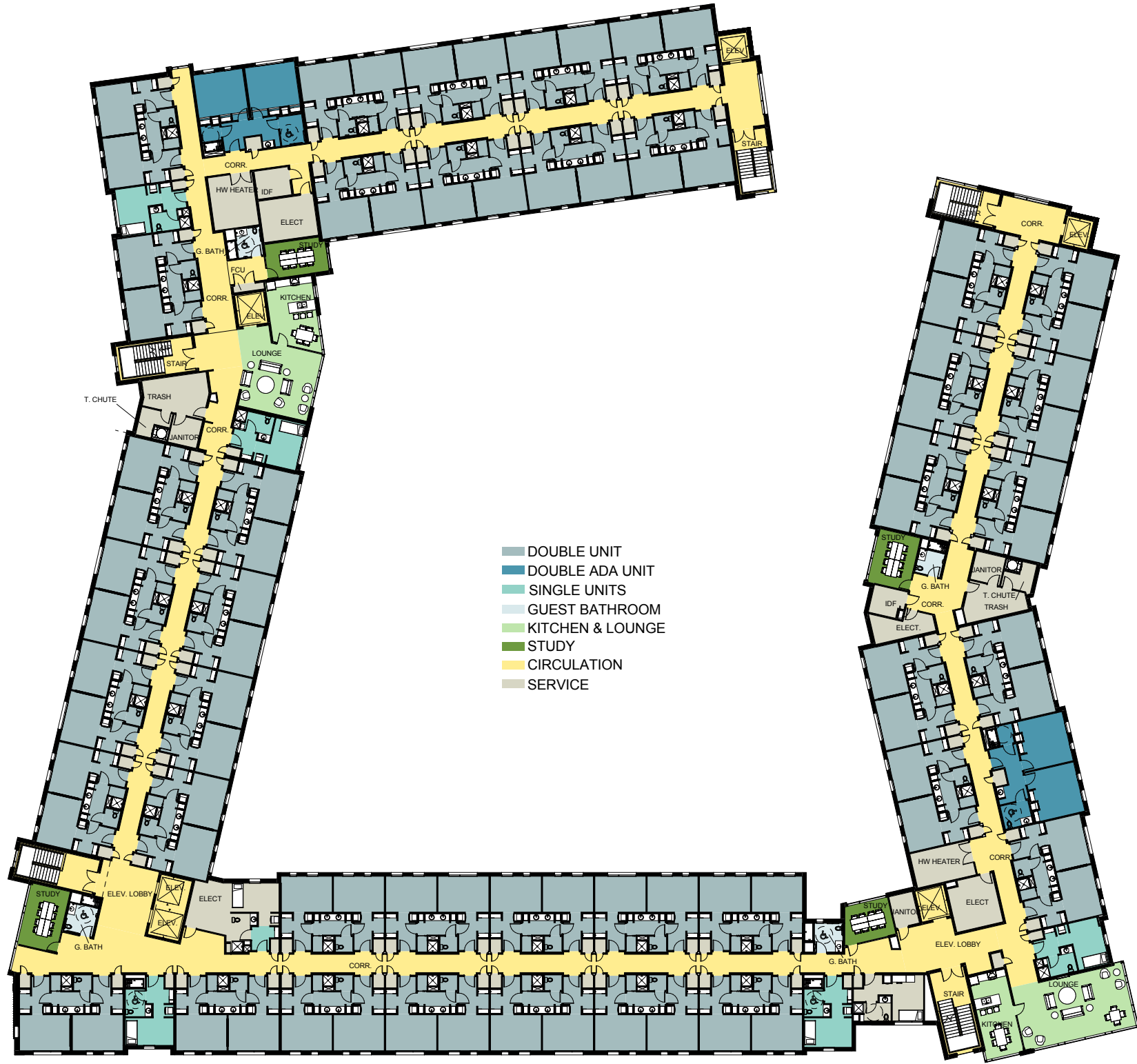
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ISSUE:



SHEET TITLE:
**FLOOR PLANS
A100F**

SHW Project:



- DOUBLE UNIT
- DOUBLE ADA UNIT
- SINGLE UNITS
- GUEST BATHROOM
- KITCHEN & LOUNGE
- STUDY
- CIRCULATION
- SERVICE

A1 FLOOR PLAN - SIXTH FLOOR

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396

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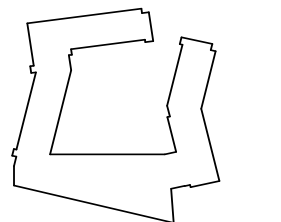
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 HUNTSVILLE, TX**

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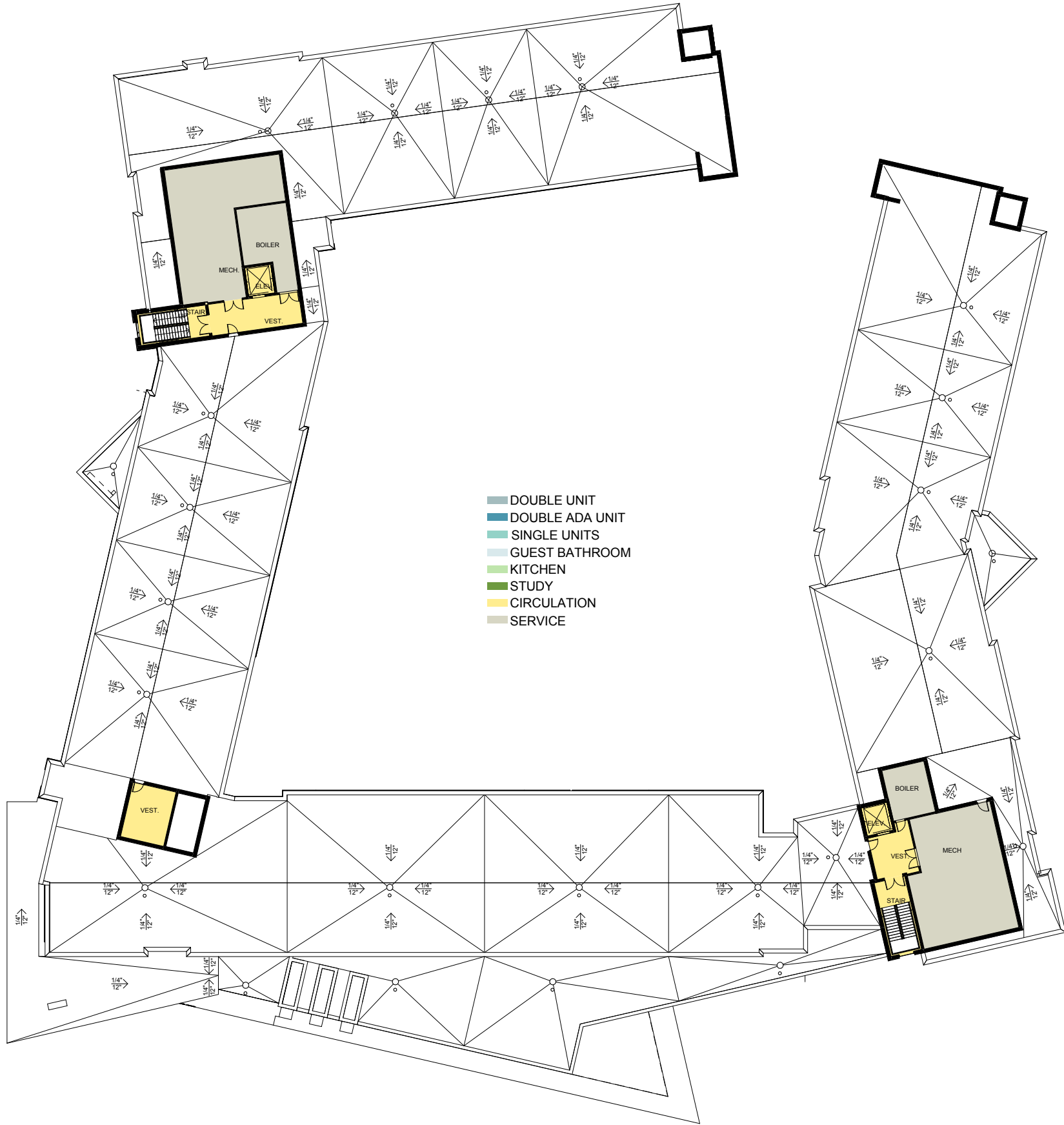
ISSUE:



KEY PLAN (NTS)

SHEET TITLE:
**FLOOR PLANS
 A100G**

SHW Project:



A1 FLOOR PLAN - PENTHOUSE

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South Residence Complex
ENLARGED FLOOR PLANS

TAB 3



DESIGN DEVELOPMENT REVIEW
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PERMITTING, OR CONSTRUCTION

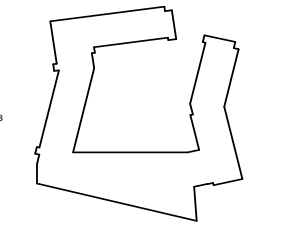
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SOUTH RESIDENCE COMPLEX
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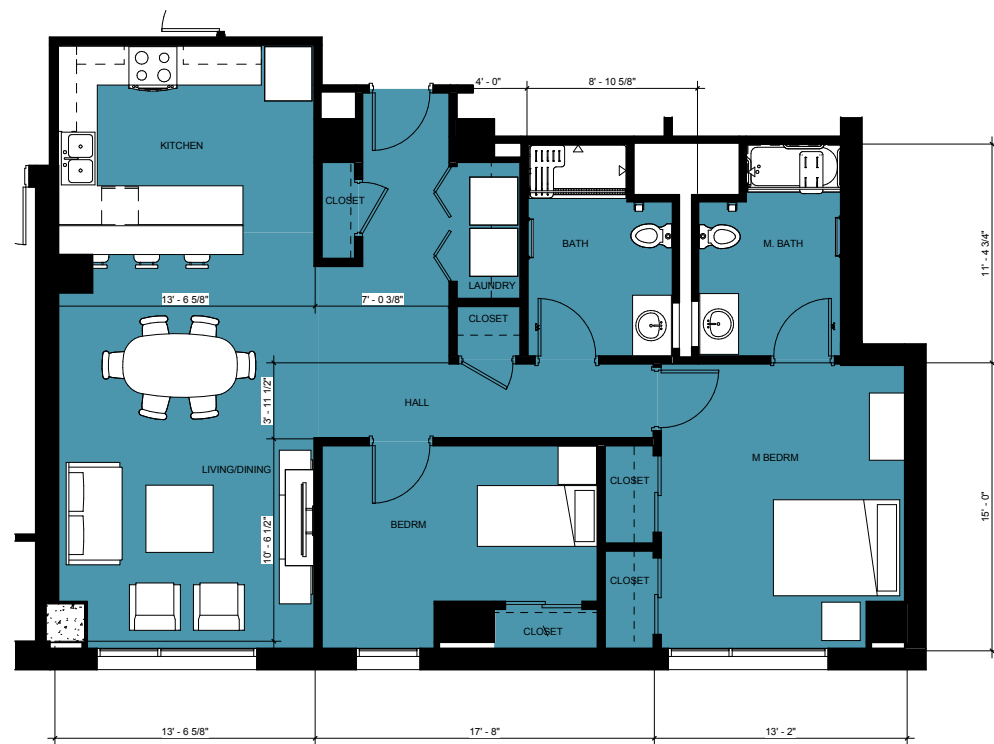
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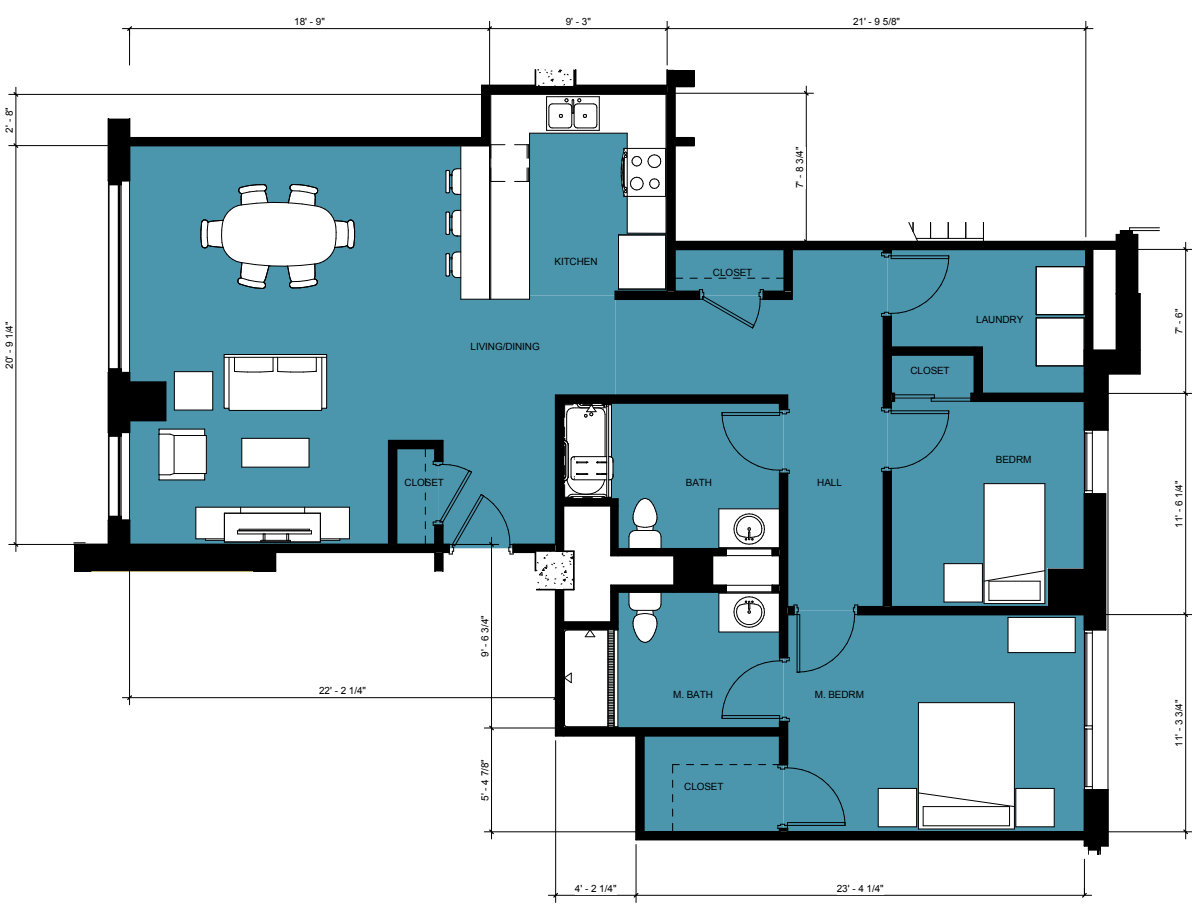
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SHEET TITLE:
ENLARGED
FLOOR PLANS
A400A

669

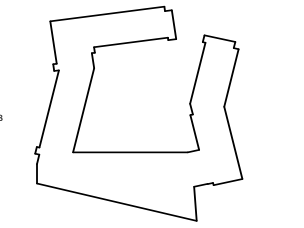


A1 FLOOR PLAN - HALL DIRECTOR APARTMENT



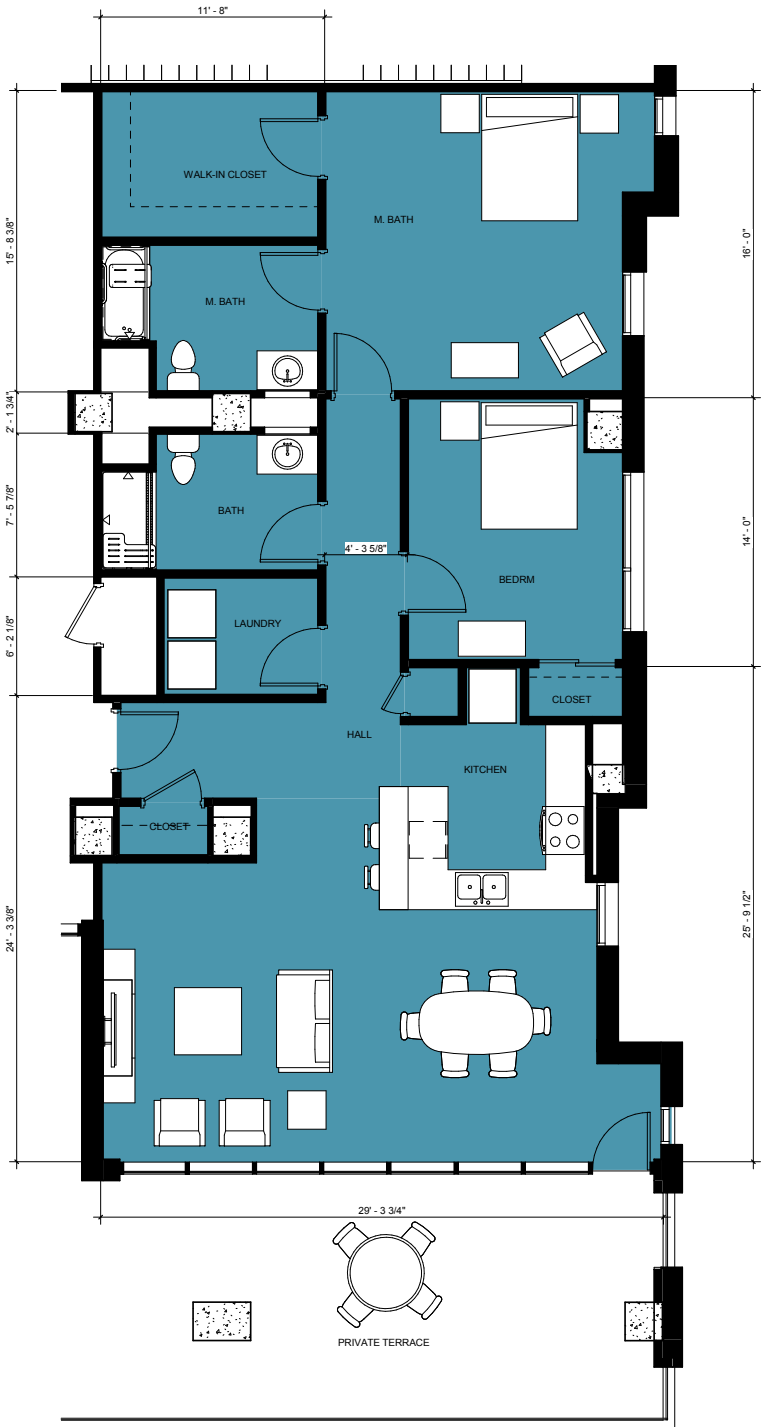
A4 FLOOR PLAN - HALL DIRECTOR APARTMENT

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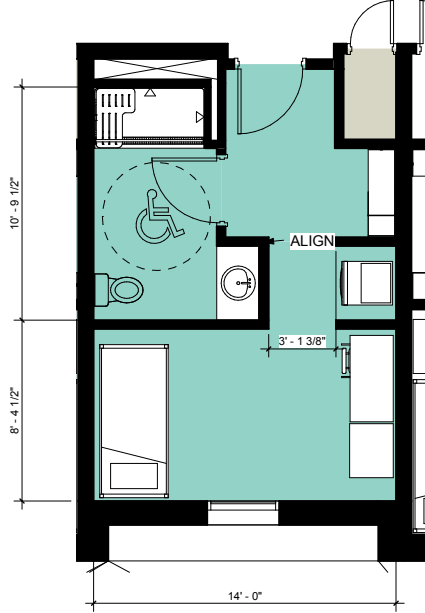


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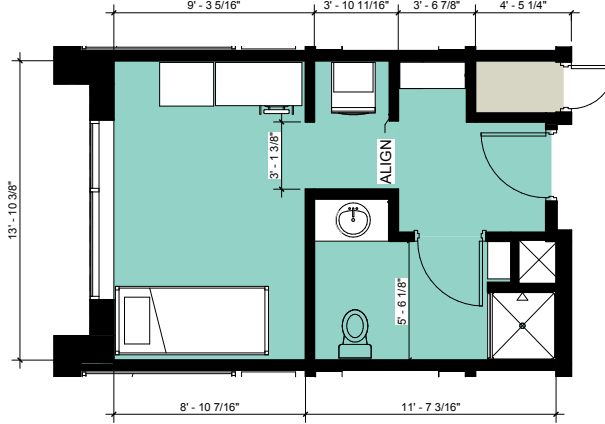
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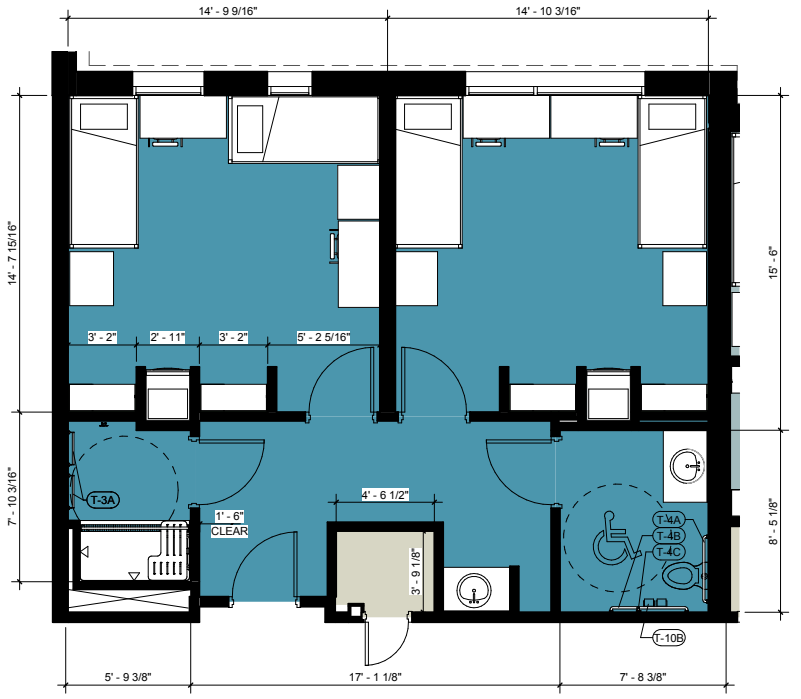
A1 FLOOR PLAN - FACULTY-IN-RESIDENCE APARTMENT



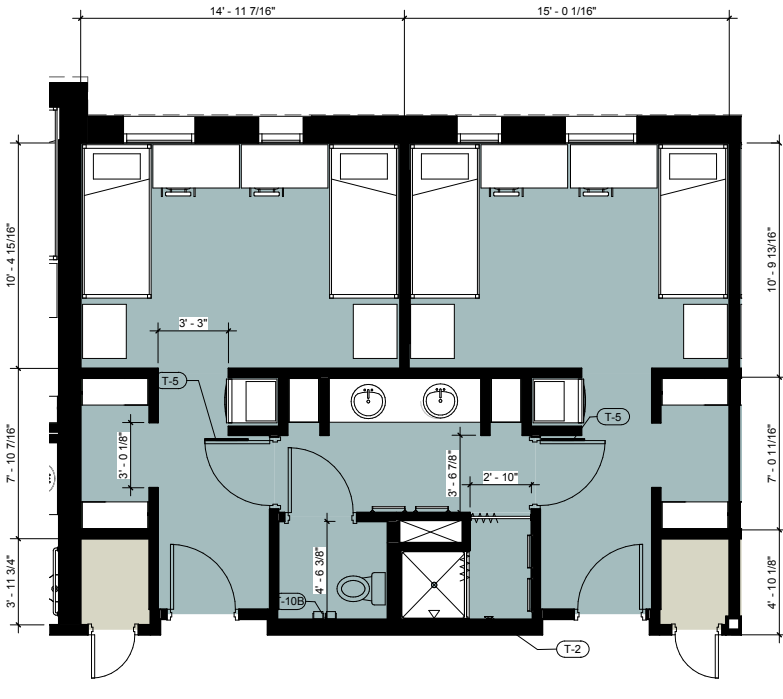
E1 UNIT PLAN - SINGLE ADA



E4 UNIT PLAN - SINGLE



A1 UNIT PLAN - DOUBLE ADA



A4 UNIT PLAN - DOUBLE



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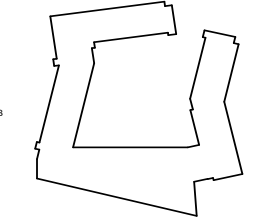
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SOUTH RESIDENCE COMPLEX
HUNTSVILLE, TX

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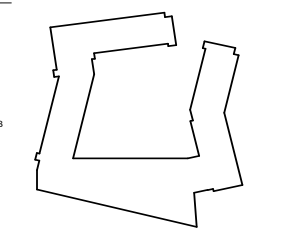


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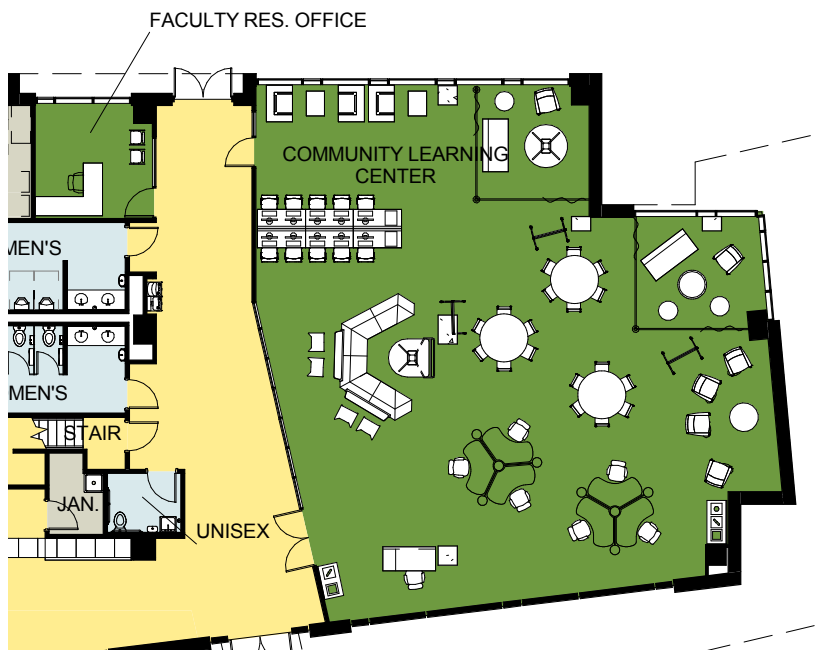
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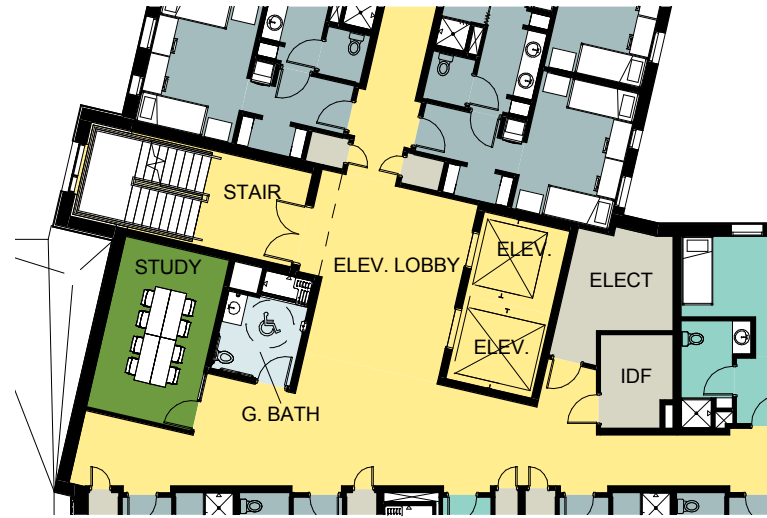
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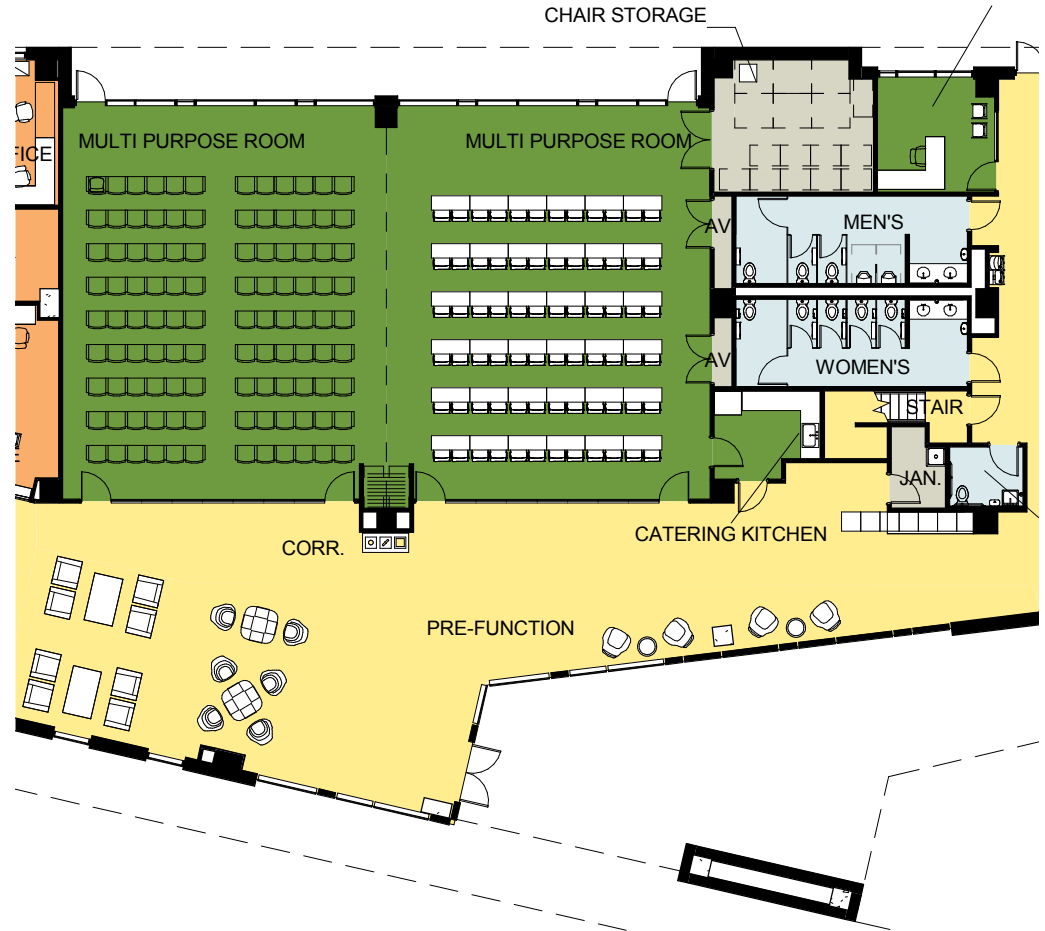
E1 COMMUNITY LEARNING CENTER AND FACULTY OFFICE



E4 TYPICAL ELEVATOR LOBBY, STUDY ROOM AND GUEST BATH



A1 COMMUNITY BUILDING LOBBY AND OFFICE SUITE



A4 COMMUNITY BUILDING MULTI-PURPOSE ROOM

402

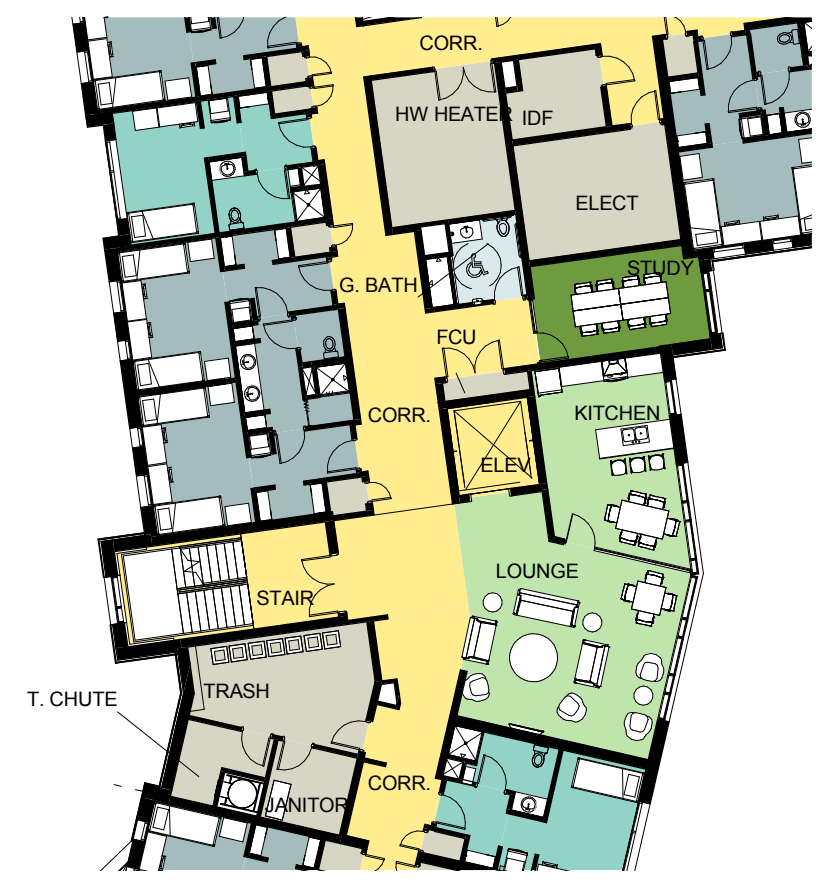
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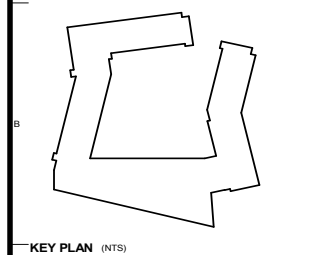
A1 RECREATION AREA, LAUNDRY AND TOUR ROOM



E4 RESIDENTIAL FLOOR KITCHEN, STUDY AND LOUNGE



A4 RESIDENTIAL FLOOR KITCHEN, STUDY AND LOUNGE



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South Residence Complex PROJECT NARRATIVES

Architectural
Landscape
Civil
Mechanical
Electrical
Plumbing
Information Technology/AudioVisual + Security

TAB 4

PROJECT SCOPE SUMMARY



South Residence Complex

The New South Residence Complex project will provide a living-learning environment for 700 students [originally programmed at 670 beds]. Two different unit types are to be provided in the project and they include single room suites with a bathroom and double room suites sharing a bathroom. Communities of 42 – 45 students will share a social lounge, community kitchen and study rooms. A guest bathroom will be provided on each floor adjacent to the study rooms to accommodate overflow housing if needed.

Two staff apartments will be provided with an additional apartment for a faculty - in

- residence. The faculty - in - residence apartment will feature a private exterior terrace on the second level of the building. The building complex has a total of 233,320 Gross Square Feet [originally programmed at 215,354 Gross Square Feet] resulting in 333 Gross Square Feet per bed. Construction is planned to start in February 2015 with substantial completion in June 2016. Final completion is July 2016.

Under a separate project, a 421 space surface parking lot is currently being constructed. This will be shared campus parking for residents and commuters.

BUILDING DESIGN NARRATIVES

ARCHITECTURAL DESIGN

The New South Residence Complex project will provide a living-learning environment for 700 students. Two different unit types are to be provided in the project and they include single room suites with a bathroom and double room suites sharing a bathroom. Communities of 42 – 45 students will share a social lounge, community kitchen and study rooms. A guest bathroom will be provided on each floor adjacent to the study rooms to accommodate overflow housing if needed. Two staff apartments will be provided with an additional apartment for a faculty-in-residence. The faculty-in-residence apartment will feature a private exterior terrace on the second level of the building.

The new facility will be of institutional grade construction, incorporating a metal stud load bearing structural system with brick masonry, cut/precast stone, metal panel, and punched aluminum window openings. Aluminum curtain wall will be featured at the common public spaces and stairwells.

Project Mission & Goals

As a gateway to campus, the New South Campus Housing project will be a signature building that reflects the mission of Sam Houston State University and Residence Life. This highly active and engaging living and learning environment will offer students a strong sense of community, a place where they feel connected to the University, comfortable, and eager to live on campus. Functionality, energy efficiency and flexibility are key factors that make up the components of this new facility inside and out. This new residential complex will enhance the South Housing District with great, green outdoor spaces for the students to use.

Living Experience

This welcoming environment for the New South District Residence Hall is designed to engage the residents, staff and their guests. Building on the

university's rich traditions and progressively forward thinking in design, the living environment will artfully embrace SHSU culture and history while bringing in a modern feeling of progress and innovation.

Materials selection helps identify, remember and transition from the past to future. Cut/precast stone is used to ground the building as it emerges from the landscape. Brick, glazing and metal panels represent the present to create unity. The building is capped in a more contemporary way with highlighted community spaces all in glass to see and be seen.

Organizationally a community of its own, this neighborhood for 700 residents is also an integral part of the greater South Campus District. Students will find what they need, want and desire – whether it's play or academic focus. Amenity spaces to support students' personal, social and academic success include:

- A Community Learning Center
- A Community Building lounge
- Faculty-in-Residence
- Laundry and Rec facilities
- Outdoor social and study spaces
- Multipurpose Rooms
- Community Kitchens
- Study Rooms

Laid out logically and easy to access, the building will be a welcoming and secure environment. All public and semi-public amenity spaces are located on the main floor with visual access to the exterior gathering spaces and entrance. The hall coordinator offices are centrally located for ease of access. The residence hall coordinator apartments are located on the ground floor to the north in a more semi-public area separated from student spaces. The faculty-in-residence apartment is on the second floor with a private exterior terrace providing views back to campus.

The front door to the building faces north toward the campus. The complex is highlighted by a Community Building at its base clad in large masonry to recall the historic buildings on campus. The housing block

above is clad in brick on the public side recalling detailing seen in older buildings on campus while the inner court facades are clad in metal panels. The floor lounges and study rooms are glass enclosed; these elements provide the entire district visual access of community activity: people studying and socializing. The stairs are also enclosed in glass providing a visual connection to the active nature of the building.

The exterior community space, as a whole, provides seamless access between indoor and outdoor activities. This outdoor space is intentionally designed to add to the building's function – with uses in mind such as class meetings, outdoor dining, outdoor community gatherings or environmental projects. Two portals provide additional covered space and an abundance of glass allows visual connection indoors and outdoors. Sidewalks, terraces, ramps intertwined with greenery help activate the exterior community spaces.

LANDSCAPE DESIGN

The new South Campus Housing Facility will create a gateway to the Campus from the south. A strong visual and pedestrian axis will be created through the site to the main campus

Courtyard Spaces

The courtyard space created at the building core will be a central gathering space and will be an extension of the building. A series of outdoor spaces within the courtyard will be small, intimate spaces that can be used as study spaces and relaxing areas. Taken as a whole, these small spaces can be used for larger gatherings.

The courtyard space also serves as an extension of the proposed Dining Facility. Its close proximity to the dining hall allows the courtyard to be used for casual dining or even just a rendezvous point for students coming from other areas of campus.

The program of the courtyard space will primarily be a passive space. Passive uses include formal seating areas with tables and chairs as well as informal seating areas along the low retaining walls. The south east corner includes an amphitheater space that could

hold small music events, large study groups or just a place to bring your lunch and relax. The courtyard spaces will encourage students to get out of doors and interact in both small and large groups, supporting the live-learn program of the facility. Outdoor furniture, tables and chairs, benches, etc., will be provided.

There is roughly twenty feet of grade change west to east across the courtyard space. Using the College Main site as inspiration the courtyard terraces down in small drops minimizing the need for hand rails and keeping the space as open as possible. Accessible access wraps around the perimeter of the courtyard helping tie the space together and slopes gradually (under 5%) except for two areas of ramps at 8%.

Lighting in the courtyard spaces will be provided so the spaces are inviting and functional even after the sun goes down. Lighting will be pedestrian-scale pole lights that match the Campus standard as well as lights integrated into the walls.

Planting in the courtyard spaces will be shrub, ground cover and perennial beds. Large trees will be strategically located to provide shade so that these areas are inviting and comfortable. Smaller, understory trees will be located to provide color, interest and help soften the hardscape elements.

Entry Plazas

The North West plaza is designed to accommodate the move in move out days with removable bollards and a landscape area helping direct cars in and out. The rest of the year the plaza will be populated with tables and chairs as well as informal seating areas along low garden walls.

The North East plaza drops fifteen feet from the building down to Avenue I. The fifteen feet is broken up into three terraces creating additional seating along the retaining walls as well as tables and chairs in the paved areas. There are two series of stairs, one along the north sided and one along the east side, both landing near the main entry to the building. The accessible route is integrated with the terraces and connects the two stairs limiting the ramps to one area at the north end.

Landscape Materials

The selection of landscape plant materials has been coordinated with Sam Houston State Staff. Plant material will be selected based on low water use, low maintenance requirements and the ability to withstand a campus environment while being aesthetically pleasing. Lawn areas are strategically designed into the spaces to maximize their use but the overall use of lawn will be minimized to reduce the amount of water required for irrigation as well as to reduce the amount of required maintenance.

Irrigation System

The irrigation system incorporates all of the Campus irrigation standards. Components are efficient with zones separated based on water needs, exposure and more. The system includes all necessary equipment to connect to the Campus Central Control system. As part of SECO requirements there will be a 15,000 gallon storage tank system (by Civil) which will reuse water for irrigation of a portion of the site. Drip irrigation is used where feasible in order to irrigate efficiently, minimize overspray, etc.

Hardscape Materials

Hardscape materials were selected based on durability and aesthetics. Materials complement the building architecture as well as the Campus as a whole. When possible, materials will be locally or regionally sourced. The design of the hardscape areas as well as the selection of materials for those spaces has considered safety and maintenance.

ENGINEERING DESIGN

Civil Design

The project site consists of an existing parking lot and a portion of a multi-family complex that was recently demolished. It is bounded by Avenue J on the west, Avenue I on the east, a future parking lot on the south and an existing parking lot on the north. The civil site design is in conformance with the Sam Houston State University Design Guidelines and Construction Standards as currently revised.

Site Demolition

The site demolition for the project is limited to the removal of existing; parking, storm sewer, irrigation lines, trees, 12" water line, and overhead power as required for construction. An existing communication line is also in the area and is proposed to be relocated/removed as necessary.

Site Paving

Site paving is in accordance with the project geotechnical engineering report. Paving sections have been assigned to areas in accordance with the anticipated use and/or traffic loadings.

Concrete

Concrete paving is a minimum of 6" of 3500 psi concrete over 6 to 8 inches of lime stabilized subgrade for light traffic areas and 7 inches of concrete over 6 to 8 inches of stabilized subgrade for heavy traffic areas.

Parking and Streets

No additional parking areas or roadway construction is proposed with this project. Repair to existing Avenue J and Avenue I may be necessitated by the connection to the existing sanitary sewer system. In a separate project, a 421 space surface parking lot is currently being constructed. This will be shared campus parking for residents and commuters.

Sidewalks

Pedestrian walkways will be constructed with the proposed improvements. These improvements will incorporate an Americans with Disabilities Act compliant route. Pedestrian sidewalks will be paved in accordance with the Sam Houston State University Standards.

Site Grading

Site grading will provide positive drainage away from the building. Grading of the site will comply with the fair housing act, the Americans with Disabilities Act and Texas Accessibility Standards. These guidelines require that an accessible path be provided from at least one entrance of each building to all common facilities and the public right-of-way. Walls, ramps, and stairs are included as required.

Storm Drainage

The project site currently drains east into limited existing underground storm sewers and via sheet flow to Avenue I. Gessner Engineering has included the necessary capacity in their design of the adjacent parking and detention facility for this site. The Gessner detention facility will reduce post development flows to not exceed predevelopment flows.

The storm sewer system has been designed to convey excess and extreme event flows from paved and landscaped areas on site, to a new outfall to be constructed with the parking area improvements, to match the existing drainage patterns.

Storm sewers 12 inches and less in diameter are be polyvinyl chloride (PVC), SDR26. Storm sewers larger than 12 inches in diameter are gasketed bell and spigot reinforced concrete pipe (RCP), meeting ASTM C 443. Manholes, junction boxes, or catch basins are provided at bends, changes in pipe size, junctions of pipes, and at a minimum of 300 foot intervals.

UTILITIES

Mechanical, Electrical, & Communications Site Utility Layout

Kimley-Horn has coordinated with E&C Engineers & Consultants Inc. and 4B Technology to determine utility offsets and establish a cross section assignment both horizontally and vertically for each utility in the corridor.

Water

The relocation of the existing 12” domestic water main impacted by the building on the north side of the site is included in the design. External fire coverage has been reviewed to ensure specifically a maximum of 150 feet from the hydrant to the building fire connection, a maximum hose lay length of 300 feet to cover all improvements and ladder truck access a minimum of 15’ and maximum of 30’ from the building.

All water system improvements are designed in accordance with the current Sam Houston State University Design Guidelines, City of Huntsville design requirements and Texas Commission on Environmental Quality (TCEQ) standards.

Additionally:

- Water lines will be PVC, class 200, AWWA C-900 or ductile iron pipe in accordance with the referenced standards.
- Water lines will be restrained at all fitting connections per the referenced standards.

Sanitary Sewer System

The project improvements will be served by existing 6- inch sanitary sewer collectors located in Avenues I & J. Proposed connection locations and grades will be used to evaluate the most economical tie in location. Coordination with the City of Huntsville will be required to confirm capacity in the collector(s).

The sanitary sewer system will be designed in accordance with the current Sam Houston State University, City of Huntsville and Texas Commission on Environmental Quality (TCEQ) design standards.

- Sewer lines will be ASTM D 3034, SDR 26.
- Pre-cast or cast-in-place manholes will be provided at bends, changes in pipe size, junctions of pipes, and at a minimum of 300 foot intervals.

MECHANICAL

Heat Rejection System

Two air cooled chillers are each sized to provide 50% of the load of the building. The units are 275 actual tons each (approximately 300 nominal tons). Smardt, Carrier, McQuay or York will be acceptable. Units are selected for optimum efficiency. Chilled water pumps are sized to match the chillers and be piped in a manifold configuration so that any chiller may operate with any pump. The pumps are vertical split case type located in the mechanical yard. The pumps are sized for a 14 degree temperature rise to match the fan coil unit coil capabilities for a total flow of 470 gpm each. Valves and caps are provided for future expansion of the system for future connection to the campus chilled water loop.

Heating System

The outside air handlers are served with matched packaged condensing boilers similar to Hydrotherm KN-6 boilers or equal Raypak. Non-condensing boilers shall not be accepted.

Reheat for constant volume ducts, in the first and second floor air handling units, in fan powered boxes and heating in the unit fan coil units are by electric heat provided with the units.

HVAC PIPING SYSTEMS

Chilled water piping underground is high density polyethylene with Guilsulate back-fill between pipes and fill on top and below. Chilled water 2-1/2" and larger is Schedule 40 black steel. Chilled water 2" and less is copper. Chilled water and condensate to the unit fan coil units is located in the mechanical closet. Each unit has a separate shut-off valve is isolated so as to be able to replace a unit.

Air Handling Systems

The community building is served from two separate variable air volume air handling units located in the

mezzanine and second floor. Fan powered boxes with electric reheat are used for zoning. The community building unit is 31,000 cfm with 31 fan powered boxes, and the second floor unit is 10,000 cfm with 11 fan powered boxes. The office and common spaces are zoned using quiet operating (similar to Stealth or Phantom) low profile fan powered boxes. The units are provided with economizer cycles as required by ASHRAE 90.1 – 2010 for State of Texas buildings.

Corridors and common areas of the residence halls are fed with outside air from two heat recovery units, one located in each penthouse. Air fed to the space is reheated with electric duct heaters for temperature control and is constant volume for building pressurization. The unit coils are hydronic for heating and cooling. Each unit is approximately 16,500 cfm.

Fan coils units are located in closets to serve each of the rooms, two per suite, one per single room. The kitchens are also served by fan coil units. The fan coil units are Engineered Comfort units with electronically commutated motors, size 6 (and size 6 for kitchens). Alternate manufacturers who can supply chilled water/electric heat three-speed motors are accepted as deductive alternates as well as a deductive alternate for Engineered Comfort units without a three-speed motor or silicone controlled rectifier motor rather than electronically commutated motors. Unit manufacturer must be listed and the type of motor and control must be listed with each deductive alternate. Units are piped with shut-off valves and come with control valves internal to the unit. Each unit has a secondary drain pan with a float switch ("EZ Trap Float Switch" model #EZT-200 series) tied in to alarm to the building automation system.

Ductwork is galvanized with hard connections or Flex Master 1M flex duct to supply grilles.

Ventilation

Outside air is provided to the rooms through negative pressure pulling from constant volume exhaust in the rooms from the corridors. The corridors and public spaces are served with 100% outside air sized specifically for the areas they serve and make-up of the exhaust with pressurization. The outside air is

Tab 4: Project Narratives by Discipline

pretreated through the energy recovery units in the penthouse.

Exhaust

Toilet exhaust from ceiling mounted grille are routed to the ceiling of the sixth floor and exhausted at the roof through a common exhaust fan after heat recovery.

Toilet exhaust in the community building is through roof mounted exhaust fans on the lower roof.

DX Systems

The three apartments, the main emergency electrical rooms, the fire pump room and the IDF/MDF rooms are served from DX split systems that are placed on emergency power and can be operated in the summer or other off times where the remainder of the building is shut down (these loads are too small to operate a chiller). The exterior first floor apartment has a 5 ton DX unit serving the apartment, the internal unit has a 4 ton unit. The second floor apartment has a 3 ton unit to serve the living area (large glass wall facing east) and a 4 ton unit for the remainder of the apartment. The condensing units for these units are located on the ground.

Each IDF room is provided with a 1.5 ton split system DX unit. There will be four of these units on the north side of the building. The units serving the top three floors shall be on the roof. The unit serving floor 3 shall be located on the ground. There is one unit serving the mezzanine IDF that has the condensing unit on the roof of the learning center. There are 9 total units serving the south side IDF closets, three are located at ground level for the first three floors and six are located on the roof for the top three floors.

The fire pump room also has a 1.5 ton split DX unit to be on emergency power. The condensing unit is located on the ground.

The north emergency electrical room is provided with a 1.5 ton split system and the south electrical room which holds emergency gear has a 3 ton DX split system unit. Both condensing units are at ground level.

Units sized between 2 tons and 5 tons are similar to a Carrier Infinity 21 unit. 1.5 ton units are similar to Carrier Infinity 16 units.

Controls

Each unit has Honeywell TH5220 D 1003 Thermostats with 18/8 wire run in conduit. Lock boxes over t-stats in public spaces are provided. All controls are tied into the campus Andover system. All actuators are Belimo brand.

General Mechanical Information

MOTORS AND CONTROLLERS - Energy efficient motors are provided. Motors less than $\frac{3}{4}$ hp are 120 volt, 1-phase, 60 Hz. All motor starters, controllers, and disconnect switches conform to the requirements of Division 26.

VARIABLE FREQUENCY DRIVES - Variable frequency drives are ABB ACH550, Toshiba Q9 or approved equal and provided to match the motor.

VIBRATION ISOLATION - All equipment, piping and ductwork have vibration isolation to prevent noise and vibration to the building structure.

TESTING, ADJUSTING AND BALANCING - Testing, adjusting and balancing is owner furnished.

CONDENSATE COLLECTION - Because the building is using a total energy wheel, there is a reduced total condensate. Room units have minimal condensate due to the pretreated outside air into the space.

SYSTEM INSULATION - All insulation has a composite (insulation, jacket or facing and adhesive used to adhere facing or jacket to insulation) fire and smoke hazard, as tested by ASTM E84, NFPA 255, and UL 723, not to exceed Flame Spread 25, Smoke Developed 50.

INSULATION THICKNESS:

Piping

Chilled water piping - Rigid Phenolic or Celular Glass 2"

Tab 4: Project Narratives by Discipline

Condensate drain piping - Armaflex ½”
Domestic hot water - Armaflex 1”
Handicapped lavatory traps, tailpieces hot and cold water supplies 1”

Ductwork

Conditioned air and return air ductwork inside the building, external wrap 2”
Conditioned air and return air ductwork in unconditioned penthouse fiber board 2”
Air devices 1-1/2”

Mechanical Design

Summer Outside 98° F D.B. 75° F W.B.
Summer Inside 74° F D.B. 60% relative humidity max
Winter Outside 27° F D.B. Winter Inside 70° F D.B.
Sam Houston State University Design Standards - February 2014
NFPA 101 – Life Safety Code – 2012
International Building Code – 2012
International Mechanical Code – 2012
State Energy Conservation Office
ASHRAE 90.1 – 2010
ASHRAE 62.1 – 2010

ELECTRICAL SYSTEM DESIGN

Electrical Utilities

ELECTRICAL SERVICE – Electrical power to the site originates in manhole MH-29. Two (2) new 15 kV feeders tap existing circuits 5 & 6 in manhole and are routed via concrete encased ductbank to a 2-circuit load interrupter switch. The switch serves one (1) pad-mounted transformer which steps down campus medium voltage to the building service voltage.

15 KV CABLE AND FITTINGS - All cable is copper, with copper tape shield and 133% insulation level. All splices in manholes are made with non-load break elbows and 4-point junctions to allow for future taps. All taps are made with non-load break elbows. All cable is high-pot tested before and after installation.

15 KV LOAD INTERRUPTER SWITCHES - One 2-circuit switch serves the pad-mounted transformer. The switch is an S&C type PME-6 to match campus standards. The switch main bus is copper and rated for 1,200A and the ground bus is copper and rated for 600A. The switch is dual circuit type. The switch utilizes vacuum breaker technology. The switch has load break elbow connections. The switch is fed with two #4/0, 15kV circuits from existing manhole MH-29.

15KV FUSES - Fuses are current limiting power type.

15KVPAD-MOUNTED TRANSFORMER –A single pad-mounted transformer is provided to serve the new complex. The transformer has copper windings with biodegradable dielectric coolant (Envirotemp(FR3), BioTemp, or approved equal). The transformer primary lugs utilize load break elbow termination. The transformer has lightning arrestors. The transformer is fed from the 2-circuit switch. The transformer is rated for 3,000kVA, 13.2kV-480Y/277V, 3-phase, 4-wire. The transformer serves switchgear A, switchgear B, and the fire pump. The transformer has a digital electronic meter with ability to communicate all data via campus network. The meter is owner-furnished and contractor installed. Meter meters the primary side of transformer.

Interior Electrical Distribution System

LOW VOLTAGE DRAWOUT SWITCHGEAR - Two switchgear lineups are provided: a 3,000A switchgear A serves the northern portion of the residential complex and the mechanical yard, and a 2,000A switchgear B serves the southern portion of the residential complex. The switchgear is rated for 480Y/277V, 3-phase, 4-wire, solidly grounded wye system. Switchgear is metal enclosed, drawout type. Switchgear has main circuit breaker and copper bus. Switchgear has a surge protective device. Switchgear A and B serve the emergency and optional standby transfer switches, 480Y/277V distribution panels, and the HVAC chillers (2 - 300 ton air-cooled chillers). The distribution panels serve all 225kVA dry type

transformers and HVAC equipment.

LOW VOLTAGE TRANSFORMERS - Transformers have copper or aluminum windings. Transformers have 150 degree temperature rise. Transformers sized at 150kVA, 112.5kVA, and 75kVA serve the first and second floor areas. Floors containing dorm rooms (3rd, 4th, 5th, and 6th) have (3) 225kVA transformers per floor. The emergency system utilizes 15kVA transformers and the optional standby system utilizes 30kVA transformers.

PANELBOARDS - Panelboards have copper bus. Panelboards have hinged covers with lockable hinged doors. Panelboards have bolt in breakers. All panelboards serving the dorm rooms are located in electrical rooms and are 150A main lugs. Each panel is served from a main breaker 208Y/120V distribution panel located in the electrical room. 480Y/277V distribution panelboards serve 480Y/277V panelboards, HVAC equipment, and dry type transformers. A 600A, 480Y/277V panelboard in each electrical room on the 3rd, 4th, 5th, and 6th floors serve lighting, HVAC, the 480V-208Y/120V transformer, and other equipment. Provide arc fault breakers for all wiring in the dorm rooms.

LOW VOLTAGE CONDUCTORS AND CABLES - All wire is installed in conduit. All wire smaller than #1/0AWG shall be copper stranded type THHN/THWN-2; all wire #1/0AWG and larger is aluminum stranded type XHHW-2. All wire is tested prior to energizing. Type NM cable is not allowed.

ELECTRICAL GUTTERS AND WIREWAYS - All wireway and gutters are steel.

SHORT CIRCUIT ANALYSIS/COORDINATION AND ARC FLASH STUDY - A complete short circuit analysis, protective device coordination study, emergency power system selective coordination study, and arc flash and electrical hazard studies will be performed.

CONTACTORS, RELAYS PHOTOCELLS AND TIME SWITCHES - Contactors are electrically held. Lighting control relay panels have multiple schedules with multiple channels and include an exterior

photocell.

WIRING DEVICES - All receptacles and switches are commercial grade 20A white. This applies to dorm rooms. Cover plates are white plastic in dorms and office spaces and stainless steel in public spaces.

Electrical Grounding

All bonding and grounding will be installed in accordance with the National Electric Code. Grounding bus bars are provided in each electrical and IT room. Grounding risers connect all grounding bus bars in stacking electrical or IT rooms. All grounding risers connect to the main grounding bus bar in the main electrical room.

Lighting Fixtures, Lamps and Poles

All lighting is fluorescent type to fullest extent possible. All ballasts are electronic type with 10% total harmonic distortion. The emergency power system supplies the egress lighting. Exterior parking lighting is the LED campus standard with time clock/photo control. Additional decorative HID lighting are provided for exterior seating areas. Lighting controls are motion sensors or via relay panel as indicated on drawings. Lighting power densities and controls comply with ASHRAE 90.1-2010 edition.

Lightning Protection Systems

A complete lightning protection system will be installed. The system includes a building ground loop and copper downloads. The system is designed per the Lightning Protection Institute Installation Standard LPI 175, UL 96A, NEC-NFPA 70, NFPA 780.

Emergency Power

Emergency power is provided for the building by an emergency generator. The generator set is rated for 500kW/625kVA, 480Y/277V, 3-phase, 4-wire. The generator set is a natural gas fired engine-generator set. The generator set includes 2 output circuit breakers – one serving a generator distribution panel and one spare for load bank testing. The generator

Tab 4: Project Narratives by Discipline

set is provided with a Level 2 sound attenuating enclosure. The generator distribution panel serves an emergency automatic transfer switch, an optional standby automatic transfer switch, and the fire pump automatic transfer switch / Controller. Generator loads served include the elevators, egress/night lighting, fire pump automatic transfer switch /controller, domestic water pumps, and the DX systems serving electrical rooms, apartments, and IT rooms. Transfer switches are 4-pole and programmed open-transition type. Transfer switches are provided with isolation bypass. Two automatic transfer switches are provided, one for emergency loads and one for optional standby loads.

Low Rise Addressable Fire Alarm System

Fire alarm panels are addressable type and comply with NFPA 2010 code. Fire alarm audible devices are voice type. All strobe candela ratings comply with NFPA. All detectors are system detectors. Fire alarm control panel is monitored point by point by the central campus GE fireworks fire alarm panel. Dorm room detectors are system detectors and are tied into the building fire alarm panel. Each dorm has a sounder tied to the fire alarm panel. All dorms report to the fire alarm control panel as a trouble. Activation of any public device causes room sounder to be activated in all dorms. The handicap rooms have audible and visual devices per ADA connect to the main control panel.

General Electrical Information

GENERAL - All electrical installed in finish spaces is concealed. All systems and wiring will be tested. Fire stopping is provided at all rated walls. All voice and data and security wiring is per the voice/data consultants specification write up. All work will be installed in accordance with elevator codes and NEC.

ELECTRICAL RACEWAYS AND FITTINGS - All raceways are metal. All boxes are steel. All fittings are steel set screw or compression type. All 15kV feeders and 480V services are provided in concrete encased ductbanks per campus standards.

ELECTRICAL CONNECTIONS FOR EQUIPMENT - All connections will be coordinated with owner and contractor installed items.

MISCELLANEOUS ELECTRICAL CONTROLS AND CONTROL WIRING - All wiring requirements will be coordinated with all mechanical, elevators, security and all other disciplines.

LOW VOLTAGE VOLT FUSES - All fuses are current limiting type.

SAFETY AND DISCONNECT SWITCHES - All switches are heavy duty type.

MOTOR STARTERS - All starters have solid state overloads with phase failure. All starters have LED pilot lights.

SURGE PROTECTION DEVICES (SPD) - A Type 1 SPD is provided in the main switchgear. Surge protection devices are provided on the secondary of all dry type transformers.

Electrical Design

Sam Houston State University Design Standards – February 2014
National Electrical Code (NEC) – 2014 edition
Electrical Safety in the Workplace – NFPA 70E, 2009 edition
Occupational Safety and Health Act - (OSHA)
National Fire Protection Association (NFPA-780) – Lightning Protection Code – 2008 edition
National Fire Protection Association (NFPA-101) – Life Safety Code –2012 edition
International Building Code (IBC) – 2012 edition
ASHRAE 90.1 energy standards – 2010 edition
SECO – State Energy Conservation Office.
Texas Department of Licensing and Regulation – TAS 2012

PLUMBING SYSTEM DESIGN

Plumbing Utilities

Tab 4: Project Narratives by Discipline

The building requires a 6" domestic water line and a 6" sanitary line for each wing. A lint interceptor will be installed on grade outside the laundry room. Each elevator shaft has a 50 GPM submersible pump.

Domestic Water System

A triplex domestic water pump system and controller is required. Each pump is sized based on 50% of the total water demand. This will NOT be a skid mounted unit, but custom built. Cold water riser is provided for each wing plumbing fixtures with a pressure not to exceed 70 psi on each floor.

Domestic Hot Water System

Residence units on each floor for each wing are served from a duplex gas water heaters, each size based on 100% of hot water demand with type "B" vent to roof. The laundry is served by Dual Gas fired water heaters with Type "B" vent to roof. Acceptable manufacture Standard A. O. Smith, Corp or equal. Gas meter with all site gas piping is provided and routed from meter to the building serving gas water heaters via a gas riser and shut off valves on each floor.

Plumbing Piping Systems

All sanitary waste, vent and storm drain piping is Sch 40 PVC. All domestic hot and cold water piping is CPVC. Natural Gas piping is ASTM A53 Grade A or B, Schedule 40 black steel. Provide complete drainage systems with primary and secondary parallel systems, per code, for all roof areas. Storm water is collected and routed to the storage tank, refer to the Civil Narrative for more information. The laundry has a Lint Trap sized for number of washing machines used. All valves are full-throated bronze Watts or Nibco. Each stack running into the chases has shut-off valves at the first floor located in the accessible closets.

Plumbing Fixtures and Trim

The faucets are Symmons single handle lavatories rated for 0.5 gallons per minute. The toilets in the residential units are Kohler model with plastic flanges and stainless steel rings rated for 1.28 gallons per

flush. The toilets in the public areas are Kohler model, wall hung with electronic valve rated for 1.28 gallons per flush and open front seat. The Urinals in the public area are Kohler model, wall hung with electronic flush valves and rated 0.128 gallons per flush. The shower valves are Symmons single handle rated for 1.75 gallons per minute. The lavatory cleanouts located above flood rim and accessible behind mirrors, back to back lavatories to share cleanouts. Handicap bathrooms have a centrally located floor drain. Exterior keyed hose bibs are provided every 100 feet.

Plumbing Design

Sam Houston State University Design Standards – February 2014
National Fire Protection Association (NFPA) – 2012
International Building Code (IBC) - 2012
Uniform Plumbing Code (UPC) - 2009
Federal Register Part III/28 CFR Part 36 (ADA)

FIRE PROTECTION DESIGN

Wet-pipe Sprinkler System

The facility is 100% sprinklered, NFPA 13R within the residence areas and NFPA 13 in public areas. The fire pump, jockey pump and control panel are located in south wing on ground level in an enclosed fire rated room. The building requires an 8" service with backflow preventer for the fire line. A 6" standpipe is provided within each of the five stairs with Fire Department Connections at each level. Each fire zone is equipped with sprinkler floor control sprinkler assembly and with sprinkler test connect to drain riser and to the sanitary. Three three way fire department Siamese connections are located on building walls.

Fire Protection Design

Sam Houston State University Design Standards – February 2014

Tab 4: Project Narratives by Discipline

National Fire Protection Association (NFPA) – 2012
International Building Code (IBC) - 2012
International Fire Code (IFC) - 2012

STRUCTURAL

Foundation

The foundation design is based upon the recommendations in the Gessner Engineering Geotechnical Engineering Study Report (Gessner Job No. 14-0306) dated June 23, 2014.

The foundations for columns at the center portion of project (Podium area) consist of spread footings ranging in size from approximately 12 foot squares to 22 foot squares in thicknesses ranging from 2 feet to 3.5 feet. The average footing is placed at top of footing elevation three feet below lowest finish floor. Elevations step up at an approximate 2H:1V slope for the sloping portions of the site.

At the podium area of the building, there are perimeter grade beams approximately 16 inches wide x 24 inches deep. The top of the grade beams is 12 inches below the top of the first floor slab, and the slab will be poured down over the beams. A continuous 16 inch wide x 2 foot deep perimeter grade beam rests atop the footings. The top of the grade beams is 12 inches below the top of the first floor slab, and the slab will be poured down over the beams.

The foundations directly supporting load bearing stud walls (non-podium areas) consist of a 10 foot wide by 30 inch deep continuous footing at the corridor bearing walls and a 5.5 foot wide x 30 inch deep continuous footing along the perimeter bearing walls. Stem walls are provided to 12 inches below finish floor where the footings are deep due to hillside stepping.

The First floor slabs are 5-inch thick concrete slabs on grade, with #4 bars at 15 inches on center both ways, on a 15 mil fiber Stego vapor barrier, on compacted select fill, prepared in accordance with Geotechnical Report. The slabs are recessed in some areas to allow for finish materials.

Superstructure

The structural system for elevated floors and roof (excluding the podium area in the center of the project) consists of concrete slabs on steel decking, spanning to load bearing light gauge steel studs.

Residential floors and roof slab are normal weight 4,000 psi concrete 6-1/2 inches thick on composite steel deck. Composite steel deck is 22 gage Epicore MSR by Epic Metals, or Versa Dek XLS by Metal-Dek Group™ (a Unit of CS, i) cold-formed steel deck conforming to ASTM A446, Grade A and has a zinc coating conforming to ASTM A525, G60. (These are proprietary deck that have long spanning capabilities, and provide a seal of concrete over stud walls). Deck is 2 inches deep and reinforced with 6X6-W2.9 X W2.9 welded wire fabric. Additional #5 bars x 20 feet long at 6 inches on center are placed in the top of the slab over interior load bearing walls, centered over corridor. Concrete strength is 4,000 psi at 28 days.

Composite steel decking requires shoring and backshoring between load bearing walls, similar to cast-in-place flat slabs.

Load bearing light gauge structural studs are 6 inch x 2 inch studs, varying in thickness from 18 to 12 gauge, generally at 16 inches on center. All exterior walls are galvanized, and interior studs are prime painted or galvanized. Walls require bridging/bracing prior to placing loads on top of them.

Where walls are discontinuous, slab-depth beams, formed with plywood in lieu of steel deck, are to be used. These beams are reinforced as required, and require multiple load bearing studs (stud-packs) at the ends.

Where large open areas are required in the load bearing system (particularly at lounges), slab beams as noted above are to be utilized, in conjunction with structural steel tube columns. These columns are expected to range from HSS 6x6x0.250 to HSS 6x6x0.625. Tube columns must extend full height of building.

At the third level only, at the center “podium” area of the project, where open areas are required that are not compatible with the load bearing stud wall layout above, a post-tensioned beam and slab system is to be used. There will be a core beam supporting the

Tab 4: Project Narratives by Discipline

corridor bearing walls above and a perimeter beam supporting exterior walls above. The depth and width of the beams are as shown on the level 3 framing plans. Between the beams there is a 6" thick post-tensioned one-way slab. Over areas of assembly on the first floor in the podium area, the second full level requires large post-tensioned transfer girders to span from end to end of the assembly space.

For the partial second level between the ground level and the third level podium slab, the floor slab consists of a 7-inch thick normal weight post-tensioned 5,000 psi slab, spanning between podium columns.

Reinforced concrete columns under the podium slab are in the range of 18 inches by 24 inches, with a concrete strength of 5,000 to 6,000 psi. There are 8 inch deep by 6 feet square column capitals on the columns below the podium slab or stud rail reinforcing. Residential floors are designed for a 40 pounds per square foot live load, plus applicable partition loads.

The lateral (wind-resisting) system for the building utilizes reinforced concrete shear walls below the podium slab, and a combination of concrete masonry unit shear walls and braced stud framed walls in the load bearing stud portions of the project. Shear walls and brace frames are located at elevators, stairs, and between residential units where possible.

Shear walls below the podium slab are probably 12 inch thick reinforced concrete walls, and concrete strength will be 5,000 to 6,000 psi.

There will be a structural pour strip joint at all locations where the podium area meets the non-podium areas.

Elevators and Elevator Penthouses

The elevator penthouses have reinforced concrete masonry walls, approximately 96 inches high around the shaft at the roof. The machine slab over the shaft is expected to be 12 inches thick and doubly reinforced. The roof slab will be of the same construction as the remainder of the roof.

There is an elevator overrun, framed with structural steel beams and columns, with 1-1/2 inch deep 22 gauge Type B roof deck.

Architectural Masonry Support

The weight of brick or other masonry is supported by relief angles welded to the perimeter angle of the floor slabs level 3 and above, and to embedded plates at the cast-in-place slabs. This requires the pour stop be a full 6 inch x 4 inch angle, with deformed bar anchors at 18 inches on center into the slab.

TECHNOLOGY, AUDIO VISUAL AND SECURITY

The facility is designed to be "technology rich" with wired and wireless LAN and voice connectivity throughout the facility. In addition, residence hall rooms are provided with coaxial cable for television service.

The fiber optic backbone, outside plant telephone cables and broadband RF (video) cables are fed from two directions via a series of existing telecommunications duct banks flanking the new facility on Avenue J and on Avenue I and are terminated in the project's main telecommunications room.

In the areas requiring audio visual equipment, the project team has developed a design based on information provided from user input and coordination with the owner and design team.

General

The technology infrastructure is fully compliant with the latest versions of the TIA/EIA 568-B Series Commercial Building Telecommunications Cabling Standards and the Sam Houston State University adopted cabling standards. Supported speeds include up to 1Gigabit Ethernet in the Category 6 horizontal subsystem from the Equipment Room (ER) and the Telecom Closet (TC) to telecommunications outlets

Tab 4: Project Narratives by Discipline

and 1Gigabit Ethernet, 10Gigabit Ethernet in the intra-building copper and fiber optic backbone and 40Gigabit Ethernet in the intra-building fiber optic backbone subsystem.

The technology design does comply with the latest versions of the following:

Codes and Standards:

- ADA Standards for Accessible Design 28 CFR Part 36
- BICSI TDMM. Latest Edition
- National Electric Code (NEC), Latest Issue
- TIA/EIA568-B.1 - Commercial Building Telecommunications Cabling Standard*
- TIA/EIA568-B.2 - Commercial Building Telecommunications Cabling Standard*
- TIA/EIA568-B.3 - Optical Fiber Cabling Components Standard*
- TIA/EIA569 - Commercial Building Standard for Telecommunications Pathways and Spaces*
- TIA/EIA606-A – Administration Standard for Commercial Telecommunications Infrastructures, June 21, 2002*
- ANSI J-STD-607-A, Commercial Building Grounding/Bonding Requirements– Joint Standard for Commercial Building Grounding (Earthing) and Bonding Requirements for Telecommunications, 2002*
- ANSI/EIA/TIA-570, Residential and Light Commercial Building Telecommunications Wiring Standard or its most recent successor document
- TIA/EIA758-A – Customer-owned Outside Plant Telecommunications Infrastructure Standard, May 2005*
- International Standards Organization/ International Electrotechnical Commission (ISO/IEC) IS 11801, 2000*
- Underwriters Laboratories (UL) Cable Certification and Follow Up Program*
- National Electrical Manufacturers Association (NEMA)*
- American Society for Testing Materials (ASTM)*
- BICSI Outside Wireless Design Reference Manual

- Each Category 6 cable system shall be tested for compliance with requirements:
 - a. Termination Standards: ANSI/TIA/EIA-568-B.1-2000 T568A
 - b. Compliance Testing Standard: TIA/EIA-568-A
- Each Fiber Optic cable shall be tested for compliant with requirements:
 - a. ANSI/TIA/EIA-455
 - b. OFSTP-7
 - c. OFSTP-14
 - d. Corning EWP

*Note: Latest issue and addendums

Telephone, Data and Cable TV Service Distribution

The new Residence Hall Telephone, Data and Cable Television systems design comply with the University's Cabling Standards. The SHSU Division 27 Standards detail specific products and manufacturers are included in the design documents.

Two new underground conduit duct banks will be installed to connect to the existing buried fiber optic duct banks on Avenue I and Avenue J. The new duct banks travel east and west from the new MDF and connect to existing manholes located on either side of the proposed site. The top of the duct bank is a minimum of 30" below finished grade utilizing sweeping 90 degree bends.

Outside plant entry services are provided via two separate conduit runs including two (2) newly installed 4" PVC Schedule 40 conduits in reinforced concrete from Avenue J and Avenue I respectively to the new MDF and serve as a pathway for the new outside plant copper and fiber.

The fiber optic backbone, outside plant telephone cables and broadband RF (video) cables are terminated in the project's main telecommunications room. No fiber optic splicing is allowed, all cabling is pulled point to point.

The design provides the signal conduit and wiring, outlets, raceways and other built-in items that must be installed as the facility is constructed. The project

team developed a design in these areas based on information provided from user input and coordination with the owner and design team.

Telecommunications Rooms Criteria

- MDF Minimum size of 12' x 14' for clearance purposes
- IDFs Minimum size of 9' x 7' for clearance purposes
- ¾" Flame Resistant Plywood Backboard on all walls
- One (1) Fourplex Isolated Ground 120-volt Power Outlet on Each Wall
- Maximum two (2) Fourplex Outlets Per Circuit
- One (1) 20-amp 120-volt Single Phase Outlet per Equipment Rack
- Located Away From Mechanical Rooms
- Grounding Bus Bar Required by TIA/EIA-607
- No Ceiling Permitted in This Room. Minimum room height is 8'
- No water routed through or adjacent to this room
- Minimum of 400 lux illumination at 3' AFF

Telecommunications Interconnect System

The Main Telecommunications Room (TR) is interconnected to the existing campus voice, data and video networks with 48 SM Corning Ribbon OSP fiber and one (1) 100 pair outside plant rated copper cable. Voice backbone cables installed in underground conduits are gel-filled PIC cable to a termination point within the ER/MDF.

Once the outside plant copper and fiber reach the building entrance facility (Equipment Room) located on the first floor of the New Residence Hall building, the copper cable is terminated on Circa Gas Protected Termination Blocks. From this point the inside plant cables are extended to the Main Cross Connect location in the Equipment Room (ER) and terminated on Fiber Optic Rack Mount Enclosures and copper cables terminate into patch panels in the equipment cabinet.

TRs are located in each wing of the building on alternating levels two through four with a single ER (main TR) located on level one. The 2nd floor west wing TR serves both the first and second level west wing. A minimum of four (4) 4" riser sleeves connect each stacked TR to the floor above. A minimum of two (2) 4" EMT conduits connect the east and west wings on the second level.

Horizontal Cable Distribution System

The telecommunications cable distribution system runs above the finished ceiling in basket tray or conduit and home run to the nearest TR on the corresponding floor. The maximum allowed distance from the TR patch panel to the workstation outlet is 270'. Conduit is minimum 1" EMT, and shall not exceed 100 feet between pull boxes. Horizontal conduit connects the station outlet boxes with the nearest appropriate cable pathway. Each outlet consists of a double gang box fed by one (1) 1" conduit with pull strings. All firewall penetrations use the appropriate sized EZ-Path product.

Workstation Requirements

The project meets Category 6 cabling performance standards. All horizontal cabling (both voice and data) consists of Category 6, 4 pair UTP copper cable terminated at the TR in 48 port RJ45 Category 6 patch panels. All jacks are flush-type mounted into conduit and boxes installed in the hollow wall space. Typical residence room outlets consist of two (2) Category 6 cables and one (1) CATV drop per bed.

All non-residential rooms at minimum have 1 faceplate consisting of 2 lines per every 25 linear feet of wall. All rooms designated as offices at minimum have 2 faceplates consisting of 2 lines placed on opposing walls. 4 data ports shall be installed in any floor boxes that are required, and dust covers used on modules.

Wireless Requirements

Wireless access point locations are located above ceiling when practical and consist of a data line terminated into a small surface mount box at the end

of a 15 foot service loop. The loop is suspended above the ceiling and labeled for easy identification as well as being labeled on face plate and cable.

A building has at least 1 wireless access point line for every 500 sq. ft. of floor space with staggered locations so that they don't overlap on the adjacent floor.

Wireless access point line locations above the ceiling are marked with a removable sticker that is visible from the floor.

The major components of the technology and A/V design include the following:

- Outside Plant Connectivity
- Entrance Facility
- Backbone Pathways & Spaces
- Backbone Cabling, Copper and Fiber
- Telecommunications Rooms
- Horizontal Pathways & Spaces
- Horizontal Cabling, Cat. 6
- Work Area Outlets
- Bidirectional Amplification for Public Safety Two-Way Radio and Cellular/Smart Phone as detailed in the SHSU Division 27 Standards.

These components will support the following systems:

- Voice
- Data
- Television Broadband
- Wireless
- Audio/Visual on a room by room basis

Security System

The new facility is equipped with a single tiered access control and intrusion detection system managing entry into each building and also controlling access into "high risk" areas within each building. The system intent is to control and monitor access to areas that may contain items and/or information identified as valuable, and to allow

access to appropriately authorized personnel only. SHSU Division 8 Standards dictate the use of the CCURE 9000 access control platform manufactured by Software House.

Access to the "high risk" areas is controlled by the presentation of a credential (security card). This system is compatible with existing access control systems currently present on SHSU Campus. The access control and intrusion detection systems have the ability to report back to centralized security command centers via the SHSU campus data network.

The facility is monitored by a Closed Circuit Television (CCTV) system in areas of interest to the Owner and University Police Department. This system is recorded and monitored from a station in a security area of the facility as well as remote locations as dictated by the Owner and Campus Police.

SHSU Division 8 Standards include a list of pre-qualified vendors which include: Tyco, IAS, Kratos and Entech.

The SHSU Division 8 Standards detail specific products and manufacturers included in the design documents.

Design Criteria

Applicable Codes and Standards

- ANSI/EIA/TIA-568-B, Commercial Building Telecommunications Cabling Standard or its most recent successor document
- ANSI/EIA/TIA-570, Residential and Light Commercial Building Telecommunications Wiring Standard or its most recent successor document
- ANSI/EIA/TIA-569, Commercial Building Telecommunications Pathways and Spaces or its most recent successor document

Tab 4: Project Narratives by Discipline

- ANSI/EIA/TIA-606, Administration Standard for the Telecommunications Infrastructure of Commercial Buildings or its most recent successor document
 - EIA/TIA-607 Commercial Building Grounding and Bonding Requirements for Telecommunications
 - National Electrical Code (NEC) (Latest revision and pertinent addendums)
 - National Fire Protection Association (NFPA) Publications (Latest revisions and pertinent addendums)
 - Americans with Disabilities Act (ADA)
 - NFPA 101, National Fire Protection Association
 - NFPA -70, National Fire Protection Association
 - UL 294, Underwriter's Laboratories Access Control Systems
 - UL 1037, Underwriter's Laboratories Anti-Theft Alarms and Devices
 - UL 1076, Underwriter's Laboratories Propriety Burglar Alarms Units and Systems
 - EIA-RS-170 Broadcast Standards
 - NTSC Color System Standards
 - Building Officials and Code Administrators International, Inc. (BOCA) National Building Code
 - Uniform Building Code (UBC)
 - Local Governing Authorities Having Jurisdiction
- and power and grounding provisions as required to support the security equipment to be installed in the room.
4. Controllers for the security and the CCTV systems will be installed in the Telecommunications Room.
 5. The monitoring station for the CCTV system is to be located in the appropriate security office.
 6. Cameras for the CCTV system shall be fixed focus, non-pan/tilt/zoom color cameras unless otherwise directed by University Police Department.
 7. Doors secured with access control will be of the Magnetic Swipe Card Reader-type and must be compatible with all existing security systems on campus.

Materials and Notes

Notes:

1. All cabling for the security access control and CCTV systems shall be home run to the nearest Telecommunications Room (TR) on the corresponding floor.
2. The Telecommunications Room (TR) should be constructed so that IT and security personnel may access the rooms without disturbing day-to-day operations of the facility.
3. The Telecommunications Room (TR) shall be provided with environmental controls, access control, 3/4" flame resistant plywood backboards on all walls

South Residence Complex
ESTIMATE OF PROBABLE COST

TAB 5

The following Qualifications, Clarifications and Assumptions are intended to clarify and define the basis of the 100% Design Development Estimate. Notes shown in the comment section of the estimate are considered to be part of these clarifications

Division 01 00 – General Requirements

1. The 100% Design Development estimate is based on drawings and specifications, date 09/19/14 and as issued by SHW/Treanor Architects
2. The estimate is based on **232,822** GSF (previous estimate calculated on 228,821 – 5,999 SF delta).
3. This estimate includes Contingency of 9%
 - a. Construction @ 5%
 - b. Design @ 3%
 - c. Escalation @ 1%
4. The estimate includes the cost of General Contract Performance and Payment Bonds.
5. The estimate includes the cost of Builders Risk Insurance coverage. Builders Risk insurance is to be provided by the Owner. Contractor’s responsibility for any Builder’s Risk insurance deductibles shall not exceed \$10,000.
6. The estimate excludes the cost of building permits. Building permits are to be furnished and provided by the Owner.
7. The estimate excludes the cost of any City of Huntsville sewer/water assessment fees or utility company service connection/tap fees.
8. The estimate excludes the cost of quality control testing services.
9. The estimate excludes the cost of Preconstruction services.
10. The estimate excludes any additional costs for interior mockups.
11. Factory Mutual (FM) insurance requirements have not been indicated as being required and are therefore excluded from the estimate.
12. The estimate excludes the cost of night watchman/security services.
13. Owner shall provide to Contractor the information described in Section 35.521(m) of the Texas Business and Commerce Code regarding Owner financing arrangements. Contractor is authorized to provide all of such information to each Subcontractor.

Division 01 32– Schedule

1. There have been no allowances or provisions made for schedule acceleration or overtime Work due to extended design times. Therefore, the estimate is based on the following Project milestone dates:

a. 100% Design Development	09/19/2014
b. 100% DD Estimate	10/03/2014
c. SHSU BOR	11/06/14
d. 100% Site Development Construction Documents issued (incl. foundation concrete)	11/28/2014
d. 100% Construction Documents issued	01/05/2015
e. Substantial Completion (on or before)	06/12/2016
f. Final Completion (on or before)	07/29/2016

2. The estimate schedule assumes early release and procurement of multiple long-lead items prior to January 1, 2015. The list includes;
 - a. Emergency Generators.
 - b. Chillers
 - c. AHU's/RTU's
 - d. FCU's
 - e. Elevators
 - f. Primary Electrical Distribution Equipment

3. The estimate schedule assumes early release for 22nd Street Utility package that allows the added scope to complete prior to NTP.

Division 02 00 – Existing Conditions

1. The estimate includes the demolition and removal of existing; parking, storm sewer, irrigation lines, trees and an abandoned 12" water line and communication conduit will be removed or abandoned as necessary for new construction.

Divisions 03 00 – Concrete Work

1. The estimate specifically excludes carton forms under the floor slab at Level 1. The slab is assumed to be designed as a non-structural slab.
2. The estimate is based on concrete mix designs including up to 25% fly ash.
3. The estimate assumes all structural reinforcing bars are Grade 60 steel. Grade 75 steel is excluded.
4. The estimate assumes top of footing elevations at -3.0' from plan datum where not otherwise indicated in the contract documents.
5. The estimate deleted all polished concrete from the project scope. SHW to target costs for floor finishes at student suites at \$1.50 sf.

Division 04 00 – Masonry Work

1. The estimate assumes substitution of split-faced CMU in lieu of limestone. SHW to Target cost for the CMU is \$24.
2. The estimates assumes target cost for CMU shearwall construction at \$16 sf.

Division 05 50 – Metals

1. The estimate excludes structural framing for penthouses.
2. The estimate includes reduced cost for metal panels from previous target of \$24 sf to \$21 sf (furnished and installed). Similarly, target costs for metal soffit panels has been reduced from \$25 sf to \$21 sf.

Division 07 00– Thermal and Moisture Protection

1. The estimate excludes any costs for Spray Fireproofing

Division 07 52– Roofing

1. The estimate includes 60-mil TPO roof versus Modified Bituminous roofing as previously specified.
2. The estimate excludes any Factory Mutual approved assemblies and installation methods for the building roof system.

Division 08 80 – Windows, Storefront & Entrances

1. The estimate excludes laboratory testing of storefront, curtainwall and/or punch window systems. Field-testing for water infiltration is included in the estimate.
2. The estimate excludes the Nano Wall glazing system at the ground floor.
3. Curtainwall/Storefront estimate was reduced by 4500 sf to align with CCCS estimate. Estimate includes 17,110 sf.

Division 09 00 – Interior Finishes

1. The estimate includes a unit rate to furnish and install wood ceilings at \$9.00/sf

Division 09 60- Floors

1. The estimate deleted all polished concrete from the project scope. SHW to target costs for floor finishes at student suites at \$1.50 sf.

Division 11 00 – Equipment

1. The estimate includes Cash Allowances to furnish and install the following equipment items.
 - a. Postal Equipment - \$20,000
 - b. Appliances - \$80,000
 - c. Projection Screens - \$20,000

Division 12 – Furnishings

1. The estimate excludes costs to furnish and install manual or motorized shades or blackout devices.
2. Estimate includes costs to provide vinyl louver blinds in lieu of metal (\$11,100)

Division 14 00 – Conveying & Chutes

1. The estimate includes five (5) MRL passenger/service traction elevators as follows:
 - a. (1) 4500 lb. @ 200 fpm with 4 stops, (1) 3500 lb. @ 350 fpm with 4 stops.
 - b. (1) 3500 lb. @ 350 fpm with 6 stops, (1) 4500 lb. @ 200 fpm with 6 stops.
 - c. (1) 3500 lb. @ 350 fpm with 7 stops incl. PH
 - d. TOTAL of FIVE UNITS
2. One elevator will be identified as an Alternate for \$185,000
3. Stainless steel entrance doors and frames on all openings
4. The estimate pricing for elevators assumes the following special payment terms:
 - a. Advance payment of thirty five percent (35%) of the elevator contract value when the initial order is placed.
 - b. Additional fifty percent (50%) due when fabricated equipment is delivered to the elevator contractor's warehouse.
 - c. Final fifteen percent (15%) due prior to permanent turnover of elevators to Owner.

Division 21 00 – Fire Protection

1. The estimate includes a fully automatic fire sprinkler system for all areas of the building, more specifically:
 - a. Electric fire pump, including related jockey pumps, controller and automatic transfer switch.
 - b. NFPA13 wet sprinkler system on separate zoning by floor (located in the stairwells) and semi-recessed quick response pendant and sidewall sprinkler heads typical. Level 1 and Level 2 public spaces to have concealed type sprinkler heads, centered in ceiling tile.
 - c. CPVC branch lines in lieu of rigid steel pipe.
2. Piping materials are to be per NFPA code and City of Huntsville standards including DPVC Flow Pipe, Schedule 10 and Schedule 40 steel pipe.
3. The estimate excludes any Factory Mutual approved assemblies and installation methods for the building fire protection systems.

Division 22 00 - Plumbing

1. The estimate includes the following plumbing systems:
 - a. Schedule 40 PVC pipe with solvent weld fittings for all waste and vent systems.
 - b. Schedule 40 CPVC pipe for all domestic 150psi and smaller, and Schedule 80 CPVC for all domestic water lines greater than 150psi. Distribution piping within the guest rooms will be PEX piping.
2. The estimate excludes testing, treatment, or remediation of microbiologically induced corrosion in building plumbing, mechanical or fire sprinkler-piping systems.
3. The estimate includes costs to delete ten (10) water heaters.
4. The estimate includes costs to delete cold water piping insulation.

Division 23 00 - HVAC

1. The estimate includes costs to provide unit heaters in lieu of boilers.
2. The estimate includes standard startup, test and balance work for mechanical systems. The estimate specifically excludes any type of formal or third party commissioning process for building mechanical, plumbing, and electrical or fire protection equipment or systems.

Division 26 00 - Electrical

1. The estimate excludes the cost of temporary power and water consumption during construction up to the time of Substantial Completion of the entire project.
2. The estimate excludes any LED lighting.
3. The estimate excludes costs for a Level 2 generator enclosure. Generator to be provided with a standard enclosure.
4. The estimate includes costs for 20A breakers at unit heaters (unit heaters being added to replace boilers).
5. The original estimate for Emergency Generator procurement was reduced by \$150,000 to align with CCCS estimate.

Division 27 00 – Communications

1. The estimate includes furnishing and installation of the following special systems. These systems are to be furnished and installed by the Owner under separate contract:
 - a. Voice-Data cabling, equipment racks.
 - b. Cable or satellite TV cabling, equipment racks.
 - c. A Cash Allowance in the amount of \$232,822 has been included.

Division 28 00 – Security

1. The estimate includes furnishing and installation of the following special systems
 - a. Building security system, devices, equipment, and equipment racks.
 - b. Monitoring equipment.

Division 31 00 - Earthwork

1. The estimate excludes any permanent building dewatering systems. Casual dewatering of the site through temporary pumps and sumps as required for removal of standing water is included in the estimate.
2. The estimate excludes temporary or permanent earth retention systems. The estimate assumes the building foundations can be an open cut excavation to the street curb line.
3. The estimate assumes unilateral removal and replacement of 4' of existing soils within the building lines, with on-site material amended to meet P.I. requirements per the Geotechnical Report including all amendments and clarifications.

Division 32 00 – Exterior Improvements

1. The estimate includes a Cash Allowance of \$1,500,000 for Exterior Improvements.
2. The Cash Allowance assumes Pavestone retaining walls in lieu of sandblasted, cast-in-place walls throughout.

Division 31 00 – Site Utilities

1. The estimate includes costs for furnish 12" water line and IT manholes/pathway located north of (proposed) 22nd Street.
 - a. \$113,500 – 12" water line
 - b. \$177,800 – IT manholes and 4" conduit (non-encased)

Cost Alternates

The following cost alternates are incorporated in the estimate. Owner shall cause the Architect to revise the Drawings and Specifications to reflect these accepted alternates.

1. Add one 3500 lb. 6 stop elevator - \$185,000

End of Exhibit A

GMP
Estimate Date: 10/03/14

Gross Building Area: 232,822 SF
Story Height: 6

Estimator: 0

Division	Work Category	Labor	Sub Contract	Purchase Contract	Material	Equipment	Total	\$/GSF	% of Total
Division 01	General Conditions	\$4,800	\$0	\$0	\$25,500	\$0	\$30,300	0.13	0.05%
Division 01	Project Personnel	\$2,012,296	\$0	\$0	\$24,441	\$0	\$2,036,737	8.75	3.65%
Division 01	Temporary Facilities	\$0	\$0	\$0	\$0	\$0	\$0	0.00	0.00%
Division 02	Demolition	\$0	\$0	\$0	\$0	\$0	\$0	0.00	0.00%
Division 03	Concrete Formwork	\$0	\$0	\$0	\$0	\$0	\$0	0.00	0.00%
Division 03	Concrete Reinforcing	\$0	\$0	\$0	\$0	\$0	\$0	0.00	0.00%
Division 03	Concrete Place & Finish	\$50,400	\$3,852,810	\$0	\$107,269	\$541,020	\$4,551,499	19.55	8.15%
Division 03	Precast Concrete	\$0	\$0	\$0	\$0	\$0	\$0	0.00	0.00%
Division 04	Masonry & Stone	\$26,082	\$1,890,302	\$0	\$55,199	\$30,000	\$2,001,583	8.60	3.59%
Division 05	Metals	\$0	\$758,852	\$0	\$20,626	\$0	\$779,478	3.35	1.40%
Division 06	Carpentry	\$34,724	\$1,267,480	\$0	\$193,476	\$1,750	\$1,497,430	6.43	2.68%
Division 07	Thermal & Moisture Protection	\$0	\$2,816,455	\$0	\$78,951	\$0	\$2,895,406	12.44	5.19%
Division 08	Doors Frames & Hardware	\$0	\$206,200	\$1,278,400	\$40,351	\$0	\$1,524,951	6.55	2.73%
Division 08	Glass & Glazing	\$0	\$1,930,680	\$0	\$52,476	\$0	\$1,983,156	8.52	3.55%
Division 09	Finishes - Plaster & Drywall	\$17,280	\$6,797,056	\$0	\$186,360	\$44,500	\$7,045,196	30.26	12.62%
Division 09	Finishes - Ceilings & Floors	\$0	\$1,318,600	\$0	\$47,620	\$0	\$1,366,220	5.87	2.45%
Division 09	Finishes - Paint & Wall Coverings	\$0	\$538,206	\$0	\$14,628	\$0	\$552,834	2.37	0.99%
Division 10	Building Specialties	\$0	\$434,000	\$0	\$11,796	\$0	\$445,796	1.91	0.80%
Division 11	Equipment	\$0	\$120,000	\$0	\$3,262	\$0	\$123,262	0.53	0.22%
Division 12	Furnishings	\$0	\$29,600	\$0	\$805	\$0	\$30,405	0.13	0.05%
Division 14	Conveying Systems	\$0	\$949,200	\$0	\$25,719	\$27,500	\$1,002,419	4.31	1.80%
Division 21	Fire Supression Systems	\$0	\$798,282	\$0	\$21,697	\$0	\$819,979	3.52	1.47%
Division 22	Plumbing	\$0	\$3,317,782	\$0	\$90,665	\$40,600	\$3,449,047	14.81	6.18%
Division 23	HVAC	\$0	\$6,660,282	\$0	\$181,188	\$13,500	\$6,854,970	29.44	12.28%
Division 26	Electrical	\$0	\$5,732,050	\$0	\$156,571	\$64,500	\$5,953,121	25.57	10.67%
Division 27	Communication	\$0	\$908,006	\$0	\$24,680	\$0	\$932,686	4.01	1.67%
Division 28	Electronic Safety & Security	\$0	\$277,580	\$0	\$7,545	\$0	\$285,125	1.22	0.51%
Division 31	Earthwork	\$105,240	\$613,570	\$0	\$51,117	\$44,000	\$813,927	3.50	1.46%
Division 32	Exterior Improvements	\$0	\$1,880,000	\$0	\$33,098	\$0	\$1,913,098	8.22	3.43%
Division 33	Utilities	\$0	\$972,041	\$0	\$16,890	\$0	\$988,931	4.25	1.77%
	Subtotal	\$2,250,822	\$44,069,034	\$1,278,400	\$1,471,930	\$807,370	\$49,877,556	\$214.23	89.37%
	Fee					2.90%	\$1,446,449	6.21	2.59%
	Contingency					9.00%	\$4,488,980	19.28	8.04%
	Total						\$55,812,985	\$239.72	100.00%
	General Contract P&P Bond						\$409,221		
	Builder's Risk Insurance						\$50,901		
	Grand Total						\$56,273,107	\$241.70	

028

Division	Work Category	10/2/14 DD	10/3/14 DD	Delta	Significant Scope Changes
Division 01	General Conditions	\$ 30,300	\$ 30,300	\$ -	
Division 01	Project Personnel	\$ 1,787,621	\$ 2,036,737	\$ 249,116	
Division 01	Temporary Facilities	\$ -	\$ -	\$ -	
Division 02	Existing Conditions	\$ -	\$ -	\$ -	
Division 03	Concrete Formwork	\$ -	\$ -	\$ -	
Division 03	Concrete Reinforcing	\$ -	\$ -	\$ -	
Division 03	Concrete Place & Finish	\$ 5,160,993	\$ 4,551,499	\$ (609,494)	
Division 03	Precast Concrete	\$ -	\$ -	\$ -	
Division 04	Masonry & Stone	\$ 2,349,573	\$ 2,001,583	\$ (347,990)	
Division 05	Metals	\$ 779,478	\$ 779,478	\$ -	
Division 06	Carpentry	\$ 1,497,430	\$ 1,497,430	\$ -	
Division 07	Thermal & Moisturte Protection	\$ 3,207,227	\$ 2,895,406	\$ (311,821)	
Division 08	Doors, Frames & Hardware	\$ 1,524,951	\$ 1,524,951	\$ -	
Division 08	Glass & Glazing	\$ 2,348,878	\$ 1,983,156	\$ (365,722)	
Division 09	Finishes - Plaster & Drywall	\$ 7,048,124	\$ 7,045,196	\$ (2,928)	
Division 09	Finishes - Ceilings & Floors	\$ 1,612,743	\$ 1,366,220	\$ (246,523)	
Division 09	Finishes - Painting & Wall Coverings	\$ 552,834	\$ 552,834	\$ -	
Division 10	Building Specilities	\$ 445,796	\$ 445,796	\$ -	
Division 11	Equipment	\$ 123,262	\$ 123,262	\$ -	
Division 12	Furnishings	\$ 41,807	\$ 30,405	\$ (11,402)	
Division 14	Conveying Systems	\$ 1,192,447	\$ 1,002,419	\$ (190,028)	
Division 21	Fire Suppression Systems	\$ 922,697	\$ 819,979	\$ (102,718)	
Division 22	Plumbing	\$ 3,880,975	\$ 3,449,047	\$ (431,928)	
Division 23	HVAC	\$ 6,816,965	\$ 6,854,970	\$ 38,005	
Division 26	Electrical	\$ 6,484,584	\$ 5,953,121	\$ (531,463)	
Division 27	Communication Systems	\$ 932,686	\$ 932,686	\$ -	
Division 28	Electronic Safety & Security	\$ 285,125	\$ 285,125	\$ -	
Division 31	Earthwork	\$ 813,927	\$ 813,927	\$ -	
Division 32	Exterior Improvements	\$ 1,913,098	\$ 1,913,098	\$ -	
Division 33	Site Utilities	\$ 1,554,347	\$ 988,931	\$ (565,416)	
	Subtotal	\$53,307,868	\$49,877,556	(\$3,430,312)	
	Fee	\$ 1,545,928	\$ 1,446,449	\$ (99,479)	
	Contingency	\$ 7,996,180	\$ 4,488,980	\$ (3,507,200)	
	Total	\$62,849,976	\$55,812,985	(\$7,036,991)	
	General Contract P&P Bond	\$ 460,816	\$ 409,221	(\$51,595)	
	Builder's Risk Insurance	\$ 57,319	\$ 50,901	(\$6,418)	
	Grand Total	\$ 63,368,111	\$ 56,273,107	\$ (7,095,004)	

Cost Code	Work Item	Comment	Quantity	Unit	Labor Unit \$	Labor Amount	Subc. Unit \$	Subc. Amount	Pur. C. Unit \$	Pur. C. Amount	Material Unit \$	Material Amount	Equipment Unit \$	Equipment Amount	Division Total Amount
	01 00 00 - General Conditions		16	MO											
00 61 13.01-00	General Contract P&P Bond		55,813	M\$											
00 62 16.01-00	Builder's Risk Insurance		55,813	M\$											
01 41 23.01-00	AGC Fee		55,813	M\$											
01 41 23.02-00	Assessment Fees	excluded		M\$											
01 41 26.01-00	Permits	excluded		LS											
01 31 00.01-00	IS Maintenance and Support		16	MO	\$300.00	\$4,800									\$4,800
00 62 76.13-00	Sales Taxes	excluded		\$S											
01 31 00.02-00	Document Storage			BX											
01 32 33.01-00	Construction Photographs		1	LS							\$2,000.00	\$2,000			\$2,000
01 33 23.01-00	Reproducibles		1	LS							\$20,000.00	\$20,000			\$20,000
01 31 00.03-00	Office Supplies		16	MO							\$218.75	\$3,500			\$3,500
01 31 00.04-00	Travel Expense			MO											
01 31 00.05-00	Personnel Mobilization			EA											
01 31 00.06-00	Subsistence			MO											
01 71 23.16-00	Licensed Survey			EA											
01 31 00.07-00	Project Development			HR											
00 62 16.03-00	Equipment Insurance			\$S											
01 32 16.13-00	CPM Scheduling			MO											
01 41 23.03-00	Sidewalk / Street Rental Fees			SF											
01 31 00.08-00	Legal Expenses			MO											
01 78 39.01-00	As-Built Drawings			PC											
01 31 00.09-00	On-Site Computer		16	MO											
01 45 29.01-00	Testing Lab			PC											
01 31 00.10-00	Mileage Reimbursement			MI							\$0.56				
00 73 19.10-00	Safety Incentive			MO											
01 99 00.90-00	BI&PD Insurance			\$S							1.20%				
01 31 00.11-00	Meals & Entertainment			LS											
	Subtotal					\$4,800						\$25,500			\$30,300
	Total Genl. Cond.														\$30,300

430

Cost Code	Work Item	Comment	Quantity	Unit	Labor Unit \$	Labor Amount	Subc. Unit \$	Subc. Amount	Pur. C. Unit \$	Pur. C. Amount	Material Unit \$	Material Amount	Equipment Unit \$	Equipment Amount	Division Total Amount
01 00 00 - Project Personnel			16	MO											
01 30 01.01-00	Project Executive		840	HR	\$117.00	\$98,280									\$98,280
01 30 01.02-00	Project Director		768	HR	\$117.00	\$89,856									\$89,856
01 30 01.03-00	Project Manager		4,200	HR	\$78.00	\$327,600									\$327,600
01 30 01.04-00	Team Manager			HR											
01 30 01.05-00	Chief Estimator		120	HR	\$90.00	\$10,800									\$10,800
01 30 01.06-00	Project Estimator		250	HR	\$78.00	\$19,500									\$19,500
01 30 01.07-00	Project Superintendent		3,150	HR	\$106.25	\$334,688									\$334,688
01 30 01.08-00	Superintendent			HR											
01 30 01.09-00	Assistant Superintendent		1,050	HR	\$58.00	\$60,900									\$60,900
01 30 01.10-00	Project Engineer		4,025	HR	\$85.80	\$345,345									\$345,345
01 30 01.11-00	Engineers		2,275	HR	\$58.00	\$131,950									\$131,950
01 30 01.11-00	Engineers		2,100	HR	\$72.50	\$152,250									\$152,250
01 30 01.11-00	Engineers (BIM Coordinator)		3,675	HR	\$72.50	\$266,438									\$266,438
01 30 01.12-00	Safety Director		176	HR	\$65.00	\$11,440									\$11,440
01 30 01.13-00	Field Engineer			HR											
01 30 01.14-00	Office Administrator		3,500	HR	\$42.00	\$147,000									\$147,000
01 30 01.15-00	Timekeeper			HR											
01 30 01.16-00	Messenger			HR											
01 30 01.17-00	Contract Administration		250	HR	\$65.00	\$16,250									\$16,250
01 99 00.92-00	BI&PD Insurance		\$2,036,737	\$\$							1.20%	\$24,441			\$24,441
Subtotal						\$2,012,296						\$24,441			\$2,036,737
Total Jobsite Supv.		\$2,036,737													

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Cost Code	Work Item	Comment	Quantity	Unit	Labor Unit \$	Labor Amount	Sub. Unit \$	Sub. Amount	Pur. C. Unit \$	Pur. C. Amount	Material Unit \$	Material Amount	Equipment Unit \$	Equipment Amount	Division Total Amount
01 74 23.03-00	Final Clean-up Garage			SQ											
01 74 13.01-00	Daily Clean-up			MH											
01 52 12.03-00	Physicals & Drug Testing			EA											
01 57 19.01-00	Dust Control			MO											
01 56 29.02-00	Class A Barricade			LF											
01 56 36.02-00	Mat'l Transport from Storage			WK											
01 57 24.01-00	Watchmen/Security			HR											
01 55 26.02-00	Policemen/Traffic Control			HR											
01 99 00.92-00	BI&PD Insurance			SS							1.20%				
01 99 00.98-00	Small Tools			SS							5.00%				
Subtotal															
Total Temporary															

Cost Code	Work Item	Comment	Quantity	UN	Labor Unit \$	Labor Amount	Sub. C. Unit \$	Sub. C. Amount	Pur. C. Unit \$	Pur. C. Amount	Material Unit \$	Material Amount	Equipment Unit \$	Equipment Amount	Division Total Amount
03 30 00 - Cast In Place Concrete															
03 30 02.01-00	Concrete		9,854	CY			\$390.00	\$3,843,060							\$3,843,060
	Footings		3,002	CY											
	Ground Slabs		735	CY											
	PT Slabs & Beams		1,682	CY											
	Concrete on Metal Deck		3,344	CY											
	Columns		319	CY											
	Walls		772	CY											
03 30 04.12-00	Miscellaneous Concrete		25	CY			\$390.00	\$9,750							\$9,750
03 30 05.01-00	Concrete Testing	by Owner		CY											
03 37 16.10-00	Toilets		1	LS									\$28,220.00	\$28,220	\$28,220
03 37 16.11-00	Crawler Crane Mobilization		1	LS									\$64,000.00	\$64,000	\$64,000
03 37 16.12-00	Crawler Crane		1	LS									\$448,800.00	\$448,800	\$448,800
03 85 01.01-00	Field Engineer		1,050	HR	\$48.00	\$50,400									\$50,400
03 39 99.90-00	BI&PD Insurance		3,913,122	\$\$							1.20%	\$46,957			\$46,957
03 39 99.95-00	Subguard Insurance		3,853	M\$							\$15.00	\$57,792			\$57,792
03 39 99.98-00	Small Tools		50,400	\$\$							5.00%	\$2,520			\$2,520
Subtotal						\$50,400	\$3,852,810				\$107,269	\$541,020	\$4,551,499		
Total Conc Place & Finish			\$4,551,499												

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Cost Code	Work Item	Comment	Quantity	UN	Labor Unit \$	Labor Amount	Sub. C. Unit \$	Sub. C. Amount	Pur. C. Unit \$	Pur. C. Amount	Material Unit \$	Material Amount	Equipment Unit \$	Equipment Amount	Division Total Amount
04 00 00 - Masonry / Stone															
04 21 13.01-00	Face Brick		48,682	SF			\$15.00	\$730,230							\$730,230
	Split Face CMU		12,253	SF			\$24.00	\$294,072							\$294,072
04 22 00.01-00	Concrete Block		51,000	SF			\$16.00	\$816,000							\$816,000
04 80 00.01-00	Mock-ups		1	LS			\$50,000.00	\$50,000							\$50,000
04 85 01.01-00	Daily Clean Up		1,050	HR	\$24.84	\$26,082									\$26,082
04 85 01.02-00	Trash Container		1	LS									\$30,000.00	\$30,000	\$30,000
04 85 01.03-00	Fuel & Oil		1	LS							\$2,500.00	\$2,500			\$2,500
04 99 00.90-00	BI&PD Insurance		1,919,961	\$\$							1.20%	\$23,040			\$23,040
04 99 00.95-00	Subguard Insurance		1,890	M\$							\$15.00	\$28,355			\$28,355
04 99 00.98-00	Small Tools		26,082	\$\$							5.00%	\$1,304			\$1,304
Subtotal								\$26,082				\$55,199		\$30,000	\$2,001,583
Total Masonry															\$2,001,583

Cost Code	Work Item	Comment	Quantity	UN	Labor Unit \$	Labor Amount	Sub C. Unit \$	Sub. C. Amount	Pur. C. Unit \$	Pur. C. Amount	Material Unit \$	Material Amount	Equipment Unit \$	Equipment Amount	Division Total Amount
05 00 00 - Metals															
05 12 00 - Structural Metal Framing															
05 12 23.01-00	Structural Steel Fabrication		166	TN			\$2,800.00	\$464,800							\$464,800
05 37 00 - Steel Erection															
05 37 13.01-00	Structural Steel Erection		166	TN			\$1,000.00	\$166,000							\$166,000
05 50 00 - Metal Fabrications															
05 50 01.01-00	Miscellaneous Metal Fabrication		232,822	SF			\$0.55	\$128,052							\$128,052
05 99 00.90-00	BI&PD Insurance		770,235	\$\$							1.20%	\$9,243			\$9,243
05 99 00.95-00	Subguard Insurance		759	M\$							\$15.00	\$11,383			\$11,383
05 99 00.98-00	Small Tools			\$\$							5.00%				
Subtotal								\$758,852				\$20,626			\$779,478
Total Metals		\$779,478													

Cost Code	Work Item	Comment	Quantity	UN	Labor Unit \$	Labor Amount	Sub. C. Unit \$	Sub. C. Amount	Pur. C. Unit	Pur. C. Amount	Material Unit \$	Material Amount	Equipment Unit \$	Equipment Amount	Division Total Amount
06 10 00 - Rough Carpentry															
06 10 53.01-00	Interior Wood Blocking		232,822	SF							\$0.60	\$139,693			\$139,693
06 10 54.01-00	Exterior Wood Blocking	parapet blocking	2,290	BF			\$12.00	\$27,480							\$27,480
06 40 00 - Architectural Millwork															
06 40 01.01-00	Architectural Millwork		5,300	LF			\$233.96	\$1,240,000							\$1,240,000
06 85 01.01-00	Carpenter		1,050	HR	\$33.07	\$34,724									\$34,724
06 85 01.02-00	Ice & Cups		1	LS							\$1,500.00	\$1,500			\$1,500
06 85 01.03-00	Hoist Platforms		1	LS							\$7,500.00	\$7,500			\$7,500
06 85 01.04-00	Fire Extinguishers		1	LS							\$4,400.00	\$4,400			\$4,400
06 85 01.05-00	Tool & Equipment Sheds		1	LS									\$1,750.00	\$1,750	\$1,750
06 85 01.06-00	Job Sign		1	LS							\$2,500.00	\$2,500			\$2,500
06 99 00.90-00	BI&PD Insurance		1,427,921	\$\$							1.20%	\$17,135			\$17,135
06 99 00.95-00	Subguard Insurance		1,267	M\$							\$15.00	\$19,012			\$19,012
06 99 00.98-00	Small Tools		34,724	\$\$							5.00%	\$1,736			\$1,736
Subtotal						\$34,724	\$1,267,480				\$193,476	\$1,750		\$1,497,430	
Total Carpentry		\$1,497,430													

Cost Code	Work Item	Comment	Quantity	UN	Labor Unit \$	Labor Amount	Sub. C. Unit \$	Sub. C. Amount	Pur. C. Unit \$	Pur. C. Amount	Material Unit \$	Material Amount	Equipment Unit \$	Equipment Amount	Division Total Amount
07 10 00 - Damproofing & Waterproofing															
07 10 00.01-00	Waterproofing		158,840	LS			\$5.72	\$909,239							\$909,239
07 11 00.01-00	Damproofing			SF											
07 12 00.01-00	Bituminous Waterproofing	w/Waterproofing	60,000	SF											
07 13 00.01-00	Sheet Waterproofing	w/Waterproofing	1,440	SF											
07 14 00.01-00	Fluid Applied Waterproofing	w/Waterproofing	97,400	SF											
07 20 00 - Thermal Protection															
07 21 13.01-00	Thermal Rigid Insulation			SF											
	1" Rigid	w/Waterproofing	85,880	SF											
	2" Rigid	w/Waterproofing	28,540	SF											
07 21 16.01-00	Building Insulation			SF											
	Interior Batt Insulation	w/Drywall	85,880	SF											
07 40 00 - Roofing & Siding Panels															
07 41 13.01-00	Metal Roofing			SF											
	Canopy		2,700	SF			\$45.00	\$121,500							\$121,500
	Screens		650	SF			\$50.00	\$32,500							\$32,500
07 42 13.01-00	Metal Panels			SF											
	MP-1 and MP-2		40,146	SF			\$21.00	\$843,066							\$843,066
	Soffit		2,000	SF			\$21.00	\$42,000							\$42,000
07 50 00 - Membrane Roofing															
07 51 13.01-00	Built-up Roofing			SF											
07 52 00.01-00	60 Mil TPO Roofing		53,780	SF			\$12.55	\$674,868							\$674,868
	Tapered Insulation		53,780	SF			\$3.16	\$170,000							\$170,000
07 80 00 - Fire & Smoke Protection															
07 81 00.01-00	Spray Fireproofing	excluded		LS											
07 84 00.01-00	Firestopping		232,822	LS			\$0.05	\$11,641							\$11,641
07 90 00 - Joint Protection															
07 92 00.01-00	Joint Sealants		232,822	LS			\$0.05	\$11,641							\$11,641
07 93 00.01-00	Safety Supplies		1	LS							\$2,400.00	\$2,400			\$2,400
07 99 00.90-00	BI&PD Insurance		2,858,702	\$\$							1.20%	\$34,304			\$34,304
07 99 00.95-00	Subguard Insurance		2,816	MS							\$15.00	\$42,247			\$42,247
07 99 00.98-00	Small Tools			\$\$							5.00%				
Subtotal								\$2,816,455				\$78,951			\$2,895,406
Total Thermal & Moisture															\$2,895,406

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Cost Code	Work Item	Comment	Quantity	UN	Labor Unit \$	Labor Amount	Sub. C. Unit \$	Sub. C. Amount	Pur. C. Unit \$	Pur. C. Amount	Material Unit \$	Material Amount	Equipment Unit \$	Equipment Amount	Division Total Amount
	08 10 00 - Doors, Frames, HWD														
	08 11 13 - Hollow Metal Doors & Frames														
08 11 13.01-00	Doors/Frames/Hardware		1,504	EA					\$850.00	\$1,278,400					\$1,278,400
	DFH Installation		1,504	EA			\$125.00	\$188,000							\$188,000
	08 33 13 - Coiling Doors & Grilles														
08 33 23.01-00	Overhead Doors		280	SF			\$65.00	\$18,200							\$18,200
08 79 00.90-00	BI&PD Insurance		1,506,869	\$\$							1.20%	\$18,082			\$18,082
08 79 00.95-00	Subguard Insurance		1,485	M\$							\$15.00	\$22,269			\$22,269
08 79 00.98-00	Small Tools			\$\$							5.00%				
	Subtotal							\$206,200		\$1,278,400		\$40,351			\$1,524,951
	Total Dr/Fr/HWD														\$1,524,951

Cost Code	Work Item	Comment	Quantity	UN	Labor Unit \$	Labor Amount	Sub. C. Unit \$	Sub. C. Amount	Pur. C. Unit \$	Pur. C. Amount	Material Unit \$	Material Amount	Equipment Unit \$	Equipment Amount	Division Total Amount
08 80 00 - Glass & Glazing															
08 80 13.01-00	Glass & Glazing	Interior Sidelites	1,425	SF			\$37.00	\$52,725							\$52,725
08 80 13.20-00	Curtainwall		17,107	SF			\$65.00	\$1,111,955							\$1,111,955
08 80 13.25-00	Storefront	w/Curtainwall		SF			\$55.00								
08 80 13.35-00	Fixed Windows		14,800	SF			\$45.00	\$666,000							\$666,000
08 83 13.01-00	Mirrors		5,000	SF			\$20.00	\$100,000							\$100,000
08 99 00.90-00	BI&PD Insurance		1,959,640	\$\$							1.20%	\$23,516			\$23,516
08 99 00.95-00	Subguard Insurance		1,931	M\$							\$15.00	\$28,960			\$28,960
08 99 00.98-00	Small Tools			\$\$							5.00%				
Subtotal								\$1,930,680				\$52,476			\$1,983,156
Total Glass															\$1,983,156

Cost Code	Work Item	Comment	Quantity	UN	Labor Unit \$	Labor Amount	Sub. C. Unit \$	Sub. C. Amount	Pur. C. Unit \$	Pur. C. Amount	Material Unit \$	Material Amount	Equipment Unit \$	Equipment Amount	Division Total Amount
09 20 00 - Plaster and Gypsum															
09 21 01.01-00	Lath and Plaster		2,780	SF			\$15.00	\$41,700							\$41,700
09 29 01.01-00	Drywall		400,000	SF			\$16.74	\$6,697,150							\$6,697,150
09 29 01.02-00	Miscellaneous Wall Framing / Finishing		232,822	SF			\$0.25	\$58,206							\$58,206
09 29 29.01-00	Field Engineer			WK											
09 29 29.02-00	Layout & Engineering		9	WK	\$1,920.00	\$17,280									\$17,280
09 29 29.04-00	Erect & Remove Hoist		1	LS									\$14,500.00	\$14,500	\$14,500
09 29 29.05-00	Trash Container		1	LS									\$30,000.00	\$30,000	\$30,000
09 29 99.90-00	BI&PD Insurance		6,961,656	\$\$							1.20%	\$83,540			\$83,540
09 29 99.95-00	Subguard Insurance		6,797	M\$							\$15.00	\$101,956			\$101,956
09 29 99.98-00	Small Tools		17,280	\$\$							5.00%	\$864			\$864
Subtotal						\$17,280	\$6,797,056				\$186,360		\$44,500	\$7,045,196	
Total Finishes						\$7,045,196									

Cost Code	Work Item	Comment	Quantity	UN	Labor Unit \$	Labor Amount	Sub. C. Unit \$	Sub. C. Amount	Pur. C. Unit \$	Pur. C. Amount	Material Unit \$	Material Amount	Equipment Unit \$	Equipment Amount	Division Total Amount
09 30 00 - Tiling															
09 30 13.01-00	Ceramic Tile		23,080	SF			\$18.00	\$415,440							\$415,440
	Waterproofing		23,080	SF			\$2.00	\$46,160							\$46,160
09 50 00 - Ceilings															
09 51 01.01-00	Acoustical Ceilings		24,000	SF			\$4.00	\$96,000							\$96,000
09 54 26.01-00	Wood Ceilings Ceilings		11,610	SF			\$9.00	\$104,490							\$104,490
	Linear Wood Soffit Ceiling		890	SF			\$45.00	\$40,050							\$40,050
09 60 00 - Flooring															
09 64 00.01-00	Wood Flooring			SF											
	Vinyl Plank		2,000	SF			\$6.50	\$13,000							\$13,000
09 65 13.01-00	Resilient Base	w/Resilient Floors		LF											
09 65 13.02-00	Resilient Floors			SF											
	Carpet		8,000	SY			\$50.00	\$400,000							\$400,000
	Walk Off Mat		200	SF			\$30.00	\$6,000							\$6,000
09 67 19.01-00	Sealed Concrete			SF											
	Polished Concrete		120,000	SF			\$1.50	\$180,000							\$180,000
09 69 60.01-00	Floor Prep and Patch		388	SF			\$45.00	\$17,460							\$17,460
09 69 61.01-00	Protect Finished Floors		232,822	SF							\$0.05	\$11,641			\$11,641
09 69 99.90-00	BI&PD Insurance		1,350,020	\$\$							1.20%	\$16,200			\$16,200
09 69 99.95-00	Subguard Insurance		1,319	M\$							\$15.00	\$19,779			\$19,779
09 69 99.98-00	Small Tools			\$\$							5.00%				
Subtotal								\$1,318,600				\$47,620			\$1,366,220
Total Ceilings & Floors		\$1,366,220													

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Cost Code	Work Item	Comment	Quantity	UN	Labor Unit \$	Labor Amount	Sub. C. Unit \$	Sub. C. Amount	Pur. C Unit \$	Pur. C. Amount	Material Unit \$	Material Amount	Equipment Unit \$	Equipment Amount	Division Total Amount
09 70 00 - Wall Finishes															
09 91 00.01-00	Painting		480,000	SF			\$1.00	\$480,000							\$480,000
09 98 01.01-00	M.E.P. Painting	excluded		SF											
09 98 03.01-00	Final Touch-up Painting		232,822	SF			\$0.25	\$58,206							\$58,206
09 99 99.90-00	BI&PD Insurance		546,279	\$\$							1.20%	\$6,555			\$6,555
09 99 99.95-00	Subguard Insurance		538	M\$							\$15.00	\$8,073			\$8,073
09 99 99.98-00	Small Tools			\$\$							5.00%				
Subtotal								\$538,206			\$14,628			\$552,834	
Total Paint & VWC		\$552,834													

Cost Code	Work Item	Comment	Quantity	UN	Labor Unit \$	Labor Amount	Sub. C Unit \$	Sub. C Amount	Pur. C Unit \$	Pur. C Amount	Material Unit \$	Material Amount	Equipment Unit \$	Equipment Amount	Division Total Amount
10 00 00 - Specialties															
10 11 16.01-00	Tack / Marker Boards		1	LS			\$20,000.00	\$20,000							\$20,000
10 13 00.01-00	Building Directory	excluded		EA											
10 14 00.01-00	Graphics and Signage			SF											
	Room ID	Allowance	1	LS			\$70,000.00	\$70,000							\$70,000
	Exterior Building	Allowance	1	LS			\$20,000.00	\$20,000							\$20,000
10 22 26.01-00	Operable Partitions		800	SF			\$50.00	\$40,000							\$40,000
10 28 13.01-00	Building Specialties		2,460	EA			\$100.00	\$246,000							\$246,000
	Knox Box		1	EA			\$700.00	\$700							\$700
10 44 00.01-00	Fire Extinguishers		78	EA			\$350.00	\$27,300							\$27,300
10 99 00.00-00	Louvers		1	LS			\$10,000.00	\$10,000							\$10,000
10 99 00.90-00	BI&PD Insurance		440,510	\$\$							1.20%	\$5,286			\$5,286
10 99 00.95-00	Subguard Insurance		434	MS							\$15.00	\$6,510			\$6,510
10 99 00.98-00	Small Tools			\$\$							5.00%				
Subtotal								\$434,000				\$11,796			\$445,796
Total Specialties							\$445,796								

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Cost Code	Work Item	Comment	Quantity	UN	Labor Unit \$	Labor Amount	Sub. C. Unit \$	Sub. C. Amount	Pur. C. Unit \$	Pur. C. Amount	Material Unit \$	Material Amount	Equipment Unit \$	Equipment Amount	Division Total Amount
11 29 00 - Postal Equipment															
11 29 55.01-00	Postal Equipment	Allowance	1	EA			\$20,000.00	\$20,000							\$20,000
11 31 00 - Residential Equipment															
11 31 01.01-00	Household Appliances	Allowance	1	LS			\$80,000.00	\$80,000							\$80,000
11 52 00 - Educational Equipment															
11 52 13.01-00	Projection Screens	Allowance	1	LS			\$20,000.00	\$20,000							\$20,000
11 99 00.90-00	BI&PD Insurance		121,800	\$\$							1.20%	\$1,462			\$1,462
11 99 00.95-00	Subguard Insurance		120	M\$							\$15.00	\$1,800			\$1,800
11 99 00.98-00	Small Tools			\$\$							5.00%				
Subtotal												\$3,262			\$123,262
Total Equipment		\$123,262													

Cost Code	Work Item	Comment	Quantity	UN	Labor Unit \$	Labor Amount	Sub. C. Unit \$	Sub. C. Amount	Pur. C. Unit	Pur. C. Amount	Material Unit \$	Material Amount	Equipment Unit \$	Equipment Amount	Division Total Amount
12 20 00 - Window Treatments															
12 21 13.01-00	Window Blinds	vinyl mini blinds	14,800	SF			\$2.00	\$29,600							\$29,600
12 21 23.01-00	Mecho Shades	excluded		SF											
12 99 00.90-00	BI&PD Insurance		30,044	\$\$							1.20%	\$361			\$361
12 99 00.95-00	Subguard Insurance		30	M\$							\$15.00	\$444			\$444
12 99 00.98-00	Small Tools			\$\$							5.00%				
Subtotal								\$29,600				\$805			\$30,405
Total Furnishings			\$30,405												

Cost Code	Work Item	Comment	Quantity	UN	Labor Unit \$	Labor Amount	Sub. C. Unit \$	Sub. C. Amount	Pur. C. Unit \$	Pur. C. Amount	Material Unit \$	Material Amount	Equipment Unit \$	Equipment Amount	Division Total Amount
14 00 00 - Conveying Systems															
14 21 00.01-00	Elevators Traction		5	EA			\$183,000.00	\$915,000							\$915,000
14 33 01.02-00	Hoist Rental		1	LS									\$27,500.00	\$27,500	\$27,500
14 91 33.01-00	Chutes		12	FL			\$2,850.00	\$34,200							\$34,200
14 95 00.90-00	BI&PD Insurance		956,738	\$\$							1.20%	\$11,481			\$11,481
14 95 00.95-00	Subguard Insurance		949	M\$							\$15.00	\$14,238			\$14,238
14 95 00.98-00	Small Tools			\$\$							5.00%				
Subtotal								\$949,200				\$25,719		\$27,500	\$1,002,419
Total Conveying		\$1,002,419													

Cost Code	Work Item	Comment	Quantity	UN	Labor Unit \$	Labor Amount	Sub. C. Unit \$	Sub. C. Amount	Pur. C. Unit \$	Pur. C. Amount	Material Unit \$	Material Amount	Equipment Unit \$	Equipment Amount	Division Total Amount
21 00 00 - Fire Suppression Systems															
21 13 00.01-00	Fire Sprinkler System		232,822	SF			\$3.33	\$775,000							\$775,000
21 30 00.01-00	Fire Pumps	w/Fire Sprinkler		EA											
21 50 00.01-00	Miscellaneous Fire Sprinkler System		232,822	SF			\$0.10	\$23,282							\$23,282
21 99 00.90-00	BI&PD Insurance		810,256	SS							1.20%	\$9,723			\$9,723
21 99 00.95-00	Subguard Insurance		798	MS							\$15.00	\$11,974			\$11,974
21 99 00.98-00	Small Tools			SS							5.00%				
Subtotal								\$798,282				\$21,697			\$819,979
Total Fire Suppression		\$819,979													

Cost Code	Work Item	Comment	Quantity	UN	Labor Unit \$	Labor Amount	Sub. C. Unit \$	Sub. C. Amount	Pur. C. Unit \$	Pur. C. Amount	Material Unit \$	Material Amount	Equipment Unit \$	Equipment Amount	Division Total Amount
22 00 00 - Plumbing															
22 00 00.01-00	Plumbing		232,822	SF			\$14.04	\$3,269,500							\$3,269,500
22 70 01.01-00	Miscellaneous Plumbing		232,822	SF			\$0.10	\$23,282							\$23,282
22 70 02.01-00	Temporary Water		1	LS			\$10,000.00	\$10,000							\$10,000
22 70 03.01-00	Temporary Plumbing		1	LS			\$15,000.00	\$15,000							\$15,000
	Toilet Waste		16	MO									\$350.00	\$5,600	\$5,600
22 70 03.02-00	Job Office Rental		1	LS									\$35,000.00	\$35,000	\$35,000
22 99 00.90-00	BI&PD Insurance		3,408,149	\$\$							1.20%	\$40,898			\$40,898
22 99 00.95-00	Subguard Insurance		3,318	M\$							\$15.00	\$49,767			\$49,767
22 99 00.98-00	Small Tools			\$\$							5.00%				
Subtotal								\$3,317,782				\$90,665		\$40,600	\$3,449,047
Total Plumbing															\$3,449,047

Cost Code	Work Item	Comment	Quantity	UN	Labor Unit \$	Labor Amount	Sub. C. Unit \$	Sub. C. Amount	Pur. C. Unit \$	Pur. C. Amount	Material Unit \$	Material Amount	Equipment Unit \$	Equipment Amount	Division Total Amount
23 00 00 - HVAC															
23 00 00.01-00	Mechanical		232,822	SF			\$28.51	\$6,637,000							\$6,637,000
	Test & Balance	by Owner													
23 90 00.02-00	Miscellaneous Mechanical		232,822	SF			\$0.10	\$23,282							\$23,282
23 90 10.05-00	Job Office Equipment		1	LS									\$13,500.00	\$13,500	\$13,500
23 99 00.90-00	BI&PD Insurance		6,773,686	\$\$							1.20%	\$81,284			\$81,284
23 99 00.95-00	Subguard Insurance		6,660	M\$							\$15.00	\$99,904			\$99,904
23 99 00.98-00	Small Tools			\$\$							5.00%				
Subtotal								\$6,660,282				\$181,188		\$13,500	\$6,854,970
Division 23 - HVAC		\$6,854,970													

Cost Code	Work Item	Comment	Quantity	UN	Labor Unit \$	Labor Amount	Sub. C. Unit \$	Sub. C. Amount	Pur. C. Unit \$	Pur. C. Amount	Material Unit \$	Material Amount	Equipment Unit \$	Equipment Amount	Division Total Amount
26 00 00 - Electrical															
26 05 13.01-00	Electrical		232,822	SF			\$24.27	\$5,650,563							\$5,650,563
26 05 13.04-00	Temporary Power		232,822	SF			\$0.15	\$34,923							\$34,923
26 70 01.02-00	Trash Container		1	LS									\$30,000.00	\$30,000	\$30,000
26 70 05.01-00	Temporary Electrical Work		232,822	SF			\$0.10	\$23,282							\$23,282
26 80 01.01-00	Miscellaneous Electrical		232,822	SF			\$0.10	\$23,282							\$23,282
26 90 01.01-00	Job Office Setup		1	LS									\$27,500.00	\$27,500	\$27,500
26 90 01.02-00	Phones		1	LS									\$2,000.00	\$2,000	\$2,000
26 90 01.03-00	Generators		1	LS									\$5,000.00	\$5,000	\$5,000
26 99 00.90-00	BI&PD Insurance		5,882,531	\$\$							1.20%	\$70,590			\$70,590
26 99 00.95-00	Subguard Insurance		5,732	M\$							\$15.00	\$85,981			\$85,981
26 99 00.98-00	Small Tools			\$\$							5.00%				
Subtotal								\$5,732,050				\$156,571		\$64,500	\$5,953,121
Total Electrical		\$5,953,121													

Cost Code	Work Item	Comment	Quantity	UN	Labor Unit \$	Labor Amount	Sub. C. Unit \$	Sub. C. Amount	Pur. C. Unit \$	Pur. C. Amount	Material Unit \$	Material Amount	Equipment Unit \$	Equipment Amount	Division Total Amount
27 00 00 - Communication															
27 11 01.01-00	Structured Cabling		232,822	SF			\$2.80	\$651,902							\$651,902
27 41 01.01-00	Audio Visual Systems	Allowance	232,822	SF			\$1.00	\$232,822							\$232,822
27 80 01.01-00	Miscellaneous Communications		232,822	LS			\$0.10	\$23,282							\$23,282
27 99 00.90-00	BI&PD Insurance		921,626	SS							1.20%	\$11,060			\$11,060
27 99 00.95-00	Subguard Insurance		908	MS							\$15.00	\$13,620			\$13,620
27 99 00.98-00	Small Tools			SS							5.00%				
Subtotal								\$908,006				\$24,680			\$932,686
Total Communication		\$932,686													

Cost Code	Work Item	Comment	Quantity	UN	Labor Unit \$	Labor Amount	Sub. C. Unit \$	Sub. C. Amount	Pur. C. Unit \$	Pur. C. Amount	Material Unit \$	Material Amount	Equipment Unit \$	Equipment Amount	Division Total Amount
28 00 00 - Safety and Security															
28 13 01.01-00	Access Control	w/Security	232,822	SF											
28 23 13.01-00	Security		232,822	SF			\$1.09	\$254,298							\$254,298
28 31 13.01-00	Fire Alarm System	w/Electrical	232,822	SF											
28 60 00.01-00	Miscellaneous Safety and Security		232,822	SF			\$0.10	\$23,282							\$23,282
28 99 00.90-00	BI&PD Insurance		281,744	SS							1.20%	\$3,381			\$3,381
28 99 00.95-00	Subguard Insurance		278	MS							\$15.00	\$4,164			\$4,164
28 99 00.98-00	Small Tools			SS							5.00%				
Subtotal								\$277,580				\$7,545			\$285,125
Total Safety & Security		\$285,125													

Cost Code	Work Item	Comment	Quantity	UN	Labor Unit \$	Labor Amount	Sub. C. Unit \$	Sub. C. Amount	Pur. C. Unit \$	Pur. C. Amount	Material Unit \$	Material Amount	Equipment Unit \$	Equipment Amount	Division Total Amount
31 00 00 - Earthwork															
31 11 00.01-00	Clear & Grub Site		1	LS			\$568,915.00	\$568,915							\$568,915
31 23 16.10-00	Haul Off Excess Dirt		100	LD			\$125.00	\$12,500							\$12,500
31 31 16.10-00	Soil Treatment		47.700	SF			\$0.15	\$7,155							\$7,155
31 80 13.01-00	Clean Streets		4	WK	\$1,920.00	\$7,680									\$7,680
31 80 13.10-00	Access Roads		1	LS			\$25,000.00	\$25,000							\$25,000
31 80 13.11-00	Construction Fence		1	LS									\$9,000.00	\$9,000	\$9,000
31 80 13.15-00	SWPPP		1	LS	\$15,000.00	\$15,000					\$20,000.00	\$20,000			\$35,000
31 80 13.17-00	Field Engineer			WK											
31 80 13.18-00	Layout & Engineering		43	WK	\$1,920.00	\$82,560									\$82,560
31 80 13.35-00	Bobcat		1	LS									\$35,000.00	\$35,000	\$35,000
31 80 13.55-00	Fuel and Oil		70	WK							\$100.00	\$7,000			\$7,000
31 99 00.90-00	BI&PD Insurance		804.276	\$\$							1.20%	\$9,651			\$9,651
31 99 00.95-00	Subguard Insurance		614	MS							\$15.00	\$9,204			\$9,204
31 99 00.98-00	Small Tools		105.240	\$\$							5.00%	\$5,262			\$5,262
Subtotal							\$105,240	\$613,570				\$51,117	\$44,000	\$813,927	
Total Earthwork								\$813,927							

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Cost Code	Work Item	Comment	Quantity	Unit	Labor Unit \$	Labor Amount	Sub. C. Unit \$	Sub. C. Amount	Pur. C. Unit \$	Pur. C. Amount	Material Unit \$	Material Amount	Equipment Unit \$	Equipment Amount	Division Total Amount
	32 00 00 - Exterior Improvements														
32 00 00.01-00	Hardscape	Allowance	1	LS			\$1,500,000.00	\$1,500,000							\$1,500,000
	32 12 16 - Site Paving														
32 12 16.01-00	Asphalt Paving	w/Hardscape		SY											
32 13 13.01-00	Concrete Paving	w/Hardscape		SF											
32 13 13.05-00	Sidewalks	w/Hardscape		SF											
32 14 13.16-00	Grasscrete Paving	w/Hardscape		SF											
32 14 16.01-00	Brick Paving	w/Hardscape		SF											
32 17 13.01-00	Wheelstops	w/Hardscape		EA											
32 17 23.01-00	Pavement Striping	w/Hardscape		LF											
	32 31 13 - Fencing & Gates														
32 31 13.01-00	Fencing	w/Hardscape		LF											
	32 32 13 - Retaining Walls														
32 32 13.05-00	Concrete Retaining Walls	w/Hardscape		CY											
32 40 05.00-00	Guardrails / Handrails	w/Hardscape		LF											
	32 84 23 - Landscaping & Irrigation														
32 91 13.01-00	Landscaping & Irrigation	Allowance	95,000	SF			\$4.00	\$380,000							\$380,000
32 99 00.90-00	BI&PD Insurance		408,200	\$\$							1.20%	\$4,898			\$4,898
32 99 00.95-00	Subguard Insurance		1,880	M\$							\$15.00	\$28,200			\$28,200
32 99 00.98-00	Small Tools			\$\$							5.00%				
	Subtotal							\$1,880,000				\$33,098			\$1,913,098
	Total Exterior Improvements														\$1,913,098

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Cost Code	Work Item	Comment	Quantity	Unit	Labor Unit \$	Labor Amount	Sub. C. Unit \$	Sub. C. Amount	Pur. C. Unit \$	Pur. C. Amount	Material Unit \$	Material Amount	Equipment Unit \$	Equipment Amount	Division Total Amount
	33 00 00 - Utilities														
33 00 00.01-00	Site Utilities		1	LS			\$680,731.15	\$680,731							\$680,731
	33 12 00 - Water Service														
33 11 19.01-00	Fire Water Lines	w/Site Utilities		LF											
33 12 13.01-00	Domestic Water Lines	12" water line	1	LS			\$113,510.00	\$113,510							\$113,510
	33 30 00 - Sanitary Sewer Service														
33 31 13.01-00	Sanitary Sewer Lines	w/Site Utilities		LF											
	33 40 00 - Site Storm Drainage														
33 41 13.01-00	Storm Drain Lines	w/Site Utilities		LF											
	33 70 00 - Site Electrical														
33 71 19.15-00	Electrical Ductbanks		305	LF			\$582.95	\$177,800							\$177,800
33 90 01.01-00	Field Engineer			WK											
33 90 05.01-00	Layout & Engineering			WK											
33 99 00.90-00	BI&PD Insurance		192,381	\$\$							1.20%	\$2,309			\$2,309
33 99 00.95-00	Subguard Insurance		972	M\$							\$15.00	\$14,581			\$14,581
33 99 00.98-00	Small Tools			\$\$							5.00%				
	Subtotal							\$972,041				\$16,890			\$988,931
	Total Utilities														\$988,931

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100% Design Development Construction Cost Estimate

South Residence Complex
Sam Houston State University - Huntsville, TX
232,822 SQFT



Submitted to:
SHW Group
20 E. Greenway Plaza, Suite 200
Houston, TX 77046



October 6, 2014

CCS Project # 14.009

11767 Katy Freeway
Suite 370
Houston, TX 77079

832.288.4762
www.CCSdifference.com

SHSU South Campus Housing Complex Comparison

DD-CCS

DD-Linbeck

Delta

			232,822 SQFT	\$/sf	232,822 SQFT	\$/sf				
1	Division 01	General Requirements	\$2,067,037	8.88	\$2,067,037	8.88	\$	-	0.00%	
2	Division 02	Existing Conditions								
3	Division 03	Concrete	\$4,373,089	18.78	\$4,551,499	19.55	\$	(178,410)	-3.92%	
4	Division 04	Masonry	\$2,053,724	8.82	\$2,001,583	8.60	\$	52,141	2.60%	
5	Division 05	Metals	\$791,443	3.40	\$779,478	3.35	\$	11,965	1.54%	
6	Division 06	Wood, Plastics, And Composites	\$1,473,859	6.33	\$1,497,430	6.43	\$	(23,571)	-1.57%	
7	Division 07	Thermal And Moisture Protection	\$2,937,091	12.62	\$2,895,406	12.44	\$	41,685	1.44%	
8	Division 08	Openings	\$3,401,274	14.61	\$3,508,107	15.07	\$	(106,833)	-3.05%	
9	Division 09	Finishes	\$8,740,493	37.54	\$8,964,250	38.50	\$	(223,757)	-2.50%	
10	Division 10	Specialties	\$425,585	1.83	\$445,796	1.91	\$	(20,211)	-4.53%	
11	Division 11	Equipment	\$121,465	0.52	\$123,262	0.53	\$	(1,797)	-1.46%	
12	Division 12	Furnishings	\$30,000	0.13	\$30,405	0.13	\$	(405)	-1.33%	
13	Division 13	Special Construction	\$0		\$0					
14	Division 14	Conveying Equipment	\$1,022,000	4.39	\$1,002,419	4.31	\$	19,581	1.95%	
15	Division 21	Fire Suppression	\$808,462	3.47	\$819,979	3.52	\$	(11,517)	-1.40%	
16	Division 22	Plumbing	\$3,589,564	15.42	\$3,449,047	14.81	\$	140,517	4.07%	
17	Division 23	HVAC	\$6,554,738	28.15	\$6,854,970	29.44	\$	(300,232)	-4.38%	
18	Division 25	Integrated Automation (Inc w/HVAC)	\$0		\$0					
19	Division 26	Electrical	\$5,746,500	24.68	\$5,953,121	25.57	\$	(206,621)	-3.47%	
20	Division 27	Communications	\$888,521	3.82	\$932,686	4.01	\$	(44,165)	-4.74%	
21	Division 28	Electronic Safety and Security	\$299,250	1.29	\$285,125	1.22	\$	14,125	4.95%	
22	Division 31	Earthwork	\$796,345	3.42	\$813,927	3.50	\$	(17,582)	-2.16%	
23	Division 32	Exterior Improvements	\$1,874,123	8.05	\$1,913,098	8.22	\$	(38,975)	-2.04%	
24	Division 33	Utilities	\$941,643	4.04	\$988,931	4.25	\$	(47,288)	-4.78%	
25	Subtotal - All Divisions		\$48,936,206	210.19	\$49,877,556	214.23	\$	(941,350)	-1.89%	
26	Gen Contractor's Fee		2.9%	\$1,419,150	6.10	2.9%	\$1,446,449	6.21	\$ (27,299)	-1.89%
27	Contingencies		9.0%	\$4,622,622	19.85	9.0%	\$4,488,980	19.28	\$ 133,642	2.98%
28	Construction Estimate Total		\$54,977,977		\$55,812,985	239.72	\$	(835,008)	-1.50%	
29	Bonds & Insurance		\$453,238	1.95	\$460,122	1.98	\$	(6,884)	-1.50%	
30	Construction Project Total		\$55,431,216	238.08	\$56,273,107	241.70	\$	(841,891)	-1.50%	

PROJECT RECAP & SUMMARY

Description	Unit Price Ext	Value Per: 232822 SQFT
A01 - Base Estimate **** 01 00 00 - General Requirements **** 01 00 00 - General Conditions	\$2,067,037.00	\$8.88
A01 - Base Estimate **** 01 00 00 - General Requirements - SUBTOTAL	\$2,067,037.00	\$8.88
A01 - Base Estimate **** 03 00 00 - Concrete **** 03 30 00 - Cast in Place Concrete	\$4,373,088.66	\$18.78
A01 - Base Estimate **** 03 00 00 - Concrete - SUBTOTAL	\$4,373,088.66	\$18.78
A01 - Base Estimate **** 04 00 00 - Masonry **** 04 20 00 - Unit Masonry	\$2,053,724.00	\$8.82
A01 - Base Estimate **** 04 00 00 - Masonry **** 04 40 00 - Stone Assemblies		
A01 - Base Estimate **** 04 00 00 - Masonry - SUBTOTAL	\$2,053,724.00	\$8.82
A01 - Base Estimate **** 05 00 00 - Metals **** 05 10 00 - Structural Metal Framing	\$579,998.25	\$2.49
A01 - Base Estimate **** 05 00 00 - Metals **** 05 30 00 - Metal Decking	\$3,319.75	\$0.01
A01 - Base Estimate **** 05 00 00 - Metals **** 05 50 00 - Metal Fabrications	\$208,125.00	\$0.89
A01 - Base Estimate **** 05 00 00 - Metals - SUBTOTAL	\$791,443.00	\$3.40
A01 - Base Estimate **** 06 00 00 - Wood Plastics and Composites **** 06 10 00 - Rough Carpentry	\$260,259.30	\$1.12
A01 - Base Estimate **** 06 00 00 - Wood Plastics and Composites **** 06 20 00 - Finish Carpentry	\$1,163,600.00	\$5.00
A01 - Base Estimate **** 06 00 00 - Wood Plastics and Composites **** 06 40 00 - Architectural Woodwork	\$50,000.00	\$0.21
A01 - Base Estimate **** 06 00 00 - Wood Plastics and Composites - SUBTOTAL	\$1,473,859.30	\$6.33
A01 - Base Estimate **** 07 00 00 - Thermal and Moisture Protection **** 07 10 00 - Dampproofing and Waterproofing	\$669,708.40	\$2.88
A01 - Base Estimate **** 07 00 00 - Thermal and Moisture Protection **** 07 20 00 - Thermal Protection	\$126,740.14	\$0.54
A01 - Base Estimate **** 07 00 00 - Thermal and Moisture Protection **** 07 40 00 - Roofing and Siding Panels	\$1,131,900.00	\$4.86
A01 - Base Estimate **** 07 00 00 - Thermal and Moisture Protection **** 07 50 00 - Membrane Roofing	\$794,005.00	\$3.41
A01 - Base Estimate **** 07 00 00 - Thermal and Moisture Protection **** 07 60 00 - Flashing and Sheet Metal	\$98,326.80	\$0.42
A01 - Base Estimate **** 07 00 00 - Thermal and Moisture Protection **** 07 90 00 - Joint Protection	\$116,411.00	\$0.50
A01 - Base Estimate **** 07 00 00 - Thermal and Moisture Protection - SUBTOTAL	\$2,937,091.34	\$12.62
A01 - Base Estimate **** 08 00 00 - Openings **** 08 10 00 - Doors and Frames	\$1,435,063.63	\$6.16
A01 - Base Estimate **** 08 00 00 - Openings **** 08 40 00 - Entrances Storefronts and Curtain Walls	\$1,052,660.63	\$4.52
A01 - Base Estimate **** 08 00 00 - Openings **** 08 50 00 - Windows	\$757,125.00	\$3.25
A01 - Base Estimate **** 08 00 00 - Openings **** 08 80 00 - Glazing	\$156,425.00	\$0.67
A01 - Base Estimate **** 08 00 00 - Openings - SUBTOTAL	\$3,401,274.26	\$14.61

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PROJECT RECAP & SUMMARY

Description	Unit Price Ext	Value Per: 232822 SQFT
A01 - Base Estimate **** 09 00 00 - Finishes **** 09 20 00 - Plaster and Gypsum Board	\$6,856,419.33	\$29.45
A01 - Base Estimate **** 09 00 00 - Finishes **** 09 30 00 - Tiling	\$690,664.76	\$2.97
A01 - Base Estimate **** 09 00 00 - Finishes **** 09 50 00 - Ceilings	\$251,819.58	\$1.08
A01 - Base Estimate **** 09 00 00 - Finishes **** 09 60 00 - Flooring	\$416,751.96	\$1.79
A01 - Base Estimate **** 09 00 00 - Finishes **** 09 80 00 - Acoustic Treatment	\$25,000.00	\$0.11
A01 - Base Estimate **** 09 00 00 - Finishes **** 09 90 00 - Painting and Coating	\$499,837.80	\$2.15
A01 - Base Estimate **** 09 00 00 - Finishes - SUBTOTAL	\$8,740,493.43	\$37.54
A01 - Base Estimate **** 10 00 00 - Specialties **** 10 10 00 - Information Specialties	\$71,250.00	\$0.31
A01 - Base Estimate **** 10 00 00 - Specialties **** 10 11 00 - Visual Display Surfaces	\$20,000.00	\$0.09
A01 - Base Estimate **** 10 00 00 - Specialties **** 10 20 00 - Interior Specialties	\$285,985.18	\$1.23
A01 - Base Estimate **** 10 00 00 - Specialties **** 10 22 00 - Operable Partition	\$39,600.00	\$0.17
A01 - Base Estimate **** 10 00 00 - Specialties **** 10 40 00 - Safety Specialties	\$8,750.00	\$0.04
A01 - Base Estimate **** 10 00 00 - Specialties - SUBTOTAL	\$425,585.18	\$1.83
A01 - Base Estimate **** 11 00 00 - Specialties **** 11 30 00 - Storage Specialties	\$25,000.00	\$0.11
A01 - Base Estimate **** 11 00 00 - Equipment **** 11 40 00 - Foodservice Equipment	\$76,464.80	\$0.33
A01 - Base Estimate **** 11 00 00 - Equipment **** 11 50 00 - Educational and Scientific Equipment	\$20,000.00	\$0.09
A01 - Base Estimate **** 11 00 00 - Equipment - SUBTOTAL	\$121,464.80	\$0.52
A01 - Base Estimate **** 12 00 00 - Furnishings **** 12 20 00 - Window Treatments	\$30,000.00	\$0.13
A01 - Base Estimate **** 12 00 00 - Furnishings - SUBTOTAL	\$30,000.00	\$0.13
A01 - Base Estimate **** 14 00 00 - Conveying Equipment **** 14 20 00 - Elevators	\$988,000.00	\$4.24
A01 - Base Estimate **** 14 00 00 - Conveying Equipment **** 14 90 00 - Other Conveying Equipment	\$34,000.00	\$0.15
A01 - Base Estimate **** 14 00 00 - Conveying Equipment - SUBTOTAL	\$1,022,000.00	\$4.39
A01 - Base Estimate **** 21 00 00 - Fire Suppression **** 21 05 00 - Common Work Results for Fire Suppression	\$808,461.53	\$3.47
A01 - Base Estimate **** 21 00 00 - Fire Suppression - SUBTOTAL	\$808,461.53	\$3.47
A01 - Base Estimate **** 22 00 00 - Plumbing **** 22 05 00 - Common Work Results for Plumbing	\$3,589,563.51	\$15.42
A01 - Base Estimate **** 22 00 00 - Plumbing - SUBTOTAL	\$3,589,563.51	\$15.42

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PROJECT RECAP & SUMMARY

Description	Unit Price Ext	Value Per: 232822 SQFT
A01 - Base Estimate **** 23 00 00 - Heating Ventilating and Air Conditioning **** 23 05 00 - Common Work Results for HVAC	\$6,554,737.58	\$28.15
A01 - Base Estimate **** 23 00 00 - Heating Ventilating and Air Conditioning - SUBTOTAL	\$6,554,737.58	\$28.15
A01 - Base Estimate **** 26 00 00 - Electrical **** 26 05 00 - Common Work Results for Electrical	\$1,216,522.08	\$5.23
A01 - Base Estimate **** 26 00 00 - Electrical **** 26 06 00 - Schedules for Electrical	\$801,599.73	\$3.44
A01 - Base Estimate **** 26 00 00 - Electrical **** 26 08 00 - Commissioning of Electrical Systems	\$232,473.70	\$1.00
A01 - Base Estimate **** 26 00 00 - Electrical **** 26 20 00 - Low Voltage Electrical Transmission	\$809,674.60	\$3.48
A01 - Base Estimate **** 26 00 00 - Electrical **** 26 30 00 - Facility Electrical Power Generating and Storing Equipment	\$199,019.55	\$0.85
A01 - Base Estimate **** 26 00 00 - Electrical **** 26 40 00 - Electrical and Cathodic Protection	\$232,447.00	\$1.00
A01 - Base Estimate **** 26 00 00 - Electrical **** 26 50 00 - Lighting	\$1,354,732.88	\$5.82
A01 - Base Estimate **** 26 00 00 - Electrical **** 26 60 00 - Electronic Detection and Alarm	\$417,936.75	\$1.80
A01 - Base Estimate **** 26 00 00 - Electrical **** 26 10 00 - Medium Voltage Electrical Distribution	\$211,497.97	\$0.91
A01 - Base Estimate **** 26 00 00 - Electrical **** 26 50 00 - Site Lighting	\$270,596.10	\$1.16
A01 - Base Estimate **** 26 00 00 - Electrical - SUBTOTAL	\$5,746,500.36	\$24.68
A01 - Base Estimate **** 27 00 00 - Communications **** 27 05 00 - Common Work Results for Communications	\$272,266.19	\$1.17
A01 - Base Estimate **** 27 00 00 - Communications **** 27 06 00 - Schedules for Communications	\$224,920.34	\$0.97
A01 - Base Estimate **** 27 00 00 - Communications **** 27 08 00 - Commissioning of Communications	\$15,000.00	\$0.06
A01 - Base Estimate **** 27 00 00 - Communications **** 27 40 00 - Audio Video Communications	\$376,334.00	\$1.62
A01 - Base Estimate **** 27 00 00 - Communications - SUBTOTAL	\$888,520.53	\$3.82
A01 - Base Estimate **** 28 00 00 - Electronic Safety and Security **** 28 10 00 - Electronic Access Control and Intrusion Detection	\$144,349.64	\$0.62
A01 - Base Estimate **** 28 00 00 - Electronic Safety and Security **** 28 20 00 - Electronic Surveillance	\$154,900.00	\$0.67
A01 - Base Estimate **** 28 00 00 - Electronic Safety and Security - SUBTOTAL	\$299,249.64	\$1.29
A01 - Base Estimate **** 31 00 00 - Earthwork **** 31 05 00 - Common Work Results for Earthwork	\$220,441.67	\$0.95
A01 - Base Estimate **** 31 00 00 - Earthwork **** 31 20 00 - Earth Moving	\$485,349.46	\$2.08
A01 - Base Estimate **** 31 00 00 - Earthwork **** 31 50 00 - Excavation Support and Protection	\$90,553.67	\$0.39
A01 - Base Estimate **** 31 00 00 - Earthwork - SUBTOTAL	\$796,344.80	\$3.42
A01 - Base Estimate **** 32 00 00 - Exterior Improvements **** 32 30 00 - Site Improvements	\$1,477,139.43	\$6.34
A01 - Base Estimate **** 32 00 00 - Exterior Improvements **** 32 85 00 - Landscape/Irrigation	\$396,984.00	\$1.71
A01 - Base Estimate **** 32 00 00 - Exterior Improvements - SUBTOTAL	\$1,874,123.43	\$8.05

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PROJECT RECAP & SUMMARY

Description	Unit Price Ext	Value Per: 232822 SQFT
A01 - Base Estimate **** 33 00 00 - Utilities **** 33 05 00 - Electrical Utilities	\$110,191.53	

PROJECT RECAP & SUMMARY

Description	Unit Price Ext	Value Per: 232822 SQFT
A01 - Base Estimate **** 33 00 00 - Utilities **** 33 10 00 - Water Utilities	\$211,317.46	\$0.91
A01 - Base Estimate **** 33 00 00 - Utilities **** 33 30 00 - Sanitary Sewerage Utilities	\$135,081.99	\$0.58
A01 - Base Estimate **** 33 00 00 - Utilities **** 33 40 00 - Storm Drainage Utilities	\$472,383.10	\$2.03
A01 - Base Estimate **** 33 00 00 - Utilities **** 33 50 00 - Fuel Distribution Utilities	\$12,669.22	\$0.05
A01 - Base Estimate **** 33 00 00 - Utilities - SUBTOTAL	\$941,643.30	\$4.04
A01 - Base Estimate - SUBTOTAL	\$48,936,205.65	\$210.19
**** REPORT TOTAL ****	\$48,936,205.65	\$210.19
===== SUMMARY =====		
SUMMARY MARKUPS		
General Contractor's Fee *** 2.9% of Total	\$1,419,149.96	\$6.10
Escalation *** 3.00% of Total	\$1,510,660.67	\$6.49
Contingencies *** 6.00% of Total	\$3,111,960.98	\$13.37
MARKUP TOTAL	\$6,041,771.61	\$31.84
CONSTRUCTION ESTIMATE TOTAL	\$54,977,977.26	\$223.85
Add General Contractor P & P Bond	\$403,098.53	\$1.73
Add Builder's Risk Insurance	\$50,139.92	\$0.22
ESTIMATE TOTAL	\$55,431,215.70	\$223.85

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Description	Quantity	U/M	Unit Price	Unit Price Ext
A01 - Base Estimate **** 01 00 00 - General Requirements **** 01 00 00 - General Conditions				
General Conditions / Staff		1 LSUM	\$1,909,837.00	\$1,909,837.00
Builder's Risk Insurance		1 LSUM	\$36,265.00	\$36,265.00
Permits		1 LSUM	\$120,935.00	\$120,935.00
				\$2,067,037.00
A01 - Base Estimate **** 01 00 00 - General Requirements - SUBTOTAL				\$2,067,037.00
A01 - Base Estimate **** 03 00 00 - Concrete **** 03 30 00 - Cast in Place Concrete				
***** SPREAD FOOTINGS *****				
Concrete Ready Mix, 4000 PSI	1,388	CUYD	\$131.04	\$181,861.24
Formwork, Column Footer	9,780	SQFT	\$4.00	\$39,119.36
Reinforcing In Place, Footers	101,162	LBS	\$1.00	\$101,162.37
Placing Concrete Footers	1,388	CUYD	\$13.23	\$18,360.99
***** INT. & EXT. STRIP FOOTINGS *****				
Concrete Ready Mix, 4000 PSI	1,166	CUYD	\$131.04	\$152,843.75
Placing Concrete, Footings	1,166	CUYD	\$13.43	\$15,664.62
Formwork, Footings, Continuous Wall	8,370	SQFT	\$5.00	\$41,850.00
Formwork, Footings, Keyway	1,654	LNFT	\$0.88	\$1,455.52
Reinforcing In Place, Footers	113,539	LBS	\$1.00	\$113,538.89
***** 10" CIP WALL *****				
Concrete Ready Mix 4000 PSI	806	CUYD	\$131.04	\$105,560.58
Placing Concrete, Walls	806	CUYD	\$17.31	\$13,944.24
Formwork, Walls	52,200	SQFT	\$5.00	\$261,000.00
Reinforcing In Place, Walls	40,278	LBS	\$1.00	\$40,277.78
***** GRADE BEAMS *****				
Concrete Ready Mix 4000 PSI	245	CUYD	\$131.04	\$32,056.32
Placing Concrete, Grade Beam	245	CUYD	\$17.40	\$4,256.56
Formwork, Grade Beams	11,210	SQFT	\$4.00	\$44,840.00
Reinforcing In Place, Grade Beams	19,570	LBS	\$1.00	\$19,570.41
***** SLAB ON GRADE *****				
Concrete Ready Mix, Regular, 3000 PSI	668	CUYD	\$123.09	\$82,224.12
6" Stone Base	43,258	SQFT	\$0.83	\$35,904.14
Placing Concrete, Slab On Grade	668	CUYD	\$16.79	\$11,215.72
Reinforcing In Place, Slab On Grade	35,312	LBS	\$1.00	\$35,311.73
Concrete Finishing, Floors	43,258	SQFT	\$0.22	\$9,516.76
Curing Compound	43,258	SQFT	\$0.04	\$1,730.32
Polyethylene Vapor Barrier	43,258	SQFT	\$0.19	\$8,219.02
Formwork, Slab On Grade	1,271	SQFT	\$4.00	\$5,085.00
Concrete, Accessories, Control Joint, PVC	6,865	LNFT	\$1.16	\$7,962.94

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Description	Quantity	U/M	Unit Price	Unit Price Ext
A01 - Base Estimate **** 03 00 00 - Concrete **** 03 30 00 - Cast in Place Concrete				
**** CONCRETE COLUMNS ****				
Concrete Ready Mix, Regular, 4000 PSI	264	CUYD	\$131.04	\$34,594.56
Placing Concrete, Columns	264	CUYD	\$17.40	\$4,593.60
Formwork, Columns	14,256	SQFT	\$6.00	\$85,536.00
Reinforcing In Place, Columns	22,440	LBS	\$1.00	\$22,440.00
**** LEVEL 2 FLAT PLATE SLAB ****				
Concrete Ready Mix, 5000 PSI	170	CUYD	\$137.65	\$23,350.95
Concrete Finishing, Floors	7,852	SQFT	\$0.22	\$1,727.44
Placing Concrete, Elevated Slabs, by Pump	170	CUYD	\$24.52	\$4,159.57
Curing Compound	7,852	SQFT	\$0.04	\$314.08
Formwork, Elevated Slab, Flat	7,852	SQFT	\$6.00	\$47,112.00
Formwork, Elevated Slab, Edge	410	SQFT	\$4.00	\$1,638.00
Reinforcing In Place, Elevated Slab	23,580	LBS	\$1.00	\$23,580.23
Post-Tension Tendon - 1.25 PSF	9,815	LBS	\$4.15	\$40,732.25
**** 6" SLABS AT 4-6, Roof, PH Roof ****				
Concrete Ready Mix, 3000 PSI	3,306	CUYD	\$123.09	\$406,970.01
Concrete Finishing, Floors	180,462	SQFT	\$0.22	\$39,701.64
Placing Concrete, Elevated Slabs, by Pump	3,306	CUYD	\$24.52	\$81,069.99
Curing Compound, Standard Grade	180,462	SQFT	\$0.04	\$7,218.48
Formwork, Elevated Slab, Edge	3,768	SQFT	\$4.00	\$15,072.00
Reinforcing In Place, Elevated Slab	265,462	LBS	\$1.00	\$265,461.50
**** EPICORE MSR SLAB BEAMS ****				
Concrete Ready Mix, 4000 PSI	201	CUYD	\$131.04	\$26,281.38
Concrete Finishing, Floors	10,830	SQFT	\$0.22	\$2,382.60
Placing Concrete, Elevated Slabs, by Pump	201	CUYD	\$24.52	\$4,917.73
Curing Compound	10,830	SQFT	\$0.04	\$433.20
Formwork, Elevated Slab, Edge	3,534	SQFT	\$4.00	\$14,136.00
Formwork, Elevated Slab, Flat	10,830	SQFT	\$6.00	\$64,980.00
Reinforcement, Wire Mesh, Slabs	10,830	SQFT	\$0.42	\$4,548.60
**** ELEVATED PT SLABS ****				
Concrete Ready Mix, Regular, 5000 PSI	525	CUYD	\$137.65	\$72,205.68
Concrete Ready Mix, Regular, 4000 PSI	235	CUYD	\$131.04	\$30,815.37
Concrete Finishing, Floors, Machine Trowel	36,186	SQFT	\$0.22	\$7,960.92
Placing Concrete, Elevated Slabs, by Pump	760	CUYD	\$24.52	\$18,628.33
Curing Compound, Standard Grade	36,186	SQFT	\$0.04	\$1,447.44
Formwork, Elevated Slab, Edge	1,691	SQFT	\$4.00	\$6,763.00
Formwork, Elevated Slab, Flat	36,186	SQFT	\$6.00	\$217,116.00
Reinforcing In Place, Elevated Slab	72,173	LBS	\$1.00	\$72,173.02
Post-Tension Tendon - .5 PSF	14,163	LBS	\$4.50	\$63,733.50
**** ELEVATED BEAMS ****				

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Description	Quantity	U/M	Unit Price	Unit Price Ext
A01 - Base Estimate **** 03 00 00 - Concrete **** 03 30 00 - Cast in Place Concrete				
Concrete Ready Mix, 5000 PSI	904	CUYD	\$137.65	\$124,384.67
Placing Concrete, Beams & Girders, by Pump	904	CUYD	\$28.06	\$25,355.86
Formwork, Beams	9,453	SQFT	\$4.92	\$46,510.73
Formwork, Beams, Bottoms	13,768	SQFT	\$6.00	\$82,605.78
Reinforcing In Place, Beams & Girders	189,428	LBS	\$1.00	\$189,428.18
Post-Tension Tendon - 12 PSF	12,228	LBS	\$4.50	\$55,026.00
Post-Tension Tendon - 13 PSF	19,669	LBS	\$4.50	\$88,510.50
Post-Tension Tendon - 15 PSF	10,455	LBS	\$4.50	\$47,047.50
Post-Tension Tendon - 24 PLF	6,312	LBS	\$4.50	\$28,404.00
Post-Tension Tendon - 32 PLF	1,664	LBS	\$4.50	\$7,488.00
**** ELEVATOR PITS ****				
Elevator Pits	5	EACH	\$6,000.00	\$30,000.00
Crane / Hoist	1	LSUM	\$475,000.00	\$550,000.00
				<u>\$4,373,088.66</u>
A01 - Base Estimate **** 03 00 00 - Concrete - SUBTOTAL				\$4,373,088.66
A01 - Base Estimate **** 04 00 00 - Masonry **** 04 20 00 - Unit Masonry				
4" Veneer Face Brick	46,927	SQFT	\$15.00	\$703,905.00
Exterior Skin Mock-ups	1	EACH	\$50,000.00	\$50,000.00
8" CMU Shear Wall	50,900	SQFT	\$20.00	\$1,018,000.00
CMU Split Face Block	12,253	SQFT	\$23.00	\$281,819.00
				<u>\$2,053,724.00</u>
A01 - Base Estimate **** 04 00 00 - Masonry **** 04 40 00 - Stone Assemblies				
3-5/8" x 11-5/8" x 23-5/8" Limestone Veneer Cut Stone		SQFT		
A01 - Base Estimate **** 04 00 00 - Masonry - SUBTOTAL				\$2,053,724.00
A01 - Base Estimate **** 05 00 00 - Metals **** 05 10 00 - Structural Metal Framing				
Steel Beams at Level 2 C	69,172	LBS	\$2.10	\$145,261.20
HSS Columns	60,828	LBS	\$1.85	\$112,531.80
Miscellaneous Steel	238,821	LBS	\$0.25	\$59,705.25
Shelf Angle System	210,000	LBS	\$1.25	\$262,500.00
				<u>\$579,998.25</u>
A01 - Base Estimate **** 05 00 00 - Metals **** 05 30 00 - Metal Decking				
1-1/2" 20 GA Roof Deck	1,355	SQFT	\$2.45	\$3,319.75
				<u>\$3,319.75</u>
A01 - Base Estimate **** 05 00 00 - Metals **** 05 50 00 - Metal Fabrications				
Ornamental Handrails	25	LNFT	\$225.00	\$5,625.00
Steel Stairs, Cement Filled Metal Pans, Picket Rail	450	RISER	\$450.00	\$202,500.00
				<u>\$208,125.00</u>
A01 - Base Estimate **** 05 00 00 - Metals - SUBTOTAL				\$791,443.00

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Description	Quantity	U/M	Unit Price	Unit Price Ext
A01 - Base Estimate **** 06 00 00 - Wood Plastics and Composites **** 06 10 00 - Rough Carpentry				
Roof Blocking	4,157	LNFT	\$25.00	\$103,925.00
Rough Carpentry	232,822	LNFT	\$0.65	\$151,334.30
Plywood	1	LSUM	\$5,000.00	\$5,000.00
				<u>\$260,259.30</u>
A01 - Base Estimate **** 06 00 00 - Wood Plastics and Composites **** 06 20 00 - Finish Carpentry				
P-Lam Shelving	3,248	LNFT	\$150.00	\$487,200.00
Vanity Counter at Dorms	1,220	LNFT	\$120.00	\$146,400.00
Base Cabinets	400	LNFT	\$175.00	\$70,000.00
Wall Cabinets	320	LNFT	\$150.00	\$48,000.00
Reception Desk	25	LNFT	\$750.00	\$18,750.00
Rec. Center Booth	25	LNFT	\$750.00	\$18,750.00
Sim. Stone Countertops	4,860	LNFT	\$75.00	\$364,500.00
Lobby Bench	40	LNFT	\$250.00	\$10,000.00
				<u>\$1,163,600.00</u>
A01 - Base Estimate **** 06 00 00 - Wood Plastics and Composites **** 06 40 00 - Architectural Woodwork				
Moldings & Trim	1	LSUM	\$50,000.00	\$50,000.00
				<u>\$50,000.00</u>
A01 - Base Estimate **** 06 00 00 - Wood Plastics and Composites - SUBTOTAL				\$1,473,859.30
A01 - Base Estimate **** 07 00 00 - Thermal and Moisture Protection **** 07 10 00 - Dampproofing and Waterproofing				
Liquid Permeable Vapor Air Barrier	97,399	SQFT	\$6.00	\$584,394.00
Silicone Dampproofing, Sprayed On, Concrete Block, 1 Coat	59,842	SQFT	\$0.70	\$41,889.40
Below Grade Waterproofing	5,850	LNFT	\$6.50	\$38,025.00
Elastomeric Sheet Waterproofing, Elevator Pits	1,440	SQFT	\$3.75	\$5,400.00
				<u>\$669,708.40</u>
A01 - Base Estimate **** 07 00 00 - Thermal and Moisture Protection **** 07 20 00 - Thermal Protection				
1" Thick, Perimeter Insulation	85,878	SQFT	\$0.62	\$53,244.36
2" Thick, Perimeter Insulation	28,538	SQFT	\$0.83	\$23,686.54
Thermal Batt Insulation, R19	85,878	SQFT	\$0.58	\$49,809.24
				<u>\$126,740.14</u>
A01 - Base Estimate **** 07 00 00 - Thermal and Moisture Protection **** 07 40 00 - Roofing and Siding Panels				
Metal Panels	42,100	SQFT	\$24.00	\$1,010,400.00
Canopy	2,700	SQFT	\$45.00	\$121,500.00
				<u>\$1,131,900.00</u>
A01 - Base Estimate **** 07 00 00 - Thermal and Moisture Protection **** 07 50 00 - Membrane Roofing				
SBS Modified Bit Roofing	53,780	SQFT	\$12.50	\$672,250.00
Parapet Coping	3,634	LNFT	\$25.00	\$90,850.00
Flashing/Sheet Metal on Roof	4,415	LNFT	\$7.00	\$30,905.00
				<u>\$794,005.00</u>
A01 - Base Estimate **** 07 00 00 - Thermal and Moisture Protection **** 07 60 00 - Flashing and Sheet Metal				
Through-Wall Flashing	12,415	LNFT	\$7.92	\$98,326.80
				<u>\$98,326.80</u>

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Description	Quantity	U/M	Unit Price	Unit Price Ext
A01 - Base Estimate **** 07 00 00 - Thermal and Moisture Protection **** 07 90 00 - Joint Protection				
Caulking/Sealants	232,822	SQFT	\$0.50	\$116,411.00
				<u>\$116,411.00</u>
A01 - Base Estimate **** 07 00 00 - Thermal and Moisture Protection - SUBTOTAL				\$2,937,091.34
A01 - Base Estimate **** 08 00 00 - Openings **** 08 10 00 - Doors and Frames				
**** INTERIOR DOORS ****				
2'-0" x 7'-0" WD Door, PLAM finish, Steel Painted Frame, Hardware	361	EACH	\$900.00	\$324,900.00
6'-0" x 7'-0" Double Steel Door, Frame, Painted, Hardware	40	EACH	\$1,171.85	\$46,874.00
4'-0" x 7'-0" Single Steel Door, Frame, Painted, Hardware	1	EACH	\$925.00	\$925.00
5'-0" x 7'-0" Single Steel Door, Frame, Painted, Hardware	2	EACH	\$910.00	\$1,820.00
3'-0" x 7'-0" Single Steel Door, Frame, Painted, Hardware	15	EACH	\$900.00	\$13,500.00
3'-0" x 7'-0" Aluminum/Glazed Door, Frame, Hardware	47	EACH	\$1,678.13	\$78,872.11
6'-0" x 7'-0" Aluminum/Glazed Door, Frame, Hardware	4	EACH	\$2,671.88	\$10,687.52
3'-0" x 7'-0" Wood Door, PLAM finish, Steel Painted Frame, Hardware	1,017	EACH	\$900.00	\$915,300.00
2'-6" x 7'-0" Wood Door, PLAM Finish, Steel Painted Frame, Hardware	4	EACH	\$850.00	\$3,400.00
2'-8" x 7'-0" Wood Door, PLAM Finish, Steel Painted Frame, Hardware	2	EACH	\$875.00	\$1,750.00
4'-0" x 7'-0" Wood Door, PLAM Finish, Steel Painted Frame, Hardware	6	EACH	\$1,000.00	\$6,000.00
6'-0" x 7'-0" Wood Door, PLAM Finish, Steel Painted Frame, Hardware	1	EACH	\$1,125.00	\$1,125.00
6'-0" x 7'-0" Glazed Door, AL Frame, Hardware	2	EACH	\$5,455.00	\$10,910.00
Roll-Up Doors	2	EACH	\$9,500.00	\$19,000.00
				<u>\$1,435,063.63</u>
A01 - Base Estimate **** 08 00 00 - Openings **** 08 40 00 - Entrances Storefronts and Curtain Walls				
Glazed Storefronts	9,984	SQFT	\$60.00	\$599,040.00
Glazed Curtainwall	6,493	SQFT	\$65.00	\$422,045.00
**** EXTERIOR DOORS ****				
3'-0" x 7'-0" Single Steel Door, Frame, Painted, Hardware	6	EACH	\$1,000.04	\$6,000.24
A01 - Base Estimate **** 08 00 00 - Openings **** 08 40 00 - Entrances Storefronts and Curtain Walls				
6'-0" x 7'-0" Double Steel Door, Frame, Painted, Hardware	2	EACH	\$1,557.47	\$3,114.94
3'-0" x 7'-0" Aluminum/Glazed Door, Frame, Hardware	5	EACH	\$1,743.71	\$8,718.55
6'-0" x 7'-0" Aluminum/Glazed Door, Frame, Hardware	5	EACH	\$2,748.38	\$13,741.90
				<u>\$1,052,660.63</u>
A01 - Base Estimate **** 08 00 00 - Openings **** 08 50 00 - Windows				
Glazed Punched Windows	16,825	SQFT	\$45.00	\$757,125.00
				<u>\$757,125.00</u>
A01 - Base Estimate **** 08 00 00 - Openings **** 08 80 00 - Glazing				
Interior Glazing/Sidelites	1,525	SQFT	\$37.00	\$56,425.00
Interior Glazing - NanoWall		SQFT		
1/4" Plate Glass Mirror, Polished Edges, to 5 Sq. Ft.	5,000	SQFT	\$20.00	\$100,000.00
				<u>\$156,425.00</u>
A01 - Base Estimate **** 08 00 00 - Openings - SUBTOTAL				\$3,401,274.26

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Description	Quantity	U/M	Unit Price	Unit Price Ext
A01 - Base Estimate **** 09 00 00 - Finishes **** 09 20 00 - Plaster and Gypsum Board				
Interior Wall Assembly 1	16,514	SQFT	\$9.24	\$152,589.36
Interior Wall Assembly 2, 3, 4	97,902	SQFT	\$13.21	\$1,293,285.42
Interior Load Bearing Drywall Assemblies	62,631	SQFT	\$12.50	\$782,887.50
Plaster Soffits	2,780	SQFT	\$17.50	\$48,650.00
1 Layer 5/8" HR Gypsum Board 2 Sides on 3-5/8" Studs	172,660	SQFT	\$8.65	\$1,493,509.00
1 Layer 5/8" Gypsum Board 2 Sides on 3-5/8" Studs w/ Sound Atten.	12,612	SQFT	\$7.58	\$95,598.96
5/8" Gyp Board w/ Light Gauge Framing Suspension System	113,143	SQFT	\$7.50	\$848,572.50
5/8" Gyp Board w/ Light Gauge Framing Suspension System, Water Resistant	27,748	SQFT	\$10.00	\$277,480.00
Gypsum Board Bulkhead	10,000	SQFT	\$10.00	\$100,000.00
Shaft Wall Furred Out	25,440	SQFT	\$15.00	\$381,600.00
Interior Stud Wall	36,653	SQFT	\$13.75	\$503,978.75
**** EPICORE DECK ****				
Formwork, Elevated Slab, Flat	199,144	SQFT	\$4.36	\$868,267.84
**** MOCK-UP - MODEL ROOM ****				
Mock-up Model Room	1	LSUM	\$10,000.00	\$10,000.00
				<u>\$6,856,419.33</u>
A01 - Base Estimate **** 09 00 00 - Finishes **** 09 30 00 - Tiling				
Porcelain Floor Tile - 1'x2 Runing Bond	493	SQFT	\$13.99	\$6,897.07
CTF1 Porcelain Floor Tile - 1'x2 Runing Bond	431	SQFT	\$13.99	\$6,029.69
Porcelain Floor Tile - 1'x2 Monolithic	16,984	SQFT	\$12.00	\$203,808.00
Ceramic Tile - Base	10,960	LNFT	\$10.00	\$109,600.00
Ceramic Tile, Walls, Interior - Wainscote to 7'	32,373	LNFT	\$10.00	\$323,730.00
Porcelain Tile - Base	2,900	LNFT	\$14.00	\$40,600.00
				<u>\$690,664.76</u>
A01 - Base Estimate **** 09 00 00 - Finishes **** 09 50 00 - Ceilings				
2' x 2' Acoustical Panel Ceiling	23,954	SQFT	\$4.00	\$95,816.00
Exposed Structure, Painted	35,704	SQFT	\$1.18	\$42,130.72
Wood Slat Ceiling	11,610	SQFT	\$8.95	\$103,909.50
Metal Panel Soffit	888	SQFT	\$11.22	\$9,963.36
				<u>\$251,819.58</u>
A01 - Base Estimate **** 09 00 00 - Finishes **** 09 60 00 - Flooring				
Carpet Tile - Brick Pattern	32,433	SQFT	\$3.63	\$117,731.79
Carpet Tile - Herringbone Pattern	8,657	SQFT	\$3.79	\$32,810.03
CPT3 Carpet Tile - Herringbone Pattern	1,144	SQFT	\$3.66	\$4,187.04
Sealed Concrete	35,782	SQFT	\$1.50	\$53,673.00
Polished Concrete	87,728	SQFT	\$1.50	\$131,592.00
Walk-Off Mats	173	SQFT	\$30.00	\$5,190.00
Vinyl Plank Flooring	1,901	SQFT	\$6.50	\$12,356.50
Base, Rubber, .080" Thick, Standard Colors, 4" High	51,940	LNFT	\$1.14	\$59,211.60
				<u>\$416,751.96</u>
A01 - Base Estimate **** 09 00 00 - Finishes **** 09 80 00 - Acoustic Treatment				
Acoustical Treatment - Allowance	1	LSUM	\$25,000.00	\$25,000.00
				<u>\$25,000.00</u>

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Description	Quantity	U/M	Unit Price	Unit Price Ext
A01 - Base Estimate **** 09 00 00 - Finishes **** 09 90 00 - Painting and Coating				
Paint Interior	476,036	SQFT	\$1.05	\$499,837.80
				<u>\$499,837.80</u>
A01 - Base Estimate **** 09 00 00 - Finishes - SUBTOTAL				\$8,740,493.43
A01 - Base Estimate **** 10 00 00 - Specialties **** 10 10 00 - Information Specialties				
***** INFORMATION SPECIALTIES *****				
Display Case - Allowance	1	EACH	\$3,250.00	\$3,250.00
Room ID Allowance	1	LSUM	\$50,000.00	\$50,000.00
Economy Map & Display Rail - 96" x 1"	8	EACH	\$500.00	\$4,000.00
Economy Map & Display Rail - 72" x 1"	56	EACH	\$250.00	\$14,000.00
				<u>\$71,250.00</u>
A01 - Base Estimate **** 10 00 00 - Specialties **** 10 11 00 - Visual Display Surfaces				
Marker Board, Chalk/Tack Board Allowance	1	EACH	\$20,000.00	\$20,000.00
				<u>\$20,000.00</u>
A01 - Base Estimate **** 10 00 00 - Specialties **** 10 20 00 - Interior Specialties				
***** TOILET ACCESSORIES *****				
1-1/4" x 36" Stainless Steel Curtain Rod	172	EACH	\$63.03	\$10,841.16
1-1/4" x 60" Stainless Steel Curtain Rod	24	EACH	\$74.94	\$1,798.56
Double Robe Hook	364	EACH	\$33.47	\$12,183.08
A01 - Base Estimate **** 10 00 00 - Specialties **** 10 20 00 - Interior Specialties				
18" Stainless Steel Towel Bar	688	EACH	\$51.34	\$35,321.92
24" Stainless Steel Towel Bar	56	EACH	\$76.87	\$4,304.72
1-1/2" Diameter, Stainless Steel, Grab Bar, 36"	40	EACH	\$64.72	\$2,588.80
1-1/2" Diameter, Stainless Steel, 36" Rear, 48" Side, 18" Vertical Grab Bars	24	EACH	\$140.14	\$3,363.36
1-1/2" Diameter, Stainless Steel, Grab Bar, 48"	24	EACH	\$67.60	\$1,622.40
1-1/2" Diameter, Stainless Steel, Grab Bar, 18"	28	EACH	\$67.60	\$1,892.80
Channel Framed Mirror 24" x 60"	336	EACH	\$375.56	\$126,188.16
Frameless Mirror	46	EACH	\$64.89	\$2,984.94
Medicine Cabinet Recessed	364	EACH	\$143.74	\$52,321.36
Liquid Soap Dispenser	20	EACH	\$71.55	\$1,431.00
Hand Towel Roll Dispenser	20	EACH	\$487.40	\$9,748.00
Bath Tissue Dispenser - Jumbo Roll Mini Twin	24	EACH	\$67.06	\$1,609.44
Toilet Tissue Dispenser Double-Roll	196	EACH	\$43.11	\$8,449.56
Folding Shower Stall Seat	24	EACH	\$362.42	\$8,698.08
Sanitary Napkin Disposal	4	EACH	\$79.73	\$318.92
Wall Mounted Trash Receptacle	4	EACH	\$79.73	\$318.92
				<u>\$285,985.18</u>
A01 - Base Estimate **** 10 00 00 - Specialties **** 10 22 00 - Operable Partition				
Operable Partition at Multipurpose	792	SQFT	\$50.00	\$39,600.00
				<u>\$39,600.00</u>

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Description	Quantity	U/M	Unit Price	Unit Price Ext
A01 - Base Estimate **** 10 00 00 - Specialties **** 10 40 00 - Safety Specialties				
Steel Fire Extinguisher Cabinet, Portable, S.S. & Glass Door and Frame	25	EACH	\$350.00	\$8,750.00
				<u>\$8,750.00</u>
A01 - Base Estimate **** 10 00 00 - Specialties - SUBTOTAL				\$425,585.18
A01 - Base Estimate **** 11 00 00 - Specialties **** 11 30 00 - Storage Specialties				
Mail Box - Allowance	1	LSUM	\$25,000.00	\$25,000.00
				<u>\$25,000.00</u>
A01 - Base Estimate **** 11 00 00 - Equipment **** 11 40 00 - Foodservice Equipment				
ADA Range w/ Self Cleaning Oven	11	EACH	\$1,437.03	\$15,807.33
ADA Refridgerator - 19 cuft. Top Freezer, w/o Icemaker	11	EACH	\$1,188.52	\$13,073.72
Catering Kitchen - Allowance	1	EACH	\$35,000.00	\$35,000.00
Washer - In Apartments	3	EACH	\$750.00	\$2,250.00
Dryer - In Apartment	3	EACH	\$712.40	\$2,137.20
Dishwasher - In Apartments	3	EACH	\$398.37	\$1,195.11
Range Hood	8	EACH	\$875.18	\$7,001.44
A01 - Base Estimate **** 11 00 00 - Equipment **** 11 40 00 - Foodservice Equipment				<u>\$76,464.80</u>
A01 - Base Estimate **** 11 00 00 - Equipment **** 11 50 00 - Educational and Scientific Equipment				
Projector Screens and Mounts - Allowance	1	LSUM	\$20,000.00	\$20,000.00
				<u>\$20,000.00</u>
A01 - Base Estimate **** 11 00 00 - Equipment - SUBTOTAL				\$121,464.80
A01 - Base Estimate **** 12 00 00 - Furnishings **** 12 20 00 - Window Treatments				
Horizontal Louver Blinds	15,000	SQFT	\$2.00	\$30,000.00
Shades - Vinyl - Laminated Fiberglass - 6 Gauge - Lightproof		SQFT		
				<u>\$30,000.00</u>
A01 - Base Estimate **** 12 00 00 - Furnishings - SUBTOTAL				\$30,000.00
A01 - Base Estimate **** 14 00 00 - Conveying Equipment **** 14 20 00 - Elevators				
Elevators - 3500# - 200 fpm, Electric Traction - 6 stops	4	EACH	\$210,000.00	\$840,000.00
Elevators - 4500# - 200 fpm, Electric Traction - 4 stops	1	EACH	\$148,000.00	\$148,000.00
				<u>\$988,000.00</u>
A01 - Base Estimate **** 14 00 00 - Conveying Equipment **** 14 90 00 - Other Conveying Equipment				
Trash/Recycle Chutes	2	EACH	\$17,000.00	\$34,000.00
				<u>\$34,000.00</u>
A01 - Base Estimate **** 14 00 00 - Conveying Equipment - SUBTOTAL				\$1,022,000.00

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Description	Quantity	U/M	Unit Price	Unit Price Ext
A01 - Base Estimate **** 21 00 00 - Fire Suppression **** 21 05 00 - Common Work Results for Fire Suppression				
**** WET SYSTEM SPRINKLER ****				
Fire Pump	1	EACH	\$67,872.22	\$67,872.22
Jockey Pump	1	EACH	\$2,196.93	\$2,196.93
Building Coverage	232,822	SQFT	\$3.10	\$721,748.20
Fire Protection Specialties	1	LSUM	\$16,644.18	\$16,644.18
				\$808,461.53
A01 - Base Estimate **** 21 00 00 - Fire Suppression - SUBTOTAL				\$808,461.53
A01 - Base Estimate **** 22 00 00 - Plumbing **** 22 05 00 - Common Work Results for Plumbing				
**** EQUIPMENT ****				
Water Meter	1	EACH	\$2,672.78	\$2,672.78
Backflow Preventor	1	EACH	\$4,073.12	\$4,073.12
Domestic Water Booster Pump	1	EACH	\$13,287.16	\$13,287.16
Expansion Tank	10	EACH	\$1,349.18	\$13,491.80
Domestic Water Heater	12	EACH	\$4,500.00	\$54,000.00
Electric Water Heater	1	EACH	\$3,360.16	\$3,360.16
Thermostatic Mixing Valve	11	EACH	\$2,749.52	\$30,244.72
Recirc Pumps	11	EACH	\$1,349.18	\$14,840.98
Emergency Shower / Eye Wash	1	EACH	\$3,385.74	\$3,385.74
Sump Pumps	6	EACH	\$2,672.78	\$16,036.68
Equipment Specialties	1	EACH	\$16,305.74	\$16,305.74
Equipment Connections	64	EACH	\$394.85	\$25,270.40
**** FIXTURES ****				
Water Closets	229	EACH	\$1,176.22	\$269,354.38
Lavatories	395	EACH	\$1,043.86	\$412,324.70
Urinals	2	EACH	\$1,010.77	\$2,021.54
Bath tub	4	EACH	\$2,138.89	\$8,555.56
Showers	198	EACH	\$1,677.85	\$332,214.30
Kitchen Sink	10	EACH	\$1,122.83	\$11,228.30
Mop Sink	3	EACH	\$1,043.86	\$3,131.58
Electric Water Cooler	2	EACH	\$3,029.26	\$6,058.52
Washing Machine Boxes	20	EACH	\$277.51	\$5,550.20
Ice Machine Wall Boxes	2	EACH	\$211.33	\$422.66
Wall Hydrant	8	EACH	\$303.09	\$2,424.72
Hose Bibb	6	EACH	\$157.94	\$947.64
Mixing Hose Bibb	2	EACH	\$290.30	\$580.60
Floor Drains	91	EACH	\$455.75	\$41,473.25
Roof Drains Combo	25	EACH	\$693.55	\$17,338.75
Trap Primer	50	EACH	\$343.69	\$17,184.50
Clean Outs	48	EACH	\$145.15	\$6,967.20
Fixture Specialties	1	EACH	\$15,282.49	\$15,282.49
Fixture Connections	843	EACH	\$236.91	\$199,715.13

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Description	Quantity	U/M	Unit Price	Unit Price Ext
**** PIPING ****				
Water	22,960	LNFT	\$24.50	\$562,520.00
Waste	16,820	LNFT	\$41.59	\$699,543.80
Vent	9,630	LNFT	\$30.51	\$293,811.30
Storm	3,280	LNFT	\$65.07	\$213,429.60
Gas	1,860	LNFT	\$33.18	\$61,714.80
**** INSULATION ****				
Water	22,960	LNFT	\$6.56	\$150,617.60
Storm	3,280	LNFT	\$11.28	\$36,998.40
A01 - Base Estimate **** 22 00 00 - Plumbing **** 22 05 00 - Common Work Results for Plumbing				
**** TRADE REQUIREMENTS ****				
Coordination		1 LSUM	\$14,803.97	\$14,803.97
Rigging		1 LSUM	\$6,378.74	\$6,378.74
				<u>\$3,589,563.51</u>
A01 - Base Estimate **** 22 00 00 - Plumbing - SUBTOTAL				\$3,589,563.51
A01 - Base Estimate **** 23 00 00 - Heating Ventilating and Air Conditioning **** 23 05 00 - Common Work Results for HVAC				
**** EQUIPMENT ****				
Chillers	600	TONS	\$668.37	\$401,022.00
Air Handling Units - AHU 1 & 2	43,000	CFM	\$5.93	\$254,990.00
Air Handling Units - AHU PH 1 & 2	33,000	CFM	\$8.26	\$272,580.00
Fans	16,000	CFM	\$1.18	\$18,880.00
Boilers		MBH	\$27.78	
Pumps - Hot Water	4	EACH	\$8,708.59	\$34,834.36
VFDs - Pumps - Hot Water	4	EACH	\$4,696.21	\$18,784.84
Expansion Tank - Hot Water	2	EACH	\$2,673.50	\$5,347.00
Air Seperator - Hot Water	2	EACH	\$2,011.70	\$4,023.40
Hot Water Specialties	1	LSUM	\$7,669.94	\$7,669.94
Pumps - Chilled Water	2	EACH	\$13,446.39	\$26,892.78
VFDs - Pumps - Chilled Water	2	EACH	\$6,681.61	\$13,363.22
Expansion Tank - Chilled Water	1	EACH	\$3,666.20	\$3,666.20
Air Seperator - Chilled Water	1	EACH	\$2,342.60	\$2,342.60
Chilled Water Specialties	1	LSUM	\$12,030.89	\$12,030.89
Fan Coil Units	374	EACH	\$2,673.50	\$999,889.00
Fan Powered Terminal Units	48	EACH	\$1,944.97	\$93,358.56
Misc. Terminal Units	12	EACH	\$1,693.13	\$20,317.56
Ductless Split System - Complete	9	EACH	\$6,015.44	\$54,138.96
Electric Duct Heaters	100	EACH	\$422.83	\$42,283.00
Fire / Smoke Dampers	190	EACH	\$323.56	\$61,476.40
Louvers	480	SQFT	\$72.62	\$34,857.60
RGDs	1,280	EACH	\$145.24	\$185,907.20

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Description	Quantity	U/M	Unit Price	Unit Price Ext
***** SHEET METAL *****				
Galvi	155,240	LBS	\$8.50	\$1,319,540.00
Boiler Vent		EACH	\$3,721.07	
Hot Water Heater Vent	20	EACH	\$2,029.73	\$40,594.60
Sheet Metal Connections	1	LSUM	\$14,047.69	\$14,047.69
Sheet Metal Specialties	1	LSUM	\$13,017.52	\$13,017.52
***** PIPING *****				
Buried Chilled Water	200	LNFT	\$396.21	\$79,242.00
Chilled Water from Chillers to AHUs	2,400	LNFT	\$101.68	\$244,032.00
Chilled Water to FCU's	12,000	LNFT	\$39.62	\$475,440.00
Hot Water	400	LNFT	\$89.15	\$35,660.00
Condensate	6,000	LNFT	\$26.39	\$158,340.00
Equipment Connections	1	LSUM	\$9,773.83	\$9,773.83
Piping Specialties	1	LSUM	\$8,721.89	\$8,721.89
***** INSULATION *****				
Sheet Metal	77,620	SQFT	\$2.52	\$195,602.40
Piping to FCUs	6,000	LNFT	\$6.73	\$40,380.00
Piping to AHU's	2,800	LNFT	\$18.49	\$51,772.00
Equipment	1	EACH	\$5,180.44	\$5,180.44
***** CONTROLS *****				
ATC	232,822	SQFT	\$4.00	\$931,288.00
***** COMMISSIONING *****				
Start Up	232,822	SQFT	\$0.29	\$67,518.38
Testing, Adjusting, and Balancing	232,822	SQFT	\$0.32	\$74,503.04
3rd Party Assist	232,822	SQFT	\$0.20	\$46,564.40
***** TRADE REQUIREMENTS *****				
Coordination	1	LSUM	\$11,877.72	\$11,877.72
Rigging	1	LSUM	\$10,665.96	\$10,665.96
Excavation and Backfill	60	CUYD	\$38.67	\$2,320.20
Temporary Heating and Cooling	1	LSUM	\$150,000.00	\$150,000.00
				<u>\$6,554,737.58</u>
A01 - Base Estimate **** 23 00 00 - Heating Ventilating and Air Conditioning - SUBTOTAL				\$6,554,737.58
A01 - Base Estimate **** 26 00 00 - Electrical **** 26 05 00 - Common Work Results for Electrical				
SPST - 1 Gang Switch with Plate, Box & Conn.	1,436	EACH	\$49.55	\$71,153.80
SPST - 2 Gang Switch with Plate, Box & Conn.	372	EACH	\$66.22	\$24,633.84
3 Way - 1 Gang Switch with Plate, Box & Conn.	328	EACH	\$55.12	\$18,079.36

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Description	Quantity	U/M	Unit Price	Unit Price Ext
A01 - Base Estimate **** 26 00 00 - Electrical **** 26 05 00 - Common Work Results for Electrical				
Duplex Receptacle with Plate, Box & Conn.	2,632	EACH	\$51.04	\$134,337.28
Duplex GFI Receptacle with Plate, Box & Conn.	372	EACH	\$60.31	\$22,435.32
Double Duplex Receptacle with Plate, Box & Conn.	1,044	EACH	\$69.20	\$72,244.80
Duplex GFI-WP Receptacle with Plate, Box & Conn.	60	EACH	\$70.09	\$4,205.40
MC Cable with Ground - Copper - 2/C # 12	62,440	LNFT	\$2.14	\$133,621.60
Branch Circuit Wiring EMT - 3/4"	20,820	LNFT	\$5.68	\$118,257.60
Washer Single 120V Receptacle with Plate, Box & Conn.	28	EACH	\$50.42	\$1,411.76
30A Dryer Receptacle with Plate, Box & Conn.	14	EACH	\$99.42	\$1,391.88
3/4" EMT with Elbows, Couplings & Hangers - 2#12, 1#12G	560	LNFT	\$5.25	\$2,940.00
3/4" EMT with Elbows, Couplings & Hangers - 3#10, 1#10G	350	LNFT	\$6.52	\$2,282.00
Lighting Digital Controls	1	EACH	\$68,789.08	\$68,789.08
FCU - Motor Connection with Factory Disconnect	372	EACH	\$92.53	\$34,421.16
FPB - Motor Connection with Factory Disconnect	11	EACH	\$176.71	\$1,943.81
3/4" EMT with Elbows, Couplings & Hangers - 3#10, 1#10G	9,600	LNFT	\$6.52	\$62,592.00
Mechanical Wiring and Connection Allowance for Design Pending	232,822	SQFT	\$1.50	\$349,233.00
Chiller Wiring and Connections	2	EACH	\$34,394.54	\$68,789.08
Shade Control System Wiring and Connection	1	EACH	\$23,759.31	\$23,759.31
				\$1,216,522.08
A01 - Base Estimate **** 26 00 00 - Electrical **** 26 06 00 - Schedules for Electrical				
SWBD "A" 3000A, MCB, Spd, Mtr, (2) Sect	1	EACH	\$97,469.23	\$97,469.23
SWBD "B" 2000A, MCB, Spd, Mtr, (1) Sect	1	EACH	\$79,904.80	\$79,904.80
Distribution Panel -1200A, MLO, 277/480V 42C	4	EACH	\$7,418.56	\$29,674.24
Distribution Panel -600A, MLO, 277/480V 42C	13	EACH	\$5,664.48	\$73,638.24
Distribution Panel -225A, MLO, 277/480V 42C	2	EACH	\$3,808.35	\$7,616.70
Transformer - Dry Type - 480V-120/208V - 3 Phase - 75 KVA	1	EACH	\$2,538.08	\$2,538.08
Transformer - Dry Type - 480V-120/208V - 3 Phase - 112.5 KVA	1	EACH	\$3,689.08	\$3,689.08
Transformer - Dry Type - 480V-120/208V - 3 Phase - 150 KVA	1	EACH	\$4,580.61	\$4,580.61
Transformer - Dry Type - 480V-120/208V - 3 Phase - 225 KVA	12	EACH	\$6,437.60	\$77,251.20
Distribution Panel -800A, MCB, 120/208V 42C & SPD	12	EACH	\$10,078.26	\$120,939.12
Distribution Panel -600A, MCB, 120/208V 42C & SPD	1	EACH	\$8,442.07	\$8,442.07
Distribution Panel -400A, MCB, 120/208V 42C & SPD	1	EACH	\$6,032.45	\$6,032.45
Panelboard -250A,MCB,120/208V 42C	4	EACH	\$2,473.27	\$9,893.08
Panelboard -250A,MLO,120/208V 42C	1	EACH	\$1,659.61	\$1,659.61
Panelboard -250A,MLO,120/208V 42C & SPD	1	EACH	\$2,027.81	\$2,027.81
Panelboard -225A,MLO,120/208V 42C	95	EACH	\$1,430.69	\$135,915.55
Panelboard -200A,MCB, 120/208V, 42C	2	EACH	\$2,275.21	\$4,550.42
Panelboard -100A,MCB,120/208V 42C	2	EACH	\$1,736.89	\$3,473.78

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Description	Quantity	U/M	Unit Price	Unit Price Ext
**** Emergency Distribution Equipment ****				
Distribution Panel -EHDP-1 800A, MCB, 277/480V 42C & SPD	1	EACH	\$11,680.69	\$11,680.69
Distribution Panel -600A, MCB, 277/480V 42C & SPD	1	EACH	\$9,608.35	\$9,608.35
Distribution Panel -400A, MCB, 277/480V 42C & SPD	1	EACH	\$6,846.12	\$6,846.12
Distribution Panel -200A, MLO, 277/480V 42C	5	EACH	\$3,808.35	\$19,041.75
Panelboard -100A,MCB,277/480V 42C	4	EACH	\$2,018.41	\$8,073.64
Panelboard -150A,MCB,120/208V 42C & SPD	1	EACH	\$2,228.78	\$2,228.78
Panelboard -100A,MCB,120/208V 42C & SPD	5	EACH	\$1,862.19	\$9,310.95
Panelboard -60A,MCB,120/208V 42C & SPD	4	EACH	\$1,663.44	\$6,653.76
Fire Pump Motor Connection - 480V - 3P - (100Hp)	1	EACH	\$742.94	\$742.94
A01 - Base Estimate **** 26 00 00 - Electrical **** 26 06 00 - Schedules for Electrical				
Connect Fire Pump Controller	1	EACH	\$359.03	\$359.03
Load Center - Box / Int / Cvr - Indoor - 50A MCB / 18 Cct	3	EACH	\$278.55	\$835.65
Unit Heaters/Conduit/Wire	1	LSUM	\$57,000.00	\$57,000.00
				\$801,677.73
A01 - Base Estimate **** 26 00 00 - Electrical **** 26 08 00 - Commissioning of Electrical Systems				
Electrical Commissioning Allowance	1	LSUM	\$35,000.00	\$35,000.00
Temporary Construction Power/Light Allowance	232,322	SQFT	\$0.85	\$197,473.70
				\$232,473.70
A01 - Base Estimate **** 26 00 00 - Electrical **** 26 20 00 - Low Voltage Electrical Transmission				
Main Service SWBD "A" 3000A 277/480V (8) 4"C 4-600mcm , 1-1/0G	90	LNFT	\$822.04	\$73,983.60
Main Service SWBD "B" 2000A 277/480V (5) 4"C 4-600mcm , 1-1/0G	295	LNFT	\$520.80	\$153,636.00
Panel Feeder Allowance	232,822	SQFT	\$2.00	\$465,644.00
Emergency Panel Feeder Allowance	232,822	SQFT	\$0.50	\$116,411.00
				\$809,674.60
A01 - Base Estimate **** 26 00 00 - Electrical **** 26 30 00 - Facility Electrical Power Generating and Storing Equipment				
Generator & Accessories - 500KW Natural Gas, WP & Sound Attenuation	1	EACH	\$150,959.31	\$150,959.31
Automatic Transfer Switch - Isolation / Bypass - 480V / 400 Amp	1	EACH	\$13,762.74	\$13,762.74
Automatic Transfer Switch - Isolation / Bypass - 480V / 600 Amp	1	EACH	\$19,224.76	\$19,224.76
Transformer - Dry Type - 480V-120/208V - 3 Phase - 15 KVA	5	EACH	\$990.34	\$4,951.70
Transformer - Dry Type - 480V-120/208V - 3 Phase - 30 KVA	4	EACH	\$1,330.89	\$5,323.56
Transformer - Dry Type - 480V-120/208V - 3 Phase - 45 KVA	1	EACH	\$1,733.28	\$1,733.28
Transformer Hanger	10	EACH	\$306.42	\$3,064.20
				\$199,019.55
A01 - Base Estimate **** 26 00 00 - Electrical **** 26 40 00 - Electrical and Cathodic Protection				
Lightning Protection System Allowance	232,322	SQFT	\$0.75	\$174,241.50
Grounding Loop System Allowance	232,822	SQFT	\$0.25	\$58,205.50
				\$232,447.00

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Description	Quantity	U/M	Unit Price	Unit Price Ext
A01 - Base Estimate **** 26 00 00 - Electrical **** 26 50 00 - Lighting				
C - White Glass Diffuser Fluorescent Surface Mounted	1,416	EACH	\$98.71	\$139,773.36
H - Ceiling Fan with Approved Box Mounting	1,788	EACH	\$210.68	\$376,695.84
K - 4' - 2 Lamp Acrylic Lens Fluorescent Surface Mounted	1,372	EACH	\$119.44	\$163,871.68
L - 4' Vanity Light Surface Mounted	364	EACH	\$117.59	\$42,802.76
Shower 6" Comp. Fluor. Downlight - (2) 26W Lamp - Glass Lens	200	EACH	\$142.33	\$28,466.00
Mechanical Room 4' Industrial Fluorescent	364	EACH	\$94.68	\$34,463.52
Light Rough In Box	5,504	EACH	\$29.03	\$159,781.12
MC Cable with Ground - Copper - 2/C # 12	55,040	LNFT	\$2.14	\$117,785.60
Branch Circuit Wiring EMT - 3/4"	4,800	LNFT	\$5.09	\$24,432.00
Lighting Allowance Supplemental for Pending Design	118,516	SQFT	\$2.00	\$237,032.00
Exit & Emergency Lighting Allowance Supplemental for Design Pending	118,516	SQFT	\$0.25	\$29,629.00
A01 - Base Estimate **** 26 00 00 - Electrical **** 26 50 00 - Lighting				\$1,354,732.88
A01 - Base Estimate **** 26 00 00 - Electrical **** 26 60 00 - Electronic Detection and Alarm				
Fire Alarm System Allowance Pending Design	238,821	SQFT	\$1.75	\$417,936.75
				\$417,936.75
A01 - Base Estimate **** 26 00 00 - Electrical **** 26 10 00 - Medium Voltage Electrical Distribution				
15 KV- 4 Way Junction Module - #2/0 to #4/0	1	EACH	\$1,161.67	\$1,161.67
S&C PME-9 Switch - Outdoor - Fused	1	EACH	\$23,450.57	\$23,450.57
Transformer - Pad Mount - 15 KV x 277/480V / 3 Ph / 2500 KVA with Lightning Arrestor	1	EACH	\$68,046.12	\$68,046.12
Transformer - Pad Mount - 15 KV x 277/480V / 3 Ph / 2000 KVA with Lightning Arrestor	1	EACH	\$59,898.94	\$59,898.94
15 KV-1/C Termination - Load Break Elbow - #2/0 to #4/0	36	EACH	\$316.12	\$11,380.32
Fuse - E Rated - 15KV - 125A to 200A	6	EACH	\$1,036.07	\$6,216.42
				\$211,419.97
A01 - Base Estimate **** 26 00 00 - Electrical **** 26 50 00 - Site Lighting				
LED Single Pole Light Standard	45	EACH	\$3,655.95	\$164,517.75
Concrete Pole Base - WWF Reinforcing - 30" x 108"	45	EACH	\$695.57	\$31,300.65
Concrete Embedded Step Light LED Light	120	EACH	\$296.91	\$35,629.20
1" PVC in Trench with 3#8 & 1#10 G	3,150	LNFT	\$9.27	\$29,200.50
1" PVC in Trench with 3#10 & 1#10 G	1,200	LNFT	\$8.29	\$9,948.00
				\$270,596.10
A01 - Base Estimate **** 26 00 00 - Electrical - SUBTOTAL				\$5,746,500.36
A01 - Base Estimate **** 27 00 00 - Communications **** 27 05 00 - Common Work Results for Communications				
(2) 4"C EMT with Pull String	140	LNFT	\$43.66	\$6,112.40
Voice / Data Rough In Box - 2 Gang with Box, Ring & Conn.	1,641	EACH	\$31.09	\$51,018.69
COMMENT: TELCOM INSTALLATION AND WIRING BY OTHERS				
1" EMT with Pull String	49,230	LNFT	\$4.37	\$215,135.10
				\$272,266.19
A01 - Base Estimate **** 27 00 00 - Communications **** 27 06 00 - Schedules for Communications				
(2) 4"C EMT with (3) 3" 3 Cell Interduct	400	LNFT	\$69.27	\$27,708.00
Fire Rated Plywood Backboard - 4' x 8'	77	EACH	\$123.74	\$9,527.98
Floor Mounted Distribution Rack - 19" x 84"	21	EACH	\$247.47	\$5,196.87

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Description	Quantity	U/M	Unit Price	Unit Price Ext
PVC Wire Duct with Snap In Slots & Cover - 4" x 5"	30	LNFT	\$18.56	\$556.80
Floor Sleeve - Metallic - 4"	58	EACH	\$9.47	\$549.26
Firestopping - 3 Hour Floor - Metal Conduit - 2-1/2" - 4"	30	EACH	\$24.14	\$724.20
Cable Tray - Steel Ladder - 4" Deep - 9" O.C. Rungs - 12" Wide	300	LNFT	\$16.72	\$5,016.00
Cable Tray - Steel Ladder - 4" Deep - 9" O.C. Rungs - 18" Wide	5	LNFT	\$18.69	\$93.45
Cable Tray - Steel Ladder - 9" Rungs - Horiz. Tee - 18" Wide	1	EACH	\$354.44	\$354.44
Cable Tray - Steel Ladder - Reducer - 18" To 12"	2	EACH	\$167.80	\$335.60
Cable Tray - Steel Ladder - 9" Rungs - Horiz. 90 Elbow - 12" Wide	3	EACH	\$188.83	\$566.49
Cable Tray - Steel Ladder - 9" Rungs - Horiz. Tee - 12" Wide	34	EACH	\$320.39	\$10,893.26
Cable Tray Hanger	61	EACH	\$167.39	\$10,210.79
(2) 4"C EMT with Pull String	420	LNFT	\$43.66	\$18,337.20
"J" Hook Hanger Two Tier	5,000	EACH	\$21.97	\$109,850.00
Wireless Allowance	1	LSUM	\$25,000.00	\$25,000.00
				<u>\$224,920.34</u>
A01 - Base Estimate **** 27 00 00 - Communications **** 27 08 00 - Commissioning of Communications				
Telecommunications Commissioning Allowance	1	LSUM	\$15,000.00	\$15,000.00
				<u>\$15,000.00</u>

Description	Quantity	U/M	Unit Price	Unit Price Ext
A01 - Base Estimate **** 27 00 00 - Communications **** 27 40 00 - Audio Video Communications				
Ceiling Speaker With Back Box	62	EACH	\$92.80	\$5,753.60
Pull Box - Screw Cover - NEMA 1 - 6" X 6" X 4"	62	EACH	\$53.51	\$3,317.62
1" EMT with Pull String	1,550	LNFT	\$4.37	\$6,773.50
60" Display (OFOI) - 6" X 6" X 4"	29	EACH	\$53.51	\$1,551.79
(2)1" EMT with Pull String	1,450	LNFT	\$8.74	\$12,673.00
Duplex Isolated Ground Receptacle with Plate, Box & Conn.	29	EACH	\$71.49	\$2,073.21
FB - FSR # FL-600-P "deep" Box with BLP-C Carpet Flange	23	EACH	\$738.27	\$16,980.21
A01 - Base Estimate **** 27 00 00 - Communications **** 27 40 00 - Audio Video Communications				
Low Voltage Master Control Panel - Digital	3	EACH	\$5,786.00	\$17,358.00
(3) 3/4" EMT with Pull String	920	LNFT	\$10.43	\$9,595.60
1-1/4" EMT with Pull String	920	LNFT	\$5.67	\$5,216.40
Duplex Isolated Ground Receptacle with Plate, Box & Conn.	21	EACH	\$71.49	\$1,501.29
P1 & P2 Switch Box - 2-1/8" Deep - 3 Gang	3	EACH	\$46.75	\$140.25
1-1/2" EMT with Pull String	120	LNFT	\$7.41	\$889.20
21/2" EMT with Pull String	120	LNFT	\$12.85	\$1,542.00
Duplex Isolated Ground Receptacle with Plate, Box & Conn.	9	EACH	\$71.49	\$643.41
DS (OFOI) - 6" X 6" X 4"	4	EACH	\$53.51	\$214.04
(2)1" EMT with Pull String	160	LNFT	\$8.74	\$1,398.40
Duplex Isolated Ground Receptacle with Plate, Box & Conn.	4	EACH	\$71.49	\$285.96
VP (OFOI) - 6" X 6" X 4"	4	EACH	\$53.51	\$214.04
(2) 1-1/4" EMT with Pull String	240	LNFT	\$11.35	\$2,724.00
SC - 1 Gang Screen Switch	6	EACH	\$31.09	\$186.54
3/4" EMT with Pull String	320	LNFT	\$3.47	\$1,110.40
PTZ Camera - 6" X 6" X 4"	2	EACH	\$84.22	\$168.44
1-1/4" EMT with Pull String	160	LNFT	\$5.67	\$907.20
RK - 2-1/8" Deep - 3 Gang	2	EACH	\$46.75	\$93.50
(3) 1-1/4" EMT with Pull String	180	LNFT	\$17.01	\$3,061.80
Chief # CMA470	1	EACH	\$328.11	\$328.11
Pull Box - Screw Cover - NEMA 1 - 24" X 24" X 6"	3	EACH	\$274.78	\$824.34
1" EMT with Pull String	210	LNFT	\$4.37	\$917.70
(2) 1-1/4" EMT with Pull String	210	LNFT	\$11.35	\$2,383.50
Pull Box - Screw Cover - NEMA 1 - 6" X 6" X 4"	35	EACH	\$53.51	\$1,872.85
1" EMT with Pull String	2,450	LNFT	\$4.37	\$10,706.50
Branch Circuit Wiring EMT - 3/4"	1,890	LNFT	\$6.84	\$12,927.60
Structured Cabling	1	LSUM	\$250,000.00	\$250,000.00
				\$376,334.00
A01 - Base Estimate **** 27 00 00 - Communications - SUBTOTAL				\$888,520.53
A01 - Base Estimate **** 28 00 00 - Electronic Safety and Security **** 28 10 00 - Electronic Access Control and Intrusion Detection				
Access Control Rough-in Only - Allowance Pending Design	232,822	SQFT	\$0.62	\$144,349.64
				\$144,349.64

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Description	Quantity	U/M	Unit Price	Unit Price Ext
A01 - Base Estimate **** 28 00 00 - Electronic Safety and Security **** 28 20 00 - Electronic Surveillance				
CCTV Rough In Box with Whip	75	EACH	\$64.22	\$4,816.50
1" EMT with Pull String	5,250	LNFT	\$4.37	\$22,942.50
Category 6, PVC Jacketed Cable - 4 Pair	18,750	LNFT	\$0.46	\$8,625.00
CCTV System Rough-in Only - Allowance Pending Design	118,516	SQFT	\$1.00	\$118,516.00
				<u>\$154,900.00</u>
A01 - Base Estimate **** 28 00 00 - Electronic Safety and Security - SUBTOTAL				\$299,249.64
A01 - Base Estimate **** 31 00 00 - Earthwork **** 31 05 00 - Common Work Results for Earthwork				
**** SITE EARTHWORK ****				
Site Clearing	228,000	SQFT	\$0.17	\$38,760.00
Miscellaneous Site Demolition	1	EACH	\$50,068.95	\$50,068.95
**** DEMOLITION ****				
Remove Existing Asphalt	12,093	SQYD	\$9.82	\$118,753.26
Remove Existing Sidewalk	1,421	SQFT	\$5.24	\$7,446.04
Remove Curb and Gutter	101	LNFT	\$4.09	\$413.09
Remove Concrete Sign	1	EACH	\$5,000.33	\$5,000.33
				<u>\$220,441.67</u>
A01 - Base Estimate **** 31 00 00 - Earthwork **** 31 20 00 - Earth Moving				
Excavation	16,286	CUYD	\$11.01	\$179,308.86
Backfill	9,596	CUYD	\$12.07	\$115,823.72
Disposal	12,388	CUYD	\$2.66	\$32,952.08
Select Fill	7,728	CUYD	\$20.35	\$157,264.80
				<u>\$485,349.46</u>
A01 - Base Estimate **** 31 00 00 - Earthwork **** 31 50 00 - Excavation Support and Protection				
**** EROSION CONTROL ****				
Erosion Fence	2,905	LNFT	\$2.50	\$7,262.50
Erosion Control Matting	43,159	SQFT	\$0.43	\$18,558.37
Rip-Rap at Washout Area	1,012	SQFT	\$0.65	\$657.80
Curb Inlet Protection	3	EACH	\$245.00	\$735.00
Grate Inlet Protection	9	EACH	\$260.00	\$2,340.00
SWPPP - Reports	1	EACH	\$5,000.00	\$5,000.00
SWPPP - Maintenance	16	Month	\$3,500.00	\$56,000.00
				<u>\$90,553.67</u>
A01 - Base Estimate **** 31 00 00 - Earthwork - SUBTOTAL				\$796,344.80

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Description	Quantity	U/M	Unit Price	Unit Price Ext
A01 - Base Estimate **** 32 00 00 - Exterior Improvements **** 32 30 00 - Site Improvements				
Concrete Paving	4,585	SQFT	\$7.50	\$34,387.50
6" Concrete Curb	1,978	LNFT	\$10.73	\$21,223.94
Sidewalks	47,208	SQFT	\$5.75	\$271,446.00
Sidewalks-Ramp	185	SQFT	\$12.00	\$2,220.00
Sidewalks-Scored Concrete	3,758	SQFT	\$9.00	\$33,822.00
Sidewalks-HC Ramp	6	EACH	\$3,500.00	\$21,000.00
Crushed Granite	3,175	SQFT	\$12.00	\$38,100.00
Concrete Seat/Steps	3,363	SQFT	\$35.00	\$117,705.00
Plaza Retaining Walls	1,988	LNFT	\$75.00	\$149,100.00
Concrete Steps	1,339	SQFT	\$40.00	\$53,560.00
Pavers - Type A	6,561	SQFT	\$20.83	\$136,665.63
Pavers - Type B	432	SQFT	\$13.01	\$5,620.32
IPE Wood Decking	430	SQFT	\$17.88	\$7,688.40
Handrails at Concrete Steps	135	LNFT	\$125.00	\$16,875.00
Handrails at Concrete Ramp	64	LNFT	\$125.00	\$8,000.00
Pipe Bollards - Removeable	9	EACH	\$550.00	\$4,950.00
Hardscape - Tables, Seating, Trash, Recycle	1	EACH	\$130,988.00	\$130,988.00
Retaining Wall	2,516	LNFT	\$24.99	\$62,874.84
Asphalt Paving	1,577	SQYD	\$35.00	\$55,195.00
Heavy Duty Asphalt Paving	140	SQYD	\$45.00	\$6,300.00

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Description	Quantity	U/M	Unit Price	Unit Price Ext
A01 - Base Estimate **** 32 00 00 - Exterior Improvements **** 32 30 00 - Site Improvements				
Concrete Sidewalk	48,346	SQFT	\$5.50	\$265,903.00
Handrails	205	LNFT	\$80.56	\$16,514.80
Controlled Access Gate	2	EACH	\$8,500.00	\$17,000.00
				<u>\$1,477,139.43</u>
A01 - Base Estimate **** 32 00 00 - Exterior Improvements **** 32 85 00 - Landscape/Irrigation				
Landscape	99,246	SQFT	\$3.00	\$297,738.00
Irrigation	99,246	SQFT	\$1.00	\$99,246.00
				<u>\$396,984.00</u>
A01 - Base Estimate **** 32 00 00 - Exterior Improvements - SUBTOTAL				\$1,874,123.43
A01 - Base Estimate **** 33 00 00 - Utilities **** 33 05 00 - Electrical Utilities				
(6) 4" PVC #40 Concrete Encased Ductbank with Trench	45	LNFT	\$114.86	\$5,168.70
(4) 4" PVC #40 Concrete Encased Ductbank with Trench	185	LNFT	\$82.24	\$15,214.40
(2) 4" PVC #40 Concrete Encased Ductbank with Trench	75	LNFT	\$54.90	\$4,117.50
15 KV - 1/C Cable - Copper Shielded - 133% XLP - # 4/0	2,500	LNFT	\$13.27	\$33,175.00
Copper Wire - XHHW - # 2	750	LNFT	\$4.44	\$3,330.00
Pull String	750	LNFT	\$0.30	\$225.00
Bussman Cable Limiter 600mcm "K" Series	56	EACH	\$626.46	\$35,081.76
Housekeeping Pad - 4" Deep	420	SQFT	\$25.00	\$10,500.00
Housekeeping Pad - 4" Deep	30	SQFT	\$25.00	\$750.00
Pad Mount Transformer Pad - 10' x 10'	3	EACH	\$876.39	\$2,629.17
				<u>\$110,191.53</u>
A01 - Base Estimate **** 33 00 00 - Utilities **** 33 10 00 - Water Utilities				
Fire Service - 8"	40	LNFT	\$57.06	\$2,282.40
Domestic Water Service - 6"	45	LNFT	\$31.66	\$1,424.70
Water - 4"	280	LNFT	\$24.31	\$6,806.80
Fire Hydrant	5	EACH	\$1,407.93	\$7,039.65
Connect to Existing	6	EACH	\$2,187.80	\$13,126.80
Excavation and Backfill	333	CUYD	\$38.67	\$12,877.11
Remove Existing Water	650	LNFT	\$45.00	\$29,250.00
Temporary Water	1	LSUM	\$25,000.00	\$25,000.00
Extra-Scope Water Utility Work	1	LSUM	\$113,510.00	\$113,510.00
				<u>\$211,317.46</u>
A01 - Base Estimate **** 33 00 00 - Utilities **** 33 30 00 - Sanitary Sewerage Utilities				
Sanitary - 6" PVC	953	LNFT	\$17.52	\$16,696.56
Sanitary - 8" PVC	181	LNFT	\$21.67	\$3,922.27
Sanitary - 12" PVC	124	LNFT	\$31.66	\$3,925.84
Man Hole	3	EACH	\$2,282.59	\$6,847.77
Lint Trap	1	EACH	\$9,674.50	\$9,674.50
Connect to Existing	4	EACH	\$2,187.80	\$8,751.20
Excavation and Backfill	1,155	CUYD	\$38.67	\$44,663.85
Temporary Sanitary	1	LSUM	\$25,000.00	\$25,000.00
Remove Existing Sanitary	240	LNFT	\$65.00	\$15,600.00
				<u>\$135,081.99</u>

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Description	Quantity	U/M	Unit Price	Unit Price Ext
A01 - Base Estimate **** 33 00 00 - Utilities **** 33 40 00 - Storm Drainage Utilities				
Storm Water Cistern	1	LSUM	\$94,284.24	\$94,284.24
Man Hole	17	EACH	\$1,960.03	\$33,320.51
Junction Box	2	EACH	\$1,262.56	\$2,525.12
Grate Inlet	54	EACH	\$1,195.10	\$64,535.40
Curb Inlet	2	EACH	\$2,059.30	\$4,118.60
French Drain - 4"	2,251	LNFT	\$14.21	\$31,986.71
Storm - 4" PVC	123	LNFT	\$15.18	\$1,867.14
Storm - 8" PVC	1,416	LNFT	\$21.67	\$30,684.72

Description	Quantity	U/M	Unit Price	Unit Price Ext
A01 - Base Estimate **** 33 00 00 - Utilities **** 33 40 00 - Storm Drainage Utilities				
Storm - 12" PVC	511	LNFT	\$31.66	\$16,178.26
Storm - 15" PVC	588	LNFT	\$35.81	\$21,056.28
Storm - 18" RCP	1,105	LNFT	\$36.72	\$40,575.60
Storm - 24" RCP	257	LNFT	\$56.20	\$14,443.40
Storm - 30" RCP	123	LNFT	\$74.16	\$9,121.68
Connect to Existing	4	EACH	\$5,400.00	\$21,600.00
Excavation and Backfill	1,932	CUYD	\$38.67	\$74,710.44
Remove Existing Storm	175	LNFT	\$65.00	\$11,375.00
				<u>\$472,383.10</u>
A01 - Base Estimate **** 33 00 00 - Utilities **** 33 50 00 - Fuel Distribution Utilities				
Coordination for Gas Service - 4" Service By Gas Company	250	LNFT	\$25.00	\$6,250.00
Excavation and Backfill	166	CUYD	\$38.67	\$6,419.22
				<u>\$12,669.22</u>
A01 - Base Estimate **** 33 00 00 - Utilities - SUBTOTAL				\$941,643.30
A01 - Base Estimate - SUBTOTAL				\$48,936,205.65
**** REPORT TOTAL ****				\$48,936,205.65

Base Construction Cost	
Building	\$56,273,107
Site Development	
Demolition of Existing Buildings, Paving, Tunnels	\$0
Paving and Other Site Improvements	\$0
Site Utilities	\$0
Total Base Construction Cost	\$56,273,107

		ACCEPTED	REJECTED
I. SD - DD Cost Alternates			
A. Reduce total building by 5671 SF at \$150/SF	Deduct	-\$850,650	(\$850,650)
B. Foundation system clarification - Geotech impact	Add	\$749,753	
C. Earthwork Clarification - Geotech impact	Pending	\$0	
D. Mechanical Yard Per Renderings (Split-Faced CMU & Metal Siding over CMU)	Add	\$135,692	
E.			
II. Building Structure			
A. Mechanical/Equipment yard (size) - (SD- DD)	Pending	\$0	
B. Simplify Ramp and Stairs at NE Entrance	Pending	\$749,753	
C. Change Elevator shafts and Stairwells to CMU	Pending	\$0	
D. Revised Building & Site Layout per Renderings (See III.E. for Skin)	Pending	\$0	
E. Additional Bedrooms (Four(4) each)	Add	\$137,214	\$137,214
F. DELETED Polished concrete and revised floor finish budget for these areas to \$1.50/sf	Deduct	-\$588,000	(\$588,000)
G.			
III. Exterior Enclosure			
A. Exterior Wall Insulation			
1 Delete rigid insulation board at exterior wall assembly; provide batt insulation in stud cavity only	Deduct	-\$19,462	
2 Revise Exterior Walls to incorporate rigid insulation in cavity	not feasible Against code		
B. Change face brick to Econ-king	Deduct	-\$153,227	(\$153,227)
C. Change portions of metal panel to brick on the court yard side only	Pending	\$0	
D. Eliminate Colored Metal panels in courtyard - Change to brick (Econo-king)	Deduct	-\$164,565	(\$164,565)
E. Changes to exterior Skin per Renderings	Add	\$144,846	
F. Provide Split Face CMU in lieu of Limestone	Deduct	-\$134,783	(\$134,783)
G. Reduced CMU block unit costs from \$20/sf to \$16/sf	Deduct	-\$204,000	(\$204,000)
H. Reduced Unit Cost of Metal Panels from \$24/sf to \$21/sf	Deduct	-\$120,483	(\$120,483)
I. Reduced Unit Costs of Metal Soffit Panels from \$25/sf to \$21/sf	Deduct	-\$8,000	(\$8,000)
J. Provide TPO in lieu of Mod. Bit. Roofing	Deduct	-\$175,132	(\$175,132)
K. Update quantity of Glass and Glazing to align with CCS	Deduct	-\$231,045	(\$231,045)
L. Deleted Nano Wall	Deduct	-\$125,000	(\$125,000)
IV. Interior Finishes & Equipment			
A. (Not Used)			
B. Add card key access at student room, with allowance of \$400 per door	Pending		
C. Delete rubber stair treads and landings in all stairways (to be priced as add alternate)	Deduct	-\$130,294	
D. Sun and blackout shades for the multi-purpose rooms [(2)MP & (1)CLC incl]	Add	\$105,208	
E. Utilize CMU at stairs and elevator shafts (savings on structural metal stud system)	Pending		
F. Delete ceilings in the bed room areas	Deduct	-\$571,293	
G. Provide Vinyl blinds in lieu of Metal	Deduct	-\$11,000	(\$11,000)
V. Earthwork			
A. 1. Pavestone retaining walls in lieu of cast-in-place concrete structure	Add	\$43.72 /SF	
VI. Conveying Systems			
A. Add additional elevator - consider as ALTERNATE	Add	\$222,000	\$0
B. Change one passenger to Freight	Add	\$30,000	\$30,000
C. Delete one elevator from SW corner of building	Deduct	-\$185,000	(\$185,000)
VII. Electrical			
A. Use compact aluminum for feeders 150A and larger	Deduct	-\$194,480	(\$194,480)
B. Use aluminum windings on dry type transformers in lieu of copper	In SD Estimate		
C. Use MC cable for typical power and lighting branch wiring in lieu of conduit and wire	Deduct	-\$37,718	
D. Motor Generator Set. (include mechanical yard deducts)	Deduct	-\$466,065	
E. Switch board vs Swith Gear	Deduct	-\$8,840	\$0
F. Reduced generator costs to align with CCCS	Deduct	\$0	\$0
G. Delete all LED lighting	Deduct	\$0	\$0
H. Provide standard generator enclosure in lieu of Level 2	Deduct	\$0	\$0
I. Provide 20A breaker for unit heaters	Deduct	-\$515,000	(\$515,000)
VIII. Communications			
A. Delete cable tray on Levels 4-6, except for first twenty feet (20') outside IDF closets	Deduct	-\$71,899	(\$71,899)
B. Cable Tray at LLC only'			
C. Provide conduit stub ups to the ceiling plenum only for voice/data cabling			
D. Use plenum rated cable for fire alarm system in lieu of conduit and wire			

E.	Projector screen in all areas			
F.	Bilateral antennae	Add		
G.	Provide j-hooks in corridors at all areas (in lieu of cable trays, as noted in standards)	Deduct		
H.	Provide alternate for cable trays at LLC only (along a "spine" that will allow for changes later, if needed)	Deduct		
IX. Plumbing				
A.	Use PVC waste and vent piping	Deduct	-\$132,600	(\$132,600)
B.	Use pro-press copper pipe fittings in lieu of welded copper	Deduct	-\$50,094	(\$50,094)
C.	relocate roof drains and downspouts to exterior - reduce piping	Deduct	-\$53,040	
D.	HDPE Domestic Water Piping	Deduct	-\$68,701	
E.	Delete Water softener system associated piping and power	Deduct	-\$20,485	
F.	1 hot water heater for the laundry room in lieu of 2	Deduct	-\$8,244	
G.	Use PEX on all piping 1/2" <	Deduct	\$0	
H.	Provide Unit Heaters in lieu of boilers	Deduct		
I.	Delete Cold Water piping insulation	Deduct	-\$420,500	(\$420,500)
X. Mechanical				
A.	Use pro-press copper pipe fittings in lieu of welded copper on chilled water piping 2" and under	Deduct	-\$100,186	(\$100,186)
B.	Not Used			
C.	Alternate Mfg. Fan coils at student rooms	Deduct	-\$260,486	(\$260,486)
D.	HDPE CHW Piping	Deduct	-\$88,062	
E.	Provide Unit Heaters in lieu of Boilers	Add	\$37,000	\$37,000
Fire Protection				
A.	Change to Flex heads	Deduct		
B.	Change to CPVC piping for branch lines	Deduct	-\$100,000	(\$100,000)
Site Utilities				
A.	Storm water recovery tank system? (is it required)	Add	\$240,000	\$240,000
B.	Reduce Landscaping in courtyard (\$350k allowance to \$300k)	Deduct	-\$56,962	(\$56,962)
C.	Change for increased size of chiller yard	(See I.D. above)		
D.	Updated quantity of underground piping to align with CCCS		\$0	\$0
X.I Miscellaneous				
XI.A	48" Retaining Wall C-I-P with Sandblast finish	Add	\$68	
XI.B	48" Retaining Wall with Chopped Limestone Blocks	Add	\$107	
XI.C	not used			
XI.D	Add 22nd Street Extension incl demo of 12" water line	Add	\$1,170,842	
XI.E	Galvanized Steel Retaining Wall	Add	\$148	
XI.F	Suspended Acoustical GWB Ceiling	Add	\$801,522	
XI.G	not used			
XI.H.A	Vinyl Plank - Shaw Quiet Cover - IIC 56 (over 6" conc. & ceiling plenum)	Add	\$5.97 /SF	
XI.H.B	Vinyl Plank - Shaw Native Origins - IIC 51 (over 6" conc w/o ceiling)	Add	\$5.53 /SF	
XI.H.C	Carpet Tile - \$2.50 /SF Material	Add	\$3.06 /SF	
XI.H.D	Carpet Tile - \$3.00/SF Material	Add	\$3.67 /SF	
XI.I	1 1/2" GWB on Exterior Walls	Add	\$129,286	
XI.J	15,000 Concrete Rain Tank (+/-22'L x 11'W x 12'D)	Add	\$56,956	
XI.K	Topping Slab on Isomat	Add	\$1,475,685	
XI.L	Fire-Rated R-19 Insulation			In SD Estimate
XI.M	Distribution Panels vs. Panel Boards	Deduct	-\$36,691	
Total Accepted/Rejected			(\$4,908,878)	\$0
Adjusted Budget with Accepted Alternates			\$56,273,107	

Building Cost Target		53,019,529
Delta		(3,253,578)
Building SF Target	233	227,338
Current Building SF	242	233,009
Delta		5,671
Total per Bed Target	696	76,177
Total per Bed		80,852
FF&E Budget		2,200,000
Student room furniture		1,000,000
Student room Appliances		
Microwve	100	
Ref	300	
Furniture		699,457
		69,386
		1,768,843
Delta		431,157

LIST OF ADD ALTERNATES

The list below represents possible project upgrades

- | | |
|------------------------|--|
| <i>Alternate No. 1</i> | • <i>3500 lb. 6 stop elevator</i> |
| <i>Alternate No. 2</i> | • <i>LED Lighting in suite bedrooms</i> |
| <i>Alternate No. 3</i> | • <i>Stage 2 Generator Set Enclosure</i> |

South Residence Complex
PROJECT COST COMPARISON

TAB 6

South Residence Complex

Project Name	South Residence Complex	Northside Residence Hall	North Campus Housing	Commons Student Housing	West Campus Housing	Moore Street Housing
Owner	Sam Houston State University	Texas A&M University	Texas State University - San Marcos	Oklahoma State University	Texas State University	Texas State University
Location	Huntsville, TX	College Station, TX	San Marcos, TX	Stillwater, OK	San Marcos, TX	San Marcos, TX
Date of Completion	July 2016	July 2013	June 2012	August 2013	August 2014	August 2016
Bldg Size - GSF	232,822	253,452	190,047	257,000	188,373	187,492
Estimated Cost	\$56,273,107	\$57,000,000	\$33,048,897	\$54,000,000	\$37,277,457	\$44,943,765
Cost / SF	\$241.70	\$224.89	\$173.90	\$210.12	\$197.89	\$239.71
Escalated Cost	\$56,273,107	\$65,550,000**	\$38,006,231**	\$62,000,000**	\$42,869,075**	\$44,943,765
Escalated Cost / SF	\$241.70	\$258.62	\$199.98	\$241.25	\$227.58	\$239.71
No. Beds	700	648	612	964	578	598
Cost / Bed	\$80,390.15	\$101,157	\$62,101	\$64,315	\$64,167	\$75,157

** Cost escalated at a rate based on the ENR Building Cost Index.

South Residence Complex
PROJECT IMPACT

Operating & Maintenance Costs
Energy Conservation & Sustainability

TAB 7

ENERGY CONSERVATION & SUSTAINABILITY

STATEMENT OF ENERGY EFFICIENCY & SUSTAINABILITY

Although the South Residence Complex project for Sam Houston State University will not be seeking Leadership in Energy & Environmental Design (LEED) certification, the project will be designed with integrated sustainable and energy conserving goals and attributes. The goals outlined for the South Residence Complex project include equivalency to the sustainability goal of LEED Certified under the LEED NC 2009 rating tool.

RATING SYSTEM OVERVIEW

LEED NC 2009 offers a total of 100 possible points over seven categories with LEED Certified Level requiring between 40 and 49 of those points. The opportunities include points in Sustainable Sites, Water Efficiency, Energy and Atmosphere, Indoor Environmental Quality, Materials and Resources, Innovation and Design Process, and Regional Credits. The Design Team has investigated opportunities in all the categories and a brief summary of some of the project highlights follows:

SUSTAINABLE SITES

The project location at the edge of the University provides some opportunities and challenges with regard to the community connectivity, public transportation and open green space. The South Residence Complex project takes advantage of the existing topography change from east to west to create a large open green space and courtyard along with a spacious lawn to the southeast. The project proximity to a new dining facility to the northeast is a valuable sustainable site attribute.

WATER EFFICIENCY

Rainwater and condensate water reclamation are primary components of water efficiency for the South Residence Complex Project. As part of SECO requirements there will be a 15,000 gallon storage tank system (by Civil) which will reuse water for irrigation of a portion of the site. The utilization of a non-potable 'purple pipe' system for irrigation supplied from an underground cistern which will store rain water from the roofs and condensate from the air handlers will reduce the demand on the city water supply. Low water use fixtures will assist in reducing potable water needs.

ENERGY AND ATMOSPHERE

The new equipment will meet or exceed the requirements of ASHRAE 90.1-2010 with a target of 15% more efficient. Variable speed drives will be incorporated where possible to reduce fan and pump energy consumption. High efficiency domestic water heaters and hydronic boilers exceeding ASHRAE 90.1-2010 standards will be incorporated. The building envelope will include high performing insulation, storefront windows, high performing roof assembly, and efficient lighting systems. Demand control ventilation will be implemented in high density occupancy spaces.

MATERIALS AND RESOURCES

Where possible, material supplied and extracted within a 500 mile radius of the project site will be specified and installed. In addition, materials containing a high percentage of recycled content will be utilized. Some of these materials include

steel, brick, aluminum, concrete, etc. Removing construction debris and diverting it from the landfill will be included and a target of 75% construction waste diversion from the project site has been set for this project. Where possible, FSC certified wood products will be specified.

INDOOR ENVIRONMENTAL QUALITY

Specified products for paint, adhesives, sealants, flooring, coatings and composite wood will include LEED criteria including low volatile organic compound (VOC) content, applicable certifications, and no added urea-formaldehyde. Controllability of systems such as lighting and thermal will be included to the extent applicable.

INNOVATION AND DESIGN

This category allows the project to highlight its unique features and allows credit for innovative concepts, exemplary performance, policy implementation, and education outreach. It also provides credit for having a LEED Accredited professional on the project team. The design and construction team will pursue all six of these possible points.

REGIONAL PRIORITY

These credits are not new to the rating tool, but are incentivized credits that LEED has prioritized based on the geographic location of the project. Preliminarily, the team thinks obtaining one of the available four credits is possible, but this is dependent on achieving the original credit.

SUMMARY

The design of the South Residence Complex project includes multiple sustainable attributes and will include sustainable elements throughout the documentation. Although the project is not seeking LEED certification, the project will embody “green” practices and strategies.





E&C Engineers & Consultants Inc.
1010 Lamar, Suite 650
Houston, Texas 77002
Tel 713/580-8800
Fax 713/580-8888

August 19, 2014

Mr. Erin Machac
SHW Group
20 Greenway Plaza, Suite 200
Houston, Texas 77046

Re: Sam Houston State University New South Housing
E&C No. 3133.00

Dear Erin:

E&C has done an analysis of the annual utility costs for the new south housing at SHSU based on historical data of other similar facilities. The order of magnitude analysis is based on the following assumptions:

1. The building will have occupants 10 months per year, but remain conditioned to a unoccupied mode during the two summer months.
2. Electric rate of \$0.07/kWh and Natural Gas rate of \$7/MMBtu.

With the information available to E&C at design development, our estimate of annual energy usage for the building will be \$160,000. This includes the costs for electricity, natural gas and water & sewer.

The M/E/P systems shall be designed to ASHRAE 90.1-2007 per SECO requirements and equipment selections will be made at or above efficiencies required by the Standard.

Please feel free to call if you have any questions regarding the issues noted.

Sincerely,

E&C Engineers & Consultants Inc.
Heather Camden, PE
Principal

HEC
File 3133102 energy usage.doc



E&C Engineers & Consultants Inc.
1010 Lamar, Suite 650
Houston, Texas 77002
Tel 713/580-8800
Fax 713/580-8888

August 14, 2014

Mr. Erin Machac
SHW Group
20 Greenway Plaza, Suite 200
Houston, Texas 77046

Re: Sam Houston State University New South Housing
E&C No. 3133.00

Dear Erin:

E&C has been asked to provide an environmental impact letter for the MEP portion of the new north housing project.

The M/E/P systems shall be designed to ASHRAE 90.1-2007 per SECO requirements and equipment selections will be made at or above efficiencies required by the Standard. Natural gas water heaters shall be used. The only natural gas equipment to be used will be two small domestic water heaters (under 1,000,000Btu) and shall be low NOx and high efficiency.

Chilled water shall be supplied from new air cooled chillers that shall be selected for high efficiency and low relative noise production. The building shall comply with the Texas Dark Skies Initiative and SECO water standards.

Please feel free to call if you have any questions regarding the issues noted.

Sincerely,

Digitally signed by Heather Camden
DN: cn=Heather Camden, o=E&C Engineers
& Consultants Inc., ou=PE,
email=camden@eceng.com, c=US
Date: 2014.08.14 10:18:01 -05'00'

E&C Engineers & Consultants Inc.
Heather Camden, PE
Principal

HEC
File 3133101 environmental impact.doc

TSUS: Revision of System Policies and Procedures Manual for Planning and Construction

Upon motion of Regent _____, seconded by Regent _____, it was ordered that:

Pursuant to *System Rules and Regulations, Chapter III, Paragraph 1.6*, the attached revision of the System Policies and Procedures Manual for Planning and Construction be approved.

Background

Chapter III, Section 1.6 of *The Texas State University System Rules and Regulations* requires Board of Regents approval of the TSUS Policies and Procedures Manual, as promulgated by the Chancellor from time to time. The current version of the Manual was approved at the February 2013 Board meeting.

The attached revision of the Policies and Procedures for Planning and Construction consists mostly of minor edits, changes necessary to reflect current practice, clarifications of existing language, and modifications needed to conform to changes in law and in the TSUS *Rules and Regulations*. It is marked to show changes from the version currently in effect. The proposed revisions were distributed for comment to the Components. A glossary of acronyms is provided on the last page of this Motion.

The substantive changes are as follows (numbers are section references):

- 1.7 Clarifies that the list of pre-project planning activities is not exhaustive.
- 1.12.2 Specifies the responsibilities of the Component in a project which has third-party management by referring to the appropriate document in the eManual.
- 2.1.3 Provides that Board of Regents approval is required for all public-private partnership capital projects regardless of cost, and that such approval is required when the procurement process has identified a highest-ranking respondent and a final business case has been developed.
- 2.2.5 Modifies the authority limits to comply with revisions to Chapter III, section 1.19 of the *TSUS Rules and Regulations*.
- 2.2.6 Provides that the Chancellor is authorized to approve and execute public-private partnership contracts following Board of Regents approval of the project.
- 2.3.4 Adds a reference to Section 7 which describes the VCCA's responsibility to review project payment requests, including the first payment request to cover construction services.
- 2.4 Specifies that delegation of authority by the VCCA must be in writing. This is considered an important practice to insure that any delegations of authority are appropriately documented.

- 2.6 Adds a reference to the Associate Vice Chancellor for Facilities, his authority and responsibilities.
- 2.8 Modifies the authority limits to comply with revisions to Chapter III, section 1.19 of the *TSUS Rules and Regulations*.
- 2.9 The added language clarifies that the President's authority to approve change orders under \$25,000 applies only to change orders mutually negotiated by the Contractor and the Owner. Unilateral change orders, where the Owner issues the change order on its own initiative and without the agreement of the Contractor, are rare and require evaluation by the VCCA, as the party authorized to make changes to the contract. Language is also added to specify that change orders under \$25,000 still require appropriate back-up documentation.
- 2.10 Clarifies that the President approves all payments other than the first, second and last, in accordance with Section 7.
- 2.12 Similarly to 2.4, the added language requires that delegations of authority by the President be in writing. In order to enable the VCCA to administer contracts associated with capital projects, it requires the President to provide any written delegations of authority to the VCCA, so that the authority of Component personnel to execute documents and take actions in the delivery of capital projects are documented appropriately.
- 2.14 Adds a reference to 2.8 to clarify that Board approval of a project at Design Development includes authority to execute change orders so long as the cumulative effect of such change orders does not increase the total project cost by more than 5% (8% in the case of renovation projects).
- 2.15 Clarifies that the VCCA negotiates all contracts, but that the Component administers assignments under indefinite quantity programming contracts. This comports with our long-standing practice. Also clarifies that the Component administers contracts for all projects delegated to Presidential authority.
- 3.3.5 Requires that an electronic copy of the Campus Master Plan be submitted along with the hard copies.
- 3.3.8 Adds a provision governing development and approval of master planning efforts other than the 10-year comprehensive campus master plans. The provision would provide a more streamlined process for these smaller master plans, but require Board of Regents approval of the final product.
- 3.4.1.2, 3.4.3, 3.4.7 and 3.4.8
Adds a requirement that proposed TRB funded projects on the CIP be submitted early and prioritized to facilitate more comprehensive review by the System Office, and that such projects be reviewed by the Vice Chancellors for Academic Affairs, Finance and Governmental Relations as a part of the System's overall legislative strategy. It is important to provide sufficient time for such projects to be included in the CIP in final form.

- 3.7 Provides for amendments to CIP projects to be offered at a quarterly or called Board of Regents meeting. This is sometimes desirable for projects late in the planning stage that have undergone significant changes in scope or funding.
- 3.8 Specifies that the AVCF is responsible for design phase overview.
- 3.9.1 Provides guidance and timing for the initial meeting between the Component and the design professional.
- 3.9.2, 3.9.4 and 3.9.5
These provisions change the deadlines for submission of projects for Board of Regents approval in order to allow sufficient time for review by the System Office and by the Planning and Construction Committee of the Board. The provision also specifies that both the VCCA and the AVCF review and comment on design development submittals.
- 3.9.3 Clarifies the duties of the VCCA in reviewing the Design Development submittal.
- 3.9.6 Provides that failure to meet any of the submission deadlines will result in postponement of consideration of the project to the next regular Board of Regents meeting.
- 3.9.8 Clarifies that the Committee recommends approval of projects to the full Board.
- 3.9.10 Clarifies that projects may require approval by the Bond Review Board in accordance with applicable law.
- 3.9.11 Makes submission deadlines applicable to both regular and called meetings of the Board of Regents, and provides that the Chancellor must concur with the consideration of a project for approval at a called Board meeting.
- 3.9.12 Provides the deadlines in tabular form.
- 3.9.13 Allows the VCCA to give a Notice to Proceed to Construction Documents prior to approval of the Design Development documents by the Board of Regents if necessary to meet project schedules, subject to certain conditions.
- 3.10 Conforms this section to the current role and rules of the THECB regarding project applications.
- 3.11 Provides that the Component submit an amended project application to the THECB when required under applicable rules.
- 4.5 Specifies that the VCCA and AVCF both review and comment on facility programs.
- 5.13.2 Allows for proposal openings to occur at a location other than the System Office if necessary.
- 5.13.3 Clarifies that the addition of proposal terms and calculation of the final rankings is performed by the AVCF.

- 5.20 Clarifies the relative roles of the Component and the System Office in the negotiation process for design and construction contracts. Adds a requirement to post notice of contract award on the ESBD.
- 5.20.5 Requires the VCCA to post notice of contract award on the ESBD.
- 6.1 Clarifies that smaller projects on the CIP should also be included in the quarterly status reports to the VCCA.
- 6.3 and 6.3.1
Specifies that the AVCF overviews and comments on submittals from each design phase.
- 7.1.1.1 Clarifies that the VCCA reviews and approves the first payment request from a construction manager at risk that covers construction services, even if that payment request is the third or later payment request.
- 7.1.2 Clarifies that the AVCF receives and reviews substantial completion and final checklists.
- 7.2.3 Provides that changes valued at less than \$5,000 do not require independent cost estimates.
- 7.4 Requires negotiation of change requests within 30 days of issuance.
- 7.5.3 Requires the electronic submission of certain supporting information along with a Guaranteed Maximum Price proposal at least 31 days prior to the commencement of construction phase services. Also specifies that the AVCF receives and reviews the GMP proposal.
- 7.5.4.1, 7.5.4.2 and 7.5.5
Specifies that the AVCF reviews and approves bidding packages and bidding strategy.
- 7.5.7, 7.5.7.4 and 8.1.2
Clarify that the expense of a project audit is borne by the Component, and the recovery of funds identified in the audit is for the Component's account. Also clarifies that such audits are performed by outside auditors under contract to the System, that findings are transmitted to the System's Internal Auditor and to external oversight entities as required by law, and that the determination of whether and to what extent to seek recovery of funds from the contractor is within the Component's discretion in consultation with the VCCA.
- 8.1.1 and 8.1.4
Specify that the AVCF coordinates oversight of the close-out process.
- 9.1 Defines public-private partnership projects and specifies the conditions under which such projects will be governed by the Policies and Procedures for Planning and Construction.
- 9.2 Provides that the provisions of Section 9 control in the event of a conflict with other provisions in the Manual.

- 9.3 Provides that the Board's authority to approve P3 projects is not delegated.
- 9.4 Refers to the approval authority of the Board and Chancellor for P3 projects and contracts.
- 9.5 Provides that more detailed procedural guidance for P3 projects is under development and will be included in the eManual which accompanies the Policies and Procedures.

Glossary of Acronyms Used in TSUS Planning and Construction Policy Documents

A/E	Architect/Engineer
AVCF	Associate Vice Chancellor for Facilities
CIP	Capital Improvements Program
CMA	Construction Manager-Agent
CMP	Campus Master Plan
CM@R	Construction Manager-at-Risk
CPM	Critical Path Method
CSI	Construction Specifications Institute
CSP	Competitive Sealed Proposals
D-B	Design-Builder or Design-Build
DBB	Design-Bid-Build
DD	Design Development
ESBD	Electronic State Business Daily website
GMP	Guaranteed Maximum Price
HEAF	Higher Education Assistance Funds
HUB	Historically Underutilized Business
HVAC	Heating, ventilation and air conditioning
JOC	Job order contract
NTP	Notice to Proceed
O&M	Operations and Maintenance
ODR	Owner's Designated Representative (typically the Vice Chancellor for Contract Administration)
ODSR	Owner's Designated Site Representative (component representative)
P3	Public-private partnership
POC	Point of Contact (component representative for RFQ/RFP solicitations)
PP&P	Project Planning and Programming
RFP	Request for Proposals
RFQ	Request for Qualifications
THECB	Texas Higher Education Coordinating Board
TRB	Tuition Revenue Bond
TSUS	Texas State University System
VCAA	Vice Chancellor for Academic Affairs
VCCA	Vice Chancellor for Contract Administration
VCF	Vice Chancellor for Finance

POLICIES AND PROCEDURES MANUAL FOR PLANNING AND CONSTRUCTION

LAMAR UNIVERSITY
LAMAR INSTITUTE OF TECHNOLOGY
LAMAR STATE COLLEGE - ORANGE
LAMAR STATE COLLEGE - PORT ARTHUR
SAM HOUSTON STATE UNIVERSITY
SUL ROSS STATE UNIVERSITY
SUL ROSS STATE UNIVERSITY RIO GRANDE COLLEGE
TEXAS STATE UNIVERSITY—~~SAN MARCOS~~

Issued: May 24, 2011

~~Revised: January 28, 2013~~

Latest Revision: October 13, 2014

Approved: ~~February 15, 2013~~ November XX, 2014

EXECUTIVE OVERVIEW

“So... YOU WANT TO BUILD A CAPITAL PROJECT? WHAT DO YOU NEED TO DO?”

The Office of Contract Administration oversees the approval of capital improvement projects, and the procurement and administration of design and construction services on behalf of The Texas State University System for major capital projects pursuant to Chapter III, Section 1.6 of the Board of Regents Rules and Regulations for the eight Component Institutions (Components) that comprise The Texas State University System (System).

The Vice Chancellor for Contract Administration (VCCA) is the point person between the Components and the Chancellor and the Board of Regents (Board), and insures that projects are appropriately planned, programmed, designed and budgeted for approval by the Components. In so doing, the Office of Contract Administration strives to add value to the project delivery process by bringing System and external expertise to bear to help insure that projects are efficiently executed and that the underlying contracts are procured, negotiated and administered in an efficient and cost-effective manner while protecting the interests of the Board, Components and System.

The office has been delegated various levels of authority and many responsibilities, including changes to design and construction contracts and publication of the ***Policies and Procedures Manual for Planning and Construction*** (manual). Furthermore, the office strives to advance the image and reputation of the System’s facilities planning and construction teams by interacting with our peers and with the construction industry in a professional and knowledgeable manner, and by participating in activities that allow for the interchange of information and best practices.

This manual communicates laws, rules, regulations, policies and procedures to the Components on how to engage with System for the effective approval, contract administration and reporting of capital projects. The manual is organized as follows:

SECTION 1: CAPITAL PROJECT DELIVERY PROCESS OVERVIEW	34
SECTION 2: PROJECT AUTHORITY	66
SECTION 3: PROJECT APPROVALS.....	1111
SECTION 4: PRE-PROJECT PLANNING & PROGRAMMING	1717
SECTION 5: DESIGN & CONSTRUCTION SERVICES PROCUREMENT.....	1819
SECTION 6: PROJECT REPORTING & DESIGN OVERSIGHT REVIEWS	2324
SECTION 7: CONTRACT ADMINISTRATION (PAYMENTS, CHANGES & GMPs)	2425
SECTION 8: CLOSE-OUT	2728
SECTION 9: P3 PROJECT PROCESS.....	29

In general, the project delivery process is linear regardless of the delivery or contracting method used, and requires the project to pass through several pre-determined approval milestones (or “gates”) as established by the Board, the Chancellor and the VCCA before moving on to the next phase. Understanding, planning and executing the required approvals as described in this manual, and in the proper sequence is critical to project success. The typical project delivery process for capital improvement

projects is shown in *Figure 1* below. The time durations will vary in accordance with project requirements and may be greater or less than the ranges set forth in *Figure 1*.

This manual is written as concisely as possible and is crafted to maximize communication among the Component, System, the Chancellor and the Board in order to minimize “surprises”; therefore, the responsibilities and procedures contained herein are considered System policy. This manual does not describe how to plan, design, construct or operate a facility.

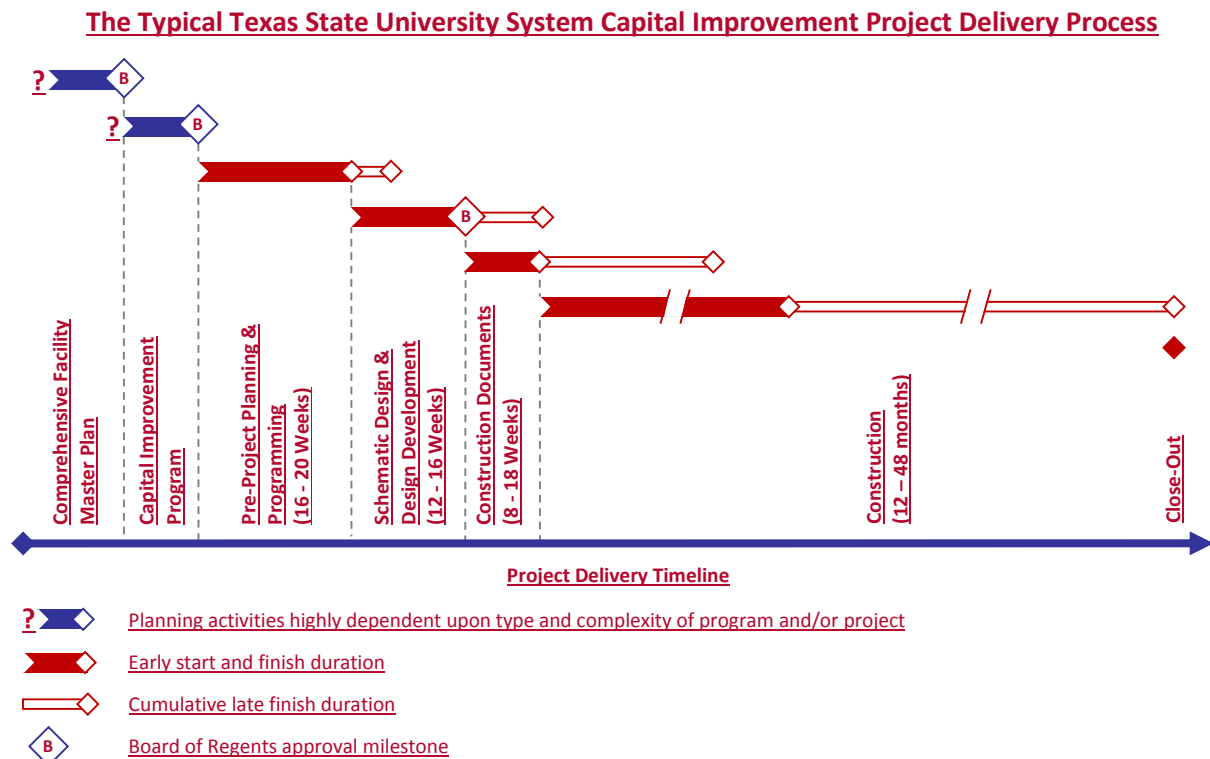


FIGURE 1

When revisions are issued, the Component is responsible for reading, understanding, updating, and implementing the procedures described herein. Any requests to deviate from the described procedures herein must be submitted to the Vice Chancellor for Contract Administration for approval.

Peter E. Graves
 Vice Chancellor for Contract Administration
 Texas State University ~~Texas~~System

208 East 10th Street, Suite 600
Austin, Texas 78701
Phone: (512) 463-1808
e-mail: peter.graves@tsus.edu

As a supplement to this manual, the Office of Contract Administration has developed and will continue to develop an eManual to store electronically additional guidelines, forms, letters, documents, checklists, contracts, worksheets, and standard process maps. The eManual will be made available to all Component staff. All forms, checklists, contracts and similar items will be made available on e-Builder.

SECTION 1: CAPITAL PROJECT DELIVERY PROCESS OVERVIEW

- 1.1 System capital projects are approved and controlled by the VCCA through the following six actions, and as described below:
 1. Comprehensive Facility Master Plan
 2. Capital Improvement Program
 3. Pre-Project Planning and Programming
 4. Design and Construction Services Procurement
 5. Design Development Approval
 6. Contract Administration

Comprehensive Facility Master Plan

- 1.2 Each Component is responsible for developing a ten year *Comprehensive Facility Master Plan* (Master Plan), a *Capital Improvements Program*, and a set of projects, and for obtaining the necessary funding and approvals from appropriate department heads, provosts, campus planning and space councils, and business affairs officers.
- 1.3 The master planning process is critical to the future of every Component and results in guidance to executives for the prioritization and selection of capital projects that may be considered for recommendation to the Board for approval.
 - 1.3.1 Once the Component's Master Plan is approved, comprehensive strategic or campus wide programming can begin.
- 1.4 Refer to *Section 3.3: Comprehensive Facility Master Plan* below, *The Texas State University System Rules and Regulations*, Chapter I, Paragraph 6.57 and the eManual for additional information regarding the master planning process.

Capital Improvement Program

- 1.5 Each Component is responsible for developing, maintaining and submitting to the Board, through the VCCA, an up-to-date six year *Capital Improvements Program* (CIP) that encompasses the capital projects that are needed to preserve, enhance and add to the facilities assets in alignment with the Component's approved Strategic Plan (not included in this manual) and Master Plan.

- 1.5.1 The CIP includes scope, schedule, funding and Total Project Cost (TPC) of all Component projects regardless of who has delegated authority to manage the work.
 - 1.5.2 The TPC is defined as all costs including programming, design, site acquisition, site development, facilities, furnishings, furniture and equipment, operational warranties and any other costs identified to meet the project's requirements as approved by the Texas Legislature, The Texas Higher Education Coordinating Board and the TSUS Board of Regents.
 - 1.5.3 Projects shall be submitted separately, or for smaller projects (less than \$1 million) may be combined into a single aggregated project.
- 1.6 Refer to *Section 3.4: Capital Improvement Program* below and the eManual for additional information regarding the CIP process.

Pre-Project Planning and Programming

- 1.7 Effective project planning is required to assure success. It provides a basis for action for the Component, User(s) and project team. Managing needs and requirements along with the ever-competing constraints of time, money, resources and risk is critical to effective delivery. During the pre-project planning phase the Component must review and evaluate several factors to develop an appropriate and realistic project execution plan in order to deliver the project successfully and meet the expectations of the stakeholders. These include but are not limited to:
- Collecting needs and requirements
 - Defining the scope, quality and work breakdown structure
 - Defining the activities and their sequence
 - Estimating activity resources and durations, including roles, responsibilities and an overall staffing management plan
 - Estimating costs and determining an appropriate budget
 - Estimating a project schedule
 - Identifying risks and establishing a communication plan
- 1.8 While the pre-project planning process will consider many alternatives, its goal is to create a specific project plan of action and activities that will be carried out in the future. Pre-project planning ensures that:
- 1.8.1 Adequate project information is documented and approved by the Component, including the Component's project specific needs, prior to requesting approval from Board in the CIP.
 - 1.8.2 Component executives confirm that the project is financially feasible, establishes realistic objectives and requirements, and identifies critical decisions and assumptions. All of these will lead the project team through the programming and design process.
- 1.9 Contact the VCCA for additional assistance and refer to *Section 4: Pre-Project Planning & Programming* below and the eManual for additional information regarding the planning and programming process.

Design and Construction Services Procurement

- 1.10 System and the Component procure design and construction professionals for each specific project to create a set of design documents and execute the construction process.
 - 1.10.1 Refer to *Section 5: Design & Construction Services Procurement* below and the eManual for additional information.

Contract Administration

- 1.11 The System's goal for every System project is ~~be~~ to develop and maintain a reputation for decisive leadership, sound knowledge, consistency, firm interpretations of contract documents, professionalism and fairness throughout the life of a project among design professionals, contractors, and other service providers.
- 1.12 The general purpose of a contract is to clearly identify the risks and responsibilities of each party. Effective contract administration provides a foundation for responsible decision making by System and the Component.
- 1.12.1 The VCCA is responsible for promulgating, negotiating, approving and overseeing all design and construction related contracts and any changes thereto. The VCCA is also responsible for certain interactions related to approvals to milestones in document development, Board of Regents Design Development packaging as well as approval to the Guaranteed Maximum Pricing as well as the final authority on the ~~buy-out~~buyout values associated with a project.
- 1.12.2 The Except in the case of a project for which project management services are provided by a third party pursuant to section 2.2.3, the Component is responsible for:
- Executing the project, including managing all terms and conditions within the respective limits of authority.
 - Leading, administering, coordinating, reviewing and approving all design phase activities and documents.
 - Reviewing and approving all project related payments.
 - Leading, administering and inspecting the construction process through kick-off meetings, periodic project meetings, inspections, commissioning, final acceptance and administration of warranty and its associated milestones.
 - Coordinating the scheduling, training, acceptance, and operation of facility with the Component and user representatives.
- In the case of a project for which project management services are provided by a third party pursuant to section 2.2.3, the Component shall carry out the roles and responsibilities described in the eManual under "Component Responsibilities in Projects with Outsourced Third Party Project Management (PM)".
- 1.12.3 Refer to *Section 7: Contract Administration and Section 8: Close-Out* below and the eManual for additional information.

SECTION 2: PROJECT AUTHORITY

The Board of Regents

- 2.1 The Board of Regents governs the System and its Components and has delegated to The Planning and Construction Committee the primary responsibility for making recommendations to the

Board regarding the planning, design, construction, maintenance, and use of System and Component buildings and other physical facilities.

2.1.1 The Planning and Construction Committee is authorized to make recommendations to the Board to approve all project requests with a Total Project Cost of **\$4,000,000** and greater once the project has completed the Design Development stage.

2.1.2 The Board is authorized to approve all indefinite quantity services contracts—including but not limited to job order construction contracts, contracts for architectural and/or engineering services, and consulting contracts, equal to, or greater than **\$1,000,000** (\$2,000,000 in the case of job order construction contracts).

2.1.3 The Board is authorized to approve all projects proposed to be delivered through a public-private partnership (P3) regardless of the Total Project Cost. P3 projects shall be subject to Board approval when the procurement process results in the determination of a highest-ranking respondent and the development of a business case.

The Chancellor

2.2 The Chancellor is the chief executive officer of the System. The Chancellor reports to, and is responsible to, the Board and has direct line responsibility for all aspects of the System's operations assistance from the System's Vice Chancellors.

Projects

2.2.1 The Chancellor is authorized to approve all project requests with a Total Project Cost of less than **\$4,000,000**, and all related project changes.

2.2.2 After Board Design Development approval, the Chancellor is authorized to increase or decrease the cumulative value of the Total Project Cost up to **5%** (new) or **8%** (renovation). Requests for increases of greater amounts are approved by the Board.

2.2.3 The Chancellor is authorized to make a determination based on the needs of a specific project or component that it is appropriate for project management services to be provided by a third party under contract to the System, and to procure, conclude and administer such contracts. To the extent services normally provided by Component personnel are to be provided by the third party, references in this Manual to the Component shall be deemed to refer to the third party project manager. Refer to the eManual for guidance as to the responsibilities of Component personnel in projects with third party project management.

~~2.2.4~~

Contracts

~~2.2.5~~ 2.2.4 The Chancellor is authorized to approve all design and construction contracts.

~~2.2.6~~ 2.2.5 The Chancellor is authorized to approve all indefinite quantity services contracts—including but not limited to job order construction contracts, contracts for architectural and/or engineering services, and consulting contracts, with a total potential

contract amount in excess of ~~less than~~ **\$1,000,000** (\$2,000,000 in the case of job order construction contracts), and no greater than \$2,000,000 (\$4,000,000 in the case of job order construction contracts), including any renewals.

2.2.6 The Chancellor is authorized to approve all P3 contracts after project approval by the Board pursuant to Section 2.1.3.

The Vice Chancellor for Contract Administration

2.3 The Vice Chancellor for Contract Administration (VCCA) is responsible for contract management and administration of System and Component planning, design, construction, maintenance, and use of buildings and other physical facilities, including, but not necessarily limited to, long-term planning and construction and administration of policies in the subject area. The VCCA performs duties under authority delegated by the Board through the Chancellor.

2.3.1 The VCCA is authorized to approve all design contract changes.

2.3.2 The VCCA is authorized to approve all individual construction contract changes valued at **\$25,000** or more and all cumulative contract changes up to **5%** of the Total Project Cost for new projects and up to **8%** for renovation projects.

2.3.3 The VCCA is authorized to issue work authorizations and notices to proceed to design and construction professionals for programming, Schematic Design, Design Development, Construction Documents, Pre-Construction and Construction services.

2.3.4 The VCCA is authorized to approve the first, second and final payment requests on a project as further described in Section 7.

2.4 The VCCA is authorized to further delegate in writing any of the authorities listed above as deemed reasonable and necessary.

2.5 The VCCA has the authority to waive the application of any provision of these Policies and Procedures with respect to a particular project upon written request by the component, except to the extent compliance is required by applicable law or the System's Rules and Regulations.

2.6 The Associate Vice Chancellor for Facilities (AVCF) works with the VCCA to discharge the responsibilities of the Office of Contract Administration. Refer to the eManual for the written delegation of authority to the AVCF. In addition, this Manual describes certain specific responsibilities and authority of the AVCF.

~~2.5~~

The President

~~2.6.2.7~~ The Chancellor has delegated to the President the authority to plan, design and construct projects listed on the approved Capital Improvement Program for projects with a Total Project Cost of less than **\$1,000,000**, without further approvals from the Chancellor or the System.

~~2.6.12.7.1~~ Due to the resources required to manage alternative delivery methods, Components shall use Hard Bid (i.e. lowest responsible bid) or Competitive Sealed

Proposals delivery methods for all projects. Any requests to use Construction Manager-At-Risk, Design-Build or any other project delivery method shall require written approval from the VCCA. Job order contracting may be used on projects that are within authority delegated to the President, primarily on projects consisting of minor renovation and alterations to existing facilities, or other repetitive projects with simple scopes.

2-6-22.7.2 Components shall use contract forms and documents as promulgated and approved by the VCCA.

2-6-32.7.3 Components shall follow the *TSUS Rules and Regulations*, these Policies and Procedures, and applicable state law including but not limited to Education Code, Chapter 51; Government Code, Chapter 2155 ; Government Code, Chapter 2254 ; Occupations Code, Chapters 1001 (Engineers),1051 (Architects),1052 (Landscape Architects) and 1053 (Interior Designers).

2-6-42.7.4 Components shall report to the VCCA quarterly on a standard format developed by the Office of Contract Administration the scope of services, the current contract amounts and the duration of services for all active Component projects (including indefinite quantity contracts).

2-6-4.12.7.4.1 An active project is defined as any project where the Component has submitted a *Statement of Initiation* and received approval from the VCCA, but has not closed-out the design or construction contracts, plus all current indefinite quantity design, construction or consulting contracts

2-6-52.7.5 Components shall not fund separate smaller projects in stages or increments in order to avoid the delegated authority limits.

2-72.8 The President is authorized to approve all indefinite quantity services contracts, including but not limited to job order construction contracts, contracts for architectural and/or engineering services, and any other consulting contracts less than \$5001,000,000 (\$12,000,000 in the case of job order construction contracts), including any renewals.

2-82.9 The President is authorized to approve all non-unilateral individual construction contract changes valued at less than \$25,000 (with appropriate documentation related to the requested change) and all cumulative contract changes up to 5% of the Total Project Cost for new projects and up to 8% for renovation projects.

2-92.10 The President is authorized to review and approve all Historically Underutilized Business (HUB) Good Faith Efforts, and Subcontracting Plans and. The President also approves all payments other than the first, second and final payment.

2-102.11 Unless specifically stated otherwise, and except as provided in Section 2.2.3, all other responsibility and authority for the delivery of capital projects has been delegated to the Component.

2-112.12 The President is authorized to further delegate in writing any of the authorities listed above as deemed reasonable and necessary. The President shall provide the VCCA with copies of any such written delegations of authority with respect to the delivery of capital projects.

Project Expenditures

2.122.13 Project approval in the Capital Improvement Program constitutes Board authority for the Chancellor to execute, and the Component to expend up to **4%** of the Total Project Cost (TPC) to select the project design professional, conduct surveying and investigation (including some demolition), pre-project planning and programming, Schematic Design and Design Development. Such expenditures shall not include ~~7~~ major demolition, procurement of equipment, Construction Documents, or other similar items.

2.12.12.13.1 If **4%** proves insufficient based on unique requirements of the project, the Chancellor may approve an exception to exceed that amount based on a specific request from the Component via the VCCA.

2.132.14 Project approval at Design Development (DD) constitutes Board authority for the Chancellor to execute, and the Component to expend up to **100%** of the Total Project Cost to complete Construction Documents and execute the Construction Phase, as well Board authority for the contract changes referred to in Section 2.8.

Contracts

2.142.15 The VCCA administers and negotiates programming, design and construction contracts; however, the Component administers all assignments under indefinite quantity programming contracts, other contracts and purchase orders, and leads the project, including conducting meetings, facilitating receipt and incorporation of user needs and requirements into the design documents, and reviewing and commenting on design submittals.

2.152.16 The VCCA acts as the Owner's Designated Representative (ODR) and the Component acts as the Owner's Designated Site Representative (ODSR), both as defined in the contract.

2.15.1- The ODR delegates authority to the ODSR to execute Substantial Completion Certificates.

Section 3: Project Approvals

3.1 — All System capital improvement projects require various approvals, as mandated by the Board, the Chancellor and the VCCA throughout the project delivery process. Securing each of these required approvals provides the President, the Chancellor and the Board:

3.23.1 A perspective on where the project stands within the delivery timeline;

3.1.1 Assurance that the Component is maintaining various levels of compliance during the project delivery process;

3.1.2 Assurance that the project design team is adhering to the approved project program and the architectural theme and vision established in each Component’s master plan.

3.2 The overall project delivery approval process is shown in *Figure 2*.

Comprehensive Facility Master Plan

3.3 The procedure for the preparation and approval of a *Comprehensive Facility Master Plan* is as follows. Refer to the eManual for additional guidance. Also note that the President establishes a Master Plan Committee pursuant to Chapter I, paragraph 6.57 of the *TSUS Rules and Regulations*.

3.3.1 The Component and VCCA procure master planning services.

3.3.2 The Component, VCCA and master planner schedule appropriate meetings.

3.3.3 The Component, VCCA and master planner schedule at least one interim briefing for the Planning and Construction Committee, prior to the meeting at which the Board will be asked to approve the Component’s Master Plan.

3.3.4 A draft of the proposed final Master Plan shall be submitted by the Component to the VCCA at least eight (8) weeks prior to the Board meeting for review and comment.

3.3.5 Upon approval from the VCCA, the Component shall submit thirteen (13) hard copies and an electronic copy of the final Master Plan to the VCCA at least four (4) weeks prior to the Board meeting.

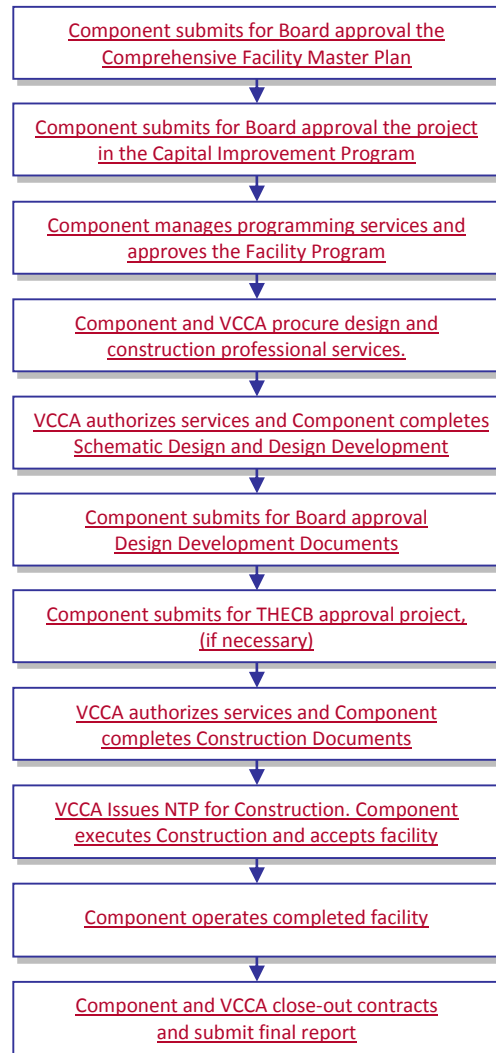


FIGURE 2

- 3.3.6 The master planner presents the proposed master plan to the Board, with support and assistance from the President and the VCCA.
- 3.3.7 Should the Component desire to update a current Master Plan, the Component shall notify the VCCA in writing of the proposed scope of the update and the proposed process and timeline for the preparation and delivery of the update. The VCCA shall determine whether the process outlined in sections 3.3.3 through 3.3.5 above shall apply to the update, based on the scope of the proposed update. In any event, the proposed update shall be presented to the Board as provided in paragraph 3.3.6.
- 3.3.8 The Component may, in consultation with the VCCA and separate and apart from the Comprehensive Facility Master Plan, develop master plans for specific parcels of land that are not a part of the Component's main campus (such as research parks), or for specific areas or facilities within its main campus (such as athletic complexes), where the development of a specific master plan would be beneficial to the Component. Any such master plan shall be presented to the VCCA for review and approval as provided in Paragraphs 3.3.4 and 3.3.5, and to the Board for approval as provided in Paragraph 3.3.6.

Capital ~~Improvement~~Improvements Program

- 3.4 The procedures for the preparation and approval of the Capital Improvement Program (CIP) are as follows. Refer to the eManual for additional guidance.
- 3.4.1 The VCCA begins the process on or before **February 1** of each year by sending out submission instructions to each Component describing schedule, process and forms required to gather all the information needed to update the CIP.
- 3.4.1.1 Submission instructions will include the *Project Planning Form* (PPF), which the Component is required to submit for each project (or aggregated group of projects) that it proposes to add to the CIP, and for each existing CIP project that it wishes to amend.
- 3.4.1.2 Notwithstanding the foregoing, each Component shall submit an updated PPF for all projects proposed to be funded in whole or in part through Tuition Revenue Bonds no later than January 10 of each year.
- 3.4.2 Components submit their completed PPF forms through e-Builder at least **eight (8) weeks** prior to the Board meeting for review and comment.
- 3.4.3 At the same time as the PPF forms are submitted, each Component shall submit a funding prioritization for each CIP project scheduled to be initiated during the first two fiscal years of the CIP, signed by the Component Provost and Chief Financial Officer.
- ~~3.4.3.~~3.4.4 The Vice Chancellor for Academic Affairs (VCAA) evaluates and reviews the proposed projects with a particular view to the project scope, budget and funding, and may consult with the Component concerning the need for a particular project. Further refinements of the project may be made as a result of this review process that focus on:
- Whether there is sufficient justification for the project;

- Whether the project is consistent with the mission and strategic plan of the Component;
- Whether the proposed projects are already included, have been omitted or removed in the CIP;
- Whether the project funding is adequate and achievable. In particular, the level of commitment of any proposed gift pledges on which the success of the project may depend.

~~3.4.4~~3.4.5 For each such project initially submitted, and based on the information provided in the PPF and the review process, the VCCA establishes the Total Project Cost (TPC) using the average cost figures from the Texas Higher Education Coordinating Board (if available), including any adjustments for escalation.

~~3.4.4.13~~3.4.5.1 This process ensures that the source of the estimate is known, but it does not preclude the Component from adjusting the TPC set forth in the CIP during the early phases of design or at Board Design Development approval.

~~3.4.5~~3.4.6 Once the submissions are reasonably complete, the draft CIP is forwarded to the VCAA and the Vice Chancellor for Finance (VCF) for review and comment.

~~3.4.5.13~~3.4.6.1 The VCAA reviews all proposed projects relative to the mission, vision and strategic plan of the Component.

~~3.4.5.23~~3.4.6.2 The VCF reviews all proposed projects to be funded in part, or in whole, with System Bond proceeds. This review focuses on the impact of the proposed debt issuance on the System's bond ratings, and on the Component and System's ability to service the debt.

3.4.7 In even numbered years the Vice Chancellor for Governmental Relations (VCGR) will meet with the VCCA, VCF and the VCAA to review the Tuition Revenue Bond Projects identified in the CIP for comment, appropriateness and approval based on the then current legislative priorities of the System.

~~3.4.6~~ 3.4.8 Upon approval by the VCAA, VCF, VCGR, and VCCA, a draft of the proposed CIP is sent to the Component for review and final comment.

~~3.4.6.1~~ 3.4.8.1 Components shall submit their comments to the VCCA within one (1) week after receipt of the draft.

3.5 Once the submissions are complete, the draft CIP is forwarded to the Chancellor for review, comment and approval.

3.6 The complete proposed CIP is then scheduled and presented by the VCCA to the Planning and Construction Committee and ultimately to the Board for adoption.

3.6.1 The Component's CIP is reviewed, revised and approved annually by the Board to achieve the Strategic Plans of the Board and to accommodate known funding limitations on a year-by-year basis.

3.6.2 After the completion of programming and/or Schematic Design, the Component shall update the PPF and amend the CIP at the next annual update to reflect the current scope, schedule and cost of the project.

3.6.3 The CIP is approved at the May Board meeting.

Capital Improvement Program - Interim Updates

3.7 The Component may request the addition of a new project to the CIP, or an amendment to an existing CIP project, at any regular or called meeting of the Board, ~~but~~. New projects may be added only if the new project is to be initiated in the current fiscal year.

3.7.1 The process for submission and approval is the same as the CIP submission process described above, except the submission deadlines shall follow the normal deadlines for agenda items for the quarterly Board meeting at which the projects are proposed for addition to the CIP.

Design Phases

3.8 Prior to completion of each major phase of design (Schematic Design, Design Development and Construction Documents), the Component shall confirm that all design requirements reconcile with the program and the Total Project Cost. The VCCA-~~AVCF~~ will provide overview of this process and comment and subsequently confirm each phase.

3.8.1 When the documents are acceptable, the VCCA will issue an authorization letter to the design professional to continue to the next phase of design or a notice to proceed for construction letter to the contractor to start pre-construction or construction activities.

3.8.2 Refer to *Section 6: Project Reporting & Design Oversight Reviews* below for additional VCCA requirements.

Design Development

3.9 The procedure for the preparation and approval of a project at Design Development (DD) is as follows. Refer to the eManual for additional guidance and documents.

3.9.1 The Component begins the process by submitting holding a meeting with the design professional at least sixty (60) days prior to the date of the appropriate quarterly Board meeting to discuss the required contents and format of the DD submittal package. The Component shall provide to the design professional two recent examples of approved submittal packages for its use in preparing the submittal.

~~3.9.13.9.2~~ The Component submits a complete DD approval package in electronic format to the VCCA at least four (4) weeksforty-five (45) days prior to the appropriate quarterly Board meeting for review and comment by the VCCA and the AVCF. The DD package shall conform to the requirements set forth in Attachment B of the AE Agreement, which is included in the forms library maintained by the VCCA. The required cost estimate may be omitted from this submittal if it is not yet available.

~~3.9.1.13.9.2.1~~ 3.9.2.1 Note: The DD approval package is in addition to the Design Development documents that are submitted to the VCCA for review and approval.

~~3.9.23.9.3~~ 3.9.3 The VCCA evaluates and reviews the project with a particular view to the scope and Total Project Cost (TPC), as well as the suitability of the presentation for Board of Regents review, and provides comments to the Component and the design professional.

~~3.9.2~~ 3.9.2 Once the submissions are reasonably complete, the draft DD submission is forwarded to the Vice Chancellor for Academic Affairs (VCAA) and the Vice Chancellor for Finance (VCF) for review and comment.

~~3.9.2~~ 3.9.2 At least **thirty-one (31) days** prior to the Board meeting, the Component shall submit the required cost estimate for review by the VCCA and inclusion in the DD submittal. Upon review by the ~~VCAA, VCF, and VCCA~~, final comments are submitted to the Component and design professional to generate a final submittal.

~~3.9.2.33.9.4~~ 3.9.4 The Component ~~submits the final~~ is responsible for the submission of thirteen (13) hard copies and one (1) electronic copy of the DD package in final form to the VCCA at least ~~sixteen (16)~~ twenty-four (24) days prior to the Board meeting.

3.9.5 Failure to meet any of the deadlines set forth in paragraphs 3.9.2, 3.9.4 and 3.9.5 shall result in the postponement of Board consideration of the project to the next quarterly Board meeting.

~~3.9.3~~ 3.9.7 Once the submissions are complete, the DD submission package is forwarded to the Chancellor for review.

~~3.9.4~~ 3.9.8 The complete proposed DD package is presented to the Planning and Construction Committee for recommendation to the Board and ultimately to the Board for approval.

~~3.9.4.1~~ 3.9.9 The VCCA presents the project with the Component’s representatives and, if desired, the design team available for questions.

~~3.9.5~~ 3.9.10 ~~If the project is funded, either in whole or part, by bond proceeds, required under applicable law,~~ the project must also be approved by The Texas Bond Review Board.

3.9.11 The deadlines set forth in the preceding paragraphs apply to projects submitted for approval at regular, quarterly meetings of the Board, as well as at special called Board meetings. Projects may be considered for approval at called Board meetings only with the Chancellor’s concurrence.

3.9.12 The overall Board of Regents Design Development Schedule is shown in *Figure 3*.

<u>BOR DD Submission Schedule to System Office Activity</u>	<u>Days Prior to Board Meeting</u>
<u>Component meets with A/E with 2 Examples of Previously Approved DD BOR Packages</u>	<u>60</u>

<u>Component Submits Completed Draft w/o Reconciled Cost Estimate</u>	<u>45</u>
<u>Component Submits Reconciled Cost Estimate</u>	<u>31</u>
<u>Hard Copies of DD-BOR in System Office</u>	<u>24</u>
<u>Board Book Released</u>	<u>14</u>
<u>Design and Construction Committee Meeting - earliest date</u>	<u>10</u>

FIGURE 3

3.9.13 If necessary to meet project schedules, upon written request of the ODSR, the VCCA may issue to the design professional an NTP to the Construction Documents phase of design prior to approval of the DD package by the Board, provided the VCCA and the ODSR have accepted the Design Development documents and approved the Construction Cost Limitation and schedule.

Texas Higher Education Coordinating Board

- 3.10 ~~After Following~~ approval by the Board, ~~and before any construction activity may commence,~~ all projects ~~with a Total Project Cost (TPC) of at least \$4,000,000 and greater, and certain other projects as specified in THECB administrative rules, must receive approval from~~ required to be submitted for review to the Texas Higher Education Coordinating Board (THECB) ~~based on several bases~~ shall follow the process outlined below. The Component has primary responsibility for insuring that the Component and the proposed project meet all requirements and standards as defined by THECB (e.g., space usage, space need, efficiency, and cost).
- 3.10.1 The Component prepares and submits the THECB's Board of Regents Certification form to the VCCA for the Chancellor's signature. The form is signed by the Chancellor upon Board of Regents approval of the project, and is delivered by the VCCA to the THECB.
- 3.10.2 The Component completes the electronic THECB project application with assistance from the design team and submits it online through the THECB's online Campus Planning System. The application must be prior to the deadline specified in rules adopted by the THECB.
- 3.10.3 The VCCA reviews and edits the application online and either returns it to the component for further editing or submits it to the THECB.
- 3.11. The Component shall submit an amended or updated project application as and when required by THECB rules.
- ~~3.10.4 If a presentation is required, the design team prepares the presentation materials and the VCCA and Component make the presentation to the Coordinating Board or its Strategic Planning Committee, as appropriate.~~
- ~~_____ THECB Commissioner, Committee or Board approves the project.~~
- ~~3.10 The Component shall submit an application for re-approval to the THECB if the TPC increases by more than 10%, if the funding sources for the project change, or if re-approval for any other reason is required by THECB rules.~~

Section 4: Pre-Project Planning & Programming

- 4.1 To start a project other than projects that have been delegated to the President's authority under section 2.6, the Component shall submit a *Statement of Project Initiation* to the VCCA, which includes a request by the Component to procure programming services.
- 4.2 All project programming shall be performed by the following consultant under an umbrella contract with the System Office. This contract expires on August 31, ~~2013~~2016. The Component shall consult with the VCCA after that date to ascertain the contracted consultant.

Facility Programming and Consulting, Ltd.

Attention: Doug Lowe

100 West Houston Street, Suite 1100

San Antonio, Texas 78205
Phone: (210) 228-9600
e-mail: douglowe@facilityprogramming.com

- 4.3 The VCCA authorizes the Component to negotiate scope and fee for programming with the System pre-approved programming firm or other firm as approved by the VCCA.
 - 4.3.1 To promote the programming effort and to avoid a conflict of interest, consultants who provide programming services will not be permitted to provide design services for the project. The VCCA has published a Policy Regarding Participation by Consultants and Subconsultants in Project Programming to address compliance with Section 2155.004 of the Texas Government Code. This policy is available from the VCCA's forms library.
- 4.4 The Component initiates, leads and administers the programming effort, including establishing well defined scope, schedule and budget information.
- 4.5 The Component shall submit the final draft copy of the program to the VCCA and the AVCF for review and comment.
- 4.6 When scope, schedule, cost and funding are all in agreement and approved by the President, the Component's President shall approve the Program and submit a copy to the VCCA.
- 4.7 If at any time during the programming process, the project scope (measured by gross square footage) or the estimated Total Project Cost increases by more than **10%** from the information provided in the approved Capital Improvement Program, the Component shall submit an amended *Project Planning Form* through e-Builder updating the project information for approval and adoption by the Board.

Section 5: Design & Construction Services Procurement

- 5.1 The System procures the services of design and construction professionals on behalf of the Component for all capital projects other than those delegated to the Component President pursuant to Section 2.6. The following section describes System's requirements for selecting a design professional and a contractor for the Competitive Sealed Proposals (CSP), Construction Manager at Risk (CM-R) and Design-Build (DB) project delivery methods. In general the process follows the following steps and is summarized in *Figure 34*:

(Continued on next page).

- Appoint the selection committee
- Issue and evaluate the Request for Qualifications (RFQ)
- Issue and evaluate the Request for Proposals (RFP)
- Conduct interviews
- Recommend appointment or award
- Negotiate and approve the agreement

5.2 The Component requests initiation of the contract procurement process by providing a point-of-contact, a project description and a schedule, with key milestone dates to the VCCA.

5.2.1 Per *Texas Government Code 2254.003*,— design professionals shall be selected on the basis of demonstrated competence and qualifications to perform the required services, and not on the basis of competitive bids or proposals.

5.2.2 Per *Texas Education Code 51.776 – 51.785*, Design-Builders are selected through a two-step process, contractors procured through Competitive Sealed Proposals are selected through a one-step process, and Construction Managers-at-Risk are selected through a one or two-step process, all of which include the submission of competitive bids or proposals.

5.2.3 Note: *Each Component shall make a good faith effort to distribute the work and avoid the perception of always selecting the same one or two design and/or construction professionals for all of its major projects.*

Appoint the Selection Committee

5.3 The Component appoints the selection committee members as approved by the President or his/her delegate.

5.3.1 Committee members shall represent a broad understanding of the project, including the Component’s needs, requirements, and the design and construction process.

5.3.2 The number of Component committee members is at the Component's discretion.

5.3.3 The VCCA, or his designee, may participate as a voting member in the selection process if deemed advisable.

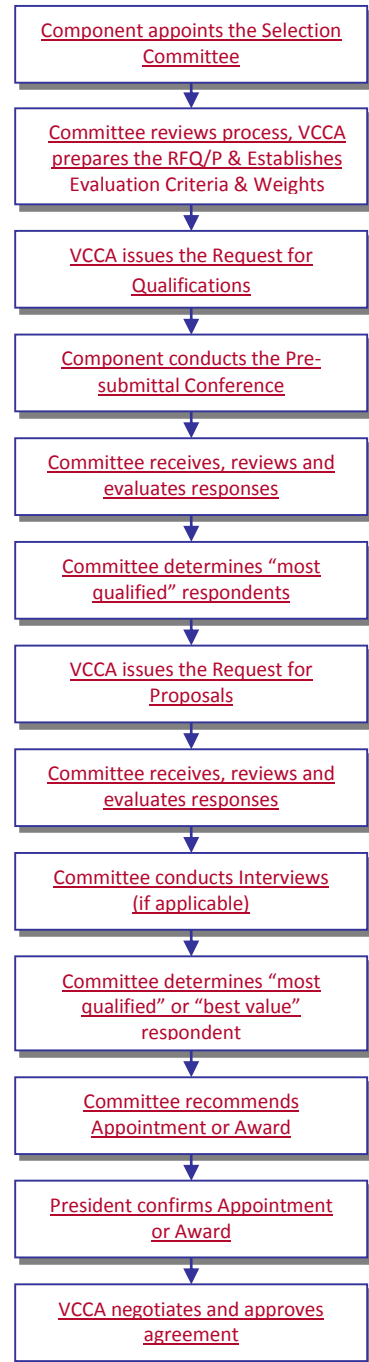


FIGURE 4

Issue the Request for Qualifications (Design Professional, CM-R and DB Selection Only)

- 5.4 The VCCA publishes the RFQ through the Electronic State Business Daily (ESBD) in accordance with Texas law.
 - 5.4.1 The Component convenes the appointed committee in a pre-RFQ preparation meeting to review the standard procedures and documents related to the RFQ, RFP, interviews, and overall selection process.
 - 5.4.2 Design professionals are selected in one (1) step process, plus an interview.
 - 5.4.3 Contractors selected through CM-R or DB-~~CSP~~ are selected in a two (2) step process, with an interview. However, under special circumstances CM-R can be selected through a one step process with an Interview.
 - 5.4.4 Contractors selected through CSP are selected in a one (1) step process, with no interview. For CSP, skip to Issue Request for Proposals below.
- 5.5 In the case of a design professional or Design-Build contractor, the Component shall provide any special performance criteria and associated weights to the VCCA prior to preparation of the RFQ.
- 5.6 The Component shall conduct any Pre-Submittal Conference at the time and location identified in the RFQ or RFQ/P.
 - 5.6.1 The Component forwards any questions submitted by potential respondents with applicable answers to the VCCA to determine the need to issue an addendum through the ESBD.

Accept and Evaluate RFQ Responses

- 5.7 The Component accepts all responses to the RFQ at the advertised location until the advertised deadline, and coordinates the Historically Underutilized Business (HUB) Subcontracting Plan due date with the appropriate Component HUB coordinator.
 - 5.7.1 The Component is responsible for preparing the evaluation team to understand properly and evaluate the responses.
 - 5.7.2 The Component's HUB office is responsible for the review and approval / disapproval of each response in such a way that it does not delay the process.
 - 5.7.3 Qualifications received after the deadline shall not be considered by the committee.
 - 5.7.4 The Component shall forward one (1) additional copy of all responses to the VCCA for record.
- 5.8 The committee evaluates the responses independently, within **fifteen (15) calendar days**, using the criteria and questions established in the RFQ.
- 5.9 After the committee completes their individual evaluations and rankings, the committee meets to discuss the qualifications, consolidate, and collect for record the rankings. The respondents

with the best average rankings shall be deemed the “most qualified” and eligible for the next step in the process.

- 5.9.1 No more than five (5) respondents may be selected to submit additional information and to interview for final selection.

Issue the Request for Proposals (CM-R, CSP and DB Selection Only)

- 5.10 The VCCA publishes the RFP through the Electronic State Business Daily (ESBD) in accordance with Texas law. Immediately thereafter, the Component completes any required reporting of the solicitation to the Legislative Budget Board (LBB).
- 5.11 The Component convenes the appointed committee in a pre-RFP preparation meeting to review the standard procedures and documents related to the RFP and overall selection process.
 - 5.11.1 Per the Texas Professional Licensing Act and the Texas Government Code, design professionals shall not submit proposals for services. For Design Professionals, skip to Interview below.
 - 5.11.2 All RFPs shall require the respondents to submit two (2) separately sealed responses, one containing the qualifications and the other containing the proposal.
- 5.12 **For CSP Only:** The Component shall conduct any Pre-Submittal Conference at the time and location identified in the advertised RFP.

Accept and Evaluate RFP Responses

- 5.13 The Component accepts all responses to the RFP at the advertised location until the advertised deadline, and coordinates the Historically Underutilized Business (HUB) Subcontracting Plan due date with the appropriate Component HUB coordinator.
 - 5.13.1 Proposals received after the deadline shall not be considered by the committee.
 - 5.13.2 All responses that have a proposal / bid shall be submitted as two packages: one for qualifications to be reviewed by the committee, and the other to be sent by the Component to VCCA for public opening at Office of Contract Administration in Austin or other location as determined by the VCCA at a separate day and time.
 - 5.13.3 The Component shall evaluate the qualifications upon receipt; however, the ~~VCAA~~-AVCF will add the financial terms in the proposals to the Component’s evaluation worksheet to finish the process as described below.
 - 5.13.3.1 The weight assigned to the financial terms of the proposal shall not be less than **40%** and not greater than **70%**.
 - 5.13.3.2 **For CM-R and DB:** Scoring of the proposals are based on the total of all preconstruction and construction phase fees and general conditions relative to the lowest total proposal amount submitted by the respondents. See below for an example.

5.13.3.3 **For CSP:** Scoring of the proposals are based on the total of the base bid plus any Component accepted alternates relative to the lowest total proposal amount submitted by the respondents. See below for an example.

5.13.3.4 For scoring purposes, the lowest proposal amount shall receive a "10," while each remaining proposal score is reduced proportionally as compared to the lowest proposal. An example is shown below:

Respondent	Proposal Amount	Difference Amount	% Reduction	Score
Lowest	\$1,000,000	\$0	0.0%	10.0
2nd Lowest	\$1,100,000	\$100,000	10%	9.0
3rd Lowest	\$1,250,000	\$250,000	25%	7.5
4th Lowest	\$2,000,000	\$1,000,000	100%	0.0
Highest	\$2,500,000	\$1,500,000	100%	-5.0

5.13.4 The Component shall forward one (1) additional copy of all responses to the VCCA for record.

5.14 Each committee member evaluates the responses independently, within **fifteen (15) calendar days**, using the criteria and questions established in the RFP.

5.15 After the committee completes their individual evaluations and rankings, the committee meets to discuss the proposals, consolidate, and collect for record the rankings. The respondents with the best average ranking shall be deemed the "best value" and eligible for the next step in the process, if applicable.

Interviews (Design, CM-R, and DB Selection Only)

5.16 Following a discussion of the RFQs and/or RFPs, a short-list of respondents to interview is determined by the selection committee and communicated to the VCCA. The VCCA notifies the short-listed respondents of the interview date, time and location.

5.16.1 The interview is to allow each short-listed respondent to answer questions developed by the committee in light of the responses provided in the RFQ and/or RFP. The interview is not a separate scoring criterion.

5.17 The Component chairs the committee through the interview process.

5.18 Once the final interview is complete, the committee confirms the evaluations and determines a final ranking. The scores assigned to each respondent in the evaluation of the RFQ and/or RFP shall not be considered at the interview stage, and all short-listed firms begin the interview process on an equal footing. The grading of each interviewee shall be based on a numbering system based on the number of shortlisted firms. Each interviewer shall rank the short-listed

firms 1, 2, 3 and so forth, where 1 is the best ranking. The respondent receiving the lowest total score is the top-ranked respondent.

Recommend Appointment or Award

- 5.19 The Component prepares and sends to the VCCA electronically a written request that the System award a contract to the top-ranked respondent. The request shall include a brief summary of the RFQ, RFP, and interview process, a brief description of the chronology of the selection process, and a final tally of the rankings.

Negotiate and Approve Agreement

- 5.20 The VCCA notifies the "most qualified" or "best value" respondent of its selection and proceeds to negotiate the contract, including scope of services and fee. As to design professionals, the Component's involvement with the negotiation process is limited to holding an initial meeting with the selected firm to discuss the desired scope and schedule of services so as to enable the design professional to submit a fee proposal to the VCCA to initiate the negotiation process. The Component shall transmit the minutes of this initial meeting to the VCCA.

5.20.1 After receipt and approval by the System Office of evidence of insurance, the VCCA will issue the *Authorization to Commence Services* or *Notice to Proceed*.

5.20.2 The unsuccessful respondents will then be notified of their non-selection by the VCCA.

5.20.3 Upon completion of negotiations, VCCA drafts and issues contract.

5.20.4 The VCCA notifies the Component of contract issuance, and ~~the Component~~ completes any required reporting of the contract to the LBB.

~~5.20.4~~5.20.5 The VCCA posts a notice on the ESBID referencing the same requisition number regarding the award of the contract.

- 5.21 The VCCA administers the contract. Any amendments to the contract will be negotiated by the VCCA in consultation with the Component.

5.21.1 The Component manages the design and construction professional per the terms of the agreement.

Section 6: Project Reporting & Design Oversight Reviews

Project Reporting

- 6.1 Each Component shall submit a quarterly report on the TSUS Summary Report Form to the VCCA for all active projects with a Total Project Cost greater than **\$1,000,000** as well as smaller projects that are separately identified in the CIP, which have been initiated, but which have not achieved Final Completion (as defined by the Uniform General Conditions).

- 6.1.1 The report shall include the status of a project in terms of budget, scope and schedule, and shall be reported on a periodic basis to the Chancellor and Board via the VCCA.
- 6.1.2 ~~Approval~~The report shall indicate approval status by the President, VCCA, Chancellor, Board and any state or federal agencies
- 6.1.3 Standard project report formats are developed and controlled by the VCCA and are available in the VCCA's forms library.
- 6.1.4 The Component shall advise the VCCA if, at any time during design or construction, a change in project scope (as defined by the approved program) and/or additional design or construction services is anticipated.
 - 6.1.4.1 Such notifications shall be made prior to the performance of additional services execution of the changes in scope.
 - 6.1.4.2 Any amendment to a contract will be negotiated by the VCCA in consultation with the Component.

Design Oversight Reviews

- 6.2 The Component is responsible for the overall project delivery process, while the VCCA is responsible for project oversight and contract administration.
- 6.3 For all phases of Schematic Design, Design Development and Construction Documents, the Component shall transmit all deliverables from the design professional (as defined in the agreement), including plans, specifications, estimates, schedules, constructability and basis of design documents to the ~~VCCA-AVCF~~ for review and comment.
 - 6.3.1 The Component shall consolidate all programmatic and design review comments from the Component and the System into one document and forward it to design professional for incorporation / response and ~~to the AVCF~~ to the AVCF/VCCA.
 - 6.3.2 Estimates shall be in Construction Specifications Institute (CSI) 35 Division format and delivered within **two (2) weeks** of each design submittal.
- 6.4 Prior to requesting approval to continue to the next phase of design, the Component shall ensure that the scope, quantities, unit costs and construction estimate are 100% reconciled and are within the parameters of the approved program and Total Project Cost.

Section 7: Contract Administration (Payments, Changes & GMPs)

Payments

- 7.1 The Component reviews and approves all pay application requests per the agreement, and shall include:

- Component's *Voucher*
- Application for payment with the *Schedule of Values*
- Construction cash flow projections
- Updated revised CPM schedule
- Updated Submittal Schedule
- *HSP Prime Contractor Progress Assessment Report*.

7.1.1 TheFor capital projects not delegated to the Component President pursuant to Section 2.6, the Component shall submit the first, second and last pay application request for each design and construction contract to the VCCA for review and approval.

7.1.1.1 For projects with multiple stages, the Component shall submit the first and second pay application request for each separate construction stage. If the second payment involves payment for preconstruction services only then the Component is required to submit the first application related to construction services.

7.1.2 After achieving Substantial Completion and as part of the final pay request, the Component shall provide the VCCA-AVCF with the respective *Substantial Completion and Final Payment Checklists*, including all required backup. Refer to the System eManual for copies of the checklists.

Contract Changes

7.2 All contract changes shall be administered per the delegated authority specified in *Section 2: Project Authority* above.

7.2.1 All changes in services or to the work shall be requested in writing in a format promulgated and approved by the VCCA.

7.2.2 Contract change requests to the VCCA shall include written justification from the Component and be approved before the service or the work is performed; however, in exigent circumstances, the Component may request authorization to perform the service and/or work prior to approval of the additional service or change order.

7.2.3 All construction change directives shall be independently priced by the design team or by qualified Component personnel prior to receipt of the Contractor's pricing. This independent estimate shall be attached to the proposed Change Order. Changes valued at less than \$5,000 are not subject to this requirement.

7.3 Contract changes, for both design and construction services, shall not be used to expand or reduce the approved scope of the project, without approval from the VCCA.

7.4 All change requests shall be negotiated within thirty days of issuance at a mutually agreed price.

Guaranteed Maximum Price Proposal

7.47.5 When a project is within the program, scope, budget and funding as approved by the VCCA, Chancellor and the Board, the Component may request the Construction Manager at Risk and

Design-Build contractor to submit a Guaranteed Maximum Price (GMP). Prior to submission of the GMP, the Component shall verify that:

~~7.4.17.5.1~~ 7.4.27.5.1 The GMP proposal is assembled in accordance with Attachment 1, Exhibit D and Exhibit F of the agreement.

~~7.4.27.5.2~~ 7.4.27.5.2 The General Conditions costs and Construction Phase Fees are specifically tailored to the project.

~~7.4.37.5.3~~ 7.4.37.5.3 The GMP proposal, together with documentation supporting the proposed items of cost in the GMP proposal and a current CPM schedule for the performance of construction phase services is submitted to the ~~VCCA-AVCF~~ at least ~~four (4) weeks~~thirty-one (31) days before the proposed commencement of construction phase services. The proposal shall be accompanied by an electronic excerpt from the executed contract showing the current CCL (including the full executed signatory page and Article 24 of the contract), and, if the project has received Board approval, an electronic copy of the Motion adopted by the Board of Regents showing the date of adoption.

~~7.4.47.5.4~~ 7.4.47.5.4 The strategic plans for bidding the work, including the types of packages, the scope of work included in each package and a construction schedule for the implementation of each package as developed between the Component, design professional and the construction professional.

~~7.4.4.17.5.4.1~~ 7.4.4.17.5.4.1 Approval of the bidding strategy by the AVCF is required for GMP approval.

~~7.4.4.27.5.4.2~~ 7.4.4.27.5.4.2 When the strategy is acceptable, the VCCA will issue one Notice to Proceed to commence the overall construction duration while written approval must be obtained from the ~~VCCA-AVCF~~ for each additional bidding package(s).

~~7.4.57.5.5~~ 7.4.57.5.5 All submissions shall be made so as to provide a reasonable amount of time for the ~~VCCA-AVCF~~ to review and comment, and for the Component to correct and resubmit.

~~7.4.67.5.6~~ 7.4.67.5.6 If the project is staged, the Component may submit multiple GMPs, which will include corresponding reviews and bid packages.

~~7.4.77.5.7~~ 7.4.77.5.7 Projects that include a GMP shall be subject to a financial and performance audit of the design and construction contracts. Selection of a project for audit will be made in accordance with criteria developed by the VCCA and approved by the System Internal Auditor. The expense of the audit will be borne by the Component, and all funds recovered shall be for the account of the Component.

~~7.4.7.17.5.7.1~~ 7.4.7.17.5.7.1 Audits will be conducted by third party auditors under contract to the System, under the auspices of the Internal Auditor and the review and oversight of VCCA.

~~7.4.7.27.5.7.2~~ 7.4.7.27.5.7.2 Audit findings shall be submitted to the VCCA and the System Internal Auditor for review, comment and distribution to the Component.

~~7.4.7.3~~7.5.7.3 Final audit reports are transmitted to external oversight ~~entites~~entities as required by law.

7.5.7.4 The Component shall determine in consultation with the VCCA what recovery, if any, to seek from the Architect-Engineer and/or the construction manager-at-risk or design-builder.

E-Builder

~~7.5.16~~ All capital projects except those generally delegated to the President's authority under Section 2.6 or specifically delegated to the President's authority in writing shall be managed using the System's program management software, e-Builder. This means that all project documentation from the placement of the project on the Capital Improvement Program through closeout will be accomplished in or otherwise uploaded to e-Builder pursuant to procedures implemented by the Vice Chancellor for Contract Administration and communicated to the Components.

~~7.5.27~~ For projects managed in e-Builder, all contractual documentation including but not limited to Notices to Proceed, Change Orders, pay application requests, and contract amendments (including GMP proposals agreed to by the System) shall be approved electronically by the person(s) authorized to do so in these Policies and Procedures, and evidence of such approval shall be legally sufficient for all purposes. The only exception to this policy is the initial contract between the Board of Regents and the Architect-Engineer, Contractor, Construction Manager-at-Risk, Design-Builder, programming consultant, third-party project manager and/or other professional, which shall be manually signed in hard copy by each party to the contract.

~~7.5.38~~ As a transitional matter, projects initiated prior to the implementation of e-Builder are not governed by this Section 7.5.

~~7.5.49~~ The Components are encouraged to utilize e-Builder for projects delegated to the President's authority but they are not required to do so.

Section 8: Close-Out

8.1 Both the Component and the VCCA approve the final inspections and close-out of design and construction contracts. The Component shall ~~...~~:

8.1.1 Notify the ~~VCCA~~AVCF when Substantial Completion and Final Completion inspections are scheduled, and when all design services required are complete.

8.1.2 Transmit final audit reports/inspections as required by law or required by authorities having jurisdiction.

- 8.1.3 Resolve all outstanding contract changes, with no outstanding service or work items remaining.
- 8.1.4 Provide the ~~VCCA-AVCF~~ with executed substantial completion ~~and~~ final completion checklists, final payment checklist and the close-out matrix for Operation & Maintenance documents.
- 8.1.5 Provide the VCCA with a Final Project Report to the Board per *Section 6: Project Reporting & Oversight Reviews* above.
- 8.1.6 Conduct a one year warranty and submit to the VCCA ~~the~~ warranty walk-through letter noting all deficiencies discovered and need of correction and the subsequent follow up warranty letter when all corrections have been made. ~~Refer to the System eManual for copies of the letters.~~^[d61]

Section 9: P3 Project Process

- 9.1 Applicability. This Section 9 sets forth the procedural and policy requirements for delivering a capital project using a public-private partnership (P3). For purposes of this Manual, a P3 project is a capital project delivered pursuant to a set of contractual arrangements that enable the System to partner with a private-sector entity or group of entities in such a way that the private-sector entity or entities not only construct, but also retain ownership of, the capital asset for some period of time following completion of the project. P3 projects delivering facilities that will not be occupied or used by a Component or the System following completion (such as ground leases in which the System's only interest is as a ground lessor) are not subject to the provisions of this Section 9, and are governed by applicable provisions of the System Rules and Regulations. Refer to the eManual for a fuller discussion of P3 project types.
- 9.2 Construction with other provisions of this Manual. To the extent that a provision in this Section 9 is in conflict with another provision in this Manual, this Section 9 shall apply in lieu of the other provision. In all other cases, the general provisions elsewhere in this Manual apply to P3 projects.
- 9.3 Approval authority not delegated. Despite any provision to the contrary in this Manual, the Board's authority to approve P3 projects is not delegated to the President or to the Chancellor, regardless of the anticipated total project cost of the P3 project.
- 9.4 A P3 project shall be approved as provided in Sections 2.1.3 and 2.2.6.
- 9.5 The P3 process is under development and will be included in the eManual.

END OF MANUAL

TSUS: Approval of Indefinite Quantity Project Management Contracts

Upon motion of Regent _____, seconded by Regent _____, it was ordered that:

The Chancellor be authorized to execute Indefinite Quantity Project Management contracts with Broaddus & Associates, Inc. of Austin, Texas, ECM International, Inc. of El Paso, Texas, and Skanska USA Building, Inc. of Houston, Texas, upon terms and conditions described in this Motion.

Explanation

At the request of Sam Houston State University, the System Office issued a Request for Qualifications for up to three firms to provide third-party project management services for projects at Sam Houston State University on an as needed, indefinite quantity basis. The need for these contracts has arisen due to the recent initiation of several large capital projects at the University and the determination that utilizing third-party project management is preferable to seeking to hire similarly qualified project management personnel as University employees.

After evaluation of the responses received, four (4) firms were short-listed and interviewed by the selection committee. Following the interviews, the committee recommended that TSUS enter into contracts with the following firms: Broaddus & Associates, ECM International, Inc., and Skanska USA Building.

Pursuant to the procurement documents, each contract has an initial two-year term with a third year at the option of TSUS. TSUS is not obligated to request any minimum amount of services under any of these contracts. The maximum amount of compensation that may be paid under each contract is Five Million Dollars (\$5,000,000), but the actual amount of compensation for any individual project management assignment will be negotiated by TSUS based on the level of effort required, the hourly rates of project management personnel assigned to the project, and the anticipated total project cost of each project. Since project management fees typically range from 2 to 3 percent of the total project cost, whether managed internally or by a third party, the maximum compensation under any individual contract needs to be sufficiently large to enable a firm to undertake multiple major capital projects during the potential three year term of each contract. The compensation potentially payable under these contracts is of sufficient magnitude to require Board of Regents approval. All fees payable under these contracts will be funded through the budgets for the capital projects which are managed by the third-party project management firms.

The form of the contracts attached to this Motion have been reviewed and approved by the Vice Chancellor for Contract Administration and are similar to other third-party indefinite quantity service contracts issued by the System.

**THE TEXAS STATE UNIVERSITY SYSTEM
INDEFINITE QUANTITY PROFESSIONAL SERVICES AGREEMENT**

**PROGRAM MANAGEMENT SERVICES FOR SAM HOUSTON STATE UNIVERSITY
MEMBER OF THE TEXAS STATE UNIVERSITY SYSTEM**

THIS AGREEMENT between the State of Texas, acting through the Board of Regents of the Texas State University System (Owner), and **ECM International, Inc.** (“PM”) is effective the ____ day of **November, 2014**.

WHEREAS, the Owner requires Program Management Services from time to time at the campus of **Sam Houston State University, Huntsville, Texas** with respect to various capital projects to be identified from time to time (individually referred to as a “Project” and collectively as “Projects”); and

WHEREAS, the PM is willing to perform such services on the terms and conditions set forth in this Agreement.

NOW THEREFORE, the Owner and PM for consideration as set forth, agree as follows:

ARTICLE 1 SCOPE OF SERVICES

The PM agrees to perform Basic Services and, if applicable, certain Additional Services for one or more Projects, both as specified more particularly in Articles 4 and 5, as directed by the Owner. The PM agrees to render these services to the Owner as and if needed, and Owner is not obligated to request any minimum amount of services hereunder. The term “Basic Services” will, for each Project, be on an as needed basis, and as more particularly described in the project assignment, but will normally include those services typically associated with third party project management services such as representation of the Owner and the Components in connection with pre-design/construction, design, bid and construction, as well as any other services necessary to insure the successful management of any Project. In addition to these Basic Services, Owner may include in the Project Assignment (hereinafter defined) certain Additional Services not included as Basic Services in the Project Assignment. During the course of a Project, the PM may be requested to perform further Additional Services as set forth in Article 5 upon payment of an additional fee. The PM will assure that the Project’s Architect/Engineer (A-E), for any assigned Project, will manage the A-E’s compliance with the Owner’s latest version of the Design Guidelines and Construction Standards applicable to the campus and/or location of any assigned Project (“Campus Standards”).

Without limiting the generality of the foregoing, the PM must be prepared to assign at least one person per Project Assignment, unless otherwise directed, who possesses significant project planning and management experience as the point of contact for the Owner for the duration of each Project during the term of this Agreement. PM shall not replace the in-house personnel named in its submitted Qualifications to lead an assigned Project or its phases identified in the scope of work without prior written approval from the Owner, which approval shall not be unreasonably withheld or conditioned.

The assigned individual(s) may perform a portion of Project-related management duties off-site during the construction duration of a project to the extent consistent with PM’s duties under this Agreement. The parties intend to utilize PM as a program manager hereunder, working with project management firms under contract to the Owner, and do not intend that PM will manage projects directly under this Agreement. The

provisions of this Agreement shall be construed in a manner consistent with this understanding. The project delivery method is expected to be Construction Manager-at-Risk for most Projects utilizing these services.

To commence any Project under this Agreement, the Owner's Designated Representative ("ODR") shall deliver to the PM a written, signed Project Assignment describing the general scope of the project, the services required, and an initial milestone schedule for performance of the services, and such other information as may be necessary or desirable for the initiation of the Project. PM shall provide a written cost matrix reflecting the roles of its personnel and the projected time required to perform project management services for the requested scope of work prior to commencement as further described in Article 8 of this Agreement. The Owner's agreements with the A-E and Contractor will be provided to the PM as exhibits to the Project Assignment if available, or subsequently as amendments to the Project Assignment. Exhibit "D" attached herein is the format to be used for assignments to be executed under this Agreement. Exhibit "E" attached herein is the format to be used for amendments to assignments to be used to execute amendments under this Agreement.

The Owner uses e-Builder project management software to support the Project. The PM is expected to utilize e-Builder and to manage other project team members associated with any assigned Project to utilize e-Builder through the final completion stage.

The PM is responsible for understanding and complying with the Texas State University System Policies and Procedures included herein as Exhibit "C". The PM is responsible for understanding A-E and Contractor agreements for each Project and shall manage the performance of services under these agreements on behalf of the Owner to assure complete compliance with the requirements of each agreement. The PM is responsible for managing Owner provided testing services through the life of the Project.

The PM is responsible for preparing a status of the Project report weekly during the life of the Project that identifies progress, problems and the general overall status of the Project in order to ensure the successful completion of the Project under the management of the PM is responsible for managing Owner provided testing services through the life of the Project. The base format of the Project Report will be provided to the PM and format improvements can be suggested by the PM, but must be approved by the ODR.

Articles 2 through 8 and Article 10 of this Agreement shall apply individually to each Project commenced under this Agreement.

ARTICLE 2 FEE FOR SERVICES; REIMBURSABLES; INSURANCE

2.1 With respect to each assigned project, the Owner agrees to pay the PM a fee ("Fee") for Basic Services, and any Additional Services set forth in Exhibit "A" or in the applicable Project Assignment, calculated on the basis of the fee schedule attached to this Agreement as Exhibit "B". The Fee will be based solely on the level of effort required of the PM and shall not change unless the Owner directs significant changes to the scope of the Project. Without limiting the generality of the foregoing statement, the Fee will not change due to increases or decreases in the Construction Cost Limitation of any Project assignment.

2.1.1 Any expenses that are reimbursable to the PM under this Agreement shall be billed to the Owner monthly as a direct expense, without any markup for overhead or profit. Owner and PM may agree to limit reimbursable expenses in the project assignment document.

2.1.1.1 Reimbursement for travel expenses is allowed only when pre-authorized in writing by the Owner, and shall be limited to the lesser of actual expenses incurred

and the reimbursement rates then allowable for travel by employees of the State of Texas.

- 2.1.2 The total of all Fees collected and expenses reimbursed under this Agreement by the PM with respect to all Project Assignments initiated during the primary term of this Agreement or any extension thereof shall not exceed \$5,000,000.00. PM agrees to notify Owner if, at any time, the amount of Fees collected or contracted for under this Agreement exceeds eighty percent (80%) of the maximum set forth in this paragraph. By amendment, the Owner reserves the right to extend these services for a maximum of 12 additional months, subject to the overall limitation on Fees and expenses set forth in this paragraph.
- 2.2 The PM Services set forth in this Agreement are those normal and customary services necessary to the for the oversight of the pre-design, pre-construction, design and construction administration and necessary post construction administration necessary to complete final payments to all parties of the assigned project and a one year warranty walk through of the Project at the eleven month anniversary of the substantial completion for the Project Assignment. The services required are further defined and detailed in, but not limited by, Article 4.
- 2.3 The times and conditions of payment for the Fee shall be as described in Article 8.
- 2.4 The PM agrees to make a Good Faith Effort to allocate 20% of any additional services required under this Agreement to qualified consultants, which are Historically Underutilized Businesses. If the PM is a Historically Underutilized Business, it shall perform at least 25% of the work. At the time of the construction contract award, the PM shall report and justify to the Owner the percentages of work performed by Historically Underutilized Businesses.
- 2.5 The PM shall carry such professional liability/errors and omissions insurance, covering the services provided under this Agreement and other coverages as further described, acceptable to and approved by the Owner. The fees for such insurance will be at the expense of the PM. The insurance policy shall remain in force for a period of one (1) year beyond the final completion date of the any Project Assignment. A Certificate of Insurance indicating the expiration date, and existence, of the PM's insurance coverages is required prior to commencement or continuation of performance of the services under this Agreement. PM shall deliver to Owner replacement certificates not less than thirty (30) days prior to the expiration of any such insurance. If, however, PM fails to pay any of the renewal premiums for the expiring policies, Owner shall have the right (but not the obligation) to make such payments and set off the amount thereof against the next payment coming due to PM under this Agreement.
- 2.5.1 A Certificate of Professional Liability Insurance is required with a minimum limit of \$1,000,000 each claim and \$1,000,000 aggregate. The Certificate shall indicate the expiration date of the PM's professional liability insurance. The Certificate is to identify the specific name of the project according to the terms of this Agreement and identify the Board of Regents of The Texas State University System as the Project's Owner.
- 2.5.2 On Site Insurance: For services performed on Owner's premises, the PM shall furnish to Owner Certificates of Insurance as set forth below prior to the commencement of any work hereunder and shall maintain such coverage during the full term of the Agreement.

	Statutory Limits
Worker's Compensation	
Employer's Liability	
Bodily Injury by Accident	\$1,000,000 each accident
Bodily Injury by Disease	\$1,000,000 policy limit
Bodily Injury by Disease	\$1,000,000 each employee
 Commercial General Liability	 \$1,000,000 each occurrence
	\$1,000,000 aggregate
 Business Auto Liability	
Combined Single Limit	\$1,000,000 each occurrence
 2.5.3	Notice of Cancellation: Required insurance shall not be cancelable without thirty-(30) days' prior written notice to Owner.
2.5.4	PM shall include the Owner as an additional insured on the General Liability policy, and the Worker's Compensation policy shall include a waiver of subrogation in favor of the Owner.

ARTICLE 3 DEFINITIONS

The terms, words and phrases used in the Contract Documents shall have the following meanings, as supplemented by any other definitions provided in the Uniform General and Supplemental Conditions.

- 3.1 “**Construction Cost Limitation**” (CCL) means the maximum monetary amount payable to the CM for all Construction Phase services, materials, labor and other work required for completion of the Work in accordance with the Contract Documents. The CCL includes, without limitation, the General Conditions Costs, the Cost of the Work, the Construction Phase Fee and the CM's Contingency. The CCL may be adjusted by the parties for changes in the scope of the Project before or after acceptance of the Guaranteed Maximum Price Proposal. The CCL does not include the CM's Pre-Construction Phase Fee, or Owner's Construction Contingency or Owner's Special Cash Allowance.
- 3.2 “**Construction Documents**” means, collectively, the Uniform General Conditions (“UGC”), Owner's Special Conditions and Specifications, the Drawings, Specifications, details, Change Orders and other documents prepared by the A/E, its consultants and by the Owner's other consultants that describe the scope and quality of the Project and the materials, supplies, equipment, systems and other elements required for construction of the Work that are acceptable to the Owner.
- 3.3 “**Construction Phase Services**” means those services rendered by a Contractor following the issuance of a Notice to Proceed by the Owner after arriving at a Guaranteed Maximum Price agreement (or after issuance of a Construction Contract in response to Competitive Sealed Proposals) through Final Completion of all Work.
- 3.4 “**Direct Construction Cost**” includes all actual costs of the Work, but not including Fees.
- 3.5 “**Estimated Construction Cost**” (ECC) means the amount calculated by the CM for the total cost of all elements of the Work based on the Contract Documents available at the time(s) that the ECC is prepared. The ECC shall be based on current market rates with reasonable allowance for overhead, profit and price escalation and shall include and consider, without limitation, all

alternates, allowances and contingencies, designed and specified by the A/E and the cost of labor and materials necessary for installation of Owner furnished equipment. The ECC shall not include CM's Pre-Construction Phase Fee, A/E Fees, cost of the land, rights-of-way, or any other costs that are the direct responsibility of the Owner. Various parts of these Contract Documents further define the required frequency, the level of detail and the format of the ECC submissions.

- 3.6 **“Guaranteed Maximum Price”** (GMP) is a negotiated sum that is the maximum cost to the Owner for construction of the Work in accordance with the Contract Documents. GMP must be approved by the ODSR and then subsequently the ODR, based on appropriate documentation noted in the agreement.
- 3.7 **“General Conditions Cost”** means costs incurred and minor work performed by the CM without the use of competitive bids/proposals, as allowed under Texas Education Code section 51.782(i), as amended.
- 3.8 **“Owner’s Designated Representative (ODR)”** means the person who is authorized to administer this Contract on behalf of the Owner, including final determination of fees and costs earned by the PM. The ODR represents Owner in any negotiations involving project scope, cost, time, and Contract terms and conditions where called for in the Contract or as otherwise necessary. In all matters PM shall act only upon instructions from ODR unless otherwise specifically notified to the contrary in writing.
- 3.9 **“Owner’s Designated Site Representative (ODSR)”** means the person who is authorized to take all actions and render all decisions necessary to facilitate a fast, efficient and safe project development on the construction site. The ODSR will serve as the single point of contact for the Contractor on matters concerning the site, use of the site, and integration of the construction activities into the Campus policies, procedures, rules and regulations. The ODSR will make materials and color selections on behalf of the Owner. The ODSR will also be authorized to inspect the Work for quality considerations and accept the Work from the Contractor, determining Substantial Completion and Final Completion. The ODSR will have the authority to reject defective or improper work and to direct its correction. The ODSR will have authority to approve all Applications for Payment except the first, second and the final. The ODSR will not have authority to negotiate project scope, cost and time or Contract terms and conditions issues with the Contractor, but will be authorized to direct the start of Work needed in response to emergency situations or critical conditions on the site. Notwithstanding the foregoing, the ODSR shall have authority to approve any single construction change order that does not exceed Twenty Five Thousand Dollars (\$25,000); except for self-performed work which requires ODR approval for any amount.
- 3.10 **“Pre-Construction Phase Services”** services provided by the Contractor to ensure that the Architectural Program, Schematic Design documents, Design Development documents and Construction Documents are in align with budgets and durations that are established and refined for the Project. Furthermore, these services include transparency in the subcontractor buyout process as well as optimizing schedules for construction durations with appropriate reporting of these services for the Owner’s consideration and approval.
- 3.11 **“Project Team”** means the Owner, Contractor, A-E and its consultants, any separate Contractors employed by Owner, and other consultants employed for the purpose of programming, design, and construction of the Project. The members of the Project Team will be designated by Owner and may be modified from time to time by Owner.

- 3.12 **“Work”** See the UGC Article 1.30. The Work also includes the Construction Phase Services, additional work required by any Change Orders, and any other work reasonably inferable from the Contract Documents. The term “reasonably inferable” includes the understanding of the parties that some minor details of the Work may not be shown on the Drawings or included in the Specifications, but they are included in the Work if they are usual and customary components of the Work for a project of the type depicted in the Contract Documents and they are needed to produce a complete and useable facility.
- 3.13 **“Electronic Copy”** means a copy of the document in the software medium in which the document was created for initial approval and subsequent required updates.
- 3.14 **“Contractor”** means the entity contracted by the Owner to provide services including but not limited to pre-construction services, construction services, warranty services and other necessary post-construction services.
- 3.15 **“A-E”** means the entity contracted by the Owner to provide design services that aligns with the GMP and construction schedule durations through thorough document development, bidding and/or buy out processes, construction administration and close-out and warranty processes.
- 3.16 **“Architectural Program”** means the program of requirements delivered for and approved by the Owner with respect to any Project.

ARTICLE 4 BASIC SERVICES OF THE PM

4.1 Schematic Design Phase

- 4.1.1 Based upon the Scope of Work provided by the Owner, the Construction Cost Limitation and a desired Project Schedule established by the Owner, the PM shall provide oversight of the A-E and the selected Contractor to prepare sufficient alternative approaches to design of the Project to satisfy Owner’s requirements and at completion of this phase, PM shall assure that the A-E submits Schematic Design documents in accordance with Owner’s Design Guidelines.
- 4.1.2 PM shall provide the required oversight and manage the A-E to provide all services necessary to perform the services of this Phase (preparation of Schematic Design documents) including, without limitation, unless otherwise approved by Owner, the preparation and prompt delivery of all items specified in Owner’s Design Guidelines.
- 4.1.3 PM shall work closely with Owner, the A-E and the selected Contractor in preparation of schematic drawings and shall assure that the drawings specifically conform to Owner’s requirements regarding aesthetic design issues.
- 4.1.4 PM shall manage and assure that the A-E adheres to the Architectural Program by providing clear and concise information showing such adherence within the documents submitted for approval, and shall advise the Owner, in writing, on any deviations from the Architectural Program at any time during the Schematic Design process.
- 4.1.5 The PM shall assure that the A-E prepares meeting minutes for any meetings attended that reflect discussions, outcomes and pending issues that require further action by the responsible parties. Meeting minutes shall be published to the ODR and all attendees within 7 days from the date of the meeting to allow for comments and/or corrections to the

minutes. PM shall track all action items and assure that open issues are closed in a timely manner.

- 4.1.6 PM shall manage the selected Contractor in the pre-construction processes of Schematic Design to assure that the Contractor attends all team meetings, documents and communicates relative findings that can assist the A-E in maintaining the ECC, Cost of the Work and the subsequent GMP and maintains or refines the construction durations.
- 4.1.7 PM shall monitor the A-E's milestone document delivery schedule for this phase and shall notify the ODR, in writing, of perceived time slippage in the schedule and to facilitate the A-E in the recovery of any slippage in this document development process.
- 4.1.8 The PM shall assure that the A-E furnishes and delivers to the Owner eight complete printed sets of Schematic Design documents. Eight sets shall be distributed as directed by the ODR.
- 4.1.9 The PM shall provide to the Owner a report within one week of the delivery of the Schematic Design documents that identifies constructability problems, lack of coordination between disciplines, lack of clarity in communicating the desired design intent and other necessary comments that will facilitate the completeness of the Schematic Design documents.
- 4.1.10 The PM shall manage the preparation of a detailed construction cost estimate from the Contractor and the A-E and ensure that such estimates are delivered within two weeks of delivery of the completed Schematic Design documents to confirm compliance with the Construction Cost Limitation and to ensure that the Project delivers the scope and quality desired by the Owner at the lowest cost. The PM shall consult with the Owner, through its designated representatives including the ODR, and recommend any Value Engineering adjustments to the Project that may be necessary to align the cost estimate and the project budget with the established Construction Cost Limitation. The PM shall ensure that the A-E incorporates any the revisions of Schematic Design documents as may be required to incorporate Value Engineering recommendations accepted by the Owner. The PM shall manage the reconciliation of cost estimates between the A-E and the Contractor including the necessary efforts to accurately and correctly price the project cost with detailed quantity take offs in alignment with current market values.
- 4.1.11 PM shall assure that the Contractor produces constructability reports on a monthly basis and distributes the reports to the A-E and the Owner.
- 4.1.12 PM shall engage the CM and the A-E to optimize the Project schedule and to reveal opportunities that will shorten durations of work activities as well as any phasing that optimizes the project's schedule.
- 4.1.13 Before proceeding into the Design Development Phase, the PM shall notify the ODR in writing that all requirements for written acceptance of the Schematic Design documents and approval of the A-E's preliminary construction cost estimate and schedule have been met. The PM shall assure that the A-E furnishes and delivers to the ODR two compact disks of the complete documents for this phase of the design in a format acceptable by the Owner for the Owner's record (i.e. all drawings, specifications, product data, cost estimates, etc.).

4.2 Design Development Phase

- 4.2.1 Based on the approved Schematic Design documents and any adjustments to the Architectural Program or Construction Cost Limitation authorized by the Owner, and upon receipt of a Notice to Proceed executed by the ODR, the PM shall manage the A-E in preparation, for approval by the Owner, of Design Development documents in accordance with Owner's written requirements. These documents will further define and finalize the size and character of the Project in accordance with Owner's Design Guidelines. The PM shall advise the Owner, in writing, on any deviations from the Architectural Program at any time during the Design Development process.
- 4.2.2 PM shall provide the required oversight and manage the A-E to provide all services necessary to perform the services of this Phase (preparation of Design Development documents) including, without limitation, unless otherwise approved by Owner, the preparation and prompt delivery of all items specified in Owner's Design Guidelines.
- 4.2.3 PM shall work closely with Owner, the A-E and the selected Contractor in preparation of design development drawings and shall assure that the drawings specifically conform to Owner's requirements regarding aesthetic design issues.
- 4.2.4 PM shall assure that the A-E adheres to the Architectural Program by providing clear and concise information showing such adherence within the documents submitted for approval, and advise the Owner, in writing, on any deviations to the Architectural Program at any time during the Design Development documents process.
- 4.2.5 The PM shall assure that the A-E prepares meeting minutes for any meetings attended that reflect discussions, outcomes and pending issues that require further action by the responsible parties. Meeting minutes shall be published to the ODR and all attendees within 7 days from the date of the meeting to allow for comments and/or corrections to the minutes. PM shall track all action items and assure that open issues are closed in a timely manner.
- 4.2.6 PM shall manage the selected Contractor in the pre-construction processes of Design Development process to assure that the Contractor attends all team meetings, documents and communicates relative findings that can assist the A-E in maintaining the ECC, Cost of the Work and the subsequent GMP and maintains or refines the construction durations.
- 4.2.7 PM shall monitor the A-E's milestone document delivery schedule for this phase and shall notify the ODR, in writing, of perceived time slippage in the schedule and facilitate the A-E's efforts in the recovery of any slippage in the document development process.
- 4.2.8 The PM shall assure that the A-E furnishes and delivers to the Owner eight complete printed sets of Design Development documents, to be distributed as directed by the ODR.
- 4.2.9 The PM shall provide to the Owner a report of the Design Development documents within one week of the delivery of the Design Development documents that identifies constructability problems, lack of coordination between disciplines, any lack of clarity in communicating the desired design intent and any other necessary comments that will facilitate the completeness of the Design Development documents.
- 4.2.10 The PM shall manage the preparation of a detailed construction cost estimate by the Contractor and the A-E and ensure that such estimates are delivered within two weeks of

delivery of the completed Design Development documents to confirm compliance with the Construction Cost Limitation and to ensure that the Project delivers the scope and quality desired by the Owner at the lowest cost. The PM shall consult with the Owner, and its designated representatives including the ODR, and recommend any Value Engineering adjustments to the Project that may be necessary to align the cost estimate and the project budget with the ECC and the established Construction Cost Limitation. The PM shall ensure that the A-E incorporates any the revisions of Design Development documents as may be required to incorporate Value Engineering recommendations accepted by the Owner. The PM shall manage the reconciliation of cost estimates between the A-E and the Contractor including the necessary efforts to accurately and correctly price the project cost detailed quantity take offs in align with current market values.

- 4.2.11 PM shall assure that the Contractor produces constructability reports on a monthly basis and distributes the report to the A-E and the Owner.
- 4.2.12 PM shall engage the Contractor and the A-E to optimize the project schedule and to reveal opportunities that will shorten durations of work activities as well as any phasing that optimizes the project's schedule.
- 4.2.13 Before proceeding into the Construction Document Phase, the PM shall notify the ODR in writing that all requirements for written acceptance of the Design Development documents and approval of the A-E's construction cost estimate and schedule have been met. The PM shall assure that the A-E furnishes and delivers to the ODR two compact disks of the complete documents for this phase of the design in a format acceptable by the Owner for the Owner's record (i.e. all drawings, specifications, product data, cost estimates, etc.).
- 4.2.14 The PM shall assure that the A-E prepares preliminary recommended furniture layouts for all spaces where it is deemed important to substantiate the fulfillment of program space requirements, or to coordinate with specific architectural, mechanical and electrical elements.
- 4.2.15 The PM shall review and comment on the draft Board of Regents Design Development Package prepared by the A-E to ensure that the package is prepared in accordance with the A-E's Agreement and the Owner's required timelines.

4.3 Construction Document Phase

- 4.3.1 Based on the approved Design Development documents and any further adjustments in the scope or quality of the Project or in the Project Construction Cost Limitation authorized by the Owner, and following approval of the Design Development submittal by the Board of Regents, the PM shall manage the A-E's preparation, for approval by the Owner, of Construction Documents consisting of Drawings and Specifications in accordance with Owner's written requirements setting forth in detail the requirements for construction of the Project, including, without limitation, Owner's Design Guidelines. The PM shall ensure that the plans, drawings and specifications for the entire Project are prepared so that the construction of the building and related facilities, including built-in permanent fixtures and equipment, will cost no more than the Construction Cost Limitation established by Owner. The PM is responsible for managing the design elements of the Project to stay within the Construction Cost Limitation based upon pricing cost information reconciled between the A-E's independent cost estimate and the Contractor's estimate.

- 4.3.2 PM shall monitor the A-E's milestone document delivery schedule for this phase and shall notify the ODR, in writing, of perceived time slippage in the schedule and work with the A-E to facilitate the recovery of any slippage in the document development process.
- 4.3.3 PM shall assure that the Construction Document drawings are produced on a Building Information Modeling (BIM) system as part of Basic Services. The Owner will define the BIM drawing requirements and the final media for the BIM data.
- 4.3.4 The PM shall advise the Owner on matters such as construction phasing and scheduling, bid or proposal alternates, special cash allowances, liquidated damages, the construction contract time period, and other construction issues appropriate for the Project.
- 4.3.5 The PM shall assist the Owner in connection with the Owner's responsibility and procedures for obtaining approval of all authorities having jurisdiction over the Project.
- 4.3.6 The PM shall assure that the A-E files and obtains approval of the project from the Texas Department of Licensing and Regulations, Architectural Barriers Division, in accordance with Chapter 469, Texas Government Code, as amended, and Title III of the Americans with Disability Act (ADA) of 1990, 42 U.S.C., Section 12181. The fees for these approvals will be paid by the Owner.
- 4.3.7 The PM shall assure that the A-E prepares meeting minutes for any meetings attended that reflect discussions, outcomes and pending issues that require further action by the responsible parties. Meeting minutes shall be published to the ODR and all attendees within 7 days from the date of the meeting to allow for comments and/or corrections to the minutes. PM shall track all action items and assure that open issues are closed in a timely manner.
- 4.3.8 The PM shall assure A-E provides services to ensure coordination and inclusion of sequence of operations for all operable systems in the Project.
- 4.3.9 The PM, at the 50%, 100% and Final completion stages of the Construction Documents, shall assure that the A-E furnishes and delivers to the ODR the eight complete printed copies of all plans, drawings and specifications of every character made or furnished in connection with the Work, which copies shall become the property of the Owner. The PM shall manage the A-E to incorporate into the plans, drawings and specifications such changes as are necessary to satisfy the Owner's written review comments, published meeting minutes, or constructability reports any of which may be appealed in writing for good cause. The PM shall assure the A-E furnishes and delivers to the Owner two compact disks of the complete documents for the 50%, 100% and Final completion stage of the Construction Document Phase in a format acceptable by the Owner for the Owner's record (i.e. all drawings, specifications, product data, cost estimates, etc.).
- 4.3.10 The PM shall assure that the A-E provides with each review submittal a Coordination Document set with colored overlays of required design disciplines or through BIM clash detection exercise. These shall include, but not be limit to, mechanical, electrical, plumbing, sprinkler, slab penetrations, telecommunications, and instructional technologies support. The PM shall assure that the A-E provides all designs and shall not use design performance documents, unless approved by the Owner.
- 4.3.11 The PM shall assure that the A-E, prior to releasing the Construction Documents "For Construction", provides a document that summarizes all design code requirements and

provides written certification that the Construction Documents meet all applicable design codes and the requirements of the local Campus Standards. The PM shall assure that the A-E certifies in writing that no asbestos or lead containing materials have been specified or approved by the A-E for installation into the Project.

- 4.3.12 The PM shall direct the preparation of a detailed construction cost estimate by the Contractor and the A-E to confirm compliance with the Construction Cost Limitation and provide it to the ODR within 14 days after submitting the completed documents at the stages of design listed in paragraph 4.3.9 (See Section 7.2 for estimate requirements). The PM shall advise the ODR of any adjustments to the project needed to align the cost estimate and the project budget with the established Construction Cost Limitation. The PM shall assure that the A-E revises the Construction Documents as may be required to ensure budget compliance.
- 4.3.13 Before proceeding into the Bidding and Proposal Phase, the PM shall obtain ODR's written acceptance of the Final Construction Documents and will reconfirm with the ODR the alignment of the construction cost estimate with the established Final Construction Cost Limitation. The PM shall assure that the A-E furnishes and delivers to the Owner two compact disks of the complete documents for the Final Bid Construction Documents in a format acceptable by the Owner for the Owner's record (i.e. all drawings, specifications, product data, cost estimates, etc.).

4.4 Bidding and Proposal Phase

- 4.4.1 The PM shall assist the Owner in obtaining and evaluating bids or proposals including preparation for and attendance at Pre-bid or Pre-proposal Conferences and HUB meetings. PM shall answer inquiries from bidders/proposers at Owner's request, and shall prepare and issue any necessary addenda to the bidding or proposal documents.
- 4.4.2 In the event the best value proposal received for the Project exceeds the Final Construction Cost Limitation established at the completion of the Construction Document Phase(s), the PM, if so directed by Owner, shall assure that the A-E revises the drawings and specifications as necessary to bring the cost of the Project within the Final Construction Cost Limitation. The Owner reserves the right to accept a proposal and award a construction contract that exceeds the Final Construction Cost Limitation, if such award is determined by ODR to be in the Owner's best interest. The PM's Fee will not be adjusted if the Owner decides to accept such a proposal. The PM shall assure that the A-E furnishes and delivers to the Owner two compact disks of the complete documents of the Final Revised Construction Documents in a format acceptable by the Owner for the Owner's record (i.e. all drawings, specifications, product data, cost estimates, etc.).
- 4.4.3 For buy out on bid packages, the PM shall manage the process in accordance with the Contractor's Agreement with the Owner. This includes meeting with the Contractor on bid strategies prior to public request for proposals, ensuring that the Owner's buy out forms are used correctly, the canvassing of the local and regional bidding community, HUB participation, review of scoping action by the Contractor after proposals are received, review and comment in writing to recommendations of subcontractors to bid packages and other actions necessary to assure that the buyout process is effectively and ethically performed by the Contractor.

4.5 Construction Phase

- 4.5.1 The Construction Phase shall commence with the award of the Contract for Construction and issuance of (i) a Notice to Commence On-Site Work or (ii) a Notice to Proceed with Construction Services and terminate sixty (60) days after Final Payment to the Contractor is made, or when all of Contractor's services have been satisfactorily performed, whichever occurs later.
- 4.5.2 PM shall assist the Owner in its administration of the Contract for Construction as set forth below.
- 4.5.2.1 The PM shall establish and maintain a numbering and tracking system for all Project records, including changes, requests for information, submittals, and supplementary instructions and shall provide updated records at each Owner's monthly meeting and when requested.
- 4.5.2.2 The PM shall chair all meetings scheduled by the Owner or PM and shall promptly provide summary notes to all parties. The PM shall attend Contractor's regularly scheduled planning meetings when requested and/or appropriate to the stage of the construction (at no additional cost to the Owner).
- 4.5.3 The PM shall review the Contractor's list of proposed subcontractors for the work, initial administrative submittals for Project Schedule, Schedule of Values, Submittal Schedule, and Equipment Matrix to establish appropriate bases for construction monitoring, payment processing, and system commissioning. The PM shall identify necessary revisions to the documents in writing to the Contractor and recommend acceptance of the documents by the Owner when appropriate. The PM shall review periodic updates of all schedules with Owner and Contractor to evaluate appropriateness. The PM shall assure that the Contractor satisfactorily completes 90% of all buy outs and submittal approvals within the first 25% of the Construction Phase unless a less prescriptive strategy is approved by the ODR.

At monthly intervals, the PM will review and validate the Contractor's Construction Schedule for appropriate logic and durations and to initiate any recovery action necessitated by slippage to the Project Schedule. The PM is responsible for having the Contractor correct any problems with the schedule until the schedule is acceptable to the PM.

- 4.5.4 The PM shall assure the Project Team prepares appropriate materials for and participate in a Pre-Construction Conference(s) at the site prior to commencement of construction by the Contractor.
- 4.5.5 The PM shall be a representative of the Owner during the Construction Phase, and shall advise and consult with the Owner. Instructions to the Contractor regarding changes to the Work shall be forwarded through the PM. The PM shall have authority to act on behalf of the Owner to the extent provided in the Contract Documents. Duties, responsibilities and limitations of authority of the PM shall not be restricted, modified or extended without written acceptance of the ODR.
- 4.5.6 The PM shall assure the A-E visits the site periodically (not less than monthly) during the entire construction period to observe the progress and quality of the Work and to determine in general if the Work is proceeding in accordance with the Contract Documents. The PM shall assure each of A-E's consultants shall visit the site periodically (not less than monthly) during construction activities related to the consultant's discipline to observe the progress and quality of the Work and to determine in general if the Work is proceeding in accordance

with the Contract Documents. PM shall assure the A-E and its consultants submit timely written reports of their site visits and meetings. The PM shall assure that site visits and inspections by the A-E or the A-E's consultants occur at such times permitted or required under the Owner's agreement with the A-E.

- 4.5.6.1 On the basis of the onsite observations, the PM shall keep the ODR and the A-E informed of the progress and quality of the Work, and shall endeavor to identify defects and deficiencies in the Work of the Contractor. PM shall notify ODR, A-E and the Contractor in writing of any portions of the work, which PM has observed as not being in conformity with the Construction Documents and facilitate in recommendations as to correction of the deficiencies or defects. PM shall make its site representative available and shall consult with ODR and the Contractor on the occasion of all circumstances arising during the course of construction, which would make such consultation in Owner's interests.
- 4.5.6.2 In addition to site visits for general inspection and observation, the PM shall assure the A-E and its consultants shall visit the site as appropriate for specific purposes related to certification of progress payments, pre-construction meetings, start-up or mock-up reviews for significant work activities and for formal inspections of the Work. The PM shall assure the A-E and its consultants provide written reports of all site visits to the OSDR and Contractor.
- 4.5.7 The PM shall not have control or charge of and shall not be responsible for construction means, methods, techniques, sequences or procedures, or for safety precautions and programs in connection with the Work, for the acts or omissions of the Contractor, Subcontractors or any other persons performing any of the Work, or for the failure of any of them to carry out the Work in accordance with the Contract Documents.
- 4.5.8 The PM at all times shall have access to the Work wherever it is in preparation or progress.
- 4.5.9 The PM shall determine, in consultation with the A-E and its consultants, the amounts owing to the Contractor based on observations of Work placed at the site and on evaluations of the Contractor's Application for Payment, and shall coordinate its review and evaluation with the ODR and the A-E. The PM shall certify Contractor's Application for Payment in an appropriate amount. Furthermore, the PM shall obtain the A-E's certification of the Contractor's Application for Payment in an appropriate amount.
- 4.5.10 The certification of a Contractor's Application for Payment shall constitute a representation by the PM to the Owner, based on the PM's observations at the site and on the data comprising the Contractor's Application for Payment, that the Work has progressed to the point indicated; that, to the best of the PM's knowledge, information and belief, the quality of the work is in accordance with the Contract Documents (subject to an evaluation of the Work for conformance with the Contract Documents upon Substantial Completion, to the results of any subsequent tests required by or performed under the Contract Documents, to minor deviations from the Contract Documents correctable prior to completion, and to any specific qualifications stated in the Contractor's Application for Payment); and that the Contractor is entitled to payment in the amount certified. However, the approval of a Contractor's Application for Payment shall not be a representation that the PM has: (1) made any examination to ascertain how and for what purpose the Contractor has used the monies paid on account of the Contract Sum; or (2) accepted the Contractor's responsibility for the quality or quantity of the Work. Review of bills of sale, subcontractor invoicing, quantity observations of implemented Work, review of stored materials and other actions necessary

for a complete understanding of the requested amount on each pay application shall be performed by the PM.

- 4.5.11 The A-E shall be the interpreter of the technical requirements of the Contract Documents and the judge, to the extent provided herein, of the performance of the work of the Contractor. The PM shall assure the A-E renders interpretations necessary for the proper execution or progress of the Work with reasonable promptness on written request of either the Owner or the Contractor, and the A-E shall render written recommendations within a reasonable time, on all claims, disputes and other matters in question between the Owner and the Contractor relating to the execution or progress of the Work or the interpretation of the Contract Documents.
- 4.5.12 PM shall assure interpretations and recommendations of the A-E shall be consistent with the intent of and reasonably inferable from the Contract Documents and shall be in written or graphic form.
- 4.5.13 The PM shall have the authority, with appropriate notification to the parties, to reject Work which does not conform to the Contract Documents. Whenever, in the PM's reasonable opinion, it is necessary or advisable for the implementation of the intent of the Contract Documents, the PM will have authority to require special inspection or testing of the Work in accordance with the provisions of the Contract Documents, whether or not such Work will then be fabricated, installed or completed. The PM shall review construction materials testing and any special testing required and shall provide recommendations for retesting, actions, or any appropriate corrective measures as may be necessary or appropriate based on the results of such tests.
- 4.5.14 The PM shall assure the A-E and its consultants shall review and approve or take other appropriate action upon the Contractor's submittals such as Shop Drawings, Product Data and Samples specifically required by the Construction Documents, but only for conformance with the design concept of the Work set forth in the Contract Documents, and shall respond to Contractor's inquiries and questions and provide supplemental information as appropriate. Action on submittals shall be taken with reasonable promptness so as to cause no delay to the Contractor's scheduled progress, but in any event no more than fourteen (14) business days after receipt. Review of such submittals is not conducted for the purpose of determining the accuracy and completeness of other details, such as dimensions and quantities, or for substantiating instructions for installation or performance of equipment or systems, all of which remain the responsibility of the Contractor.
- 4.5.15 PM shall assure that the A-E clarifies and interprets the intent and scope of the Construction Documents and, if necessary or appropriate, issues supplemental documents to amplify or explain portions of the Construction Documents.
- 4.5.16 PM shall review and verify with the A-E the Contractor's requests for change orders or claims for additional time or costs, and make recommendations to Owner as to such requests or claims.
- 4.5.17 The PM shall assure that the A-E prepare Change Orders for the Owner's approval and execution in accordance with the Contract Documents, and shall have authority to order minor changes in the Work not involving an adjustment in the Contract Sum or an extension of the Contract Time, which are not inconsistent with the intent of the Contract Documents. In conjunction with each Change, the PM shall assure that the A-E prepares an independent cost and time estimate for comparison with the Contractor's proposal and recommend to the

Owner whether the Contractor's proposal is acceptable. R. S. Means Cost Resources shall be the basis for all such Change Order estimates.

- 4.5.18 PM shall assure that the A-E prepares revised Contract Drawings, where appropriate, to illustrate and document the work required by approved Change Orders. All proposed changes to drawings plans and specifications, regardless of how initiated, shall be defined in the document depicting them as to scope of work added, removed, or changed. The original copies of the Construction Documents maybe revised to show such changes, provided that all such revisions shall be separately recorded on the media acceptable to Owner, including, without limitation, CADD. Such revisions shall be clearly indicated and a current revision date shall be included on the reproducible copy. Changes to the specifications shall be made by consecutively numbered and dated addenda. All changes to design documents or specifications will be identified with date of change, revision number and other customary identification references. Areas changed on drawings will be "clouded" to show each change. Clouds designating previous changes will be removed so that only the most recent changes will be clouded.
- 4.5.19 PM will attend and shall assure that the A-E and its consultants participate in concealed space observations, systems start-up observations, systems integration/operational demonstrations, Substantial Completion inspections, and Final Completion inspections. In association with each observation, the PM shall assure that the A-E and its consultants shall prepare a list of items which A-E and its consultants have observed as deficiencies in the Work, requiring remedial work or replacement, assemble and distribute the official list of deficiencies (Punch list) to all affected parties, and thereafter review the corrected and/or replaced work and assist in verification of correction of all Punch list items.
- 4.5.20 PM shall review, for conformance with the Contract Documents, Contractor's submission of guarantees and warranties, and advise the Owner and the A-E, in writing, when such items are not in compliance with the Contract Documents.
- 4.5.21 The PM and its consultants shall assist the Owner in checking the Contractor's as-built drawings and specifications during the course of the Work in association with certifying progress payments. Failure to review and advise on the Contractor's progress and update the as-built drawings in a timely manner will affect the progressing of the monthly payment to the PM for the Construction Phase (Article 8). The PM shall review as-built documents for completeness and compliance with Contract requirements at Substantial Completion and at Final Completion of the Project. As a part of the Record Drawing and Closeout Documents Submittal phase of this Agreement the PM shall assure that the A-E and the Contractor enter all the Contractor's records of changes onto the original Construction Documents and shall furnish and deliver to the Owner two compact disks of the complete documents in a format acceptable by the Owner for the Owner's record.
- 4.5.22 PM shall receive and review Contractor's submission of record drawings, operating and maintenance instructions, and all manuals, brochures, drawings, and other closeout documentation furnished by the Contractor, shall require necessary revisions to same, and when acceptable under the terms of the Contract between Owner and Contractor, shall forward to ODR. The PM shall certify final payment to the Contractor when the PM believes the requirements of the Contract between Owner and Contractor have been met.
- 4.5.23 PM shall monitor the Contractor's schedule for the construction phase work and assist the Owner in reviewing all relevant activities and advise the ODR of the Contractor's

scheduled progress and facilitate with the Contractor any recovery actions to maintain the Project's schedule.

- 4.5.24 PM shall assure the A-E prepares a complete list of project close-out and Owner-training requirements contained in the Construction Documents (CDs) and will display them in matrix form in accordance with the A-E's agreement.
- 4.5.25 The PM shall certify final payment to the A-E when the PM believes the requirements of the Contract between Owner and A-E have been met.
- 4.5.26 The PM shall be available after final payment to advise the Owner regarding Warranty items and to inspect Warranty work during the Warranty period. PM shall participate in the Project's one-year warranty review at the eleven month anniversary of substantial completion date.

ARTICLE 5 ADDITIONAL SERVICES

- 5.1 Services may be required of the PM which are not included as part of the services described in Articles 1 and 4 or the Additional Services described in Exhibit A. If such services are required, they will be authorized in writing to be performed by the PM and paid for by the Owner as hereinafter provided. If authorized in writing to be performed by the PM, such services will be subject to this Article 5.
- 5.2 Any Additional Service shall be agreed to and authorized in writing by the ODR before the work is performed. Fees for additional services performed by the PM's staff shall be proposed to the ODR showing a detailed documented required level of effort and using the hourly rate schedule included as Exhibit B. Consultant services for additional services, provided under subcontract to the PM, shall be compensated at actual cost plus ten percent (10%). Reimbursable expenses for both the PM and its consultants shall be compensated at direct cost (with no markup). The Owner may decide to reach a Lump Sum agreement with the PM prior to authorizing Additional Services change order effort or may direct the effort to be performed on a Time and Expenses basis.

ARTICLE 6 THE OWNER'S RESPONSIBILITIES

- 6.1 The Owner shall provide general requirements and description for the Project (budget limitations and required delivery schedules, etc.).
- 6.2 The Owner, through its authorized representatives, shall examine and review documents submitted by the PM and shall render decisions and provide comments pertaining thereto promptly, to avoid unreasonable delay in the progress of the Project.
- 6.3 The Owner shall furnish the PM, when available and applicable, copies of Owner's Standard General Conditions, Contract Forms, Bond Forms, bidding information and instructions, minimum wage rates for inclusion in the specifications, and design and construction standards of the Owner.

ARTICLE 7 REVISIONS TO DRAWINGS AND SPECIFICATIONS

- 7.1 The PM shall manage the A-E in the preparation of the Construction Documents containing such provisions which will permit the Owner to obtain a competitive sealed proposal(s) or through subcontractor building/buyout packages within the Construction Cost Limitation. In the event the lowest acceptable proposal(s) or aggregate building/buy out packages exceeds the Construction Cost Limitation, and if the Owner does not see fit to allot additional funds, the PM shall assure the A-E revises the Construction Documents as may be necessary to bring the Construction Cost within the Construction Cost Limitation.
- 7.2 PM shall assure that an estimate of cost prepared in detail form shall be provided by the A-E and the Contractor at each stage of the design where a review submittal is required by this Agreement. All such estimates shall be prepared by a recognized independent third party estimating consultant retained and paid by the A-E out of its Basic Services Fee and the Contractor through its Pre-Construction Fee. The estimates shall be prepared in detail in the Construction Specifications Institute (CSI) format. If such estimates, at any required submittal stage, exceed the stated Construction Cost Limitation (including contingencies), the Owner may modify the Construction Cost Limitation, accept Value Engineering recommendations; revise the program, scope or quality, or any combination of these to bring the construction cost within the Construction Cost Limitation. The PM shall assure that the A-E then revises the Construction Documents (at no added charge to the Owner) as may be necessary. The Owner may decide to include alternate bid items in the Construction Documents as a means of adjusting the actual construction cost to the cost limit. The PM is responsible to the Owner that the A-E and Contractor reconcile these estimates in a format that can align with buyout packages and subsequent final schedule of values.
- 7.3 The PM shall develop and manage strategies that maximize the budget for the ECC and CCL for accuracy in market conditions and detailed quantities also incorporating add alternates, phasing or other practices that correctly identify the Project Scope to the stated ECC/CCL.

ARTICLE 8 DELIVERABLE SCHEDULE AND PAYMENTS TO THE PM

- 8.1 In terms of each Project Assignment, payments of fees shall be made monthly in proportion to the services performed. PM shall delineate the fee between the document preparation duration, construction phase duration, close-out and final payment to A-E and Contractor and post construction duration. PM shall provide services for a one year warranty walkthrough at the at the eleven month anniversary from the substantial completion date of the Project Assignment.
- 8.2 No deduction shall be made from the PM's compensation on account of penalty, liquidated damages or other sums withheld from payments to the Contractor.

ARTICLE 9 TERMINATION OF AGREEMENT

This Agreement shall have a two-year initial term, and shall terminate on the second anniversary of its effective date. The Owner shall have the option to renew the Agreement for an additional one-year term by written notice to the PM given at least thirty (30) days prior to the end of the initial term. The provisions of this Agreement governing the PM's responsibilities and the Owner's payment obligations shall survive the termination of this Agreement as necessary to enable to PM to finish its work on all Projects initiated prior to the termination of the Agreement. Notwithstanding the foregoing, this Agreement may be terminated earlier by either party upon ten days' written notice should the other party fail substantially to

perform in accordance with its terms and conditions. In the event of a termination that is not the fault of the PM, the PM shall be paid for services performed to the termination date. As a condition of the final payment involving such a termination, the PM shall promptly assemble in an orderly manner data accumulated and products of its services and deliver the same to the Owner.

ARTICLE 10 CLOSE-OUT DOCUMENTS

- 10.1 At the completion or termination of services for each Project, and as a part of the Basic Services of this Agreement, the PM shall assure that the A-E delivers to the ODR one clear set of reproducible drawings (not sepias), one printed copy of the specifications and one complete computer generated file on disk of all drawings and specifications, including all architectural clarifications and change order supplemental drawings. All such deliverables shall be marked as Record Documents.
- 10.2 The PM shall assure that the specifications will state that the Contractor will keep a current set of Project drawings and specifications on the job and will assure changes and/or as-built conditions are documented from the construction of the Project. These documents will be reviewed at least monthly by the PM and will inform the Owner, in writing, of their status immediately after this review.
- 10.3 For each completed Project, the PM is responsible for assisting in the preparation of the Final Report to the Texas State University System Board of Regents and for closing out the e-Builder project file.

ARTICLE 11 SUCCESSORS AND ASSIGNS

The PM binds itself, its partners, successors, assigns and legal representatives to the Owner, its successors, assigns and legal representatives in respect to all covenants of this Agreement. Neither the Owner nor the PM shall assign, sublet or transfer its interest in this Agreement without the written consent of the other. The PM's duties under this Agreement are non-delegable.

ARTICLE 12 DISPUTE RESOLUTION AND AUDITS

Dispute Resolution. In the event of any dispute arising under this Contract, the parties agree to follow the procedures set forth in Chapter 2260 of the Texas Government Code.

State Auditor's Office. PM understands that acceptance of state funds under this Contract acts as acceptance of the authority of the State Auditor's Office to conduct an audit or investigation in connection with those funds. PM further agrees to cooperate fully with the State Auditor's Office in the conduct of the audit or investigation, including providing all records requested. PM will ensure that this clause concerning the State Auditor's Office's authority to audit state funds and the requirement to cooperate fully with the State Auditor's Office is included in any subcontracts it awards. Additionally, the State Auditor's Office shall at any time have access to and the right to examine, audit, excerpt and transcribe any pertinent books, documents, working papers and records of PM relating to this Contract. PM further understands that Owner may conduct a financial and/or performance audit of this Contract and agrees to cooperate fully in such an audit to the same extent as an audit by the State Auditor's Office. The provisions of this Article 11 shall survive the termination of this Contract.

ARTICLE 13 REPRESENTATIVES, NOTICES AND MISCELLANEOUS PROVISIONS

- 13.1 The Owner's Designated Representative ("ODR") authorized to act in the Owner's behalf with respect to the Project is:

Peter E. Graves, Vice Chancellor for Contract Administration
Texas State University System
Thomas J. Rusk State Building
208 E. 10th Street, Suite 600
Austin, Texas 78701
Phone: (512) 463-1808 Fax: (512) 463-1816
Email: peter.graves@tsus.edu

- 13.2 The PM's designated representative authorized to act on the PM's behalf and bind the PM with respect to the Project is:

Melchor Herrera, President
ECM International, Inc.
404 Executive Center Blvd.
El Paso, Texas 79902
Phone: (915)-351-1900 Fax: (915)-351-1908
Email: mherrera@ecmintl.com

- 13.3 The Owner's Designated Site Representative (ODSR) will serve as the Component's point of contact for the PM. The ODSR will not have authority to negotiate cost, time or Contract terms or to issue Notices to Proceed, but will have the authority to make decisions on behalf of the Owner concerning coordination with the Component of the PM's work on the site including, traffic controls, site safety, scheduling of utility outages, materials and color selections, and all matters within the contract that do not involve changes to the scope, cost and/or time for completion. The ODSR or its designee will coordinate and conduct quality inspections of the construction work as it is installed and authorize payment.

- 13.4 Any notices required or permitted under this contract shall be effective if sent to the representatives designated pursuant to this Article 13. The parties may make reasonable changes in their designated representatives upon advance written notice to the other party.

- 13.5 In no event shall PM's liability arising out of or in connection with the performance or nonperformance of any or all Services or other obligations under this Agreement exceed the total amount paid to PM under this Agreement, whether based on delay, contract, tort, negligence, strict liability, warranty, indemnity, error and omissions or otherwise, provided that such limitation of liability shall not apply to PM's indemnity obligations for claims asserted against the Owner by third parties for personal injury or tangible property damage caused by the negligence of the PM or its employees, and provided further that such limitation shall not apply to amounts actually paid from the professional liability insurance PM is required to maintain pursuant to the terms of this Agreement.

- 13.6 Neither Party shall be liable under or in connection with this Agreement for any consequential, special, incidental, indirect, punitive or exemplary damages, or damages arising from or in connection with loss of use, loss of revenue, loss of actual or anticipated profit, loss by reason of delay, increased cost of construction or cost of capital, whether based on delay, contract, tort, negligence, strict liability, warranty, indemnity, error and omission or otherwise, and each Party hereby releases the other from

Index to Exhibits:

- Exhibit A: Additional Services Included in Fee**
- Exhibit B: [ECM International, Inc.](#), Fee Schedule**
- Exhibit C: [TSUS Policies and Procedures](#) (referenced by hyperlink)**
- Exhibit D: Assignment Letter**
- Exhibit E: Amendment to the Assignment Letter**

EXHIBIT A

Additional Services Included in Fee

The following Additional Services are a part of this Contract, and the fee for such services is included in the Fee set forth in Paragraph 2.1 of the Contract:

None.

EXHIBIT B

**Fee Schedule
For**

ECM International, Inc.

Schedule

Hourly Rate

EXHIBIT C

**Texas State University System
Policies and Procedures**

Please visit the TSUS website to download a copy of the TSUS Policies and Procedures Manual at:

<http://www.tsus.edu/about/resources.html>

EXHIBIT D

ASSIGNMENT NO. [XX]
TO
INDEFINITE DELIVERY INDEFINITE QUANTITY (IDIQ) PROFESSIONAL SERVICES/PROJECT
MANAGEMENT / OWNER AGREEMENT

[NAME OF COMPONENT, LOCATION, TEXAS]

This Assignment No. [XX] to Owner/Professional Services/Project Management IDIQ Agreement is made effective [DATE OF THE EXECUTION OF THE ASSIGNMENT], by and between the State of Texas, acting through the Board of Regents of The Texas State University System, hereinafter called the Owner, and [NAME OF THE PM FIRM], hereinafter called the Project Manager (PM).

The Owner and the PM entered into an Owner/Project Management Agreement dated [DATE OF THE EXECUTION OF THE BASE AGREEMENT], (the "Agreement"), providing for the PM's rendering of professional services with respect to the campus of [NAME OF COMPONENT, LOCATION], Texas. In this Assignment No. [XX], the Owner and the PM have agreed to the following scope of the work to be performed by the PM under the Agreement:
[BRIEF DESCRIPTION OF THE WORK TO BE PERFORMED]

PM's services include the work as further described in Exhibit "A" attached hereto in the amount of [FULL DOLLAR AMOUNT OF THE ASSIGNMENT].

Except as amended by this assignment, all terms used in this Amendment that are not defined herein shall have the meanings ascribed to them in the Agreement.

IN WITNESS WHEREOF, the parties hereto have made and executed this Assignment No. [XX] effective the day and year first above written.

[NAME OF COMPONENT]

[NAME OF PM]

By: _____
[Name of Person of Delegated Authority]

By: _____
[Name of Person of Delegated Authority]

Printed Name

Printed Name

EXHIBIT E

AMENDMENT NO [XX] TO ASSIGNMENT NO. [XX]
 TO
 INDEFINITE DELIVERY INDEFINITE QUANTITY (IDIQ) PROFESSIONAL
 SERVICES/PROJECT MANAGEMENT / OWNER AGREEMENT
 [NAME OF COMPONENT, LOCATION, TEXAS]

This Amendment No. [XX] to Assignment No. [XX] to Owner/Professional Services/Project Management IDIQ Agreement is made effective [DATE OF THE EXECUTION OF THE AMENDMENT TO ASSIGNMENT], by and between the State of Texas, acting through the Board of Regents of The Texas State University System, hereinafter called the Owner, and [NAME OF THE PM FIRM], hereinafter called the Project Manager (PM).

The Owner and the PM entered into an Owner/Professional Services/Project Management Agreement dated [DATE OF THE EXECUTION OF THE BASE AGREEMENT], (the "Agreement"), providing for the PM's rendering of professional services with respect to the campus of [NAME OF COMPONENT, LOCATION], Texas. In this Amendment [XX] to Assignment No. [XX], the Owner and the PM have agreed to the following amended scope of the work to be performed by the PM under the Assignment and Agreement: [BRIEF DESCRIPTION OF THE WORK TO BE PERFORMED]

The amended PM services include the work as further described in Exhibit "A" attached hereto in the amount of [FULL DOLLAR AMOUNT OF THE AMENDMENT TO ASSIGNMENT].

Fee Summary:

Original PM Assignment Fee.....	\$xxxxxxxx.00
Amendment No. 1 (Date of Execution-Scope Description).....	\$xxxxxxxx.00
Amendment No. 2 (Date of Execution-Scope Description).....	\$xxxxxxxx.00
New Total PM Lump Sum Fee:	\$xxxxxxxx.00

Except as amended by this assignment, all terms used in this Amendment that are not defined herein shall have the meanings ascribed to them in the Agreement.

IN WITNESS WHEREOF, the parties hereto have made and executed this Amendment No. [XX] to Assignment No. [XX] effective the day and year first above written.

[NAME OF COMPONENT]

[NAME OF PM]

By: _____
 [Name of Person of Delegated Authority]

By: _____
 [Name of Person of Delegated Authority]

 Printed Name

 Printed Name

**THE TEXAS STATE UNIVERSITY SYSTEM
INDEFINITE QUANTITY PROFESSIONAL SERVICES AGREEMENT**

**PROGRAM MANAGEMENT SERVICES FOR SAM HOUSTON STATE UNIVERSITY
MEMBER OF THE TEXAS STATE UNIVERSITY SYSTEM**

THIS AGREEMENT between the State of Texas, acting through the Board of Regents of the Texas State University System (Owner), and **Broadus & Associates** (“PM”) is effective the ____ day of **November, 2014**.

WHEREAS, the Owner requires Program Management Services from time to time at the campus of **Sam Houston State University, Huntsville, Texas** with respect to various capital projects to be identified from time to time (individually referred to as a “Project” and collectively as “Projects”); and

WHEREAS, the PM is willing to perform such services on the terms and conditions set forth in this Agreement.

NOW THEREFORE, the Owner and PM for consideration as set forth, agree as follows:

ARTICLE 1 SCOPE OF SERVICES

The PM agrees to perform Basic Services and, if applicable, certain Additional Services for one or more Projects, both as specified more particularly in Articles 4 and 5, as directed by the Owner. The PM agrees to render these services to the Owner as and if needed, and Owner is not obligated to request any minimum amount of services hereunder. The term “Basic Services” will, for each Project, be on an as needed basis, and as more particularly described in the project assignment, but will normally include those services typically associated with third party project management services such as representation of the Owner and the Components in connection with pre-design/construction, design, bid and construction, as well as any other services necessary to insure the successful management of any Project. In addition to these Basic Services, Owner may include in the Project Assignment (hereinafter defined) certain Additional Services not included as Basic Services in the Project Assignment. During the course of a Project, the PM may be requested to perform further Additional Services as set forth in Article 5 upon payment of an additional fee. The PM will assure that the Project’s Architect/Engineer (A-E), for any assigned Project, will manage the A-E’s compliance with the Owner’s latest version of the Design Guidelines and Construction Standards applicable to the campus and/or location of any assigned Project (“Campus Standards”).

Without limiting the generality of the foregoing, the PM must be prepared to assign at least one person per Project Assignment, unless otherwise directed, who possesses significant project planning and management experience as the point of contact for the Owner for the duration of each Project during the term of this Agreement. PM shall not replace the in-house personnel named in its submitted Qualifications to lead an assigned Project or its phases identified in the scope of work without prior written approval from the Owner, which approval shall not be unreasonably withheld or conditioned.

The assigned individual(s) may perform a portion of Project-related management duties off-site during the construction duration of a project to the extent consistent with PM’s duties under this Agreement. The parties intend to utilize PM as a program manager hereunder, working with project management firms under contract to the Owner, and do not intend that PM will manage projects directly under this Agreement. The

provisions of this Agreement shall be construed in a manner consistent with this understanding. The project delivery method is expected to be Construction Manager-at-Risk for most Projects utilizing these services.

To commence any Project under this Agreement, the Owner's Designated Representative ("ODR") shall deliver to the PM a written, signed Project Assignment describing the general scope of the project, the services required, and an initial milestone schedule for performance of the services, and such other information as may be necessary or desirable for the initiation of the Project. PM shall provide a written cost matrix reflecting the roles of its personnel and the projected time required to perform project management services for the requested scope of work prior to commencement as further described in Article 8 of this Agreement. The Owner's agreements with the A-E and Contractor will be provided to the PM as exhibits to the Project Assignment if available, or subsequently as amendments to the Project Assignment. Exhibit "D" attached herein is the format to be used for assignments to be executed under this Agreement. Exhibit "E" attached herein is the format to be used for amendments to assignments to be used to execute amendments under this Agreement.

The Owner uses e-Builder project management software to support the Project. The PM is expected to utilize e-Builder and to manage other project team members associated with any assigned Project to utilize e-Builder through the final completion stage.

The PM is responsible for understanding and complying with the Texas State University System Policies and Procedures included herein as Exhibit "C". The PM is responsible for understanding A-E and Contractor agreements for each Project and shall manage the performance of services under these agreements on behalf of the Owner to assure complete compliance with the requirements of each agreement. The PM is responsible for managing Owner provided testing services through the life of the Project.

The PM is responsible for preparing a status of the Project report weekly during the life of the Project that identifies progress, problems and the general overall status of the Project in order to ensure the successful completion of the Project under the management of the PM is responsible for managing Owner provided testing services through the life of the Project. The base format of the Project Report will be provided to the PM and format improvements can be suggested by the PM, but must be approved by the ODR.

Articles 2 through 8 and Article 10 of this Agreement shall apply individually to each Project commenced under this Agreement.

ARTICLE 2 FEE FOR SERVICES; REIMBURSABLES; INSURANCE

2.1 With respect to each assigned project, the Owner agrees to pay the PM a fee ("Fee") for Basic Services, and any Additional Services set forth in Exhibit "A" or in the applicable Project Assignment, calculated on the basis of the fee schedule attached to this Agreement as Exhibit "B". The Fee will be based solely on the level of effort required of the PM and shall not change unless the Owner directs significant changes to the scope of the Project. Without limiting the generality of the foregoing statement, the Fee will not change due to increases or decreases in the Construction Cost Limitation of any Project assignment.

2.1.1 Any expenses that are reimbursable to the PM under this Agreement shall be billed to the Owner monthly as a direct expense, without any markup for overhead or profit. Owner and PM may agree to limit reimbursable expenses in the project assignment document.

2.1.1.1 Reimbursement for travel expenses is allowed only when pre-authorized in writing by the Owner, and shall be limited to the lesser of actual expenses incurred

and the reimbursement rates then allowable for travel by employees of the State of Texas.

- 2.1.2 The total of all Fees collected and expenses reimbursed under this Agreement by the PM with respect to all Project Assignments initiated during the primary term of this Agreement or any extension thereof shall not exceed \$5,000,000.00. PM agrees to notify Owner if, at any time, the amount of Fees collected or contracted for under this Agreement exceeds eighty percent (80%) of the maximum set forth in this paragraph. By amendment, the Owner reserves the right to extend these services for a maximum of 12 additional months, subject to the overall limitation on Fees and expenses set forth in this paragraph.
- 2.2 The PM Services set forth in this Agreement are those normal and customary services necessary to the for the oversight of the pre-design, pre-construction, design and construction administration and necessary post construction administration necessary to complete final payments to all parties of the assigned project and a one year warranty walk through of the Project at the eleven month anniversary of the substantial completion for the Project Assignment. The services required are further defined and detailed in, but not limited by, Article 4.
- 2.3 The times and conditions of payment for the Fee shall be as described in Article 8.
- 2.4 The PM agrees to make a Good Faith Effort to allocate 20% of any additional services required under this Agreement to qualified consultants, which are Historically Underutilized Businesses. If the PM is a Historically Underutilized Business, it shall perform at least 25% of the work. At the time of the construction contract award, the PM shall report and justify to the Owner the percentages of work performed by Historically Underutilized Businesses.
- 2.5 The PM shall carry such professional liability/errors and omissions insurance, covering the services provided under this Agreement and other coverages as further described, acceptable to and approved by the Owner. The fees for such insurance will be at the expense of the PM. The insurance policy shall remain in force for a period of one (1) year beyond the final completion date of the any Project Assignment. A Certificate of Insurance indicating the expiration date, and existence, of the PM's insurance coverages is required prior to commencement or continuation of performance of the services under this Agreement. PM shall deliver to Owner replacement certificates not less than thirty (30) days prior to the expiration of any such insurance. If, however, PM fails to pay any of the renewal premiums for the expiring policies, Owner shall have the right (but not the obligation) to make such payments and set off the amount thereof against the next payment coming due to PM under this Agreement.
- 2.5.1 A Certificate of Professional Liability Insurance is required with a minimum limit of \$1,000,000 each claim and \$1,000,000 aggregate. The Certificate shall indicate the expiration date of the PM's professional liability insurance. The Certificate is to identify the specific name of the project according to the terms of this Agreement and identify the Board of Regents of The Texas State University System as the Project's Owner.
- 2.5.2 On Site Insurance: For services performed on Owner's premises, the PM shall furnish to Owner Certificates of Insurance as set forth below prior to the commencement of any work hereunder and shall maintain such coverage during the full term of the Agreement.

	Statutory Limits
Worker's Compensation	
Employer's Liability	
Bodily Injury by Accident	\$1,000,000 each accident
Bodily Injury by Disease	\$1,000,000 policy limit
Bodily Injury by Disease	\$1,000,000 each employee
Commercial General Liability	\$1,000,000 each occurrence
	\$1,000,000 aggregate
Business Auto Liability	
Combined Single Limit	\$1,000,000 each occurrence
2.5.3	Notice of Cancellation: Required insurance shall not be cancelable without thirty-(30) days' prior written notice to Owner.
2.5.4	PM shall include the Owner as an additional insured on the General Liability policy, and the Worker's Compensation policy shall include a waiver of subrogation in favor of the Owner.

ARTICLE 3 DEFINITIONS

The terms, words and phrases used in the Contract Documents shall have the following meanings, as supplemented by any other definitions provided in the Uniform General and Supplemental Conditions.

- 3.1 **“Construction Cost Limitation”** (CCL) means the maximum monetary amount payable to the CM for all Construction Phase services, materials, labor and other work required for completion of the Work in accordance with the Contract Documents. The CCL includes, without limitation, the General Conditions Costs, the Cost of the Work, the Construction Phase Fee and the CM's Contingency. The CCL may be adjusted by the parties for changes in the scope of the Project before or after acceptance of the Guaranteed Maximum Price Proposal. The CCL does not include the CM's Pre-Construction Phase Fee, or Owner's Construction Contingency or Owner's Special Cash Allowance.
- 3.2 **“Construction Documents”** means, collectively, the Uniform General Conditions (“UGC”), Owner's Special Conditions and Specifications, the Drawings, Specifications, details, Change Orders and other documents prepared by the A/E, its consultants and by the Owner's other consultants that describe the scope and quality of the Project and the materials, supplies, equipment, systems and other elements required for construction of the Work that are acceptable to the Owner.
- 3.3 **“Construction Phase Services”** means those services rendered by a Contractor following the issuance of a Notice to Proceed by the Owner after arriving at a Guaranteed Maximum Price agreement (or after issuance of a Construction Contract in response to Competitive Sealed Proposals) through Final Completion of all Work.
- 3.4 **“Direct Construction Cost”** includes all actual costs of the Work, but not including Fees.
- 3.5 **“Estimated Construction Cost”** (ECC) means the amount calculated by the CM for the total cost of all elements of the Work based on the Contract Documents available at the time(s) that the ECC is prepared. The ECC shall be based on current market rates with reasonable allowance for overhead, profit and price escalation and shall include and consider, without limitation, all alternates, allowances and contingencies, designed and specified by the A/E and the cost of labor

and materials necessary for installation of Owner furnished equipment. The ECC shall not include CM's Pre-Construction Phase Fee, A/E Fees, cost of the land, rights-of-way, or any other costs that are the direct responsibility of the Owner. Various parts of these Contract Documents further define the required frequency, the level of detail and the format of the ECC submissions.

- 3.6 **“Guaranteed Maximum Price”** (GMP) is a negotiated sum that is the maximum cost to the Owner for construction of the Work in accordance with the Contract Documents. GMP must be approved by the ODSR and then subsequently the ODR, based on appropriate documentation noted in the agreement.
- 3.7 **“General Conditions Cost”** means costs incurred and minor work performed by the CM without the use of competitive bids/proposals, as allowed under Texas Education Code section 51.782(i), as amended.
- 3.8 **“Owner’s Designated Representative (ODR)”** means the person who is authorized to administer this Contract on behalf of the Owner, including final determination of fees and costs earned by the PM. The ODR represents Owner in any negotiations involving project scope, cost, time, and Contract terms and conditions where called for in the Contract or as otherwise necessary. In all matters PM shall act only upon instructions from ODR unless otherwise specifically notified to the contrary in writing.
- 3.9 **“Owner’s Designated Site Representative (ODSR)”** means the person who is authorized to take all actions and render all decisions necessary to facilitate a fast, efficient and safe project development on the construction site. The ODSR will serve as the single point of contact for the Contractor on matters concerning the site, use of the site, and integration of the construction activities into the Campus policies, procedures, rules and regulations. The ODSR will make materials and color selections on behalf of the Owner. The ODSR will also be authorized to inspect the Work for quality considerations and accept the Work from the Contractor, determining Substantial Completion and Final Completion. The ODSR will have the authority to reject defective or improper work and to direct its correction. The ODSR will have authority to approve all Applications for Payment except the first, second and the final. The ODSR will not have authority to negotiate project scope, cost and time or Contract terms and conditions issues with the Contractor, but will be authorized to direct the start of Work needed in response to emergency situations or critical conditions on the site. Notwithstanding the foregoing, the ODSR shall have authority to approve any single construction change order that does not exceed Twenty Five Thousand Dollars (\$25,000); except for self-performed work which requires ODR approval for any amount.
- 3.10 **“Pre-Construction Phase Services”** services provided by the Contractor to ensure that the Architectural Program, Schematic Design documents, Design Development documents and Construction Documents are in align with budgets and durations that are established and refined for the Project. Furthermore, these services include transparency in the subcontractor buyout process as well as optimizing schedules for construction durations with appropriate reporting of these services for the Owner’s consideration and approval.
- 3.11 **“Project Team”** means the Owner, Contractor, A-E and its consultants, any separate Contractors employed by Owner, and other consultants employed for the purpose of programming, design, and construction of the Project. The members of the Project Team will be designated by Owner and may be modified from time to time by Owner.
- 3.12 **“Work”** See the UGC Article 1.30. The Work also includes the Construction Phase Services, additional work required by any Change Orders, and any other work reasonably inferable from the

Contract Documents. The term “reasonably inferable” includes the understanding of the parties that some minor details of the Work may not be shown on the Drawings or included in the Specifications, but they are included in the Work if they are usual and customary components of the Work for a project of the type depicted in the Contract Documents and they are needed to produce a complete and useable facility.

- 3.13 “**Electronic Copy**” means a copy of the document in the software medium in which the document was created for initial approval and subsequent required updates.
- 3.14 “**Contractor**” means the entity contracted by the Owner to provide services including but not limited to pre-construction services, construction services, warranty services and other necessary post-construction services.
- 3.15 “**A-E**” means the entity contracted by the Owner to provide design services that aligns with the GMP and construction schedule durations through thorough document development, bidding and/or buy out processes, construction administration and close-out and warranty processes.
- 3.16 “**Architectural Program**” means the program of requirements delivered for and approved by the Owner with respect to any Project.

ARTICLE 4 BASIC SERVICES OF THE PM

4.1 Schematic Design Phase

- 4.1.1 Based upon the Scope of Work provided by the Owner, the Construction Cost Limitation and a desired Project Schedule established by the Owner, the PM shall provide oversight of the A-E and the selected Contractor to prepare sufficient alternative approaches to design of the Project to satisfy Owner’s requirements and at completion of this phase, PM shall assure that the A-E submits Schematic Design documents in accordance with Owner’s Design Guidelines.
- 4.1.2 PM shall provide the required oversight and manage the A-E to provide all services necessary to perform the services of this Phase (preparation of Schematic Design documents) including, without limitation, unless otherwise approved by Owner, the preparation and prompt delivery of all items specified in Owner’s Design Guidelines.
- 4.1.3 PM shall work closely with Owner, the A-E and the selected Contractor in preparation of schematic drawings and shall assure that the drawings specifically conform to Owner’s requirements regarding aesthetic design issues.
- 4.1.4 PM shall manage and assure that the A-E adheres to the Architectural Program by providing clear and concise information showing such adherence within the documents submitted for approval, and shall advise the Owner, in writing, on any deviations from the Architectural Program at any time during the Schematic Design process.
- 4.1.5 The PM shall assure that the A-E prepares meeting minutes for any meetings attended that reflect discussions, outcomes and pending issues that require further action by the responsible parties. Meeting minutes shall be published to the ODR and all attendees within 7 days from the date of the meeting to allow for comments and/or corrections to the minutes. PM shall track all action items and assure that open issues are closed in a timely manner.

- 4.1.6 PM shall manage the selected Contractor in the pre-construction processes of Schematic Design to assure that the Contractor attends all team meetings, documents and communicates relative findings that can assist the A-E in maintaining the ECC, Cost of the Work and the subsequent GMP and maintains or refines the construction durations.
- 4.1.7 PM shall monitor the A-E's milestone document delivery schedule for this phase and shall notify the ODR, in writing, of perceived time slippage in the schedule and to facilitate the A-E in the recovery of any slippage in this document development process.
- 4.1.8 The PM shall assure that the A-E furnishes and delivers to the Owner eight complete printed sets of Schematic Design documents. Eight sets shall be distributed as directed by the ODR.
- 4.1.9 The PM shall provide to the Owner a report within one week of the delivery of the Schematic Design documents that identifies constructability problems, lack of coordination between disciplines, lack of clarity in communicating the desired design intent and other necessary comments that will facilitate the completeness of the Schematic Design documents.
- 4.1.10 The PM shall manage the preparation of a detailed construction cost estimate from the Contractor and the A-E and ensure that such estimates are delivered within two weeks of delivery of the completed Schematic Design documents to confirm compliance with the Construction Cost Limitation and to ensure that the Project delivers the scope and quality desired by the Owner at the lowest cost. The PM shall consult with the Owner, through its designated representatives including the ODR, and recommend any Value Engineering adjustments to the Project that may be necessary to align the cost estimate and the project budget with the established Construction Cost Limitation. The PM shall ensure that the A-E incorporates any the revisions of Schematic Design documents as may be required to incorporate Value Engineering recommendations accepted by the Owner. The PM shall manage the reconciliation of cost estimates between the A-E and the Contractor including the necessary efforts to accurately and correctly price the project cost with detailed quantity take offs in alignment with current market values.
- 4.1.11 PM shall assure that the Contractor produces constructability reports on a monthly basis and distributes the reports to the A-E and the Owner.
- 4.1.12 PM shall engage the CM and the A-E to optimize the Project schedule and to reveal opportunities that will shorten durations of work activities as well as any phasing that optimizes the project's schedule.
- 4.1.13 Before proceeding into the Design Development Phase, the PM shall notify the ODR in writing that all requirements for written acceptance of the Schematic Design documents and approval of the A-E's preliminary construction cost estimate and schedule have been met. The PM shall assure that the A-E furnishes and delivers to the ODR two compact disks of the complete documents for this phase of the design in a format acceptable by the Owner for the Owner's record (i.e. all drawings, specifications, product data, cost estimates, etc.).

4.2 Design Development Phase

- 4.2.1 Based on the approved Schematic Design documents and any adjustments to the Architectural Program or Construction Cost Limitation authorized by the Owner, and upon receipt of a Notice to Proceed executed by the ODR, the PM shall manage the A-E in preparation, for approval by the Owner, of Design Development documents in accordance with Owner's written requirements. These documents will further define and finalize the size and character of the Project in accordance with Owner's Design Guidelines. The PM shall advise the Owner, in writing, on any deviations from the Architectural Program at any time during the Design Development process.
- 4.2.2 PM shall provide the required oversight and manage the A-E to provide all services necessary to perform the services of this Phase (preparation of Design Development documents) including, without limitation, unless otherwise approved by Owner, the preparation and prompt delivery of all items specified in Owner's Design Guidelines.
- 4.2.3 PM shall work closely with Owner, the A-E and the selected Contractor in preparation of design development drawings and shall assure that the drawings specifically conform to Owner's requirements regarding aesthetic design issues.
- 4.2.4 PM shall assure that the A-E adheres to the Architectural Program by providing clear and concise information showing such adherence within the documents submitted for approval, and advise the Owner, in writing, on any deviations to the Architectural Program at any time during the Design Development documents process.
- 4.2.5 The PM shall assure that the A-E prepares meeting minutes for any meetings attended that reflect discussions, outcomes and pending issues that require further action by the responsible parties. Meeting minutes shall be published to the ODR and all attendees within 7 days from the date of the meeting to allow for comments and/or corrections to the minutes. PM shall track all action items and assure that open issues are closed in a timely manner.
- 4.2.6 PM shall manage the selected Contractor in the pre-construction processes of Design Development process to assure that the Contractor attends all team meetings, documents and communicates relative findings that can assist the A-E in maintaining the ECC, Cost of the Work and the subsequent GMP and maintains or refines the construction durations.
- 4.2.7 PM shall monitor the A-E's milestone document delivery schedule for this phase and shall notify the ODR, in writing, of perceived time slippage in the schedule and facilitate the A-E's efforts in the recovery of any slippage in the document development process.
- 4.2.8 The PM shall assure that the A-E furnishes and delivers to the Owner eight complete printed sets of Design Development documents, to be distributed as directed by the ODR.
- 4.2.9 The PM shall provide to the Owner a report of the Design Development documents within one week of the delivery of the Design Development documents that identifies constructability problems, lack of coordination between disciplines, any lack of clarity in communicating the desired design intent and any other necessary comments that will facilitate the completeness of the Design Development documents.
- 4.2.10 The PM shall manage the preparation of a detailed construction cost estimate by the Contractor and the A-E and ensure that such estimates are delivered within two weeks of

delivery of the completed Design Development documents to confirm compliance with the Construction Cost Limitation and to ensure that the Project delivers the scope and quality desired by the Owner at the lowest cost. The PM shall consult with the Owner, and its designated representatives including the ODR, and recommend any Value Engineering adjustments to the Project that may be necessary to align the cost estimate and the project budget with the ECC and the established Construction Cost Limitation. The PM shall ensure that the A-E incorporates any the revisions of Design Development documents as may be required to incorporate Value Engineering recommendations accepted by the Owner. The PM shall manage the reconciliation of cost estimates between the A-E and the Contractor including the necessary efforts to accurately and correctly price the project cost detailed quantity take offs in align with current market values.

- 4.2.11 PM shall assure that the Contractor produces constructability reports on a monthly basis and distributes the report to the A-E and the Owner.
- 4.2.12 PM shall engage the Contractor and the A-E to optimize the project schedule and to reveal opportunities that will shorten durations of work activities as well as any phasing that optimizes the project's schedule.
- 4.2.13 Before proceeding into the Construction Document Phase, the PM shall notify the ODR in writing that all requirements for written acceptance of the Design Development documents and approval of the A-E's construction cost estimate and schedule have been met. The PM shall assure that the A-E furnishes and delivers to the ODR two compact disks of the complete documents for this phase of the design in a format acceptable by the Owner for the Owner's record (i.e. all drawings, specifications, product data, cost estimates, etc.).
- 4.2.14 The PM shall assure that the A-E prepares preliminary recommended furniture layouts for all spaces where it is deemed important to substantiate the fulfillment of program space requirements, or to coordinate with specific architectural, mechanical and electrical elements.
- 4.2.15 The PM shall review and comment on the draft Board of Regents Design Development Package prepared by the A-E to ensure that the package is prepared in accordance with the A-E's Agreement and the Owner's required timelines.

4.3 Construction Document Phase

- 4.3.1 Based on the approved Design Development documents and any further adjustments in the scope or quality of the Project or in the Project Construction Cost Limitation authorized by the Owner, and following approval of the Design Development submittal by the Board of Regents, the PM shall manage the A-E's preparation, for approval by the Owner, of Construction Documents consisting of Drawings and Specifications in accordance with Owner's written requirements setting forth in detail the requirements for construction of the Project, including, without limitation, Owner's Design Guidelines. The PM shall ensure that the plans, drawings and specifications for the entire Project are prepared so that the construction of the building and related facilities, including built-in permanent fixtures and equipment, will cost no more than the Construction Cost Limitation established by Owner. The PM is responsible for managing the design elements of the Project to stay within the Construction Cost Limitation based upon pricing cost information reconciled between the A-E's independent cost estimate and the Contractor's estimate.

- 4.3.2 PM shall monitor the A-E's milestone document delivery schedule for this phase and shall notify the ODR, in writing, of perceived time slippage in the schedule and work with the A-E to facilitate the recovery of any slippage in the document development process.
- 4.3.3 PM shall assure that the Construction Document drawings are produced on a Building Information Modeling (BIM) system as part of Basic Services. The Owner will define the BIM drawing requirements and the final media for the BIM data.
- 4.3.4 The PM shall advise the Owner on matters such as construction phasing and scheduling, bid or proposal alternates, special cash allowances, liquidated damages, the construction contract time period, and other construction issues appropriate for the Project.
- 4.3.5 The PM shall assist the Owner in connection with the Owner's responsibility and procedures for obtaining approval of all authorities having jurisdiction over the Project.
- 4.3.6 The PM shall assure that the A-E files and obtains approval of the project from the Texas Department of Licensing and Regulations, Architectural Barriers Division, in accordance with Chapter 469, Texas Government Code, as amended, and Title III of the Americans with Disability Act (ADA) of 1990, 42 U.S.C., Section 12181. The fees for these approvals will be paid by the Owner.
- 4.3.7 The PM shall assure that the A-E prepares meeting minutes for any meetings attended that reflect discussions, outcomes and pending issues that require further action by the responsible parties. Meeting minutes shall be published to the ODR and all attendees within 7 days from the date of the meeting to allow for comments and/or corrections to the minutes. PM shall track all action items and assure that open issues are closed in a timely manner.
- 4.3.8 The PM shall assure A-E provides services to ensure coordination and inclusion of sequence of operations for all operable systems in the Project.
- 4.3.9 The PM, at the 50%, 100% and Final completion stages of the Construction Documents, shall assure that the A-E furnishes and delivers to the ODR the eight complete printed copies of all plans, drawings and specifications of every character made or furnished in connection with the Work, which copies shall become the property of the Owner. The PM shall manage the A-E to incorporate into the plans, drawings and specifications such changes as are necessary to satisfy the Owner's written review comments, published meeting minutes, or constructability reports any of which may be appealed in writing for good cause. The PM shall assure the A-E furnishes and delivers to the Owner two compact disks of the complete documents for the 50%, 100% and Final completion stage of the Construction Document Phase in a format acceptable by the Owner for the Owner's record (i.e. all drawings, specifications, product data, cost estimates, etc.).
- 4.3.10 The PM shall assure that the A-E provides with each review submittal a Coordination Document set with colored overlays of required design disciplines or through BIM clash detection exercise. These shall include, but not be limit to, mechanical, electrical, plumbing, sprinkler, slab penetrations, telecommunications, and instructional technologies support. The PM shall assure that the A-E provides all designs and shall not use design performance documents, unless approved by the Owner.
- 4.3.11 The PM shall assure that the A-E, prior to releasing the Construction Documents "For Construction", provides a document that summarizes all design code requirements and

provides written certification that the Construction Documents meet all applicable design codes and the requirements of the local Campus Standards. The PM shall assure that the A-E certifies in writing that no asbestos or lead containing materials have been specified or approved by the A-E for installation into the Project.

- 4.3.12 The PM shall direct the preparation of a detailed construction cost estimate by the Contractor and the A-E to confirm compliance with the Construction Cost Limitation and provide it to the ODR within 14 days after submitting the completed documents at the stages of design listed in paragraph 4.3.9 (See Section 7.2 for estimate requirements). The PM shall advise the ODR of any adjustments to the project needed to align the cost estimate and the project budget with the established Construction Cost Limitation. The PM shall assure that the A-E revises the Construction Documents as may be required to ensure budget compliance.
- 4.3.13 Before proceeding into the Bidding and Proposal Phase, the PM shall obtain ODR's written acceptance of the Final Construction Documents and will reconfirm with the ODR the alignment of the construction cost estimate with the established Final Construction Cost Limitation. The PM shall assure that the A-E furnishes and delivers to the Owner two compact disks of the complete documents for the Final Bid Construction Documents in a format acceptable by the Owner for the Owner's record (i.e. all drawings, specifications, product data, cost estimates, etc.).

4.4 Bidding and Proposal Phase

- 4.4.1 The PM shall assist the Owner in obtaining and evaluating bids or proposals including preparation for and attendance at Pre-bid or Pre-proposal Conferences and HUB meetings. PM shall answer inquiries from bidders/proposers at Owner's request, and shall prepare and issue any necessary addenda to the bidding or proposal documents.
- 4.4.2 In the event the best value proposal received for the Project exceeds the Final Construction Cost Limitation established at the completion of the Construction Document Phase(s), the PM, if so directed by Owner, shall assure that the A-E revises the drawings and specifications as necessary to bring the cost of the Project within the Final Construction Cost Limitation. The Owner reserves the right to accept a proposal and award a construction contract that exceeds the Final Construction Cost Limitation, if such award is determined by ODR to be in the Owner's best interest. The PM's Fee will not be adjusted if the Owner decides to accept such a proposal. The PM shall assure that the A-E furnishes and delivers to the Owner two compact disks of the complete documents of the Final Revised Construction Documents in a format acceptable by the Owner for the Owner's record (i.e. all drawings, specifications, product data, cost estimates, etc.).
- 4.4.3 For buy out on bid packages, the PM shall manage the process in accordance with the Contractor's Agreement with the Owner. This includes meeting with the Contractor on bid strategies prior to public request for proposals, ensuring that the Owner's buy out forms are used correctly, the canvassing of the local and regional bidding community, HUB participation, review of scoping action by the Contractor after proposals are received, review and comment in writing to recommendations of subcontractors to bid packages and other actions necessary to assure that the buyout process is effectively and ethically performed by the Contractor.

4.5 Construction Phase

- 4.5.1 The Construction Phase shall commence with the award of the Contract for Construction and issuance of (i) a Notice to Commence On-Site Work or (ii) a Notice to Proceed with Construction Services and terminate sixty (60) days after Final Payment to the Contractor is made, or when all of Contractor's services have been satisfactorily performed, whichever occurs later.
- 4.5.2 PM shall assist the Owner in its administration of the Contract for Construction as set forth below.
- 4.5.2.1 The PM shall establish and maintain a numbering and tracking system for all Project records, including changes, requests for information, submittals, and supplementary instructions and shall provide updated records at each Owner's monthly meeting and when requested.
- 4.5.2.2 The PM shall chair all meetings scheduled by the Owner or PM and shall promptly provide summary notes to all parties. The PM shall attend Contractor's regularly scheduled planning meetings when requested and/or appropriate to the stage of the construction (at no additional cost to the Owner).
- 4.5.3 The PM shall review the Contractor's list of proposed subcontractors for the work, initial administrative submittals for Project Schedule, Schedule of Values, Submittal Schedule, and Equipment Matrix to establish appropriate bases for construction monitoring, payment processing, and system commissioning. The PM shall identify necessary revisions to the documents in writing to the Contractor and recommend acceptance of the documents by the Owner when appropriate. The PM shall review periodic updates of all schedules with Owner and Contractor to evaluate appropriateness. The PM shall assure that the Contractor satisfactorily completes 90% of all buy outs and submittal approvals within the first 25% of the Construction Phase unless a less prescriptive strategy is approved by the ODR.
- At monthly intervals, the PM will review and validate the Contractor's Construction Schedule for appropriate logic and durations and to initiate any recovery action necessitated by slippage to the Project Schedule. The PM is responsible for having the Contractor correct any problems with the schedule until the schedule is acceptable to the PM.
- 4.5.4 The PM shall assure the Project Team prepares appropriate materials for and participate in a Pre-Construction Conference(s) at the site prior to commencement of construction by the Contractor.
- 4.5.5 The PM shall be a representative of the Owner during the Construction Phase, and shall advise and consult with the Owner. Instructions to the Contractor regarding changes to the Work shall be forwarded through the PM. The PM shall have authority to act on behalf of the Owner to the extent provided in the Contract Documents. Duties, responsibilities and limitations of authority of the PM shall not be restricted, modified or extended without written acceptance of the ODR.
- 4.5.6 The PM shall assure the A-E visits the site periodically (not less than monthly) during the entire construction period to observe the progress and quality of the Work and to determine in general if the Work is proceeding in accordance with the Contract Documents. The PM shall assure each of A-E's consultants shall visit the site periodically (not less than monthly) during construction activities related to the consultant's discipline to observe the progress and quality of the Work and to determine in general if the Work is proceeding in accordance

with the Contract Documents. PM shall assure the A-E and its consultants submit timely written reports of their site visits and meetings. The PM shall assure that site visits and inspections by the A-E or the A-E's consultants occur at such times permitted or required under the Owner's agreement with the A-E.

- 4.5.6.1 On the basis of the onsite observations, the PM shall keep the ODR and the A-E informed of the progress and quality of the Work, and shall endeavor to identify defects and deficiencies in the Work of the Contractor. PM shall notify ODR, A-E and the Contractor in writing of any portions of the work, which PM has observed as not being in conformity with the Construction Documents and facilitate in recommendations as to correction of the deficiencies or defects. PM shall make its site representative available and shall consult with ODR and the Contractor on the occasion of all circumstances arising during the course of construction, which would make such consultation in Owner's interests.
- 4.5.6.2 In addition to site visits for general inspection and observation, the PM shall assure the A-E and its consultants shall visit the site as appropriate for specific purposes related to certification of progress payments, pre-construction meetings, start-up or mock-up reviews for significant work activities and for formal inspections of the Work. The PM shall assure the A-E and its consultants provide written reports of all site visits to the OSDR and Contractor.
- 4.5.7 The PM shall not have control or charge of and shall not be responsible for construction means, methods, techniques, sequences or procedures, or for safety precautions and programs in connection with the Work, for the acts or omissions of the Contractor, Subcontractors or any other persons performing any of the Work, or for the failure of any of them to carry out the Work in accordance with the Contract Documents.
- 4.5.8 The PM at all times shall have access to the Work wherever it is in preparation or progress.
- 4.5.9 The PM shall determine, in consultation with the A-E and its consultants, the amounts owing to the Contractor based on observations of Work placed at the site and on evaluations of the Contractor's Application for Payment, and shall coordinate its review and evaluation with the ODR and the A-E. The PM shall certify Contractor's Application for Payment in an appropriate amount. Furthermore, the PM shall obtain the A-E's certification of the Contractor's Application for Payment in an appropriate amount.
- 4.5.10 The certification of a Contractor's Application for Payment shall constitute a representation by the PM to the Owner, based on the PM's observations at the site and on the data comprising the Contractor's Application for Payment, that the Work has progressed to the point indicated; that, to the best of the PM's knowledge, information and belief, the quality of the work is in accordance with the Contract Documents (subject to an evaluation of the Work for conformance with the Contract Documents upon Substantial Completion, to the results of any subsequent tests required by or performed under the Contract Documents, to minor deviations from the Contract Documents correctable prior to completion, and to any specific qualifications stated in the Contractor's Application for Payment); and that the Contractor is entitled to payment in the amount certified. However, the approval of a Contractor's Application for Payment shall not be a representation that the PM has: (1) made any examination to ascertain how and for what purpose the Contractor has used the monies paid on account of the Contract Sum; or (2) accepted the Contractor's responsibility for the quality or quantity of the Work. Review of bills of sale, subcontractor invoicing, quantity observations of implemented Work, review of stored materials and other actions necessary

for a complete understanding of the requested amount on each pay application shall be performed by the PM.

- 4.5.11 The A-E shall be the interpreter of the technical requirements of the Contract Documents and the judge, to the extent provided herein, of the performance of the work of the Contractor. The PM shall assure the A-E renders interpretations necessary for the proper execution or progress of the Work with reasonable promptness on written request of either the Owner or the Contractor, and the A-E shall render written recommendations within a reasonable time, on all claims, disputes and other matters in question between the Owner and the Contractor relating to the execution or progress of the Work or the interpretation of the Contract Documents.
- 4.5.12 PM shall assure interpretations and recommendations of the A-E shall be consistent with the intent of and reasonably inferable from the Contract Documents and shall be in written or graphic form.
- 4.5.13 The PM shall have the authority, with appropriate notification to the parties, to reject Work which does not conform to the Contract Documents. Whenever, in the PM's reasonable opinion, it is necessary or advisable for the implementation of the intent of the Contract Documents, the PM will have authority to require special inspection or testing of the Work in accordance with the provisions of the Contract Documents, whether or not such Work will then be fabricated, installed or completed. The PM shall review construction materials testing and any special testing required and shall provide recommendations for retesting, actions, or any appropriate corrective measures as may be necessary or appropriate based on the results of such tests.
- 4.5.14 The PM shall assure the A-E and its consultants shall review and approve or take other appropriate action upon the Contractor's submittals such as Shop Drawings, Product Data and Samples specifically required by the Construction Documents, but only for conformance with the design concept of the Work set forth in the Contract Documents, and shall respond to Contractor's inquiries and questions and provide supplemental information as appropriate. Action on submittals shall be taken with reasonable promptness so as to cause no delay to the Contractor's scheduled progress, but in any event no more than fourteen (14) business days after receipt. Review of such submittals is not conducted for the purpose of determining the accuracy and completeness of other details, such as dimensions and quantities, or for substantiating instructions for installation or performance of equipment or systems, all of which remain the responsibility of the Contractor.
- 4.5.15 PM shall assure that the A-E clarifies and interprets the intent and scope of the Construction Documents and, if necessary or appropriate, issues supplemental documents to amplify or explain portions of the Construction Documents.
- 4.5.16 PM shall review and verify with the A-E the Contractor's requests for change orders or claims for additional time or costs, and make recommendations to Owner as to such requests or claims.
- 4.5.17 The PM shall assure that the A-E prepare Change Orders for the Owner's approval and execution in accordance with the Contract Documents, and shall have authority to order minor changes in the Work not involving an adjustment in the Contract Sum or an extension of the Contract Time, which are not inconsistent with the intent of the Contract Documents. In conjunction with each Change, the PM shall assure that the A-E prepares an independent cost and time estimate for comparison with the Contractor's proposal and recommend to the

Owner whether the Contractor's proposal is acceptable. R. S. Means Cost Resources shall be the basis for all such Change Order estimates.

- 4.5.18 PM shall assure that the A-E prepares revised Contract Drawings, where appropriate, to illustrate and document the work required by approved Change Orders. All proposed changes to drawings plans and specifications, regardless of how initiated, shall be defined in the document depicting them as to scope of work added, removed, or changed. The original copies of the Construction Documents maybe revised to show such changes, provided that all such revisions shall be separately recorded on the media acceptable to Owner, including, without limitation, CADD. Such revisions shall be clearly indicated and a current revision date shall be included on the reproducible copy. Changes to the specifications shall be made by consecutively numbered and dated addenda. All changes to design documents or specifications will be identified with date of change, revision number and other customary identification references. Areas changed on drawings will be "clouded" to show each change. Clouds designating previous changes will be removed so that only the most recent changes will be clouded.
- 4.5.19 PM will attend and shall assure that the A-E and its consultants participate in concealed space observations, systems start-up observations, systems integration/operational demonstrations, Substantial Completion inspections, and Final Completion inspections. In association with each observation, the PM shall assure that the A-E and its consultants shall prepare a list of items which A-E and its consultants have observed as deficiencies in the Work, requiring remedial work or replacement, assemble and distribute the official list of deficiencies (Punch list) to all affected parties, and thereafter review the corrected and/or replaced work and assist in verification of correction of all Punch list items.
- 4.5.20 PM shall review, for conformance with the Contract Documents, Contractor's submission of guarantees and warranties, and advise the Owner and the A-E, in writing, when such items are not in compliance with the Contract Documents.
- 4.5.21 The PM and its consultants shall assist the Owner in checking the Contractor's as-built drawings and specifications during the course of the Work in association with certifying progress payments. Failure to review and advise on the Contractor's progress and update the as-built drawings in a timely manner will affect the progressing of the monthly payment to the PM for the Construction Phase (Article 8). The PM shall review as-built documents for completeness and compliance with Contract requirements at Substantial Completion and at Final Completion of the Project. As a part of the Record Drawing and Closeout Documents Submittal phase of this Agreement the PM shall assure that the A-E and the Contractor enter all the Contractor's records of changes onto the original Construction Documents and shall furnish and deliver to the Owner two compact disks of the complete documents in a format acceptable by the Owner for the Owner's record.
- 4.5.22 PM shall receive and review Contractor's submission of record drawings, operating and maintenance instructions, and all manuals, brochures, drawings, and other closeout documentation furnished by the Contractor, shall require necessary revisions to same, and when acceptable under the terms of the Contract between Owner and Contractor, shall forward to ODR. The PM shall certify final payment to the Contractor when the PM believes the requirements of the Contract between Owner and Contractor have been met.
- 4.5.23 PM shall monitor the Contractor's schedule for the construction phase work and assist the Owner in reviewing all relevant activities and advise the ODR of the Contractor's

scheduled progress and facilitate with the Contractor any recovery actions to maintain the Project's schedule.

- 4.5.24 PM shall assure the A-E prepares a complete list of project close-out and Owner-training requirements contained in the Construction Documents (CDs) and will display them in matrix form in accordance with the A-E's agreement.
- 4.5.25 The PM shall certify final payment to the A-E when the PM believes the requirements of the Contract between Owner and A-E have been met.
- 4.5.26 The PM shall be available after final payment to advise the Owner regarding Warranty items and to inspect Warranty work during the Warranty period. PM shall participate in the Project's one-year warranty review at the eleven month anniversary of substantial completion date.

ARTICLE 5 ADDITIONAL SERVICES

- 5.1 Services may be required of the PM which are not included as part of the services described in Articles 1 and 4 or the Additional Services described in Exhibit A. If such services are required, they will be authorized in writing to be performed by the PM and paid for by the Owner as hereinafter provided. If authorized in writing to be performed by the PM, such services will be subject to this Article 5.
- 5.2 Any Additional Service shall be agreed to and authorized in writing by the ODR before the work is performed. Fees for additional services performed by the PM's staff shall be proposed to the ODR showing a detailed documented required level of effort and using the hourly rate schedule included as Exhibit B. Consultant services for additional services, provided under subcontract to the PM, shall be compensated at actual cost plus ten percent (10%). Reimbursable expenses for both the PM and its consultants shall be compensated at direct cost (with no markup). The Owner may decide to reach a Lump Sum agreement with the PM prior to authorizing Additional Services change order effort or may direct the effort to be performed on a Time and Expenses basis.

ARTICLE 6 THE OWNER'S RESPONSIBILITIES

- 6.1 The Owner shall provide general requirements and description for the Project (budget limitations and required delivery schedules, etc.).
- 6.2 The Owner, through its authorized representatives, shall examine and review documents submitted by the PM and shall render decisions and provide comments pertaining thereto promptly, to avoid unreasonable delay in the progress of the Project.
- 6.3 The Owner shall furnish the PM, when available and applicable, copies of Owner's Standard General Conditions, Contract Forms, Bond Forms, bidding information and instructions, minimum wage rates for inclusion in the specifications, and design and construction standards of the Owner.

ARTICLE 7 REVISIONS TO DRAWINGS AND SPECIFICATIONS

- 7.1 The PM shall manage the A-E in the preparation of the Construction Documents containing such provisions which will permit the Owner to obtain a competitive sealed proposal(s) or through subcontractor building/buyout packages within the Construction Cost Limitation. In the event the lowest acceptable proposal(s) or aggregate building/buy out packages exceeds the Construction Cost Limitation, and if the Owner does not see fit to allot additional funds, the PM shall assure the A-E revises the Construction Documents as may be necessary to bring the Construction Cost within the Construction Cost Limitation.
- 7.2 PM shall assure that an estimate of cost prepared in detail form shall be provided by the A-E and the Contractor at each stage of the design where a review submittal is required by this Agreement. All such estimates shall be prepared by a recognized independent third party estimating consultant retained and paid by the A-E out of its Basic Services Fee and the Contractor through its Pre-Construction Fee. The estimates shall be prepared in detail in the Construction Specifications Institute (CSI) format. If such estimates, at any required submittal stage, exceed the stated Construction Cost Limitation (including contingencies), the Owner may modify the Construction Cost Limitation, accept Value Engineering recommendations; revise the program, scope or quality, or any combination of these to bring the construction cost within the Construction Cost Limitation. The PM shall assure that the A-E then revises the Construction Documents (at no added charge to the Owner) as may be necessary. The Owner may decide to include alternate bid items in the Construction Documents as a means of adjusting the actual construction cost to the cost limit. The PM is responsible to the Owner that the A-E and Contractor reconcile these estimates in a format that can align with buyout packages and subsequent final schedule of values.
- 7.3 The PM shall develop and manage strategies that maximize the budget for the ECC and CCL for accuracy in market conditions and detailed quantities also incorporating add alternates, phasing or other practices that correctly identify the Project Scope to the stated ECC/CCL.

ARTICLE 8 DELIVERABLE SCHEDULE AND PAYMENTS TO THE PM

- 8.1 In terms of each Project Assignment, payments of fees shall be made monthly in proportion to the services performed. PM shall delineate the fee between the document preparation duration, construction phase duration, close-out and final payment to A-E and Contractor and post construction duration. PM shall provide services for a one year warranty walkthrough at the at the eleven month anniversary from the substantial completion date of the Project Assignment.
- 8.2 No deduction shall be made from the PM's compensation on account of penalty, liquidated damages or other sums withheld from payments to the Contractor.

ARTICLE 9 TERMINATION OF AGREEMENT

This Agreement shall have a two-year initial term, and shall terminate on the second anniversary of its effective date. The Owner shall have the option to renew the Agreement for an additional one-year term by written notice to the PM given at least thirty (30) days prior to the end of the initial term. The provisions of this Agreement governing the PM's responsibilities and the Owner's payment obligations shall survive the termination of this Agreement as necessary to enable to PM to finish its work on all Projects initiated prior to the termination of the Agreement. Notwithstanding the foregoing, this Agreement may be terminated earlier by either party upon ten days' written notice should the other party fail substantially to

perform in accordance with its terms and conditions. In the event of a termination that is not the fault of the PM, the PM shall be paid for services performed to the termination date. As a condition of the final payment involving such a termination, the PM shall promptly assemble in an orderly manner data accumulated and products of its services and deliver the same to the Owner.

ARTICLE 10 CLOSE-OUT DOCUMENTS

- 10.1 At the completion or termination of services for each Project, and as a part of the Basic Services of this Agreement, the PM shall assure that the A-E delivers to the ODR one clear set of reproducible drawings (not sepias), one printed copy of the specifications and one complete computer generated file on disk of all drawings and specifications, including all architectural clarifications and change order supplemental drawings. All such deliverables shall be marked as Record Documents.
- 10.2 The PM shall assure that the specifications will state that the Contractor will keep a current set of Project drawings and specifications on the job and will assure changes and/or as-built conditions are documented from the construction of the Project. These documents will be reviewed at least monthly by the PM and will inform the Owner, in writing, of their status immediately after this review.
- 10.3 For each completed Project, the PM is responsible for assisting in the preparation of the Final Report to the Texas State University System Board of Regents and for closing out the e-Builder project file.

ARTICLE 11 SUCCESSORS AND ASSIGNS

The PM binds itself, its partners, successors, assigns and legal representatives to the Owner, its successors, assigns and legal representatives in respect to all covenants of this Agreement. Neither the Owner nor the PM shall assign, sublet or transfer its interest in this Agreement without the written consent of the other. The PM's duties under this Agreement are non-delegable.

ARTICLE 12 DISPUTE RESOLUTION AND AUDITS

Dispute Resolution. In the event of any dispute arising under this Contract, the parties agree to follow the procedures set forth in Chapter 2260 of the Texas Government Code.

State Auditor's Office. PM understands that acceptance of state funds under this Contract acts as acceptance of the authority of the State Auditor's Office to conduct an audit or investigation in connection with those funds. PM further agrees to cooperate fully with the State Auditor's Office in the conduct of the audit or investigation, including providing all records requested. PM will ensure that this clause concerning the State Auditor's Office's authority to audit state funds and the requirement to cooperate fully with the State Auditor's Office is included in any subcontracts it awards. Additionally, the State Auditor's Office shall at any time have access to and the right to examine, audit, excerpt and transcribe any pertinent books, documents, working papers and records of PM relating to this Contract. PM further understands that Owner may conduct a financial and/or performance audit of this Contract and agrees to cooperate fully in such an audit to the same extent as an audit by the State Auditor's Office. The provisions of this Article 11 shall survive the termination of this Contract.

ARTICLE 13 REPRESENTATIVES, NOTICES AND MISCELLANEOUS PROVISIONS

- 13.1 The Owner's Designated Representative ("ODR") authorized to act in the Owner's behalf with respect to the Project is:

Peter E. Graves, Vice Chancellor for Contract Administration
Texas State University System
Thomas J. Rusk State Building
208 E. 10th Street, Suite 600
Austin, Texas 78701
Phone: (512) 463-1808 Fax: (512) 463-1816
Email: peter.graves@tsus.edu

- 13.2 The PM's designated representative authorized to act on the PM's behalf and bind the PM with respect to the Project is:

Hyde Griffith, Vice President
Broaddus & Associates
24 E. Greenway, Suite 1203
Houston, Texas 77046
Phone: (713) 960-9393 Fax:
Email: hgriffith@broaddusassociates.com

- 13.3 The Owner's Designated Site Representative (ODSR) will serve as the Component's point of contact for the PM. The ODSR will not have authority to negotiate cost, time or Contract terms or to issue Notices to Proceed, but will have the authority to make decisions on behalf of the Owner concerning coordination with the Component of the PM's work on the site including, traffic controls, site safety, scheduling of utility outages, materials and color selections, and all matters within the contract that do not involve changes to the scope, cost and/or time for completion. The ODSR or its designee will coordinate and conduct quality inspections of the construction work as it is installed and authorize payment.

- 13.4 Any notices required or permitted under this contract shall be effective if sent to the representatives designated pursuant to this Article 13. The parties may make reasonable changes in their designated representatives upon advance written notice to the other party.

- 13.5 In no event shall PM's liability arising out of or in connection with the performance or nonperformance of any or all Services or other obligations under this Agreement exceed the total amount paid to PM under this Agreement, whether based on delay, contract, tort, negligence, strict liability, warranty, indemnity, error and omissions or otherwise, provided that such limitation of liability shall not apply to PM's indemnity obligations for claims asserted against the Owner by third parties for personal injury or tangible property damage caused by the negligence of the PM or its employees, and provided further that such limitation shall not apply to amounts actually paid from the professional liability insurance PM is required to maintain pursuant to the terms of this Agreement.

- 13.6 Neither Party shall be liable under or in connection with this Agreement for any consequential, special, incidental, indirect, punitive or exemplary damages, or damages arising from or in connection with loss of use, loss of revenue, loss of actual or anticipated profit, loss by reason of delay, increased cost of construction or cost of capital, whether based on delay, contract, tort, negligence, strict liability, warranty, indemnity, error and omission or otherwise, and each Party hereby releases the other from

any such liability. Nothing in this paragraph 13.6 shall limit Owner’s payment obligations under the Agreement.

- 13.7 The parties recognize that the PM does not enter into any agreement with the A-E or Contractor, therefore the PM cannot contractually control their actions, which prevents the PM from being able to assure that the A-E or the Contractor perform their obligations under their agreements with the Owner. The word “assure” in this Agreement shall be interpreted as meaning the PM will endeavor to use its best good faith efforts to perform the task specified.
- 13.8 This Agreement shall govern the provision by PM of indefinite quantity project management services with respect to projects at Sam Houston State University, notwithstanding that certain Indefinite Quantity Services Contract dated effective September 1, 2013 (the “2013 IDIQ Contract” between the parties). In all other respects, the 2013 IDIQ Contract shall continue in full force and effect.
- 13.9 Texas State University System (TSUS) colleges and universities, including Sam Houston State University, strictly adhere to Title IX of the Education Amendments of 1972, the federal Campus Sexual Violence Elimination Act; United States Department of Education regulations and directives; and TSUS Sexual Misconduct Policy and Procedures (“Regulations”). Specifically, the Regulations apply to all students, employees, visitors, and other third parties on Sam Houston State University-controlled property, including institutions and entities with whom Sam Houston State University places its students. Further, such Regulations prohibit unequal treatment on the basis of sex as well as sexual harassment and sexual misconduct.

As a condition of employment, enrollment, doing business, or being permitted on the campus, the above-mentioned individuals, organizations, and entities must agree to: 1) Report immediately to the Title IX coordinator any and all claims of sex discrimination or sexual misconduct; 2) Cooperate with Sam Houston State University’s Title IX investigation; and, 3) Cooperate fully with all sanctions that Sam Houston State University may impose against such individual, organization, or entity, who is found to have violated the Sexual Misconduct Policy and Procedures. If the individual, organization, or entity fails to adhere to any of the aforementioned requirements, Sam Houston State University reserves the right to take appropriate action, including but not necessarily limited to, immediate removal from campus; discipline of employees and students (including termination of employment and/or expulsion from school); and termination of business or contractual relationships.

IN WITNESS WHEREOF, the parties hereto have made and executed this Agreement, to be effective on the day and year first above written

For the Project Manager:

For the Owner:

Principal (signature) Date

Brian McCall, Ph.D., Chancellor
Texas State University System

Date: _____

Type or Write Name

Index to Exhibits:

- Exhibit A:** Additional Services Included in Fee
- Exhibit B:** **Broadus & Associates**, Fee Schedule
- Exhibit C:** TSUS Policies and Procedures (referenced by hyperlink)
- Exhibit D:** Assignment Letter
- Exhibit E:** Amendment to the Assignment Letter

EXHIBIT A

Additional Services Included in Fee

The following Additional Services are a part of this Contract, and the fee for such services is included in the Fee set forth in Paragraph 2.1 of the Contract:

None.

EXHIBIT B

**Fee Schedule
For**

Broadus & Associates

Schedule

Hourly Rate

EXHIBIT C

**Texas State University System
Policies and Procedures**

Please visit the TSUS website to download a copy of the TSUS Policies and Procedures Manual at:

<http://www.tsus.edu/about/resources.html>

EXHIBIT D

ASSIGNMENT NO. [XX]
TO
INDEFINITE DELIVERY INDEFINITE QUANTITY (IDIQ) PROFESSIONAL SERVICES/PROJECT
MANAGEMENT / OWNER AGREEMENT

[NAME OF COMPONENT, LOCATION, TEXAS]

This Assignment No. [XX] to Owner/Professional Services/Project Management IDIQ Agreement is made effective [DATE OF THE EXECUTION OF THE ASSIGNMENT], by and between the State of Texas, acting through the Board of Regents of The Texas State University System, hereinafter called the Owner, and [NAME OF THE PM FIRM], hereinafter called the Project Manager (PM).

The Owner and the PM entered into an Owner/Project Management Agreement dated [DATE OF THE EXECUTION OF THE BASE AGREEMENT], (the "Agreement"), providing for the PM's rendering of professional services with respect to the campus of [NAME OF COMPONENT, LOCATION], Texas. In this Assignment No. [XX], the Owner and the PM have agreed to the following scope of the work to be performed by the PM under the Agreement:
[BRIEF DESCRIPTION OF THE WORK TO BE PERFORMED]

PM's services include the work as further described in Exhibit "A" attached hereto in the amount of [FULL DOLLAR AMOUNT OF THE ASSIGNMENT].

Except as amended by this assignment, all terms used in this Amendment that are not defined herein shall have the meanings ascribed to them in the Agreement.

IN WITNESS WHEREOF, the parties hereto have made and executed this Assignment No. [XX] effective the day and year first above written.

[NAME OF COMPONENT]

[NAME OF PM]

By: _____
[Name of Person of Delegated Authority]

By: _____
[Name of Person of Delegated Authority]

Printed Name

Printed Name

EXHIBIT E

AMENDMENT NO [XX] TO ASSIGNMENT NO. [XX]
 TO
 INDEFINITE DELIVERY INDEFINITE QUANTITY (IDIQ) PROFESSIONAL
 SERVICES/PROJECT MANAGEMENT / OWNER AGREEMENT
 [NAME OF COMPONENT, LOCATION, TEXAS]

This Amendment No. [XX] to Assignment No. [XX] to Owner/Professional Services/Project Management IDIQ Agreement is made effective [DATE OF THE EXECUTION OF THE AMENDMENT TO ASSIGNMENT], by and between the State of Texas, acting through the Board of Regents of The Texas State University System, hereinafter called the Owner, and [NAME OF THE PM FIRM], hereinafter called the Project Manager (PM).

The Owner and the PM entered into an Owner/Professional Services/Project Management Agreement dated [DATE OF THE EXECUTION OF THE BASE AGREEMENT], (the "Agreement"), providing for the PM's rendering of professional services with respect to the campus of [NAME OF COMPONENT, LOCATION], Texas. In this Amendment [XX] to Assignment No. [XX], the Owner and the PM have agreed to the following amended scope of the work to be performed by the PM under the Assignment and Agreement: [BRIEF DESCRIPTION OF THE WORK TO BE PERFORMED]

The amended PM services include the work as further described in Exhibit "A" attached hereto in the amount of [FULL DOLLAR AMOUNT OF THE AMENDMENT TO ASSIGNMENT].

Fee Summary:

Original PM Assignment Fee.....	\$xxxxxxxx.00
Amendment No. 1 (Date of Execution-Scope Description).....	\$xxxxxxxx.00
Amendment No. 2 (Date of Execution-Scope Description).....	\$xxxxxxxx.00
New Total PM Lump Sum Fee:	\$xxxxxxxx.00

Except as amended by this assignment, all terms used in this Amendment that are not defined herein shall have the meanings ascribed to them in the Agreement.

IN WITNESS WHEREOF, the parties hereto have made and executed this Amendment No. [XX] to Assignment No. [XX] effective the day and year first above written.

[NAME OF COMPONENT]

[NAME OF PM]

By: _____
 [Name of Person of Delegated Authority]

By: _____
 [Name of Person of Delegated Authority]

 Printed Name

 Printed Name

**THE TEXAS STATE UNIVERSITY SYSTEM
INDEFINITE QUANTITY PROFESSIONAL SERVICES AGREEMENT**

**PROGRAM MANAGEMENT SERVICES FOR SAM HOUSTON STATE UNIVERSITY
MEMBER OF THE TEXAS STATE UNIVERSITY SYSTEM**

THIS AGREEMENT between the State of Texas, acting through the Board of Regents of the Texas State University System (Owner), and **Skanska USA Building Inc.** (“PM”) is effective the ____ day of **November, 2014.**

WHEREAS, the Owner requires Program Management Services from time to time at the campus of **Sam Houston State University, Huntsville, Texas** with respect to various capital projects to be identified from time to time (individually referred to as a “Project” and collectively as “Projects”); and

WHEREAS, the PM is willing to perform such services on the terms and conditions set forth in this Agreement.

NOW THEREFORE, the Owner and PM for consideration as set forth, agree as follows:

ARTICLE 1 SCOPE OF SERVICES

The PM agrees to perform Basic Services and, if applicable, certain Additional Services for one or more Projects, both as specified more particularly in Articles 4 and 5, as directed by the Owner. The PM agrees to render these services to the Owner as and if needed, and Owner is not obligated to request any minimum amount of services hereunder. The term “Basic Services” will, for each Project, be on an as needed basis, and as more particularly described in the project assignment, but will normally include those services typically associated with third party project management services such as representation of the Owner and the Components in connection with pre-design/construction, design, bid and construction, as well as any other services necessary to insure the successful management of any Project. In addition to these Basic Services, Owner may include in the Project Assignment (hereinafter defined) certain Additional Services not included as Basic Services in the Project Assignment. During the course of a Project, the PM may be requested to perform further Additional Services as set forth in Article 5 upon payment of an additional fee. The PM will assure that the Project’s Architect/Engineer (A-E), for any assigned Project, will manage the A-E’s compliance with the Owner’s latest version of the Design Guidelines and Construction Standards applicable to the campus and/or location of any assigned Project (“Campus Standards”).

Without limiting the generality of the foregoing, the PM must be prepared to assign at least one person per Project Assignment, unless otherwise directed, who possesses significant project planning and management experience as the point of contact for the Owner for the duration of each Project during the term of this Agreement. PM shall not replace the in-house personnel named in its submitted Qualifications to lead an assigned Project or its phases identified in the scope of work without prior written approval from the Owner, which approval shall not be unreasonably withheld or conditioned.

The assigned individual(s) may perform a portion of Project-related management duties off-site during the construction duration of a project to the extent consistent with PM’s duties under this Agreement. The parties intend to utilize PM as a program manager hereunder, working with project management firms under contract to the Owner, and do not intend that PM will manage projects directly under this Agreement. The

provisions of this Agreement shall be construed in a manner consistent with this understanding. The project delivery method is expected to be Construction Manager-at-Risk for most Projects utilizing these services.

To commence any Project under this Agreement, the Owner's Designated Representative ("ODR") shall deliver to the PM a written, signed Project Assignment describing the general scope of the project, the services required, and an initial milestone schedule for performance of the services, and such other information as may be necessary or desirable for the initiation of the Project. PM shall provide a written cost matrix reflecting the roles of its personnel and the projected time required to perform project management services for the requested scope of work prior to commencement as further described in Article 8 of this Agreement. The Owner's agreements with the A-E and Contractor will be provided to the PM as exhibits to the Project Assignment if available, or subsequently as amendments to the Project Assignment. Exhibit "D" attached herein is the format to be used for assignments to be executed under this Agreement. Exhibit "E" attached herein is the format to be used for amendments to assignments to be used to execute amendments under this Agreement.

The Owner uses e-Builder project management software to support the Project. The PM is expected to utilize e-Builder and to manage other project team members associated with any assigned Project to utilize e-Builder through the final completion stage.

The PM is responsible for understanding and complying with the Texas State University System Policies and Procedures included herein as Exhibit "C". The PM is responsible for understanding A-E and Contractor agreements for each Project and shall manage the performance of services under these agreements on behalf of the Owner to assure complete compliance with the requirements of each agreement. The PM is responsible for managing Owner provided testing services through the life of the Project.

The PM is responsible for preparing a status of the Project report weekly during the life of the Project that identifies progress, problems and the general overall status of the Project in order to ensure the successful completion of the Project under the management of the PM is responsible for managing Owner provided testing services through the life of the Project. The base format of the Project Report will be provided to the PM and format improvements can be suggested by the PM, but must be approved by the ODR.

Articles 2 through 8 and Article 10 of this Agreement shall apply individually to each Project commenced under this Agreement.

ARTICLE 2 FEE FOR SERVICES; REIMBURSABLES; INSURANCE

2.1 With respect to each assigned project, the Owner agrees to pay the PM a fee ("Fee") for Basic Services, and any Additional Services set forth in Exhibit "A" or in the applicable Project Assignment, calculated on the basis of the fee schedule attached to this Agreement as Exhibit "B". The Fee will be based solely on the level of effort required of the PM and shall not change unless the Owner directs significant changes to the scope of the Project. Without limiting the generality of the foregoing statement, the Fee will not change due to increases or decreases in the Construction Cost Limitation of any Project assignment.

2.1.1 Any expenses that are reimbursable to the PM under this Agreement shall be billed to the Owner monthly as a direct expense, without any markup for overhead or profit. Owner and PM may agree to limit reimbursable expenses in the project assignment document.

2.1.1.1 Reimbursement for travel expenses is allowed only when pre-authorized in writing by the Owner, and shall be limited to the lesser of actual expenses incurred

and the reimbursement rates then allowable for travel by employees of the State of Texas.

- 2.1.2 The total of all Fees collected and expenses reimbursed under this Agreement by the PM with respect to all Project Assignments initiated during the primary term of this Agreement or any extension thereof shall not exceed \$5,000,000.00. PM agrees to notify Owner if, at any time, the amount of Fees collected or contracted for under this Agreement exceeds eighty percent (80%) of the maximum set forth in this paragraph. By amendment, the Owner reserves the right to extend these services for a maximum of 12 additional months, subject to the overall limitation on Fees and expenses set forth in this paragraph.
- 2.2 The PM Services set forth in this Agreement are those normal and customary services necessary to the for the oversight of the pre-design, pre-construction, design and construction administration and necessary post construction administration necessary to complete final payments to all parties of the assigned project and a one year warranty walk through of the Project at the eleven month anniversary of the substantial completion for the Project Assignment. The services required are further defined and detailed in, but not limited by, Article 4.
- 2.3 The times and conditions of payment for the Fee shall be as described in Article 8.
- 2.4 The PM agrees to make a Good Faith Effort to allocate 20% of any additional services required under this Agreement to qualified consultants, which are Historically Underutilized Businesses. If the PM is a Historically Underutilized Business, it shall perform at least 25% of the work. At the time of the construction contract award, the PM shall report and justify to the Owner the percentages of work performed by Historically Underutilized Businesses.
- 2.5 The PM shall carry such professional liability/errors and omissions insurance, covering the services provided under this Agreement and other coverages as further described, acceptable to and approved by the Owner. The fees for such insurance will be at the expense of the PM. The insurance policy shall remain in force for a period of one (1) year beyond the final completion date of the any Project Assignment. A Certificate of Insurance indicating the expiration date, and existence, of the PM's insurance coverages is required prior to commencement or continuation of performance of the services under this Agreement. PM shall deliver to Owner replacement certificates not less than thirty (30) days prior to the expiration of any such insurance. If, however, PM fails to pay any of the renewal premiums for the expiring policies, Owner shall have the right (but not the obligation) to make such payments and set off the amount thereof against the next payment coming due to PM under this Agreement.
- 2.5.1 A Certificate of Professional Liability Insurance is required with a minimum limit of \$1,000,000 each claim and \$1,000,000 aggregate. The Certificate shall indicate the expiration date of the PM's professional liability insurance. The Certificate is to identify the specific name of the project according to the terms of this Agreement and identify the Board of Regents of The Texas State University System as the Project's Owner.
- 2.5.2 On Site Insurance: For services performed on Owner's premises, the PM shall furnish to Owner Certificates of Insurance as set forth below prior to the commencement of any work hereunder and shall maintain such coverage during the full term of the Agreement.

	Statutory Limits
Worker's Compensation	
Employer's Liability	
Bodily Injury by Accident	\$1,000,000 each accident
Bodily Injury by Disease	\$1,000,000 policy limit
Bodily Injury by Disease	\$1,000,000 each employee
 Commercial General Liability	 \$1,000,000 each occurrence
	\$1,000,000 aggregate
 Business Auto Liability	
Combined Single Limit	\$1,000,000 each occurrence
 2.5.3	Notice of Cancellation: Required insurance shall not be cancelable without thirty-(30) days' prior written notice to Owner.
2.5.4	PM shall include the Owner as an additional insured on the General Liability policy, and the Worker's Compensation policy shall include a waiver of subrogation in favor of the Owner.

ARTICLE 3 DEFINITIONS

The terms, words and phrases used in the Contract Documents shall have the following meanings, as supplemented by any other definitions provided in the Uniform General and Supplemental Conditions.

- 3.1 “**Construction Cost Limitation**” (CCL) means the maximum monetary amount payable to the CM for all Construction Phase services, materials, labor and other work required for completion of the Work in accordance with the Contract Documents. The CCL includes, without limitation, the General Conditions Costs, the Cost of the Work, the Construction Phase Fee and the CM's Contingency. The CCL may be adjusted by the parties for changes in the scope of the Project before or after acceptance of the Guaranteed Maximum Price Proposal. The CCL does not include the CM's Pre-Construction Phase Fee, or Owner's Construction Contingency or Owner's Special Cash Allowance.
- 3.2 “**Construction Documents**” means, collectively, the Uniform General Conditions (“UGC”), Owner's Special Conditions and Specifications, the Drawings, Specifications, details, Change Orders and other documents prepared by the A/E, its consultants and by the Owner's other consultants that describe the scope and quality of the Project and the materials, supplies, equipment, systems and other elements required for construction of the Work that are acceptable to the Owner.
- 3.3 “**Construction Phase Services**” means those services rendered by a Contractor following the issuance of a Notice to Proceed by the Owner after arriving at a Guaranteed Maximum Price agreement (or after issuance of a Construction Contract in response to Competitive Sealed Proposals) through Final Completion of all Work.
- 3.4 “**Direct Construction Cost**” includes all actual costs of the Work, but not including Fees.
- 3.5 “**Estimated Construction Cost**” (ECC) means the amount calculated by the CM for the total cost of all elements of the Work based on the Contract Documents available at the time(s) that the ECC is prepared. The ECC shall be based on current market rates with reasonable allowance for overhead, profit and price escalation and shall include and consider, without limitation, all

alternates, allowances and contingencies, designed and specified by the A/E and the cost of labor and materials necessary for installation of Owner furnished equipment. The ECC shall not include CM's Pre-Construction Phase Fee, A/E Fees, cost of the land, rights-of-way, or any other costs that are the direct responsibility of the Owner. Various parts of these Contract Documents further define the required frequency, the level of detail and the format of the ECC submissions.

- 3.6 **“Guaranteed Maximum Price”** (GMP) is a negotiated sum that is the maximum cost to the Owner for construction of the Work in accordance with the Contract Documents. GMP must be approved by the ODSR and then subsequently the ODR, based on appropriate documentation noted in the agreement.
- 3.7 **“General Conditions Cost”** means costs incurred and minor work performed by the CM without the use of competitive bids/proposals, as allowed under Texas Education Code section 51.782(i), as amended.
- 3.8 **“Owner’s Designated Representative (ODR)”** means the person who is authorized to administer this Contract on behalf of the Owner, including final determination of fees and costs earned by the PM. The ODR represents Owner in any negotiations involving project scope, cost, time, and Contract terms and conditions where called for in the Contract or as otherwise necessary. In all matters PM shall act only upon instructions from ODR unless otherwise specifically notified to the contrary in writing.
- 3.9 **“Owner’s Designated Site Representative (ODSR)”** means the person who is authorized to take all actions and render all decisions necessary to facilitate a fast, efficient and safe project development on the construction site. The ODSR will serve as the single point of contact for the Contractor on matters concerning the site, use of the site, and integration of the construction activities into the Campus policies, procedures, rules and regulations. The ODSR will make materials and color selections on behalf of the Owner. The ODSR will also be authorized to inspect the Work for quality considerations and accept the Work from the Contractor, determining Substantial Completion and Final Completion. The ODSR will have the authority to reject defective or improper work and to direct its correction. The ODSR will have authority to approve all Applications for Payment except the first, second and the final. The ODSR will not have authority to negotiate project scope, cost and time or Contract terms and conditions issues with the Contractor, but will be authorized to direct the start of Work needed in response to emergency situations or critical conditions on the site. Notwithstanding the foregoing, the ODSR shall have authority to approve any single construction change order that does not exceed Twenty Five Thousand Dollars (\$25,000); except for self-performed work which requires ODR approval for any amount.
- 3.10 **“Pre-Construction Phase Services”** services provided by the Contractor to ensure that the Architectural Program, Schematic Design documents, Design Development documents and Construction Documents are in align with budgets and durations that are established and refined for the Project. Furthermore, these services include transparency in the subcontractor buyout process as well as optimizing schedules for construction durations with appropriate reporting of these services for the Owner’s consideration and approval.
- 3.11 **“Project Team”** means the Owner, Contractor, A-E and its consultants, any separate Contractors employed by Owner, and other consultants employed for the purpose of programming, design, and construction of the Project. The members of the Project Team will be designated by Owner and may be modified from time to time by Owner.

- 3.12 “**Work**” See the UGC Article 1.30. The Work also includes the Construction Phase Services, additional work required by any Change Orders, and any other work reasonably inferable from the Contract Documents. The term “reasonably inferable” includes the understanding of the parties that some minor details of the Work may not be shown on the Drawings or included in the Specifications, but they are included in the Work if they are usual and customary components of the Work for a project of the type depicted in the Contract Documents and they are needed to produce a complete and useable facility.
- 3.13 “**Electronic Copy**” means a copy of the document in the software medium in which the document was created for initial approval and subsequent required updates.
- 3.14 “**Contractor**” means the entity contracted by the Owner to provide services including but not limited to pre-construction services, construction services, warranty services and other necessary post-construction services.
- 3.15 “**A-E**” means the entity contracted by the Owner to provide design services that aligns with the GMP and construction schedule durations through thorough document development, bidding and/or buy out processes, construction administration and close-out and warranty processes.
- 3.16 “**Architectural Program**” means the program of requirements delivered for and approved by the Owner with respect to any Project.

ARTICLE 4 BASIC SERVICES OF THE PM

4.1 Schematic Design Phase

- 4.1.1 Based upon the Scope of Work provided by the Owner, the Construction Cost Limitation and a desired Project Schedule established by the Owner, the PM shall provide oversight of the A-E and the selected Contractor to prepare sufficient alternative approaches to design of the Project to satisfy Owner’s requirements and at completion of this phase, PM shall assure that the A-E submits Schematic Design documents in accordance with Owner’s Design Guidelines.
- 4.1.2 PM shall provide the required oversight and manage the A-E to provide all services necessary to perform the services of this Phase (preparation of Schematic Design documents) including, without limitation, unless otherwise approved by Owner, the preparation and prompt delivery of all items specified in Owner’s Design Guidelines.
- 4.1.3 PM shall work closely with Owner, the A-E and the selected Contractor in preparation of schematic drawings and shall assure that the drawings specifically conform to Owner’s requirements regarding aesthetic design issues.
- 4.1.4 PM shall manage and assure that the A-E adheres to the Architectural Program by providing clear and concise information showing such adherence within the documents submitted for approval, and shall advise the Owner, in writing, on any deviations from the Architectural Program at any time during the Schematic Design process.
- 4.1.5 The PM shall assure that the A-E prepares meeting minutes for any meetings attended that reflect discussions, outcomes and pending issues that require further action by the responsible parties. Meeting minutes shall be published to the ODR and all attendees within 7 days from the date of the meeting to allow for comments and/or corrections to the

- minutes. PM shall track all action items and assure that open issues are closed in a timely manner.
- 4.1.6 PM shall manage the selected Contractor in the pre-construction processes of Schematic Design to assure that the Contractor attends all team meetings, documents and communicates relative findings that can assist the A-E in maintaining the ECC, Cost of the Work and the subsequent GMP and maintains or refines the construction durations.
- 4.1.7 PM shall monitor the A-E's milestone document delivery schedule for this phase and shall notify the ODR, in writing, of perceived time slippage in the schedule and to facilitate the A-E in the recovery of any slippage in this document development process.
- 4.1.8 The PM shall assure that the A-E furnishes and delivers to the Owner eight complete printed sets of Schematic Design documents. Eight sets shall be distributed as directed by the ODR.
- 4.1.9 The PM shall provide to the Owner a report within one week of the delivery of the Schematic Design documents that identifies constructability problems, lack of coordination between disciplines, lack of clarity in communicating the desired design intent and other necessary comments that will facilitate the completeness of the Schematic Design documents.
- 4.1.10 The PM shall manage the preparation of a detailed construction cost estimate from the Contractor and the A-E and ensure that such estimates are delivered within two weeks of delivery of the completed Schematic Design documents to confirm compliance with the Construction Cost Limitation and to ensure that the Project delivers the scope and quality desired by the Owner at the lowest cost. The PM shall consult with the Owner, through its designated representatives including the ODR, and recommend any Value Engineering adjustments to the Project that may be necessary to align the cost estimate and the project budget with the established Construction Cost Limitation. The PM shall ensure that the A-E incorporates any the revisions of Schematic Design documents as may be required to incorporate Value Engineering recommendations accepted by the Owner. The PM shall manage the reconciliation of cost estimates between the A-E and the Contractor including the necessary efforts to accurately and correctly price the project cost with detailed quantity take offs in alignment with current market values.
- 4.1.11 PM shall assure that the Contractor produces constructability reports on a monthly basis and distributes the reports to the A-E and the Owner.
- 4.1.12 PM shall engage the CM and the A-E to optimize the Project schedule and to reveal opportunities that will shorten durations of work activities as well as any phasing that optimizes the project's schedule.
- 4.1.13 Before proceeding into the Design Development Phase, the PM shall notify the ODR in writing that all requirements for written acceptance of the Schematic Design documents and approval of the A-E's preliminary construction cost estimate and schedule have been met. The PM shall assure that the A-E furnishes and delivers to the ODR two compact disks of the complete documents for this phase of the design in a format acceptable by the Owner for the Owner's record (i.e. all drawings, specifications, product data, cost estimates, etc.).

4.2 Design Development Phase

- 4.2.1 Based on the approved Schematic Design documents and any adjustments to the Architectural Program or Construction Cost Limitation authorized by the Owner, and upon receipt of a Notice to Proceed executed by the ODR, the PM shall manage the A-E in preparation, for approval by the Owner, of Design Development documents in accordance with Owner's written requirements. These documents will further define and finalize the size and character of the Project in accordance with Owner's Design Guidelines. The PM shall advise the Owner, in writing, on any deviations from the Architectural Program at any time during the Design Development process.
- 4.2.2 PM shall provide the required oversight and manage the A-E to provide all services necessary to perform the services of this Phase (preparation of Design Development documents) including, without limitation, unless otherwise approved by Owner, the preparation and prompt delivery of all items specified in Owner's Design Guidelines.
- 4.2.3 PM shall work closely with Owner, the A-E and the selected Contractor in preparation of design development drawings and shall assure that the drawings specifically conform to Owner's requirements regarding aesthetic design issues.
- 4.2.4 PM shall assure that the A-E adheres to the Architectural Program by providing clear and concise information showing such adherence within the documents submitted for approval, and advise the Owner, in writing, on any deviations to the Architectural Program at any time during the Design Development documents process.
- 4.2.5 The PM shall assure that the A-E prepares meeting minutes for any meetings attended that reflect discussions, outcomes and pending issues that require further action by the responsible parties. Meeting minutes shall be published to the ODR and all attendees within 7 days from the date of the meeting to allow for comments and/or corrections to the minutes. PM shall track all action items and assure that open issues are closed in a timely manner.
- 4.2.6 PM shall manage the selected Contractor in the pre-construction processes of Design Development process to assure that the Contractor attends all team meetings, documents and communicates relative findings that can assist the A-E in maintaining the ECC, Cost of the Work and the subsequent GMP and maintains or refines the construction durations.
- 4.2.7 PM shall monitor the A-E's milestone document delivery schedule for this phase and shall notify the ODR, in writing, of perceived time slippage in the schedule and facilitate the A-E's efforts in the recovery of any slippage in the document development process.
- 4.2.8 The PM shall assure that the A-E furnishes and delivers to the Owner eight complete printed sets of Design Development documents, to be distributed as directed by the ODR.
- 4.2.9 The PM shall provide to the Owner a report of the Design Development documents within one week of the delivery of the Design Development documents that identifies constructability problems, lack of coordination between disciplines, any lack of clarity in communicating the desired design intent and any other necessary comments that will facilitate the completeness of the Design Development documents.
- 4.2.10 The PM shall manage the preparation of a detailed construction cost estimate by the Contractor and the A-E and ensure that such estimates are delivered within two weeks of

delivery of the completed Design Development documents to confirm compliance with the Construction Cost Limitation and to ensure that the Project delivers the scope and quality desired by the Owner at the lowest cost. The PM shall consult with the Owner, and its designated representatives including the ODR, and recommend any Value Engineering adjustments to the Project that may be necessary to align the cost estimate and the project budget with the ECC and the established Construction Cost Limitation. The PM shall ensure that the A-E incorporates any the revisions of Design Development documents as may be required to incorporate Value Engineering recommendations accepted by the Owner. The PM shall manage the reconciliation of cost estimates between the A-E and the Contractor including the necessary efforts to accurately and correctly price the project cost detailed quantity take offs in align with current market values.

- 4.2.11 PM shall assure that the Contractor produces constructability reports on a monthly basis and distributes the report to the A-E and the Owner.
- 4.2.12 PM shall engage the Contractor and the A-E to optimize the project schedule and to reveal opportunities that will shorten durations of work activities as well as any phasing that optimizes the project's schedule.
- 4.2.13 Before proceeding into the Construction Document Phase, the PM shall notify the ODR in writing that all requirements for written acceptance of the Design Development documents and approval of the A-E's construction cost estimate and schedule have been met. The PM shall assure that the A-E furnishes and delivers to the ODR two compact disks of the complete documents for this phase of the design in a format acceptable by the Owner for the Owner's record (i.e. all drawings, specifications, product data, cost estimates, etc.).
- 4.2.14 The PM shall assure that the A-E prepares preliminary recommended furniture layouts for all spaces where it is deemed important to substantiate the fulfillment of program space requirements, or to coordinate with specific architectural, mechanical and electrical elements.
- 4.2.15 The PM shall review and comment on the draft Board of Regents Design Development Package prepared by the A-E to ensure that the package is prepared in accordance with the A-E's Agreement and the Owner's required timelines.

4.3 Construction Document Phase

- 4.3.1 Based on the approved Design Development documents and any further adjustments in the scope or quality of the Project or in the Project Construction Cost Limitation authorized by the Owner, and following approval of the Design Development submittal by the Board of Regents, the PM shall manage the A-E's preparation, for approval by the Owner, of Construction Documents consisting of Drawings and Specifications in accordance with Owner's written requirements setting forth in detail the requirements for construction of the Project, including, without limitation, Owner's Design Guidelines. The PM shall ensure that the plans, drawings and specifications for the entire Project are prepared so that the construction of the building and related facilities, including built-in permanent fixtures and equipment, will cost no more than the Construction Cost Limitation established by Owner. The PM is responsible for managing the design elements of the Project to stay within the Construction Cost Limitation based upon pricing cost information reconciled between the A-E's independent cost estimate and the Contractor's estimate.

- 4.3.2 PM shall monitor the A-E's milestone document delivery schedule for this phase and shall notify the ODR, in writing, of perceived time slippage in the schedule and work with the A-E to facilitate the recovery of any slippage in the document development process.
- 4.3.3 PM shall assure that the Construction Document drawings are produced on a Building Information Modeling (BIM) system as part of Basic Services. The Owner will define the BIM drawing requirements and the final media for the BIM data.
- 4.3.4 The PM shall advise the Owner on matters such as construction phasing and scheduling, bid or proposal alternates, special cash allowances, liquidated damages, the construction contract time period, and other construction issues appropriate for the Project.
- 4.3.5 The PM shall assist the Owner in connection with the Owner's responsibility and procedures for obtaining approval of all authorities having jurisdiction over the Project.
- 4.3.6 The PM shall assure that the A-E files and obtains approval of the project from the Texas Department of Licensing and Regulations, Architectural Barriers Division, in accordance with Chapter 469, Texas Government Code, as amended, and Title III of the Americans with Disability Act (ADA) of 1990, 42 U.S.C., Section 12181. The fees for these approvals will be paid by the Owner.
- 4.3.7 The PM shall assure that the A-E prepares meeting minutes for any meetings attended that reflect discussions, outcomes and pending issues that require further action by the responsible parties. Meeting minutes shall be published to the ODR and all attendees within 7 days from the date of the meeting to allow for comments and/or corrections to the minutes. PM shall track all action items and assure that open issues are closed in a timely manner.
- 4.3.8 The PM shall assure A-E provides services to ensure coordination and inclusion of sequence of operations for all operable systems in the Project.
- 4.3.9 The PM, at the 50%, 100% and Final completion stages of the Construction Documents, shall assure that the A-E furnishes and delivers to the ODR the eight complete printed copies of all plans, drawings and specifications of every character made or furnished in connection with the Work, which copies shall become the property of the Owner. The PM shall manage the A-E to incorporate into the plans, drawings and specifications such changes as are necessary to satisfy the Owner's written review comments, published meeting minutes, or constructability reports any of which may be appealed in writing for good cause. The PM shall assure the A-E furnishes and delivers to the Owner two compact disks of the complete documents for the 50%, 100% and Final completion stage of the Construction Document Phase in a format acceptable by the Owner for the Owner's record (i.e. all drawings, specifications, product data, cost estimates, etc.).
- 4.3.10 The PM shall assure that the A-E provides with each review submittal a Coordination Document set with colored overlays of required design disciplines or through BIM clash detection exercise. These shall include, but not be limit to, mechanical, electrical, plumbing, sprinkler, slab penetrations, telecommunications, and instructional technologies support. The PM shall assure that the A-E provides all designs and shall not use design performance documents, unless approved by the Owner.
- 4.3.11 The PM shall assure that the A-E, prior to releasing the Construction Documents "For Construction", provides a document that summarizes all design code requirements and

provides written certification that the Construction Documents meet all applicable design codes and the requirements of the local Campus Standards. The PM shall assure that the A-E certifies in writing that no asbestos or lead containing materials have been specified or approved by the A-E for installation into the Project.

- 4.3.12 The PM shall direct the preparation of a detailed construction cost estimate by the Contractor and the A-E to confirm compliance with the Construction Cost Limitation and provide it to the ODR within 14 days after submitting the completed documents at the stages of design listed in paragraph 4.3.9 (See Section 7.2 for estimate requirements). The PM shall advise the ODR of any adjustments to the project needed to align the cost estimate and the project budget with the established Construction Cost Limitation. The PM shall assure that the A-E revises the Construction Documents as may be required to ensure budget compliance.
- 4.3.13 Before proceeding into the Bidding and Proposal Phase, the PM shall obtain ODR's written acceptance of the Final Construction Documents and will reconfirm with the ODR the alignment of the construction cost estimate with the established Final Construction Cost Limitation. The PM shall assure that the A-E furnishes and delivers to the Owner two compact disks of the complete documents for the Final Bid Construction Documents in a format acceptable by the Owner for the Owner's record (i.e. all drawings, specifications, product data, cost estimates, etc.).

4.4 Bidding and Proposal Phase

- 4.4.1 The PM shall assist the Owner in obtaining and evaluating bids or proposals including preparation for and attendance at Pre-bid or Pre-proposal Conferences and HUB meetings. PM shall answer inquiries from bidders/proposers at Owner's request, and shall prepare and issue any necessary addenda to the bidding or proposal documents.
- 4.4.2 In the event the best value proposal received for the Project exceeds the Final Construction Cost Limitation established at the completion of the Construction Document Phase(s), the PM, if so directed by Owner, shall assure that the A-E revises the drawings and specifications as necessary to bring the cost of the Project within the Final Construction Cost Limitation. The Owner reserves the right to accept a proposal and award a construction contract that exceeds the Final Construction Cost Limitation, if such award is determined by ODR to be in the Owner's best interest. The PM's Fee will not be adjusted if the Owner decides to accept such a proposal. The PM shall assure that the A-E furnishes and delivers to the Owner two compact disks of the complete documents of the Final Revised Construction Documents in a format acceptable by the Owner for the Owner's record (i.e. all drawings, specifications, product data, cost estimates, etc.).
- 4.4.3 For buy out on bid packages, the PM shall manage the process in accordance with the Contractor's Agreement with the Owner. This includes meeting with the Contractor on bid strategies prior to public request for proposals, ensuring that the Owner's buy out forms are used correctly, the canvassing of the local and regional bidding community, HUB participation, review of scoping action by the Contractor after proposals are received, review and comment in writing to recommendations of subcontractors to bid packages and other actions necessary to assure that the buyout process is effectively and ethically performed by the Contractor.

4.5 Construction Phase

4.5.1 The Construction Phase shall commence with the award of the Contract for Construction and issuance of (i) a Notice to Commence On-Site Work or (ii) a Notice to Proceed with Construction Services and terminate sixty (60) days after Final Payment to the Contractor is made, or when all of Contractor's services have been satisfactorily performed, whichever occurs later.

4.5.2 PM shall assist the Owner in its administration of the Contract for Construction as set forth below.

4.5.2.1 The PM shall establish and maintain a numbering and tracking system for all Project records, including changes, requests for information, submittals, and supplementary instructions and shall provide updated records at each Owner's monthly meeting and when requested.

4.5.2.2 The PM shall chair all meetings scheduled by the Owner or PM and shall promptly provide summary notes to all parties. The PM shall attend Contractor's regularly scheduled planning meetings when requested and/or appropriate to the stage of the construction (at no additional cost to the Owner).

4.5.3 The PM shall review the Contractor's list of proposed subcontractors for the work, initial administrative submittals for Project Schedule, Schedule of Values, Submittal Schedule, and Equipment Matrix to establish appropriate bases for construction monitoring, payment processing, and system commissioning. The PM shall identify necessary revisions to the documents in writing to the Contractor and recommend acceptance of the documents by the Owner when appropriate. The PM shall review periodic updates of all schedules with Owner and Contractor to evaluate appropriateness. The PM shall assure that the Contractor satisfactorily completes 90% of all buy outs and submittal approvals within the first 25% of the Construction Phase unless a less prescriptive strategy is approved by the ODR.

At monthly intervals, the PM will review and validate the Contractor's Construction Schedule for appropriate logic and durations and to initiate any recovery action necessitated by slippage to the Project Schedule. The PM is responsible for having the Contractor correct any problems with the schedule until the schedule is acceptable to the PM.

4.5.4 The PM shall assure the Project Team prepares appropriate materials for and participate in a Pre-Construction Conference(s) at the site prior to commencement of construction by the Contractor.

4.5.5 The PM shall be a representative of the Owner during the Construction Phase, and shall advise and consult with the Owner. Instructions to the Contractor regarding changes to the Work shall be forwarded through the PM. The PM shall have authority to act on behalf of the Owner to the extent provided in the Contract Documents. Duties, responsibilities and limitations of authority of the PM shall not be restricted, modified or extended without written acceptance of the ODR.

4.5.6 The PM shall assure the A-E visits the site periodically (not less than monthly) during the entire construction period to observe the progress and quality of the Work and to determine in general if the Work is proceeding in accordance with the Contract Documents. The PM shall assure each of A-E's consultants shall visit the site periodically (not less than monthly) during construction activities related to the consultant's discipline to observe the progress and quality of the Work and to determine in general if the Work is proceeding in accordance

with the Contract Documents. PM shall assure the A-E and its consultants submit timely written reports of their site visits and meetings. The PM shall assure that site visits and inspections by the A-E or the A-E's consultants occur at such times permitted or required under the Owner's agreement with the A-E.

- 4.5.6.1 On the basis of the onsite observations, the PM shall keep the ODR and the A-E informed of the progress and quality of the Work, and shall endeavor to identify defects and deficiencies in the Work of the Contractor. PM shall notify ODR, A-E and the Contractor in writing of any portions of the work, which PM has observed as not being in conformity with the Construction Documents and facilitate in recommendations as to correction of the deficiencies or defects. PM shall make its site representative available and shall consult with ODR and the Contractor on the occasion of all circumstances arising during the course of construction, which would make such consultation in Owner's interests.
- 4.5.6.2 In addition to site visits for general inspection and observation, the PM shall assure the A-E and its consultants shall visit the site as appropriate for specific purposes related to certification of progress payments, pre-construction meetings, start-up or mock-up reviews for significant work activities and for formal inspections of the Work. The PM shall assure the A-E and its consultants provide written reports of all site visits to the OSDR and Contractor.
- 4.5.7 The PM shall not have control or charge of and shall not be responsible for construction means, methods, techniques, sequences or procedures, or for safety precautions and programs in connection with the Work, for the acts or omissions of the Contractor, Subcontractors or any other persons performing any of the Work, or for the failure of any of them to carry out the Work in accordance with the Contract Documents.
- 4.5.8 The PM at all times shall have access to the Work wherever it is in preparation or progress.
- 4.5.9 The PM shall determine, in consultation with the A-E and its consultants, the amounts owing to the Contractor based on observations of Work placed at the site and on evaluations of the Contractor's Application for Payment, and shall coordinate its review and evaluation with the ODR and the A-E. The PM shall certify Contractor's Application for Payment in an appropriate amount. Furthermore, the PM shall obtain the A-E's certification of the Contractor's Application for Payment in an appropriate amount.
- 4.5.10 The certification of a Contractor's Application for Payment shall constitute a representation by the PM to the Owner, based on the PM's observations at the site and on the data comprising the Contractor's Application for Payment, that the Work has progressed to the point indicated; that, to the best of the PM's knowledge, information and belief, the quality of the work is in accordance with the Contract Documents (subject to an evaluation of the Work for conformance with the Contract Documents upon Substantial Completion, to the results of any subsequent tests required by or performed under the Contract Documents, to minor deviations from the Contract Documents correctable prior to completion, and to any specific qualifications stated in the Contractor's Application for Payment); and that the Contractor is entitled to payment in the amount certified. However, the approval of a Contractor's Application for Payment shall not be a representation that the PM has: (1) made any examination to ascertain how and for what purpose the Contractor has used the monies paid on account of the Contract Sum; or (2) accepted the Contractor's responsibility for the quality or quantity of the Work. Review of bills of sale, subcontractor invoicing, quantity observations of implemented Work, review of stored materials and other actions necessary

for a complete understanding of the requested amount on each pay application shall be performed by the PM.

- 4.5.11 The A-E shall be the interpreter of the technical requirements of the Contract Documents and the judge, to the extent provided herein, of the performance of the work of the Contractor. The PM shall assure the A-E renders interpretations necessary for the proper execution or progress of the Work with reasonable promptness on written request of either the Owner or the Contractor, and the A-E shall render written recommendations within a reasonable time, on all claims, disputes and other matters in question between the Owner and the Contractor relating to the execution or progress of the Work or the interpretation of the Contract Documents.
- 4.5.12 PM shall assure interpretations and recommendations of the A-E shall be consistent with the intent of and reasonably inferable from the Contract Documents and shall be in written or graphic form.
- 4.5.13 The PM shall have the authority, with appropriate notification to the parties, to reject Work which does not conform to the Contract Documents. Whenever, in the PM's reasonable opinion, it is necessary or advisable for the implementation of the intent of the Contract Documents, the PM will have authority to require special inspection or testing of the Work in accordance with the provisions of the Contract Documents, whether or not such Work will then be fabricated, installed or completed. The PM shall review construction materials testing and any special testing required and shall provide recommendations for retesting, actions, or any appropriate corrective measures as may be necessary or appropriate based on the results of such tests.
- 4.5.14 The PM shall assure the A-E and its consultants shall review and approve or take other appropriate action upon the Contractor's submittals such as Shop Drawings, Product Data and Samples specifically required by the Construction Documents, but only for conformance with the design concept of the Work set forth in the Contract Documents, and shall respond to Contractor's inquiries and questions and provide supplemental information as appropriate. Action on submittals shall be taken with reasonable promptness so as to cause no delay to the Contractor's scheduled progress, but in any event no more than fourteen (14) business days after receipt. Review of such submittals is not conducted for the purpose of determining the accuracy and completeness of other details, such as dimensions and quantities, or for substantiating instructions for installation or performance of equipment or systems, all of which remain the responsibility of the Contractor.
- 4.5.15 PM shall assure that the A-E clarifies and interprets the intent and scope of the Construction Documents and, if necessary or appropriate, issues supplemental documents to amplify or explain portions of the Construction Documents.
- 4.5.16 PM shall review and verify with the A-E the Contractor's requests for change orders or claims for additional time or costs, and make recommendations to Owner as to such requests or claims.
- 4.5.17 The PM shall assure that the A-E prepare Change Orders for the Owner's approval and execution in accordance with the Contract Documents, and shall have authority to order minor changes in the Work not involving an adjustment in the Contract Sum or an extension of the Contract Time, which are not inconsistent with the intent of the Contract Documents. In conjunction with each Change, the PM shall assure that the A-E prepares an independent cost and time estimate for comparison with the Contractor's proposal and recommend to the

Owner whether the Contractor's proposal is acceptable. R. S. Means Cost Resources shall be the basis for all such Change Order estimates.

- 4.5.18 PM shall assure that the A-E prepares revised Contract Drawings, where appropriate, to illustrate and document the work required by approved Change Orders. All proposed changes to drawings plans and specifications, regardless of how initiated, shall be defined in the document depicting them as to scope of work added, removed, or changed. The original copies of the Construction Documents maybe revised to show such changes, provided that all such revisions shall be separately recorded on the media acceptable to Owner, including, without limitation, CADD. Such revisions shall be clearly indicated and a current revision date shall be included on the reproducible copy. Changes to the specifications shall be made by consecutively numbered and dated addenda. All changes to design documents or specifications will be identified with date of change, revision number and other customary identification references. Areas changed on drawings will be "clouded" to show each change. Clouds designating previous changes will be removed so that only the most recent changes will be clouded.
- 4.5.19 PM will attend and shall assure that the A-E and its consultants participate in concealed space observations, systems start-up observations, systems integration/operational demonstrations, Substantial Completion inspections, and Final Completion inspections. In association with each observation, the PM shall assure that the A-E and its consultants shall prepare a list of items which A-E and its consultants have observed as deficiencies in the Work, requiring remedial work or replacement, assemble and distribute the official list of deficiencies (Punch list) to all affected parties, and thereafter review the corrected and/or replaced work and assist in verification of correction of all Punch list items.
- 4.5.20 PM shall review, for conformance with the Contract Documents, Contractor's submission of guarantees and warranties, and advise the Owner and the A-E, in writing, when such items are not in compliance with the Contract Documents.
- 4.5.21 The PM and its consultants shall assist the Owner in checking the Contractor's as-built drawings and specifications during the course of the Work in association with certifying progress payments. Failure to review and advise on the Contractor's progress and update the as-built drawings in a timely manner will affect the progressing of the monthly payment to the PM for the Construction Phase (Article 8). The PM shall review as-built documents for completeness and compliance with Contract requirements at Substantial Completion and at Final Completion of the Project. As a part of the Record Drawing and Closeout Documents Submittal phase of this Agreement the PM shall assure that the A-E and the Contractor enter all the Contractor's records of changes onto the original Construction Documents and shall furnish and deliver to the Owner two compact disks of the complete documents in a format acceptable by the Owner for the Owner's record.
- 4.5.22 PM shall receive and review Contractor's submission of record drawings, operating and maintenance instructions, and all manuals, brochures, drawings, and other closeout documentation furnished by the Contractor, shall require necessary revisions to same, and when acceptable under the terms of the Contract between Owner and Contractor, shall forward to ODR. The PM shall certify final payment to the Contractor when the PM believes the requirements of the Contract between Owner and Contractor have been met.
- 4.5.23 PM shall monitor the Contractor's schedule for the construction phase work and assist the Owner in reviewing all relevant activities and advise the ODR of the Contractor's

scheduled progress and facilitate with the Contractor any recovery actions to maintain the Project's schedule.

- 4.5.24 PM shall assure the A-E prepares a complete list of project close-out and Owner-training requirements contained in the Construction Documents (CDs) and will display them in matrix form in accordance with the A-E's agreement.
- 4.5.25 The PM shall certify final payment to the A-E when the PM believes the requirements of the Contract between Owner and A-E have been met.
- 4.5.26 The PM shall be available after final payment to advise the Owner regarding Warranty items and to inspect Warranty work during the Warranty period. PM shall participate in the Project's one-year warranty review at the eleven month anniversary of substantial completion date.

ARTICLE 5 ADDITIONAL SERVICES

- 5.1 Services may be required of the PM which are not included as part of the services described in Articles 1 and 4 or the Additional Services described in Exhibit A. If such services are required, they will be authorized in writing to be performed by the PM and paid for by the Owner as hereinafter provided. If authorized in writing to be performed by the PM, such services will be subject to this Article 5.
- 5.2 Any Additional Service shall be agreed to and authorized in writing by the ODR before the work is performed. Fees for additional services performed by the PM's staff shall be proposed to the ODR showing a detailed documented required level of effort and using the hourly rate schedule included as Exhibit B. Consultant services for additional services, provided under subcontract to the PM, shall be compensated at actual cost plus ten percent (10%). Reimbursable expenses for both the PM and its consultants shall be compensated at direct cost (with no markup). The Owner may decide to reach a Lump Sum agreement with the PM prior to authorizing Additional Services change order effort or may direct the effort to be performed on a Time and Expenses basis.

ARTICLE 6 THE OWNER'S RESPONSIBILITIES

- 6.1 The Owner shall provide general requirements and description for the Project (budget limitations and required delivery schedules, etc.).
- 6.2 The Owner, through its authorized representatives, shall examine and review documents submitted by the PM and shall render decisions and provide comments pertaining thereto promptly, to avoid unreasonable delay in the progress of the Project.
- 6.3 The Owner shall furnish the PM, when available and applicable, copies of Owner's Standard General Conditions, Contract Forms, Bond Forms, bidding information and instructions, minimum wage rates for inclusion in the specifications, and design and construction standards of the Owner.

ARTICLE 7 REVISIONS TO DRAWINGS AND SPECIFICATIONS

- 7.1 The PM shall manage the A-E in the preparation of the Construction Documents containing such provisions which will permit the Owner to obtain a competitive sealed proposal(s) or through subcontractor building/buyout packages within the Construction Cost Limitation. In the event the lowest acceptable proposal(s) or aggregate building/buy out packages exceeds the Construction Cost Limitation, and if the Owner does not see fit to allot additional funds, the PM shall assure the A-E revises the Construction Documents as may be necessary to bring the Construction Cost within the Construction Cost Limitation.
- 7.2 PM shall assure that an estimate of cost prepared in detail form shall be provided by the A-E and the Contractor at each stage of the design where a review submittal is required by this Agreement. All such estimates shall be prepared by a recognized independent third party estimating consultant retained and paid by the A-E out of its Basic Services Fee and the Contractor through its Pre-Construction Fee. The estimates shall be prepared in detail in the Construction Specifications Institute (CSI) format. If such estimates, at any required submittal stage, exceed the stated Construction Cost Limitation (including contingencies), the Owner may modify the Construction Cost Limitation, accept Value Engineering recommendations; revise the program, scope or quality, or any combination of these to bring the construction cost within the Construction Cost Limitation. The PM shall assure that the A-E then revises the Construction Documents (at no added charge to the Owner) as may be necessary. The Owner may decide to include alternate bid items in the Construction Documents as a means of adjusting the actual construction cost to the cost limit. The PM is responsible to the Owner that the A-E and Contractor reconcile these estimates in a format that can align with buyout packages and subsequent final schedule of values.
- 7.3 The PM shall develop and manage strategies that maximize the budget for the ECC and CCL for accuracy in market conditions and detailed quantities also incorporating add alternates, phasing or other practices that correctly identify the Project Scope to the stated ECC/CCL.

ARTICLE 8 DELIVERABLE SCHEDULE AND PAYMENTS TO THE PM

- 8.1 In terms of each Project Assignment, payments of fees shall be made monthly in proportion to the services performed. PM shall delineate the fee between the document preparation duration, construction phase duration, close-out and final payment to A-E and Contractor and post construction duration. PM shall provide services for a one year warranty walkthrough at the at the eleven month anniversary from the substantial completion date of the Project Assignment.
- 8.2 No deduction shall be made from the PM's compensation on account of penalty, liquidated damages or other sums withheld from payments to the Contractor.

ARTICLE 9 TERMINATION OF AGREEMENT

This Agreement shall have a two-year initial term, and shall terminate on the second anniversary of its effective date. The Owner shall have the option to renew the Agreement for an additional one-year term by written notice to the PM given at least thirty (30) days prior to the end of the initial term. The provisions of this Agreement governing the PM's responsibilities and the Owner's payment obligations shall survive the termination of this Agreement as necessary to enable to PM to finish its work on all Projects initiated prior to the termination of the Agreement. Notwithstanding the foregoing, this Agreement may be terminated earlier by either party upon ten days' written notice should the other party fail substantially to

perform in accordance with its terms and conditions. In the event of a termination that is not the fault of the PM, the PM shall be paid for services performed to the termination date. As a condition of the final payment involving such a termination, the PM shall promptly assemble in an orderly manner data accumulated and products of its services and deliver the same to the Owner.

ARTICLE 10 CLOSE-OUT DOCUMENTS

- 10.1 At the completion or termination of services for each Project, and as a part of the Basic Services of this Agreement, the PM shall assure that the A-E delivers to the ODR one clear set of reproducible drawings (not sepias), one printed copy of the specifications and one complete computer generated file on disk of all drawings and specifications, including all architectural clarifications and change order supplemental drawings. All such deliverables shall be marked as Record Documents.
- 10.2 The PM shall assure that the specifications will state that the Contractor will keep a current set of Project drawings and specifications on the job and will assure changes and/or as-built conditions are documented from the construction of the Project. These documents will be reviewed at least monthly by the PM and will inform the Owner, in writing, of their status immediately after this review.
- 10.3 For each completed Project, the PM is responsible for assisting in the preparation of the Final Report to the Texas State University System Board of Regents and for closing out the e-Builder project file.

ARTICLE 11 SUCCESSORS AND ASSIGNS

The PM binds itself, its partners, successors, assigns and legal representatives to the Owner, its successors, assigns and legal representatives in respect to all covenants of this Agreement. Neither the Owner nor the PM shall assign, sublet or transfer its interest in this Agreement without the written consent of the other. The PM's duties under this Agreement are non-delegable.

ARTICLE 12 DISPUTE RESOLUTION AND AUDITS

Dispute Resolution. In the event of any dispute arising under this Contract, the parties agree to follow the procedures set forth in Chapter 2260 of the Texas Government Code.

State Auditor's Office. PM understands that acceptance of state funds under this Contract acts as acceptance of the authority of the State Auditor's Office to conduct an audit or investigation in connection with those funds. PM further agrees to cooperate fully with the State Auditor's Office in the conduct of the audit or investigation, including providing all records requested. PM will ensure that this clause concerning the State Auditor's Office's authority to audit state funds and the requirement to cooperate fully with the State Auditor's Office is included in any subcontracts it awards. Additionally, the State Auditor's Office shall at any time have access to and the right to examine, audit, excerpt and transcribe any pertinent books, documents, working papers and records of PM relating to this Contract. PM further understands that Owner may conduct a financial and/or performance audit of this Contract and agrees to cooperate fully in such an audit to the same extent as an audit by the State Auditor's Office. The provisions of this Article 11 shall survive the termination of this Contract.

ARTICLE 13 REPRESENTATIVES, NOTICES AND MISCELLANEOUS PROVISIONS

- 13.1 The Owner's Designated Representative ("ODR") authorized to act in the Owner's behalf with respect to the Project is:

Peter E. Graves, Vice Chancellor for Contract Administration
Texas State University System
Thomas J. Rusk State Building
208 E. 10th Street, Suite 600
Austin, Texas 78701
Phone: (512) 463-1808 Fax: (512) 463-1816
Email: peter.graves@tsus.edu

- 13.2 The PM's designated representative authorized to act on the PM's behalf and bind the PM with respect to the Project is:

Ronnie Howe, Sr. Vice President
Skanska USA Building Inc.
3009 Post Oak Boulevard Suite 910
Houston, Texas 77056-6599
Phone: (713)401-5200 Fax: (713)401-5290
Email: ronnie.howe@skanska.com

- 13.3 The Owner's Designated Site Representative (ODSR) will serve as the Component's point of contact for the PM. The ODSR will not have authority to negotiate cost, time or Contract terms or to issue Notices to Proceed, but will have the authority to make decisions on behalf of the Owner concerning coordination with the Component of the PM's work on the site including, traffic controls, site safety, scheduling of utility outages, materials and color selections, and all matters within the contract that do not involve changes to the scope, cost and/or time for completion. The ODSR or its designee will coordinate and conduct quality inspections of the construction work as it is installed and authorize payment.

- 13.4 Any notices required or permitted under this contract shall be effective if sent to the representatives designated pursuant to this Article 13. The parties may make reasonable changes in their designated representatives upon advance written notice to the other party.

- 13.5 In no event shall PM's liability arising out of or in connection with the performance or nonperformance of any or all Services or other obligations under this Agreement exceed the total amount paid to PM under this Agreement, whether based on delay, contract, tort, negligence, strict liability, warranty, indemnity, error and omissions or otherwise, provided that such limitation of liability shall not apply to PM's indemnity obligations for claims asserted against the Owner by third parties for personal injury or tangible property damage caused by the negligence of the PM or its employees, and provided further that such limitation shall not apply to amounts actually paid from the professional liability insurance PM is required to maintain pursuant to the terms of this Agreement.

- 13.6 Neither Party shall be liable under or in connection with this Agreement for any consequential, special, incidental, indirect, punitive or exemplary damages, or damages arising from or in connection with loss of use, loss of revenue, loss of actual or anticipated profit, loss by reason of delay, increased cost of construction or cost of capital, whether based on delay, contract, tort, negligence, strict liability, warranty, indemnity, error and omission or otherwise, and each Party hereby releases the other from

any such liability. Nothing in this paragraph 13.6 shall limit Owner’s payment obligations under the Agreement.

- 13.7 The parties recognize that the PM does not enter into any agreement with the A-E or Contractor, therefore the PM cannot contractually control their actions, which prevents the PM from being able to assure that the A-E or the Contractor perform their obligations under their agreements with the Owner. The word “assure” in this Agreement shall be interpreted as meaning the PM will endeavor to use its best good faith efforts to perform the task specified.
- 13.8 This Agreement shall supersede any other agreement currently in effect between the parties with respect to the provision of indefinite quantity project management services on the campus of Sam Houston State University.
- 13.9 Texas State University System (TSUS) colleges and universities, including Sam Houston State University, strictly adhere to Title IX of the Education Amendments of 1972, the federal Campus Sexual Violence Elimination Act; United States Department of Education regulations and directives; and TSUS Sexual Misconduct Policy and Procedures (“Regulations”). Specifically, the Regulations apply to all students, employees, visitors, and other third parties on Sam Houston State University-controlled property, including institutions and entities with whom Sam Houston State University places its students. Further, such Regulations prohibit unequal treatment on the basis of sex as well as sexual harassment and sexual misconduct.

As a condition of employment, enrollment, doing business, or being permitted on the campus, the above-mentioned individuals, organizations, and entities must agree to: 1) Report immediately to the Title IX coordinator any and all claims of sex discrimination or sexual misconduct; 2) Cooperate with Sam Houston State University’s Title IX investigation; and, 3) Cooperate fully with all sanctions that Sam Houston State University may impose against such individual, organization, or entity, who is found to have violated the Sexual Misconduct Policy and Procedures. If the individual, organization, or entity fails to adhere to any of the aforementioned requirements, Sam Houston State University reserves the right to take appropriate action, including but not necessarily limited to, immediate removal from campus; discipline of employees and students (including termination of employment and/or expulsion from school); and termination of business or contractual relationships.

IN WITNESS WHEREOF, the parties hereto have made and executed this Agreement, to be effective on the day and year first above written

For the Project Manager:

For the Owner:

Principal (signature)

Date

Brian McCall, Ph.D., Chancellor
Texas State University System

Date: _____

Type or Write Name

Index to Exhibits:

- Exhibit A:** Additional Services Included in Fee
- Exhibit B:** **Skanska USA Building Inc.**, Fee Schedule
- Exhibit C:** TSUS Policies and Procedures (referenced by hyperlink)
- Exhibit D:** Assignment Letter
- Exhibit E:** Amendment to the Assignment Letter

EXHIBIT A

Additional Services Included in Fee

The following Additional Services are a part of this Contract, and the fee for such services is included in the Fee set forth in Paragraph 2.1 of the Contract:

None.

EXHIBIT B

**Fee Schedule
For**

Skanska USA Building Inc.

Schedule

Hourly Rate

EXHIBIT C

**Texas State University System
Policies and Procedures**

Please visit the TSUS website to download a copy of the TSUS Policies and Procedures Manual at:

<http://www.tsus.edu/about/resources.html>

EXHIBIT D

ASSIGNMENT NO. [XX]
TO
INDEFINITE DELIVERY INDEFINITE QUANTITY (IDIQ) PROFESSIONAL SERVICES/PROJECT
MANAGEMENT / OWNER AGREEMENT

[NAME OF COMPONENT, LOCATION, TEXAS]

This Assignment No. [XX] to Owner/Professional Services/Project Management IDIQ Agreement is made effective [DATE OF THE EXECUTION OF THE ASSIGNMENT], by and between the State of Texas, acting through the Board of Regents of The Texas State University System, hereinafter called the Owner, and [NAME OF THE PM FIRM], hereinafter called the Project Manager (PM).

The Owner and the PM entered into an Owner/Project Management Agreement dated [DATE OF THE EXECUTION OF THE BASE AGREEMENT], (the "Agreement"), providing for the PM's rendering of professional services with respect to the campus of [NAME OF COMPONENT, LOCATION], Texas. In this Assignment No. [XX], the Owner and the PM have agreed to the following scope of the work to be performed by the PM under the Agreement:
[BRIEF DESCRIPTION OF THE WORK TO BE PERFORMED]

PM's services include the work as further described in Exhibit "A" attached hereto in the amount of [FULL DOLLAR AMOUNT OF THE ASSIGNMENT].

Except as amended by this assignment, all terms used in this Amendment that are not defined herein shall have the meanings ascribed to them in the Agreement.

IN WITNESS WHEREOF, the parties hereto have made and executed this Assignment No. [XX] effective the day and year first above written.

[NAME OF COMPONENT]

[NAME OF PM]

By: _____
[Name of Person of Delegated Authority]

By: _____
[Name of Person of Delegated Authority]

Printed Name

Printed Name

EXHIBIT E

AMENDMENT NO [XX] TO ASSIGNMENT NO. [XX]
 TO
 INDEFINITE DELIVERY INDEFINITE QUANTITY (IDIQ) PROFESSIONAL
 SERVICES/PROJECT MANAGEMENT / OWNER AGREEMENT
 [NAME OF COMPONENT, LOCATION, TEXAS]

This Amendment No. [XX] to Assignment No. [XX] to Owner/Professional Services/Project Management IDIQ Agreement is made effective [DATE OF THE EXECUTION OF THE AMENDMENT TO ASSIGNMENT], by and between the State of Texas, acting through the Board of Regents of The Texas State University System, hereinafter called the Owner, and [NAME OF THE PM FIRM], hereinafter called the Project Manager (PM).

The Owner and the PM entered into an Owner/Professional Services/Project Management Agreement dated [DATE OF THE EXECUTION OF THE BASE AGREEMENT], (the "Agreement"), providing for the PM's rendering of professional services with respect to the campus of [NAME OF COMPONENT, LOCATION], Texas. In this Amendment [XX] to Assignment No. [XX], the Owner and the PM have agreed to the following amended scope of the work to be performed by the PM under the Assignment and Agreement: [BRIEF DESCRIPTION OF THE WORK TO BE PERFORMED]

The amended PM services include the work as further described in Exhibit "A" attached hereto in the amount of [FULL DOLLAR AMOUNT OF THE AMENDMENT TO ASSIGNMENT].

Fee Summary:

Original PM Assignment Fee.....	\$xxxxxxxx.00
Amendment No. 1 (Date of Execution-Scope Description).....	\$xxxxxxxx.00
Amendment No. 2 (Date of Execution-Scope Description).....	\$xxxxxxxx.00
New Total PM Lump Sum Fee:	\$xxxxxxxx.00

Except as amended by this assignment, all terms used in this Amendment that are not defined herein shall have the meanings ascribed to them in the Agreement.

IN WITNESS WHEREOF, the parties hereto have made and executed this Amendment No. [XX] to Assignment No. [XX] effective the day and year first above written.

[NAME OF COMPONENT]

[NAME OF PM]

By: _____
 [Name of Person of Delegated Authority]

By: _____
 [Name of Person of Delegated Authority]

 Printed Name

 Printed Name

TSUS: Additions and Revisions to Capital Improvements Program

Upon motion of Regent _____, seconded by Regent _____, it was ordered that:

Each new project and revised project proposed to be included in the Capital Improvements Program (attached and incorporated into this motion by reference) be hereby adopted made a part of such Program.

Explanation

The project described below is proposed to be added to the CIP prior to the annual update of the CIP in May 2015. Information regarding this project is attached to this motion. The Board's approval of this motion will add the new project to the CIP and authorize the component to begin programming and design and to expend an amount not to exceed 4% of the Total Project Cost associated with each project to bring the project through Design Development.

The project included in this motion is as follows:

Sul Ross State University

One project is proposed to be added.

1. Motion Capture Lab, FY 2015 project, with a preliminary project cost of \$400,000, funded by grants.

CIP Project Information Form

Project Name: Motion Capture Lab

Component: Sul Ross State University

Program Year: 2015

New or Amended: New

On Campus Master Plan? No

Project Type: Classroom/Laboratory

Gross square footage: 1,560

Site/Location: Kokernot Outdoor Theatre

Project Need: This structure is intended as a classroom and laboratory production space to expand curriculum and increase student enrollment for motion capture and video production classes as listed in the CSAT degree plan. Motion Capture, Basic Video Production, Advanced Video Production, Basic Audio Production, Advanced Audio Production, and Acting for Animators will all use this space. The new structure will be free standing adjacent to the "scene shop" south-west of the existing theater complex. Existing ADA compliant facilities including restrooms and parking will be available to the occupants and visitors of the new structure. Utility services will include a new electrical service tap from the existing transformer and new water and sanitary tap from existing services.

Preliminary Project Cost: \$400,000

Source(s) of Funding: Grant

Comments:

EXECUTIVE SUMMARY

Planning and Construction Report

November 2014

Following this Executive Summary are the following items:

1. Spreadsheet summarizing the status of TSUS capital projects as of October 2, 2014.
2. Brief summaries of project status for each TSUS project, listed by Component and current phase of project.
3. Annual Campus Condition Report (pursuant to Section 61.05821, Texas Education Code).

TSUS presently has eight projects valued at approximately \$140 million in the planning stage, a 17% decrease from the previous calendar quarter as several projects have moved into the design phase. Currently, eight projects are in design valued at approximately \$170 million. Nine projects valued at approximately \$95 million are in various stages of construction but have not yet reached substantial completion. Excluding projects that have reached substantial completion, we have approximately \$406 million in projects in planning, design or construction that are

moving forward, a decrease of about 4% from the previous quarter. The preceding summary excludes projects under \$1 million that are proceeding under Presidential authority.

We are continuing to see escalation in construction costs across all projects, and expect this trend to continue for the near future. Lack of capacity in subcontractor markets and materials shortages are primary factors in rising costs.

The Campus Condition Report presents the priority deferred maintenance projects planned by each TSUS component institution, and calculates the value of all deferred maintenance as a percentage of the value of campus facilities.

November, 2014

TSUS Capital Projects (funding identified)

Component	Project Name	Est. Cost	Phase	Construction Start	Construction Finish	Notes
LIT	Technology Training and Education Buildings	\$ 2,790,000.00	8-Close-out	June, 2012	August, 2013	
LSC-O	Nursing and Classroom Building	\$ 10,181,120.00	8-Close-out	November, 2012	August, 2013	
LSC-PA	Student Housing	\$ 6,600,000.00	2-Planning	TBD	TBD	
LU	Renovation of Setzer Center	\$ 8,200,000.00	2-Programming	TBD	August, 2021	
LU	Regional Center for Innovation and Commercialization	\$ 11,110,500.00	6-Construction Documents	December, 2014	December, 2015	Funded by a HUD grant administered through GLO.
LU	Wayne A. Reaud Building	\$ 25,028,340.00	6-Construction Documents	October, 2014	February, 2016	Groundbreaking was held on October 7, 2014.
LU	New Softball Field	\$ 2,000,000.00	6-Construction Documents	October, 2014	May, 2015	Authority for project execution has been delegated to the University.
SHSU	South Dining	\$ 13,200,000.00	4-Schematic Design	TBD	August, 2016	
SHSU	Fred Pirkle Engineering Technology Center	\$ 25,000,000.00	5-Design Development	TBD	November, 2016	Formerly known as the Agriculture and Technology Building
SHSU	South Residence Complex	\$ 67,400,000.00	5-Design Development	TBD	August, 2016	Design development approval is on the agenda for the November, 2014 Board meeting.
SHSU	South District Parking & Related Infrastructure	\$ 4,323,934.00	7-Construction	October, 2014	Spring, 2015	
SHSU	Woodlands Level 4 Nursing Build-out	\$ 1,000,000.00	7-Construction	TBD	December, 2014	
SHSU	Sycamore Vivarium	\$ 1,625,000.00	7-Construction	June, 2014	December, 2014	Authority for project execution has been delegated to the University.
SHSU	Student Health and Counseling Center Expansion	\$ 11,332,000.00	7-Construction	October, 2013	August, 2014	Substantial completion occurred on August 22, 2014.
Sul Ross	Campus Access (Phase I)	\$ 1,106,600.00	2-Planning	TBD	December, 2015	
Sul Ross	Jackson Field Improvements	\$ 1,325,000.00	8-Close-out	July, 2013	November, 2013	
TxST	CoGeneration Plant Gas Turbines	\$ 45,000,000.00	2-Planning	TBD	TBD	Public-private partnership is expected delivery method for this project.
TxST	LBJ Student Center Renovation	\$ 15,010,624.00	2-Planning	TBD	TBD	Architectural Space Study was delivered in June, 2014.
TxST	Strahan Expansion and Renovations	\$ 49,440,000.00	2-Planning	TBD	TBD	Feasibility study was completed in November, 2013.
TxST	JC Mitte Renovations	\$ 6,520,000.00	3-Procurement	June, 2015	August, 2016	
TxST	Alkek Library Renovations	\$ 8,000,000.00	3-Procurement	June, 2015	September, 2016	
TxST	STAR One Expansion	\$ 8,065,457.00	4-Schematic Design	August, 2015	June, 2016	
TxST	Jones Dining Hall Renovation	\$ 18,619,805.00	6-Construction Documents	December, 2014	August, 2016	
TxST	Bobcat Trail Mall Redevelopment	\$ 5,488,888.00	7-Construction	June, 2014	December, 2015	
TxST	Bobcat Trail Utility Updates	\$ 6,300,000.00	7-Construction	June, 2014	December, 2015	
TxST	Comal Building Renovation	\$ 13,850,000.00	7-Construction	May, 2013	June, 2014	Substantial completion occurred in June, 2014. Facility is occupied and in use.
TxST	Electrical Infrastructure Upgrades	\$ 11,800,000.00	7-Construction	January, 2012	June, 2016	Phase 1 was substantially complete in January 2013.
TxST	Performing Arts Center Complex	\$ 83,243,646.00	7-Construction	August, 2011	September, 2013	Post-substantial completion acoustic and warranty issues are being addressed.
TxST	Residence Life Housing, Phase II: Moore Street Housing	\$ 59,834,337.00	7-Construction	June, 2014	May, 2016	
TxST	RF Mitte Renovations	\$ 2,750,000.00	7-Construction	June, 2014	August, 2015	University has delegated authority to execute this multi-year, multi-phase renovation.
TxST	STAR One Finish-Out	\$ 2,125,000.00	7-Construction	October, 2014	April, 2015	Finish-out of shelled space proceeding under delegated authority to the University.
TxST	Department of Housing and Residence Life: Phase I West Campus	\$ 60,468,000.00	8-Close-out	November, 2012	June, 2014	Facility is occupied and in use as of Fall, 2014.

TOTAL: \$ 588,738,251.00

November, 2014
Detailed Breakdown

Project Phase	Number of Projects	Total Project Value	Percent of Total
Planning/Programming	8	\$ 139,877,224.00	23.76%
Design (pre-Board approval)	4	\$ 113,665,457.00	19.31%
Design (post-approval)	4	\$ 56,758,645.00	9.64%
Construction*	9	\$ 95,247,159.00	16.18%
Post-substantial completion**	7	\$ 183,189,766.00	31.12%
TOTAL:	32	\$ 588,738,251.00	100%

*See chart below for detail

**Includes projects in close-out

Projects In Construction

FY	Number of Projects	Total Project Value	Percent of Total
Completion FY 2015	5	\$ 11,823,934.00	12.41%
Completion FY 2016	4	\$ 83,423,225.00	87.59%
TOTAL:	9	\$ 95,247,159.00	100%

November, 2014

TSUS Projects Not Currently Moving Forward (funding not yet identified)

Component	Project Name	Est. Cost	Phase	Construction Start	Construction Finish	Notes
LIT	Student Service Learning Center	\$ 16,200,000.00	On hold - funding	TBD	TBD	Programming is complete.
LIT	TA Buildings Renovation	\$ 16,500,000.00	On hold - funding	TBD	TBD	Programming is complete.
LSC-O	Multipurpose Building	\$ 12,500,000.00	On hold - funding	TBD	TBD	Programming is complete.
SHSU	Biology Laboratory Building	\$ 60,000,000.00	On hold - funding	TBD	TBD	Formerly the Health and Life Sciences Building, project scope was reduced.
SHSU	CMIT/LEM/PRC Facility & Infrastructure	\$ 43,750,000.00	On hold - funding	TBD	TBD	Master plan for the 78 acre tract was approved in August, 2014.
SHSU	Gibbs Ranch Equine Arena	\$ 10,300,000.00	On hold - funding	TBD	TBD	Programming is complete.
SHSU	Gibbs Ranch Plant Science Field Lab	\$ 4,216,000.00	On hold - funding	TBD	TBD	Programming complete. Project cost updated in August 2014.
SHSU	I-45 Recreational Complex	\$ 10,000,000.00	On hold - funding	TBD	TBD	Reprogramming approved in August 2013.
SHSU	Lowman Student Center Expansion	\$ 34,500,000.00	On hold - funding	TBD	TBD	Programming is complete. Project cost updated in August 2014.
TxST	Alkek Library Learning Commons	\$ 2,500,000.00	On hold - funding	TBD	TBD	Feasibility Study is complete.
TxST	Alkek Library Repository	\$ 5,900,000.00	On hold - funding	TBD	TBD	Feasibility Study is complete.
TxST	Baseball/Softball Team Building	\$ 8,129,557.00	On hold - funding	TBD	TBD	Feasibility study was completed in February, 2014.
TxST	DHRL Blanco Hall Renovations	\$ 20,700,000.00	On hold - funding	TBD	TBD	Feasibility Study is complete.
TxST	Engineering and Science Building	\$ 107,012,293.00	On hold - funding	TBD	TBD	Updated program includes necessary infrastructure as additional scope.
TxST	Music Building	\$ 56,705,000.00	On hold - funding	TBD	TBD	Programming complete. Project cost updated in August 2014.
TxST	RRHEC #3 (Health Professions 1)	\$ 67,583,621.00	On hold - funding	TBD	TBD	Project cost reflects proposed TRB request.
TxST	RRHEC #4 (Health Professions 2)	\$ 35,000,000.00	On hold - funding	TBD	TBD	Programming complete. Project cost updated in August 2014.

TOTAL: \$ 511,496,471.00

Lamar Institute of Technology
Summary
(as of October 2, 2014)

I. Project Planning & Programming

1) Student Service Learning Center

Programmer: Facility Programming & Consulting Est. Cost: \$16,200,000

Phase 3 of 3 of the Programming is 100% complete. Programming has been approved by the Institute. This project is on the Capital Improvements Program and will be initiated in FY2015, pending Tuition Revenue Bond funding.

2) TA Buildings Renovations/Replacement (5 Buildings)

Programmer: Facility Programming & Consulting Est. Cost: \$16,500,000

Phase 3 of 3 of the Programming is 100% complete. This project is on the Capital Improvements Program and will be initiated in FY2015, pending Tuition Revenue Bond funding.

II. Design/Construction Document Phase

N/A

III. Construction Phase

3) The Technology & Training and Education Buildings

Architect: The LaBiche Group
CM@R: SeTex Construction

Est. Cost \$2,790,000
Est. Completion: August 23, 2013

The project is substantially complete and the buildings were dedicated in a ceremony on October 2, 2013 and named the Tommy Williams Technology Training and Education Buildings. The final inspection has been completed and project closeout is in process.

IV. Completed Projects

N/A

**Lamar State College-Orange
Summary
(as of October 2, 2014)**

I. Project Planning & Programming

1) Multipurpose Building

Programmer: Facility Programming and Consulting Est. Cost: \$12,500,000
Est. Completion: TBD

A Multipurpose Building is needed to provide space for both the College Success and Continuing and Workforce Education programs. Proposed building is 29,016 square feet. Included with the building project is a provision for electrical upgrades needed to connect new building to the central plant. The building will be located on the northeast corner of the campus which is currently not served by the central plant operations. Programming of the new building is complete. The funding for this project has been requested in the 2016-2017 Legislative Appropriation Request. This building can only be built if state funding is secured.

II. Design/Construction/Document Phase

N/A

III. Construction Phase

2) Nursing and Classroom Building

Architect/Engineer: PBK Architects Est. Cost: \$10,181,120
Construction Manager-At-Risk: SpawGlass Est. Completion: August 2013
Project Manager: Hill International, Inc.

A notice to proceed with the construction phase of the project was issued to the Construction Manager-At-Risk, SpawGlass, on September 12, 2012. The project includes the replacement of three cooling towers at the Central Plant to gain efficiencies and allow connectivity to the new building. The project is 100% complete. The building is fully occupied and clinical labs previously held off site, within a hospital environment for simulation classes, are now conducted in the new building's state of the art Simulation Lab. Project close-out is in process.

IV. Completed Projects

N/A

**Lamar State College-Port Arthur
Summary
(as of October 2, 2014)**

I. Project Planning & Programming

1) Student Housing Project

Developer: TBD

Est. Cost:	\$6,600,000
Est. Completion:	TBD

Due to a material change in the required scope of the project, the existing solicitation for a developer was terminated. The College commissioned a study to determine the feasibility of a dining facility in existing campus space.

II. Design/Construction/Document Phase

N/A

III. Construction Phase

N/A

IV. Completed Projects

N/A

**Lamar University
Summary
(as of October 2, 2014)**

I. Project Planning & Programming

1) Renovation of Setzer Student Center

Programming Firm: Facility Programming & Consulting	Est. Cost:	\$8,200,000.00
	Est. Completion:	2021

This project will accomplish selective demolition and total renovations of the existing Setzer Student Center Building over three phases starting in 2016. It will include administrative support areas, general faculty and staff offices, student activities center for welcoming new or potential students and classrooms, meeting areas and ballroom. The project is currently in the programming phase, which is expected to be complete in December 2014.

II. Design/Construction Document Phase

2) New Softball Field

Architect: Brown Reynolds Watford Architects	Est. Cost:	\$2,000,000
Contractor: ALLCO	Est. Completion	May 2015

Lamar University is initiating play in Women's NCAA Division 1 Softball and anticipates joining conference play in the spring of 2015. The University desires to construct a Competition Softball Complex to be completed by the first home game in March 2015. The preliminary budget for the complex is in the range of \$1.5 to \$2.0 million. The new softball complex will be located adjacent to the recently constructed competition soccer complex and will share support facilities including parking and a support building that houses locker rooms, offices, restrooms, and concessions. The softball complex will be designed to meet NCAA Division 1 standards and will include a lighted, natural grass field, seating for 400 to 500 spectators, hitting/pitching practice facilities, and a storage facility. Authority to execute this project has been delegated to the President. The anticipated construction start date is October 2014.

3) Regional Center for Innovation and Commercialization

Programming/Architect: Long Architects Inc.	Est. Cost:	\$11,110,500
Contractor: KBR Building Group, LLC	Est. Completion:	December 2015
Project Manager: Hill International		

Lamar University entered into a contract with the Texas General Land Office (administrator of federal disaster recovery grant funding provided by the U.S. Department of Housing and Urban Development in response to Hurricane Ike) to construct a Regional Center for Innovation and Commercialization on the campus of the University to be funded by a HUD grant. The Innovation and

Commercialization Center will house a Technology Business Incubator with space and infrastructure to help develop and grow new technology-based businesses. The Center will include training facilities, a Small Business Development Center, the Institute for Entrepreneurial Studies and several anchor tenants. The building will include classrooms, offices and necessary service areas and infrastructure. The facility is currently designed at 27,765 square feet for future tenants. The project is in the Design Development phase. In August 2014, the Board of Regents authorized the University to provide up to \$1 million in additional funding for this project if necessary. The anticipated construction start date is December 2014.

4) Wayne A. Reaud Administration Building (Formerly Brooks-Shivers Renovation)

Architect: Page Est. Cost: \$25,028,340
Contractor: SpawGlass Construction Corp. Est. Completion: February 2016
Project Manager: Hill International

The preliminary strategy for the Brooks-Shivers Residence Hall was to demolish all three Buildings A, B and C and build a new 2-story structure along with the reprogramming of the building. All three buildings have been demolished. The New Administration & Honors Building will house the Lamar University President's Office including all administrative support personnel that are under the President, Human Resources, Institutional Research & Reporting, Honors Student Program, general faculty and staff offices, a student activities center for welcoming new or potential students, new parking and Computer Floor and IT Department Offices and a conference center. The Design Development documents were approved by the Board of Regents in August 2014. The anticipated construction start date is October 2014.

III. Construction Phase

N/A

IV. Completed Projects

N/A

**Sam Houston State University
Summary
(as of October 2, 2014)**

I. Project Planning & Programming

1) Biology Laboratory Building (formerly Health and Life Sciences Building)

Programmer: Facility Programming & Consulting Est. Cost: \$60,000,000

This project is in programming in anticipation of a Tuition Revenue Bond request. The predecessor project, the Biology, Nursing and Allied Health Building, was not funded. The building is proposed to include biology laboratories, with instructional, research and administrative areas for Biology. The building is proposed to contain approximately 83,000 gross square feet.

2) CMIT/LEMIT/PRC Facility & Infrastructure

Programmer: Facilities Programming and Consulting Est. Cost: \$43,750,000

Correction Management Institute of Texas (CMIT) and Law Enforcement Management Institute of Texas (LEMIT) are expanding their services to the law enforcement community throughout the state and nation. These activities are located in the George J. Beto Criminal Justice Center (Beto CJC) where Sam Houston State University's Criminal Justice (CJ) academic program is housed. By relocating the non-academic programs off campus, SHSU's Criminal Justice College will be able to expand as anticipated. The Police Research Center (PRC) has been a long-term endeavor to develop a comprehensive police information management system, the Criminal Research, Information Management, and Evaluation System (CRIMES), which also be located in the new facility. Programming was approved in November 2013. The project will be located north of main campus on 78 acres which were transferred by Texas Department Criminal Justice to TSUS for the use of Sam Houston State University in the 83rd Legislative Session. This parcel has been master planned with this project being part of the first phase.

3) Gibbs Ranch Equine Arena

Programmer: Facilities Programming and Consulting Est. Cost: \$10,300,000

The project was previously included in the FY2014-2019 CIP as Gibbs Ranch Ag Arena. Due to the specialized need for program specific functionality the project was separated from the existing Capital Improvements Program project. The new facility will support the academic growth in equestrian and animal sciences, as the Department of Agricultural and Industrial Sciences continues to set enrollment records. Equine Science is one of the more rapidly growing areas and currently serves as an optional minor for students. Programming was approved in June 2014 and is part of the Capital Campaign for funding.

4) Gibbs Ranch Plant Science Field Lab

Programmer: Facilities Programming and Consulting Est. Cost: \$4,216,000

The project is envisioned as a replacement facility for the existing Horticulture operations currently at the I-45 Ag Complex, and provides opportunity for growth of departmental capabilities to better serve students. It consolidates greenhouses, shared classroom/research lab space, and a series of gardens and exterior amenities totaling 8,500 gross square feet and 7,500 square feet respectively. The architectural program was approved in April 2012 and the project is awaiting funding.

5) I-45 Recreational Complex

Programmer: Facilities Programming and Consulting Est. Cost: \$10,000,000

This project originally combined athletics and recreational sports into the existing Agriculture campus and Holleman field areas; however, budget estimates did not align with University projected budgets. Therefore, the programming restarted with only the recreational components included and the project is being reprogrammed in phases. Programming was approved in August 2013 and will be used to support the Capital Campaign. The project is on hold pending funding.

6) Lowman Student Center Expansion

Programmer: Facilities Programming and Consulting Est. Cost: \$34,500,000

Programming began on June 22, 2012 to expand and selectively renovate the Lowman Student Center to expand the services and activities that support student life. The expansion is planned to be located on the Smith-Kirkley Hall site. The October 2012 student referendum vote was successful for this project. Programming is complete and was approved in January 2013. The project is awaiting funding.

II. Design/Construction Document Phase

7) Fred Pirkle Engineering Technology Center

Architect: The Lawrence Group Architects Est. Cost: \$25,000,000
Contractor: The Whiting-Turner Contracting Co. Est. Completion: November 2016

The building will house future programs for the Engineering Technology program as well as consolidate existing programs currently being taught in multiple locations. An architect and a construction manager-at-risk are engaged in document development. Design Development is underway.

8) South Dining

Programmer/Architect: Kirksey Architects	Est. Cost:	\$13,200,000
Contractor: KBR	Est. Completion:	August 2016

The proposed 32,000 square foot food service/dining facility will be located adjacent to the existing South Paw dining as an enhancement to support the south food service capacity. The existing bakery and food service offices will be moved from the Belvin basement to the new facility. The expansion will be located over an existing parking lot. Kirksey was selected as Architect in March 2014 for design services and programming has commenced. KBR was selected as Construction Manager-At-Risk in July 2014. Schematic Design is underway.

9) South Residence Complex

Architect: SHW Group /Treanor Architects	Est. Cost:	\$67,400,000
Contractor: Linbeck	Est. Completion:	August 2016

The Project includes construction of Living & Learning Community for 700 bed residence halls of approximately 233,000 gross square foot each. Large open green space and pedestrian walkways will provide ample access in both directions. The project will include chiller plant and associated infrastructure to support the residence halls. The project is intended to create the south residential district of the Sam Houston campus. Design Development approval is on the agenda for the November 2014 Board of Regents Meeting.

III. Construction Phase

10) South District Parking & Related Infrastructure

Architect: Gessner Engineering	Est. Cost:	\$4,323,934
Contractor: SpawGlass Civil Construction	Est. Completion:	Spring 2015

This project includes construction of 421 parking spaces, concrete surface sidewalks, the 22nd Street extension between Avenue J and Avenue I, and underground storm water detention facilities. It will be located on the site of the recently purchased Richmond Apartments and adjacent parking lots. Design Development documents were approved by the Chancellor pursuant to authority delegated by the Board of Regents in May 2014. Notice to Proceed for construction was issued October 2014.

11) Student Health and Counseling Center

Architect: Lawrence Group	Est. Cost:	\$11,332,000
Contractor: Tellepsen Builders	Est. Completion:	August 2014

The new 29,000 square foot center will house both the University's physical health and mental health services. The project is located next to Old Main Market where former King Hall was situated. The Design Development package and project cost were approved at the August 2013 Board of Regents meeting. Construction began

on October 14, 2013 with an interior substantial completion issued August 22, 2014. The Physical Health Clinic has reported an increase of 49% and the counseling reported an 8% increase in student use. The Parking lot is anticipated to be complete in late October 2014.

12) Sycamore Vivarium

Architect: FKP Architects	Est. Cost:	\$ 1,625,000
Contractor: Vaughn Construction	Est. Completion	December 2014

Design kick-off started September 29, 2013 for the vivarium project which includes four animal holding rooms, housing primarily for rodents, and associated support areas including a Procedure Room located at the recently purchased property at 1614 Sycamore Avenue. The project will allow the University to relocate this operation into a suitable facility. The Guaranteed Maximum Price has been confirmed. Construction began on June 9, 2014.

13) The Woodlands Center Level 4 Nursing Build out

Architect: WHR Architects	Est. Cost:	\$1,000,000
Contractor: Vaughn Construction	Est. Completion:	December 2014

A project to build out level 4 at The Woodlands Center for the nursing program upper level classes kicked off in November 2013. The program and design are complete. Construction began on Phase 1, Simulation Lab, Skills Lab, home health learning and office suite in mid-August 2014. Phase 2 includes a second Skills Lab and office space, and is pending additional funding.

IV. Completed Projects

N/A

**Sul Ross State University
Summary
(as of October 2, 2014)**

I. Project Planning & Programming

1) Campus Access (Phase I)

Architect: ARTchitecture (IDIQ)
Contractor: TBD

Est. Cost: \$1,106,600
Est. Completion: 2015

As recommended in the 2011 Master Plan, the Campus Access Project will be completed in three phases. Phase 1 includes modifications to Loop Road to improve pedestrian traffic safety from just south of Fletcher Hall and extending to the intersection just south of the Physical Plant. This project also includes the North Quadrangle Improvements and the modification to existing signage for better identity and wayfinding by changing numbers to names, identifying the “main” entrance onto campus to create a less sterile/utilitarian appearance.

II. Design/Construction/Document Phase

N/A

III. Construction Phase

2) Jackson Field Improvements

Architect: PBK
Contractor: Imperial Construction

Est. Cost: \$1,325,000
Est. Completion: November 6, 2013

This project consists of a new 5,000 square foot field house with locker room, training room, equipment storage and related facilities. The project also includes re-supporting of the Field Press Box and installation of new metal siding, as well as repurposing of a student athletics weight room. Substantial completion occurred on November 6, 2013. Close-out is in process.

IV. Completed Projects

N/A

**Texas State University
Summary
(as of October 2, 2014)**

I. Project Planning & Programming

1) Alkek Library Learning Commons

Programmer: Perry Dean Rogers Est. Cost: \$2,500,000

The Alkek Library Learning Commons Feasibility Study was completed by Perry Dean Rogers in May, 2012. The Programming effort for the Alkek Learning Commons is targeted to begin in summer/fall of 2015.

2) Alkek Library Repository

Programmer: TBD Est. Cost: \$5,900,000

The Alkek Library Repository is envisioned as a 13,000 gross square foot facility with expansion possibilities for an additional 20,000 gross square feet. It will include book storage, document intake and processing areas, offices, restrooms, mechanical spaces, and a conference room. Parking for 20 cars and delivery area will be included in the project site development. The design of the facility will include a state of the art Building Management Control System, a heating, ventilation and air conditioning system with humidity control features, and a fire detection and a protection system. The Project will be located at the Texas State–San Marcos STAR Park located at McCarty Lane and Hunter Road. A Land Planning study was developed identifying possible sites within the existing STAR Park. The Alkek Library Repository Feasibility Study was completed by Harrison-Kornberg Architects in February, 2013. This project on hold pending funding.

3) Baseball/ Softball Team Building

Programmer: Facility Programming & Consulting Est. Cost: \$8,129,557

The Baseball/Softball Team Building project was amended as part of the Capital Improvements Program update in May, 2014. It includes the options developed for Athletics as part of a Feasibility Study prepared by Facility Programming & Consulting in February, 2014. The project is on hold pending funding.

4) CoGeneration Plant Gas Turbines

Consultant: ARUP Est. Cost: \$45,000,000

ARUP Consultants is under contract to assess the viability of a private-public partnership (P3) for the construction and operation of the Cogeneration Plant Gas Turbines project. The existing infrastructure has been evaluated for capacity, distribution, and age. Current and forecasted power requirements, risks, and costs have been assessed and the results support the project both from an economic and technical perspective. The next steps include scheduling follow-on discussions with the City of San Marcos, CenterPoint Energy, and LCRA to finalize

the utility support requirements and preparing the draft Request for Qualifications by January 2015 for review with the System office.

5) Engineering and Science Building

Programmer: Facility Programming & Consulting Est. Cost: \$107,012,293

A major new facility is needed to house the expanding enrollment in the Engineering, Materials Science and Biology programs. It will consist of research laboratories, shared interdisciplinary labs, classrooms, facility offices, seminar, and conferencing facilities. The building will include the most sophisticated information and instructional technology features designed and installed for an information intensive environment. The project will require campus infrastructure and site utilities necessary to support a facility of this size. Facility Programming and Consulting of San Antonio, Texas, updated the program document for the Engineering and Science Building project. The updated program document accounts for current end user needs as well as infrastructure requirements associated with this project. A Tuition Revenue Bond request for the Engineering and Science Building in the amount of \$107,012,293 that would provide full funding for this project is pending.

6) LBJ Student Center Renovation

Feasibility Study: Facility Programming & Consulting Est. Cost: \$15,010,624
Programmer: Page Architects

The LBJ Student Center Renovation Study prepared by Page is complete. The next steps include determining the direction the University wishes to pursue regarding the renovation and expansion projects; internally formalizing an Architectural Program for a major renovation project and an expansion project; and preparing a Student Fee Referendum, if necessary, in support of the expansion. A Request for Qualifications for Architect/Engineer design services and Construction Management at Risk services for the Renovation project will be issued once a final scope of work and funding is identified. Further action on the Expansion project will depend upon further development of the project.

7) Music Building

Programmer: Facility Programming & Consulting Est. Cost: \$56,705,000

A new music building to address the pressing need for a music facility, classrooms and rehearsal space will be located in close proximity to the new University performance facility. The adjacent Performing Arts Center will provide a 300 seat Recital Hall and a 400 seat Theatre Center venue. The re-programmed building, cost estimate and project budget for the 109,582 gross square foot building were completed and forwarded to Texas State University System for possible funding by the legislature. The program document served to guide Texas State in the preparation of a Tuition Revenue Bond funding request for the Legislative Appropriations Request document in July, 2012. The project is on hold pending funding.

8) Round Rock Health Professions – 1

Programmer: Facility Programming & Consulting Est. Cost: \$67,583,621

The Round Rock Health Professions 1 building, the third academic building on the Round Rock campus, is currently programmed to provide classrooms and offices to support three of seven departments in the College of Health Professions. The Program document served to guide Texas State in the preparation of a Tuition Revenue Bond funding request for \$48,820,000, which would provide full funding for the project. An updated Tuition Revenue Bond request was submitted in June, 2013 in the amount of \$56,320,000 to include collaborative efforts between Texas State University and Texas A&M Health Science Center in an effort to jointly design and utilize a Gross Anatomy Lab and other shared space in this building. A Tuition Revenue Bond request for Health Professions #1 on the Round Rock Campus, with modified programming to reflect a total of 107,564 square feet (shelled space option in the Gross Anatomy Lab), in the amount of \$67,583,621 that would provide full funding for this project is pending.

9) Round Rock Health Professions – 2

Programmer: Facility Programming & Consulting Est. Cost: \$35,000,000

The fourth academic building on the Round Rock Health Professions campus will include classrooms and offices to support four existing departments and additional academic programs in the College of Health Professions. This project was the subject of a \$63.5 million Tuition Revenue Bond request in the 2009 legislative session that was not funded. The building was re-programmed and a revised cost estimate was completed for possible funding by the Legislature during the 2011 session. This program document served to guide Texas State in the preparation of a Tuition Revenue Bond funding request in July, 2012. The project is on hold pending funding.

10) Strahan Expansion and Renovations

Feasibility Study: Moody Nolan Est. Cost: \$49,440,000

The Strahan Expansion and Renovations project was amended as part of the Capital Improvements Program update in May, 2014 to reflect the Proscenium Theatre option developed as part of the Feasibility Study prepared by Moody Nolan in November, 2013. A facility program will be developed in 2015 based on the Feasibility Study options.

11) DHRL Blanco Hall Renovations

Feasibility Report: DBR Engineering Est. Cost: \$20,700,000

Programmer: TBD

The Blanco Residence Hall was constructed in 1987 and has been continuously occupied for student housing. The original building's mechanical, electrical, and plumbing infrastructure has never been replaced or updated. In January 2014, the

University contracted with an engineering firm to perform a comprehensive assessment of the mechanical, electrical, and plumbing systems. The Renovation project is on the CIP and the current total project cost is about \$20,700,000. The scope includes modernization of the mechanical, plumbing, and electrical systems, Fire Protection/ Fire Alarm System, and other interior/exterior renovations, repairs and improvements. The project has been placed on hold pending funding.

II. Design and Construction Document Phase

12) Alkek Library Renovations

Programmer: Perry Dean Rogers Est. Cost: \$8,000,000

The Alkek Library Renovations project was added to the CIP in May 2014 and includes phased repairs and upgrades of mechanical, electrical, and plumbing systems, and other infrastructure components to be accomplished with HEAF funds. The Project Execution Plan was submitted to the System office in July 2014. Selection of design and construction firms is anticipated in October 2014. The goal is to submit the Design Development package for approval in February 2015, begin construction by summer 2015 and complete the renovations by fall 2016.

13) JC Mitte Renovations

Programmer: Facility Programming & Consulting Est. Cost: \$6,520,000

The Joanne Cole Mitte Renovations project, added to the CIP in May 2014, includes the phased repairs, upgrades, and renovations of space at Joann Cole Mitte and Sabinal as developed in a Feasibility Study prepared by Facility Programming & Consulting in November 2013. Facility Programming & Consulting completed a program in September 2014 based on the Feasibility Study. The selection of the Architect/Engineer design team is anticipated in early October 2014. Three Construction Management at Risk teams were short-listed with proposals due on October 20, 2014. The goal is to submit the Design Development package for approval in February 2015, begin construction by summer 2015 and complete the renovations by summer 2016.

14) Jones Dining Hall Renovation

Programmer:	Facility Programming and Consulting	Est. Cost:	\$18,619,805
Architect:	Pfluger Architects	Est. Construction:	December 2014
Contractor:	Vaughn Construction	Est. Completion:	August 2016

The schematic design documents for the Jones Dining Hall Replacement and Renovation project were approved in April, 2014. Pfluger Architects of Austin prepared the Design Development documents and Vaughn Construction of San Antonio is providing pre-construction services. The Design Development documents for the Jones Dining Hall Replacement and Renovation project were

approved by the Board of Regents in August 2014. The Guaranteed Maximum Price is targeted for System review in October 2014. Construction is expected to begin in December 2014 and the renovations are to be completed by fall 2016.

15) STAR One Expansion

Architect: Philo Wilke	Est. Cost:	\$8,065,457
Contractor: Hill & Wilkinson	Est. Construction:	Summer 2015
	Est. Completion:	Summer 2016

The STAR One Expansion project program document for construction of a 16,000 square foot expansion was issued and updated in May 2014. The contracts for design and pre-construction services were finalized in September 2014. Schematic Design will be delivered in October 2014 with a tentative target of obtaining Design Development approval at the February 2015 Board meeting. Construction activities are anticipated to begin by summer 2015 and Substantial Completion is anticipated to be in summer 2016.

III. Construction Phase

16) Bobcat Trail Mall Redevelopment

Architect: TBG Partners	Est. Cost:	\$5,488,888
Contractor: Flynn Construction	Est. Construction:	June 2014
	Est. Completion:	December 2015

DD documents for the Bobcat Trail Redevelopment/Enhancement project were approved in November 2013. Construction documents were prepared by TBG Partners. The Guaranteed Maximum Price submitted by Flynn Construction was approved in April 2014. Construction activities started in June 2014 and are expected to be complete in three phases. Phase one encompassing the Bobcat Trail street area is anticipated to be complete in May 2015; Phase two encompassing the N. LBJ street area is anticipated to be complete in July 2015; and Phase three encompassing the Edward Gary Street area is anticipated to be complete in December 2015. A campus-wide Town Hall Meeting was held on September 18, 2014.

17) Bobcat Trail Utility Upgrades

Programmer: NA	Est. Cost:	\$6,300,000
Architect: TTG Goetting	Est. Construction:	June 2014
Contractor: Flynn Construction	Est. Completion:	December 2015

The Design Development documents for the Bobcat Trail Utilities Upgrade project were approved in February 2014. Construction documents were prepared by TTG-Goetting Engineers. Flynn Construction prepared the GMP which was approved May 2014. Construction activities of the utility portion of the project started in June 2014, and will be completed in two phases. Phase one is anticipated to be complete in January 2015, and Phase two is anticipated to be complete in June 2015.

18) Comal Renovation

Architect: Randall Scott Architects	Est. Cost:	\$13,850,000
Contractor: Satterfield+Pontikes	Actual Construction:	May 2013
	Actual Completion:	June 2014

The Comal Renovation project was substantially complete in June 2014. The building was occupied in July 2014. The project is complete and a re-dedication event was held on October 1, 2014. Project close-out activities are ongoing.

19) Department of Housing and Residential Life: Falls Sayers Residence Hall (Phase I West Campus)

Programmer: Facility Programming & Consulting	Est. Cost:	\$60,468,000
Architect: SHW Architects	Actual Construction:	November 2012
Contractor: SpawGlass	Actual Completion:	June 2014

Construction of the 578-bed Falls Sayers Residence Hall is complete and occupied. A dedication event was held on August 6, 2014. The Final Report is being prepared for submission to System by February 2015.

20) Department of Housing and Residential Life: Moore Street Housing

Architect: SHW/Treanor	Est. Cost:	\$59,834,337
Contractor: SpawGlass	Actual Construction:	June 2014
	Est. Completion:	May 2016

The Moore Street Housing project is a 190,947 square foot, 598-bed facility, consisting of two residence halls and a connecting community building. Design Development documents were approved in April 2014. SpawGlass Construction prepared the Guaranteed Maximum Price which was approved in June 2014. Demolition and site clearing began in June 2014, and the construction of the residence halls began in August 2014.

21) Electrical Infrastructure Upgrades

Engineer: Bath Associates	Est. Cost:	\$11,800,000
Contractor: Hunt Construction	Est. Sub. Completion:	June 2016

Phase 2 of the Electrical Infrastructure Upgrades work is underway including replacement of transformers, switches, and other deteriorated components of the electrical distribution system, electrical service upgrades at specific building locations, and associated repairs and upgrades in electrical manholes on campus. Power outages will be scheduled during breaks to minimize the disruption of services on campus. The overall project completion date is anticipated to be June 2016.

22) Performing Arts Center Complex

Programmer: Pfeiffer Partners, Inc. Cost: \$83,243,646
Architect: Morris Architects Actual Completion: September 2013
Contractor: Hunt Construction

The Performing Arts Center Recital Hall and Theatre close-out report will be submitted to the System office by February 2014. LEED certification documentation has been submitted to the U. S. Green Building Council and is pending final review. Jaffe Holden along with Hairel consultants are working to resolve acoustic issues in the Recital Hall.

23) RF Mitte Renovations

Programmer: Facility Programming & Consulting Est. Cost: \$2,750,000

The Roy F. Mitte Renovations project was added to the CIP in May 2014, and includes phased reconfiguration of space and renovations at Roy F. Mitte. A Feasibility/Space Use Study was completed by Facility Programming and Consulting in June 2014. Delegated authority for the 18 separate projects was obtained from the Chancellor in June 2014. The renovations started summer 2014 with some work completed by August 2014 and the completion of the rest of the renovations is anticipated by summer 2015.

24) STAR One Finish-Out

Contractor: SpawGlass
Programmer: Facility Programming & Consulting Est. Cost: \$2,125,000

The Program document for the STAR One laboratory finish-out of the remaining 6,700 gross square foot shell space was finalized in May 2014. Since the total project cost is less than \$4 million, delegated authority was obtained from the Chancellor in July 2014 allowing Texas State to manage and administer this project. SpawGlass has completed the design package and is expected to commence construction of the finish-out spaces in October 2014 with occupancy in spring 2015.

IV. Completed Projects

N/A

Campus Condition Index Report FY2015

November 6, 2014

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Campus Condition Report

Executive Summary

November 2014

Prior to the 2013 legislative session, public institutions of higher education were required to report to the Texas Higher Education Coordinating Board data regarding the condition of their buildings and facilities, including information concerning deferred maintenance. Senate Bill 215 eliminated this requirement, and created a new requirement (Education Code section 61.05821) to report this information to the governing board of each institution. This report is made to the Board annually at the November meeting of the Board of Regents.

Attached to this Executive Summary is the information required by the new statute. The information is as of October 15, 2014.

On the first page is a chart showing the yearly index information for the last four years for comparison purposes.

The second page contains a list of the top five priority deferred maintenance projects of each TSUS institution, and the budget status, category, type, and estimated cost of each. “Budgeted” projects are those for which budget

has been identified in the current year.

“Unbudgeted” projects are those which need to be accomplished in the current year but for which budget has not been identified.

“Projected” projects are those which are projected to be accomplished in the four years following the current fiscal year.

The Campus Condition Index for each institution is also reported on the third page. Fluctuations in index numbers between 2013 and 2014 may be attributable in part to more robust collection of data pertaining to facilities in the current year, and/or a more aggressive approach to addressing deferred maintenance issues.

The remaining pages contain a detailed listing of all deferred maintenance projects covered by the report.

The following is a glossary of abbreviations used:

EGCCIV: Educational and General Campus Condition Index Value

EGCCI: Educational and General Campus Condition Index (percentage of total value represented by deferred maintenance projects)

IWCCIV: Institution Wide Campus Condition Index Value

IWCCI: Institution Wide Campus Condition Index (percentage of total value represented by deferred maintenance projects)

DM to CCIV: Deferred maintenance as a percentage of total campus value

Detailed information on priority projects and all projects is provided on the remaining pages of the report.

2015 Campus Construction Index Yearly Comparison Information

The following is a comparison of the data reported in this year's CCI report with data reported by the Coordinating Board for our institutions over the last two reporting years. Due to inconsistencies in definitions, format and categories of data reported, comparisons of data are not valid in all cases. In years prior to 2013, the methodology for collecting this data differed from the methodology for 2013 and 2014, and comparisons between these two data sets may not be wholly valid.

Institution	DM to CCIV			EGCCI			IWCCI			DM			
	2014 DM to CCIV	2013 DM to CCIV	2011 DM to CCIV	2014 EGCCI	2013 EGCCI	2012 EGCCI	2014 IWCCI	2013 IWCCI	2012 IWCCI	2014 DM	2013 DM	2012 DM	2011 DM
Lamar	5.66%	3.30%	4%	21.24%	5.20%	1.10%	7.71%	10.85%	1.20%	\$66.90	\$30.44	\$12.06	\$15.80
LIT	1.04%	0.58%	1%	2.54%	2.21%	0%	1.75%	0.63%	0%	\$1.22	\$1.61	\$0	\$0.63
LSC-O	0.69%	0.69%	1%	1.72%	1.47%	1.50%	1.21%	1.29%	1.10%	\$0.94	\$0.89	\$0.89	\$0.89
LSC-PA	0.87%	0.29%	2%	2.64%	0.77%	0.30%	1.30%	0.42%	0.20%	\$1.40	\$0.92	\$0.19	\$1.16
SHSU	5.71%	2%	2%	21.67%	0.31%	0%	7.75%	0.12%	0.20%	\$108.70	\$105.91	\$2.68	\$10.84
Sul Ross	0.42%	0.36%	4%	1.81%	0.02%	0.20%	0.55%	0.01%	0.10%	\$2.09	\$1.74	\$0.38	\$5.24
Texas State	0.46%	0.46%	2%	0.80%	1.80%	0.1%	2.1%	2.11%	0.10%	\$38.90	\$28.24	\$2.68	\$15.78

Prioritization/Summary of Campus Condition Index by Institution (excludes auxiliary facilities)

2015



Lamar Univ.	Priority	Project Name	Period	Category	Type	Basis	Amount
	1	Campus Wide - Sidewalk Repairs (ADA/Safety)	Budgeted	Deferred	Safety	Approximated	\$2,330,112.00
	2	Thomas Maes Building Roof Replacement	Budgeted	Deferred	Arch	Actual	\$515,865.00
	3	Education Building Roof Replacement	Budgeted	Deferred	Arch	Actual	\$267,466.00
	4	Music Building Roof Replacement	Budgeted	Deferred	Arch	Actual	\$722,738.00
	5	Setzer Student Center Roof Replacement	Budgeted	Deferred	Arch	Actual	\$483,246.75
Total							\$4,319,427.75
Lamar Inst. of Technology	Priority	Project Name	Period	Category	Type	Basis	Amount
	1	Chiller Installation 0828	Budgeted	Planned	HVAC	Approximated	\$500,000.00
	2	Building Renovation 0829	Projected	Deferred	Arch	Inspected	\$250,000.00
	3	Building Renovation 0778	Projected	Deferred	Arch	Inspected	\$250,000.00
	4	Campus Security	Projected	Deferred	Safety	Inspected	\$100,000.00
	5	Entergy Management	Budgeted	Planned	HVAC	Approximated	\$75,000.00
Total							\$1,175,000.00
LSC--Orange	Priority	Project Name	Period	Category	Type	Basis	Amount
	1	Academic Center Roof Replacement	Projected	Deferred	Other	Approximated	\$384,167.00
	2	Student Center Roof Replacement	Projected	Deferred	Other	Approximated	\$306,220.00
	3	Wilson Building Roof Replacement	Projected	Deferred	Other	Approximated	\$244,976.00
	4						
	5						
Total							\$935,363.00
LSC-Port Arthur	Priority	Project Name	Period	Category	Type	Basis	Amount
	1	Replace Chill Water and Piping and Coil	Unbudgeted	Deferred	HVAC	Approximated	\$176,000.00
	2	Replace Chill Water and Piping and Coil	Unbudgeted	Deferred	Plmbg./Mech	Approximated	\$144,000.00
	3	Standby Generator	Unbudgeted	Deferred	Plmbg./Mech	Approximated	\$49,500.00
	4	Electrical Switchgear Replacement	Unbudgeted	Deferred	Plmbg./Mech	Approximated	\$165,000.00
	5	Air Handlers	Unbudgeted	Deferred	Plmbg./Mech	Approximated	\$175,000.00
Total							\$709,500.00
Sam Houston State Univ.	Priority	Project Name	Period	Category	Type	Basis	Amount
	1	West Plant Tunnel Repairs Phase II	Budgeted	Deferred	HVAC	Approximated	\$1,872,000.00
	2	Lee Drain Bridge Repair	Budgeted	Deferred	Arch	Approximated	\$436,800.00
	3	White Hall Lobby AHU Replacement	Budgeted	Deferred	HVAC	Approximated	\$60,000.00
	4	Sam South Shops Roof Repairs Phase II	Budgeted	Deferred	Arch	Approximated	\$468,000.00
	5	EPLT Reinsulate 1800 Ton Chiller	Unbudgeted	Deferred	HVAC	Approximated	\$50,000.00
Total							\$2,886,800.00
Sul Ross State Univ.	Priority	Project Name	Period	Category	Type	Basis	Amount
	1	Presidents Residence Roof	Budgeted	Planned	Arch	Approximated	\$21,000.00
	2	Briscoe Administration Building Electrical	Unbudgeted	Deferred	Plmbg./Mech	Inspected	\$120,000.00
	3	Morelock Academic Building Electrical	Unbudgeted	Deferred	Plmbg./Mech	Inspected	\$140,000.00
	4	Fine Arts Building Electrical	Unbudgeted	Deferred	Plmbg./Mech	Inspected	\$120,000.00
	5	Graves-Pierce Complex Controls/Fire Alarm	Unbudgeted	Deferred	Plmbg./Mech	Inspected	\$0.00
Total							\$401,000.00
Texas State Univ.	Priority	Project Name	Period	Category	Type	Basis	Amount
	1	Derrick Hall, HVAC Upgrade Phase 1	Budgeted	Deferred	HVAC	Inspected	\$475,000.00
	2	Evans Liberal Arts, Electrical Repairs	Budgeted	Deferred	Other	Actual	\$102,000.00
	3	Evans Liberal Arts, Upgrade HVAC	Budgeted	Deferred	HVAC	Inspected	\$625,000.00
	4	Chemistry, Lab Countertop Replacement	Budgeted	Deferred	Other	Approximated	\$350,000.00
	5	ASB South, Elevator Upgrade	Budgeted	Deferred	Other	Actual	\$120,000.00
Total							\$1,672,000.00
System Total							\$12,099,090.75

Prioritization/Summary of Campus Condition Index by Institution (excludes auxiliary facilities)

2015



Lamar University Campus Condition Index Calculation					
EGCCIV		\$315,327,530.00	EGCCI	21.24%	
IWCCIV		\$868,582,528.00	IWCCI	7.71%	
DM TO CCIV	5.66%				
Lamar Institute of Technology Campus Condition Index Calculation					
EGCCIV		\$47,766,680.00	EGCCI	2.54%	
IWCCIV		\$69,365,508.00	IWCCI	1.75%	
DM TO CCIV	1.04%				
Lamar State College-Orange Campus Condition Index Calculation					
EGCCIV		\$54,298,869.00	EGCCI	1.72%	
IWCCIV		\$77,160,242.00	IWCCI	1.21%	
DM TO CCIV	0.69%				
Lamar State College-Port Arthur Campus Condition Index Calculation					
EGCCIV		\$51,871,195.00	EGCCI	2.64%	
IWCCIV		\$105,629,516.00	IWCCI	1.30%	
DM TO CCIV	0.87%				
Sam Houston State University Campus Condition Index Calculation					
EGCCIV		\$500,980,771.00	EGCCI	21.67%	
IWCCIV		\$1,401,277,668.00	IWCCI	7.75%	
DM TO CCIV	5.71%				
Sul Ross State University Campus Condition Index Calculation					
EGCCIV		\$115,050,345.00	EGCCI	0.02%	
IWCCIV		\$381,784,487.00	IWCCI	0.01%	
DM TO CCIV	0.42%				
Texas State University Campus Condition Index Calculation					
EGCCIV		\$888,029,669.00	EGCCI	0.80%	
IWCCIV		\$580,910,529.00	IWCCI	2.11%	
DM TO CCIV	0.46%				

Campus Condition Index by Institution (excludes auxillary facilities)

2015

THE TEXAS STATE UNIVERSITY SYSTEM™

Lamar Institute of Technology

Project Name	Period	Category	Amount
Campus Security	Projected	Deferred	\$100,000.00
Chiller Installation 0828	Budgeted	Planned	\$500,000.00
Building Renovation 0829	Projected	Deferred	\$250,000.00
Building Renovation 0778	Projected	Deferred	\$250,000.00
Entergy Management 0828	Projected	Deferred	\$75,000.00
Building Upgrade 0780	Projected	Deferred	\$25,000.00
Building Garage 0895	Budgeted	Planned	\$15,000.00
Total			\$1,215,000.00

Campus Condition Index Calculation

EGCCIV	\$47,766,680.00	EGCCI	2.54%
IWCCIV	\$69,365,508.00	IWCCI	1.75%
DM TO CCIV	1.04%		

Campus Condition Index by Institution (excludes auxillary facilities)

2015

THE TEXAS STATE UNIVERSITY SYSTEM™

Lamar State College-Orange				
Project Name	Period	Category	Amount	
Roof Replacement Academic Center, Building 634	Projected	Deferred	\$384,167.00	
Roof Replacement Student Center, Building 827	Projected	Deferred	\$306,220.00	
Roof Replacement Wilson Building, Building 826	Projected	Deferred	\$244,976.00	
Total			\$935,363.00	
Campus Condition Index Calculation				
EGCCIV	54,298,869	EGCCI	1.72%	
IWCCIV	77,160,242	IWCCI	1.21%	
DM TO CCIV	0.71%			

Campus Condition Index by Institution (excludes auxillary facilities)

2015



Lamar State College-Port Arthur

Project Name	Period	Category	Amount
ADA Compliance Improvements	Budgeted	Planned	\$18,200.00
Campus Wide HVAC System Repairs	Budgeted	Planned	\$115,500.00
Office and Classroom Renovation	Budgeted	Facility Adapt	\$89,100.00
Electrical Upgrades	Budgeted	Planned	\$17,347.00
Fire and Burglar Alarm Upgrands	Budgeted	Planned	\$26,070.00
Plumbing Upgrades	Budgeted	Planned	\$6,600.00
Flowers and landscaping upgrades	Budgeted	Planned	\$15,400.00
Replacement of Sidewalks and Drives	Budgeted	Planned	\$11,000.00
Exterior Door Replacements	Budgeted	Planned	\$18,150.00
Armory, parking lot upgrades	Unbudgeted	Planned	\$6,500.00
Performing Arts Center Orchestra Pit 2016	Projected	Planned	\$120,000.00
Campus Wide Glazing of Windows	Budgeted	Planned	\$10,000.00
Gates Library, Replace Chill	Unbudgeted	Deferred	\$176,000.00
Ruby Fuller, Replace Chill Water Piping	Unbudgeted	Deferred	\$144,000.00
Student Center, Standby Generator	Unbudgeted	Deferred	\$49,500.00
Madison Monroe, Electrical Switchgear Replacement	Unbudgeted	Deferred	\$165,000.00
Records Storage, Roof Replacement	Projected	Deferred	\$110,000.00
Ruby Fuller, Air Handlers	Projected	Deferred	\$95,000.00
Madison Monroe, Air Handlers	Unbudgeted	Deferred	\$175,000.00
			\$0.00
			\$0.00
Total			\$1,368,367.00

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Campus Condition Index Calculation

EGCCIV	51,871,195	EGCCI	2.64%
IWCCIV	105,629,516	IWCCI	1.30%
DM TO CCIV	0.87%		

Campus Condition Index by Institution (excludes auxillary facilities)

2015

THE TEXAS STATE UNIVERSITY SYSTEM™

Lamar University

Project	Period	Category	Amount
Campus Wide - Repair and/or replace sidewalks (ADA/Safety)	Budgeted	Deferred	\$2,330,112.00
Thomas Maes Building Roof Replacement	Budgeted	Deferred	\$515,865.00
Education Building Roof Replacement	Budgeted	Deferred	\$267,466.00
Music Building Roof Replacement	Budgeted	Deferred	\$722,738.00
Setzer Student Center Roof Replacement	Budgeted	Deferred	\$483,246.75
Campus Wide - Annual Contract Tree Trimming	Budgeted	Deferred	\$80,221.50
McFaddin Ward Health Science Building, Remove Chiller	Budgeted	Deferred	\$126,747.00
Family and Consumer Science Building Exterior Painting	Budgeted	Deferred	\$24,500.00
Campus Wide - Removal of trees near Brick Walls & Slabs	Budgeted	Deferred	\$150,500.00
Setzer Student Center Building Renovation	Budgeted	Deferred	\$3,000,000.00
Art Building- Total Building Renovation	Unbudgeted	Deferred	\$8,820,627.00
Asbestos Abatement & Removal "Abandoned In Place" Steam Lines	Unbudgeted	Deferred	\$3,300,000.00
Campbell Hall - Annual Refurbishing (Summer Turn)	Unbudgeted	Deferred	\$360,000.00
Campbell Hall - Exterior Door Lock Set Replacement	Unbudgeted	Deferred	\$55,000.00
Campbell Hall - Clean Ext Surfaces & Re-Surf Concrete Decks	Unbudgeted	Deferred	\$130,000.00
Campus Wide - Sewer And Water Lines Upgrade	Unbudgeted	Deferred	\$2,500,000.00
Campus Wide - Classroom/General Services Renovations	Unbudgeted	Deferred	\$1,000,000.00
Campus Wide-Repair Ovhd Concrete Canopies, Spalding	Unbudgeted	Deferred	\$150,500.00
Campus Wide - Repair Fountain In Quadrangle	Unbudgeted	Deferred	\$250,000.00
Campus Wide - Street Repairs And Replacement	Unbudgeted	Deferred	\$3,000,000.00
Campus Wide - Upgrade For Electrical	Unbudgeted	Deferred	\$1,750,000.00
Campus Wide - Infrastructure Upgrade (Wtr., Sanitary, Elect., Chiller)	Unbudgeted	Deferred	\$2,500,000.00
Campus Wide - Remove Abandon Utilities In Tunnels	Unbudgeted	Deferred	\$1,500,000.00
Campus Wide - Barrier & Security Fencing Repairs	Unbudgeted	Deferred	\$347,500.00
Campus Wide - Overhead Metal Canopies Replacement	Unbudgeted	Deferred	\$3,000,000.00
Campus Wide - Security Cameras (It Department)	Unbudgeted	Deferred	\$510,000.00
Cherry Engineering Roof Replacement	Unbudgeted	Deferred	\$477,960.00
Combs Hall Annual Refurbishing (Summer Turn)	Unbudgeted	Deferred	\$360,000.00
Combs Hall Resident Exterior Door Lock Set Replacement	Unbudgeted	Deferred	\$55,000.00
Combs Hall - Clean Ext Surfaces & Re-Surf Concrete Decks	Unbudgeted	Deferred	\$130,000.00
Combs Hall Roof Replacement	Unbudgeted	Deferred	\$95,000.00
Communication Bldg Exterior Painting	Unbudgeted	Deferred	\$56,500.00
Galloway Building Exterior Painting	Unbudgeted	Deferred	\$61,500.00
Gentry Hall Resident Exterior Door Lock Set Replacement	Unbudgeted	Deferred	\$55,000.00
Gentry Hall - Clean Ext Surfaces & Re-Surf Concrete Decks	Unbudgeted	Deferred	\$130,000.00
Gentry Hall Annual Refurbishing (Summer Turn)	Unbudgeted	Deferred	\$360,000.00
Gentry Hall Roof Replacement	Unbudgeted	Deferred	\$95,000.00
Health & Human Performance 'B' Roof Replacement	Unbudgeted	Deferred	\$540,675.00
Lucas Engineering Exterior Painting	Unbudgeted	Deferred	\$57,500.00
Lucas Engineering Lucas Engineering Hvac Renov./Replacement	Unbudgeted	Deferred	\$785,555.00

Project	Period	Category	Amount
Mary And John Gray Library New Fire Sprinkler System	Unbudgeted	Deferred	\$3,322,000.00
Monroe Hall - Clean Ext Surfaces & Re-Surf Concrete Decks	Unbudgeted	Deferred	\$130,000.00
Monroe Hall Exterior Door Lock Set Replacement	Unbudgeted	Deferred	\$55,000.00
Monroe Hall Annual Refurbishing (Summer Turn)	Unbudgeted	Deferred	\$360,000.00
Montagne Center Red Room Hvac Improvements	Unbudgeted	Deferred	\$114,000.00
Morris Hall Resident Exterior Door Lock Set Replacement	Unbudgeted	Deferred	\$55,000.00
Morris Hall - Clean Ext Surfaces & Re-Surf Concrete Decks	Unbudgeted	Deferred	\$130,000.00
Morris Hall Roof Replacement	Unbudgeted	Deferred	\$95,000.00
Morris Hall Annual Refurbishing (Summer Turn)	Unbudgeted	Deferred	\$360,000.00
North Central Plant High Voltage Switch Gear/Transformer	Unbudgeted	Deferred	\$4,575,000.00
Setzer Student Center Building Renovation	Budgeted	Deferred	\$3,000,000.00
South Central Plant Cooling Tower Repair Slab Foundation Issue	Unbudgeted	Deferred	\$55,000.00
Speech & Hearing Building Renovation & Addition	Unbudgeted	Deferred	\$12,375,307.00
Thomas Maes Building High Voltage Replace/Renovation	Unbudgeted	Deferred	\$1,255,550.00
Thomas Maes Building Hvac Renovation/Replacement	Unbudgeted	Deferred	\$775,555.00
University Surplus Property & Storage Roof Replacement	Unbudgeted	Deferred	\$165,000.00
Wimbereley Building Exterior Painting	Unbudgeted	Deferred	\$32,000.00
Total			\$66,989,125.25
Campus Condition Index Calculation			
EGCCIV	315,327,530	EGCCI	21.24%
IWCCIV	868,582,528	IWCCI	7.71%
DM TO CCIV	5.66%		

Campus Condition Index by Institution (excludes auxiliary facilities)

2015

THE TEXAS STATE UNIVERSITY SYSTEM™

Sam Houston State University

Project Name	Period	Category	Amount
Campus ADA Improvements Phase I	Budgeted - Current Year	Facility Adaptation	\$200,000
Parking & Walkway Lighting improvements	Budgeted - Current Year	Facility Adaptation	\$100,000
Parking & Walkway Lighting Improvements Phase I	Projected Year 2 thru 5	Facility Adaptation	\$100,000
Pressure Wash E&G Buildings	Budgeted - Current Year	Planned Maint	\$90,000
West Plant Tunnel Pipe Replacement Phase II	Budgeted - Current Year	Deferred Maint	\$1,872,000
BKM 2nd Floor Renovation (HEAF)	Budgeted - Current Year	Facility Adaptation	\$24,840
ABIII Install Mold Prevention Equip.	Budgeted - Current Year	Facility Adaptation	\$43,873
Estill 3rd floor Renovation (HEAF)	Budgeted - Current Year	Facility Adaptation	\$24,240
Address Roof Warranty Issues for SHBB, Evans, and CEC	Budgeted - Current Year	Planned Maint	\$158
EVANS Multiple Rooms/Offices install Blinds	Budgeted - Current Year	Facility Adaptation	\$12,800
Thomason Repurpose Programming HEAF	Budgeted - Current Year	Planned Maint	\$90,000
MLH Boiler Modification - vent & code issues	Budgeted - Current Year	Facility Adaptation	\$156,000
ART C Install safety shower station	Budgeted - Current Year	Facility Adaptation	\$1,130
ABI Penthouse Screenwall Structural Repair	Budgeted - Current Year	Planned Maint	\$93,600
ABI Rms 144-146 IT Renovation HEAF	Budgeted - Current Year	Facility Adaptation	\$9,510
Address Roof Warranty Issues for SHBB, Evans, and CEC	Budgeted - Current Year	Planned Maint	\$4,167
SHBB Upgrade lighting to LED	Budgeted - Current Year	Facility Adaptation	\$25,541
NGL Roof Repairs	Budgeted - Current Year	Planned Maint	\$11,891
TEC Steele Center 2nd flr renovation (\$26K HEAF USED IN FY2014)	Budgeted - Current Year	Facility Adaptation	\$5,962
DRC Patio Repair - N.E. 2nd Floor Entrance	Budgeted - Current Year	Planned Maint	\$242,200
LDB Exhaust and Ventilation Code Corrections (HEAF)	Budgeted - Current Year	Facility Adaptation	\$100,085
LDB Access Control Wire Repair	Budgeted - Current Year	Planned Maint	\$3,362
LDB Bridge Repair	Budgeted - Current Year	Deferred Maint	\$436,800
Counseling Center Suite 111 Renovation	Budgeted - Current Year	Facility Adaptation	\$22,000
Repair North Stairwell Glass for Music Building	Budgeted - Current Year	Planned Maint	\$468,000
ART B Renovation and Repair of Building for Accreditation HEAF	Budgeted - Current Year	Facility Adaptation	\$86,300
Sam South Shop And Warehouse Roof Replacement 42740sqft	Budgeted - Current Year	Deferred Maint	\$468,000
Sam South Admn, UPD, Auto, & Shops. (Not Warehouse) Roof Replacem	Budgeted - Current Year	Planned Maint	\$4,129
UPD Renovation - HEAF	Budgeted - Current Year	Facility Adaptation	\$557,407
STAF HVAC & Freezer Upgrade	Budgeted - Current Year	Facility Adaptation	\$228,000
Address Roof Warranty Issues for SHBB, Evans, and CEC	Budgeted - Current Year	Planned Maint	\$4,167
GPAC RMS 180 184 188 paint	Budgeted - Current Year	Planned Maint	\$2,389
Woodlands Center L4 Nursing	Budgeted - Current Year	Facility Adaptation	\$1,081,963
STAFS Building Corrections - HVAC & Freezer	Budgeted - Current Year	Facility Adaptation	\$200,000
HATCH Add ADA compliant hardware	Budgeted - Current Year	Facility Adaptation	\$5,938
HATCH Update sign	Budgeted - Current Year	Facility Adaptation	\$5,500
Energy Savings Phase II Lighting Upgrade E&G Buildings(\$1.7M total bud	Budgeted - Current Year	Facility Adaptation	\$87,112
CFS Repair Mechanical System Small Animal Lab	Budgeted - Current Year	Facility Adaptation	\$17,217
Campus ADA Improvements 2014	Budgeted - Current Year	Facility Adaptation	\$63,724
Energy Savings Phase III Controls and Metering of E&G Buildings	Budgeted - Current Year	Facility Adaptation	\$1,444,515
FAFS Fire & Safety Systems repair	Budgeted - Current Year	Planned Maint	\$14,457
BKM Fire Alarm Upgrade	Budgeted - Current Year	Facility Adaptation	\$41,750
Farrington Renovate Read & Write Center	Budgeted - Current Year	Facility Adaptation	\$4,855
Farrington Rm 219 - Repurpose Chemistry Lab	Budgeted - Current Year	Facility Adaptation	\$51,205
Univ Storage provide access to package units	Projected Year 2 thru 5	Facility Adaptation	\$50,000
Area drains - NGL W. ent @ N. steps	Projected Year 2 thru 5	Planned Maint	\$10,000
Area drains - Nside Din. E. drive & NE.swalk	Projected Year 2 thru 5	Planned Maint	\$10,000
Area drains - S. UTC to ADA ramps	Projected Year 2 thru 5	Planned Maint	\$8,000

Project Name	Period	Category	Amount
Area drains - Thomason entrance to dock NE.corner	Projected Year 2 thru 5	Planned Maint	\$8,000
Area drains - Vis/Alum NE.corner	Projected Year 2 thru 5	Planned Maint	\$10,000
Campus Fall Protection installation across all mutli-story systems	Projected Year 2 thru 5	Facility Adaptation	\$500,000
Campus Hand Rail Replacement	Projected Year 2 thru 5	Planned Maint	\$200,000
Card Access Campus Phase II - adding new bldgs.	Projected Year 2 thru 5	Facility Adaptation	\$250,000
Card Access Campus Phase III - adding new bldgs.	Projected Year 2 thru 5	Facility Adaptation	\$250,000
Card Access Campus Phase IV - adding new bldgs.	Projected Year 2 thru 5	Facility Adaptation	\$250,000
Card Access Select 5 Bldgs. Install 2nd set Double Doors CHSS.GPAC.O	Projected Year 2 thru 5	Facility Adaptation	\$80,000
Electrical - Distribution Replace MH no.10	Projected Year 2 thru 5	Planned Maint	\$65,000
Electrical - Grounding Loops for Buildings on Campus	Projected Year 2 thru 5	Facility Adaptation	\$150,000
Electrical Overhead Service to Ag Comlex Relocate to Below Grade	Projected Year 2 thru 5	Facility Adaptation	\$1,000,000
Electrical Split Circuits Vault No. 1 & 1A	Projected Year 2 thru 5	Facility Adaptation	\$750,000
Electrical Underground 13.2 KV Distribution System	Projected Year 2 thru 5	Planned Maint	\$2,000,000
Electrical Underground Feed to MLH	Projected Year 2 thru 5	Facility Adaptation	\$450,000
FA Mass Notif.-w/existing EST3 (9) - \$4K / Bldg	Projected Year 2 thru 5	Facility Adaptation	\$36,971
FA Mass Notification via EST3 - \$75K / Bldg	Projected Year 2 thru 5	Facility Adaptation	\$2,000,000
Handrails. 17th street and Ave J to Austin Hall decorative aluminum	Projected Year 2 thru 5	Facility Adaptation	\$75,000
HVAC - Interconnect EPLT and WPLT CHW and HW	Projected Year 2 thru 5	Facility Adaptation	\$750,000
HVAC EPLT Renovate CHW and HW Distribution System Phase II	Projected Year 2 thru 5	Planned Maint	\$3,000,000
Mall area sidewalk repair, trough drain, east side of LSC, from fountain wit	Projected Year 2 thru 5	Planned Maint	\$500,000
Parking at Ag Mech	Projected Year 2 thru 5	Facility Adaptation	\$335,000
Plumbing Storm Drains Phase II (RR CARS) Replace	Projected Year 2 thru 5	Planned Maint	\$5,000,000
Plumbing Water Meters Remote Access	Projected Year 2 thru 5	Facility Adaptation	\$15,000
Plumbing Fountain Copper Lines In Fountain replacement	Projected Year 2 thru 5	Planned Maint	\$500,000
Plumbing Sorority Hill Water Main Replace	Projected Year 2 thru 5	Planned Maint	\$45,000
Plumbing Utility Drains Phase I	Projected Year 2 thru 5	Planned Maint	\$250,000
Rock retaining wall along Bowers at AB III. Requires tie-back systems	Projected Year 2 thru 5	Planned Maint	\$600,000
Rock retaining wall along Univ. repair and renovate include tie-backs	Projected Year 2 thru 5	Planned Maint	\$600,000
SGA Parking & walkway lighting expansion phase II	Projected Year 2 thru 5	Facility Adaptation	\$100,000
Sidewalks Mall Expans '07 - Seal concrete joints etc	Projected Year 2 thru 5	Planned Maint	\$4,000
Sidewalks Seal concrete joints & repair	Projected Year 2 thru 5	Planned Maint	\$350,000
Sidewalks Sinking steps,ramps,etc @ LSC to Admn. & LDB	Projected Year 2 thru 5	Planned Maint	\$80,000
Streets - Solid Waste approaches along University Dr. - Thomason, MLH,	Projected Year 2 thru 5	Facility Adaptation	\$300,000
West Plant Tunnel Pipe Support Replacement Phase III	Projected Year 2 thru 5	Planned Maint	\$750,000
Austin Hall Humidity control - Munters unit	Projected Year 2 thru 5	Facility Adaptation	\$50,000
Peabody Repair windows and envelope repair, interior painting	Projected Year 2 thru 5	Planned Maint	\$40,000
Peabody Reseal/Replace damaged limestone sills/trim	Projected Year 2 thru 5	Planned Maint	\$80,000
BKM ADA PHASE I	Projected Year 2 thru 5	Facility Adaptation	\$40,000
BKM ADA PHASE II	Projected Year 2 thru 5	Facility Adaptation	\$40,000
BKM Duct Cleaning	Projected Year 2 thru 5	Planned Maint	\$150,000
BKM Elevator upgrade	Projected Year 2 thru 5	Facility Adaptation	\$20,000
BKM Envelope Restoration	Projected Year 2 thru 5	Planned Maint	\$100,000
BKM Lightning suppression	Projected Year 2 thru 5	Facility Adaptation	\$60,000
Farrington boiler & mech room code issues	Projected Year 2 thru 5	Planned Maint	\$10,000
Farrington Install a BFP/RPZ for building and lateral to the LABS	Projected Year 2 thru 5	Facility Adaptation	\$75,000
Farrington NFPA 1ST FLOOR Mech rm - fire wall rating	Projected Year 2 thru 5	Planned Maint	\$10,000
Farrington Renovate First Floor Agricultue Laboratories	Projected Year 2 thru 5	Facility Adaptation	\$50,000
Farrington Replace (2) Lower Units O/A HU	Projected Year 2 thru 5	Planned Maint	\$75,000
Farrington Replace MCC	Projected Year 2 thru 5	Planned Maint	\$30,000
Farrington Rewire the card access system for Farrington	Projected Year 2 thru 5	Planned Maint	\$50,000
Farrington Shelf angle repairs	Projected Year 2 thru 5	Planned Maint	\$120,000
ABIII Install a BAS System in the building	Projected Year 2 thru 5	Facility Adaptation	\$275,000
ABIII Replace (E)two-wire system the XFMR, SW/Gear for entire building	Projected Year 2 thru 5	Facility Adaptation	\$1,250,000
ABIII Replace all the exhaust fans and system duct for building	Projected Year 2 thru 5	Planned Maint	\$250,000

Project Name	Period	Category	Amount
ABIII Replace the AHU(s) and the FCU(s) for the building	Projected Year 2 thru 5	Facility Adaptation	\$750,000
Estill Address window frames - coating peeling	Projected Year 2 thru 5	Planned Maint	\$6,000
ESTILL AHU Renovation and Rebuild	Projected Year 2 thru 5	Planned Maint	\$350,000
Estill envelope/tuck pnt. mortar & seal	Projected Year 2 thru 5	Planned Maint	\$30,000
ESTILL HW Pump Replacement	Projected Year 2 thru 5	Planned Maint	\$14,000
Estill Lightning suppression	Projected Year 2 thru 5	Facility Adaptation	\$100,000
Estill Replace and relocate H.Voltage XFMR and re-run 480 VAC feed	Projected Year 2 thru 5	Planned Maint	\$875,000
Estill Replace exhaust fans with direct drive units	Projected Year 2 thru 5	Facility Adaptation	\$30,000
Estill Replace the HW heat exchanger and re-pipe pump	Projected Year 2 thru 5	Planned Maint	\$10,000
ABIV Ext. Stairs settled 1" @ east corner auditorium.	Projected Year 2 thru 5	Planned Maint	\$10,000
ABIV Lightning suppression	Projected Year 2 thru 5	Facility Adaptation	\$40,000
EVANS 6 new DOAS Units	Projected Year 2 thru 5	Facility Adaptation	\$500,000
EVANS Balance of south bldg. fenestration	Projected Year 2 thru 5	Planned Maint	\$635,000
EVANS Balcony removal & redesign of fenestration	Projected Year 2 thru 5	Planned Maint	\$250,000
EVANS DEFS install on 8 east columns - south bldg.	Projected Year 2 thru 5	Planned Maint	\$50,000
EVANS EIFS/stucco repairs, reseal/recaulk envelope	Projected Year 2 thru 5	Planned Maint	\$120,000
EVANS Install store front glass	Projected Year 2 thru 5	Facility Adaptation	\$15,000
EVANS Lightning suppression	Projected Year 2 thru 5	Facility Adaptation	\$75,000
EVANS North bldg. fenestration	Projected Year 2 thru 5	Facility Adaptation	\$725,000
EVANS Recaulk connecting retaining walls/sidewalks	Projected Year 2 thru 5	Planned Maint	\$4,000
EVANS Replace and upgrade CHW Pump at Evans	Projected Year 2 thru 5	Facility Adaptation	\$10,000
EVANS Reroof 7 small lower roofs - single ply	Projected Year 2 thru 5	Planned Maint	\$30,000
EVANS Rewire card access for Evans Complex	Projected Year 2 thru 5	Planned Maint	\$50,000
EVANS Rework the sanitary front load system	Projected Year 2 thru 5	Facility Adaptation	\$10,000
EVANS S. bldg through-wall flashing	Projected Year 2 thru 5	Planned Maint	\$120,000
EVANS Upgrade and replace the large exhaust fans	Projected Year 2 thru 5	Planned Maint	\$50,000
Thomason Abate ACM	Projected Year 2 thru 5	Facility Adaptation	\$225,000
Thomason Bathroom Renovation 1st Floor	Projected Year 2 thru 5	Planned Maint	\$40,000
Thomason Bathroom Renovation 2nd Floor	Projected Year 2 thru 5	Planned Maint	\$40,000
Thomason Bathroom Renovation 3rd Floor	Projected Year 2 thru 5	Planned Maint	\$40,000
Thomason Building ADA	Projected Year 2 thru 5	Facility Adaptation	\$25,000
Thomason Chilled Water Pump Replacement	Projected Year 2 thru 5	Planned Maint	\$5,000
Thomason Envelope Renovation (Window sill , Brick shelf angle)	Projected Year 2 thru 5	Planned Maint	\$250,000
Thomason IAQ Permanent Ionization Equipment	Projected Year 2 thru 5	Facility Adaptation	\$60,000
Thomason Install a hot water boiler	Projected Year 2 thru 5	Facility Adaptation	\$20,000
Thomason Rebuild the 3 AHU(s) that service the building	Projected Year 2 thru 5	Planned Maint	\$300,000
Thomason Rework the CHW & HW Distribution pipe at Thomason Bldg.	Projected Year 2 thru 5	Planned Maint	\$75,000
WPLNT Cooling Tower Blowdown to Museum Pond (TCEQ Discharge Per	Projected Year 2 thru 5	Planned Maint	\$50,000
WPLNT Increase size of CHW pumps with new switchgear	Projected Year 2 thru 5	Planned Maint	\$175,000
WPLNT Install valves and switchgear for temporary chillers	Projected Year 2 thru 5	Planned Maint	\$90,000
WPLNT Re-Insulate Chillers	Projected Year 2 thru 5	Planned Maint	\$35,000
WPLNT Renovate Vortec-Sand filter	Projected Year 2 thru 5	Planned Maint	\$40,000
WPLNT Repaint pipe and replace CHW pipe insulation	Projected Year 2 thru 5	Planned Maint	\$75,000
WPLNT Repair stucco south bldg. & recoat.	Projected Year 2 thru 5	Planned Maint	\$30,000
WPLNT Replace Condenser Water Pumps	Projected Year 2 thru 5	Planned Maint	\$80,000
WPLNT Replace Cooling Tower gear box and fans	Projected Year 2 thru 5	Planned Maint	\$65,000
WPLNT Replace Metal Siding and repair stucco and re-paint	Projected Year 2 thru 5	Planned Maint	\$200,000
WPLNT Re-place old chillers with new high-efficiency chillers	Projected Year 2 thru 5	Planned Maint	\$1,750,000
WPLNT Replace Switchgear West Plant	Projected Year 2 thru 5	Planned Maint	\$75,000
WPLNT Replace the Cooling Tower	Projected Year 2 thru 5	Planned Maint	\$750,000
WPLNT Split Face Retaining Wall Repair and Replace and Widen Sidewa	Projected Year 2 thru 5	Planned Maint	\$50,000
WPLT Add redundant DW feed to plant	Projected Year 2 thru 5	Planned Maint	\$30,000
WPLT Condition Mechanical Space and recommission HVAC in plant	Projected Year 2 thru 5	Planned Maint	\$120,000
WPLT Replace Switchgear, MCC and XFMR	Projected Year 2 thru 5	Planned Maint	\$275,000

Project Name	Period	Category	Amount
WPLT Resurface parking	Projected Year 2 thru 5	Planned Maint	\$55,000
MLH Building envelope	Projected Year 2 thru 5	Planned Maint	\$50,000
MLH Improve entrance/exit to lower mechanical room	Projected Year 2 thru 5	Planned Maint	\$55,000
MLH Install Door Operators, Lever operated door hardware and ADA Bath	Projected Year 2 thru 5	Facility Adaptation	\$200,000
MLH Lightning suppression	Projected Year 2 thru 5	Facility Adaptation	\$50,000
MLH Replace 480 Sw.Gear and MCC Enlargement	Projected Year 2 thru 5	Planned Maint	\$75,000
MLH Replace a sump pump to keep water out of SW/Gear	Projected Year 2 thru 5	Planned Maint	\$10,000
MLH Replace brick pavers with concrete sidewalk in lower courtyard	Projected Year 2 thru 5	Facility Adaptation	\$20,000
MLH Replace elevator with ADA compliant elevator	Projected Year 2 thru 5	Planned Maint	\$375,000
MLH Replace the VCT tiles on 1st and 3rd floor	Projected Year 2 thru 5	Planned Maint	\$65,000
MLH Shelf angle repairs	Projected Year 2 thru 5	Planned Maint	\$80,000
ART D Modernize the HVAC Controls	Projected Year 2 thru 5	Facility Adaptation	\$17,500
ART D Replace the roof	Projected Year 2 thru 5	Planned Maint	\$250,000
ABI Doors to Roof need to be replaced	Projected Year 2 thru 5	Planned Maint	\$8,000
ABI Replace the North and South Store Front Entrances	Projected Year 2 thru 5	Planned Maint	\$225,000
ABI ADA PHASE I	Projected Year 2 thru 5	Facility Adaptation	\$100,000
ABI ADA PHASE II	Projected Year 2 thru 5	Facility Adaptation	\$100,000
ABI Add a redundant boiler	Projected Year 2 thru 5	Facility Adaptation	\$20,000
ABI Add additional card reader to AB 1	Projected Year 2 thru 5	Facility Adaptation	\$10,000
ABI Building Envelope and Waterproofing	Projected Year 2 thru 5	Planned Maint	\$75,000
ABI Clean Supply / Return Duct	Projected Year 2 thru 5	Planned Maint	\$125,000
ABI Cross Connect CHW system to EPLT CHW System	Projected Year 2 thru 5	Facility Adaptation	\$61,000
ABI Equipment curbs to validate roof warranty.	Projected Year 2 thru 5	Planned Maint	\$8,000
ABI Remove ACM	Projected Year 2 thru 5	Facility Adaptation	\$150,000
ABI Repair fire damper 1st flr-north RA shaft	Projected Year 2 thru 5	Planned Maint	\$10,000
ABI Replace MCC and Electrical Distribution Panels for AB 1	Projected Year 2 thru 5	Planned Maint	\$325,000
ABI Replace the ADC access control panel with an I-STAR panel	Projected Year 2 thru 5	Facility Adaptation	\$8,500
ABI Re-route Irrigation header to Isolate From Domestic Water	Projected Year 2 thru 5	Planned Maint	\$4,500
ABI Roof/Penthouse floor drain replace	Projected Year 2 thru 5	Planned Maint	\$125,000
ABI Seal penthouse floor	Projected Year 2 thru 5	Planned Maint	\$80,000
ABI Upgrade and replace the BAS system for AB 1	Projected Year 2 thru 5	Facility Adaptation	\$75,000
ABI Upgrade and replace the emergency generator (larger capacity)	Projected Year 2 thru 5	Facility Adaptation	\$300,000
SHBB (new) Lightning supression, new bldg & new auditorium	Projected Year 2 thru 5	Facility Adaptation	\$30,000
SHBB (old) ADA PHASE I	Projected Year 2 thru 5	Facility Adaptation	\$75,000
SHBB (old) ADA PHASE II	Projected Year 2 thru 5	Facility Adaptation	\$75,000
SHBB (old) Penthouse floor drains & piping	Projected Year 2 thru 5	Planned Maint	\$500,000
SHBB (old) Building Envelope	Projected Year 2 thru 5	Planned Maint	\$120,000
SHBB (old) Fix auditorium internal gutter - leaking (old)	Projected Year 2 thru 5	Planned Maint	\$30,000
SHBB (old) HVAC for the data closet	Projected Year 2 thru 5	Planned Maint	\$25,000
SHBB (old) Prep/coat/seal int. rooftop mech yard panels	Projected Year 2 thru 5	Planned Maint	\$7,000
SHBB (old) Replace the Main Electrical Service and Install a Ground Loop	Projected Year 2 thru 5	Facility Adaptation	\$290,000
SHBB (old) Seal penthouse floor	Projected Year 2 thru 5	Planned Maint	\$100,000
SHBB Remove ACM From offices and classrooms Phase I	Projected Year 2 thru 5	Planned Maint	\$675,000
SHBB Remove ACM From offices and classrooms Phase II	Projected Year 2 thru 5	Planned Maint	\$675,000
SHBB Remove ACM From offices and classrooms Phase III	Projected Year 2 thru 5	Planned Maint	\$675,000
SHBB Remove ACM From offices and classrooms Phase IV	Projected Year 2 thru 5	Planned Maint	\$675,000
SHBB Remove ACM from Penthouse	Projected Year 2 thru 5	Planned Maint	\$175,000
SHBB Renovate exterior steps so they are code compliant	Projected Year 2 thru 5	Planned Maint	\$17,500
SHBB Repair roof issues for new SHBB	Projected Year 2 thru 5	Planned Maint	\$125,000
SHBB Replace the emergency generator	Projected Year 2 thru 5	Planned Maint	\$75,000
NGL Alter Second Floor Computer Lab - Install Second Door for Egress R	Projected Year 2 thru 5	Facility Adaptation	\$16,000
NGL boiler code issues	Projected Year 2 thru 5	Planned Maint	\$5,000
NGL Building Envelope and Water Proofing	Projected Year 2 thru 5	Planned Maint	\$340,000
NGL Concrete on the West Side needs to be re-done to keep water from e	Projected Year 2 thru 5	Planned Maint	\$200,000

Project Name	Period	Category	Amount
NGL Construct Second Set of Doors for Energy and Insect Control (Vestibule)	Projected Year 2 thru 5	Facility Adaptation	\$340,000
NGL Fall protection for roof	Projected Year 2 thru 5	Facility Adaptation	\$50,000
NGL Penthouse exterior stucco/siding repairs	Projected Year 2 thru 5	Planned Maint	\$150,000
NGL Repalce the handrails for main staircase to meet the code for spacing	Projected Year 2 thru 5	Planned Maint	\$500,000
NGL Replace the BAS with digital system	Projected Year 2 thru 5	Facility Adaptation	\$375,000
NGL Replace the drains to the Starbucks so they can handle the load	Projected Year 2 thru 5	Planned Maint	\$90,000
NGL Replace the switchgear and MCC	Projected Year 2 thru 5	Planned Maint	\$475,000
NGL Roof Replacement	Projected Year 2 thru 5	Planned Maint	\$700,000
NGL Roof/Penthouse floor drains replace	Projected Year 2 thru 5	Planned Maint	\$500,000
NGL Seal penthouse floor	Projected Year 2 thru 5	Planned Maint	\$100,000
CJC AHU(s) Renovation	Projected Year 2 thru 5	Planned Maint	\$325,000
CJC Asbestos abatement for entire building	Projected Year 2 thru 5	Facility Adaptation	\$1,500,000
CJC Bring restrooms into ADA compliance	Projected Year 2 thru 5	Planned Maint	\$600,000
CJC Building Envelope - mtl-mas, mas tckpt, cln& seal	Projected Year 2 thru 5	Planned Maint	\$200,000
CJC Building Envelope IV - mtl-mas, mas tckpt, cln& seal	Projected Year 2 thru 5	Planned Maint	\$200,000
CJC Building EnvelopeVI - mtl-mas, mas tckpt, cln& seal	Projected Year 2 thru 5	Planned Maint	\$200,000
CJC Demo cooling tower	Projected Year 2 thru 5	Planned Maint	\$500,000
CJC Elevator replacement to bring into ADA compliance	Projected Year 2 thru 5	Planned Maint	\$650,000
CJC Lightining suppression	Projected Year 2 thru 5	Facility Adaptation	\$125,000
CJC mech room & boiler code issues	Projected Year 2 thru 5	Planned Maint	\$10,000
CJC offices and bathrooms need to be replace and renovated in B-Wing	Projected Year 2 thru 5	Planned Maint	\$750,000
CJC PHASE I Replace all the domestic, sanitary and floor drains piping (p	Projected Year 2 thru 5	Planned Maint	\$250,000
CJC PHASE I Replace the window on the east side of the horse-shoe	Projected Year 2 thru 5	Planned Maint	\$200,000
CJC PHASE II Replace all the domestic, sanitary and floor drains piping (p	Projected Year 2 thru 5	Planned Maint	\$250,000
CJC PHASE III Replace all the domestic, sanitary and floor drains piping (Projected Year 2 thru 5	Planned Maint	\$250,000
CJC PHASE IV Replace all the domestic, sanitary and floor drains piping (Projected Year 2 thru 5	Planned Maint	\$250,000
CJC Re-commission building	Projected Year 2 thru 5	Planned Maint	\$75,000
CJC Replace Fan Coil Units Phase II	Projected Year 2 thru 5	Planned Maint	\$100,000
CJC Replace the emergency generator	Projected Year 2 thru 5	Planned Maint	\$350,000
CJC Replace the large partition main auditorium	Projected Year 2 thru 5	Planned Maint	\$475,000
CJC Replace the mixing boxes and re-heats	Projected Year 2 thru 5	Planned Maint	\$1,250,000
CJC Replace windows (except east side of horse-shoe)	Projected Year 2 thru 5	Planned Maint	\$1,000,000
CJC Shelf angle repairs	Projected Year 2 thru 5	Planned Maint	\$200,000
CJC Switchgear	Projected Year 2 thru 5	Planned Maint	\$350,000
TEC ADA restrooms	Projected Year 2 thru 5	Planned Maint	\$600,000
TEC Address the settlement of the NorthWEST corner	Projected Year 2 thru 5	Planned Maint	\$450,000
TEC Arrest/lift N & W ent. Paving	Projected Year 2 thru 5	Planned Maint	\$25,000
TEC Envelope Waterproofing Repairs	Projected Year 2 thru 5	Planned Maint	\$200,000
TEC Lever handles on interior doors	Projected Year 2 thru 5	Planned Maint	\$175,000
TEC Re-commission and re-balance	Projected Year 2 thru 5	Planned Maint	\$75,000
TEC Re-engineer and replace 2nd floor main entrance skylight	Projected Year 2 thru 5	Planned Maint	\$400,000
TEC Remove the emergency generator	Projected Year 2 thru 5	Facility Adaptation	\$10,000
TEC Replace the AHU(s) and the FCU(s) for the building	Projected Year 2 thru 5	Planned Maint	\$325,000
TEC Replace the exterior mechanical doors	Projected Year 2 thru 5	Planned Maint	\$15,000
TEC Replace the windows	Projected Year 2 thru 5	Planned Maint	\$750,000
TEC Waterproof southwest corner and french drain repair	Projected Year 2 thru 5	Planned Maint	\$425,000
UTC ACM abatement offices, classrooms and theaters	Projected Year 2 thru 5	Planned Maint	\$275,000
UTC AHU & CHW Pump Renovation/Replacement	Projected Year 2 thru 5	Planned Maint	\$750,000
UTC Breakers and Panels	Projected Year 2 thru 5	Planned Maint	\$150,000
UTC Building Envelope	Projected Year 2 thru 5	Planned Maint	\$150,000
UTC Close the Smoke Ventilation Vents	Projected Year 2 thru 5	Planned Maint	\$231,000
UTC convert to digital BAS controls, rebalance and re-commission HVAC	Projected Year 2 thru 5	Planned Maint	\$250,000
UTC Dumpster pad Renovation	Projected Year 2 thru 5	Facility Adaptation	\$15,000
UTC Remove the emergency generator	Projected Year 2 thru 5	Facility Adaptation	\$10,000

Project Name	Period	Category	Amount
UTC Renovate the Fire Safety System - Fire Alarms	Projected Year 2 thru 5	Planned Maint	\$25,000
UTC Replace door hardware with lever hardware, ADA door operator on r	Projected Year 2 thru 5	Facility Adaptation	\$125,000
UTC Replace sanitation piping	Projected Year 2 thru 5	Planned Maint	\$15,000
UTC replace the CHW and HW pumps	Projected Year 2 thru 5	Planned Maint	\$25,000
UTC Replace the main curtain on stage as it contains ACM	Projected Year 2 thru 5	Planned Maint	\$75,000
UTC Reroof lobby flat roof - single ply	Projected Year 2 thru 5	Planned Maint	\$100,000
UTC Separate fire main from DW feed to building	Projected Year 2 thru 5	Planned Maint	\$60,000
UTC Transformers Replacement	Projected Year 2 thru 5	Planned Maint	\$125,000
EPLT Add redundant DW feed to plant.	Projected Year 2 thru 5	Planned Maint	\$30,000
EPLT Add Switchgear for emergency generator and chiller	Projected Year 2 thru 5	Planned Maint	\$150,000
EPLT Add valves and piping for temperary chillers	Projected Year 2 thru 5	Planned Maint	\$75,000
EPLT Address the exterior envelope (brick and paint)	Projected Year 2 thru 5	Planned Maint	\$25,000
EPLT Condition mechanical space and filter air louvers	Projected Year 2 thru 5	Facility Adaptation	\$45,000
EPLT Install card access on exterior doors	Projected Year 2 thru 5	Facility Adaptation	\$20,000
EPLT Lightning suppression	Projected Year 2 thru 5	Facility Adaptation	\$20,000
EPLT Rebuild 1400 ton chiller motor	Projected Year 2 thru 5	Planned Maint	\$65,000
EPLT Reinsulate 1800 ton chiller	Projected Year 2 thru 5	Planned Maint	\$36,000
EPLT Reinsulate CHW piping, add DENSO wrap to cooling tower pipes ar	Projected Year 2 thru 5	Planned Maint	\$125,000
EPLT Re-insulate CHW pumps with removable insulation boxes	Projected Year 2 thru 5	Planned Maint	\$35,000
EPLT Renovate CHW and HW Distrb. Piping System Phase I HDPE/CHW	Projected Year 2 thru 5	Planned Maint	\$800,000
EPLT Renovate CHW and HW Distrb. Piping System Phase II HDPE/CHW	Projected Year 2 thru 5	Planned Maint	\$3,000,000
EPLT Repair the cooling tower sumps and balancing valves	Projected Year 2 thru 5	Planned Maint	\$125,000
EPLT Replace CHW pumps with identical pumps with similar pump curves	Projected Year 2 thru 5	Planned Maint	\$75,000
EPLT Replace Condense Water Pumps 1,2,3,4	Projected Year 2 thru 5	Planned Maint	\$125,000
EPLT Replace fill and mist eliminators for cooling towers	Projected Year 2 thru 5	Planned Maint	\$475,000
EPLT Replace Gearbox/Fan/Pad on Tower 1 - cells 1,2,3,4	Projected Year 2 thru 5	Planned Maint	\$100,000
EPLT Replace the BLDG. exhaust fans with direct drive	Projected Year 2 thru 5	Facility Adaptation	\$25,000
EPLT Replace the domestic water piping with HDPE	Projected Year 2 thru 5	Planned Maint	\$150,000
EPLT Replace the roof on the plant	Projected Year 2 thru 5	Planned Maint	\$300,000
EPLT Structural steel modifications for removal of chiller heads and pumps	Projected Year 2 thru 5	Planned Maint	\$30,000
EPLT VFD for Pumps/ Fans	Projected Year 2 thru 5	Planned Maint	\$200,000
EPLT Vortisand Filter Renovation	Projected Year 2 thru 5	Planned Maint	\$20,000
DRC ADA Issues Doors/Restrooms/Plumbing	Projected Year 2 thru 5	Facility Adaptation	\$225,000
DRC Address pass-thru FireSmok Dampers large holes in fire walls to bal	Projected Year 2 thru 5	Planned Maint	\$175,000
DRC Convert to digital BAS, recommission and rebalance	Projected Year 2 thru 5	Planned Maint	\$180,000
DRC Envelope and Renovations (Clean Brick & Windows)	Projected Year 2 thru 5	Planned Maint	\$150,000
DRC Install 2 New DOAS Units	Projected Year 2 thru 5	Facility Adaptation	\$125,000
DRC Install a grounding loop around the building	Projected Year 2 thru 5	Facility Adaptation	\$40,000
DRC Install emergency exit lights	Projected Year 2 thru 5	Facility Adaptation	\$35,000
DRC Install upgraded lighting controls	Projected Year 2 thru 5	Facility Adaptation	\$75,000
DRC lightning suppression	Projected Year 2 thru 5	Facility Adaptation	\$40,000
DRC Relocate lighting controls for studios from Mech room or install a par	Projected Year 2 thru 5	Planned Maint	\$65,000
DRC Repaint the radio tower	Projected Year 2 thru 5	Planned Maint	\$15,000
DRC Repair/verify hallway walls firerating/fire dampers	Projected Year 2 thru 5	Planned Maint	\$15,000
DRC Replace Carpet 3rd Floor	Projected Year 2 thru 5	Planned Maint	\$15,000
DRC Room 316 repurpose room, address acid waste floor drains	Projected Year 2 thru 5	Facility Adaptation	\$50,000
DRC Water proof wall with tunnel entry	Projected Year 2 thru 5	Planned Maint	\$75,000
HKC Reglaze S. entrance curtain wall	Projected Year 2 thru 5	Planned Maint	\$10,000
HKC ADA bathrooms	Projected Year 2 thru 5	Planned Maint	\$400,000
HKC ADA PHASE I	Projected Year 2 thru 5	Facility Adaptation	\$75,000
HKC ADA PHASE II	Projected Year 2 thru 5	Facility Adaptation	\$75,000
HKC Address door hardware with ADA levers and operators on bathrooms	Projected Year 2 thru 5	Planned Maint	\$150,000
HKC Address street apron and dumpster pad for solid waste dumpster	Projected Year 2 thru 5	Planned Maint	\$12,000
HKC AHU Refurbishment	Projected Year 2 thru 5	Planned Maint	\$1,200,000

Project Name	Period	Category	Amount
HKC BMS Upgrade	Projected Year 2 thru 5	Facility Adaptation	\$15,000
HKC Classroom Expansion	Projected Year 2 thru 5	Facility Adaptation	\$24,500
HKC Court Floor Refurbishment	Projected Year 2 thru 5	Planned Maint	\$30,000
HKC Envelope, re-glaze windows, clean brick and seal	Projected Year 2 thru 5	Planned Maint	\$20,000
HKC Hot Water Boiler	Projected Year 2 thru 5	Planned Maint	\$40,000
HKC IAQ Upgrade AHU	Projected Year 2 thru 5	Facility Adaptation	\$135,000
HKC IAQ Upgrade AHU Phase II	Projected Year 2 thru 5	Facility Adaptation	\$600,000
HKC Lightining suppression	Projected Year 2 thru 5	Facility Adaptation	\$80,000
HKC Re-elevate front concrete - N. ent.	Projected Year 2 thru 5	Planned Maint	\$4,000
HKC Remove & Replace Hot Water Storage Tank - As old as building	Projected Year 2 thru 5	Planned Maint	\$70,000
HKC Repipe the main header valve	Projected Year 2 thru 5	Planned Maint	\$15,000
HKC Replace the hot water boilers for building	Projected Year 2 thru 5	Planned Maint	\$100,000
HKC RSF DOAS Renovation	Projected Year 2 thru 5	Planned Maint	\$100,000
HKC Upgrade the panic hardware for double doors	Projected Year 2 thru 5	Facility Adaptation	\$25,000
HKC Clean & reseal brick	Projected Year 2 thru 5	Planned Maint	\$30,000
LDB ADA Issues Doors/Restrooms/Plumbing	Projected Year 2 thru 5	Facility Adaptation	\$500,000
LDB Add ducted return - whole building	Projected Year 2 thru 5	Facility Adaptation	\$500,000
LDB Address acid waste drains and recoat neutralization pit	Projected Year 2 thru 5	Planned Maint	\$175,000
LDB Address ACM issues for building	Projected Year 2 thru 5	Planned Maint	\$475,000
LDB Address Envelope issues; parapet cap, retuck brick, shelf angle, con	Projected Year 2 thru 5	Planned Maint	\$1,250,000
LDB Address mech room ventilation issues	Projected Year 2 thru 5	Planned Maint	\$80,000
LDB Bridges canopy @ Farr. & NANX	Projected Year 2 thru 5	Planned Maint	\$8,000
LDB Building Renovation	Projected Year 2 thru 5	Facility Adaptation	\$3,500,000
LDB Conduct an arc-flash study and replace the MCC	Projected Year 2 thru 5	Planned Maint	\$150,000
LDB Install a dead animal and medical waste room (area) for lab	Projected Year 2 thru 5	Facility Adaptation	\$500,000
LDB Install a grounding loop around the building	Projected Year 2 thru 5	Facility Adaptation	\$80,000
LDB Install a handicap door controls for the main entrance	Projected Year 2 thru 5	Facility Adaptation	\$60,000
LDB Install an emergency generator with a ATS	Projected Year 2 thru 5	Facility Adaptation	\$700,000
LDB Install RPZ	Projected Year 2 thru 5	Facility Adaptation	\$6,500
LDB Permanent Ladders on Roofs (Fall Protection)	Projected Year 2 thru 5	Facility Adaptation	\$20,000
LDB Re-commission and re-balance HVAC system	Projected Year 2 thru 5	Planned Maint	\$125,000
LDB Reinsulate supply air duct throughout building	Projected Year 2 thru 5	Planned Maint	\$475,000
LDB Remove drywall on exterior walls, address mold issues, replace dryw	Projected Year 2 thru 5	Planned Maint	\$1,500,000
LDB Renovate and upgrade the ventilation and exhaust fans to direct drive	Projected Year 2 thru 5	Planned Maint	\$750,000
LDB Renovate the AHU(s) for building	Projected Year 2 thru 5	Planned Maint	\$500,000
LDB Renovate the water proofing of exterior walls	Projected Year 2 thru 5	Planned Maint	\$150,000
LDB Repair a lighting arrest system	Projected Year 2 thru 5	Planned Maint	\$12,000
LDB Replace ceiling grid and tile throughout building	Projected Year 2 thru 5	Planned Maint	\$875,000
LDB Replace floor tiles, old and worn out (1st, 3rd, and 4th), remove ACM	Projected Year 2 thru 5	Planned Maint	\$1,200,000
LDB Replace Sky Lights with Clerrestory	Projected Year 2 thru 5	Planned Maint	\$1,000,000
LDB Replace the CHW and HW pumps	Projected Year 2 thru 5	Planned Maint	\$50,000
LDB Rework lab return ducts and plenums, address make-up air for labs,	Projected Year 2 thru 5	Planned Maint	\$750,000
LDB Rework solid waste pad and dumpster area	Projected Year 2 thru 5	Planned Maint	\$75,000
LDB Rework the dumpster pad and access	Projected Year 2 thru 5	Facility Adaptation	\$25,000
LDB Upgrade the elevator mechanical room add cooler	Projected Year 2 thru 5	Facility Adaptation	\$25,000
Counseling Center ADA bathrooms and bathroom door operators	Projected Year 2 thru 5	Facility Adaptation	\$90,000
Counseling Center Lever lock sets	Projected Year 2 thru 5	Facility Adaptation	\$12,000
Counseling Center Re-Key All Doors to Current System	Projected Year 2 thru 5	Facility Adaptation	\$3,500
Music ADA PHASE I	Projected Year 2 thru 5	Facility Adaptation	\$50,000
Music ADA PHASE II	Projected Year 2 thru 5	Facility Adaptation	\$50,000
Music Install a fire alarm system	Projected Year 2 thru 5	Facility Adaptation	\$150,000
Music Install a grounding loop around the building	Projected Year 2 thru 5	Facility Adaptation	\$50,000
Music Install continuous hinges on all interior doors	Projected Year 2 thru 5	Facility Adaptation	\$70,000
Music Renovate the elevator mechanical room	Projected Year 2 thru 5	Planned Maint	\$85,000

Project Name	Period	Category	Amount
Music Repair/replace the exterior water proofing & recoat cast concrete	Projected Year 2 thru 5	Planned Maint	\$175,000
Music Replace MCC Sw/gear and electrical meters	Projected Year 2 thru 5	Planned Maint	\$200,000
Music Rewire the existing building from 2-wire to modern 3-wire	Projected Year 2 thru 5	Facility Adaptation	\$250,000
ART B A/C replace	Projected Year 2 thru 5	Planned Maint	\$30,000
ART B Abatement	Projected Year 2 thru 5	Facility Adaptation	\$30,000
ART B Demolition Project (need sum of all pm/dm/cm/a)	Projected Year 2 thru 5	Facility Adaptation	\$50,000
ART B New Siding	Projected Year 2 thru 5	Planned Maint	\$25,000
ART B Remodel	Projected Year 2 thru 5	Facility Adaptation	\$250,000
ART B Reroof	Projected Year 2 thru 5	Planned Maint	\$8,000
ART A Replace bottom 4' of siding.	Projected Year 2 thru 5	Planned Maint	\$25,000
ART A A/C replacement	Projected Year 2 thru 5	Planned Maint	\$40,000
ART A new roof - metal	Projected Year 2 thru 5	Planned Maint	\$50,000
ART A New structure	Projected Year 2 thru 5	Facility Adaptation	\$75,000
ART A rework exterior ductwork	Projected Year 2 thru 5	Planned Maint	\$15,000
Observatory - repair & repaint	Projected Year 2 thru 5	Planned Maint	\$5,000
ART E Replace HVAC	Projected Year 2 thru 5	Planned Maint	\$40,000
ART E Replace roof	Projected Year 2 thru 5	Planned Maint	\$150,000
ART E Replace bottom 4' of siding.	Projected Year 2 thru 5	Planned Maint	\$25,000
Substation concrete retaining structure repairs	Projected Year 2 thru 5	Planned Maint	\$30,000
Substation foam roof repairs	Projected Year 2 thru 5	Planned Maint	\$12,000
Horticulture Classroom bldg. Demolition	Projected Year 2 thru 5	Facility Adaptation	\$125,000
ABT Lightning suppression	Projected Year 2 thru 5	Facility Adaptation	\$8,000
Animal Husbandry Bldg Reroof	Projected Year 2 thru 5	Facility Adaptation	\$450,000
Animal Husbandry Bldg Abate floors/walls	Projected Year 2 thru 5	Planned Maint	\$100,000
Animal Husbandry Bldg Renovate classrooms	Projected Year 2 thru 5	Planned Maint	\$350,000
Animal Husbandry Bldg Repaint	Projected Year 2 thru 5	Planned Maint	\$200,000
Animal Husbandry Bldg replace hvac	Projected Year 2 thru 5	Planned Maint	\$25,000
Tractor lab Bldg Replace	Projected Year 2 thru 5	Facility Adaptation	\$100,000
Abattoir Bldg cooler/freezer/smoker/hot water replacement	Projected Year 2 thru 5	Planned Maint	\$120,000
Abattoir Bldg Remodel restrooms	Projected Year 2 thru 5	Planned Maint	\$60,000
Adams House Replace abate (mech rms?)	Projected Year 2 thru 5	Facility Adaptation	\$125,000
Adams House Replace new A/C	Projected Year 2 thru 5	Planned Maint	\$75,000
Adams House Replace Old Wiring	Projected Year 2 thru 5	Planned Maint	\$120,000
Allen House Replace abate (mech rms?)	Projected Year 2 thru 5	Facility Adaptation	\$125,000
Allen House Replace new A/C	Projected Year 2 thru 5	Planned Maint	\$75,000
Allen House Replace windows	Projected Year 2 thru 5	Planned Maint	\$300,000
Allen House Re-Wire House to Current Code	Projected Year 2 thru 5	Facility Adaptation	\$125,000
CJ1 A/C new	Projected Year 2 thru 5	Planned Maint	\$15,000
CJ1 Abate	Projected Year 2 thru 5	Planned Maint	\$10,000
CJ1 New plumbing	Projected Year 2 thru 5	Planned Maint	\$7,000
CJ1 New roof	Projected Year 2 thru 5	Planned Maint	\$5,000
CJ1 Relevel	Projected Year 2 thru 5	Planned Maint	\$5,000
CJ1 Rewire	Projected Year 2 thru 5	Planned Maint	\$10,000
CJ2 A/C new	Projected Year 2 thru 5	Planned Maint	\$15,000
CJ2 Abate	Projected Year 2 thru 5	Planned Maint	\$10,000
CJ2 New plumbing	Projected Year 2 thru 5	Planned Maint	\$7,000
CJ2 New roof	Projected Year 2 thru 5	Planned Maint	\$5,000
CJ2 Relevel	Projected Year 2 thru 5	Planned Maint	\$5,000
CJ2 Rewire	Projected Year 2 thru 5	Planned Maint	\$10,000
CJ3 A/C new	Projected Year 2 thru 5	Planned Maint	\$15,000
CJ3 Abate	Projected Year 2 thru 5	Planned Maint	\$10,000
CJ3 New plumbing	Projected Year 2 thru 5	Planned Maint	\$7,000
CJ3 New roof	Projected Year 2 thru 5	Planned Maint	\$5,000
CJ3 Relevel	Projected Year 2 thru 5	Planned Maint	\$5,000

Project Name	Period	Category	Amount
CJ3 Rewire	Projected Year 2 thru 5	Planned Maint	\$10,000
Gibbs Ranch Conference Aerobic system	Projected Year 2 thru 5	Planned Maint	\$10,000
Gibbs Ranch Conference Center A/C replacement	Projected Year 2 thru 5	Planned Maint	\$15,000
Gibbs Ranch Conference Center electrical system replace/rewire	Projected Year 2 thru 5	Planned Maint	\$80,000
Gibbs Ranch Conference Center floor replacement	Projected Year 2 thru 5	Planned Maint	\$100,000
Gibbs Ranch Conference Center parking & driveway replacement	Projected Year 2 thru 5	Planned Maint	\$60,000
Gibbs Ranch Conference Center siding replacement	Projected Year 2 thru 5	Planned Maint	\$50,000
Gibbs Classroom aerobic system	Projected Year 2 thru 5	Planned Maint	\$10,000
Gibbs Classroom lighting upgrade	Projected Year 2 thru 5	Facility Adaptation	\$5,000
Gibbs Classroom upgrade ductwork	Projected Year 2 thru 5	Facility Adaptation	\$5,000
Gibbs Classroom water drainage	Projected Year 2 thru 5	Planned Maint	\$10,000
Gibbs Conference Center Storage electrical service	Projected Year 2 thru 5	Planned Maint	\$3,000
Gibbs Conference Center Storage envelope repair	Projected Year 2 thru 5	Planned Maint	\$10,000
Auto Shop Automated Wash Rack	Projected Year 2 thru 5	Facility Adaptation	\$40,000
Auto Shop Expand/Upgrade Bays	Projected Year 2 thru 5	Facility Adaptation	\$150,000
Auto Shop Paint/Envelope repairs	Projected Year 2 thru 5	Planned Maint	\$15,000
Plant Shops card access	Projected Year 2 thru 5	Facility Adaptation	\$60,000
Plant Shops lightning suppression	Projected Year 2 thru 5	Facility Adaptation	\$35,000
Plant Shops dust collector	Projected Year 2 thru 5	Planned Maint	\$50,000
Plant Shops HVAC upgrad	Projected Year 2 thru 5	Facility Adaptation	\$75,000
UPD emergency meter upgradeupgrade became part of 95360H - UPD R	Projected Year 2 thru 5	Facility Adaptation	\$70,000
Plant Shops overhead crane	Projected Year 2 thru 5	Facility Adaptation	\$20,000
Plant Shops Repaint/Envelope repair	Projected Year 2 thru 5	Planned Maint	\$60,000
Sam South Administration card access	Projected Year 2 thru 5	Facility Adaptation	\$60,000
Sam South Administration Repaint/Envelope repair	Projected Year 2 thru 5	Planned Maint	\$60,000
Sam South Administration signage	Projected Year 2 thru 5	Planned Maint	\$10,000
Sam South Administration TRIES exhaust & fumehoods	Projected Year 2 thru 5	Facility Adaptation	\$95,000
Sam South Admn Mech Room of TRIES Laboratory - boiler code issues	Projected Year 2 thru 5	Planned Maint	\$10,000
UPD card accessupgrade became part of 95360H - UPD Renovation	Projected Year 2 thru 5	Facility Adaptation	\$50,000
UPD emergency generator upgradeupgrade became part of 95360H - UP	Projected Year 2 thru 5	Facility Adaptation	\$60,000
UPD lightning suppression installupgrade became part of 95360H - UPD R	Projected Year 2 thru 5	Facility Adaptation	\$15,000
UPD Repaint/Envelope repair	Projected Year 2 thru 5	Planned Maint	\$10,000
UPD Roof Access ladder	Projected Year 2 thru 5	Planned Maint	\$3,500
Observatory classroom lightning suppression	Projected Year 2 thru 5	Facility Adaptation	\$3,000
Ag Mech Tech exhaust system upgrade	Projected Year 2 thru 5	Facility Adaptation	\$15,000
Ag Mech Tech HVAC upgrade	Projected Year 2 thru 5	Facility Adaptation	\$35,000
Observatory 2 repaint	Projected Year 2 thru 5	Planned Maint	\$1,700
Observatory 3 repaint	Projected Year 2 thru 5	Planned Maint	\$1,700
Observatory 4 repaint	Projected Year 2 thru 5	Planned Maint	\$1,700
Ind Tech replace A/C	Projected Year 2 thru 5	Planned Maint	\$20,000
Fish Hatchery Residence A/C replace	Projected Year 2 thru 5	Planned Maint	\$10,000
Fish Hatchery Residence new roof & envelope	Projected Year 2 thru 5	Planned Maint	\$15,000
VIS&ALUM leak in east concrete wall	Projected Year 2 thru 5	Planned Maint	\$7,500
VIS&ALUM EIFS Repairs & reseal/recaulk	Projected Year 2 thru 5	Planned Maint	\$10,000
VIS&ALUM Lightning suppression	Projected Year 2 thru 5	Facility Adaptation	\$15,000
VIS&ALUM UV in HVAC	Projected Year 2 thru 5	Facility Adaptation	\$7,500
CFS dumpster pad relocate away from switchgear	Projected Year 2 thru 5	Facility Adaptation	\$50,000
CFS exhaust drive motors convert to direct drive	Projected Year 2 thru 5	Facility Adaptation	\$75,000
CFS recertify air balance of Phoenix valve system & controls	Projected Year 2 thru 5	Planned Maint	\$75,000
CFS Clean limestone/brick & seal	Projected Year 2 thru 5	Planned Maint	\$40,000
CFS Reroof	Projected Year 2 thru 5	Planned Maint	\$500,000
CEC Rework switchgear/breakers	Projected Year 2 thru 5	Planned Maint	\$300,000
STAFS Install Alarm Notification System on Coolers	Projected Year 2 thru 5	Facility Adaptation	\$20,000
CHSS door at exit to roof	Projected Year 2 thru 5	Facility Adaptation	\$5,000

Project Name	Period	Category	Amount
CHSS convert FCU to HW to prevent freeze in penthouse	Projected Year 2 thru 5	Facility Adaptation	\$5,000
CHSS dumpster pad	Projected Year 2 thru 5	Facility Adaptation	\$50,000
CHSS Soft Start Exhaust Fans	Projected Year 2 thru 5	Facility Adaptation	\$20,000
GPAC dumpster pad	Projected Year 2 thru 5	Facility Adaptation	\$50,000
GPAC F/S damper controls	Projected Year 2 thru 5	Planned Maint	\$25,000
Post Office repair handicap ramp	Projected Year 2 thru 5	Planned Maint	\$1,000
CHSS door at exit to roof	Projected Year 2 thru 5	Facility Adaptation	\$5,000
CHSS convert FCU to HW to prevent freeze in penthouse	Projected Year 2 thru 5	Facility Adaptation	\$5,000
CHSS dumpster pad	Projected Year 2 thru 5	Facility Adaptation	\$50,000
CHSS Soft Start Exhaust Fans	Projected Year 2 thru 5	Facility Adaptation	\$20,000
GPAC RMS 180 184 188 paint	Projected Year 2 thru 5	Planned Maint	\$2,389
GPAC dumpster pad	Projected Year 2 thru 5	Facility Adaptation	\$50,000
GPAC F/S damper controls	Projected Year 2 thru 5	Planned Maint	\$25,000
Woodlands Center L4 Nursing	Projected Year 2 thru 5	Facility Adaptation	\$1,081,963
STAFS Install Alarm Notification System on Coolers	Projected Year 2 thru 5	Facility Adaptation	\$20,000
STAFS Building Corrections - HVAC & Freezer	Projected Year 2 thru 5	Facility Adaptation	\$200,000
Univ Storage provide access to package units	Projected Year 2 thru 5	Facility Adaptation	\$50,000
HATCH Add ADA compliant hardware	Projected Year 2 thru 5	Facility Adaptation	\$5,938
Post Office repair handicap ramp	Projected Year 2 thru 5	Planned Maint	\$1,000
Plant Shops compressor	Projected Year 2 thru 5	Planned Maint	\$15,000
HATCH Update sign	Projected Year 2 thru 5	Facility Adaptation	\$5,500
Energy Savings Project E&G Buildings Phase IV	Unbudgeted - Current Year	Facility Adaptation	\$1,750,000
Card Access Wiring Repair of Original installation by Red Hawk UPGRAD	Unbudgeted - Current Year	Facility Adaptation	\$363,893
ABI Ground Loop & Lightning Protection Upgrade	Unbudgeted - Current Year	Facility Adaptation	\$112,320
Sidewalk Non-Slip Coating Phase I	Unbudgeted - Current Year	Facility Adaptation	\$62,400
CJC Drain Pipe Replacement Phase I	Unbudgeted - Current Year	Deferred Maint	\$312,000
CJC Building Envelope Repair Phase I	Unbudgeted - Current Year	Deferred Maint	\$200,000
TEC Elevator Closed Loop Control Replacement	Unbudgeted - Current Year	Deferred Maint	\$18,720
DRC Ground Loop Installation	Unbudgeted - Current Year	Facility Adaptation	\$48,000
EPLT Reinsulate 1800 Ton Chiller	Unbudgeted - Current Year	Deferred Maint	\$50,000
EPLT Replace Domestic Water Pipe - interior copper	Unbudgeted - Current Year	Deferred Maint	\$180,000
LDB Ground Loop and Lightning Protection Upgrade	Unbudgeted - Current Year	Facility Adaptation	\$193,440
LDB Roof Fall Protection	Unbudgeted - Current Year	Facility Adaptation	\$24,960
Main Substation - Arc Flash/Coordination Study	Unbudgeted - Current Year	Planned Maint	\$250,000
WHITE HALL E&G Space Renovation C160, C120A	Unbudgeted - Current Year	Facility Adaptation	\$500,000
Total			\$ 108,642,378
Campus Condition Index Calculation			
EGCCIV	\$500,980,771.00	EGCCI	21.67%
IWCCIV	\$1,401,277,668.00	IWCCI	7.75%
DM TO CCIV	5.71%		

Campus Condition Index by Institution (excludes auxillary facilities)

2015



Sul Ross State University			
Project Name	Period	Category	Amount
Lawrence Hall Fire Alarm	Unbudgeted	Deferred	\$75,000.00
Ferguson Hall Fire Alarm	Unbudgeted	Deferred	\$65,000.00
Central Heating & Cooling Plant Electrical Upgrades	Unbudgeted	Deferred	\$110,000.00
Briscoe Administration Building Electrical Upgrades	Unbudgeted	Deferred	\$120,000.00
Morelock Academic Building Abatement	Budgeted	Deferred	\$135,000.00
Morelock Academic Building Electrical Upgrades	Unbudgeted	Deferred	\$140,000.00
Fire Arts Building Electrical Upgrades	Unbudgeted	Deferred	\$120,000.00
Graves-Pierce Complex Controls	Unbudgeted	Deferred	\$110,000.00
Graves-Pierce Complex Fire Alarm	Unbudgeted	Deferred	\$70,000.00
Wildenthal Library Fire Alarm	Unbudgeted	Deferred	\$90,000.00
Industrial Technology Roof	Projected	Deferred	\$126,000.00
Industrial Technology Electrical Upgrades	Unbudgeted	Deferred	\$90,000.00
Jackson Womens Restroom	Unbudgeted	Deferred	\$30,000.00
Kokernot Lodge Roof	Budgeted	Deferred	\$44,000.00
Graves-Pierce Pool	Unbudgeted	Deferred	\$250,000.00
Physical Plant Operations Complex Painting	Projected	Deferred	\$110,000.00
Multi-Purpose Gallego Center Fire Alarm	Unbudgeted	Deferred	\$140,000.00
Campus Parking Lot Sealing	Unbudgeted	Deferred	\$70,000.00
Campus Parking Lot Repave	Unbudgeted	Deferred	\$80,000.00
Physical Plant Maint & SVCS Complex Electrical Upgrades	Unbudgeted	Deferred	\$110,000.00
Total			\$2,085,000.00
Campus Condition Index Calculation			
EGCCIV	115,050,345	EGCCI	1.81%
IWCCIV	381,784,487	IWCCI	0.55%
DM TO CCIV	0.42%		

Campus Condition Index by Institution (excludes auxillary facilities)
2015

Texas State University

Project Name	Period	Category	Amount
Alkek, Office Renovations	Budgeted	Facility Adapt	69,200
ASB-N, Third Floor Office Renovations	Budgeted	Facility Adapt	344,000
ASB-N, Third Floor Restroom Renovations	Budgeted	Facility Adapt	54,000
Centennial, Classroom Renovation 103	Budgeted	Facility Adapt	30,000
Centennial, Classroom Renovation G01	Budgeted	Facility Adapt	26,000
Centennial, Classroom Renovations	Budgeted	Facility Adapt	115,000
Child Development Center, Space Renovations	Budgeted	Facility Adapt	55,400
Derrick Hall, Classroom Renovation 328	Budgeted	Facility Adapt	36,000
Derrick Hall, Ctr for International Studies Renovation	Budgeted	Facility Adapt	565,000
Evans Liberal Arts, Renovations 142, 144, 145	Budgeted	Facility Adapt	53,500
Evans Liberal Arts, Classroom Renovation 218	Budgeted	Facility Adapt	30,000
Evans Liberal Arts, Office Renovation 222	Budgeted	Facility Adapt	127,000
Evans Liberal Arts, Classroom Renovation 312	Budgeted	Facility Adapt	30,000
Flowers Hall, Classroom Renovation 254	Budgeted	Facility Adapt	25,000
Flowers Hall, Suite G Renovation	Budgeted	Facility Adapt	243,000
Freeman Ranch, Office Renovation	Budgeted	Facility Adapt	25,000
Hines, Classroom Renovation 204	Budgeted	Facility Adapt	35,000
JCK, Human Relations Office Renovation	Budgeted	Facility Adapt	51,800
Jowers, Classroom Renovation A206	Budgeted	Facility Adapt	25,000
Meadows Center, Dive Locker Renovation	Budgeted	Facility Adapt	59,000
Music, Classroom & practice room renovations	Budgeted	Facility Adapt	574,000
Riverside, Band Storage Renovations	Budgeted	Facility Adapt	49,100
Supple Science, Classroom Renovation 153A	Budgeted	Facility Adapt	32,000
Theatre, Classroom Renovation 201	Budgeted	Facility Adapt	34,000
Alkek, Repair Basement Leaks	Projected	Deferred	420,000
Alkek, Replace water piping	Projected	Deferred	250,000
Aqua Sports, Repair Roof	Budgeted	Deferred	50,000
ASB North, Roof Replacement	Budgeted	Deferred	250,000
ASB South, Replace AHUs and upgrade controls	Budgeted	Deferred	600,000
ASB South, Roof Replacement	Budgeted	Deferred	75,000
ASB South, Elevator Upgrade	Budgeted	Deferred	120,000
Campus, Convert Elevators to VOIP	Budgeted	Deferred	65,000
Campus, Upgrade Mass Notifications in Elevators	Budgeted	Deferred	125,000
Centennial, Fire Alarm & Sprinkler upgrades	Projected	Planned	350,000
Centennial, Lab Cabinet Renovations	Budgeted	Deferred	400,000
Chemistry, Electrical Upgrades	Budgeted	Planned	980,000
Chemistry, Lab Cabinet Renovations	Budgeted	Deferred	400,000
Chemistry, Lab Countertop Replacement	Budgeted	Deferred	350,000
Chemistry, Natural Gas Line Upgrade	Budgeted	Planned	15,000
Child Development Center, Upgrade HVAC	Budgeted	Deferred	250,000
Commons Testing Center, Repairs	Budgeted	Deferred	35,000

Project Name	Period	Category	Amount
Derrick Hall, Elevator	Budgeted	Deferred	120,000
Derrick Hall, HVAC Upgrade Phase 1	Budgeted	Deferred	475,000
Derrick Hall, HVAC Upgrade Phase 2	Budgeted	Deferred	400,000
Education, Upgrade Elevator	Budgeted	Deferred	65,000
Evans Liberal Arts, Electrical Repairs	Budgeted	Deferred	102,000
Evans Liberal Arts, Roof Replacement	Budgeted	Deferred	780,000
Evans Liberal Arts, Upgrade HVAC	Budgeted	Deferred	625,000
Family and Consumer Sci, Electrical Upgrade	Budgeted	Deferred	35,000
Fire Station Studio, Roof Repair/Bat Exclusion	Budgeted	Deferred	75,000
Flowers Hall, Electrical Upgrade	Budgeted	Deferred	42,000
Flowers, Upgrade HVAC and Controls	Budgeted	Deferred	400,000
Flowers, Fire Alarm & Sprinkler upgrades	Budgeted	Deferred	375,000
Freeman Aquatic Biology, creek and foundation stabilization	Projected	Planned	450,000
Freeman Aquatic, Upgrade HVAC	Budgeted	Deferred	500,000
Health Professions, Repair Roof	Budgeted	Deferred	250,000
Health Professions, Upgrade Elevator 1	Budgeted	Deferred	125,000
Health Professions, Upgrade Elevator 2	Budgeted	Deferred	125,000
Health Professions, Upgrade Elevator 3	Budgeted	Deferred	125,000
Houses, Replace Roofs	Budgeted	Deferred	400,000
JCK, Electronic Access Control Modification	Budgeted	Planned	51,400
JCK, Renovate/Upgrade HVAC and controls	Budgeted	Deferred	1,500,000
JCK, Replace metal @ penthouse roof/fence	Budgeted	Deferred	125,000
JCK, Structural Repair to 3rd Floor Entry Patio	Projected	Planned	350,000
Jowers, Electrical Upgrade	Projected	Deferred	450,000
Lampasas, Repairs/Mold Remediation	Budgeted	Deferred	61,000
LBJSC, Fire Alarm & Sprinkler upgrades (E&G Share)	Projected	Deferred	400,000
MCSB, Rpl A/C Systems for 2nd, 3rd, and 4th floors	Budgeted	Deferred	450,000
MCSB, Upgrade Elevator	Budgeted	Deferred	120,000
Music, Double Duct VAV Upgrade	Budgeted	Deferred	500,000
Music, Upgrade DDC controls	Budgeted	Deferred	350,000
Music, Roof Repairs	Budgeted	Deferred	400,000
Music, Upgrade Elevator	Budgeted	Deferred	250,000
Music, Upgrade HVAC	Budgeted	Deferred	500,000
Nueces, Upgrade Elevator 1	Budgeted	Deferred	130,000
Nueces, Upgrade Elevator 2	Budgeted	Deferred	130,000
Physical Plant Admin, Foundation Stabilization	Projected	Deferred	1,700,000
Power Plant, Roof Coating	Budgeted	Deferred	400,000
R.F. Mitte, Repair fountain feature	Projected	Deferred	50,000
Science Greenhouse, Repair Roof	Budgeted	Deferred	100,000
Supple Science, Lab Cabinet Renovations	Budgeted	Deferred	400,000
Taylor Murphy, Foundation Stabilization	Budgeted	Deferred	125,000
Tennis Center, Repair Roof	Budgeted	Deferred	75,000
Theater Center, HVAC/Controls Upgrade	Budgeted	Deferred	1,500,000
Theatre, Repair Roof	Projected	Deferred	400,000
Theatre, Upgrade Elevator	Budgeted	Deferred	170,000
Uni Press/West Warehouse, Roof Repair	Budgeted	Deferred	200,000
E&G Total			23,779,400

Project Name	Period	Category	Amount
Infrastructure Projects			
Chilled Water Dist Upgrade Phased	Budgeted	Deferred	1,500,000
Comanche St Bridge, Structural Repairs	Budgeted	Deferred	350,000
Potable Water/Fire Lines Phased	Budgeted	Deferred	400,000
Steam Distribution Upgrade 2015	Budgeted	Deferred	900,000
Storm Water Dist Upgrade 2015	Budgeted	Deferred	300,000
Replace Emergency Generators	Budgeted	Deferred	400,000
Parking Lot Seal Coat/Resurfacing 2015	Projected	Deferred	40,000
Misc Utility Dist Upgrades 2015	Projected	Deferred	2,000,000
Misc Utility Dist Upgrades 2016	Projected	Planned	2,000,000
Parking Lot Seal Coat/Resurfacing 2017	Projected	Planned	40,000
Parking Lot Seal Coat/Drainage 2017	Projected	Planned	130,000
Misc Utility Dist Upgrades 2017	Projected	Planned	3,500,000
Misc Utility Dist Upgrades 2018	Projected	Planned	3,500,000
Infrastructure Total			15,060,000
Total			\$38,839,400
Campus Condition Index Calculation			
EGCCIV	\$888,029,669	EGCCI	2.68%
IWCCIV	\$580,910,529	IWCCI	2.59%
DM TO CCIV	2.64%		

**Texas State University System
Governmental Relations Committee**

*David Montagne, Chair
Rossanna Salazar
Jaime Garza*

Item(s) to Report

1. Legislative Update

**Texas State University System
Information Resources Committee**

*Donna Williams, Chair
Charlie Amato
Jaime Garza*

Item(s) to Report

1. DIR Campus Risk Assessment Planning Status
2. System-wide Business Improvements Projects Update
3. TCC Consortium Update
4. CIO Council Update
5. Campus IT Updates

Texas State University System Contracts

Action Items

1. TXST: Texas Justice Court Training Center Lease Agreement

Consent Items

2. LU: CONSENT: Purchase of Property - 1199 Iowa
3. LU: CONSENT: Purchase of Property - 1176, 1190, 1230 Iowa
4. SHSU: CONSENT: City of Huntsville Utility Easement - Gibbs Ranch
5. TXST: CONSENT: Acquisition of 1345 Thorpe Lane

TxSt: Texas Justice Court Training Center Lease Agreement

Upon motion of Regent _____, seconded by Regent _____, it was ordered that:

Texas State University be authorized to enter into a Lease Agreement with Frost Bank, Trustee for SouthPark One, to lease space at 1701 Directors Boulevard, Austin, Texas, and the Vice President for Finance and Support Services be authorized to execute and accept all documents and instruments necessary to carry out this transaction upon the review and approval of the Vice Chancellor and General Counsel.

Explanation

The Texas Justice Court Training Center seeks office space in Austin to support their program. Their current home for the past 10 years in central Austin is no longer viable from a cost perspective, nor can the program find similar space in the central Austin business district due to the significant rise in market demand and resulting lease rates. To stay in their existing lease space base rents would increase over three times their current rate. Similar pressures on rents and space availability are apparent throughout the Austin area market. A search for alternative locations was performed for space meeting the current needs of the program including south side location to facilitate accessibility to the San Marcos campus, proximity to a hotel accepting State of Texas government rates, and proximity to the Austin airport. Several properties were considered and the subject location and space determined to provide the best value to the program.

SouthPark One was selected for its low rent rate relative to the general market, location in South Austin and across the street from the Omni Hotel, and less than six miles from the Austin-Bergstrom International Airport. The proposed space is the only available space in this 150,000sf facility. The proposed 10-year lease agreement will insure the program can maintain a long-term office presence in an environment and location supporting their program needs.

The Texas Justice Court Training Center is self-sustaining with multiple funding sources including fees derived from the Court of Criminal Appeals and allocated to the program, a Texas Department of Transportation grant, and registration fees for program attendees.

A copy of the proposed lease agreement is attached.

LEASE AGREEMENT

BETWEEN

FROST BANK, TRUSTEE FOR A DESIGNATED TRUST,
as "Landlord,"

AND

TEXAS STATE UNIVERSITY,
as "Tenant"

SOUTHPARK ONE
1701 Directors Blvd., Suite 530
Austin, Texas 78744

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LEASE AGREEMENT

THIS LEASE AGREEMENT ("Lease") is entered into between FROST BANK, TRUSTEE FOR A DESIGNATED TRUST ("Landlord"), and TEXAS STATE UNIVERSITY, ("Tenant"), and is entered into effective as of the Date of the Lease (hereinafter defined).

1. FUNDAMENTAL LEASE PROVISIONS.

This Lease contains the following fundamental provisions:

- (a) Landlord's Address: Endura Advisory Group
1701 Directors Blvd., Ste. 130
Austin, Texas 78744
Attn: Property Manager
Phone #: (512) 448-4811
Fax #: (512) 448-0009

With a copy to: Haynes and Boone, LLP
112 E. Pecan Street
Suite 1200
San Antonio, TX 78205-1524
(t) 210.978.7433
(f) 210.554.0433
- (b) Landlord's Address for Payment of Rent: c/o Endura Advisory Group
Southpark One
1701 Directors Blvd., Suite 130
Austin, Texas 78744
- (c) Property Manager's Address: c/o Endura Advisory Group
1701 Directors Blvd., Suite 130
Austin, Texas 78744
Attn: Property Manager/Southpark One
Phone #: (512) 448-4811
Facsimile No.: (512) 448-0009
- (d) Tenant's Address:

Prior to Commencement Date: _____

From and after Commencement Date: 1701 Directors Blvd., Suite 530
Austin, TX 78744
- (e) Net Rentable Area of the Premises: 3,720 rsf
- (f) Floor(s) of the Premises: __5th__ floor
- (g) Building Name and Address: SouthPark One
1701 Directors Blvd.
Austin, Texas 78744

- (h) Net Rentable Area of the Building: 154,939
- (i) Term: 120 mos
- (j) Base Rent:

Lease Period	Annual Base Rent Per Sq. Ft. of NRA in Premises	Monthly Base Rent
Months 1-12	\$26.50	\$8,215.00
Months 13-24	\$27.00	\$8,370.00
Months 25-36	\$27.50	\$8,525.00
Months 37-48	\$28.00	\$8,680.00
Months 49-60	\$28.50	\$8,835.00
Months 61-72	\$29.00	\$8,990.00
Months 73-84	\$29.50	\$9,145.00
Months 85-96	\$30.00	\$9,300.00
Months 97-108	\$30.50	\$9,455.00
Months 109-120	\$31.00	\$9,610.00

- (k) Base Year for Operating Expenses, Real Estate Taxes Expenses and Landlord's Insurance Expenses: **2015**
- (l) Tenant's Proportionate Share: **2.40%**
- (m) Target Completion Date: **6/1/15**
- (n) Parking Spaces: 16 Total Spaces to be allocated as follows: 3 reserved covered, 6 unreserved covered, 5 surface
- (o) Security Deposit: **\$9,610.00**
- (p) Permitted Use: General office

2. DEFINITIONS.

(a) "Additional Rent" is additional consideration paid to Landlord by Tenant for the lease of the Premises pursuant to Paragraph 6(b) below. Additional Rent means the sum of money equal to Tenant's Proportionate Share of an amount equal to the sum of (i) the Operating Expenses in any calendar year, less the Operating Expenses for the Base Year; (ii) Real Estate Tax Expense in any calendar year, less the Real Estate Tax Expense for the Base Year; and (iii) Landlord's Insurance Expense in any calendar year, less Landlord's Insurance Expense for the Base Year. In no event shall Additional Rent or any individual item (i), (ii), or (iii) above be less than zero (0). If this Lease commences or terminates on a date other than January 1, the annual Operating Expenses, Real Estate Tax Expense and Landlord's Insurance Expense for such calendar year and for the Base Year shall be prorated by multiplying one-twelfth (1/12) of the annual Operating Expenses, Real Estate Tax Expense and Landlord's Insurance Expense for such calendar year and for the Base Year each by the number of full or partial months between the Commencement Date and December 31 of the year of commencement or between January 1 of the year of termination and the termination date, as the case may be.

(b) "Alterations" means any alterations, additions, installations, substitutions or improvements to the Premises or the Building made or to be made by Tenant, but does not include minor decorating, such as painting, that cannot be seen from outside of the Premises.

(c) "Answering Party" means the party to this Lease who has been requested to execute an estoppel certificate.

(d) "Applicable Laws" means the Permitted Encumbrances and all present and future laws, ordinances, requirements, judgments, verdicts, decrees, orders, directives, rules and regulations of all state, federal, municipal and other agencies or bodies applicable to or having jurisdiction over Tenant, Landlord or the Property, as the case may be.

(e) "Asking Party" means the party to this Lease requesting an estoppel certificate from the Answering Party.

(f) "Assignee" means an assignee of this Lease or subtenant of the Premises pursuant to an Assignment or other transferee or other successor in interest to Tenant.

(g) "Assignment" means (i) the assignment, conveyance, mortgage, pledge, encumbrance or other transfer of this Lease or any interest in this Lease, (ii) the sublease of all or any part of the Premises, (iii) the permission to use or occupy all or any part of the Premises by anyone other than Tenant; (iv) a transfer of this Lease by operation of law, merger or consolidation; (v) a change in control or in ten percent (10%) or more of the ownership of any partnership or limited liability company interest in Tenant or any direct or indirect parent of Tenant; or (vi) a change in control or in ten percent (10%) or more of the ownership of the voting stock of Tenant or any direct or indirect parent of Tenant.

(h) "Base Rent" means the aggregate annual amounts identified as "Base Rent" in Paragraph 1(j), to be paid by Tenant to Landlord for the lease of the Premises pursuant to Paragraph 6(a) below, as adjusted for partial months occurring at the beginning or end of the Term.

(i) "Base Year" means the calendar year identified as "Base Year for Operating Expenses, Real Estate Taxes and Landlord's Insurance" in Paragraph 1(k) for which the amount of Operating Expenses, Real Estate Tax Expense and Landlord's Insurance Expense shall be used for purposes of calculating Additional Rent.

(j) "Building" means that certain building known as and located on the Property at "Building Name and Address" as set forth in Paragraph 1(g).

(k) "Building Rules" means the rules and regulations for the Building, attached to this Lease as Schedule 2(k), together with such reasonable modifications or additions to the Building Rules as Landlord, in its sole discretion, from time to time, may adopt after the Date of the Lease.

(l) "Claims" means all claims, damages, losses, liabilities, defenses, demands, costs, set-offs, liens, judgments, penalties, fines, expenses and reasonable attorneys' fees, including, but not limited to, attorneys' fees to enforce any obligation of indemnification.

(m) "Commencement Date" means the earlier of (i) the date Tenant takes possession of and occupies the Premises for business purposes, and (ii) the date upon which the TI Work is substantially completed, as determined in accordance with the Work Letter attached hereto as Schedule 5 (the "Work Letter").

(n) "Common Areas" consists of those portions of the Building and/or Property designated by Landlord from time to time for the common use of all tenants, including without limitation, the sidewalks, lobbies, halls, corridors, passages, exits, entrances, elevators, stairways, restrooms, janitor closets, electrical and telephone closets, vending areas, loading areas, private streets and alleys, lighting facilities, the Parking Areas, driveways and interior and exterior landscaped areas of the Property, all of which shall be subject to Landlord's sole management

and control and shall be operated and maintained in such manner as Landlord in its sole discretion shall determine; provided, however, Tenant shall not have access to the mechanical, electrical, roof and telecommunications areas of the Property.

(o) "Contaminant" means any substance or waste containing hazardous substances, pollutants, and contaminants as those terms are defined in the federal Comprehensive Environmental Response Compensation and Liability Act, 42 U.S.C. Section 9601 et seq. and any substance similarly defined or identified in any other Environmental Law governing the manufacture, import, use, handling, storage, processing, release or disposal of substances or wastes deemed hazardous, toxic, dangerous or injurious to public health or to the environment. This definition includes friable asbestos and petroleum or petroleum-based products.

(p) "Damage" means any damage or destruction by any direct or indirect cause to the Property or the Premises.

(q) "Date of the Lease" means the later of the two dates upon which Landlord and Tenant have executed this Lease; provided that if Tenant fails to date its signature hereto, the Date of the Lease shall be the date that Landlord executes this Lease, in each case as set forth on the signature page hereto.

(r) "Default Rate" means a rate equal to (i) twelve percent (12%) per annum; or (ii) a rate equal to the prime rate as published from time to time by The Wall Street Journal, Southwest Edition, plus three percent (3%) per annum, whichever is greater, but in no event greater than the maximum rate permitted by Applicable Law.

(s) "Environmental Law" means any Applicable Laws relating to the environment, health or safety.

(t) "Guarantor" means any party who, pursuant to a written guaranty in favor of Landlord, has guaranteed the payment and performance of Tenant's obligations under this Lease.

(u) "HVAC" means the heating, ventilation or air-conditioning systems of the Building and does not include any auxiliary heating, ventilation or air-conditioning systems required or installed by Tenant.

(v) "Land" means the tract of real property located in the City of Austin, County of Travis, State of Texas and described on Schedule 2(v) attached hereto and incorporated herein by reference.

(w) "Landlord's Broker" means Endura Advisory Group, who represented Landlord in connection with this Lease.

(x) "Landlord Parties" means the FROST BANK, TRUSTEE FOR A DESIGNATED TRUST (the "Trust"), and Landlord's or the Trust's agents, servants, employees, officers, directors, managers, trustees, partners, members, shareholders, successors and assigns.

(y) "Landlord's Insurance" means the insurance required of Landlord as set forth in Paragraph 17(b) below.

(z) "Landlord's Insurance Expense" means in any calendar year, the amounts paid by Landlord for Landlord's Insurance and amounts reasonably assessed by Landlord if Landlord self-insures all or any part of the risks covered by Landlord's Insurance.

(aa) "Landlord's Services" means the Building services to be provided by Landlord set forth in Paragraph 14(a) below.

(bb) "Lender" means the holder or beneficiary of any Mortgage, if any, and its agents, servants, employees, officers, directors, partners, members, shareholders, successors and assigns.

(cc) "Lien" means any mechanic's lien or other lien, charge or order for the payment of money.

(dd) "Mortgage" means any prior or subsequent mortgage, deed of trust, deed to secure debt or other security interest respecting the Property or any modification to any of them given to or for the benefit of Lender.

(ee) "Net Rentable Area" or "NRA" means, for all purposes of this Lease, 154,939 square feet with respect to the Building, and, as to the Premises, "Net Rentable Area" means the amount of Net Rentable Area set forth above in Paragraph 1(e) above and, as to any other area to be measured by its Net Rentable Area, as determined by Landlord. Net Rentable Area of the Premises (and any other area [other than the Building as a whole] to be measured by Net Rentable Area) includes a proportionate part of the Common Areas and an allocation of the square footage of the Service Areas.

(ff) "Normal Business Hours" means 7:00 a.m. to 6:00 p.m., Monday through Friday, and 8:00 a.m. to 1:00 p.m. on Saturday and not including Sundays and the following holidays: New Year's Day, Memorial Day, Fourth of July, Labor Day, Thanksgiving and Christmas.

(gg) "Operating Expenses" mean Landlord's expenses to manage, operate, service and maintain the Property, including, but not limited to, (A) electricity, gas, water and other utility charges for the Property; (B) the cost of repair and maintenance of HVAC systems, electrical systems, elevators, irrigation systems and other mechanical systems; (C) the cost of repair and maintenance of the Common Areas, the Service Areas and all building structures and roofs of the Building; (D) the cost of all maintenance and service agreements for the Property, including without limitation security service, window cleaning, elevator maintenance and janitorial service; (E) the cost of trash and snow removal; (F) wages, salaries, bonuses, labor costs and fees of operating, auditing, accounting, maintenance and management personnel in connection with the Property (whether employees or contract laborers); (G) all payroll charges for such personnel, such as unemployment and social security taxes, workers' compensation, health, accident and group insurance, and other so-called fringe benefits; (H) rental charges for office space specifically chargeable to the operation and management of the Property; (I) license, permits and inspection fees; (J) the cost of supplies, tools, equipment and materials used in the operation, maintenance and management of the Property; (K) the cost of furnishings and equipment not treated by Landlord as capital expenditures of the Property; (L) depreciation and the cost of any labor saving devices that may, from time to time, be placed in operation as a part of Landlord's maintenance program; (M) personal property taxes on property used in the operation, maintenance, service and management of the Property; (N) the cost, as reasonably amortized by Landlord, with interest at the rate of ten percent (10%) per annum on the unamortized amount, of any capital improvement made after completion of initial construction of the Building which reduces Operating Expenses, but in an amount not to exceed such reduction for the relevant year; (O) management fees relating to the Property; (P) the cost of any installation or improvement required by reason of any Applicable Laws, which requirement did not exist on the Date of the Lease and is generally applicable to similar office buildings; (Q) deductible amount for claims made under Landlord's Insurance for Damage not caused by any tenant; (R) property and parking association fees, dues and assessments and all payments under any Permitted Encumbrances (other than Mortgages) affecting the Property; (S) all legal or accounting costs relating to the Property, including without limitation, a reasonable allocation of off-site costs and costs of annual audits of Operating Expenses by certified public accountants, if performed; and (T) all other expenses, which Landlord in its reasonable business judgment, deems appropriate or necessary for the operation, maintenance and management of the Property. Operating Expenses do not include (i) Real Estate Tax Expenses, (ii) Landlord's Insurance Expense, (iii) principal and interest payments on any mortgage; (iv) amortization or depreciation of the Building or any other portion of the Property (except as provided above); (v) costs of repairing damage for which Landlord is entitled to direct reimbursement from Tenant or other tenants; (vi) casualty losses of a type covered by standard fire and extended coverage insurance policies, to the extent such casualty losses exceed deductible amounts for the affected portions of the Property; (vii) any expense to the extent actually paid or reimbursed from insurance proceeds; (viii) remodeling costs for new or existing tenants; (ix) electric, air conditioning or heating costs or other expenses which are separately billed to specific tenants; and (x) advertising costs and leasing commissions.

(hh) "Other Charges" means all costs, expenses and other sums that Tenant assumes, agrees or is obligated to pay to Landlord pursuant to this Lease other than Base Rent or Additional Rent.

(ii) "Parking Facilities" means the Parking Garage and the Surface Parking Areas servicing the Property.

(jj) "Parking Garage" means the covered parking areas in the structured parking facilities of the Property. References to "in the Parking Garage" herein shall be construed to include a reference to the top uncovered level of the Parking Garage, if any, and not only the covered areas thereof.

(kk) "Permitted Encumbrances" means all Mortgages, liens, easements, declarations, encumbrances, covenants, conditions, reservations, restrictions and other matters now or after the date of this Lease affecting title to the Property.

(ll) "Premises" means those certain premises containing approximately the "Net Rentable Area in the Premises" identified in Paragraph 1(e), located on the "Floor(s) of the Premises" identified in Paragraph 1(f) and are cross-hatched or shaded on the floor plan attached to this Lease as Schedule 2(jj) and incorporated herein by reference. The Premises are located in the Building. The Premises may be expanded in size upon exercise by Tenant of the right of first refusal in strict accordance with the terms and conditions as set forth in Schedule 1 (ll) attached to this Lease.

(mm) "Property" means the Land together with (i) the Building, (ii) the Common Areas, (iii) the Service Areas and (iv) the Parking Areas and all other structures, improvements, landscaping, fixtures, appurtenances and other common areas now or hereafter placed, constructed or erected on the Land.

(nn) "Real Estate Taxes" means (i) real property taxes and currently due installments of assessments, special or otherwise, imposed upon the Property by Applicable Laws; (ii) charges and assessments under any restrictive covenants and/or owner or community associations to which the Property is subject, (iii) business improvement district charges; and (iv) any use, occupancy, excise, sales or other like taxes and any non-Real Estate Taxes imposed upon Landlord in substitution for any Real Estate Taxes. Real Estate Taxes shall not include income, franchise, capital stock, gift, succession, profit, estate or inheritance taxes except to the extent they are Real Estate Taxes as provided in this Paragraph 2(mm).

(oo) "Real Estate Tax Expense" means in any calendar year amounts paid by Landlord for (i) Real Estate Taxes, including, but not limited to, any additional Real Estate Taxes for prior years as a result of a Tax Contest, (ii) legal fees, costs and other expenses of a Tax Contest; and (iii) third party tax administration. Real Estate Taxes Expense does not include the payment of any penalties or interest for the late payment of Real Estate Taxes. Real Estate Tax Expense shall be reduced by any refund received as a result of Tax Contest decreasing Real Estate Taxes.

(pp) "Reconciliation Statement" means a statement prepared by Landlord reconciling the actual Additional Rent for a calendar year and Tenant's payments of estimated Additional Rent applicable to such calendar year.

(qq) "Release" has the meaning ascribed to it in the federal Comprehensive Environmental Response Compensation and Liability Act, 42 U.S.C. Section 9601, et seq.

(rr) "Relocation Date" means the date on which the Relocation Space shall be substituted for the Premises.

(ss) "Relocation Space" means space in the Building that Landlord may substitute for the Premises provided however, that the Net Rentable Area of such space may not be less than ninety-five percent (95%) the Net Rentable Area of the Premises-

(tt) "Rent" means Base Rent, Additional Rent, and Other Charges.

(uu) "Rent Tax" means any tax or excise on Rent, gross receipts tax, transaction privilege tax or other tax, however described, which is levied or assessed by Applicable Laws against Landlord regarding Rent or as a result of Landlord's receipt of Rent, including, without limitation, any margin tax pursuant to Chapter 171 of the Texas Tax Code (as the same may be amended, renewed or replaced from time to time).

(vv) "Security Deposit" means the sum identified as "Security Deposit" in Paragraph 1(o) above that Tenant has deposited with Landlord as security for Tenant's full and faithful payment and performance of Tenant's obligations under this Lease.

(ww) "Service Areas" means the elevator, electrical and heating, ventilating and air conditioning mechanical and equipment rooms, janitorial closets, building stairs, fire towers, elevator shafts, flues, vents, stacks, pipe shafts, risers, raceways and vertical ducts (but shall not include any such areas for the exclusive use of any particular tenant such as special stairs or elevators) to which Tenant and other occupants of the Building will not have access without the prior written consent of Landlord, which may be conditions upon such requirements and payment of such charges as Landlord may deem appropriate.

(xx) "Surface Parking Areas" means all uncovered parking areas servicing the Building and not reserved strictly for visitor or short-term parking including uncovered ground level parking areas and the top uncovered parking area of the structured parking facilities serving the Property.

(yy) "Taking" means any condemnation of all or any interest in property for any public or quasi-public use under Applicable Laws or by private purchase in lieu of condemnation.

(zz) "Target Completion Date" means the date identified as the "Target Completion Date" in Paragraph 1(m) above, on which Landlord and Tenant estimate the TI Work will be substantially complete.

(aaa) "Tax Contest" means the proceeding by which Landlord is contesting or reducing the amount or validity of any Real Estate Taxes or the valuation of the Property in assessing Real Estate Taxes.

(bbb) "Tenant Default" means those events of default set forth in Paragraph 25(a) below.

(ccc) "Tenant Delay" shall have the meaning set forth in the Work Letter.

(ddd) "Tenant Parties" means Tenant's agents, servants, employees, officers, directors, partners, members, shareholders, successors and assigns.

(eee) "Tenant's Broker" means Office Leasing Advisors.

(fff) "Tenant's Insurance" means the insurance required of Tenant as set forth in Paragraph 17(a) below.

(ggg) "Tenant's Permitted Use" means the Permitted Use specified in Paragraph 1(p) above.

(hhh) "Tenant's Personal Property" means the trade fixtures, furnishings, equipment and all other personal property owned or leased by Tenant and located in the Premises during the Term.

(iii) "Tenant's Proportionate Share" means a percentage factor, the numerator of which is the Net Rentable Area of the Premises and the denominator of which is the Net Rentable Area of the Building, or the percentage identified as "Tenant's Proportionate Share" in Paragraph 1(l); provided, however, (a) if the Building is not fully occupied, the denominator for purposes of determining Tenant's Proportionate Share of any Operating Expense that varies with the occupancy of the Building shall be the average monthly Net Rentable Area occupied by tenants in the Building during the applicable calendar year; or (b) if any space in the Building is leased to a tenant who is separately responsible for paying the cost of a service that would otherwise be included in Operating Expenses, the denominator the denominator for purposes of determining Tenant's Proportionate Share of the cost of that service as is to be paid by all other tenants (including Tenant) shall exclude the Net Rentable Area of such tenant.

(jjj) "Term" means the initial term of this Lease identified as the "Term" in Paragraph 1(i) and any renewals or extensions of this Lease, as permitted under Schedule 1 (jjj) of this Lease.

(kkk) "TI Work" shall have the meaning set forth in the Work Letter (herein so called) attached hereto as Schedule 5.

3. PREMISES.

In consideration of the rents, terms, provisions and covenants of this Lease, Landlord leases to Tenant, and Tenant rents and accepts from Landlord, the Premises.

4. TERM.

The initial term of this Lease shall be for the time period identified in Paragraph 1(i), commencing on the Commencement Date and ending on the last day of the month of the time period identified on Paragraph 1(i) and provided that if the Commencement Date occurs on other than the first (1st) day of a calendar month the Term shall include and be extended by the number of days remaining in such month including the Commencement Date. Promptly upon determination of the Commencement Date, Landlord and Tenant shall execute a memorandum, setting forth the Commencement Date and the expiration date of the Lease, in form and substance substantially similar to that attached to this Lease as Schedule 4 and incorporated by reference ("Commencement Date Agreement").

5. IMPROVEMENTS TO THE PREMISES; MOVING IN.

Improvements to the Premises shall be subject to the terms of the Work Letter, attached to this Lease as Schedule 5. Except as otherwise agreed to in writing, whether in the Commencement Date Agreement or otherwise, Tenant's taking possession of the Premises shall be conclusive evidence against Tenant that the Premises were in good order and satisfactory condition when Tenant took possession. Landlord has made no representation respecting the condition of the Premises or any part of the Property. Tenant shall notify Landlord of any heavy furniture, freight, equipment supplies or files that Tenant intends to bring into the Building. Landlord shall have the right to prohibit such items and prescribe the weight, size and position of all heavy furniture, freight or equipment brought into the Building and the times and manner of moving the same in and out of the Building. As a condition of Landlord's approval, Landlord may require, at Tenant's cost and expense, that such heavy items stand on supports of such thickness as is necessary to properly distribute the weight or that Tenant reinforce the floors in a manner acceptable to Landlord. Landlord shall not be responsible for loss of or damage to any heavy items from any cause, and all damage done to the Building by moving or maintaining any such heavy items shall be repaired at Tenant's expense. If permitted by Landlord, any occupancy of the Premises by Tenant prior to the Commencement Date shall be subject to all of the terms and conditions of this Lease.

6. RENT.

(a) Base Rent.

During the Term, Tenant shall pay to Landlord Base Rent in the monthly installments of Base Rent as set forth in Paragraph 1(j). If the Term does not begin on the first day of the month or end on the last day of the month, Base Rent for such partial month shall be prorated by multiplying the monthly Base Rent due for the next succeeding or preceding, as applicable, month by a fraction, the numerator of which is the number of days of the partial month included at the beginning or end of the Term, as applicable, and the denominator of which is the total number of days in the full calendar month.

(b) Additional Rent.

During the Term, Tenant shall pay to Landlord Additional Rent. To provide for current payments of Additional Rent, Landlord shall estimate Additional Rent for each calendar year. Additional Rent for partial months shall be prorated as provided for Base Rent in Paragraph 6(a) above. Landlord shall use commercially reasonable efforts to notify Tenant prior to the Commencement Date or the beginning of a calendar year, as the case may be, of the estimated Additional Rent for such calendar year and Tenant's monthly installment of such estimate. If, however, Landlord is not able to give such estimate prior to the Commencement Date or the beginning of a calendar year, as the case may be, Tenant shall continue to pay monthly installments of Additional Rent based on the last notification received from Landlord until Landlord gives notice of the new estimate of Additional Rent and Tenant's monthly installment, and Tenant shall pay to Landlord the new monthly installment amount of Additional Rent when the next monthly installment of Rent is due. If at any time during the calendar year, Landlord reasonably believes that the estimate will not cover the actual Additional Rent for the calendar year,

Landlord shall give notice to Tenant of the new estimate of Additional Rent and Tenant's monthly installment and Tenant shall pay to Landlord the new monthly installment amount of Additional Rent when the next monthly installment of Rent is due. Within ninety (90) days after the end of a calendar year, Landlord shall use commercially reasonable efforts to give to Tenant a Reconciliation Statement; provided, however, if Landlord fails to give the Reconciliation Statement, Landlord does not waive its right to recover Additional Rent that is due and payable pursuant to this Paragraph 6(b). If the Reconciliation Statement indicates that Tenant owes Additional Rent, then within ten (10) days of Tenant's receipt of the Reconciliation Statement, Tenant shall pay to Landlord the amount of such underpayment. If the Reconciliation Statement indicates that Tenant is entitled to a refund of Additional Rent already paid, Landlord shall credit Tenant for the amount of such overpayment against the next maturing installment(s) of Additional Rent, or if after the termination of this Lease, Landlord shall pay to Tenant such refund so long as a Tenant Default does not then exist. Since the reconciliation for the calendar year in which the Lease terminates will occur after such termination, Tenant's obligation to pay Additional Rent shall survive the termination of this Lease. Any payment, refund, or credit made pursuant to this Paragraph 6(b) shall not affect Tenant's right to dispute the Reconciliation Statement as set forth in Paragraph 6(c) below, or Landlord's right to correct any item(s) as billed pursuant to Paragraph 6(d) below.

(c) Dispute of Additional Rent.

Tenant shall have thirty (30) days after its receipt of the initial or any revised Reconciliation Statement to review it. If Tenant does not, in writing, question with specificity the Reconciliation Statement within such thirty (30) day period, Tenant shall be deemed to have approved the Reconciliation Statement. Tenant and Landlord shall have thirty (30) days after Landlord's receipt of Tenant's questions to amicably resolve them. If Tenant's questions are still not resolved at the end of such thirty (30) day period, Landlord shall employ an independent certified public accountant, reasonably acceptable to Tenant, to audit the Reconciliation Statement. Landlord shall make available to such accountant all records in reasonable detail, and permit such accountant to examine and audit such of Landlord's records as may reasonably be required to verify the Reconciliation Statement, at reasonable times during business hours. Tenant acknowledges that the actual itemization of, and the amount of individual items constituting, Additional Rent is confidential. Landlord shall not be required to (and the accountant shall not be permitted to) disclose to any person, firm or corporation, including to Tenant, any such details of the audit (it being the intent of the parties that such accountant shall merely certify to Landlord and to Tenant the correct amount of Additional Rent for the calendar year). The conclusions of the audit shall be final, conclusive and binding upon Landlord and Tenant. Any change in Additional Rent required by such accountant's determination shall be made within thirty (30) days after such determination has been rendered. Tenant shall pay the cost of such audit, and if Tenant fails to do so, then Landlord may pay for the expense of the audit (which will be deemed to be Other Charges). Notwithstanding the foregoing, if the difference between Additional Rent as determined by the audit and Additional Rent as determined by Landlord is greater than five percent (5%) of Additional Rent as determined by Landlord, Landlord shall pay the expense of the audit.

(d) Adjustments to Reconciliation Statement.

If a clerical error occurs or Landlord or its accountants discover new facts, Landlord shall have the right to adjust the Reconciliation Statement. Within ten (10) days after Tenant receives the adjusted Reconciliation Statement, Tenant shall pay to Landlord the amount of such underpayment, or Landlord shall credit Tenant for the amount of such overpayment against the next maturing installment(s) of Rent, as the case may be. This provision shall survive the termination of the Lease.

(e) Payment of Rent; Late Charges; Default Interest.

Tenant shall pay to Landlord, at "Landlord's Address for Payment of Rent" set forth in Paragraph 1(b) or at such other place or by such other means as Landlord may designate from time to time hereafter by written notice to Tenant, all Rent when due without demand, deduction or set off, except as provided in this Lease. Tenant shall pay to Landlord Base Rent and estimated installments of Additional Rent in advance, on or before the first day of each and every month during the Term; provided, however, that the first month's Base Rent shall be due and payable upon execution of this Lease. Notwithstanding anything to contrary contained in this Lease and notwithstanding any Claims that Tenant may have against Landlord, Tenant's

obligation to pay Rent is independent from any of Landlord's obligations in this Lease, and no act or circumstances whatsoever, whether such act or circumstances constitutes a breach of a covenant by Landlord or not, shall release Tenant of the obligation to pay Rent. If Landlord requires Tenant to pay Rent into a "lockbox" arrangement, Landlord shall not be deemed to have accepted Rent until two (2) days after deposit in the lockbox, and the deposit of Tenant's check shall not waive Landlord's right to object to the payment. Tenant shall pay the Other Charges within ten (10) days after Landlord gives notice to Tenant of its incurring Other Charges. If Landlord does not receive Rent within five (5) days after it is due, other remedies for nonpayment of Rent notwithstanding, Tenant shall pay to Landlord, a late charge of twelve percent (12%) of such past due Rent to defray Landlord's administrative expenses incident to the handling of such overdue payment, which late charge shall be due and payable on the sixth (6th) day following Tenant's failure to make any such payment of Rent when due. In addition, Tenant shall pay to Landlord interest on such past due Rent at the Default Rate, for each day from the date that such Rent is due through the date that Landlord receives such past due Rent. Provision for such late charge and interest shall be in addition to all other rights and remedies available to Landlord hereunder, at law or in equity.

(f) Waiver of Section 93.012 of the Texas Property Code.

Landlord and Tenant are knowledgeable and experienced in commercial transactions and agree that the terms in this Lease for determining Additional Rental payable by Tenant are commercially reasonable and valid even though the methods contained herein may not state a precise mathematical formula for determining all of such charges. ACCORDINGLY TO THE EXTENT ALLOWED BY LAW TENANT VOLUNTARILY AND KNOWINGLY WAIVES ALL RIGHTS AND BENEFITS OF TENANT UNDER SECTION 93.012 OF THE TEXAS PROPERTY CODE, AS AMENDED FROM TIME TO TIME.

7. TAXES.

(a) Real Estate Taxes.

Prior to delinquency, Landlord shall pay at its expense, subject to reimbursement under Paragraph 6(b) above, all Real Estate Taxes. Landlord shall retain the sole right to participate in any Tax Contest proceedings.

(b) Tenant's Taxes.

Prior to delinquency, Tenant shall pay, at its expense, all taxes assessed against or levied upon its occupancy of the Premises, or upon Tenant's Personal Property. If any of Tenant's Personal Property or Tenant's occupancy of the Premises is assessed and taxed with the property of Landlord, Tenant shall pay to Landlord Tenant's share of such taxes within ten (10) days after Landlord delivers to Tenant a statement in writing setting forth the amount of such taxes applicable to Tenant's Personal Property or Tenant's occupancy. If improvements in the Premises or Tenant's use or the conduct of business on the Premises or in the Building, whether or not with Landlord's consent and whether or not otherwise permitted by this Lease, results in any increase in the Real Estate Taxes, Tenant shall pay to Landlord any such increase in taxes or premiums (as reasonably allocated by Landlord) within ten (10) days after being billed by Landlord.

(c) Rent Tax.

To the extent that Landlord is obligated to pay Rent Tax, Tenant shall pay to Landlord all Rent Tax related to the payment of Rent concurrently with each payment of Rent made by Tenant to Landlord.

8. SECURITY DEPOSIT.

Concurrently with the execution of this Lease, Tenant has deposited with Landlord the Security Deposit. The Security Deposit shall not be considered an advance rental deposit or a measure of Landlord's damages for a Tenant Default. If a Tenant Default exists, Landlord may apply all or any part of the Security Deposit for any amount owed to Landlord as a result of a Tenant Default, or to compensate Landlord for any other Claim that

Landlord may suffer as a result of a Tenant Default. Landlord's application of the Security Deposit shall not be deemed to have cured a Tenant Default. If Landlord so applies the Security Deposit, Tenant shall deposit with Landlord cash in an amount sufficient to restore the Security Deposit to its original amount, within five (5) days after Landlord demands in writing restoration of the Security Deposit. Tenant's failure to restore the Security Deposit shall constitute a Tenant Default. Unless otherwise required by Applicable Laws, Landlord shall not be required to keep the Security Deposit separate from Landlord's general funds or to pay interest on the Security Deposit to Tenant. Tenant is not entitled to any interest on the Security Deposit. If Landlord is required by Applicable Laws to maintain the Security Deposit in an interest-bearing account, Landlord will retain the maximum amount permitted under Applicable Laws as a bookkeeping and administrative charge. If Tenant performs Tenant's obligations under this Lease and is not in default under the Lease at the expiration or earlier termination of this Lease, unless there is a controversy existing concerning the amount of rental owed by Tenant, Landlord shall return to the then current Tenant the remaining balance of the Security Deposit within a reasonable period of time thereafter. If bankruptcy or other debtor-creditor proceedings exist against Tenant, the Security Deposit shall be deemed to be applied first to the payment of Rent due Landlord for all periods prior to filing of such proceedings. Landlord may deliver the Security Deposit to the purchaser of Landlord's interest in the Premises if Landlord's interest is transferred, in which event Landlord shall be discharged from any further liability with respect to the Security Deposit. The previous sentence shall also apply to any subsequent transferees of Landlord.

9. USE OF THE PREMISES.

(a) Use.

Tenant shall use the Premises for Tenant's Permitted Use only and for no other purpose whatsoever. Tenant will not use or permit to be used any part of the Premises and will not bring into or keep anything in any part of the Premises that (i) violates any of the terms of this Lease; (ii) directly or indirectly is forbidden by any Applicable Laws; (iii) is dangerous to life, limb or property; (iv) increases the risk to Landlord or any other tenant or invalidates or increases the premium cost of Landlord's Insurance; (v) that unreasonably disturbs any other tenant in the Building or creates a nuisance in, upon or about the Premises or the Building, including but not limited to, noise, odors or vibrations; or (vi) in the sole judgment of Landlord, in any way impairs or tends to impair the character, reputation or appearance of the Property, or impairs or interferes with any of Landlord's Services. Tenant shall not, without the prior written consent of Landlord, exhibit, sell or offer for sale on the Premises or the Property any article or thing.

(b) Advertisement; Solicitation.

Tenant shall not advertise the business, profession or activities of Tenant conducted in the Building in any manner which violates the letter or spirit of any code of ethics adopted by any recognized association or organization pertaining to such business of Tenant, and shall never use any picture or likeness of the Building in any circulars, notices, advertisements or correspondence without Landlord's prior written consent. Tenant shall not disturb, solicit, or canvass any occupant of the Building and shall cooperate with Landlord to prevent same.

10. ALTERATIONS.

(a) Prohibition.

Tenant shall not make any Alterations without the express prior written consent of Landlord; provided, however, that Landlord shall not be unreasonable in withholding consent to nonstructural Alterations wholly within the Premises that do not adversely affect any building systems. Notwithstanding the foregoing, Tenant shall not install any artwork that gives rise to the artist's rights under the Visual Artists Rights Act of 1990. Furthermore, Tenant shall not place any safes, safe cabinets or vaults within the Premises without the Landlord's prior written consent.

(b) Performance and Completion of the Alterations.

Before commencing any work in connection with the Alterations, Tenant shall furnish to Landlord for its approval the following: (i) detailed plans and specifications for the proposed Alterations, (ii) names and addresses of each of the contractors and subcontractors, (iii) copies of all contracts, subcontracts and necessary permits, (iv) if the cost of the Alterations exceed Ten Thousand Dollars (\$10,000.00), a payment and performance bond, or other indemnification, in form and amount satisfactory to Landlord, protecting Landlord against any and all claims, costs, damages, liabilities and expenses that may arise in connection with the Alterations, (v) such documentation as is necessary to comply fully with the mechanics' lien law of the state in which the Property is located, and (vi) certificates of insurance, in form and amount satisfactory to Landlord, from all contractors and subcontractors who will perform labor or furnish materials, insuring Landlord against any and all liability for personal injury, including workers' compensation claims and for property damage that may arise out of or be in any manner connected with the Alterations. Tenant shall pay the cost of all Alterations. Tenant shall perform and complete all Alterations in accordance with the approved plans and specifications, in a good and workmanlike manner, in compliance with Applicable Laws, using only materials of the same or higher quality as those installed in the Building. Landlord shall not be liable for any labor or materials furnished or to be furnished to Tenant upon credit, and no Lien for such labor or material shall attach to or affect Landlord's interest in the Property. Tenant shall permit Landlord to supervise construction operations in connection with any Alterations, if Landlord requests the right to do so (but Landlord shall have no obligation to make such requests, or having done so, to supervise construction). Landlord's supervision of construction shall be done solely for the benefit of Landlord, shall not constitute confirmation by Landlord that the Alterations were in accordance with the requirements of Applicable Laws or this Lease, and shall not alter Tenant's liability and responsibility under this Paragraph 10(b).

Landlord will direct electricians as to where and how telephone and computer wires are to be introduced. No boring or cutting for wires will be allowed without Landlord's consent. Upon completion of any Alterations, Tenant shall furnish Landlord with (i) receipted bills covering all labor and materials used, lien waivers and such other documentation as is necessary to comply fully with the mechanics' lien law of the state in which the Property is located; (ii) a true and correct copy of the certificate of occupancy, if one is issued; and (iii) a certificate of Tenant's architect or engineer stating that such Alterations were made in accordance with the plans and specifications, Applicable Laws and this Lease. **TENANT SHALL INDEMNIFY, PROTECT, DEFEND AND HOLD HARMLESS LANDLORD AND THE LANDLORD PARTIES FOREVER AGAINST AND FROM ALL CLAIMS OF THIRD PARTIES REGARDING ALTERATIONS OF TENANT, WHICH INDEMNITY SHALL SURVIVE THE TERMINATION OF THIS LEASE.**

11. MECHANICS' LIENS.

If any Lien is filed against any portion of the Premises respecting material or work claimed to have been furnished to the Premises on Tenant's behalf and at Tenant's request (other than the work done pursuant to Paragraph 5), Tenant, at its own cost and expense, shall do all acts necessary to cause the Lien to be discharged of record within ten (10) days after the filing of the Lien. If Tenant fails to cause the Lien to be discharged of record within the ten (10) day period or shall fail to satisfy the Lien within ten (10) days after any judgment in favor of the Lien holder from which no further appeal might be taken, then Landlord shall have the right to cause the Lien to be discharged. **TENANT SHALL INDEMNIFY, PROTECT, DEFEND AND HOLD HARMLESS LANDLORD AND THE LANDLORD PARTIES FOREVER AGAINST AND FROM ALL THIRD PARTY CLAIMS REGARDING ANY LIEN, WHICH INDEMNITY SHALL SURVIVE THE TERMINATION OF THIS LEASE.** All amounts paid by Landlord to cause the Lien to be discharged, plus interest on such amounts at the Default Rate shall constitute Other Charges payable by Tenant to Landlord. Nothing contained in this Paragraph 11 shall constitute Landlord's consent to subject the Property to a Lien.

12. MAINTENANCE AND REPAIR.

(a) Tenant's Maintenance.

Tenant, at its sole cost and expense, shall take good care of, maintain and repair the Premises, any and all appurtenances thereto and any Alterations, including but not limited to, the doors and interior walls of the Premises; special light fixtures; kitchen fixtures; auxiliary HVAC equipment; private bathroom fixtures and any other type of special equipment, together with related plumbing or electrical services; and rugs, carpeting, wall coverings, drapes or blinds within the Premises, whether installed by Tenant or by Landlord on behalf of Tenant, and whether or not such items will become Landlord's property upon the termination of this Lease.

Notwithstanding the provisions of this Lease, if repairs required to be made by Tenant become immediately necessary to avoid possible injury or damage to persons or property, Landlord may, but shall not be obligated to, make repairs to such items at Tenant's expense, which shall constitute Other Charges payable by Tenant to Landlord. Within ten (10) days after Landlord renders a bill for the cost of the repairs, Tenant shall reimburse Landlord.

(b) Landlord's Maintenance.

Subject to Paragraph 12(a) above, Landlord shall maintain and repair the Property, including, but not limited to, the roof, foundation, exterior walls, interior structural walls, all structural components, and all systems such as mechanical, electrical, HVAC and plumbing, all in good condition and repair. Tenant shall notify Landlord immediately when any repair to be made by Landlord is necessary, after which notice Landlord shall have reasonable opportunity to repair same. To make any repairs or perform any maintenance, Landlord may temporarily block, close or change any entrances, doors, corridors, elevators, or other facilities in the Building or in the Premises, and may temporarily close, block or change any Common Areas. Landlord shall not be liable to Tenant, except as expressly provided in this Lease, for any damage or inconvenience and Tenant shall not be entitled to any abatement of Rent by reason of any repairs, maintenance or replacements made by Landlord under this Lease or by reason of any repairs, maintenance or replacements not made by Landlord but which Tenant determines are necessary. If any portion of the Building or the Premises is damaged through the fault or negligence of Tenant or the Tenant Parties and Landlord is obligated to repair the damage pursuant to this Paragraph 12(b), Landlord shall make such repairs and Tenant shall, on demand, pay to Landlord the cost of such repairs in excess of the insurance proceeds, if any, received by Landlord, together with interest at the Default Rate, as Other Charges or upon request from Landlord, Tenant shall promptly and properly repair the same at no cost to Landlord.

(c) Landlord's Right to Enter Premises.

Landlord and the Landlord Parties, at any time in the event of an emergency, and otherwise at reasonable times, may enter the Premises to (i) take any and all measures, including inspections, repairs, alterations to the Premises or to the Building, as may be necessary or desirable to safeguard, protect or preserve the Premises, the Building or Landlord's interests; (ii) operate or improve the Building; (iii) comply on behalf of Tenant with all Applicable Laws, if Tenant fails to do so; (iv) examine the Premises to verify Tenant's compliance with its obligations of this Lease; (v) exercise any rights with respect to the Premises that Landlord may exercise if a Tenant Default exists; (vi) exhibit the Premises to prospective tenants during the last twelve (12) months of the Term; or (viii) exhibit the Premises to any prospective purchaser or Lender on the Property and to others having a legitimate interest at any time.

13. COMMON AREAS.

(a) Grant.

Landlord grants to Tenant and the Tenant Parties, a nonexclusive license to use, in common with all others to whom Landlord has granted or may grant a license to use, the Common Areas, subject to the Building Rules. Tenant shall have access to the Premises twenty-four (24) hours a day seven (7) days a week. Landlord may require persons desiring access to the Property and the Premises to comply with reasonable security regulations. Tenant shall not obstruct or use the Common Areas for any purpose other than for ingress to and egress from the Premises. The Common Areas are not for the use of the general public and Landlord shall in all cases retain the right to control and prevent access to the Common Areas by all persons whose presence, in the judgment of Landlord, shall be prejudicial to the safety, character, reputation and interests of the Building and its tenants, provided that nothing herein contained shall be construed to prevent such access to persons with whom Tenant normally deals in the ordinary course of Tenant's business unless such persons are engaged in illegal activities. Neither Tenant, the Tenant Parties nor Tenant's contractors and invitees shall go upon the roof or mechanical floors into mechanical areas of the Building or any portion of the Common Areas that Landlord has prohibited access.

(b) Parking.

Tenant shall be allotted in the Parking Facilities the number of unreserved and/or reserved parking spaces identified as "Parking Spaces" in Paragraph 1(n) free for the initial Term. Landlord shall have the right to designate areas in the Parking Facilities for the use of the Building, and Tenant and its employees shall not park in areas of the Parking Facilities not so designated, specifically including entrances. Landlord may designate specific areas of the Parking Facilities for reserved and unreserved parking. Upon written notice from Landlord, Tenant shall furnish to Landlord, within ten (10) days after receipt of such notice, the state automobile license numbers assigned to the automobiles and address information of Tenant and its employees. Landlord shall not be liable for any illegally parked vehicle of Tenant or its employees that Landlord tows from the Property. Landlord shall have no liability to Tenant for any damages or claims arising from the use of the Parking Facilities by Tenant, other tenants, or their customers, invitees or employees. Landlord is not responsible for the policing or enforcement of the exclusivity of the Parking Facilities, but Landlord shall have the right to require that Tenant and the Tenant Parties use no more than the number of reserved and unreserved parking spaces allotted to Tenant. If any area of the Parking Facilities is subject to access control devices, Tenant, at its sole cost and expense, shall be issued key cards, not in excess of the number of parking spaces allotted to Tenant at no charge. If any of the key cards issued to Tenant are lost, Landlord shall charge Tenant the sum of \$25.00 for each replacement card issued.

(c) Right to Change Common Areas.

Landlord may do and perform such acts in and to the Common Areas as, Landlord, in its good business judgment, shall determine to be advisable. Landlord may make alterations, additions, deletions or changes to the Common Areas, including, but not limited to, changes in its size and configuration.

14. BUILDING SERVICES.

(a) Landlord's Services.

Landlord, at its cost and expense subject to reimbursement under Paragraph 6(b) above, shall provide the following services:

- (i) electric power to the Premises during Normal Business Hours in reasonable amounts necessary for normal office use, lighting and HVAC;
- (ii) water for drinking, lavatory and toilet purposes from the regular Building supply (at the prevailing temperature) through fixtures installed by Landlord (or by Tenant with Landlord's prior written consent);
- (iii) HVAC to the Premises during Normal Business Hours;
- (iv) janitorial services to the Premises in accordance with Landlord's normal business practices, Tenant shall not provide any janitorial service without Landlord's prior written consent. If Landlord consents to janitorial service provided by Tenant, the same shall be subject to the Building Rules and to Landlord's supervision, but at Tenant's sole cost and expense (without reduction in Base Rent or Additional Rent). Tenant shall cooperate with any janitorial service in keeping the Premises neat and clean. Landlord shall be in no way responsible to Tenant, its agents, employees or invitees, for any loss of property from the Premises or for any damage to property thereon, from any cause.
- (v) if the Building contains elevators, passenger elevator service and freight elevator service (as reasonable scheduling permits) to the floors on which the Premises are located; and
- (vi) maintenance of the Common Areas.

(b) Excess Usage of Services.

Tenant shall not connect or use any installation or equipment in the Premises that in any way may increase the amount of Landlord's Services usually furnished or supplied to tenants in the Building, without Landlord's consent, which consent shall not be unreasonably withheld. Notwithstanding the foregoing, if Tenant uses Landlord's Services during hours other than as stated in Paragraph 14(a) above or Tenant's installations or equipment in the Premises require higher than normal consumption of utilities, Landlord may charge Tenant for such excess services as Other Charges, or at Landlord's option, Landlord, at Tenant's expense, may install a submeter for the utilities necessary for such installations or equipment, in which event Landlord shall charge Tenant for such utility usage as Additional Rent. The charge for zoned HVAC during hours other than Normal Business Hours shall initially be \$25.00 per hour per zoned area with a two hour minimum, subject to adjustment by Landlord in its reasonable discretion.

(c) Interruption of Services.

Any one or more of Landlord's Services may be interrupted or diminished (i) temporarily by Landlord or other person until certain repairs, alterations or other improvements to the Premises or other parts of the Property can be made or (ii) by any event or cause which is beyond Landlord's reasonable control, including, without limitation, any ration or curtailment of utility services. Landlord does not represent, warrant or guarantee to Tenant the continuous availability of Landlord's Services. Any such interruption or diminishment shall not be deemed or construed to be an interference with Tenant's right of possession, occupancy and use of the Premises, shall not render Landlord liable to Tenant for damages or entitle Tenant to any reduction of Rent, and shall not relieve Tenant from its obligation to pay Rent and to perform its other obligations under this Lease. Landlord shall use commercially reasonable efforts to restore service as quickly as possible and to give appropriate notice of interruption and attempt to schedule such interruption to occur during hours other than Normal Business Hours. Notwithstanding anything to the contrary in this Paragraph 14(c), if any of Landlord's Services are interrupted for a period longer than five (5) consecutive business days, Tenant cannot use the Premises for Tenant's Permitted Use as a result of such interruption and such interruption was caused by Landlord or the Landlord Parties, Tenant shall be entitled to an abatement of Rent for each day from and after such fifth (5th) consecutive day until such utility or service is restored.

(d) Energy Conservation.

Tenant shall cooperate fully with Landlord to assure the effective operation of the Building's HVAC systems, including the closing of venetian blinds and drapes, and if windows are operable, to keep them closed when the HVAC system is in use. Tenant shall use strict care and caution to ensure that all electricity is carefully shut off to prevent waste or damage. If energy or water shortages in the region in which the Property is located necessitate reduced or curtailed energy or water consumption on the Property, Tenant shall comply with all Applicable Laws with respect to energy or water consumption, and during such period of time as such governmental authority may so require, Tenant shall reduce or curtail operations in the Premises as shall be directed by Landlord or such governmental authority. Compliance with such rules and/or such reduction or curtailment of operation shall not constitute a breach of Landlord's covenant of quiet enjoyment or otherwise invalidate or affect this Lease, and Tenant shall not be entitled to any diminution or abatement in Rent during the periods of reduction or curtailment of operations.

15. ESTOPPEL CERTIFICATES.

Within fourteen (14) days after written request by the Asking Party, the Answering Party shall execute and deliver to the Asking Party or to any third party with whom the Asking Party is dealing, an estoppel certificate, in form and substance substantially similar to that attached as Schedule 15 and incorporated herein by reference. The Answering Party may make such modifications to such estoppel certificate as may be necessary to make such certificate true and accurate.

16. INDEMNIFICATION BY TENANT

TENANT HEREBY AGREES TO INDEMNIFY, DEFEND AND HOLD LANDLORD AND THE LANDLORD PARTIES HARMLESS FROM AND AGAINST ANY AND ALL CLAIMS SUFFERED BY

ANY LANDLORD AND/OR THE LANDLORD PARTIES AS A RESULT OF, CAUSED BY, ARISING OUT OF, OR IN ANY WAY RELATING TO (A) NON COMPLIANCE WITH OR VIOLATION OF ANY APPLICABLE LAWS APPLICABLE TO TENANT OR ITS USE AND OCCUPANCY OF THE PREMISES; (B) THE USE, GENERATION, STORAGE, TREATMENT, OR TRANSPORTATION, OR THE DISPOSAL OR OTHER RELEASE INTO THE ENVIRONMENT, OF ANY HAZARDOUS MATERIALS BY TENANT OR ITS EMPLOYEES, AGENTS, OR CONTRACTORS; (C) ANY BREACH, VIOLATION OR NON-PERFORMANCE OF ANY TERM, PROVISIONS, COVENANT, AGREEMENT OR CONDITION ON THE PART OF TENANT HEREUNDER; (D) ANY INJURY OR DAMAGE TO ANY PERSON OR PROPERTY, OCCURRING IN OR ABOUT THE PREMISES; OR (E) ANY INJURY OR DAMAGE TO ANY PERSON OR PROPERTY, OCCURRING IN OR ABOUT THE BUILDING OR THE PROPERTY AS A RESULT OF ANY NEGLIGENT ACT OR OMISSION OR WILLFUL MISCONDUCT OF TENANT, OR ITS CONTRACTORS, LICENSEES, AGENTS, EMPLOYEES, GUESTS, OR VISITORS. IT IS INTENDED THAT THE LANDLORD SHALL NOT BE LIABLE FOR ITS ACTS OR OMISSIONS OF ACTUAL OR COMPARATIVE NEGLIGENCE AND THAT THIS INDEMNITY COMPLY WITH THE EXPRESS NEGLIGENCE STANDARDS OF THE LAWS OF THE STATE OF TEXAS. NOTWITHSTANDING THE FOREGOING, HOWEVER, NOTHING IN THIS LEASE UNLESS EXPRESSLY STATED TO THE CONTRARY SHALL BE CONSTRUED AS AN INDEMNIFICATION OR RELEASE OF LANDLORD FROM ANY CLAIMS CAUSED BY ANY ACT OR OMISSION OF SOLE OR GROSS NEGLIGENCE OR WILLFUL MISCONDUCT OF LANDLORD OR ANY OF LANDLORD'S AGENTS, SERVANTS OR EMPLOYEES. IT IS ALSO UNDERSTOOD AND AGREED THAT IT IS NOT INTENDED BY VIRTUE OF THE PROVISIONS IN FAVOR OF LANDLORD HEREIN TO CREATE ANY RIGHT IN THIRD PARTIES, OR TO IMPOSE ANY DUTY ON TENANT WITH RESPECT TO ANY THIRD PARTIES WHICH TENANT WOULD NOT OTHERWISE HAVE, AND THE AGREEMENTS BETWEEN LANDLORD AND TENANT HEREIN SHALL NOT INURE TO THE BENEFIT OF ANY THIRD PARTY BENEFICIARIES. HOWEVER, THE PROVISIONS IN FAVOR OF LANDLORD SHALL INURE TO THE BENEFIT OF ANY SUCCESSORS IN INTEREST TO LANDLORD.

The provisions of this Paragraph 16 shall survive the termination of this Lease.

17. INSURANCE.

(a) Tenant's Insurance.

Tenant, at its sole cost and expense, shall procure and maintain the following types of insurance:

- (i) Commercial general liability insurance against injuries to persons occurring in, upon or about the Property, with minimum coverage of Five Million Dollars (\$5,000,000.00) per occurrence and Five Million Dollars (\$5,000,000.00) aggregate coverage per one (1) accident or disaster, and One Million Dollars (\$1,000,000.00) for property damage;
- (ii) "Special form" property insurance on Tenant's Personal Property for its full insurable value on a replacement cost basis;
- (iii) Business interruption insurance, against loss or damage resulting from the same risks as are covered by the insurance mentioned in subparagraph (ii) above in an amount equal to the aggregate of one (1) year's requirement of (A) Base Rent, (B) Additional Rent, and (C) insurance premiums necessary to comply with this Paragraph 17(a);
- (iv) Workers' Compensation or similar insurance, if and to the extent and in form and amounts required by Applicable Laws; and
- (v) Such other insurance or additional coverage as Landlord may deem commercially reasonable for tenants of buildings similar to the Property.

Tenant shall name Landlord, Lender and Landlord's property manager as an additional insured on its liability insurance and may meet the liability requirements through an umbrella or excess insurance policy. Tenant's liability insurance shall be primary, not contributing with and not supplemental to the coverage that Landlord may carry. Tenant's Insurance shall be written with a company or companies reasonably satisfactory to Landlord, having a policyholder rating of at least "A" and be assigned a financial size category of at least "Class X" as rated in the most recent edition of "Best's Key Rating Guide" for insurance companies, and authorized to engage in the business of insurance in the state in which the Premises are located. Tenant shall deliver to Landlord customary insurance certificates evidencing such paid-up insurance and if requested by Landlord copies of such policies. Tenant's Insurance shall further provide that the same may not be canceled, terminated or modified unless the insurer gives Landlord and Lender at least thirty (30) days prior written notice of such cancellation, termination or modification.

(b) Landlord's Insurance.

Landlord shall procure and maintain during the Term, a Texas standard fire insurance policy, including coverage for the perils enumerated under the policy definition of fire, lightning and extended coverage covering the Building and the Property. Landlord may meet its liability insurance requirements through an umbrella or excess insurance policy. Landlord, in its sole discretion, shall have the right to self-insure in whole or in part and to determine the amount of any deductible under Landlord's Insurance.

(c) Increase in Premiums or Cancellation.

If Tenant's breach of its obligations under this Lease or Tenant's use and occupancy of the Premises increases the insurance premiums payable by Landlord or any other tenant, Tenant shall pay to Landlord, as Rent, an amount equal to any increase in such insurance premiums. Tenant will not, in any event, permit or perform any use of or act within the Premises which will cause the cancellation of any insurance policy covering the Building or the Property or the contents thereof, and agrees to indemnify Landlord against all claims or actions for loss which result from any such act or cancellation.

18. WAIVER OF CLAIMS; WAIVER OF SUBROGATION.

NOTWITHSTANDING ANYTHING TO THE CONTRARY CONTAINED IN THIS LEASE, LANDLORD OR TENANT, AS THE CASE MAY BE, SHALL NOT BE LIABLE TO, AND RELEASES ALL CLAIMS AGAINST, THE OTHER PARTY, THE LANDLORD PARTIES OR THE TENANT PARTIES, AS THE CASE MAY BE, OR ANY INSURANCE COMPANY FOR SUCH PARTY'S BUSINESS INTERRUPTION, LOSS OF RENTS OR ANY LOSS OR DAMAGE TO PERSONAL OR REAL PROPERTY LOCATED WITHIN OR CONSTITUTING PART OF OR ALL OF THE PROPERTY ARISING IN ANY MANNER, INCLUDING, BUT NOT LIMITED TO, FROM VANDALISM; THE FAILURE, BREAKAGE, LEAKAGE, INADEQUACY, DEFECT OR OBSTRUCTION OF THE WATER, PLUMBING, STEAM, SEWER, WASTE OR SOIL PIPES, ROOF, DRAINS, LEADERS, GUTTERS, VALLEYS, DOWNSPOUTS OR THE LIKE OR OF THE ELECTRICAL, GAS POWER, CONVEYOR, REFRIGERATION, SPRINKLER, OR HVAC SYSTEMS; OR THE ELEMENTS **AND WHETHER OR NOT CAUSED BY THE FAULT OR SOLE OR CONCURRENT NEGLIGENCE OF ANY LANDLORD OR TENANT PARTIES**; PROVIDED, HOWEVER, THIS RELEASE DOES NOT APPLY TO CLAIMS CAUSED BY A PARTY'S WILLFUL MISCONDUCT. Notwithstanding the foregoing, this release shall apply only to the extent that such business interruption or loss or damage is caused by perils covered by insurance or was required to be covered by insurance pursuant to this Lease and this release shall not apply to the amount of any deductible under any insurance policy. Nothing in this Paragraph 18 shall be construed to impose any other or greater liability upon either Landlord or Tenant than would have existed in the absence of this Paragraph 18. Because this Paragraph 18 will preclude the assignment of any claim mentioned in it by way of subrogation (or otherwise) to an insurance company (or any other person), each party to this Lease agrees immediately to give to each insurance company that has issued to it policies of fire and extended coverage insurance, written notice of the terms of the mutual waivers contained in this paragraph, and to have the insurance policies properly endorsed, if necessary, to prevent the invalidation of the insurance coverage because of the mutual waivers contained in this Paragraph 18.

19. ASSIGNMENT AND SUBLEASE.

(a) Prohibition; Request for Consent.

Tenant's Assignment shall be prohibited, unless Tenant receives Landlord's prior written consent. To request Landlord's consent to a proposed Assignment, Tenant must provide Landlord in writing the following: (i) the name and address of the proposed Assignee; (ii) the nature of the proposed Assignee's business that it will operate in the Premises; (iii) the material terms of the proposed Assignment and any proposed or executed agreement documenting such terms; (iv) reasonable financial information certified by an independent public accountant or, if not available, certified by an officer of the proposed Assignee so that Landlord can evaluate the proposed Assignee and its principal owners and (v) the nature and character of the experience of the principal owners of the proposed Assignee. Landlord shall have fifteen (15) days after Landlord receives Tenant's request for Landlord's consent and required documentation to (i) grant consent to the Assignment; (ii) withhold consent to the Assignment; or (iii) terminate this Lease effective thirty (30) days after notice to Tenant; provided, however, that such fifteen (15) day period may be extended if Tenant does not reasonably provide the information requested. Landlord's consent to an Assignment shall not be deemed to be consent to any future Assignment.

(b) Conditions of Assignment.

Any Assignment by Tenant is subject to the following:

- (i) The terms of this Lease;
- (ii) The continuing primary liability of Tenant for all Lease obligations;
- (iii) If Tenant receives any consideration under the Assignment in excess of the Base Rent (or the pro rata share of Base Rent in the case of a sublease of a portion of the Premises), then the payment by Tenant to Landlord, as Rent, of one hundred percent (100%) of such excess received by Tenant after the payment of reasonable leasing commissions to be amortized over the Assignment term;
- (iv) Upon the occurrence of a Tenant Default, the right of Landlord to collect directly from the Assignee all Rent becoming due to Tenant by reason of the Assignment, which shall not be construed to be a novation or a release of Tenant from the further performance of its obligations under this Lease;
- (v) If a Tenant Default exists and Landlord terminates this Lease, the right of Landlord to require such Assignee to atorn to Landlord as if Landlord were the landlord under the sublease;
- (vi) Landlord's execution of an Assignment consent form on Landlord's form thereof; and
- (vii) The delivery by Tenant to Landlord, promptly after execution, of a copy of the Assignment executed by Tenant and the Assignee.

Notwithstanding any Assignment, Tenant and any Guarantor shall at all times remain fully and primarily responsible and liable for the payment of the Rent herein specified and for the performance of and compliance with all of the other obligations and duties of the "Tenant" under this Lease (even if future Assignments occur subsequent to the assignment or subletting by Tenant, and regardless of whether or not Tenant's approval has been obtained for such future Assignments). Any assignee of Tenant's rights under this Lease shall be deemed to have assumed each and every of Tenant's duties, liabilities and obligations hereunder, though Tenant is not thereby released.

(c) Landlord's Assignment.

If the Property is sold or transferred, Landlord, as seller, shall be automatically and entirely released of its Lease obligations from and after the date of conveyance or transfer, provided the purchaser has assumed Landlord's obligations of this Lease to be performed on the part of Landlord. Tenant acknowledges that Landlord's obligations of this Lease shall be binding upon a landlord only during its respective period of ownership.

(d) Successors and Assigns.

This Lease shall be binding upon and shall inure to the benefit of the parties to this Lease and their respective successors and assigns, subject to the restrictions in Paragraph 19(a) above.

20. QUIET ENJOYMENT.

If no Tenant Default exists, and subject to the terms of this Lease, Tenant shall peacefully and quietly have and enjoy possession of the Premises without any encumbrance or hindrance by, from or through Landlord, except for regulations imposed by any governmental or quasi-governmental agency on the occupancy of Tenant or the conduct of Tenant's business operations.

21. COMPLIANCE WITH LAWS AND RULES.

(a) Tenant's Compliance.

Tenant, at its sole cost and expense, shall promptly observe and comply with all Applicable Laws respecting (i) Tenant's use of the Premises; and (ii) the physical condition of the, including but not limited to all steps necessary to cause the Premises to comply with the Americans with Disabilities Act. **TENANT SHALL INDEMNIFY, PROTECT, DEFEND AND HOLD HARMLESS LANDLORD AND THE LANDLORD PARTIES FOREVER AGAINST AND FROM ALL THIRD PARTY CLAIMS REGARDING THE VIOLATION OF ANY APPLICABLE LAWS WITH WHICH TENANT IS OBLIGATED TO COMPLY UNDER THIS PARAGRAPH 21(A), WHICH INDEMNITY SHALL SURVIVE THE TERMINATION OF THIS LEASE.** Tenant shall give Landlord prompt notice of any violation of an Applicable Laws. Tenant, at its sole cost and expense, shall procure any permits and licenses required for the transaction of Tenant's business in the Premises. Tenant and the Tenant Parties shall comply with the Building Rules. Landlord shall not be responsible or liable to Tenant for another tenant's failure to observe the Building Rules, but Landlord shall not discriminate by unreasonably enforcing the Building Rules. To the extent that the Building Rules are inconsistent with the terms of this Lease, the terms of this Lease shall govern. Tenant agrees to pay, on demand, for any damage to the Premises or any other part of the Building caused by the negligence, or willful act or any misuse or abuse (whether or not any such misuse or abuse results from negligence or willful act) by Tenant or any of its agents, employees, invitees or licensees. Any sums due by Tenant to Landlord under this Paragraph 21(a) shall be deemed Additional Rental and shall be payable upon demand.

(b) Landlord's Compliance.

Except to the extent that Tenant is obligated to comply with Applicable Laws pursuant to Paragraph 21(a) above, Landlord shall comply with Applicable Laws regarding the Property.

22. HAZARDOUS DEVICES AND CONTAMINANTS.

(a) Prohibition.

Except for Contaminants used in the ordinary course of business and in compliance with Environmental Laws, Tenant and the Tenant Parties shall not use, store, release, generate or dispose of or permit to be used, stored, released, generated or disposed of any Contaminants on or in the Premises. Tenant shall immediately deliver to Landlord complete copies of all notices, demands or other communications from any governmental authority or other third party alleging a Release or a violation of any Environmental Laws.

(b) Indemnification.

(i) TENANT SHALL INDEMNIFY, PROTECT, DEFEND AND HOLD HARMLESS LANDLORD AND THE LANDLORD PARTIES FOREVER FROM AND AGAINST THIRD PARTY CLAIMS, RELATING TO ANY ENVIRONMENTAL LIABILITY RESULTING FROM (1) ANY RELEASE OF ANY CONTAMINANT AT THE PREMISES OR EMANATING FROM THE PREMISES TO ADJACENT PROPERTIES OR THE SURROUNDING ENVIRONMENT; (2) ANY RELEASE OF ANY CONTAMINANT IN THE BUILDING, ON THE PROPERTY OR ANY AREAS ADJACENT TO THE PROPERTY CAUSED BY TENANT OR THE TENANT PARTIES; (3) ANY GENERATION, TRANSPORT, STORAGE, DISPOSAL, TREATMENT OR OTHER HANDLING OF ANY CONTAMINANT AT THE PREMISES, INCLUDING, BUT NOT LIMITED TO, ANY AND ALL OFF-SITE TRANSPORT, STORAGE, DISPOSAL, TREATMENT OR OTHER HANDLING OF ANY CONTAMINANT GENERATED, PRODUCED, USED AND/OR ORIGINATING IN WHOLE OR IN PART FROM THE PREMISES; AND (4) ANY ACTIVITIES AT THE PREMISES THAT IN ANY WAY MIGHT BE ALLEGED TO FAIL TO COMPLY WITH ANY ENVIRONMENTAL LAWS.

(ii) LANDLORD SHALL INDEMNIFY, PROTECT, DEFEND AND HOLD HARMLESS TENANT AND THE TENANT PARTIES FOREVER AGAINST AND FROM ALL THIRD PARTY CLAIMS, FOR ANY RELEASE OF ANY CONTAMINANT CAUSED BY LANDLORD OR THE LANDLORD PARTIES.

The provisions of this Paragraph 22(b) shall survive the termination of this Lease.

23. FIRE AND CASUALTY.

(a) Restoration of the Premises. In the event that the Premises are damaged by fire or other casualty, Tenant shall give immediate written notice of such damage to Landlord and to any mortgagee of the Premises whose address shall have been furnished it, and Landlord shall proceed with all reasonable diligence to commence and complete restoration of the Premises to substantially their condition immediately prior to the casualty at Landlord's expense within one hundred twenty (120) days from the date of such damage, during which restoration period this Lease shall remain in full force and effect, except that Rent shall be reduced in proportion to the percentage which the area of the unusable portion of the Premises bears to the area of the entire Premises. Landlord's obligation to restore the Premises shall be limited to the scope of Landlord's original work and shall be limited to the extent insurance proceeds are actually received by Landlord as a result of such casualty. Tenant shall be entirely responsible for the restoration of Alterations made by Tenant and Tenant's Personal Property. In the event that the Premises cannot be restored within one hundred twenty (120) days of the date of such damage, then Landlord may cancel this Lease effective upon notice of such cancellation given to the other party.

(b) Casualty Caused By Tenant. Notwithstanding anything contained hereinabove to the contrary, if such damage is contributed to or results from the fault of Tenant, Tenant's employees, servants, agents, or visitors, and such damage shall be repaired by and at the expense of Tenant under the control, direction and supervision of Landlord, and the rent shall continue without abatement or reduction.

(c) No Restoration. Notwithstanding anything contained hereinabove to the contrary, in the event that (i) any mortgagee of the Premises refuses to make the proceeds of Landlord's insurance immediately available to Landlord for the restoration of the Premises, (ii) such damage is the result of any casualty other than a casualty for which Landlord is required to provide insurance, (iii) the cost of such restoration is estimated to exceed eighty percent (80%) of the replacement cost of the Building and Property, (iv) the casualty renders any substantial part of the Premises untenable and at the time, less than two (2) years remain until the expiration of the Term, or (v) any part of the Building or Property is damaged to the extent that in Landlord's judgment, restoration is not practical (whether or not the Premises have been damaged by the casualty), then Landlord, at Landlord's option, shall be released from the obligation to restore the Premises by giving notice of such event

and of Landlord's election not to so restore, which notice must be given to Tenant within sixty (60) days of the date of the damage and Landlord or Tenant may terminate this Lease by providing the other with thirty (30) days prior written notice.

24. EMINENT DOMAIN.

(a) Entire Taking.

If all or a substantial part of the Premises is subject to a Taking, this Lease shall terminate as of the date of vesting of title.

(b) Termination by Tenant.

If twenty-five percent (25%) or more of the Premises is subject to a Taking, then Tenant may terminate this Lease by notifying Landlord of such termination within sixty (60) days after the date of vesting of title. This Lease shall expire on the date specified in such notice of termination, which date shall be not less than sixty (60) days after the giving of such notice. The Rent under this Lease shall be apportioned as of such termination date.

(c) Termination by Landlord.

If twenty-five percent (25%) or more of the Building is subject to a Taking, then Landlord may terminate this Lease by notifying Tenant of such termination within sixty (60) days after the date of vesting of title. This Lease shall expire on the date specified in such notice of termination, which date shall be not less than sixty (60) days after the giving of such notice. The Rent under this Lease shall be apportioned as of such termination date.

(d) Awards and Damages.

Landlord shall be entitled to receive the entire award paid for a Taking, Tenant assigning to Landlord all Tenant's right, title and interest therein, if any. Nothing contained herein shall be deemed to give Landlord any interest in or to require Tenant to assign to Landlord any award made to Tenant for the taking of Tenant's Personal Property, for the interruption of or damage to Tenant's business or for Tenant's moving expenses but only if such award is in addition to the award for the Property containing the Premises or is specifically allocated in such single award. Tenant shall have the right to any separate award sought by and made solely for Tenant.

(e) Restoration of the Premises.

If this Lease is not terminated pursuant to this Paragraph 24, then Landlord, at its sole cost and expense, shall promptly repair and restore the Premises and the Common Areas to the condition that existed immediately before the Taking, except for the part taken, to render the Premises a complete architectural unit, but only to the extent of the condemnation award received by Landlord for the damage.

(f) Temporary Condemnation.

If all or any part of the Premises are subject to a Taking for a limited period of time, this Lease shall remain in effect and shall not be cause for any reduction or diminution of Rent; provided, however, Tenant shall be entitled to any award for a temporary Taking.

25. DEFAULT.

(a) Events of Default.

Each of the following shall constitute a Tenant Default:

- (i) Tenant fails to pay any Rent reserved hereby when due and such failure continues for a period of five (5) days after receipt of written notice from Landlord; provided, however, that a Tenant Default will occur for failure to timely pay Rent without any obligation of Landlord to deliver any notice, written or otherwise, if Landlord has previously given Tenant written notice

under this Section 25(a)(i) once during the twelve (12) month period preceding the current failure by Tenant to timely pay an installment of Rent, though in such event Tenant will not be in default unless such failure to pay Rent continues after the fifth (5th) business day after the day such installment was due;

(ii) Tenant fails to perform or observe any of its obligations under this Lease other than the payment of Rent within thirty (30) days (or within a reasonable time period not to exceed ninety (90) days) if the same cannot be cured within such 30-day period so long as Tenant has commenced to cure such violation within said 30-day period and thereafter diligently pursues to completion) after Landlord gives Tenant written notice of Tenant's failure;

(iii) Tenant or any Guarantor of this Lease fails to vacate or stay any of the following within thirty (30) days after it occurs:

(A) A petition in bankruptcy is filed by or against Tenant;

(B) Tenant is adjudicated as bankrupt or insolvent;

(C) A receiver, trustee, or liquidator is appointed for all or a substantial part of Tenant's assets; or

(D) Tenant makes an assignment for the benefit of creditors;

(iv) Tenant should fail to promptly move into or take possession of the Premises when the same are ready for occupancy or shall cease to do business in or abandon all or any substantial portion of the Premises;

(v) Tenant shall be dissolved, liquidated or otherwise ceases to exist in good standing under applicable law;

(vi) Any guarantor of this Lease fails or refuses to perform or comply with such guarantor's guaranty of this Lease;

(vii) Tenant's leasehold estate is taken in execution or other process of law in any action against Tenant;

(viii) Landlord believes in its reasonable judgment that the prospect of payment or performance of any of the terms of this Lease to be paid or performed by Tenant are more likely than not to be impaired; or

(ix) The net worth of Guarantor, if any, has materially declined since the Date of the Lease or Guarantor is subject to any of the events described in Paragraph 25(a)(iii) above.

(b) Landlord's Remedies.

If a Tenant Default occurs, Landlord may, without further notice to Tenant, and in addition to and not in lieu of any other rights or remedies available to Landlord at law and/or in equity, exercise any one or more of the following rights:

(i) Landlord may terminate this Lease by giving notice of termination to Tenant, and reenter the Premises, by summary proceedings or otherwise, remove Tenant and all other persons and property from the Premises; or

- (ii) Terminate Tenant's right to possession of the Premises, in which case Landlord may reenter and take possession of the Premises, by summary proceedings or otherwise, without terminating this Lease and without relieving Tenant of its obligations under this Lease.

No reentry or repossession, repairs, alterations and additions, or reletting pursuant to an election by Landlord under Paragraph 25(b)(ii) shall be construed as an eviction or ouster of Tenant or as an election on Landlord's part to terminate this Lease, unless a written notice of such intention is given to Tenant, or shall operate to release Tenant in whole or in part from any of Tenant's obligations hereunder.

If Landlord exercises either of the remedies provided in this Paragraph 25(b), Tenant shall surrender possession and vacate the Premises and immediately deliver possession thereof to Landlord, and Landlord may re-enter and take complete and peaceful possession of the Premises, with process of law, full and complete license to do so being hereby granted to Landlord, and Landlord may remove all occupants and property therefrom, using such force as may be necessary to the extent allowed by Applicable Laws, without being deemed guilty in any manner of trespass, eviction or forcible entry and detainer and without relinquishing Landlord's right to Rent or any other right given to Landlord hereunder or by operation of law. Tenant hereby waives any claim for loss or damage by reason of such reentry, repossession or removal.

In order to regain possession of the Premises and to deny Tenant access thereto, Landlord or its agent may, at the expense and liability of the Tenant, alter or change any or all locks or other security devices controlling access to the Premises without posting or giving notice of any kind to Tenant and Landlord shall have no obligation to provide Tenant a key to new locks installed in the Premises or grant Tenant access to the Premises. Tenant shall not be entitled to recover possession of the Premises, terminate this Lease, or recover any actual, incidental, consequential, punitive, statutory or other damages or award of attorneys' fees, by reason of Landlord's alteration or change of any lock or other security device and the resulting exclusion from the Premises of the Tenant or Tenant's agents, servants, employees, customers, licensees, invitees or any other persons from the Premises. Landlord may, without notice, remove and either dispose of or store, at Tenant's expense, any property belonging to Tenant that remains in the Premises after Landlord has regained possession thereof. Tenant acknowledges that, to the maximum extent allowed by law, the provisions of this subparagraph of this Lease supersedes the Texas Property Code and Tenant further warrants and represents that it hereby knowingly waives any rights it may have thereunder.

Except as specifically provided in this Lease, Tenant hereby expressly waives the service of intention to terminate this Lease or to re-enter the Premises and waives the service of any demand for payment of Rent or for possession and waives the service of any other notice or demand prescribed by any statute or other law.

Landlord's remedies shall be cumulative. In addition to the other remedies in this Lease, Landlord shall be entitled to the restraint by injunction of the violation or attempted violation of any of the provisions of this Lease.

(c) Landlord's Damages.

If Landlord terminates this Lease or ends Tenant's right to possess the Premises without terminating this Lease pursuant to Paragraph 25(b) above, Tenant shall be liable to Landlord for the following:

- (i) any Rent that may be due or damages sustained prior to the termination of this Lease or Tenant's right to possess the Premises;
- (ii) additional damages, which, at the election of Landlord, shall be either:
 - (A) the present value of an amount equal to (1) Rent that would have become due during the remainder of the Term had no Tenant Default existed, less (2) the fair market rental rate for the remainder of the Term of this Lease, each discounted at the current five (5) year treasury bill rate, in which case such additional damages shall be payable to Landlord in one lump sum; or
 - (B) an amount equal to the Rent that would have become due during the remainder of the Term had no Tenant Default existed, less any sums, if any, Landlord receives by

reletting the Premises during the Term, in which case such additional damages shall be computed and payable in monthly installments, in advance, on the first day of each calendar month and continuing until the date on which the Lease would have expired but for the termination of the Lease or termination of possession, and any suit or action brought to collect such monthly installments shall not in any manner prejudice the right of Landlord to collect such additional monthly installments for any subsequent month by a similar proceeding; and

- (iii) all reasonable costs, fees and expenses, including, but not limited to, storage fees, attorneys', brokers' and other professional fees, incurred by Landlord in pursuit of its remedies under this Lease or in renting the Premises to others from time to time.

The foregoing damages shall be due and payable immediately upon demand by Landlord and shall bear interest at the Default Rate until paid. Landlord shall not be required to give Tenant notice of its elections under this Paragraph 25(c).

- (d) Reletting the Premises.

Upon the termination of Tenant's right to possess the Premises pursuant to Paragraph 25(b)(ii) hereof, Landlord shall use commercially reasonable efforts to mitigate damages through reletting. provided that: (i) Landlord shall have no obligation to solicit or entertain negotiations with any other prospective tenants for the Premises until Landlord obtains full and complete possession of the Premises including, without limitation, the final and non-appealable legal right to relet the Premises free of any claim of Tenant; (ii) Landlord shall not be obligated to lease or show the Premises, on a priority basis, offer the Premises to a prospective tenant when other premises in the Building suitable for that prospective tenant's use are (or soon will be) available; (iii) Landlord shall not be obligated to lease the Premises to a replacement tenant for a rent less than the current fair market rent then prevailing for similar uses in comparable buildings in the same market area as the Building, nor shall Landlord be obligated to enter into a new lease under other terms and conditions that are unacceptable to Landlord under Landlord's then current leasing policies for comparable space in the Building; (iv) Landlord shall not be obligated to enter into a lease with a replacement Tenant whose use would: (1) violate any restriction, covenant, or requirement contained in the lease of another tenant of the Building; (2) adversely affect the reputation of the Building; or (3) be incompatible with the operation of the Building for general office use; (v) Landlord shall not be obligated to enter into a lease with any proposed replacement tenant which does not have, in Landlord's reasonable opinion, sufficient financial resources to operate the Premises in a first class manner; (vi) Landlord shall not be required to expend any amount of money to alter, remodel, or otherwise make the Premises suitable for use by a proposed replacement tenant unless Tenant pays any such sum to Landlord in advance of Landlord's execution of a lease with such replacement tenant (which payment shall not be in lieu of any damages or other sums to which Landlord may be entitled as a result of a Tenant Default) or Landlord, in Landlord's reasonable discretion, determines that any such expenditure is financially justified in connection with entering into any such replacement lease; (vii) Landlord may relet all or any part of the Premises, alone or together with any other premises, for such term (which may be greater or less than the balance of the remaining portion of the Term) and upon such other terms (which may include concessions or free rent and alterations of the Premises) as Landlord, in its absolute discretion, may determine, and (viii) if Landlord receives in connection with any reletting any consideration or rent that is in excess of that payable under this Lease, such excess shall be Landlord's sole property and Tenant shall not be entitled thereto. Landlord shall not be liable for, and Tenant's obligations shall not be diminished by reason of, any failure by Landlord to relet the Premises or any failure of Landlord to collect any rent due upon such reletting. Tenant agrees that if Landlord elects to terminate this Lease pursuant to Paragraph 25(b)(i) and to recover damages pursuant to Paragraph 25(c)(ii)(A), the stated computation of such damages includes the assumed mitigation of damages through the releasing of the Premises at the fair market rental rate and that Landlord shall have no further duty to mitigate damages in such event.

- (e) Landlord's Self-help.

If Tenant at any time fails to make any payment or perform any other act on its part to be made or performed under this Lease, Landlord may, after reasonable notice or demand and without waiving or releasing Tenant

from any obligation under this Lease, make such payment or perform such other act to the extent Landlord may deem desirable, and in connection therewith to pay expenses and employ counsel. Tenant shall pay upon demand all of Landlord's costs, charges and expenses, including the fees of counsel, agents and others retained by Landlord, incurred in enforcing Tenant's obligations under this Lease or incurred by Landlord in any litigation, negotiations or transactions in which Tenant causes Landlord, without Landlord's fault, to become involved or concerned, which amount shall be deemed to be Other Charges. Landlord's rights under this Paragraph 25(e) are in addition to, not in lieu of, any other remedies available to Landlord under this Lease.

26. WAIVER OF DEFAULT OR REMEDY.

No waiver of any provision, or the waiver of the breach of any provision, of this Lease (i) shall constitute a future waiver of, or the waiver of any later breach of, such provision, (ii) shall justify or authorize the later nonobservance of same or any other provision of this Lease or (iii) shall estop Landlord. If a Tenant Default exists, Landlord's acceptance of Rent or Landlord's failure promptly to avail itself of its rights or remedies shall not be construed as a waiver of a Tenant Default or of Landlord's right to pursue any remedy as a result of a Tenant Default, but Landlord may at any time, if the Tenant Default continues, assert any rights or remedies available to Landlord. No receipt of money by Landlord from Tenant after the termination of this Lease or after the service of any notice or after the commencement of any suit, or after final judgment for possession of the Premises shall reinstate, continue or extend the Term of this Lease or affect any such notice, demand or suit or imply consent for any action for which Landlord's consent is required.

27. TERMINATION OF THE LEASE.

(a) Condition of the Premises.

At the termination of this Lease, Tenant shall remove all of Tenant's Personal Property from the Premises, and shall return the Premises broom-clean and in as good a condition as when Tenant took possession or as same may thereafter have been put by Landlord, except for ordinary wear, loss by fire or other casualty, and repairs that Landlord is required to make under this Lease or in the alternative in a condition acceptable to Landlord. Ordinary wear means wear occurring without negligence, carelessness, accident or abuse. Specifically, any wall, ceiling or door surface which has been marred or perforated in such a manner as to be unsightly or unusable by a future tenant and floor covering which has been damaged by stain(s), burn(s) or tear(s) will be replaced by Tenant prior to its surrendering the Premises; provided, removal of hanging pictures or diplomas shall be deemed to be the result of normal wear. Tenant shall repair all damage to the Premises, the Building or the Property caused by the removal of Tenant's Personal Property. If Tenant fails to remove any of Tenant's Personal Property upon termination of this Lease, it shall be deemed to be abandoned and shall become the property of Landlord and the cost of removing such abandoned property shall be at Tenant's cost and expense, or at Landlord's option, Landlord may store such property in a public warehouse or elsewhere for the benefit of Tenant at Tenant's sole cost and expense without Landlord being deemed guilty of trespass or becoming liable for any loss or damage occasioned thereby. Tenant shall return all keys and electronic access cards to Landlord, and shall give Landlord an explanation of the combination of all locks for safes, safe cabinets and vaults on the Premises. If Landlord requests and subject to the conditions set forth in such request, Tenant shall remove any telecommunications equipment, any cables, conduits, wires, raised floors where ever installed.

(b) Ownership of the Alterations.

All Alterations and the improvements made pursuant to Paragraph 5 shall become Landlord's property upon installation and shall remain with the Premises at the termination of this Lease, without compensation, allowance or credit to Tenant; provided, however, Landlord may require that Tenant remove all or a portion of the Alterations at the termination of this Lease, at Tenant's expense. Except as provided in Paragraph 27(a) above, Tenant shall not, however, be required to remove pipes and wires concealed in floors, walls or ceilings, provided that Tenant properly cuts and caps the same, and seals them off in a safe, lawful and workmanlike manner, in accordance with Landlord's reasonable requirements and all Applicable Laws. If Tenant does not remove any Alterations when required by Landlord to do so, Landlord may remove the same and repair all damage caused thereby, and Tenant shall pay to Landlord the cost of such removal and repair immediately upon

demand by Landlord, plus ten percent (10%) of the cost of such removal to reimburse Landlord for its administrative expense. Tenant's obligation in the previous sentence shall survive the termination of this Lease.

(c) Holding Over.

If Tenant retains possession of all or any part of the Premises after the termination of this Lease, including, but not limited to, for the sole purpose of removing Tenant's Personal Property or any other items that Landlord has requested to be removed, Tenant shall be a tenant from month to month and Tenant shall pay Landlord Rent at 150% of the monthly rate in effect immediately prior to the termination of this Lease for the time Tenant remains in possession and shall be subject to all other of Tenant's obligations under this Lease. No acceptance of Rent by, or other act or statement whatsoever on the part of Landlord or its agent or employee, in the absence of a writing signed by Landlord, shall be construed as an extension of or as a consent for further occupancy. TENANT SHALL INDEMNIFY, PROTECT, DEFEND AND HOLD HARMLESS LANDLORD AND THE LANDLORD PARTIES FOREVER AGAINST AND FROM ALL THIRD PARTY CLAIMS REGARDING TENANT'S RETENTION OF THE PREMISES, INCLUDING, BUT NOT LIMITED TO, ANY CONSEQUENTIAL DAMAGES FROM THE LOSS OF PROSPECTIVE TENANTS. Nothing contained in this Paragraph 27(c) shall be construed as giving Tenant a right of holdover or requiring Landlord to permit Tenant to holdover. The provisions of this Paragraph 27(c) are cumulative, do not exclude pursuit of Landlord's right of re-entry or any other right under this Lease and shall survive the termination of this Lease.

28. LANDLORD'S LIEN.

In addition to any statutory lien for rent in Landlord's favor, and to further secure the performance by Tenant of its obligations under this Lease including, without limitation, the timely payment of all Rent becoming due hereunder from Tenant to Landlord, Landlord (the secured party for purposes hereof) shall have, and Tenant (the debtor for purposes hereof) hereby grants to Landlord, a continuing security interest upon all of Tenant's Personal Property, and this Lease is intended as and constitutes a security agreement within the meaning of the Uniform Commercial Code of the jurisdiction in which the Property is located. In addition to the rights prescribed in this Lease, Landlord shall have all of the rights, titles, liens and interests in and to Tenant's Personal Property that are granted a secured party, as that term is defined under the Uniform Commercial Code of the jurisdiction in which the Property are located, to secure payment to Landlord of Rent. Tenant shall on request execute and deliver to Landlord a financing statement for the purpose of perfecting Landlord's security interest under this Lease or Landlord may file this Lease or a memorandum of lease as a financing statement. Further, Tenant authorizes Landlord to execute and file, without further permission or signature of or notice to Tenant, any financing statements or amendments to, or continuations of, such financing statements, as are deemed necessary or appropriate in Landlord's sole discretion and in such jurisdictions as deemed appropriate by Landlord, and as may be required or allowed by Applicable Laws, to perfect and protect the priority of Landlord's security interests granted in this Lease.

29. FORCE MAJEURE.

If Landlord or Tenant shall be delayed, hindered in or prevented from the performance of any act required under this Lease (other than the payment of Rent) by reason of strikes, lockouts, labor troubles, inability to procure materials, failure of power, acts of terrorism, riots, insurrection, the act, failure to act or default of the other party, war or any other reason beyond the reasonable control of the party who is seeking additional time for the performance of such act, then performance of such act shall be excused for the period of the delay and the period for the performance of any such act shall be extended for a reasonable period, in no event to exceed a period equivalent to the period of such delay. No such interruption of any service to be provided by Landlord shall ever be deemed to be an eviction, actual or constructive, or disturbance of Tenant's use and possession of the Premises or the Property.

30. SUBORDINATION OF LEASE.

(a) Subordination.

Subject to the Paragraph 30(b) below, this Lease shall be subordinate to any Mortgage, without the need to document further the subordination. However, if Landlord requests, Tenant shall execute and deliver to Landlord within ten (10) days any instrument, in recordable form in the jurisdiction in which the Property is located, evidencing the subordination that Landlord or Lender may reasonably request. Tenant hereby irrevocably appoints Landlord its attorney-in-fact in its name, place and stead to execute any subordination agreement, or other agreement required to be executed by Tenant pursuant to this Paragraph 30(a) which Tenant fails to execute within ten (10) days of demand.

(b) Nondisturbance; Attornment.

If Landlord requests Tenant to execute a subordination agreement, Landlord shall use commercially reasonable efforts to obtain from Lender, if Tenant so requests, a nondisturbance and attornment agreement stating that if Landlord's interest in this Lease is transferred by reason of foreclosure, deed in lieu of foreclosure, or other proceedings to enforce the Mortgage, (i) this Lease shall continue upon the same terms of this Lease for the balance of the Term with Lender or purchaser at foreclosure sale (the "Successor Landlord") performing the obligations of Landlord; (ii) Tenant's quiet possession shall not be disturbed if no Tenant Default exists; (iii) Tenant shall attorn to and recognize the Successor Landlord as landlord for the remaining Term; (iv) the Successor Landlord shall not be bound by (A) any payment of Rent for more than one (1) month in advance, except for the Security Deposit and free rent, if any, specified in this Lease, (B) any amendment, modification, or termination of this Lease without Successor Landlord's consent which may be made after the Successor Landlord's name is given to Tenant unless the amendment, modification, or ending is specifically authorized by the original Lease and does not require Landlord's prior agreement or consent, and (C) any liability for any act or omission of a prior Landlord, except to cure continuing defaults.

31. NOTICES AND CONSENTS.

All notices, demands, requests, consents and approvals that may or are required to be given or delivered under this Lease shall be in writing and shall be deemed validly given (a) immediately upon hand delivery, (b) one (1) business day following deposit with a courier or express service guaranteeing next day delivery, (c) two (2) postal delivery days after deposit in the U.S. mails by certified mail, return receipt requested, or (d) immediately upon the telephonically confirmed receipt of a facsimile transmission, (a) if for Tenant at "Tenant's Address" set forth in Paragraph 1(d), or (b) if for Landlord at "Landlord's Address" set forth in Paragraph 1(a)0 above, with a copy to Landlord's property manager at "Property Manager's Address" set forth in Paragraph 1(c). Landlord's property manager may give notices on behalf of Landlord. Either party may change the person or address to whom notice is given by notifying the other party as provided in this Paragraph 31. Except for notices sent by facsimile as provided above, notices or communications sent or received by electronic means including, but not limited to, voicemail (or other recordings of oral conversations or messages) and electronic mail, shall not be binding on any party or effective for any purpose under this Lease, the parties agreeing that such electronic communications as may be sent and received by them or their employees, agents or other representatives are merely for the convenience of the parties and are not intended to be binding upon any party unless otherwise expressly agreed by them.

32. RIGHT OF RELOCATION.

(a) Relocation Right.

At any time before the Commencement Date through the end of the Term, Landlord may relocate Tenant to the Relocation Space from the Premises. Landlord shall give Tenant written notice of the relocation. The notice shall identify the Relocation Space, any change in the Base Rent and Tenant's Proportionate Share and the Relocation Date. If Landlord exercises its relocation right after the start of the improvement of the Premises pursuant to Paragraph 5 above or during Tenant's occupancy of the Premises, Landlord's notice of relocation shall be given at least sixty (60) days before to the Relocation Date.

(b) Condition of the Relocated Space.

Tenant shall have the option either to (i) accept possession of the Relocation Space in its "as is" condition as of the Relocation Date or (ii) require Landlord to alter the Relocation Space in the same manner as the current Premises were altered or were to be altered. Tenant shall give to Landlord written notice of Tenant's election within fourteen (14) days after Tenant's receipt of Landlord's relocation notice. If Tenant fails to deliver to Landlord notice within such fourteen (14) day period, or if a Tenant Default exists at the time of receipt of Landlord's notice, Tenant shall be deemed to have elected to accept possession of the Relocation Space in its "as is" condition. If Tenant elects to require Landlord to alter the Relocation Space and the remainder of the Term on the Relocation Date is less than three (3) years, then notwithstanding anything to the contrary in Paragraph 32(c) below, the Term shall be extended so that the Term shall end three (3) years after the Relocation Date. With respect to such alteration work in the Relocation Space, if Tenant makes changes in the work and if such

changes will affect the work to be performed by Landlord, or if Tenant otherwise delays the substantial completion of Landlord's work, such delays shall in no event postpone the Relocation Date. Landlord at its discretion may substitute materials of like quality for the materials originally utilized.

(c) Provisions Governing Relocation.

Except to the extent that this Paragraph 32 modifies the Lease, this Lease shall apply to the Relocated Space as of the Relocation Date and shall continue in full force and effect and without any need for Landlord or Tenant to formally amend this Lease. If the Relocated Space contains more square footage than the Premises, the Base Rent and Tenant's Proportionate Share shall be increased proportionately (provided that such Base Rent increase shall not be in excess of five percent (5%) of the Base Rent for the current Premises). If the remainder of the Term on the Relocation Date is less than one (1) year, the Term shall be extended so that the Term shall end one (1) year after the Relocation Date. If Tenant occupies the current Premises on the date that Landlord gives its relocation notice, Tenant shall comply with its obligations under this Lease with respect to the current Premises. On or before the Relocation Date, Tenant shall move from the current Premises into the Relocation Space and shall vacate the current Premises. If Tenant continues to occupy the current Premises after the Relocation Date, then during the period of occupancy, Tenant shall pay Rent for the current Premises at the rate set forth in this Lease, in addition to the Rent for the Relocation Space. If Tenant occupies the current Premises, Landlord shall reimburse Tenant for Tenant's reasonable out-of-pocket expenses for moving Tenant's furniture, equipment, supplies and telephones and telephone equipment from the current Premises to the Relocation Space and for reprinting Tenant's stationery of the same quality and quantity of Tenant's stationery supply on hand at the time that Tenant receives Landlord's relocation notice.

33. TELECOMMUNICATIONS.

If Tenant requests Landlord to permit a telecommunications provider to provide telecommunication services to Tenant, Landlord may condition its consent by requiring Tenant and/or such telecommunications provider to: (a) enter into a written agreement with Landlord; (b) pay reasonable compensation for the use of the Building's Service Areas; (c) comply with Building standards regarding the method and manner of installation of any telecommunications equipment; (d) shall comply with Landlord's telecommunications management program respecting telecommunications equipment and cables in the Common Areas or Service Areas; (e) provide evidence that a telecommunications provider is authorized to provide services pursuant to Applicable Laws; and (f) not interfere with any other telecommunications provider in the Building. Landlord shall have the right to insist on reasonable security regulations regarding the telecommunications areas. Landlord shall have complete control over the use of the conduits and risers that run adjacent to or through the Premises. Landlord at any time may recapture any unused conduit or riser space and may require Tenant to remove any cable in such conduit or riser space that is no longer in use. Tenant shall not cause any electromagnetic field interference. Tenant shall not cause any electromagnetic field interference which interferes with any other tenant's or Landlord's equipment usage in the Building. If Tenant generates any electromagnetic field interference which interferes with any other tenant's or Landlord's equipment in the Building, Tenant at its sole cost and expense, shall remove such interference. If Landlord requests, Tenant shall relocate any of Tenant's Personal Property that creates electromagnetic field interference which interferes with other tenants' or Landlord's equipment usage in the Building.

34. BROKERAGE COMMISSION.

Except for Landlord's Broker and Tenant's Broker, Landlord and Tenant represent and warrant each to the other that each has dealt with no broker, agent or other person in connection with this transaction and that no broker, agent or other person brought about this transaction. Landlord shall pay to Landlord's Broker, a leasing commission as set forth in that certain Property Management Agreement between Landlord and Landlord's Broker, from which Landlord's Broker shall pay a "co-op" leasing commission to Tenant's Broker. **TENANT SHALL INDEMNIFY, PROTECT, DEFEND AND HOLD HARMLESS LANDLORD FROM AND AGAINST ANY CLAIMS BY ANY OTHER BROKER, AGENT OR OTHER PERSON (INCLUDING, WITHOUT LIMITATION, TENANT'S BROKER) CLAIMING A COMMISSION OR OTHER FORM OF COMPENSATION BY VIRTUE OF HAVING DEALT WITH TENANT WITH REGARD TO THIS LEASING TRANSACTION. THE PROVISIONS OF THIS PARAGRAPH 34 SHALL SURVIVE THE TERMINATION OF THIS LEASE.**

35. LIMITATION ON RIGHT OF RECOVERY AGAINST LANDLORD.

(a) Landlord shall be deemed in default of this Lease (a "Landlord Default") if Landlord fails to perform any express obligation of Landlord under this Lease and fails to cure such default within a period of thirty (30) days after written notice from Tenant specifying such default (or if the default specified by Tenant is not capable of cure within such thirty (30) day period, if Landlord fails promptly after notice from Tenant to commence to cure such default and diligently to pursue completion of such cure during and within a reasonable time after such thirty (30)-day period). Tenant's exclusive remedy for any default by Landlord shall be an action for actual damages. In no event shall Tenant be entitled to terminate this Lease or will Landlord be liable to Tenant for consequential, punitive or special damages or any similar types of damages by reason of a failure to perform (or a default) by Landlord hereunder or otherwise. Tenant hereby expressly waives and disclaims any lien or claim which Tenant has or may have in and to any property belonging to the Landlord or on the Rent due to the Landlord under this Lease.

(b) This Lease is executed by certain authorized representatives of Landlord, not individually, but solely on behalf of, Landlord. TENANT WAIVES ANY RIGHTS TO BRING A CAUSE OF ACTION AGAINST THE INDIVIDUALS EXECUTING THIS LEASE ON BEHALF OF LANDLORD AND THE LANDLORD PARTIES. THE LIABILITY OF LANDLORD UNDER THIS LEASE SHALL BE LIMITED TO ITS EQUITY IN THE PROPERTY AND ANY JUDGMENTS RENDERED AGAINST LANDLORD SHALL BE SATISFIED SOLELY OUT OF THE PROCEEDS OF SALE OF LANDLORD'S INTEREST IN THE PROPERTY. NO PERSONAL JUDGMENT SHALL REMAIN AGAINST LANDLORD UPON EXTINGUISHMENT OF ITS RIGHTS IN THE PROPERTY AND SHALL NOT GIVE RISE TO ANY RIGHT OF EXECUTION OR LEVY AGAINST ANY OTHER ASSETS OF LANDLORD OR OF THE GENERAL PARTNERS OF LANDLORD. The provisions of this Paragraph 35(b) are not intended to relieve Landlord from the performance of any of Landlord's obligations under this Lease, but only to limit the personal liability of Landlord in the case of recovery of a judgment against Landlord. Tenant's rights to obtain injunctive relief or avail itself of any other right or remedy which may be awarded to Tenant by law or under this Lease shall in no way be limited by the foregoing provisions of this Paragraph 35(b). The provisions of this Paragraph 35(b) shall survive the termination of this Lease.

(c) Except in the event of sole active or gross negligence or willful misconduct on the part of Landlord or its employees or agents, notwithstanding anything contained herein to the contrary, Landlord shall not be liable to Tenant or any of Tenant's agents, employees, servants, invitees, licensees or any other person claiming by, through or under Tenant or entering upon the Building or the Property under or with the express or implied invitation of Tenant for any personal injury, including death, to persons or damage to property due to (i) the condition or design or any defect in the Premises, the Building or the Property or the mechanical systems and equipment which may exist or occur, (ii) any portion of the Premises, Building or Property becoming out of repair or arising from the leaking of gas, water, sewer, steam, pipes, electricity or otherwise, or (iii) acts or omissions of other tenants of the Building and other tenants' agents, employees, servants, contractors, invitees, licensees, any other person entering upon the Property under or with the express or implied invitation of other tenants, or any other person on the Property or in the Building for any purpose whatsoever. Tenant, with respect to itself and its agents, employees, servants, contractors, invitees, licensees or any other person entering upon the Property or the Building under or with the express or implied invitation of Tenant hereby expressly assumes all risks of personal injury, including death, to persons or damage to property, either proximate or remote, by reason of the present or future condition of the Premises or the Building and expressly release Landlord of and from any and all liability for such damage or loss, except in the event of sole active or gross negligence or willful misconduct on the part of Landlord or its employees or agents. The provisions of this Paragraph 35(c) shall survive the termination of this Lease.

(d) None of Landlord's officers, employees, trustees, beneficiaries, agents, directors, shareholders or partners will ever have any liability to Tenant under or in connection with this Lease, and Tenant hereby waives and releases all claims, causes of action, or other rights of recovery it may ever have against such parties under or in connection with this Lease. The provisions of this Paragraph 35(d) shall survive the termination of this Lease.

36. SIGNS.

Tenant shall not display, inscribe, print, paint, maintain or affix on any place in or about the Building any sign, notice, legend, direction, figure or advertisement, except on the doors of the Premises or walls adjacent thereto, and

then only such name(s) and matter, and in such color, size, place and materials, as shall first have been approved by Landlord in writing. Landlord reserves the right to install and maintain a sign or signs on the exterior or interior of the Building. If Tenant desires, Landlord shall list Tenant on the Building directory board, at Tenant's sole cost and expense.

37. LOCKS AND SECURITY.

No additional locks or similar devices shall be attached to any door or window without Landlord's prior written consent. Except for those keys provided by Landlord, no keys for any door shall be made. If more than two keys for one lock are desired, Landlord will provide the same upon payment by Tenant. Tenant shall close and securely lock the doors and windows, if operable, of the Premises before leaving the Building. Tenant, at Tenant's expense, shall provide whatever security and/or alarm systems which Tenant deems necessary and appropriate for the protection of the Premises and of Tenant's Personal Property. In no event shall Landlord be responsible for the loss of or damage to any of Tenant's Personal Property situated in the Premises, even though Landlord may have provided general area security or guard services. Tenant is expressly advised that if Tenant should place any Tenant's Personal Property within the Premises prior to the time the Premises are completed and delivered to Tenant, the risk of loss or damage to the same will be greatly increased in view of the fact that numerous people will, out of necessity, be permitted access to the Premises for the purpose of completing the same. Landlord may provide general area security or guard services as required from time to time which shall constitute a part of the Operating Expenses.

38. EMPLOYMENT.

Tenant shall not contract for any work or service that might involve the employment of labor incompatible with the Building employees or employees of contractors doing work or performing services by or on behalf of Landlord.

39. PLUMBING.

Tenant must observe strict care and caution that all water faucets and water apparatus are shut off before Tenant or its employees leave the Building to prevent waste or damage. Plumbing fixtures and appliances shall be used only for purposes for which constructed, and no sweepings, rubbish, rags or other unsuitable material shall be thrown or placed therein. Tenant shall pay for any damage resulting to any such fixtures or appliances from misuse by Tenant and Landlord shall not in any case be responsible for such damage.

40. CERTAIN RIGHTS RESERVED TO LANDLORD.

Landlord reserves the following rights, exercisable without notice and without liability to Tenant, for damage or injury to property, persons, or business, and without effecting an eviction, constructive or actual, or disturbance of Tenant's use or possession, or giving rise to any claim for set-off or abatement of Rent: (a) to name the Building and change the name or street address of the Building; (b) to install, affix, and maintain any and all signs on the exterior and interior of the Building; (c) to designate and approve, prior to installation, all types of window shades, blinds, drapes, awnings, window ventilators, and other similar equipment, and to control all internal lighting that may be visible from the exterior of the Building; (d) to designate, restrict and control all locations from which Tenant may supply ice, drinking water, towels, toilet supplies, shoe shining, catering, food and beverages, or like or other services on the Premises, and in general to reserve to Landlord the exclusive right to designate, limit, restrict, and control any business and any service in or to the Building and its tenants; (e) to retain at all times, and to use in appropriate instances, keys to all doors within and into the Premises, and further provided that no locks shall be changed or added without the prior written consent of Landlord; (f) to decorate and to make repairs, alterations, additions, changes or improvements, whether structural or otherwise, in and about the Building, or any part thereof, and for such purposes to enter upon the Premises, and, during the continuance of any of such work, to temporarily close doors, entryways, public space, and corridors in the Building, to interrupt or temporarily suspend Building services and facilities and to change the arrangement and location of entrances or passageways, doors and doorways, corridors, elevators, stairs, toilets, or other public parts of the Building, all without abatement of Rent or affecting any of Tenant's obligations hereunder, so long as the access to the Premises is not eliminated or materially impaired; (g) to have and retain a paramount title to the Premises free and clear of any act of Tenant purporting to burden or encumber the Premises; (h) to grant to anyone the exclusive right to conduct any business or render any service in or to the Building; (i) to approve the weight, size and location of heavy equipment and articles in and about the Premises and the Building following a specific written request for such approval from Tenant prior to any such installation, and to require all such items and furniture and similar items to be moved into and out of the Building and Premises only at such times and in such manner as Landlord shall direct in writing; (j) to prohibit the placing of vending or dispensing machines of any kind in or about the Premises without the prior written permission of Landlord.

41. MISCELLANEOUS.

Landlord may occupy portions of the Building in the conduct of Landlord's business, in which event, all references herein to other tenants of the Building shall be deemed to include Landlord as occupant. All of the covenants of Tenant under this Lease shall be

deemed and construed to be "conditions" as well as "covenants" as though the words specifically expressing or implying covenants and conditions were used in each separate instance. Any reference to the "provisions" or "terms" of this Lease shall mean every covenant, condition or agreement contained in this Lease. Any reference to "termination" of this Lease shall mean the expiration of the Term, the termination of Tenant's right to possess the Premises, the termination of this Lease by order of court, the earlier termination by Landlord or Tenant pursuant to the terms of this Lease or earlier termination by agreement of Landlord and Tenant. All obligations of either Landlord or Tenant shall be continuous during the Term of this Lease, unless a specific shorter duration is defined.

42. RELATIONSHIP OF PARTIES.

This Lease shall create the relationship of landlord and tenant between Landlord and Tenant. The parties have no intention to create a joint venture, partnership or principal and agent relationship.

43. GENDER AND NUMBER.

Whenever words are used herein in any gender, they shall be construed as though they were used in the gender appropriate to the context and the circumstances, and whenever words are used herein in the singular or plural form, they shall be construed as though they were used in the form appropriate to the context and the circumstances.

44. TOPIC HEADINGS.

Headings and captions in this Lease are inserted for convenience and reference only and in no way define, limit or describe the scope or intent of this Lease or constitute any part of this Lease and are not to be considered in the construction of this Lease.

45. TENANT'S FINANCIAL STATEMENTS.

Upon request from Landlord, Tenant shall deliver to Landlord updated financial statements for itself and any guarantor. Tenant shall promptly report any material adverse change in its financial position, and any litigation that could materially adversely affect Tenant's ability to perform its obligations under this Lease.

46. ATTORNEYS' FEES.

In any litigation between the parties regarding this Lease, the losing party shall pay to the prevailing party all reasonable expenses and court costs including attorneys' fees incurred by the prevailing party. A party shall be considered the "prevailing party" if: (a) it initiated the litigation and substantially obtains the relief it sought, either through a judgment or the losing party's voluntary action before trial or judgment; (b) the other party withdraws its action without substantially obtaining the relief it sought; or (c) it did not initiate the litigation and judgment is entered for either party, but without substantially granting the relief sought.

47. WAIVER OF JURY TRIAL.

UNLESS PROHIBITED BY LAW, LANDLORD AND TENANT WAIVE ALL RIGHT TO TRIAL BY JURY IN ANY CLAIM, ACTION, PROCEEDING OR COUNTERCLAIM BY EITHER PARTY AGAINST THE OTHER OF ANY MATTERS ARISING OUT OF OR IN ANY WAY CONNECTED WITH THIS LEASE OR TENANT'S USE OR OCCUPANCY OF THE PREMISES. TENANT ACKNOWLEDGES THAT: (A) THIS IS AN INTEGRAL PART OF THE LEASE; (B) LANDLORD WOULD NOT ENTER INTO THIS LEASE BUT FOR TENANT'S AGREEMENT TO THIS WAIVER; AND (C) NEITHER LANDLORD NOR ANYONE ACTING ON LANDLORD'S BEHALF HAS MADE ANY REPRESENTATION OR OTHER STATEMENT THAT LANDLORD WILL NOT ENFORCE THIS PROVISION TO THE FULLEST EXTENT PERMITTED BY LAW.

48. COUNTERPARTS/ELECTRONIC SIGNATURES.

The parties may execute several copies of this Lease. All copies of this Lease bearing original signatures of the parties shall constitute one and the same Lease, binding upon all parties. If a variation or discrepancy among counterparts occur, the original copy of this Lease in Landlord's possession shall control. The parties may exchange counterpart signatures by facsimile or electronic transmission and the same shall constitute delivery of this Lease with respect to the delivering party.

49. ENTIRE AGREEMENT.

This Lease contains the entire understanding between the parties and supersedes any prior understanding or agreements between them respecting the subject matter. No representations, arrangement, or understandings except those fully expressed herein, are or shall be binding upon the parties. No changes, alterations, modifications, additions or qualifications to the terms of this Lease shall be made or be binding unless made in writing and signed by each of the parties.

50. RECORDING.

This Lease shall not be recorded. If required by Applicable Laws, the parties shall execute a short form lease or memorandum of lease, complying in form with Applicable Laws, setting forth the description of the Premises, the Term and other pertinent provisions. If a short form lease or memorandum of lease is recorded, Tenant shall execute a release of such short form lease or memorandum upon the termination of this Lease. The provisions of this Paragraph 50 shall survive the termination of this Lease.

51. GOVERNING LAW; INVALIDITY OF ANY PROVISIONS.

This Lease shall be subject to and governed by the laws of the jurisdiction in which the Property is located exclusive of its conflict of laws principles. If any Lease provision is rendered illegal, invalid or unenforceable, under present or future laws effective during the

Term, then it is the intention of Landlord and Tenant that the remainder of this Lease shall not be affected thereby, and it is also the intention of Landlord and Tenant that in lieu of each clause or provision that is illegal, invalid or unenforceable, there be added as a part of this Lease a clause or provision as similar in terms to such illegal, invalid or unenforceable clause or provision to the fullest extent permitted by Applicable Laws.

52. GRANTING CONSENT.

Unless expressly set forth in this Lease, whether to grant consent, and what criteria to use in evaluating Tenant's request shall be in Landlord's sole discretion. If any matter also requires Lender's approval, such Lender's denial of Tenant's request shall not be considered "unreasonable." Landlord shall not be required to consider a request for consent if a Tenant Default exists or if Tenant does not provide copies of all relevant documents or other information to evaluate the request for consent. Unless expressly set forth in this Lease, Landlord's failure to grant consent shall be deemed to be a denial of such consent. To the extent that Landlord requires third party consultants to evaluate the request or to document the consent, Landlord may charge Tenant the costs for such third party consultants, which shall be considered Other Charges. It is expressly understood that in any case in which the Landlord's consent is required under the terms of this Lease and the consent is expressly "not to be unreasonably withheld", Landlord shall not be liable for damages for its failure to provide this consent, even though withholding of the Landlord's consent shall be found unreasonable, so that Tenant's remedy in such event shall be limited to injunctive relief.

53. ACCEPTANCE OF THIS LEASE.

If Landlord or Landlord's agent offers this Lease to Tenant, such offer is made subject to Landlord's acceptance and approval. If Landlord or Landlord's agent offers this Lease to Tenant, such offer is made subject to Landlord's acceptance and approval. Notwithstanding Tenant's execution of this Lease, Tenant acknowledges that this Lease Agreement shall not be binding upon Landlord until such time as Landlord approves and executes this Lease, and Tenant receives a counterpart.

54. TIME FOR PERFORMANCE.

With respect to all required acts and the time for performance of any obligations or the exercise of any rights or the giving of any notices required or permitted hereunder by Tenant, **time shall be of the essence.**

55. WAIVER OF TAX PROTEST.

TO THE EXTENT ALLOWED BY LAW, TENANT HEREBY WAIVES ALL RIGHTS TO PROTEST THE APPRAISED VALUE OF THE PROPERTY OR APPEAL THE SAME AND ALL RIGHTS TO RECEIVE NOTICES OF REAPPRAISALS SET FORTH IN SECTIONS 41.413 AND 42.015 OF THE TEXAS TAX CODE.

56. DTPA WAIVER.

TENANT HEREBY WAIVES ALL ITS RIGHTS UNDER THE TEXAS DECEPTIVE TRADE PRACTICES-CONSUMER PROTECTION ACT, SECTION 17.41 ET. SEQ. OF THE TEXAS BUSINESS AND COMMERCE CODE, A LAW THAT GIVES CONSUMERS SPECIAL RIGHTS AND PROTECTIONS. AFTER CONSULTATION WITH AN ATTORNEY OF TENANT'S OWN SELECTION, TENANT VOLUNTARILY CONSENTS TO THIS WAIVER.

57. "AS-IS" NATURE OF PREMISES.

EXCEPT AS OTHERWISE SPECIFICALLY PROVIDED HEREIN, TENANT HEREBY AGREES AND ACKNOWLEDGES THAT IT IS LEASING THE PREMISES IN ITS EXISTING CONDITION, "AS IS, WHERE IS, AND WITH ALL FAULTS WITH RESPECT TO ANY FACTS, CIRCUMSTANCES, CONDITIONS AND DEFECTS. LANDLORD HAS NO OBLIGATION TO REPAIR OR CORRECT ANY SUCH FACTS, CIRCUMSTANCES, CONDITIONS OR DEFECTS IN THE CONDITION OF THE PREMISES, NOR DOES LANDLORD HAVE ANY OBLIGATION TO COMPENSATE TENANT FOR SAME. NO PROMISE OF LANDLORD TO ALTER, REMODEL, REPAIR OR IMPROVE THE PREMISES OR THE BUILDING AND PROPERTY AND NO REPRESENTATION RESPECTING THE CONDITION OF THE PREMISES OR THE BUILDING AND PROPERTY HAVE BEEN MADE BY LANDLORD TO TENANT. TENANT EXPRESSLY ACKNOWLEDGES THAT, EXCEPT AS OTHERWISE SPECIFIED HEREIN, LANDLORD HAS MADE NO WARRANTY OR REPRESENTATION, EXPRESS OR IMPLIED, OR ARISING BY OPERATION OF LAW, INCLUDING, BUT NOT LIMITED TO, ANY WARRANTY OF CONDITION, TITLE, HABITABILITY, MERCHANTABILITY OR FITNESS FOR A PARTICULAR PURPOSE WITH RESPECT TO THE PREMISES, ALL SUCH REPRESENTATIONS AND WARRANTIES, AS WELL AS ANY IMPLIED WARRANTIES BEING HEREBY EXPRESSLY DISCLAIMED.

TENANT HEREBY AGREES AND ACKNOWLEDGES THAT, EXCEPT AS OTHERWISE SPECIFICALLY STATED IN THIS LEASE, LANDLORD HEREBY SPECIFICALLY DISCLAIMS ANY WARRANTY, GUARANTY OR REPRESENTATION, ORAL OR WRITTEN, PAST, PRESENT OR FUTURE, OR, AS TO, OR CONCERNING THE NATURE AND CONDITION OF THE PREMISES, INCLUDING, WITHOUT LIMITATION, THE WATER, SOIL AND GEOLOGY, THE SUITABILITY THEREOF AND OF THE

PREMISES OR OTHER ITEMS CONVEYED HEREUNDER FOR ANY AND ALL ACTIVITIES AND USES WHICH TENANT MAY ELECT TO CONDUCT THEREON, THE EXISTENCE OF ANY ENVIRONMENTAL HAZARDS OR CONDITIONS THEREON (INCLUDING BUT NOT LIMITED TO THE PRESENCE OF ASBESTOS OR OTHER HAZARDOUS MATERIALS) OR COMPLIANCE WITH APPLICABLE ENVIRONMENTAL LAWS, RULES OR REGULATIONS.

58. NO SMOKING POLICY.

A no smoking policy is in effect in the Building. Smoking is prohibited in all areas of the Building, including the Common Areas, restrooms, lobbies, elevators and the Premises. Tenant agrees that Tenant and Tenant's employees, agents and invitees will not smoke in the Building. Tenant acknowledges that there are existing tenants in the Building that are not contractually prohibited from smoking in their lease premises as the present time. Where possible, Landlord will incorporate this No Smoking Policy into all lease renewals and new leases from and after the date of this Lease.

59. TIME AND JOINT AND SEVERAL LIABILITY.

Time is of the essence in this Lease and each and every provision hereof, except as to the conditions relating to the delivery of possession of the Premises to Tenant. All the terms, covenants and conditions contained in this Lease to be performed by either party, if such party shall consist of more than one person or organization, shall be deemed to be joint and several, and all rights and remedies of the parties shall be cumulative and nonexclusive of any other remedy.

60. SCHEDULES/EXHIBITS/RIDERS.

The following schedules and riders are attached to this Lease and incorporated herein for all purposes:

Schedule 1(jjj) : Renewal Option
Schedule 2(k) : Building Rules and Regulations
Schedule 2(ll) : Floor Plan of the Premises
Schedule 2(v) : Legal Description
Schedule 4 : Commencement Date Agreement
Schedule 5 : Work Letter
Schedule 15 : Estoppel Certificate

[SIGNATURES ON PAGE FOLLOWING]

IN WITNESS WHEREOF, the parties have executed this Lease as of the Date of the Lease.

LANDLORD:

FROST BANK, TRUSTEE FOR A DESIGNATED TRUST

By: Endura Advisory Group, Ltd., a Texas limited partnership,
as Agent for Landlord

By: Endura Advisory Group, GP, LLC, its general partner

By: _____
Name: _____ -J.
David Held
Its: _____
Manager

Date: _____, 2014

TENANT:

TEXAS STATE UNIVERSITY

By: _____

Name: _____

Its: _____

Date: _____, 2014

SCHEDULE 1(jjj)
RENEWAL OPTION

1. Option to Renew. Tenant shall have the right and option (the “Renewal Option”) to renew the Lease and the Term with respect to all, but not less than all, of the Premises for one (1) additional term of Sixty (60) complete calendar months by delivering written notice of its unconditional exercise thereof (the “Renewal Notice”) to Landlord not less than **270** days nor more than **365**-days prior to the expiration of the Term under this Lease, provided that at the time of any such notice and on the commencement date of any such extended Term (the “Renewal Term Effective Date”), no Tenant Default then exists (after any applicable notice and cure periods). Tenant’s Renewal Notice shall be binding upon and irrevocable by Tenant except as expressly herein provided.

2. Renewal Term. Upon the timely delivery of the Renewal Notice and subject to the conditions set forth in Paragraph 1 above, the Term and the Lease shall be extended for a period of sixty (60) consecutive calendar months (“Renewal Term”) commencing on the date after the expiration of the Term under this Lease, and upon the same terms, covenants and conditions as provided in this Lease except as follows:

(a) The per annum Base Rent for the Premises for the Renewal Term (the “Renewal Term Base Rent”) shall be equal to the Fair Market Rate multiplied by the Net Rentable Area of the Premises (in each case divided by twelve (12) to obtain the monthly Base Rent). The “Fair Market Rate” shall be the prevailing per annum rate per square foot of net rentable area then being charged in comparable Class A office buildings in Austin, Texas for new leases for comparable space (taking into account the credit of the tenant, the use, locale and/or floor level within the building, the definition of net rentable area, lease term, commencement date, when the comparable rate was contracted for, the number and cost of parking spaces, leasehold improvements provided, quality, amenities, age and location of the comparable building, rental, brokerage fees and cash concessions, refurbishment and other allowances). Within thirty (30) days after Landlord receives Tenant's Renewal Notice, Landlord shall deliver a written notice to Tenant specifying the Fair Market Rate. Tenant, within fifteen (15) days after the date upon which Landlord advises Tenant of the Fair Market Rate for the Renewal Term, shall either (i) give Landlord final binding written notice (“Binding Renewal Notice”) of Tenant’s acceptance of such rate, or (ii) if Tenant disagrees with Landlord’s determination, provide Landlord with written notice of rejection (the “Rejection Notice”). If Tenant fails to provide Landlord with either a Binding Renewal Notice or Rejection Notice within such fifteen (15) day period, Tenant shall be irrevocably deemed to have accepted Landlord’s designation of the Fair Market Rate for the Renewal Term. If Tenant timely delivers a Rejection Notice to Landlord, Landlord and Tenant shall work together in good faith to agree upon the Fair Market Rate for the Renewal Term. If Landlord and Tenant are unable to agree upon the Fair Market Rate for the Renewal Term within thirty (30) days after the date upon which Tenant provides Landlord with a Rejection Notice, then Landlord and Tenant shall each appoint a real estate broker with at least ten (10) years experience in appraising office space in the Austin, Texas area, and if those two (2) brokers are unable, within twenty (20) days of appointment, to agree upon the Fair Market Rate of the Premises as of the expiration of the initial Term, then, those two brokers shall appoint a third broker (similarly so qualified) and the majority shall decide upon the Fair Market Rate for the Premises as of the expiration of the Term. Landlord and Tenant shall equally share in the expense of this appraisal except that in the event the monthly Base Rent is found to be within ten percent (10%) of the original rate quoted by Landlord, then Tenant shall bear the full cost of all the appraisal process. If Tenant fails to appoint a duly qualified broker within ten (10) days of Landlord’s notification to Tenant of its appointment of an MAI appraiser, then Tenant shall be deemed to have waived its entitlement to have the rent determined through the appraisal process and the determination of Landlord’s broker shall be the new Base Rent for the renewal term;

(b) Payment of all Additional Rent and Other Charges required to be made by Tenant as provided in the Lease for the Term prior to the renewal shall continue to be made during the Renewal Term in accordance with the Lease. Base Rent during the Renewal Term, as adjusted hereby, shall continue to be paid when and as required by the Lease;

(c) Unless otherwise agreed upon in the determination of the Fair Market Rate, Tenant shall pay for parking spaces allocated to Tenant in accordance with then prevailing market rates charged by Landlord as the same may be increased in accordance with the Building's prevailing parking rates from time to time during the renewal term upon notice to Tenant.

(d) Unless otherwise agreed upon in the determination of the Fair Market Rate, Landlord shall not be required to make any improvements to the Premises. The Premises shall be accepted by Tenant during the renewal Term in their "as is" condition and "with all faults" subject only to Landlord's maintenance and repair obligations expressly set forth in the Lease; and

(e) There shall be no further options to renew or extend the Term other than by mutual agreement of the parties hereto.

With respect to all dates for exercising any rights and the performance of any obligations in connection with the exercise or implementation of the Renewal Option, **time shall be of the essence.**

3. Prohibition on Assignment. The Renewal Option is personal to TEXAS STATE UNIVERSITY. The Renewal Option (i) may not be exercised at any time after Tenant, with or without the consent of Landlord, effects an Assignment; and (ii) shall not inure to the benefit of any Assignee, whether or not any such Assignee has been approved by Landlord. No subtenant may exercise the Renewal Option. Nothing herein shall imply that Tenant may assign or sublet all or any portion of the Lease, or the Premises, or effect any Assignment except in strict compliance with the Lease.

4. Termination of Renewal Option. In the event that Tenant does not timely and properly exercise the Renewal Option, the Renewal Option and all rights of renewal set forth in this Schedule 1(jjj) shall terminate and be of no further force or effect. Any termination of the Lease or Tenant's right to possession shall automatically terminate the Renewal Option. In addition, without limitation on any other provisions of this Schedule 1(jjj), the Renewal Option shall terminate and be of no further force or effect (i) if Tenant does not timely and properly exercise the option, (ii) if Landlord terminates Tenant's right to possession due to a Tenant Default, (iii) if Tenant vacates the Premises for in excess of sixty (60) days for reasons other than casualty or approved repairs, or (iv) if Tenant is in occupation of less than the entire of the Premises.

5. Lease Amendment. Upon final determination of the Renewal Term Base Rent, Landlord shall prepare and Landlord and Tenant shall execute an amendment to the Lease setting for the terms of the Renewal Term. Landlord's failure to prepare or Tenant's failure to execute such amendment shall not affect the validity of the exercise of the Renewal Option or alter Tenant's obligations during the Renewal Term as determined hereby; provided that Tenant's failure to execute or reasonably and validly object in writing to the terms of any such amendment within fifteen (15) days after delivery by Landlord shall be a Tenant Default.

BUILDING RULES AND REGULATIONS

The following rules and regulations shall apply, where applicable, to the Property and to each portion thereof:

(1) Sidewalks, doorways, vestibules, halls, stairways and other similar areas shall not be obstructed by tenants or used by any tenant for any purpose other than ingress and egress to and from their premises and for going from one to another party of the Property.

(2) Plumbing, fixtures and appliances shall be used only for the purposes for which designed, and no sweepings, rubbish, rags or other unsuitable material shall be thrown or placed therein. Damage resulting to any such fixtures or appliances from misuse by a tenant or such tenant's agents, employees or invitees shall be paid by such tenant and Landlord shall not in any case be responsible therefore.

(3) No signs, advertisements or notices shall be painted or affixed on or to any windows or doors or other exterior part of the Property (or be visible from any public or common area) unless they are of such color, size and style and in such places as shall be first approved in writing by Landlord. Landlord, at tenant's sole cost and expense, shall install all letters or numerals by or on doors in such tenant's leased premises which letters or numerals shall be in building standard graphics. No nails, hooks or screws shall be driven or inserted in any part of the Building outside the Premises except by the Building maintenance personnel nor shall any part of the Building be defaced by tenants. No curtains or other window treatments shall be placed between the glass and the building standard window treatments.

(4) Landlord shall provide and maintain an alphabetical directory board for all tenants in the first floor (main lobby) of the Building and no other directory shall be permitted unless previously consented to by Landlord in writing.

(5) Two keys to the locks on the corridor doors entering each tenant's leased premises shall be furnished by Landlord free of charge, with any additional keys to be furnished by Landlord to each tenant, at tenant's cost. Landlord shall provide all locks for other doors in each tenant's leased premises, at the cost of such tenant, and no tenant shall place any additional lock or locks on any door in or to its leased premises without Landlord's prior written consent. All such keys shall remain the property of landlord. Each tenant shall give to Landlord the explanation of the combination of all locks for safe, safe cabinets and vault doors, if any, in such tenant's leased premises.

(6) With respect to work being performed by tenants in any leased premises with the approval of Landlord, all tenants will refer all contractors, contractors' representatives and installation technicians rendering any service to them to Landlord for Landlord's supervision, approval and control before the performance of any contractual services. This provision shall apply to all work performed in the Building including, but not limited to, installation of telephones, telegraph equipment, electrical devices and attachments, doors, entranceways, and any and all installations of every nature affecting floors, walls, woodwork, trim, windows, ceilings, equipment and any other physical portion of the Building.

(7) Movement in or out of the Building of furniture or office equipment, or dispatch or receipt by tenants of any bulky material, merchandise or materials which requires use of elevators or stairways, or movement through the Building entrances or lobby shall be restricted to such hours as Landlord shall designate. All such movements shall be under the supervision of Landlord and in the manner agreed between the tenants and Landlord by prearrangement before performance. Such prearrangement initiated by a tenant will include determination by Landlord, and subject to its decision and control, as to the time, method, and routing of movement and as to the limitations for safety or other concern which may prohibit any article, equipment or any other item from being brought into the Building. The tenants are to assume all risks as to the damage to articles moved and injury to persons or public engaged or not engaged in such movement, including equipment, property and personnel of Landlord if damaged or injured as a result of acts in connections with carrying out this service for a tenant from time of entering the property to completion of work; and Landlord shall not be liable for acts of any person engaged in, or damage or loss to any of said property or persons resulting from, any act in connection with such service performed for a tenant.

(8) All damages done to the Building by the installation or removal of any property of a tenant, or done by a tenant's property while in the Building, shall be repaired at the expense of such tenant. Tenant shall bear all costs incurred by Landlord or Tenant in determining the feasibility or actual installation of any such heavy equipment.

(9) Corridor doors, when not in use, shall be kept closed.

(10) Each tenant shall cooperate with Landlord's employees in keeping its leased premises neat and clean.

(11) Landlord shall be in no way responsible to the tenants, their agents, employees or invitees for any loss of property from the leased premises or public areas for any damages to any property thereon from any cause whatsoever.

(12) To ensure orderly operation of the Building, no ice, mineral or other water, towels, newspapers, etc. shall be delivered to any leased area except by persons appointed or approved by Landlord in writing.

(13) Except as provided in each tenant's lease, electric current shall not be used for heating or nonstandard power requirements without Landlord's prior written permission.

(14) Tenant shall not make or permit any improper, objectionable, or unpleasant noises or odors in the Building or otherwise interfere in any way with other tenants or persons having business with them.

(15) Nothing shall be swept or thrown into the corridors, halls, elevator shafts or stairways. No birds or animals shall be brought into or kept in, on or about any tenant's leased premises.

(16) No machinery of any kind shall be operated by tenant on its leased area without the prior written consent of Landlord, nor shall any tenant use or keep in the Building any inflammable or explosive fluid or substance.

(17) No portion of any tenant's leased premises shall at any time be used or occupied as sleeping or lodging quarters.

(18) Each tenant and its agents, employees and invitees shall park only in those areas designated by Landlord for parking by such tenant and shall not park on any public or private streets contiguous to, surrounding or in the vicinity of the Building without Landlord's prior written consent.

(19) Landlord will not be responsible for lost or stolen property, money or jewelry from tenant's leased premises or public or common areas regardless of whether such loss occurs when the area is locked against entry or not.

(20) To the extent that a tenant's premises includes an exterior balcony or terrace area: (i) any furniture to be located by such tenant in such area shall be reasonably secured or otherwise of weight sufficient that it cannot be blown off of the balcony, (ii) such furniture shall be subject to Landlord's prior written approval as to type and aesthetics generally if visible from the ground level or any part of the Building, such not to be unreasonably withheld, (iii) no signs or banners may be located on or the balcony or any railings, (iv) the tenant shall be solely responsible for and shall indemnify, defend and hold Landlord harmless from and against, any injury to persons or property arising from any object falling from the balcony, and (v) tenant, at its sole cost and expense, shall keep its balcony area in a clean and sanitary condition and otherwise shall maintain its balcony area pursuant to the terms of the Lease as applicable to the Premises.

(21) Landlord, reserves the right to rescind any of these rules, to grant variances with respect to these rules for any tenant as Landlord may deem appropriate with no requirement that Landlord grant such a variance for Tenant or any other tenant, and to make such other and further rules and regulations as in its judgment shall from time to time be needed for the operation of the Building, which rules shall be binding upon Tenant upon delivery to such Tenant of notice thereof in writing. To the extent of any conflict between these rules and regulations and the Lease, the Lease shall control to the extent of such conflict.

Capitalized but undefined terms herein shall have the same meaning as set forth in the lease to which this schedule is attached and made a part.

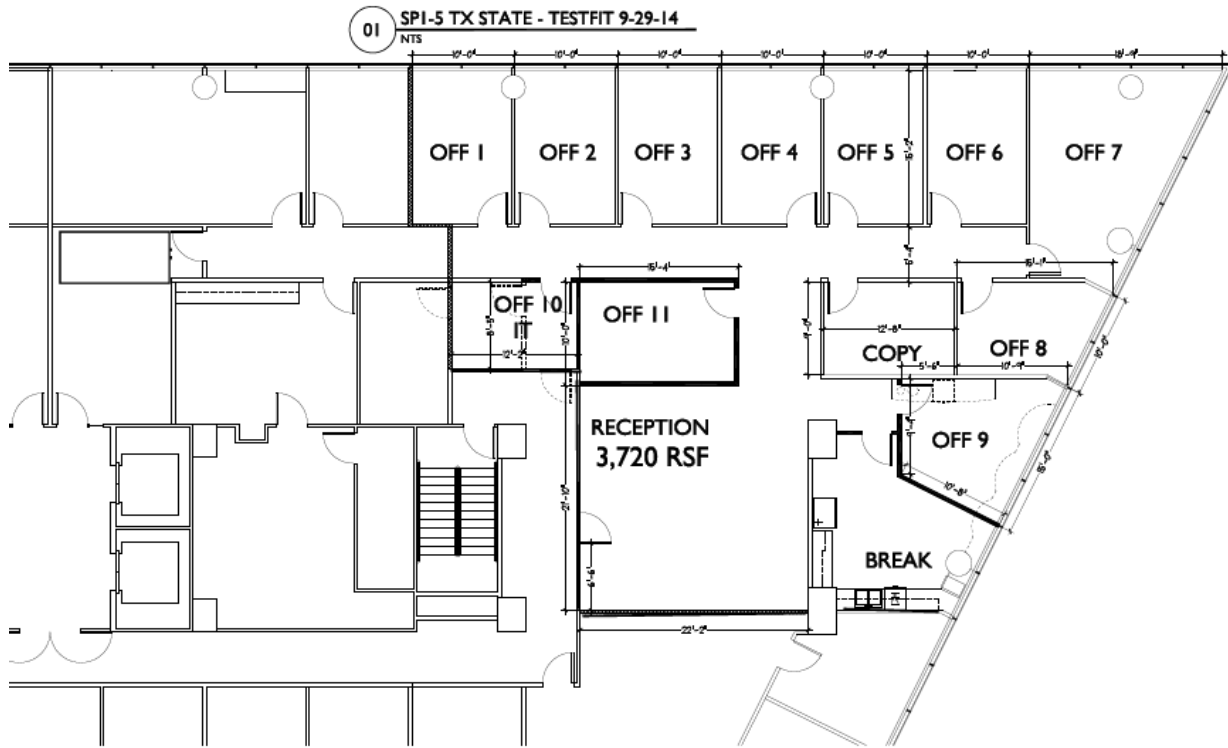
SCHEDULE 2(v)

LEGAL DESCRIPTION

Lot 1 and a portion of Lot 2 of Crow Industrial Park South, Section Two, Plat Book 81, Page 135, Plat Records of Travis County, Texas, more commonly referred to as 1701 Directors Blvd., Austin, Texas 78744.

SCHEDULE 2(II)

FLOOR PLAN



SCHEDULE 4

COMMENCEMENT DATE AGREEMENT

THIS COMMENCEMENT DATE AGREEMENT ("Agreement") dated _____, 20__ is between FROST BANK, TRUSTEE FOR A DESIGNATED TRUST, whose address is _____, ("Landlord"), and _____ ("Tenant"), whose address is _____.

W I T N E S S E T H:

A. Landlord and Tenant executed a certain Lease dated _____, 20__ (the "Lease").

B. Landlord and Tenant now desire to set forth in writing the actual date upon which substantial completion of the TI Work, as such terms are defined in the Lease occurred and the Premises, (as defined in the Lease) was delivered to Tenant.

NOW THEREFORE in consideration of the mutual covenants and promises contained herein and other valuable consideration, the parties agree as follows:

1. Substantial completion of the TI Work occurred on _____, 2012 and that, except for the Punch List Items (as shown on the attached Punch List), Landlord has fully completed the construction work required under the terms of the Lease and the Work Letter attached thereto. Landlord will use reasonable efforts to complete the Punch List Items within thirty (30) days after the date hereof.
2. Tenant acknowledges that, subject to the Punch List Items, (i) it has inspected and accepts the Premises and the TI Work, (ii) the Premises and improvements comprising the same are suitable for the purpose for which the Premises are leased, (iii) the Premises are in good and satisfactory condition, and (iv) no representations as to the repair of the Premises, nor promises to alter, remodel or improve the Premises which have been made by Landlord remain unsatisfied.
3. The Commencement Date of the Lease is _____, 2012.
4. The scheduled expiration date of the Lease is _____, 20__.

[Signature Page Follows]

IN WITNESS WHEREOF, each of the parties has caused this Agreement to be executed on the day and year first above written.

LANDLORD:

FROST BANK, TRUSTEE FOR A DESIGNATED TRUST

By: Endura Advisory Group, Ltd., a Texas limited partnership,
as Agent for Landlord

By: Endura Advisory Group, GP, LLC, its general partner

By: _____
Name: _____
Its: _____

TENANT:

By: _____
Name: _____
Its: _____

SCHEDULE 5

TENANT IMPROVEMENT WORK LETTER

(Landlord does the work)

1. TI Work. Subject to and upon the conditions hereinafter set forth, Landlord agrees to construct or cause to be constructed within the Premises the TI Work as hereinafter defined, which shall for purposes hereof be deemed to mean all improvements which are described in the Final CDs described hereinbelow. Tenant acknowledges and agrees that this Schedule 5 constitutes the entire agreement of Landlord and Tenant with respect to the construction of the TI Work and the preparation of the Premises for occupancy and that, except for Landlord's obligation to complete the TI Work, Landlord has no obligations to make any modifications, alterations or improvements to the Premises, the Building or the Property as a condition to the occurrence of the Commencement Date or Tenant's obligations under this Lease.

2. Representatives. Landlord hereby appoints **Donna Ripley** (512) 448-4811 ("Landlord's Representative") to act as the Landlord's Representative in all matters covered by this Schedule 5. Tenant hereby appoints _____ ("Tenant's Representative") to act as Tenant's Representative in all matters covered by this Schedule 5. All inquiries, requests, instructions, authorizations and other communications with respect to the matters covered by this Schedule 5 will be made to Landlord's Representative or Tenant's Representative, as the case may be. Either party may change its representative under this Schedule 5 at any time by giving five (5) days written notice to the other party delivered in accordance with the notice provisions of this Lease.

3. Construction Documents.

(a) Space Plan. Landlord and Tenant have mutually agreed upon the space plan attached to this Schedule 5 (the "Space Plan").

(b) Preliminary and Final CDs. Landlord shall cause its architect to prepare from the Space Plan and to deliver to Tenant for Tenant's approval, preliminary architectural, and, if applicable, mechanical and electrical working drawings, plans and specifications (collectively, the "Preliminary Construction Drawings" or the "Preliminary CDs") necessary to complete the design and construction of all of the TI Work. Within five (5) days of receipt of the Preliminary CDs, Tenant shall notify Landlord in writing of any changes requested by Tenant thereto. If Landlord approves Tenant's requested changes in its reasonable discretion, Landlord shall cause the Preliminary CDs to be revised and resubmitted to Tenant for approval which approval shall be provided within three (3) days of Tenant's receipt of the revised Preliminary CDs. Any delay in the approval process by Tenant shall be considered a day of "Tenant Delay." The Preliminary CDs finally approved by Landlord and Tenant are hereinafter referred to as the "Final CDs" and all work to be performed pursuant to the Final CDs is the "TI Work."

4. Cost of the TI Work.

(a) Bidding/Selection of General Contractor. As soon as practical after the determination of the Final CDs, Landlord shall select a contractor approved by Landlord ("Contractor"). Landlord shall enter into a direct contract with the Contractor upon determination of the Final CDs on terms satisfactory to it and shall have the right to approve all subcontractors.

(b) Cost Estimate. Within ten (10) days following the selection of the Contractor, Landlord shall deliver or cause to be delivered to Tenant a cost estimate setting forth the estimated cost of the TI Work (the "Cost Estimate"). The "Cost of the Work" for purposes hereof shall equal the actual cost to Landlord of constructing the TI Work including, without limitation, (i) the cost of all work, labor, materials and supplies; (ii) the cost of all contractor, architectural, engineering and design fees (including but not limited to preliminary and final space plans, mechanical, electrical and plumbing drawings, plans and specifications, construction drawings, any other construction documents and any costs incurred by Landlord in connection with the review of the same by its third party consultant) and general conditions and permitting costs/fees (and whether or not paid by Landlord or Tenant); (iii) any other costs, or expenses incurred by Landlord in connection with the construction of the TI Work, such as any additional insurance or janitorial

costs; and (iv) a construction management fee to Landlord in the amount of 3% of the aggregate of the amounts of subclauses (i)–(iii).

(c) Approval of Cost Estimate. Landlord shall not be required to commence construction of any of the TI Work until Tenant has approved the Cost Estimate in writing. If Tenant does not disapprove of the Cost Estimate in writing within two (2) business days after delivery thereof to Tenant by Landlord, Tenant shall be deemed to have approved of the Cost Estimate in all respects. If Tenant expressly disapproves of the Cost Estimate within such two (2) business day period and Landlord and Tenant are unable to agree on the Cost Estimate within three (3) business days thereafter through good faith efforts, then each day elapsing between the expiration of such three (3) business day period and the date on which Tenant provides written approval of the Cost Estimate to Landlord shall be considered a day of "Tenant Delay".

(d) Work Allowance. Landlord shall pay the first **\$20.00** per square foot of Net Rentable Area within the Premises toward the Cost of the Work (i.e. not exceeding **\$74,400.00**) (the "Allowance"). If the Cost Estimate for the Work exceeds the Allowance (the "Cost Estimate Excess"), then concurrently with Tenant's approval of the Cost Estimate, but in any event, prior to Landlord being obligated to commence construction of any of the TI Work, Tenant shall pay to Landlord the Cost Estimate Excess for the TI Work prior to Landlord being obligated to commence the TI Work. Further, if upon completion of the TI Work it is determined that (i) the actual Cost of the Work exceeds the Allowance and any Cost Estimate Excess amounts previously paid by Tenant with respect to the Cost of the Work (together with any Cost Estimate Excess, "Excess Costs"), then Tenant shall pay such Excess Costs to Landlord within ten (10) days of written request therefor. Tenant's failure to pay any such Excess Costs as required shall constitute a Tenant Delay under this Schedule 5 and shall further constitute a Tenant Default under the Lease which will entitle Landlord to exercise all remedies for a Tenant Default provided in the Lease as well as any rights or remedies provided in this Schedule 5. The Allowance shall not be disbursed to Tenant in cash, but shall be applied by Landlord to the payment of the Cost of the Work, if, as, and when the cost of the TI Work is actually incurred and paid by Landlord. Any remaining balance of the Allowance shall be the sole property of Landlord and Tenant shall have no rights with respect thereto. The Allowance must be used in full by **July 31, 2015** or shall be forfeited and shall belong to Landlord absolutely.

5. Change Orders. Tenant may, after approval or deemed approval of the Final CDs, request changes to the Final CDs. Any changes are subject to Landlord's approval. In the event Landlord approves any of Tenant's requested revisions to the Final CDs, which approval shall not be unreasonably withheld or delayed, Tenant shall pay to Landlord within ten (10) days following delivery by Landlord to Tenant of the Change Order hereinafter described, in addition to any other amounts which are payable by Tenant to Landlord hereunder, all of Landlord's extra costs associated with such changes, including, but not limited to the construction management fee as provided above, and associated architectural and engineering fees, if any, Landlord shall not be required to make such changes if the requested changes do not conform with the plans and specifications for the Building or Landlord does not approve the changes. Prior to implementing any requested change to the Final CDs, Landlord will prepare and deliver to Tenant for Tenant's approval a Change Order (herein so called) setting forth the cost of such requested changes as hereinabove described and the number of days of Tenant Delay arising in connection therewith. If Tenant fails to approve, execute and deliver to Landlord such Change Order or to pay to Landlord any amounts which are due or payable by Tenant to Landlord in connection with the Change Order within three (3) business days following delivery of the Change Order by Landlord, Tenant will be deemed to have withdrawn the proposed request for change.

6. Substantial Completion/Tenant Delay. After the Final CDs have been determined and Tenant has approved of the Cost Estimate and paid any Excess Costs, Landlord shall cause the TI Work to be performed in accordance with the Final CDs and shall obtain a certificate of occupancy for the Premises by the appropriate governmental authority, whereupon the TI Work shall be considered to be "substantially complete"; provided, however, that if Landlord shall be delayed in achieving substantial completion of the TI Work as a result of any Tenant Delays which are described in this Schedule 5, or upon the occurrence of any of the following events (which events are also referred to herein as "Tenant Delays"):

(a) Tenant's failure to furnish any information, document or approval required to be furnished by Tenant to Landlord hereunder at the time and in the manner set forth herein or to take any action or perform any obligation required hereby within an allotted time period; or

- (b) Tenant's request for materials finishes or installations other than Landlord's building standard which results in a delay in substantially completing construction of the Work; or
- (c) Tenant's requested changes to the Space Plans, the Preliminary CDs or the Final CDs which results in a delay in substantially completing construction of the TI Work; or
- (d) The performance of any work described in this Schedule 5 by a person, firm or corporation employed by Tenant which results in a delay in substantially completing construction of the TI Work (all such persons, firms or corporation being subject to the approval of Landlord); or
- (e) Tenant's failure to pay Landlord any portion of Tenant's share of the cost of the TI Work, or any other sums due hereunder, at the time and in the manner required by Schedule 5; or
- (f) Tenant's failure to take any action in connection with obtaining a certificate of occupancy for the Premises that must be taken by Tenant (e.g., applications for certificate of occupancy); or
- (g) If a certificate of occupancy cannot be obtained due to work that is to be performed by Tenant, then the date of substantial completion shall be moved forward one day for each day of Tenant Delay. Each calendar day of any such delays will correspond to one day of Tenant Delay. Subject to Tenant Delays and Force Majeure, Landlord shall endeavor to substantially complete the TI Work by **June 1, 2015**, provided that Landlord shall have no liability to Tenant for failing to substantially complete the TI Work by said date.

7. Occupancy of the Premises Prior to Substantial Completion. Subject to scheduling and the progress of Landlord's construction of the TI Work, Landlord will allow Tenant access to the Premises approximately two (2) weeks prior to substantial completion solely for purposes of Tenant installing its furniture, equipment and cabling and telecommunications requirements (such to be subject, however, to the terms of the Lease with respect thereto); provided, however, that Tenant shall not interfere with the completion of the TI Work and shall cause its contractors to work in harmony with Landlord and its contractors. **TENANT WILL HOLD LANDLORD HARMLESS AND INDEMNIFY LANDLORD FOR ANY LOSS OR DAMAGE TO TENANT'S OR LANDLORD'S PROPERTY, EQUIPMENT, FIXTURES OR MERCHANDISE, AND FOR ANY INJURY TO A PERSON RESULTING THEREFROM, EXCEPT TO THE EXTENT CAUSED BY THE GROSS NEGLIGENCE OR WILLFUL MISCONDUCT OF LANDLORD OR ITS AGENTS.**

9. Punch-List/Acceptance of the Premises. Tenant agrees that upon substantial completion of the TI Work and upon delivery of possession of the Premises to Tenant, Tenant will (i) accept the Premises in its then existing condition, subject only to a punch list of items remaining to be corrected by Landlord which will be reasonably agreed upon and prepared by Landlord's Representative and Tenant's Representative (the "Punch-List"). Only one Punch-List will be prepared. The Punch-List items will be completed within thirty (30) days after agreement thereon or as soon as reasonably practicable thereafter; however, Landlord shall not be obligated to engage overtime labor in order to complete such items.

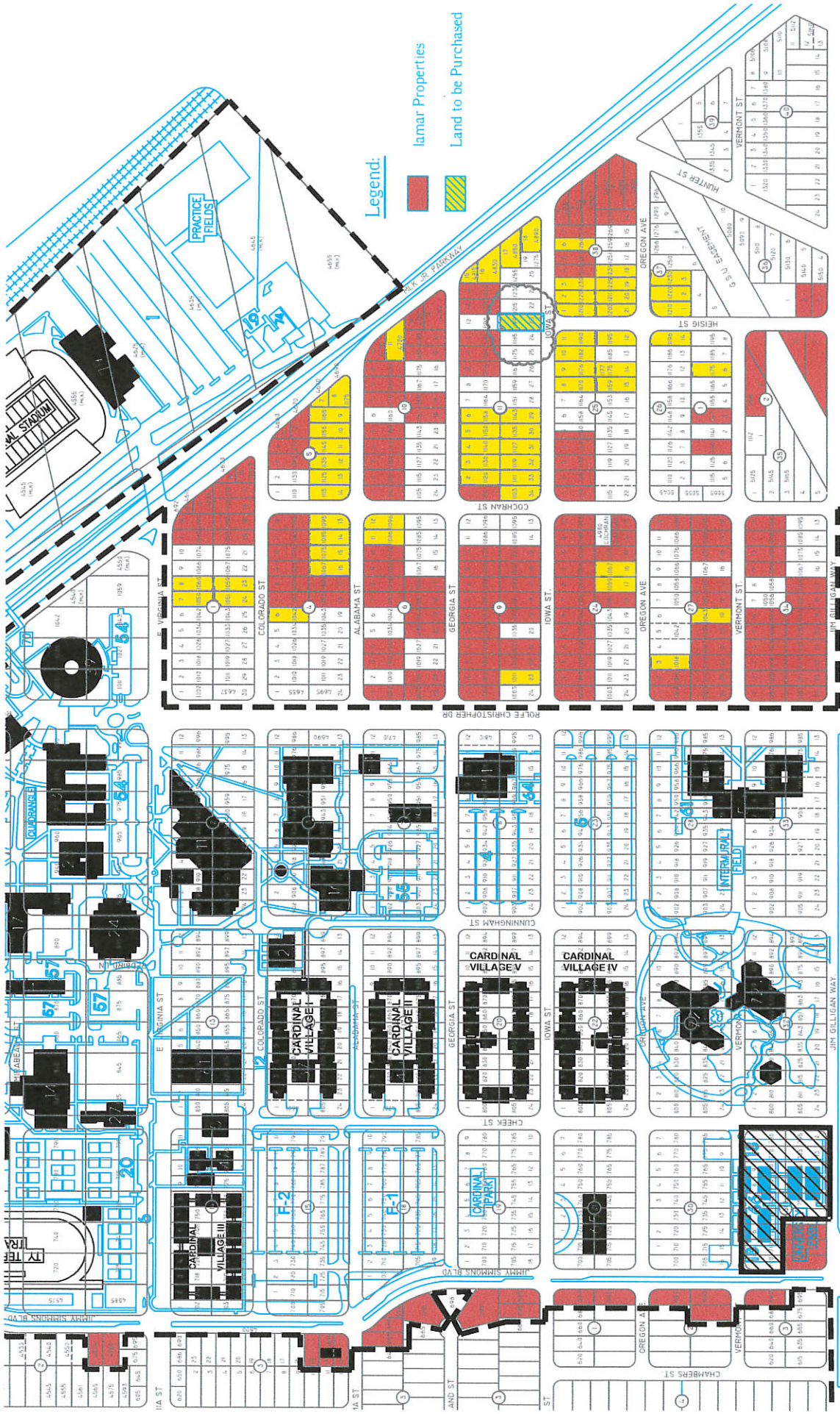
LU: Purchase of Property – 1199 Iowa

Upon motion of Regent _____, seconded by Regent _____,
it was ordered that:

Lamar University be authorized to purchase the .1607 acres of real property, located at 1199 Iowa, Jefferson County, Beaumont, Texas 77705, together with mineral rights thereto, subject to review and approval of the Vice Chancellor and General Counsel.

Explanation

Lamar University is requesting authorization to purchase this property located in “the triangle” area located southeast of the campus where previous acquisitions have been made. The area where this property is located is a major area of site development for future expansion according to the approved Campus Master Plan. The purchase is to be funded by the Higher Education Assistance Funds at the total project cost of \$41,840.



Legend:

- Lamar Properties
- Land to be Purchased





1199 Iowa, Beaumont, TX

Jefferson CAD

Property Search Results > 55705 MOORE TIA LANETTE for Year 2014

Property

Account

Property ID: 55705 Legal Description: LAMAR L23 B11
 Geographic ID: 035200-000-012900-00000-2 Agent Code:
 Type: Real
 Property Use Code: A1
 Property Use Description: REAL/RES/SGLE FAML- 5 AC/LESS

Location

Address: 1199 IOWA ST TX Mapsco: 13
 Neighborhood: LAMAR Class 2 Map ID: 0
 Neighborhood CD: 035200002

Owner

Name: MOORE TIA LANETTE Owner ID: 319885
 Mailing Address: % TIA ALIX % Ownership: 100.0000000000%
 4930 EDFIELD ST
 HOUSTON, TX 77033-3510
 Exemptions:

Values

(+) Improvement Homesite Value:	+	\$0	
(+) Improvement Non-Homesite Value:	+	\$25,450	
(+) Land Homesite Value:	+	\$0	
(+) Land Non-Homesite Value:	+	\$3,390	Ag / Timber Use Value
(+) Agricultural Market Valuation:	+	\$0	\$0
(+) Timber Market Valuation:	+	\$0	\$0
<hr/>			
(=) Market Value:	=	\$28,840	
(-) Ag or Timber Use Value Reduction:	-	\$0	
<hr/>			
(=) Appraised Value:	=	\$28,840	
(-) HS Cap:	-	\$0	
<hr/>			
(=) Assessed Value:	=	\$28,840	

Taxing Jurisdiction

Owner: MOORE TIA LANETTE
 % Ownership: 100.0000000000%
 Total Value: \$28,840

Entity	Description	Tax Rate	Appraised Value	Taxable Value	Estimated Tax
101	BEAUMONT INDEPENDENT SCHOOL DISTRICT	1.315000	\$28,840	\$28,840	\$379.25
221	CITY OF BEAUMONT	0.690000	\$28,840	\$28,840	\$199.00
341	PORT OF BEAUMONT	0.069692	\$28,840	\$28,840	\$20.10
755	SABINE-NECHES NAVIGATION DIST	0.029374	\$28,840	\$28,840	\$8.47
849	DRAINAGE DISTRICT #6	0.220587	\$28,840	\$28,840	\$63.61
901	JEFFERSON COUNTY	0.365000	\$28,840	\$28,840	\$105.27
A59	FARM AND LATERAL ROAD	0.000000	\$28,840	\$28,840	\$0.00
CAD	JEFFERSON CO APPRAISAL DISTRICT	0.000000	\$28,840	\$28,840	\$0.00
Total Tax Rate:		2.689653			

Taxes w/Current Exemptions: \$775.70
 Taxes w/o Exemptions: \$775.70

Improvement / Building

Improvement #1:	Residential	State Code:	A1	Living Area:	1138.0 sqft	Value:	\$25,450
Type	Description	Class CD	Exterior Wall	Year Built	SQFT		
HSE	HOUSE	2F1		1962	1138.0		
CVP	COVERED PORCH/PATIO	2F1		1962	50.0		

Land

#	Type	Description	Acres	Sqft	Eff Front	Eff Depth	Market Value	Prod. Value
1	COM60	COM60	0.1607	7000.00	50.00	140.00	\$3,390	\$0

Roll Value History

Year	Improvements	Land Market	Ag Valuation	Appraised	HS Cap	Assessed
2015	N/A	N/A	N/A	N/A	N/A	N/A
2014	\$25,450	\$3,390	0	28,840	\$0	\$28,840
2013	\$25,450	\$3,390	0	28,840	\$0	\$28,840
2012	\$25,620	\$3,390	0	29,010	\$0	\$29,010
2011	\$25,620	\$3,390	0	29,010	\$0	\$29,010
2010	\$28,180	\$3,390	0	31,570	\$0	\$31,570
2009	\$28,180	\$3,390	0	31,570	\$0	\$31,570
2008	\$28,180	\$3,390	0	31,570	\$0	\$31,570
2007	\$25,200	\$3,390	0	28,590	\$0	\$28,590
2006	\$14,630	\$3,390	0	18,020	\$0	\$18,020
2005	\$18,330	\$3,390	0	21,720	\$0	\$21,720
2004	\$18,330	\$3,390	0	21,720	\$0	\$21,720
2003	\$14,250	\$3,390	0	17,640	\$0	\$17,640
2002	\$13,810	\$3,390	0	17,200	\$0	\$17,200
2001	\$13,810	\$3,390	0	17,200	\$0	\$17,200

Deed History - (Last 3 Deed Transactions)

#	Deed Date	Type	Description	Grantor	Grantee	Volume	Page	Deed Number
1	12/5/2008 12:00:00 AM	WD	WARRANTY DEED	WRIGHT SOPHIA	MOORE TIA LANET			2008040269
2	4/24/1995 12:00:00 AM	WD	WARRANTY DEED		WRIGHT SOPHIA G			9511909

Questions Please Call (409) 840-9944

Website version: 1.2.2.2

Database last updated on: 9/5/2014 12:09 AM

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LU: Purchase of Property – 1176, 1190, 1230 Iowa

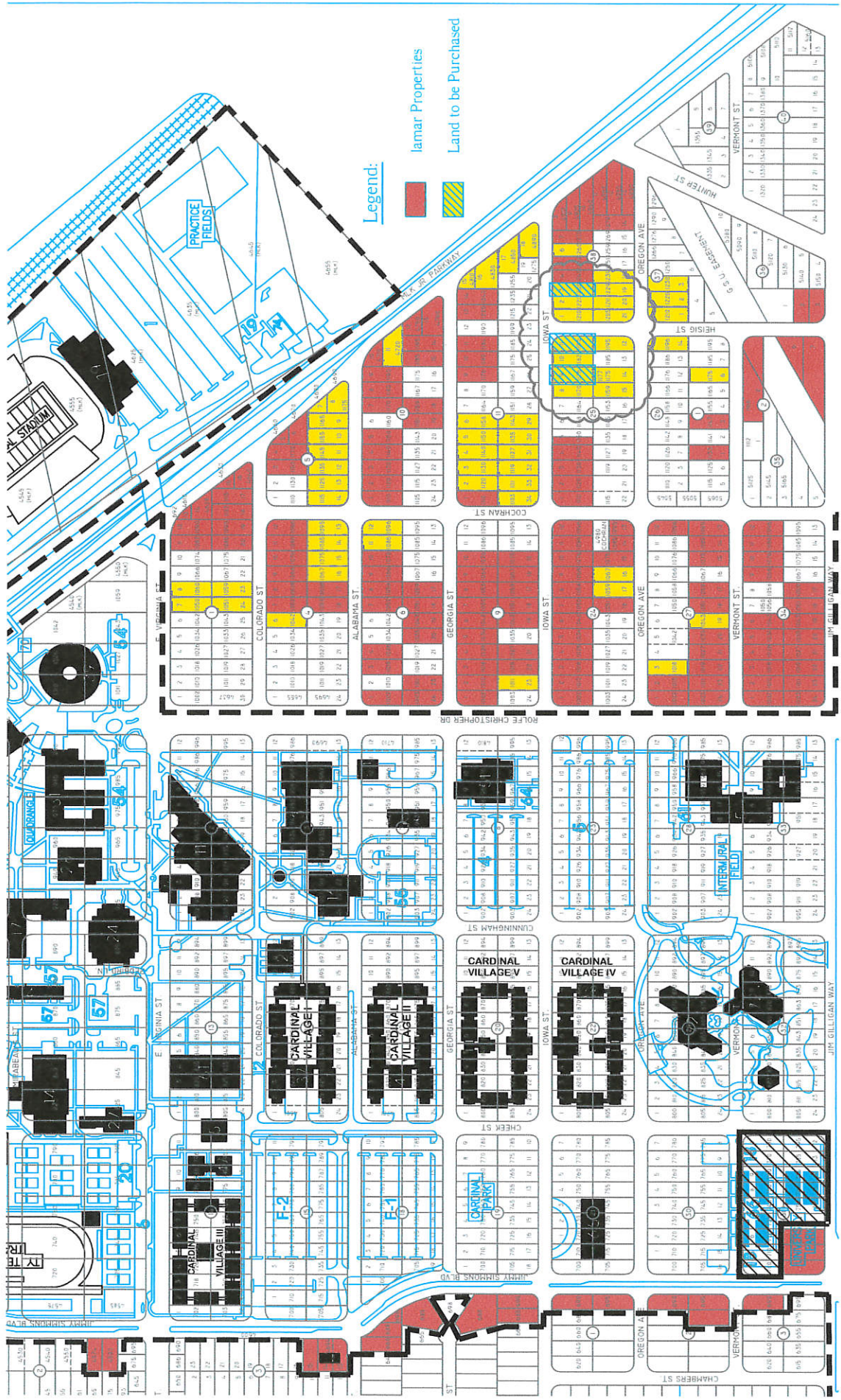
Upon motion of Regent _____, seconded by Regent _____,
it was ordered that:

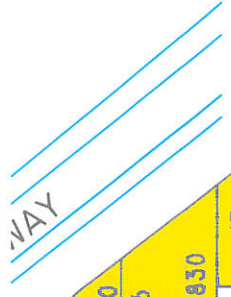
Lamar University be authorized to purchase the three vacant lots listed below,
together with mineral rights thereto, upon review and approval by the Vice
Chancellor and General Counsel.

- The .1492 acres of real property, located at
1176 Iowa, Jefferson County, Beaumont, Texas 77705
LAMAR L9 BLK25
JCAD Value \$2,880. Seller is asking for \$3,920.
- The .2238 acres of real property, located at
1190 Iowa, Jefferson County, Beaumont, Texas 77705
LAMAR L11 BLK25
JCAD Value \$4,320. Seller is asking for \$5,640.
- The .1492 acres of real property, located at
1230 Iowa, Jefferson County, Beaumont, Texas 77705
LAMAR L3 BLK38
JCAD Value \$3,330. Seller is asking \$4,440.

Explanation

Lamar University is requesting authorization to purchase this property located in “The Triangle” area located southeast of the campus where previous acquisitions have been made. The area where this property is located is a major area of site development for future expansion according to the approved Campus Master Plan. The purchases will be funded by the Higher Education Assistance Funds at the total project cost of \$25,000 (includes \$14,000 for the land, \$9,000 land clearing costs and \$ 2,000 closing costs).





Legend:



Iamar Properties



Land to be Purchased

COCHRAN ST.

IOWA ST.

OREGON AVE.

HENRIG ST.

HUNTER ST.

VERMONT ST.



Figure 1 - 1176 Iowa



Figure 2 - 1190 Iowa



Figure 3 - 1230 Iowa

Jefferson CAD

Property Search Results > 55776 WEST R CLEVE & LINDA S for Year 2014

Property

Account

Property ID: 55776 Legal Description: LAMAR L9 B25
 Geographic ID: 035200-000-020200-00000-7 Agent Code:
 Type: Real
 Property Use Code: C1
 Property Use Description: REAL/VACANT PLATTED LT/TR 5AC<

Location

Address: 1176 IOWA ST TX Mapsco: 13
 Neighborhood: Map ID: 0
 Neighborhood CD:

Owner

Name: WEST R CLEVE & LINDA S Owner ID: 437607
 Mailing Address: PO BOX 2200 % Ownership: 100.0000000000%
 BUNA, TX 77612-2200
 Exemptions:

Values

(+) Improvement Homesite Value: + \$0
 (+) Improvement Non-Homesite Value: + \$0
 (+) Land Homesite Value: + \$0
 (+) Land Non-Homesite Value: + \$2,880 Ag / Timber Use Value
 (+) Agricultural Market Valuation: + \$0 \$0
 (+) Timber Market Valuation: + \$0 \$0

 (=) Market Value: = \$2,880
 (-) Ag or Timber Use Value Reduction: - \$0

 (=) Appraised Value: = \$2,880
 (-) HS Cap: - \$0

 (=) Assessed Value: = \$2,880

Taxing Jurisdiction

Owner: WEST R CLEVE & LINDA S
 % Ownership: 100.0000000000%
 Total Value: \$2,880

Entity	Description	Tax Rate	Appraised Value	Taxable Value	Estimated Tax	
101	BEAUMONT INDEPENDENT SCHOOL DISTRICT	1.315000	\$2,880	\$2,880	\$37.87	
221	CITY OF BEAUMONT	0.690000	\$2,880	\$2,880	\$19.88	
341	PORT OF BEAUMONT	0.069692	\$2,880	\$2,880	\$2.01	
755	SABINE-NECHES NAVIGATION DIST	0.029374	\$2,880	\$2,880	\$0.84	
849	DRAINAGE DISTRICT #6	0.220587	\$2,880	\$2,880	\$6.36	
901	JEFFERSON COUNTY	0.365000	\$2,880	\$2,880	\$10.51	
A59	FARM AND LATERAL ROAD	0.000000	\$2,880	\$2,880	\$0.00	
CAD	JEFFERSON CO APPRAISAL DISTRICT	0.000000	\$2,880	\$2,880	\$0.00	
Total Tax Rate:		2.689653				
					Taxes w/Current Exemptions:	\$77.47
					Taxes w/o Exemptions:	\$77.46

Improvement / Building

No improvements exist for this property.

Land

#	Type	Description	Acres	Sqft	Eff Front	Eff Depth	Market Value	Prod. Value
1	RES60	RES60	0.1492	6500.00	50.00	130.00	\$2,880	\$0

Roll Value History

Year	Improvements	Land Market	Ag Valuation	Appraised	HS Cap	Assessed
2015	N/A	N/A	N/A	N/A	N/A	N/A
2014	\$0	\$2,880	0	2,880	\$0	\$2,880
2013	\$0	\$2,880	0	2,880	\$0	\$2,880
2012	\$0	\$2,880	0	2,880	\$0	\$2,880
2011	\$0	\$2,880	0	2,880	\$0	\$2,880
2010	\$0	\$2,880	0	2,880	\$0	\$2,880
2009	\$400	\$2,880	0	3,280	\$0	\$3,280
2008	\$14,970	\$2,880	0	17,850	\$0	\$17,850
2007	\$14,270	\$2,880	0	17,150	\$0	\$17,150
2006	\$8,050	\$2,880	0	10,930	\$0	\$10,930
2005	\$12,850	\$2,880	0	15,730	\$0	\$15,730
2004	\$12,850	\$2,880	0	15,730	\$0	\$15,730
2003	\$9,990	\$2,880	0	12,870	\$0	\$12,870
2002	\$10,410	\$2,880	0	13,290	\$0	\$13,290
2001	\$10,410	\$2,880	0	13,290	\$0	\$13,290

Deed History - (Last 3 Deed Transactions)

#	Deed Date	Type	Description	Grantor	Grantee	Volume	Page	Deed Number
1	7/11/2011 12:00:00 AM	WD	WARRANTY DEED RE: ROBERT W PR WEST R CLEVE & I					2011023142

Questions Please Call (409) 840-9944

Website version: 1.2.2.2

Database last updated on: 9/5/2014 12:09 AM

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Jefferson CAD

Property Search Results > 55778 WEST R CLEVE & LINDA S for Year 2014

Property

Account

Property ID: 55778 Legal Description: LAMAR L11 B25
 Geographic ID: 035200-000-020400-00000-3 Agent Code:
 Type: Real
 Property Use Code: A1
 Property Use Description: REAL/RES/SGLE FAML- 5 AC/LESS

Location

Address: 1190 IOWA ST TX Mapsco: 13
 Neighborhood: LAMAR Class 2 Map ID: 0
 Neighborhood CD: 035200002

Owner

Name: WEST R CLEVE & LINDA S Owner ID: 437607
 Mailing Address: PO BOX 2200 % Ownership: 100.0000000000%
 BUNA, TX 77612-2200
 Exemptions:

Values

(+) Improvement Homesite Value: + \$0
 (+) Improvement Non-Homesite Value: + \$14,600
 (+) Land Homesite Value: + \$0
 (+) Land Non-Homesite Value: + \$4,320 Ag / Timber Use Value
 (+) Agricultural Market Valuation: + \$0 \$0
 (+) Timber Market Valuation: + \$0 \$0

 (=) Market Value: = \$18,920
 (-) Ag or Timber Use Value Reduction: - \$0

 (=) Appraised Value: = \$18,920
 (-) HS Cap: - \$0

 (=) Assessed Value: = \$18,920

Taxing Jurisdiction

Owner: WEST R CLEVE & LINDA S
 % Ownership: 100.0000000000%
 Total Value: \$18,920

Entity	Description	Tax Rate	Appraised Value	Taxable Value	Estimated Tax	
101	BEAUMONT INDEPENDENT SCHOOL DISTRICT	1.315000	\$18,920	\$18,920	\$248.80	
221	CITY OF BEAUMONT	0.690000	\$18,920	\$18,920	\$130.54	
341	PORT OF BEAUMONT	0.069692	\$18,920	\$18,920	\$13.19	
755	SABINE-NECHES NAVIGATION DIST	0.029374	\$18,920	\$18,920	\$5.56	
849	DRAINAGE DISTRICT #6	0.220587	\$18,920	\$18,920	\$41.73	
901	JEFFERSON COUNTY	0.365000	\$18,920	\$18,920	\$69.06	
A59	FARM AND LATERAL ROAD	0.000000	\$18,920	\$18,920	\$0.00	
CAD	JEFFERSON CO APPRAISAL DISTRICT	0.000000	\$18,920	\$18,920	\$0.00	
Total Tax Rate:		2.689653				
					Taxes w/Current Exemptions:	\$508.88
					Taxes w/o Exemptions:	\$508.88

Improvement / Building

Improvement #1:	Residential	State Code:	A1	Living Area:	1064.0 sqft	Value:	\$14,600
Type	Description		Class CD	Exterior Wall		Year Built	SQFT
HSE	HOUSE		2F1	FRAME		1962	1064.0
CVP	COVERED PORCH/PATIO		2F1			1962	126.0
GA1A	GARAGE ATTACHED		2F1	FRAME		1962	240.0
SR4	STORAGE 4		2F1			1962	96.0
CVP	COVERED PORCH/PATIO		2F1			1962	126.0

Land

#	Type	Description	Acres	Sqft	Eff Front	Eff Depth	Market Value	Prod. Value
1	RES60	RES60	0.2238	9750.00	75.00	130.00	\$4,320	\$0

Roll Value History

Year	Improvements	Land Market	Ag Valuation	Appraised	HS Cap	Assessed
2015	N/A	N/A	N/A	N/A	N/A	N/A
2014	\$14,600	\$4,320	0	18,920	\$0	\$18,920
2013	\$23,360	\$4,320	0	27,680	\$0	\$27,680
2012	\$23,330	\$4,320	0	27,650	\$0	\$27,650
2011	\$23,330	\$4,320	0	27,650	\$0	\$27,650
2010	\$23,330	\$4,320	0	27,650	\$4,790	\$22,869
2009	\$23,330	\$4,320	0	27,650	\$6,860	\$20,790
2008	\$14,580	\$4,320	0	18,900	\$0	\$18,900
2007	\$13,260	\$4,320	0	17,580	\$0	\$17,580
2006	\$16,200	\$4,320	0	20,520	\$0	\$20,520
2005	\$21,850	\$4,320	0	26,170	\$380	\$25,584
2004	\$21,850	\$4,320	0	26,170	\$2,720	\$23,452
2003	\$17,000	\$4,320	0	21,320	\$0	\$21,320
2002	\$18,350	\$4,320	0	22,670	\$0	\$22,670
2001	\$18,350	\$4,320	0	22,670	\$0	\$22,670

Deed History - (Last 3 Deed Transactions)

#	Deed Date	Type	Description	Grantor	Grantee	Volume	Page	Deed Number
1	7/11/2011 12:00:00 AM	WD	WARRANTY DEED	PRESTON ROBER	WEST R CLEVE & I			2011023142
2		WD	WARRANTY DEED	PRESTON ROBER	WEST R CLEVE & I			0

Questions Please Call (409) 840-9944

Website version: 1.2.2.2

Database last updated on: 9/5/2014 12:09 AM

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This site only supports Internet Explorer 6+, Netscape 7+ and Firefox 1.5+.

Jefferson CAD

Property Search Results > 55879 WEST R CLEVE & LINDA S for Year 2014

Property

Account

Property ID: 55879 Legal Description: LAMAR L3 B38
 Geographic ID: 035200-000-030500-00000-8 Agent Code:
 Type: Real
 Property Use Code: C1
 Property Use Description: REAL/VACANT PLATTED LT/TR 5AC<

Location

Address: 1230 IOWA ST TX Mapsco: 13
 Neighborhood: Map ID: 0
 Neighborhood CD:

Owner

Name: WEST R CLEVE & LINDA S Owner ID: 437607
 Mailing Address: PO BOX 2200 % Ownership: 100.0000000000%
 BUNA, TX 77612-2200
 Exemptions:

Values

(+) Improvement Homesite Value: + \$0
 (+) Improvement Non-Homesite Value: + \$0
 (+) Land Homesite Value: + \$0
 (+) Land Non-Homesite Value: + \$3,330 Ag / Timber Use Value
 (+) Agricultural Market Valuation: + \$0 \$0
 (+) Timber Market Valuation: + \$0 \$0

 (=) Market Value: = \$3,330
 (-) Ag or Timber Use Value Reduction: - \$0

 (=) Appraised Value: = \$3,330
 (-) HS Cap: - \$0

 (=) Assessed Value: = \$3,330

Taxing Jurisdiction

Owner: WEST R CLEVE & LINDA S
 % Ownership: 100.0000000000%
 Total Value: \$3,330

Entity	Description	Tax Rate	Appraised Value	Taxable Value	Estimated Tax	
101	BEAUMONT INDEPENDENT SCHOOL DISTRICT	1.315000	\$3,330	\$3,330	\$43.79	
221	CITY OF BEAUMONT	0.690000	\$3,330	\$3,330	\$22.98	
341	PORT OF BEAUMONT	0.069692	\$3,330	\$3,330	\$2.32	
755	SABINE-NECHES NAVIGATION DIST	0.029374	\$3,330	\$3,330	\$0.98	
849	DRAINAGE DISTRICT #6	0.220587	\$3,330	\$3,330	\$7.35	
901	JEFFERSON COUNTY	0.365000	\$3,330	\$3,330	\$12.16	
A59	FARM AND LATERAL ROAD	0.000000	\$3,330	\$3,330	\$0.00	
CAD	JEFFERSON CO APPRAISAL DISTRICT	0.000000	\$3,330	\$3,330	\$0.00	
Total Tax Rate:		2.689653				
					Taxes w/Current Exemptions:	\$89.58
					Taxes w/o Exemptions:	\$89.57

Improvement / Building

No improvements exist for this property.

Land

#	Type	Description	Acres	Sqft	Eff Front	Eff Depth	Market Value	Prod. Value
1	COM60	COM60	0.1492	6500.00	50.00	130.00	\$3,330	\$0

Roll Value History

Year	Improvements	Land Market	Ag Valuation	Appraised	HS Cap	Assessed
2015		N/A	N/A	N/A	N/A	N/A
2014		\$0	\$3,330	0	3,330	\$0 \$3,330
2013		\$0	\$3,330	0	3,330	\$0 \$3,330
2012		\$0	\$3,330	0	3,330	\$0 \$3,330
2011		\$0	\$3,330	0	3,330	\$0 \$3,330
2010		\$0	\$3,330	0	3,330	\$0 \$3,330
2009		\$0	\$3,330	0	3,330	\$0 \$3,330
2008		\$0	\$3,330	0	3,330	\$0 \$3,330
2007		\$0	\$3,330	0	3,330	\$0 \$3,330
2006		\$0	\$3,330	0	3,330	\$0 \$3,330
2005		\$0	\$3,330	0	3,330	\$0 \$3,330
2004		\$0	\$3,330	0	3,330	\$0 \$3,330
2003		\$0	\$3,330	0	3,330	\$0 \$3,330
2002		\$2,560	\$3,330	0	5,890	\$0 \$5,890
2001		\$2,560	\$3,330	0	5,890	\$0 \$5,890

Deed History - (Last 3 Deed Transactions)

#	Deed Date	Type	Description	Grantor	Grantee	Volume	Page	Deed Number
1	7/11/2011 12:00:00 AM	WD	WARRANTY DEED	PRESTON ROBER	WEST R CLEVE & I			2011023142

Questions Please Call (409) 840-9944

Website version: 1.2.2.2

Database last updated on: 9/5/2014 12:09 AM

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This site only supports Internet Explorer 6+, Netscape 7+ and Firefox 1.5+.

SHSU: Approval of City of Huntsville Utility Easement – Gibbs Ranch

Upon motion of Regent _____, seconded by Regent _____, it was ordered that:

A thirty (30) foot wide permanent utility right-of-way easement and a temporary fifteen (15) foot construction easement be granted to the City of Huntsville, on the Gibbs Ranch for the purpose of installing utility lines and related facilities.

Explanation

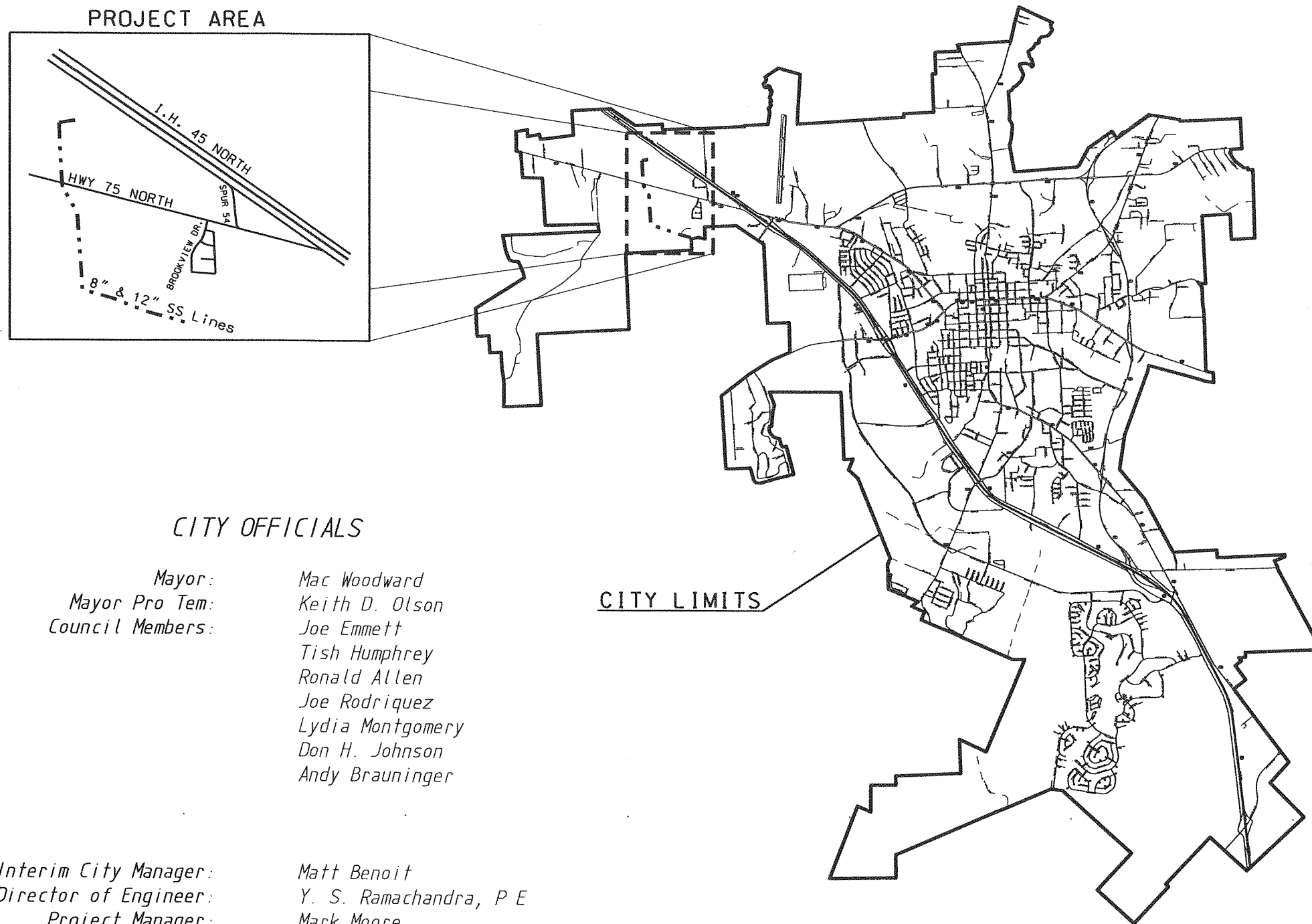
The City of Huntsville is requesting a thirty (30) foot wide permanent utility right-of-way easement and a temporary fifteen (15) foot construction easement across the SHSU Gibbs Ranch which is located north of the main campus. The easement will permit the City to construct a gravity feed sanitary sewer line to eliminate an existing sewage lift station and to provide a gravity flow sewer line for future SHSU access as well as other entities desiring to do so.

A description of the easement is presented as a separate document.

CITY OF HUNTSVILLE, TEXAS

BOT/TDCJ/HWY 75 N SEWER EXTENSION

PROJECT # 11-10-27



Y.S. Ramachandra, P.E. _____ Date
 City Engineer

CITY OFFICIALS

Mayor: Mac Woodward
 Mayor Pro Tem: Keith D. Olson
 Council Members: Joe Emmett
 Tish Humphrey
 Ronald Allen
 Joe Rodriguez
 Lydia Montgomery
 Don H. Johnson
 Andy Brauningner

Interim City Manager: Matt Benoit
 Director of Engineer: Y. S. Ramachandra, P E
 Project Manager: Mark Moore

INDEX of SHEETS

Sheet No.	
1	Cover Sheet
2	General Construction Notes
3 - 16	Plan and Profiles
17	Details
18	Erosion Control

Note: Not for Construction
95% Complete

AUGUST 2014

UTILITY EASEMENT

Project No. 11-10-27; Tax ID No. 0015-104-0-12800

NOTICE OF CONFIDENTIALITY RIGHTS: IF YOU ARE A NATURAL PERSON, YOU MAY REMOVE OR STRIKE ANY OF THE FOLLOWING INFORMATION FROM ANY INSTRUMENT THAT TRANSFERS AN INTEREST IN REAL PROPERTY BEFORE IT IS FILED FOR RECORD IN THE PUBLIC RECORDS: YOUR SOCIAL SECURITY NUMBER OR YOUR DRIVER'S LICENSE NUMBER.

Date: _____

Grantor: Sam Houston State University, a state institution of higher education and a member of the Texas State University System

Grantor's Mailing Address: P.O. Box 2027, Huntsville (Walker County), Texas 77341

Grantee: **THE CITY OF HUNTSVILLE**, a home-rule municipal corporation of the State of Texas.

Grantee's Mailing Address: 1212 Avenue M, Huntsville (Walker County), Texas.

Easement Property: Being a strip of land, thirty (30) feet in width, containing 3.87 acres, more or less, lying and being situated in the HILLARY M. CRABB LEAGUE, Abstract No.15 and the JOHN RANDOLPH SURVEY, Abstract No. 468, both in Walker County, Texas and being out of and a part of a called 124.94 acre tract, situated in said CRABB LEAGUE, described in a Deed from Ruth Farrington Faris to Sam Houston State University, dated April 23, 1991 and recorded in Volume 142, page 266, Official Public Records of Walker County, Texas, and a called 1,458.95 acre tract, situated in said RANDOLPH SURVEY and others, described in a Deed from Sam Houston Foundation to Sam Houston State University, dated June 16, 1993 and recorded in Volume 197, page 562, Official Public Records Said 3.87 acres, being more particularly described by metes and bounds in Exhibit "A" and shown on a Plat in Exhibit "B", attached hereto and incorporated herein for all purposes.

Easement Purpose: The right to construct, reconstruct, operate, maintain, inspect, test, repair, alter, replace, move, remove, change the size of, and abandon in place, utility lines and related facilities (collectively, the "Facilities"). Together with all other rights necessary or convenient for the enjoyment of the rights, privileges and easement hereby granted.

Consideration: ONE DOLLAR (\$1.00) and other good and valuable consideration, the receipt and sufficiency of which are acknowledged by Grantor.

Grant of Easement: Grantor, for the Consideration and subject to the Reservations from Conveyance and Exceptions to Warranty, grants, sells, and conveys to Grantee and Grantee's heirs, successors, and assigns an easement over, upon, across and under the Easement Property for the Easement Purpose, as well as to use the surface and subsurface thereof in any lawful manner that shall be deemed necessary and desirable by Grantee for the purposes described herein, i.e., of installing and maintaining a waste water main line, together with all and singular the rights and appurtenances thereto in any way belonging (collectively, the "Easement"). Grantor binds itself, its heirs, successors, and assigns to warrant and forever defend the title to the Easement in Grantee and Grantee's heirs, successors, and assigns against every person whomsoever lawfully claiming or to claim the Easement or any part of the Easement, except as to the Reservations from Conveyance and Exceptions to Warranty, to the extent that such claim arises by, through, or under Grantor but not otherwise.

Terms and Conditions: The following terms and conditions apply to the Easement granted by this document:

1. The Easement is appurtenant to, runs with, and inures to the benefit of all or any portion of the Dominant Estate Property of Sam Houston State University, whether or not the Easement is referenced or described in any conveyance of all or such portion of the Dominant Estate Property. The Easement is nonexclusive. The Easement is irrevocable, except to the extent outlined in these Terms and Conditions. The Easement is for the benefit of Grantee and Grantee's heirs, successors, and permitted assigns.

2. The Easement shall endure until the Easement ceases to be used by the City of Huntsville for the purpose of operating a waste water main line.

3. Grantee's right to use the Easement Property is nonexclusive, and Grantor reserves for Grantor and Grantor's heirs, successors, and assigns the right to use all or part of the Easement Property in conjunction with Grantee as long as such use by Grantor and Grantor's heirs, successors, and assigns does not interfere with the use of the Easement Property by Grantee for the Easement Purpose, and the right to convey to others the right to use all or part of the Easement Property in conjunction with Grantee, as long as such further conveyance is subject to the terms of this Easement and does not restrict or limit rights hereby granted.

4. This easement, and Grantee's rights hereunder, are subject to all prior easements, reservations, grants of other rights, restrictions and other encumbrances and matters now of record and/or evident on said lands or otherwise made known by Grantor to Grantee.

5. Grantee's use of said Easement Property shall not unreasonably interfere with Grantor's use of the land herein described or adjacent lands, however, Grantor shall not construct, nor permit to be constructed or erected, any house, building, or other structure, within the Easement Property without the express prior written consent of Grantee, and Grantee shall have the right to remove or prevent the construction of any structure without reimbursement to Grantor, Grantor's successors, tenants, assigns, or licensees. Grantor reserves the right to erect fences across and upon the right-of-way provided that such fences will not injure or interfere with Grantee's rights and provided that such fences shall have gates, openings, or removable sections which will permit Grantee access to all parts of the Easement Property. During construction of the utility line, Grantee will construct a temporary fence to the extent the established fence is removed and agrees to restore the established fence at a minimum to its original state when construction is completed. At all points of the Easement which are accessed by a gate, the Grantee agrees to keep the gate closed prior to ingress and egress. Grantee agrees that its vehicles will stay within the boundaries of the Easement. Grantee agrees to pay a fee of \$200 which will be invoiced by and paid to the ASET Department for each encroachment that is reasonably documented by photographs and/or sworn testimony. This fee may only be charged during the construction of the utility line.

6. Improvement and maintenance of the Easement Property will be at the sole expense of Grantee. Grantee has the right to eliminate any encroachments into the Easement Property; provided however, All matters concerning the Facilities and their configuration, construction, installation, maintenance, replacement, and removal are at Grantee's sole discretion.

7. Grantee shall restore the surface of the ground to the same or similar condition as reasonably feasible which it was prior to initial installation of the Facilities and, in the event of future repair, replacement, inspection or maintenance work, shall restore the surface of the ground likewise and shall remove all debris and non-essential material.

8. Grantee shall not cut, nor in any way damage, any timber, regardless of age on Grantor's lands adjacent to said Easement Property, except that Grantee shall have the right to cut and/or remove all trees, growth, undergrowth, and other obstructions that, in Grantee's judgment, may injure, endanger, or interfere with the exercise by Grantee of the rights and privileges granted to it in this Easement, and Grantee shall not be required to reimburse Grantor, its successors assigns, tenants, or licensees for such action.

9. For erosion control purposes, the easement rights granted herein to Grantee shall also include the right at any time in the future to add, construct, maintain, repair, or replace concrete, rock rip rap or other improvements to protect the Facilities within or adjacent to creeks, gullies, and other natural or man-made water drainage courses, whether located within the Easement Property or upon Grantor's' adjacent lands.

10. This Easement may be executed in any number of counterparts with the same effect as if all signatory parties had signed the same document. All counterparts will be construed together and will constitute one and the same instrument.

11. *Binding Effect.* Notwithstanding the agreement that this Easement binds and inures to the benefit of the parties and their respective heirs, successors, and permitted assigns, the parties retain their constitutional, statutory and common law rights, privileges, defenses and immunities.

12. *Choice of Law.* This Easement will be construed under the laws of the state of Texas, without regard to choice-of-law rules of any jurisdiction. Venue is in the county or counties in which the Easement Property is located.

13. *Further Assurances.* Each signatory party agrees to execute and deliver any additional documents and instruments and to perform any additional acts necessary or appropriate to perform the terms, provisions, and conditions of this Easement and all transactions contemplated by the same.

14. *Integration.* This document contains the complete Easement rights granted and cannot be varied except by written agreement of the parties. The parties agree that there are no oral agreements, representations, or warranties that are not expressly set forth in this Easement.

15. *Legal Construction.* If any provision in this Easement is for any reason unenforceable, to the extent the unenforceability does not destroy the basis of the bargain among the parties, the unenforceability will not affect any other provision hereof, and this Easement will be construed as if the unenforceable provision had never been a part of the Easement. Whenever context requires, the singular will include the plural and neuter include the masculine or feminine gender, and vice versa. Article and section headings in this document are for reference only and are not intended to restrict or define the text of any section. This Easement will not be construed more or less favorably between the parties by reason of authorship or origin of language.

16. *Notices.* Any notice required or permitted under this Easement must be in writing. Any notice required by this Easement will be deemed to be delivered (whether actually received or not) when deposited with the United States Postal Service, postage prepaid, certified mail, return receipt requested, and addressed to the intended recipient at the address shown in this document. Notice may also be given by regular mail, personal delivery, courier delivery, facsimile transmission, or other commercially reasonable means and will be effective when actually received. Any address for notice may be changed by written notice delivered as provided herein.

17. *Recitals.* Any recitals in this document are represented by the parties to be accurate, and constitute a part of the substantive Easement.

18. A Temporary Construction Easement lying fifteen (15.00) feet on the south and west sides and adjacent to the Permanent Utility Easement, shall be cleared and used (only to the extent necessary and as directed by the City) during the construction, testing and warranty period for a planned waste water main and will expire 12 months after the public utilities are accepted for maintenance by the City of Huntsville, Texas.

IN WITNESS WHEREOF, Grantor, owner of the Easement Property, has caused these presents to be executed by its duly authorized representative this _____ day of _____, 2014.

Grantor: _____
Sam Houston State University
Dana Gibson, President

THE STATE OF TEXAS }
 } ACKNOWLEDGMENT
COUNTY OF _____}

BEFORE ME, a Notary Public, on this day personally appeared Dana Gibson, President of Sam Houston State University, known to me to be the persons whose names are subscribed to the foregoing instrument and acknowledged to me that they executed the same for the purposes and consideration therein expressed.

GIVEN UNDER MY HAND AND SEAL OF OFFICE on this the _____ day of _____, 2014.

Notary Public, State of Texas

Return to Grantee's Address:
City of Huntsville, Texas
1212 Avenue M
Huntsville, Texas 77340

THE STATE OF TEXAS }
COUNTY OF _____ } ACKNOWLEDGMENT

BEFORE ME, a Notary Public, on this day personally appeared Dana Gibson, President of Sam Houston State University, known to me to be the persons whose names are subscribed to the foregoing instrument and acknowledged to me that they executed the same for the purposes and consideration therein expressed.

GIVEN UNDER MY HAND AND SEAL OF OFFICE on this the _____ day of _____, 2014.

Notary Public, State of Texas

Return to Grantee's Address:
City of Huntsville, Texas
1212 Avenue M
Huntsville, Texas 77340

CITY OF HUNTSVILLE, TEXAS
Engineering - Surveying
448 State Hwy. 75 North
Huntsville, Texas 77320

THE STATE OF TEXAS §

COUNTY OF WALKER §

I, Leonard E. Woods, Registered Professional Land Surveyor No. 2524, do hereby certify that the following field notes represent a survey made on the ground of the following described tract or parcel of land located in Walker County, Texas. Coordinates and bearings herein are referred to the Texas Coordinate System of 1983, Central Zone and are based on the position of National Geodetic Survey (formerly known as the U. S. Coast & Geodetic Survey) Primary Airport Control Station (PACS) monument designated "T39 A" having published NAD 83 (1993) coordinates of N= 3,128,437.762 meters and E= 1,154,289.433 meters. Distances herein are U. S. Survey Feet in "Grid" units and may be converted to "Geodetic Horizontal" by dividing by a combined scale factor of 0.99988.

***PROPOSED 30 FOOT WIDE UTILITY EASEMENT
UPON
SAM HOUSTON STATE UNIVERSITY PROPERTY
HILLARY M. CRABB LEAGUE, A-15 and JOHN RANDOLPH SURVEY, A-468
WALKER COUNTY, TEXAS***

Being a strip of land, thirty (30) feet in width, containing 3.87 acres of land, situated in the HILLARY M. CRABB LEAGUE, Abstract No.15 and the JOHN RANDOLPH SURVEY, Abstract No. 468, both in Walker County, Texas and being out of and a part of a called 124.94 acre tract, situated in said CRABB LEAGUE, described in a Deed from Ruth Farrington Faris to Sam Houston State University, dated April 23, 1991 and recorded in Volume 142, page 266, Official Public Records of Walker County, Texas, and a called 1,458.95 acre tract, situated in said RANDOLPH SURVEY and others, described in a Deed from Sam Houston Foundation to Sam Houston State University, dated June 16, 1993 and recorded in Volume 197, page 562, Official Public Records, said thirty (30) foot wide strip lying fifteen (15) feet on both sides, as measured at right angles, from the following described centerline, shortening or lengthening the side lines of said strip to terminate on property or existing easement lines so as not to create overlaps or gaps:

BEGINNING at a point in the west line of a called 0.113 acre tract, situated in said RANDOLPH SURVEY, described as "Parcel 1 – Lift Station Site" in an easement grant to the City of Huntsville, Texas, recorded in Volume 193, page 700, Official Public Records, having coordinates of N= 10,262,456.99 feet and E= 3,781,096.89 feet, from which a point for the southwest corner of said 0.113 acre tract bears S 11°46'51" E 18.81 feet;

THENCE, across said Sam Houston State University 1,458.95 acre tract and 124.94 acre tracts as follows:

1. N 69°47'21" W a distance of 485.10 feet to a point for corner;
2. N 75°26'55" W a distance of 500.00 feet to a point for corner;
3. N 60°49'28" W, at 807.67 feet pass the south line of a called 148.49 acre tract, situated in said CRABB LEAGUE and being included in said 1,458.95 acre tract, described in a Deed to Wilboure S. Gibbs and recorded in Volume 117, page 345, Deed Records of Walker County, Texas, from which a 5/8" iron rod found 1.0 foot south of a fence corner post for the southeast corner of said Gibbs 148.49 acre tract, bears N 87°39'04" E 968.41 feet, said corner being witnessed by the west fork of a 12" Bois 'd Arc (found) N 86°45' E 34.7 feet, a 23" Sweet Gum (found) N 73°35' W 16.7 feet and a 20" Sweet Gum (found) N 14°30' W 13.9 feet and also from which a 5/8" iron rod with a 2" diameter aluminum cap stamped "Murray and Moorers RPLS 1572" found at a fence corner post for the southeast corner of said Sam Houston State University 124.94 acre tract, bears S 87°39'04" W 995.42 feet, continuing N 60°49'28" W for a total distance of 812.46 feet to a point for corner;
4. N 77°17'25" W a distance of 1000.00 feet to a point for corner;
5. N 10°51'46" W, at 201.17 feet pass the east line of said Sam Houston State University 124.94 acre tract, from which the aforesaid southeast corner bears S 02°51'30" E 461.28 feet and another 5/8"

EXHIBIT "A"
Page 1 of 2

iron rod with a 2" diameter aluminum cap stamped "Murray and Moorer RPLS 1572" found for a northeasterly corner of it bears N 02°51'30" W 2391.88 feet, continuing N 10°51'46" W for a total distance of 500.00 feet to a point for corner;

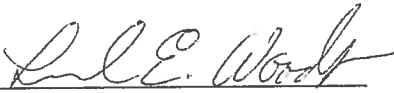
6. N 04°03'24" W a distance of 1487.53 feet to a point for corner;
7. N 27°05'37" W a distance of 500.00 feet to a point for corner;
8. N 32°00'06" W a distance of 311.73 feet to a point for corner;

and 9. N 06°56'43" E a distance of 24.41 feet to the POINT OF TERMINATION, a point for corner, having coordinates of N= 10,266,074.85 feet and E= 3,777,883.38 feet, in the north line of said Sam Houston State University 124.94 acre tract, same being the south right-of-way line of State Highway No. 75 and from which another 5/8" iron rod with a 2" diameter aluminum cap stamped "Murray and Moorer RPLS 1572" found for the northeast corner of said Sam Houston State University 124.94 acre tract bears S 75°33'17" E 498.76 feet and a concrete right-of-way monument bears N 75°33'17" W 1897.58 feet.

NOTES:

1. A Temporary Construction Easement lying fifteen (15.00) feet on the south and west sides and adjacent to Permanent Utility Easement, shall be cleared and used (only to the extent necessary and as directed by the City) during the construction, testing and warranty period for a planned waste water main and will expire 12 months after the public utilities are accepted for maintenance by the City of Huntsville, Texas.
2. For further information, see Plat of Proposed 30 Foot Wide Utility Easement labeled Exhibit "B" prepared concurrently with the legal description herein.
3. Unless otherwise noted, all distances to witness trees are to the face of tree.

Surveyed: September 2014.

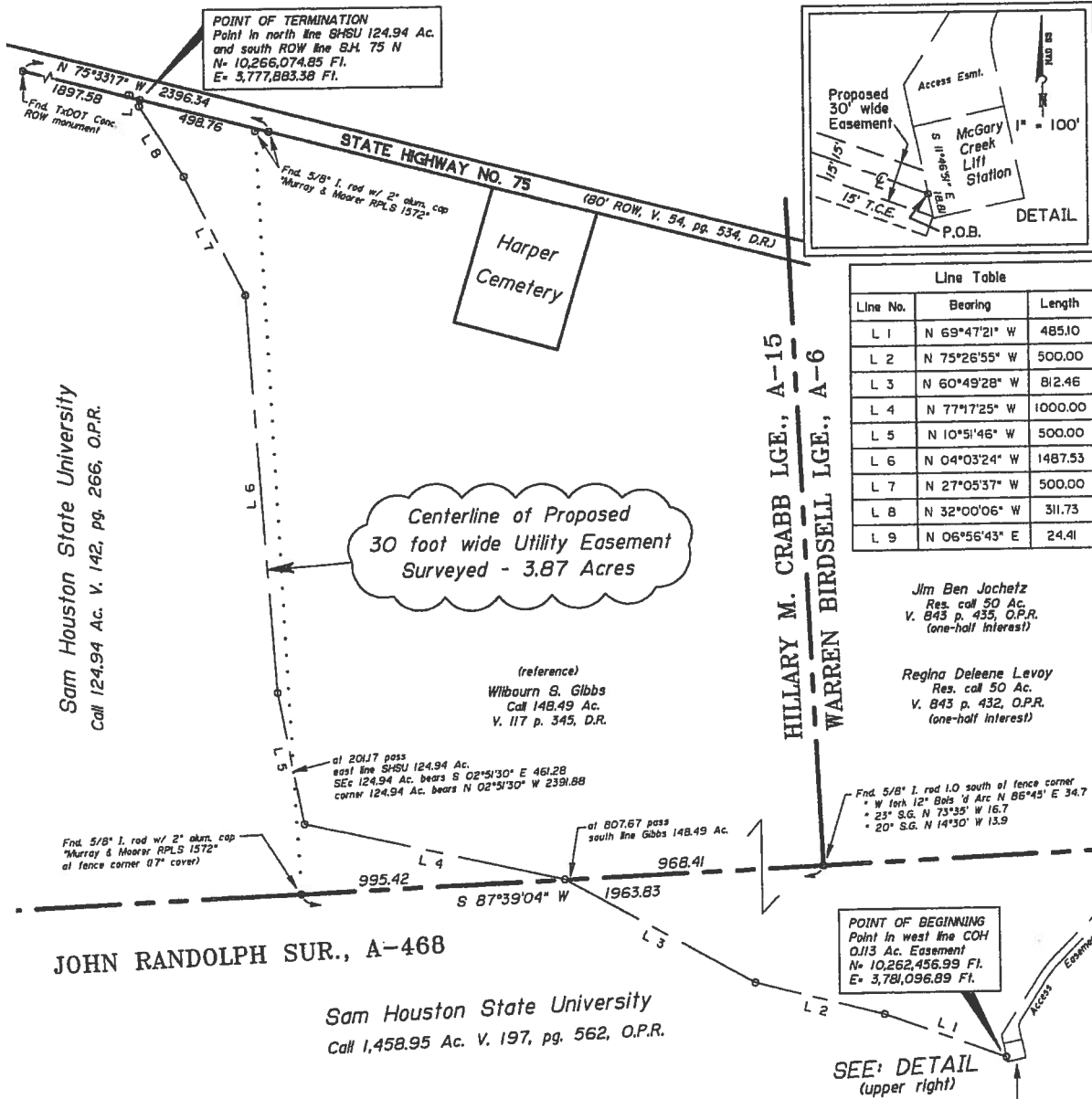
Signed 

Leonard E. Woods

Reg. Prof. Land Surveyor No.2524

Y:\SURVEYORS\PROJECTS\COH12\UE111027\111027_SHSU.fns.doc





Sam Houston State University
Call 124.94 Ac. V. 142, pg. 266, O.P.R.

Centerline of Proposed
30 foot wide Utility Easement
Surveyed - 3.87 Acres

(reference)
Wilbourn S. Gibbs
Call 148.49 Ac.
V. 117 p. 345, D.R.

HILLARY M. CRABB LGE., A-15
WARREN BIRDSELL LGE., A-6

Jim Ben Jachetz
Res. call 50 Ac.
V. 843 p. 435, O.P.R.
(one-half interest)

Regina Deleena Levoy
Res. call 50 Ac.
V. 843 p. 432, O.P.R.
(one-half interest)

JOHN RANDOLPH SUR., A-468
Sam Houston State University
Call 1,458.95 Ac. V. 197, pg. 562, O.P.R.

POINT OF BEGINNING
Point in west line COH
0.113 Ac. Easement
N= 10,262,456.89 Ft.
E= 3,781,056.89 Ft.

SEE: DETAIL
(upper right)

City of Huntsville, Texas
0.113 Ac. Lift Station Esmt.
V. 193, pg. 700, O.P.R.

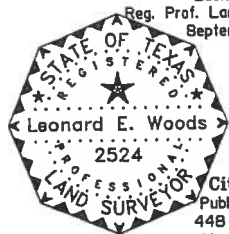
Coordinate System, Basis of Bearings and Linear Units

Coordinates and bearings herein are referred to the Texas Coordinate System of 1983, Central Zone, and based on the position of National Geodetic Survey (formerly known as the U. S. Coast & Geodetic Survey) Primary Airport Control Station (PACS) monument designated "T39 A" having published NAD 83 (1993) coordinates of N= 3,126,437.762 Meters and E= 1,154,289.433 Meters. Distances shown herein are U. S. Survey Feet in "GRID" units and may be converted to "Geodetic Horizontal" units by dividing by a combined scale factor of 0.99988.

I, Leonard E. Woods, certify that this plat represents a survey made on the ground under my direct supervision and that all corners, found or set, are monumented as shown hereon.

Signed: *Leonard E. Woods*

Leonard E. Woods
Reg. Prof. Land Surveyor No. 2524
September 10, 2014



City of Huntsville
Public Works - Surveying
448 State Hwy. 75 North
Huntsville, Texas 77320
Project No. 11-10-27
Y:\SURVEYORS\PROJECTS\COH\2\UEV\11027\111027_SH6U.DWG

NOTES:

1. Unless otherwise noted corners of the proposed 30 foot wide utility easement are not monumented.
2. See Metes and Bounds description labeled "EXHIBIT A" prepared concurrently herewith.
3. The 15 foot wide Temporary Construction Easement, shown hereon, lying south and west and adjacent to Permanent Utility Easement, shall be cleared and used (only to the extent necessary and as directed by the City) during the construction, testing and warranty period for a planned waste water main and will expire 12 months after the public utilities are accepted for maintenance by the City of Huntsville, Texas.
4. Unless otherwise noted all distances to witness trees are to the face of tree.
5. Total length of the centerline is 5,621.24 feet or 340.68 rods.



PLAT OF SURVEY OF
PROPOSED 30 FOOT WIDE UTILITY EASEMENT
UPON
SAM HOUSTON STATE UNIVERSITY PROPERTY
CITY OF HUNTSVILLE
HILARY M. CRABB LGE., A-15
JOHN RANDOLPH SUR., A-468
WALKER COUNTY, TEXAS
SEPTEMBER 2014
SCALE 1" = 500 FEET

EXHIBIT "B"

TxSt: Acquisition of 1345 Thorpe Lane

Upon motion of Regent _____, seconded by Regent _____, it was ordered that:

Texas State University be authorized to acquire a tract of developed land at 1345 Thorpe Lane, together with mineral rights thereto, at a price not to exceed the appraised value, and that the University's Vice President for Finance and Support Services be authorized to execute and accept all documents and instruments necessary to carry out the transaction upon the review and recommendation of the Vice Chancellor and General Counsel.

Explanation

Texas State identified the subject property, on Thorpe Lane and adjoining the Texas State Track and Field site, as meeting identified needs to support the Department of Athletics and support services. The acquisition property includes 0.48 acres of land and a facility of approximately 6,000 square feet. The facility has been vacant for over three years and was previously a medical office.

Over the past few years, Texas State has acquired several properties along Thorpe Lane to support continued growth of the University, including an undeveloped lot adjoining the subject property acquired in 2013. The owner has recently reduced the asking price to support liquidation of the property. An appraisal was ordered on September 30, 2014, to gauge the accuracy of the lowered asking price.

The source of funding is Department of Athletics Reserves and Unexpended Plant Funds.

A copy of the real estate purchase contract is attached.

Map





TEXAS ASSOCIATION OF REALTORS®
COMMERCIAL CONTRACT - IMPROVED PROPERTY

USE OF THIS FORM BY PERSONS WHO ARE NOT MEMBERS OF THE TEXAS ASSOCIATION OF REALTORS® IS NOT AUTHORIZED.
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1. PARTIES: Seller agrees to sell and convey to Buyer the Property described in Paragraph 2. Buyer agrees to buy the Property from Seller for the sales price stated in Paragraph 3. The parties to this contract are:

Seller: San Marcos Vista Partners Limited
by Robert W. McDonald III, President

Address: 9811 IH 35 South Building 3, Sulte 100 Austin TX 78744

Phone: 512-280-5353

E-mail: txrwmc3@yahoo.com

Fax: 512-280-3877

Other: rwmc3@swbell.net

Buyer: Texas State University

by William A. Nance, Vice President Finance and Support Services

Address: 601 University Drive JCK 920, San Marcos, TX 78666

Phone: 512-245-2244

E-mail: nance@txstate.edu

Fax: 512-245-2033

Other:

2. PROPERTY:

A. "Property" means that real property situated in Hays County, Texas at 1345 Thorpe Lane San Marcos, TX 78666 (address) and that is legally described on the attached Exhibit or as follows:

Charles Place I, Lot 2, 0.4816 acres, Hays Co., TX

B. Seller will sell and convey the Property together with:

- (1) all buildings, improvements, and fixtures;
(2) all rights, privileges, and appurtenances pertaining to the Property, including Seller's right, title, and interest in any minerals, utilities, adjacent streets, alleys, strips, gores, and rights-of-way;
(3) Seller's interest in all leases, rents, and security deposits for all or part of the Property;
(4) Seller's interest in all licenses and permits related to the Property;
(5) Seller's interest in all third party warranties or guaranties, if transferable, relating to the Property or any fixtures;
(6) Seller's interest in any trade names, if transferable, used in connection with the Property; and
(7) all Seller's tangible personal property located on the Property that is used in connection with the Property's operations except: none

Any personal property not included in the sale must be removed by Seller prior to closing.

(Describe any exceptions, reservations, or restrictions in Paragraph 12 or an addendum.)

(If mineral rights are to be reserved an appropriate addendum should be attached.)

(If the Property is a condominium, attach Commercial Contract Condominium Addendum (TAR-1930).)

3. SALES PRICE: At or before closing, Buyer will pay the following sales price for the Property:

A. Cash portion payable by Buyer at closing. \$ 577,000.00

B. Sum of all financing described in Paragraph 4 \$

C. Sales price (sum of 3A and 3B). \$ 577,000.00

[Handwritten initials]

and Buyer

[Handwritten initials]

4. FINANCING: Buyer will finance the portion of the sales price under Paragraph 3B as follows:

- A. Third Party Financing: One or more third party loans in the total amount of \$_____. This contract:
- (1) is not contingent upon Buyer obtaining third party financing.
 - (2) is contingent upon Buyer obtaining third party financing in accordance with the attached Commercial Contract Financing Addendum (TAR-1931).
- B. Assumption: In accordance with the attached Commercial Contract Financing Addendum (TAR-1931), Buyer will assume the existing promissory note secured by the Property, which balance at closing will be \$_____.
- C. Seller Financing: The delivery of a promissory note and deed of trust from Buyer to Seller under the terms of the attached Commercial Contract Financing Addendum (TAR-1931) in the amount of \$_____.

5. EARNEST MONEY:

- A. Not later than 3 days after the effective date, Buyer must deposit \$10,000.00 as earnest money with Corridor Title San Marcos (title company) at 133 W. San Antonio St., Suite 100 San Marcos, TX 78666 (address) Theresa Ruiz (closer). If Buyer fails to timely deposit the earnest money, Seller may terminate this contract or exercise any of Seller's other remedies under Paragraph 15 by providing written notice to Buyer before Buyer deposits the earnest money.
- B. Buyer will deposit an additional amount of \$n/a with the title company to be made part of the earnest money on or before:
- (i) n/a days after Buyer's right to terminate under Paragraph 7B expires; or
 - (ii) n/a.
- Buyer will be in default if Buyer fails to deposit the additional amount required by this Paragraph 5B within 3 days after Seller notifies Buyer that Buyer has not timely deposited the additional amount.
- C. Buyer may instruct the title company to deposit the earnest money in an interest-bearing account at a federally insured financial institution and to credit any interest to Buyer.

6. TITLE POLICY, SURVEY, AND UCC SEARCH:

- A. Title Policy:
- (1) Seller, at Seller's expense, will furnish Buyer an Owner's Policy of Title Insurance (the title policy) issued by any underwriter of the title company in the amount of the sales price, dated at or after closing, insuring Buyer against loss under the title policy, subject only to:
 - (a) those title exceptions permitted by this contract or as may be approved by Buyer in writing; and
 - (b) the standard printed exceptions contained in the promulgated form of title policy unless this contract provides otherwise.
 - (2) The standard printed exception as to discrepancies, conflicts, or shortages in area and boundary lines, or any encroachments or protrusions, or any overlapping improvements:
 - (a) will not be amended or deleted from the title policy.
 - (b) will be amended to read "shortages in areas" at the expense of Buyer Seller.
 - (3) Within 5 days after the effective date, Seller will furnish Buyer a commitment for title insurance (the commitment) including legible copies of recorded documents evidencing title exceptions. Seller authorizes the title company to deliver the commitment and related documents to Buyer at Buyer's address.

B. Survey: Within 20 days after the effective date:

- (1) Buyer will obtain a survey of the Property at Buyer's expense and deliver a copy of the survey to Seller. The survey must be made in accordance with the: (i) ALTA/ACSM Land Title Survey standards, or (ii) Texas Society of Professional Surveyors' standards for a Category 1A survey under the appropriate condition. Seller will reimburse Buyer zero -0- (insert amount) of the cost of the survey at closing, if closing occurs.
- (2) Seller, at Seller's expense, will furnish Buyer a survey of the Property dated after the effective date. The survey must be made in accordance with the: (i) ALTA/ACSM Land Title Survey standards, or (ii) Texas Society of Professional Surveyors' standards for a Category 1A survey under the appropriate condition.
- (3) Seller will deliver to Buyer and the title company a true and correct copy of Seller's most recent survey of the Property along with an affidavit required by the title company for approval of the existing survey. If the existing survey is not acceptable to the title company, Seller, at Seller's expense, will obtain a new or updated survey acceptable to the title company and deliver the acceptable survey to Buyer and the title company within 20 days after Seller receives notice that the existing survey is not acceptable to the title company. The closing date will be extended daily up to 20 days if necessary for Seller to deliver an acceptable survey within the time required. Buyer will reimburse Seller _____ (insert amount) of the cost of the new or updated survey at closing, if closing occurs.

C. UCC Search:

- (1) Within _____ days after the effective date, Seller, at Seller's expense, will furnish Buyer a Uniform Commercial Code (UCC) search prepared by a reporting service and dated after the effective date. The search must identify documents that are on file with the Texas Secretary of State and the county where the Property is located that relate to all personal property on the Property and show, as debtor, Seller and all other owners of the personal property in the last 5 years.
- (2) Buyer does not require Seller to furnish a UCC search.

D. Buyer's Objections to the Commitment, Survey, and UCC Search:

- (1) Within 10 days after Buyer receives the commitment, copies of the documents evidencing the title exceptions, any required survey, and any required UCC search, Buyer may object to matters disclosed in the items if: (a) the matters disclosed are a restriction upon the Property or constitute a defect or encumbrance to title to the real or personal property described in Paragraph 2 other than those permitted by this contract or liens that Seller will satisfy at closing or Buyer will assume at closing; or (b) the items show that any part of the Property lies in a special flood hazard area (an "A" or "V" zone as defined by FEMA). If Paragraph 6B(1) applies, Buyer is deemed to receive the survey on the earlier of: (i) the date Buyer actually receives the survey; or (ii) the deadline specified in Paragraph 6B.
- (2) Seller may, but is not obligated to, cure Buyer's timely objections within 15 days after Seller receives the objections. The closing date will be extended as necessary to provide such time to cure the objections. If Seller fails to cure the objections by the time required, Buyer may terminate this contract by providing written notice to Seller within 5 days after the time by which Seller must cure the objections. If Buyer terminates, the earnest money, less any independent consideration under Paragraph 7B(1), will be refunded to Buyer.
- (3) Buyer's failure to timely object or terminate under this Paragraph 6D is a waiver of Buyer's right to object except that Buyer will not waive the requirements in Schedule C of the commitment.

7. PROPERTY CONDITION:

A. Present Condition: Buyer accepts the Property in its present condition except that Seller, at Seller's expense, will complete the following before closing:

B. Feasibility Period: Buyer may terminate this contract for any reason within _____ days after the effective date (feasibility period) by providing Seller written notice of termination. (Check only one box.)

(1) If Buyer terminates under this Paragraph 7B, the earnest money will be refunded to Buyer less \$ _____ that Seller will retain as independent consideration for Buyer's unrestricted right to terminate. Buyer has tendered the independent consideration to Seller upon payment of the amount specified in Paragraph 5A to the title company. The independent consideration is to be credited to the sales price only upon closing of the sale. If no dollar amount is stated in this Paragraph 7B(1) or if Buyer fails to deposit the earnest money, Buyer will not have the right to terminate under this Paragraph 7B.

(2) Not later than 3 days after the effective date, Buyer must pay Seller \$ _____ as independent consideration for Buyer's right to terminate by tendering such amount to Seller or Seller's agent. If Buyer terminates under this Paragraph 7B, the earnest money will be refunded to Buyer and Seller will retain the independent consideration. The independent consideration will be credited to the sales price only upon closing of the sale. If no dollar amount is stated in this Paragraph 7B(2) or if Buyer fails to pay the independent consideration, Buyer will not have the right to terminate under this Paragraph 7B.

C. Inspections, Studies, or Assessments:

(1) During the feasibility period, Buyer, at Buyer's expense, may complete or cause to be completed any and all inspections, studies, or assessments of the Property (including all improvements and fixtures) desired by Buyer.

(2) Seller, at Seller's expense, will turn on all utilities necessary for Buyer to make inspections, studies, or assessments.

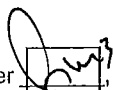
(3) Buyer must:

- (a) employ only trained and qualified inspectors and assessors;
- (b) notify Seller, in advance, of when the inspectors or assessors will be on the Property;
- (c) abide by any reasonable entry rules or requirements of Seller;
- (d) not interfere with existing operations or occupants of the Property; and
- (e) restore the Property to its original condition if altered due to inspections, studies, or assessments that Buyer completes or causes to be completed.

(4) Except for those matters that arise from the negligence of Seller or Seller's agents, Buyer is responsible for any claim, liability, encumbrance, cause of action, and expense resulting from Buyer's inspections, studies, or assessments, including any property damage or personal injury. Buyer will indemnify, hold harmless, and defend Seller and Seller's agents against any claim involving a matter for which Buyer is responsible under this paragraph. This paragraph survives termination of this contract.

D. Property Information:

(1) Delivery of Property Information: Within 3 days after the effective date, Seller will deliver to Buyer: (Check all that apply.)





and Buyer





- (a) a current rent roll of all leases affecting the Property certified by Seller as true and correct;
- (b) copies of all current leases pertaining to the Property, including any modifications, supplements, or amendments to the leases;
- (c) a current inventory of all personal property to be conveyed under this contract and copies of any leases for such personal property;
- (d) copies of all notes and deeds of trust against the Property that Buyer will assume or that Seller will not pay in full on or before closing;
- (e) copies of all current service, maintenance, and management agreements relating to the ownership and operation of the Property;
- (f) copies of current utility capacity letters from the Property's water and sewer service provider;
- (g) copies of all current warranties and guaranties relating to all or part of the Property;
- (h) copies of fire, hazard, liability, and other insurance policies that currently relate to the Property;
- (i) copies of all leasing or commission agreements that currently relate to the tenants of all or part of the Property;
- (j) a copy of the "as-built" plans and specifications and plat of the Property;
- (k) copies of all invoices for utilities and repairs incurred by Seller for the Property in the 24 months immediately preceding the effective date;
- (l) a copy of Seller's income and expense statement for the Property from _____ to _____;
- (m) copies of all previous environmental assessments, geotechnical reports, studies, or analyses made on or relating to the Property;
- (n) real & personal property tax statements for the Property for the previous 2 calendar years; and
- (o) Tenant reconciliation statements including, operating expenses, insurance and taxes for the Property from _____ to _____; and
- (p) _____

(2) Return of Property Information: If this contract terminates for any reason, Buyer will, not later than 10 days after the termination date: *(Check all that apply.)*

- (a) return to Seller all those items described in Paragraph 7D(1) that Seller delivered to Buyer in other than an electronic format and all copies that Buyer made of those items;
- (b) delete or destroy all electronic versions of those items described in Paragraph 7D(1) that Seller delivered to Buyer or Buyer copied; and
- (c) deliver copies of all inspection and assessment reports related to the Property that Buyer completed or caused to be completed.

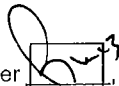
This Paragraph 7D(2) survives termination of this contract.

E. Contracts Affecting Operations: Until closing, Seller: (1) will operate the Property in the same manner as on the effective date under reasonably prudent business standards; and (2) will not transfer or dispose of any part of the Property, any interest or right in the Property, or any of the personal property or other items described in Paragraph 2B or sold under this contract. After the feasibility period ends, Seller may not enter into, amend, or terminate any other contract that affects the operations of the Property without Buyer's written approval.

8. LEASES:

A. Each written lease Seller is to assign to Buyer under this contract must be in full force and effect according to its terms. Seller may not enter into any new lease, fail to comply with any existing lease, or make any amendment or modification to any existing lease without Buyer's written consent. Seller must disclose, in writing, if any of the following exist at the time Seller provides the leases to the Buyer or subsequently occur before closing:

- (1) any failure by Seller to comply with Seller's obligations under the leases;





- (2) any circumstances under any lease that entitle the tenant to terminate the lease or seek any offsets or damages;
- (3) any non-occupancy of the leased premises by a tenant;
- (4) any advance sums paid by a tenant under any lease;
- (5) any concessions, bonuses, free rents, rebates, brokerage commissions, or other matters that affect any lease; and
- (6) any amounts payable under the leases that have been assigned or encumbered, except as security for loan(s) assumed or taken subject to under this contract.

B. Estoppel Certificates: Within _____ days after the effective date, Seller will deliver to Buyer estoppel certificates signed not earlier than _____ by each tenant that leases space in the Property. The estoppel certificates must include the certifications contained in the current version of TAR Form 1938 – Commercial Tenant Estoppel Certificate and any additional information requested by a third party lender providing financing under Paragraph 4 if the third party lender requests such additional information at least 10 days prior to the earliest date that Seller may deliver the signed estoppel certificates.

9. BROKERS:

A. The brokers to this sale are:

Principal Broker: <u>Century 21 Randall Morris & Asso</u>	Cooperating Broker: _____
Agent: <u>Debbie Austin McDonald</u>	Agent: _____
Address: <u>333 Cheatham Street</u> <u>San Marcos, TX 78666</u>	Address: _____
Phone & Fax: <u>512-787-5094; 512-494-5910</u>	Phone & Fax: _____
E-mail: <u>debbie@debbieaustin.com</u>	E-mail: _____
License No.: <u>0523189</u>	License No.: _____

Principal Broker: (Check only one box)
 represents Seller only.
 represents Buyer only.
 is an intermediary between Seller and Buyer.

Cooperating Broker represents Buyer.

B. Fees: (Check only (1) or (2) below.)
 (Complete the Agreement Between Brokers on page 14 only if (1) is selected.)

(1) Seller will pay Principal Broker the fee specified by separate written commission agreement between Principal Broker and Seller. Principal Broker will pay Cooperating Broker the fee specified in the Agreement Between Brokers found below the parties' signatures to this contract.

(2) At the closing of this sale, Seller will pay:

Principal Broker a total cash fee of:	Cooperating Broker a total cash fee of:
<input checked="" type="checkbox"/> 5 _____ % of the sales price.	<input type="checkbox"/> _____ % of the sales price.
<input type="checkbox"/> _____	<input type="checkbox"/> _____

The cash fees will be paid in Hays County, Texas. Seller authorizes the title company to pay the brokers from the Seller's proceeds at closing.


(TAR-1801) 4-1-14 Initialed for Identification by Seller [Signature] and Buyer [Signature]

NOTICE: Chapter 62, Texas Property Code, authorizes a broker to secure an earned commission with a lien against the Property.

- C. The parties may not amend this Paragraph 9 without the written consent of the brokers affected by the amendment.

10. CLOSING:

- A. The date of the closing of the sale (closing date) will be on or before the later of:
- (1) _____ days after the expiration of the feasibility period.
 12/03/2014 (specific date).
 _____.
 - (2) 7 days after objections made under Paragraph 6D have been cured or waived.
- B. If either party fails to close by the closing date, the non-defaulting party may exercise the remedies in Paragraph 15.
- C. At closing, Seller will execute and deliver to Buyer, at Seller's expense, a general special warranty deed. The deed must include a vendor's lien if any part of the sales price is financed. The deed must convey good and indefeasible title to the Property and show no exceptions other than those permitted under Paragraph 6 or other provisions of this contract. Seller must convey the Property:
- (1) with no liens, assessments, or Uniform Commercial Code or other security interests against the Property which will not be satisfied out of the sales price, unless securing loans Buyer assumes;
 - (2) without any assumed loans in default; and
 - (3) with no persons in possession of any part of the Property as lessees, tenants at sufferance, or trespassers except tenants under the written leases assigned to Buyer under this contract.
- D. At closing, Seller, at Seller's expense, will also deliver to Buyer:
- (1) tax statements showing no delinquent taxes on the Property;
 - (2) a bill of sale with warranties to title conveying title, free and clear of all liens, to any personal property defined as part of the Property in Paragraph 2 or sold under this contract;
 - (3) an assignment of all leases to or on the Property;
 - (4) to the extent that the following items are assignable, an assignment to Buyer of the following items as they relate to the Property or its operations:
 - (a) licenses and permits;
 - (b) maintenance, management, and other contracts; and
 - (c) warranties and guaranties;
 - (5) a rent roll current on the day of the closing certified by Seller as true and correct;
 - (6) evidence that the person executing this contract is legally capable and authorized to bind Seller;
 - (7) an affidavit acceptable to the title company stating that Seller is not a foreign person or, if Seller is a foreign person, a written authorization for the title company to: (i) withhold from Seller's proceeds an amount sufficient to comply applicable tax law; and (ii) deliver the amount to the Internal Revenue Service together with appropriate tax forms; and
 - (8) any notices, statements, certificates, affidavits, releases, and other documents required by this contract, the commitment, or law necessary for the closing of the sale and the issuance of the title policy, all of which must be completed and executed by Seller as necessary.
- E. At closing, Buyer will:
- (1) pay the sales price in good funds acceptable to the title company;
 - (2) deliver evidence that the person executing this contract is legally capable and authorized to bind Buyer;
 - (3) sign and send to each tenant in the Property a written statement that:
 - (a) acknowledges Buyer has received and is responsible for the tenant's security deposit; and
 - (b) specifies the exact dollar amount of the security deposit;





- (4) sign an assumption of all leases then in effect; and
- (5) execute and deliver any notices, statements, certificates, or other documents required by this contract or law necessary to close the sale.

F. Unless the parties agree otherwise, the closing documents will be as found in the basic forms in the current edition of the State Bar of Texas Real Estate Forms Manual without any additional clauses.

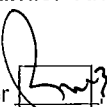

11. POSSESSION: Seller will deliver possession of the Property to Buyer upon closing and funding of this sale in its present condition with any repairs Seller is obligated to complete under this contract, ordinary wear and tear excepted. Any possession by Buyer before closing or by Seller after closing that is not authorized by a separate written lease agreement is a landlord-tenant at sufferance relationship between the parties.

12. SPECIAL PROVISIONS: The following special provisions apply and will control in the event of a conflict with other provisions of this contract. *(If special provisions are contained in an Addendum, identify the Addendum here and reference the Addendum in Paragraph 22D.)*

- 1. Contingent upon Buyer receiving approvals from governing authorities, included the Board of Regents for The Texas State University System.
- 2. The parties agree to negotiate in good faith in an effort to resolve any dispute related to this contract that may arise as provided in the Texas Government Code Chapter 2260.

13. SALES EXPENSES:

- A. Seller's Expenses: Seller will pay for the following at or before closing:
 - (1) releases of existing liens, other than those liens assumed by Buyer, including prepayment penalties and recording fees;
 - (2) release of Seller's loan liability, if applicable;
 - (3) tax statements or certificates;
 - (4) preparation of the deed and any bill of sale;
 - (5) one-half of any escrow fee;
 - (6) costs to record any documents to cure title objections that Seller must cure; and
 - (7) other expenses that Seller will pay under other provisions of this contract.
- B. Buyer's Expenses: Buyer will pay for the following at or before closing:
 - (1) all loan expenses and fees;
 - (2) preparation fees of any deed of trust;
 - (3) recording fees for the deed and any deed of trust;
 - (4) premiums for flood and hazard insurance as may be required by Buyer's lender;
 - (5) one-half of any escrow fee; and
 - (6) other expenses that Buyer will pay under other provisions of this contract.

(TAR-1801) 4-1-14 Initialed for Identification by Seller  and Buyer 

14. PRORATIONS:

A. Prorations:

- (1) Interest on any assumed loan, taxes, rents, and any expense reimbursements from tenants will be prorated through the closing date.
- (2) If the amount of ad valorem taxes for the year in which the sale closes is not available on the closing date, taxes will be prorated on the basis of taxes assessed in the previous year. If the taxes for the year in which the sale closes vary from the amount prorated at closing, the parties will adjust the prorations when the tax statements for the year in which the sale closes become available. This Paragraph 14A(2) survives closing.
- (3) If Buyer assumes a loan or is taking the Property subject to an existing lien, Seller will transfer all reserve deposits held by the lender for the payment of taxes, insurance premiums, and other charges to Buyer at closing and Buyer will reimburse such amounts to Seller by an appropriate adjustment at closing.

B. Rollback Taxes: If Seller changes the use of the Property before closing or if a denial of a special valuation on the Property claimed by Seller results in the assessment of additional taxes, penalties, or interest (assessments) for periods before closing, the assessments will be the obligation of Seller. If this sale or Buyer's use of the Property after closing results in additional assessments for periods before closing, the assessments will be the obligation of Buyer. This Paragraph 14B survives closing.

C. Rent and Security Deposits: At closing, Seller will tender to Buyer all security deposits and the following advance payments received by Seller for periods after closing: prepaid expenses, advance rental payments, and other advance payments paid by tenants. Rents prorated to one party but received by the other party will be remitted by the recipient to the party to whom it was prorated within 5 days after the rent is received. This Paragraph 14C survives closing.

15. DEFAULT:

A. If Buyer fails to comply with this contract, Buyer is in default and Seller, as Seller's sole remedy(ies), may terminate this contract and receive the earnest money, as liquidated damages for Buyer's failure except for any damages resulting from Buyer's inspections, studies or assessments in accordance with Paragraph 7C(4) which Seller may pursue, or
(Check if applicable)

enforce specific performance, or seek such other relief as may be provided by law.

B. If, without fault, Seller is unable within the time allowed to deliver the estoppel certificates, survey or the commitment, Buyer may:

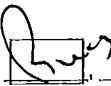
- (1) terminate this contract and receive the earnest money, less any independent consideration under Paragraph 7B(1), as liquidated damages and as Buyer's sole remedy; or
- (2) extend the time for performance up to 15 days and the closing will be extended as necessary.

C. Except as provided in Paragraph 15B, if Seller fails to comply with this contract, Seller is in default and Buyer may:

- (1) terminate this contract and receive the earnest money, less any independent consideration under Paragraph 7B(1), as liquidated damages and as Buyer's sole remedy; or
- (2) enforce specific performance, or seek such other relief as may be provided by law, or both.

16. CASUALTY LOSS AND CONDEMNATION:

A. If any part of the Property is damaged or destroyed by fire or other casualty after the effective date, Seller must restore the Property to its previous condition as soon as reasonably possible and not later than the closing date. If, without fault, Seller is unable to do so, Buyer may:

(TAR-1801) 4-1-14 Initialed for Identification by Seller  and Buyer 

- (1) terminate this contract and the earnest money, less any independent consideration under Paragraph 7B(1), will be refunded to Buyer;
- (2) extend the time for performance up to 15 days and closing will be extended as necessary; or
- (3) accept at closing: (i) the Property in its damaged condition; (ii) an assignment of any insurance proceeds Seller is entitled to receive along with the insurer's consent to the assignment; and (iii) a credit to the sales price in the amount of any unpaid deductible under the policy for the loss.

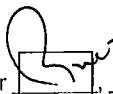
B. If before closing, condemnation proceedings are commenced against any part of the Property, Buyer may:


- (1) terminate this contract by providing written notice to Seller within 15 days after Buyer is advised of the condemnation proceedings and the earnest money, less any independent consideration under Paragraph 7B(1), will be refunded to Buyer; or
- (2) appear and defend the condemnation proceedings and any award will, at Buyer's election, belong to: (a) Seller and the sales price will be reduced by the same amount; or (b) Buyer and the sales price will not be reduced.

17. ATTORNEY'S FEES: If Buyer, Seller, any broker, or the title company is a prevailing party in any legal proceeding brought under or with relation to this contract or this transaction, such party is entitled to recover from the non-prevailing parties all costs of such proceeding and reasonable attorney's fees. This Paragraph 17 survives termination of this contract.

18. ESCROW:

- A. At closing, the earnest money will be applied first to any cash down payment, then to Buyer's closing costs, and any excess will be refunded to Buyer. If no closing occurs, the title company may require payment of unpaid expenses incurred on behalf of the parties and a written release of liability of the title company from all parties.
- B. If one party makes written demand for the earnest money, the title company will give notice of the demand by providing to the other party a copy of the demand. If the title company does not receive written objection to the demand from the other party within 15 days after the date the title company sent the demand to the other party, the title company may disburse the earnest money to the party making demand, reduced by the amount of unpaid expenses incurred on behalf of the party receiving the earnest money and the title company may pay the same to the creditors.
- C. The title company will deduct any independent consideration under Paragraph 7B(1) before disbursing any earnest money to Buyer and will pay the independent consideration to Seller.
- D. If the title company complies with this Paragraph 18, each party hereby releases the title company from all claims related to the disbursement of the earnest money.
- E. Notices under this Paragraph 18 must be sent by certified mail, return receipt requested. Notices to the title company are effective upon receipt by the title company.
- F. Any party who wrongfully fails or refuses to sign a release acceptable to the title company within 7 days after receipt of the request will be liable to the other party for liquidated damages in an amount equal to the sum of: (i) three times the amount of the earnest money; (ii) the earnest money; (iii) reasonable attorney's fees; and (iv) all costs of suit.
- G. Seller Buyer intend(s) to complete this transaction as a part of an exchange of like-kind properties in accordance with Section 1031 of the Internal Revenue Code, as amended. All expenses in connection with the contemplated exchange will be paid by the exchanging party. The other party will not incur any expense or liability with respect to the exchange. The parties agree to cooperate fully and in good faith to arrange and consummate the exchange so as to comply to the maximum extent





feasible with the provisions of Section 1031 of the Internal Revenue Code. The other provisions of this contract will not be affected in the event the contemplated exchange fails to occur.

19. MATERIAL FACTS: To the best of Seller's knowledge and belief: *(Check only one box.)*

- A. Seller is not aware of any material defects to the Property except as stated in the attached Commercial Property Condition Statement (TAR-1408).
- B. Except as otherwise provided in this contract, Seller is not aware of:
 - (1) any subsurface: structures, pits, waste, springs, or improvements;
 - (2) any pending or threatened litigation, condemnation, or assessment affecting the Property;
 - (3) any environmental hazards or conditions that materially affect the Property;
 - (4) whether the Property is or has been used for the storage or disposal of hazardous materials or toxic waste, a dump site or landfill, or any underground tanks or containers;
 - (5) whether radon, asbestos containing materials, urea-formaldehyde foam insulation, lead-based paint, toxic mold (to the extent that it adversely affects the health of ordinary occupants), or other pollutants or contaminants of any nature now exist or ever existed on the Property;
 - (6) any wetlands, as defined by federal or state law or regulation, on the Property;
 - (7) any threatened or endangered species or their habitat on the Property;
 - (8) any present or past infestation of wood-destroying insects in the Property's improvements;
 - (9) any contemplated material changes to the Property or surrounding area that would materially and detrimentally affect the ordinary use of the Property;
 - (10) any material physical defects in the improvements on the Property; or
 - (11) any condition on the Property that violates any law or ordinance.

(Describe any exceptions to (1)-(11) in Paragraph 12 or an addendum.)

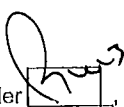

20. NOTICES: All notices between the parties under this contract must be in writing and are effective when hand-delivered, mailed by certified mail return receipt requested, or sent by facsimile transmission to the parties addresses or facsimile numbers stated in Paragraph 1. The parties will send copies of any notices to the broker representing the party to whom the notices are sent.

- A. Seller also consents to receive any notices by e-mail at Seller's e-mail address stated in Paragraph 1.
- B. Buyer also consents to receive any notices by e-mail at Buyer's e-mail address stated in Paragraph 1.

~~**21. DISPUTE RESOLUTION.** The parties agree to negotiate in good faith in an effort to resolve any dispute related to this contract that may arise. If the dispute cannot be resolved by negotiation, the parties will submit the dispute to mediation before resorting to arbitration or litigation and will equally share the costs of a mutually acceptable mediator. This paragraph survives termination of this contract. This paragraph does not preclude a party from seeking equitable relief from a court of competent jurisdiction.~~

22. AGREEMENT OF THE PARTIES:

- A. This contract is binding on the parties, their heirs, executors, representatives, successors, and permitted assigns. This contract is to be construed in accordance with the laws of the State of Texas. If any term or condition of this contract shall be held to be invalid or unenforceable, the remainder of this contract shall not be affected thereby.
- B. This contract contains the entire agreement of the parties and may not be changed except in writing.
- C. If this contract is executed in a number of identical counterparts, each counterpart is an original and all counterparts, collectively, constitute one agreement.

(TAR-1801) 4-1-14 Initialed for Identification by Seller  and Buyer 

D. Addenda which are part of this contract are: (Check all that apply.)

- (1) Property Description Exhibit identified in Paragraph 2;
- (2) Commercial Contract Condominium Addendum (TAR-1930);
- (3) Commercial Contract Financing Addendum (TAR-1931);
- (4) Commercial Property Condition Statement (TAR-1408);
- (5) Commercial Contract Addendum for Special Provisions (TAR-1940);
- (6) Addendum for Seller's Disclosure of Information on Lead-Based Paint and Lead-Based Paint Hazards (TAR-1906);
- (7) Notice to Purchaser of Real Property in a Water District (MUD);
- (8) Addendum for Coastal Area Property (TAR-1915);
- (9) Addendum for Property Located Seaward of the Gulf Intracoastal Waterway (TAR-1916);
- (10) Information About Brokerage Services (TAR-2501); and
- (11)

(Note: Counsel for the Texas Association of REALTORS® (TAR) has determined that any of the foregoing addenda which are promulgated by the Texas Real Estate Commission (TREC) or published by TAR are appropriate for use with this form.)

E. Buyer may may not assign this contract. If Buyer assigns this contract, Buyer will be relieved of any future liability under this contract only if the assignee assumes, in writing, all of Buyer's obligations under this contract.

23. **TIME:** Time is of the essence in this contract. The parties require strict compliance with the times for performance. If the last day to perform under a provision of this contract falls on a Saturday, Sunday, or legal holiday, the time for performance is extended until the end of the next day which is not a Saturday, Sunday, or legal holiday.

24. **EFFECTIVE DATE:** The effective date of this contract for the purpose of performance of all obligations is the date the title company receipts this contract after all parties execute this contract.

25. **ADDITIONAL NOTICES:**

- A. Buyer should have an abstract covering the Property examined by an attorney of Buyer's selection, or Buyer should be furnished with or obtain a title policy.
- B. If the Property is situated in a utility or other statutorily created district providing water, sewer, drainage, or flood control facilities and services, Chapter 49, Texas Water Code, requires Seller to deliver and Buyer to sign the statutory notice relating to the tax rate, bonded indebtedness, or standby fees of the district before final execution of this contract.
- C. Notice Required by §13.257, Water Code: "The real property, described below, that you are about to purchase may be located in a certificated water or sewer service area, which is authorized by law to provide water or sewer service to the properties in the certificated area. If your property is located in a certificated area there may be special costs or charges that you will be required to pay before you can receive water or sewer service. There may be a period required to construct lines or other facilities necessary to provide water or sewer service to your property. You are advised to determine if the property is in a certificated area and contact the utility service provider to determine the cost that you will be required to pay and the period, if any, that is required to provide water or sewer service to your property. The undersigned purchaser hereby acknowledges receipt of the foregoing notice at or before the execution of a binding contract for the purchase of the real property described in the notice or at closing of purchase of the real property." The real property is described in Paragraph 2 of this contract.
- D. If the Property adjoins or shares a common boundary with the tidally influenced submerged lands of the state, §33.135, Texas Natural Resources Code, requires a notice regarding coastal area property to be included as part of this contract.

(TAR-1801) 4-1-14 Initialed for Identification by Seller  and Buyer 

- E. If the Property is located seaward of the Gulf Intracoastal Waterway, §61.025, Texas Natural Resources Code, requires a notice regarding the seaward location of the Property to be included as part of this contract.
- F. If the Property is located outside the limits of a municipality, the Property may now or later be included in the extra-territorial jurisdiction (ETJ) of a municipality and may now or later be subject to annexation by the municipality. Each municipality maintains a map that depicts its boundaries and ETJ. To determine if the Property is located within a municipality's ETJ, Buyer should contact all municipalities located in the general proximity of the Property for further information.
- G. If apartments or other residential units are on the Property and the units were built before 1978, federal law requires a lead-based paint and hazard disclosure statement to be made part of this contract.
- H. Section 1958.154, Occupations Code requires Seller to provide Buyer a copy of any mold remediation certificate issued for the Property during the 5 years preceding the date the Seller sells the Property.
- I. Brokers are not qualified to perform property inspections, surveys, engineering studies, environmental assessments, or inspections to determine compliance with zoning, governmental regulations, or laws. Buyer should seek experts to perform such services. Buyer should review local building codes, ordinances and other applicable laws to determine their effect on the Property. Selection of experts, inspectors, and repairmen is the responsibility of Buyer and not the brokers. Brokers are not qualified to determine the credit worthiness of the parties.

26. CONTRACT AS OFFER: The execution of this contract by the first party constitutes an offer to buy or sell the Property. Unless the other party accepts the offer by 5:00 p.m., in the time zone in which the Property is located, on n/a, the offer will lapse and become null and void.

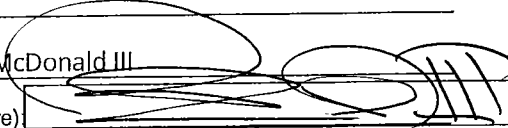
READ THIS CONTRACT CAREFULLY. The brokers and agents make no representation or recommendation as to the legal sufficiency, legal effect, or tax consequences of this document or transaction. **CONSULT your attorney BEFORE signing.**

Seller: San Marcos Vista Partners Limited

Buyer: Texas State University

By: Robert W. McDonald III

By: William A. Nance

By (signature): 

By (signature): 

Printed Name: Robert W. McDonald III

Printed Name: William A. Nance

Title: President

Title: Vice President Finance and Support Services

By: _____

By: _____

By (signature): _____

By (signature): _____

Printed Name: _____

Printed Name: _____

Title: _____

Title: _____

AGREEMENT BETWEEN BROKERS

(use only if Paragraph 9B(1) is effective)

Principal Broker agrees to pay n/a _____ (Cooperating Broker) a fee when the Principal Broker's fee is received. The fee to be paid to Cooperating Broker will be:

- \$ _____, or
- _____% of the sales price, or
- _____% of the Principal Broker's fee.

The title company is authorized and directed to pay Cooperating Broker from Principal Broker's fee at closing. This Agreement Between Brokers supersedes any prior offers and agreements for compensation between brokers.

Principal Broker: Century 21 Randall Morris & Assoc
Debbie Austin McDonald

Cooperating Broker: none

By: _____

By: _____

ATTORNEYS

Seller's attorney: _____

Buyer's attorney: Diane Corley

Texas State University System

Address: _____

Address: 208 E. 10th Street, Suite 600

Austin, TX 78701-2407

Phone & Fax: _____

Phone & Fax: 512-463-1808 office; 512-363-1816 other

E-mail: _____

E-mail: diane@tsus.edu

Seller's attorney requests copies of documents, notices, and other information:

- the title company sends to Seller.
- Buyer sends to Seller.

Buyer's attorney requests copies of documents, notices, and other information:

- the title company sends to Buyer.
- Seller sends to Buyer.

ESCROW RECEIPT

The title company acknowledges receipt of:

- A. the contract on this day 10/02/2014 (effective date);
- B. earnest money in the amount of \$ 10,000.00 in the form of _____, on _____.

Title company: Corridor Title San Marcos

Address: 133 W. San Antonio St., Suite 100

San Marcos, TX 78666

By: Courtney for Renee Johnson

Phone & Fax: 512-392-8910 office; 512-392-8913 fax

Assigned file number (GF#): 14-00952-sm

E-mail: theresa@corridortitleco.com

**Texas State University System
Miscellaneous**

Action Items

1. LU: Renaming of the Forthcoming Administration Building
2. LU: Award the Doctor of Humane Letters (L.H.D.) Degree – Herman Ortega
3. LU: Award the Doctor of Humane Letters (L.H.D.) Degree – Regina Rogers
4. LU: Award the Doctor of Humane Letters (L.H.D.) Degree – Will Crenshaw
5. SHSU: Motion to Extend Investment Advisor Contract

Consent Items

6. TSUS: CONSENT: Gifts

LU: Renaming of the Forthcoming Administration Building

Upon motion of Regent _____, seconded by Regent _____, it was ordered that:

Upon completion, the Lamar University administration building be named the *Wayne A. Reaud Administration Building*.

Explanation

LU respectfully requests the Board of Regents to approve the renaming of the forthcoming administration building. In a specially called Board Meeting in April 2012, the Board of Regents approved the naming of the building in appreciation of and consideration for the donor's generous gift to Lamar University, its students, and its faculty. After further consideration, the donor requests that the building be forever named the *Wayne A. Reaud Administration Building*.

Wayne Reaud is a trial lawyer and the founder of the law firm of Reaud, Morgan & Quinn. For over 30 years, he has represented clients in significant cases involving personal injury, product and premises liability, toxic torts, and business litigation. Mr. Reaud has handled first impression mass tort litigation involving asbestos premises liability claims, including the largest asbestos product liability class action lawsuit in the history of Texas courts. He also represented the State of Texas in its landmark litigation against the tobacco industry. Mr. Reaud currently serves as Chairman of the Board of the Beaumont Foundation of America and is a Director of the Reaud Charitable Foundation. He is a Life Fellow of the Texas Bar Foundation and a Fellow of the International Society of Barristers, a member of the Philosophical Society, and a member of the State Bar of Texas Grievance Committee. He was chosen as the Most Distinguished Alumnus of Texas Tech University Law School in 1998 and was also selected as a Distinguished Alumnus of Lamar University in 2006. Mr. Reaud was awarded the Honorary Order of the Coif by the University of Texas in 2011 and is listed in Best Lawyers in America.

LU: Award the Doctor of Humane Letters (L.H.D) Degree – Herman Ortega

Upon motion of Regent _____, seconded by Regent _____, it was ordered that:

Lamar University be authorized to award the honorary Doctor of Humane Letters (L.H.D.) degree to Mr. Herman Ortega at Fall Commencement ceremonies on December 13, 2014.

Explanation

According to Texas State University System *Rules and Regulations*, Chapter 1, Section 7, Page 8, the granting of honorary doctoral degrees shall be by unanimous consent of the Board members attending a regular or special meeting. Such honorees shall have attained national or statewide prominence or stature in scholarly achievement, attainments that demonstrate unusual creativity or distinguished service. Indeed, nationwide the criteria used for such selection generally includes the achievement of great eminence in a field of endeavor or having made significant contributions to cultural, educational, scientific, economic, social or humanitarian fields. In keeping with Lamar University's tradition of awarding honorary doctoral degrees to individuals of exemplary service and distinction, we nominate Mr. Hermann Ortega for the honorary Doctor of Humane Letters (L.H.D.) degree.

Mr. Ortega is currently an independent consultant in energy management with two Lamar University degrees, a BS in Chemical Engineering from 1967 and an MBA in 1972. He retired from three organizations: Senior Vice President, Celerant Consulting; Vice President, Honeywell; Vice President for Global Operations, Air Products and Chemicals, Inc. Mr. Ortega is known for his abilities to build new businesses and turn around businesses in the chemical engineering industry. He and his wife Bea established an endowed scholarship in Chemical Engineering and are consistent donors. Professionally, Mr. Ortega is on the boards of the American Institute of Chemical Engineers and of the American Management Association. He serves Lamar University on the College of Engineering Advisory Council and has been a College of Engineering Seminar Speaker as well as a host for the Dinner and Conversation. He and Bea are members of the Friends of Gladys City and they participate in the alumni travel program.

Mr. Ortega is internationally prominent in the field of operations management in the chemical industry. His work in the areas of Health Safety and Environment, Engineering, global operations and rapid integration of acquisitions, has generated industry standards for increased production and safety which continue to be used in the industry. Before his retirement from Honeywell, he oversaw the management of 42 chemical plants globally and has continued to be regarded as the leading expert in chemical management across Europe and China. He used his BS in Chemical Engineering and his MBA from Lamar University to transform the industry, to improve the lives of millions, and to promote worker safety and environmental protection. Mr. Ortega is a distinctive business leader in chemical engineering who has been an active promoter and supporter of Lamar University for almost four decades and certainly should be considered strongly for this singular honor.

Dr. Thomas Ho, Professor and Chair of the Dan F. Smith Department of Chemical Engineering, stated that the chemical engineering faculty unanimously supported the nomination of Mr. Ortega for this award. His nomination was also enthusiastically supported by Dr. Victor Zaloom, Interim Dean of the College of Engineering, and Dr. Henry Venta, Dean of the College of Business. Dr. Zaloom stated: "Mr. Ortega is a giant in the chemical manufacturing industry. He has had a positive effect in improving productivity, safety and environmental sustainability."

Consonant with Lamar University policy, Mr. Ortega's nomination was unanimously endorsed by the Academic Council of Deans including the Deans of the Colleges of Arts & Sciences, Business, Education & Human Development, Engineering, Fine Arts & Communication, Graduate Studies, and the Wayne A. Reaud Honors College; the Director of Library Services; the Presidents of Faculty Senate and the Council of Instructional Departments; the Senior Associate Vice President for Academic Affairs; the Provost and Vice President for Academic Affairs, and President Kenneth Evans.

LU: Award the Doctor of Humane Letters (L.H.D) Degree – Regina Rogers

Upon motion of Regent _____, seconded by Regent _____, it was ordered that:

Lamar University be authorized to award the honorary Doctor of Humane Letters (L.H.D.) degree to Ms. Regina Rogers at Fall Commencement ceremonies on December 13, 2014.

Explanation

According to Texas State University System Rules and Regulations, Chapter 1, Section 7, Page 8, the granting of honorary doctoral degrees shall be by unanimous consent of the Board members attending a regular or special meeting. Such honorees shall have attained national or statewide prominence or stature in scholarly achievement, attainments that demonstrate unusual creativity, or distinguished service. Indeed, nationwide the criteria used for such selection generally includes having made significant contributions to cultural, educational, scientific, economic, social or humanitarian fields or the achievement of great eminence in a field of endeavor. In keeping with Lamar University's tradition of awarding honorary doctoral degrees to individuals of exemplary service and distinction, we nominate Ms. Regina Rogers for the Doctor of Humane Letters (L.H.D.) degree.

Regina Rogers continues to make significant contributions to the cultural, educational, economic, and social growth of our region through her generous philanthropy that extends from the local community to Lamar University to her regional support of and work with MD Anderson Cancer Center and the city of Houston. She is an outstanding supporter of our university and the Beaumont community. On campus, her philanthropy stretches from the support of students in Business, Nursing and Social Work, and she leads many significant outreach activities in Houston, Beaumont, and surrounding areas. Ms. Rogers has established and led three organizations to provide services for the economically disadvantaged: the "I Have a Dream" program, which provides mentoring, tutoring, and college scholarships for nearly 400 at-risk students; the Julie Rogers "Gift of Life" cancer-prevention and awareness program, which has helped save hundreds of lives by providing extensive education and free breast and prostate cancer screenings as well as access to treatment for medically underserved individuals in seven Southeast Texas counties; and IEA – Inspire, Encourage, Achieve – which has impacted positively thousands of youth involved in the juvenile justice system.

At Lamar University, her contributions are legendary, to include the Ben J. Rogers Endowed Chair in Entrepreneurship, the Julie and Ben Rogers Community Service Award, the Ben J. Rogers Outstanding Business Student Award, and the Julie and Ben Rogers Women's Athletic Scholarship, established in 1991 by the Babe Zaharias Foundation Inc. In her own right over the years, Regina Rogers has had a profound impact on Lamar. She served as a regent of the former Lamar University System, stepping down from that position to accept an appointment to the Texas Higher Education Coordinating Board, where she served six years. Regina often says, "It's not about putting your names on buildings or putting Dad's name on a chair as much as it is about trying to perpetuate that in which he believed so strongly: the importance of Lamar, of education, and of providing programs to keep outstanding youth in this region so they choose to build their lives in Southeast Texas. That is the way to sustain and grow a community." Why support Lamar University? "Because Lamar is impacting thousands of people and will do so for generations to come."

Regina earned her undergraduate degree from Newcomb College at Tulane University and a law degree from the University of Houston. Her philanthropies, like those of her parents, are a “tale of two cities,” extending from Beaumont to Houston. Arvey Rogers’ cancer diagnosis when he was in his 20s became a catalyst for the family’s long relationship with the MD Anderson Cancer Center, where Regina is a senior member of the board of visitors. Her attendance at charitable events in the Bayou City is as omnipresent as it is across the Golden Triangle. Ms. Rogers’ motto – which she lives every day – is, “helping the least, the last, the lost and the lonely” members of society. Ms. Rogers’ is an outstanding citizen and advocate for Southeast Texas and Lamar University.

The awarding of the Doctor of Humane Letters is an honor that Ms. Regina Rogers highly deserves and which would also bring honor and recognition to Lamar University and the Texas State University System. In conformance with university policy, Ms. Rogers was nominated for this honor by the faculty of Lamar’s Jo Anne Gay Dishman Department of Nursing, and her nomination was endorsed unanimously by the Department Chairs of the College of Arts & Sciences and the Academic Council of Deans, to include the Deans of the Colleges of Arts & Sciences, Business, Education & Human Development, Engineering, and Fine Arts & Communication, Wayne A. Reaud Honors, and Graduate Studies; the Dean of Library Services; the Presidents of Faculty Senate and the Council of Instructional Departments; the Senior Associate Provost; the Provost and Vice President for Academic Affairs, and President Kenneth Evans.

LU: Award the Doctor of Humane Letters (L.H.D.) Degree – Will Crenshaw

Upon motion of Regent _____, seconded by Regent _____, it was ordered that:

Lamar University be authorized to award the honorary Doctor of Humane Letters (L.H.D.) to Mr. Will Crenshaw at Fall Commencement ceremonies on December 13, 2014.

Explanation

According to Texas State University System *Rules and Regulations*, Chapter 1, Section 7, Page 8, the granting of honorary doctoral degrees shall be by unanimous consent of the Board members attending a regular or special meeting. Such honorees shall have attained national or statewide prominence or stature in scholarly achievement, attainments that demonstrate unusual creativity or distinguished service. Indeed, nationwide the criteria used for such selection generally includes the achievement of great eminence in a field of endeavor or having made significant contributions to cultural, educational, scientific, economic, social or humanitarian fields. In keeping with Lamar University's tradition of awarding honorary doctoral degrees to individuals of exemplary service and distinction, we nominate Mr. Will Crenshaw for the honorary Doctor of Humane Letters (L.H.D.) degree.

Will Crenshaw serves as Chairman and CEO of Modern Group, which includes several subsidiaries: Dragon Products, Dragon Rigs Sales and Service, Dragon Centrifugal Pumps Sales and Service, Tiger Offshore Rentals, Tiger Industrial Rentals, Tiger Safety, Modern Ag Products, and MG Finance. They maintain seven separate manufacturing facilities in Beaumont, Silsbee, Liberty, and La Porte, TX, along with Lathrop, CA, and Akron, IN, with over 1900 employees. Modern Group products include equipment for oilfield and offshore use as well as marine industries. They serve the industry from rental locations in Venice, Port Fourchon, Intercoastal City, Cameron, Beaumont, Galveston, and Trinidad-West Indies. Stocking locations include Port O'Connor, TX, Harbor Island, TX, and Theodore, AL. Their latest division, Dragon EcoMats opened its facility in Deer Park, TX, in August, 2014.

Mr. Crenshaw has contributed much to our community as an astute business leader, and has been a generous supporter of Lamar University, giving both his time and financial support to various programs. In 1962, he began his college education at Lamar University in the College of Business and earned 107 hours toward a General Business degree between 1962 and 1967. Although he did not complete his bachelor's degree, his business career is a perfect example of achievement in the area of entrepreneurship, which is a major concentration under the General Business program umbrella. He was a member of ATO social fraternity while at Lamar University, and served on the Investing in the Future Campaign Cabinet. Will and his wife Joy are members of the Friends of the Arts Board, the Friends of the History Department, and underwriters for both the Le Grand Bal and the Dishman Art Museum Pairings event. They are members of the Mirabeau Society, and have contributed to Lamar University for over 20 years. Mr. Crenshaw is clearly worthy of this singular honor.

Consonant with university policy, the faculty of the College of Business nominated Mr. Will Crenshaw for receipt of this honorary doctorate. This nomination has been supported by the Director of the General Business Program, the Advisory Committee for General Business, the Executive Committee of the College of Business, the Dean of the College of Business, the University Academic Council of Deans, the Provost and Vice President for Academic Affairs, and President Kenneth Evans.

SHSU: Motion to Extend Investment Advisor Contract

Upon motion of Regent _____, seconded by Regent _____, it was ordered that:

Sam Houston State University be authorized to exercise Extension 1 of the contract for investment consulting services with Asset Strategy Consultants. As per the terms of the contract, this extension will provide two additional years of investment consulting services.

Explanation

The University's initial contract with Asset Strategy Consultants was signed in 2012. In accordance with Board guidelines, the initial contract period was limited to two years, with two optional extensions available to the University. Price increases of 3% per additional year have already been negotiated and agreed in the original contract. We respectfully request approval to exercise the first extension for two additional years.

TSUS: Acknowledgement of Gifts and Gifts-in-Kind

Recommendation

The Board of Regents acknowledges and approves receipt of the gifts and gifts-in-kind received by the Texas State University System components.

Background

In accordance with the System Rules and Regulations, Chapter III, Section 1.(12) Gift Acceptance, Subsection 1.(12)3 The President of each Component will report all gifts with a value of at least \$5,000 (including cash, personal property, and intellectual property) to the Chancellor for reporting publicly to the Board. Upon written request of the donor, the Board report and minutes shall not state the donor's name and/o.r the gift's value

Lamar University

The following gifts of \$5,000 or more were made payable to Lamar University.

DATE	DONOR	AMOUNT	BENEFICIARY(IES)
06/04/14	Entergy Texas, Inc.	\$5,000.00	College of Arts and Sciences – Teaching Environmental Science
06/12/14	Enterprise Holdings Foundation	\$5,000.00	Career and Testing Center
06/18/14	Donald T. Boumans Foundation	\$10,000.00	Donald T. Boumans Memorial Scholarship
06/18/14	Baptist Hospitals of Southeast Texas	\$7,034.76	College of Arts and Sciences
06/30/14	Mr. and Mrs. Mike Fuljenz	\$15,000.00	Department of Athletics
07/11/14	Market Basket Stores	\$7,600.00	Department of Athletics - Corporate Sponsorship
07/14/14	Leak Sealers, Inc.	\$21,800.00	Department of Athletics
07/17/14	Mr. B.C. Cheshire	\$20,000.00	College of Education and Human Development
07/22/14	Nelda C. and H.J. Lucher Stark Foundation	\$9,000.00	KVLU Public Radio
07/28/14	Curtiss-Wright Corporation	\$20,000.00	Curtiss-Wright Corporation/ William B. Mitchell Scholarship in Engineering
07/31/14	Mrs. Charline R. Dauphin	\$9,500.00	Department of Athletics
08/13/14	Mrs. John Crawford	\$5,455.80	Crawford/Lewis Scholarship in Computer Science
08/18/14	Mr. and Mrs. Phil Drayer	\$12,000.00	Phillip & Karen Drayer Scholarship - Engineering
08/20/14	Estate of Lorenzo McCoy	\$10,000.00	Department of Music
08/22/14	Lubrizol Foundation	\$12,000.00	Department of Chemical Engineering

08/22/14	Christus Health Foundation of Southeast Texas	\$7,641.16	College of Arts & Sciences
08/27/14	Giglio Distributing Company, Inc.	\$22,500.00	Department of Athletics - Corporate Sponsorship
08/27/14	FivePoint Credit Union	\$19,000.00	Department of Athletics - Corporate Sponsorship
08/27/14	MCT Credit Union	\$25,000.00	Department of Athletics - Corporate Sponsorship
08/27/14	APAC – Texas Inc.	\$38,000.00	Department of Athletics - Corporate Sponsorship
08/27/14	Cotton Cargo	\$6,500.00	Department of Athletics - Corporate Sponsorship
		\$288,031.72	

Gifts-in-Kind valued at \$5,000 or more made to Lamar University.

DATE	DONOR	AMOUNT	BENEFICIARY(IES)
06/02/14	Mr. and Mrs. Mark Etheridge	\$14,000.00	College of Business
		\$14,000.00	

Lamar University Foundation

The following gifts of \$5,000 or more were made payable to Lamar University Foundation.

DATE	DONOR	AMOUNT	BENEFICIARY(IES)
06/07/14	Neches River Festival, Inc.	\$7,000.00	Otho Plummer – Neches River Festival Memorial Scholarship
06/09/14	Dr. H. Stephen Grace, Jr.	\$25,000.00	President’s Discretionary Fund
06/30/14	Mr. and Mrs. Grady H. Crawford, Jr.	\$8,500.00	William Clay Crawford Regents Scholarship in Construction Management; College of Business; Lamar University Foundation
06/30/14	Mr. William T. Fitzgerald	\$25,000.00	Meredith Kathy Berryhill Fitzgerald Scholarship in Education

06/30/14	Mr. and Mrs. Dickie Hile	\$25,000.00	Annual Giving Fund
06/30/14	Gill Foundation of Texas	\$500,000.00	Jack Gill Chair in Analytical Chemistry and Chemical Engineering
06/30/14	Gill Foundation of Texas	\$500,000.00	College of Business
07/03/14	Mr. Clayton Lau	\$30,000.00	President's Associates Fund
07/08/14	Market Basket Charitable Foundation	\$10,000.00	Market Basket Charitable Foundation Scholarship
07/14/14	Mr. and Mrs. David J. Beck	\$5,000.00	Texas Governor's Program
07/14/14	Press Club of Southeast Texas	\$12,000.00	Press Club of Southeast Texas Memorial Scholarship
07/23/14	Anonymous	\$1,272,476.55	Moss Family Scholarship
07/23/14	EMHUGH, LTD.	\$50,000.00	Ed Hughes Memorial Regents Scholarship
07/30/14	Sigma Engineers, Inc.	\$5,000.00	Amir & Layla Nejad Scholarship in Civil Engineering
07/30/14	The Gill Foundation of Texas	\$5,000.00	Texas Governor's Program
08/12/14	Mrs. Ynhi D. Nguyen	\$18,288.91	Dr. Hai H. Nguyen Memorial Scholarship in Chemical Engineering
08/13/14	Wilton and Effie Mae Hebert Foundation	\$50,000.00	Ed Hughes Memorial Regents Scholarship
08/13/14	Orange Memorial Hospital Corp.	\$50,000.00	The Orange Memorial Hospital Corporation Scholarship
08/22/14	Mr. and Mrs. Raymon Bean, Jr.	\$15,000.00	Amie Lynn Bean Memorial Scholarship

08/22/14	Helen Caldwell Locke & Curtis Blakey Locke Charitable Trust	\$50,000.00	Myra Keen Caldwell & Herbert Kimbell Caldwell Regents Scholarship
08/29/14	Mr. and Mrs. Bill Mitchell	\$10,000.00	William B. & Mary G. Mitchell Endowed Scholarship in Fine Arts
08/29/14	Mr. and Mrs. Bill Mitchell	\$10,000.00	William B. & Mary G. Mitchell Endowed Scholarship in Engineering

\$2,683,265.46

Explanations

The following gifts of \$5,000 or more were made to Lamar University.

- Entergy Texas, Inc. gave \$5,000.00 to the College of Arts and Sciences for the Teaching Environmental Science Summer Institute.
- Enterprise Holdings Foundation gave \$5,000.00 to the Student Affairs and the Career and Testing Center.
- The Donald T. Boumans Foundation gave \$10,000.00 to the Donald T. Boumans Memorial Scholarship.
- Baptist Hospitals of Southeast Texas gave \$7,034.76 to the College of Arts and Sciences to underwrite the 2nd Annual Nursing Awards Ceremony.
- Mr. and Mrs. Mike Fuljenz gave \$15,000.00 to the Department of Athletics for Men's Basketball Camp.
- Market Basket Stores gave \$7,600.00 to the Department of Athletics for a 2015 Corporate Sponsorship.
- Leaksealers, Inc. gave \$21,800.00 to the Department of Athletics for a 2015 Football Suite.
- Mr. B.C. Cheshire gave \$5,000.00 to the College of Education and Human Development. \$15,000.00 will come from ExxonMobil as a matching gift.
- The Nelda C. and H.J. Lutcher Stark Foundation gave \$9,000.00 to KVLU Public Radio.
- Curtiss-Wright Corporation gave \$22,000.00 to the Curtiss-Wright Corporation/ William B. Mitchell Scholarship in Engineering.
- Mrs. Charline R. Dauphin gave \$9,500.00 to The Department of Athletics for the 2015 Football Banquet.

- Mrs. John Crawford gave \$5,455.80 to the Crawford/Lewis Scholarship in Computer Science.
- Mr. and Mrs. Phil Drayer gave \$12,000.00 to the Phillip and Karen Drayer Scholarship in Engineering.
- The Estate of Lorenzo McCoy bequeathed \$10,000.00 to the College of Fine Arts and the Music Department for Scholarships.
- The Lubrizol Foundation gave \$12,000.00 to the College of Engineering for six \$2,000.00 Chemical Engineering scholarships.
- Christus Health Foundation of Southeast Texas gave \$7,641.16 to the College of Arts and Sciences to underwrite the 2014 Nightingale Experience event.
- Mr. and Mrs. Mark L. Etheridge gave the College of Business furnishings for the Fecel Financial Center valued at \$14,000.00.
- Giglio Distributing Company, Inc. gave \$22,500.00 to the Department of Athletics for a 2015 Corporate Sponsorship.
- Five Point Credit Union gave \$19,600.00 to the Department of Athletics for a 2015 Corporate Sponsorship.
- MCT Credit Union gave \$25,000.00 to the Department of Athletics for a 2015 Corporate Sponsorship.
- APAC – Texas Inc. gave \$38,000.00 to the Department of Athletics for a 2015 Corporate Sponsorship.
- Cotton Cargo gave \$6,500.00 to the Department of Athletics for a 2015 Corporate Sponsorship.

The following gifts of \$5,000 or more were made to the Lamar University Foundation.

- The Neches River Festival, Inc. gave \$7,000.00 to the Otho Plummer – Neches River Festival Memorial Scholarship.
- Dr. H. Stephen Grace, Jr. made a President's Circle pledge of \$25,000.00. Gifts will vary in designation.
- Mr. and Mrs. Grady H. Crawford gave three gifts totaling \$8,500.00: \$5,000.00 to the William Clay Crawford Regents Scholarship in Construction Management, \$2,500.00 to The Dean's Fund for Excellence in Business Education, and \$1,000.00 to the Lamar University Foundation Operating Fund.
- Mr. William T. Fitzgerald gave \$25,000.00 to the Meredith Kathryn Berryhill Fitzgerald Scholarship in Education.
- Mr. and Mrs. Dickie Hile gave \$25,000.00 to the Annual Giving Fund for the President's Circle.
- The Gill Foundation of Texas made three pledges totaling \$1,000,000.00: \$500,000.00 to the

Jack Gill Chair in Analytical Chemistry and Chemical Engineering, \$500,000.00 to the College of Business - \$250,000.00 to the CICE Student Internship Fund and \$250,000.00 to the CICE US Department of Commerce Economic Development Admin Fund.

- Mr. Clayton Lau made a President's Circle pledge of \$30,000.00 to the President's Associates Fund.
- The Market Basket Charitable Foundation gave \$10,000.00 to establish the Market Basket Charitable Foundation Scholarship.
- Mr. and Mrs. David J. Beck gave \$5,000.00 to the College of Education and Texas Governor's Program.
- The Press Club of Southeast Texas gave \$12,000.00 to the Press Club of Southeast Texas Memorial Scholarship.
- An anonymous estate bequeathed \$1,272,476.55 to the Moss Family Scholarship.
- EMHUGH, Ltd. gave \$50,000.00 to the Ed Hughes Memorial Regents Scholarship.
- Sigma Engineers, Inc. gave \$5,000.00 to the Amir & Layla Nejad Scholarship in Civil Engineering.
- Gill Foundation of Texas gave \$5,000.00 to the College of Education and Human Development for the Texas Governor's Program.
- Mrs. Ynhi D. Nguyen gave \$18,288.91 to establish the Dr. Hai H. Nguyen Memorial Scholarship in Engineering.
- The Wilton and Effie Mae Hebert Foundation gave \$50,000.00 to the Ed Hughes Memorial Regents Scholarship.
- Orange Memorial Hospital Corporation gave \$50,000.00 to the Orange Memorial Hospital Corporation Scholarship.
- Mr. and Mrs. F. Raymon Bean, Jr. gave \$15,000.00 to establish the Amie Lynn Bean Memorial Scholarship.
- The Helen Caldwell Locke & Curtis Blakey Locke Charitable Trust gave \$50,000.00 to the Myra Keen Caldwell & Herbert Kimbell Caldwell Regents Scholarship.
- Mr. and Mrs. Bill Mitchell gave two gifts totaling \$20,000.00: \$5,000.00 to the William B. & Mary G. Mitchell Endowed Scholarship in Engineering and \$5,000.00 to the William B. & Mary G. Mitchell Endowed Scholarship in Fine Arts. \$10,000.00 will come in the form of a matching gift from Texas Instruments.

Sam Houston State University

DATE	DONOR	AMOUNT	BENEFICIARY(IES)
6/30/2014	Texas Pioneer Foundation	\$20,000.00	Texas Pioneer Foundation Endowment
7/14/2014	John R. and Judith A. Ragsdale	\$7,500.00	Football Enrichment; Alumni Enrichment
7/14/2014	Walker County	\$5,646.51	SBDC - Program Income
7/16/2014	Carolyn L. Faulk	\$10,000.00	Friends of Advancement
7/17/2014	Carolyn L. Faulk	\$7,500.00	Alumni Enrichment
8/11/2014	Carolyn L. Faulk	\$7,625.00	Football Enrichment
7/16/2014	Michael R. and Margo K. Crook	\$8,500.00	Friends of Music; Margo and Mike Crook Guitar Scholarship
7/17/2014	Houston Livestock Show and Rodeo	\$37,800.00	Department of Agricultural Sciences and Engineering Technology
7/21/2014	William T. and Kerri D. Byler	\$5,000.00	Friends of Advancement
7/25/2014	Karey Patterson Bresenhan	\$5,000.00	Ferol Robinson Endowment for Tomorrow's Journalists
7/25/2014	Therm-Omega-Tech, Inc.	\$1,000,000.00	Fred Pirkle Technology Center Fund
7/28/2014	John M. and Dana G. Hoyt	\$12,200.00	Fred Gibson Football Enrichment Endowment; Training; Football Enrichment; Bearkat Champions General Fund
7/31/2014	Benjamin R. and Susan S. Lenamon	\$8,600.00	Sam Houston-Austin Internship Program (SHAIP); Alumni Enrichment; David L. Smith Criminal Justice Endowed Scholarship
8/5/2014	Brenham National Bank	\$5,200.00	Smith-Hutson Banking
8/5/2014	Insperity	\$10,000.00	Smith-Hutson Banking
8/8/2014	RSUI Indemnity Company	\$775,000.00	Dr. James D. Long Library Endowment
8/11/2014	Champions Pipe & Supply Inc.	\$5,000.00	N. Reed Clark Memorial Scholarship
8/11/2014	Enterprise Holdings Foundation	\$5,000.00	NCAA Academic Advisor Account

8/13/2014	San Antonio Livestock Exposition, Inc.	\$13,750.00	Department of Agricultural Sciences and Engineering Technology
8/13/2014	San Antonio Livestock Exposition, Inc.	\$14,750.00	San Antonio Livestock Expositions, Inc. Scholarship
8/14/2014	Gary L. and Amy R. Krone	\$5,000.00	Training
8/14/2014	Gary L. and Amy R. Krone	\$8,340.00	Football Enrichment
8/14/2014	Gary S. and Nan Myrick	\$6,600.00	Football Enrichment
8/14/2014	Joseph A. and Elizabeth Amato	\$12,500.00	Football Enrichment; Friends of Advancement; Training; Alumni Enrichment
8/14/2014	Mickey W. and Cathy A. Tiner	\$28,745.00	Football Enrichment
8/14/2014	Midway Oilfield Constructors, Inc.	\$5,000.00	Training
8/14/2014	Midway Oilfield Constructors, Inc.	\$7,600.00	Football Enrichment
8/26/2014	Midway Oilfield Constructors, Inc.	\$5,000.00	Football Enrichment
8/14/2014	Texas Barge & Boat, Inc.	\$50,000.00	Football Enrichment
8/14/2014	Walter L. and Jan L. Fitzgerald	\$7,000.00	Football Enrichment; Alumni Enrichment
8/15/2014	Barachel Foundation, Inc.	\$6,000.00	Barachel Student Scholarship
9/12/2014	Barachel Foundation, Inc.	\$5,500.00	Barachel Student Scholarship
8/15/2014	The 100 Club, Inc.	\$87,166.00	Hundred Club Tuition / Fees
8/18/2014	Russell D. and Glenda J. Gordy	\$10,000.00	Friends of Advancement - 2015 Bearkats in Business
8/20/2014	GHS Foundation	\$557,000.00	Smith-Hutson COBA Scholarships
8/22/2014	Tu-Mile Quail & Dove Chapter	\$5,000.00	Department of Agricultural Sciences and Engineering Technology
8/27/2014	G. Scott and Mary S. McCarley	\$5,674.00	Mary S. and G. Scott McCarley Performing Arts Scholarship Endowment; Beverly Ann (Morgan) Christian Endowment Fund
8/27/2014	SHSU Agricultural Alumni Association	\$8,500.00	Gibbs Ranch Expo Center; Beverly Ann (Morgan) Christian Endowment Fund; Billy Harrell Endowment

8/28/2014	The Terry Foundation	\$125,150.00	Terry Foundation Scholarship
8/29/2014	John J. and Jana C. Certa	\$7,925.00	Training; Football Enrichment
8/31/2014	Ronald P. and Donna Koska	\$13,500.00	CHSS Advisory Board - Travel Scholarship; Alumni Enrichment; Ron Mafrige Basketball Endowment; Training; Football Enrichment; Guinn Hugh "Hootie" Murray Baseball Operations Endowment
9/3/2014	Michael J. and Caren S. Neuman	\$10,000.00	Gibbs Ranch Expo Center
9/4/2014	Charles L. and Wanda K. Beckner	\$5,000.00	Alumni Enrichment
9/9/2014	CHS, Inc. - Corporate Citizenship	\$8,000.00	Department of Agricultural Sciences and Engineering Technology
9/11/2014	Lee E. and Elizabeth B. Olm	\$10,000.00	Lee E. Olm and Elizabeth Schofer Olm Endowment
9/16/2014	Maggie M. and George E. Parker	\$10,000.00	Erma Keefer Farris Endowment

Gifts-In-Kind

9/16/2014	W. Lee and Joan M. Stringer	\$81,000.00	GIK Miscellaneous
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Explanations

The Texas Pioneer Foundation contributed \$20,000 to the Texas Pioneer Foundation Endowment.

Mr. and Mrs. John R. Ragsdale gave \$5,000 to the football program, and \$2,500 to sponsor the Alumni Association's 2014 tailgating events.

Walker County donated \$5,646.51 to the Small Business Development Center.

Ms. Carolyn L. Faulk contributed \$10,000 to sponsor the 2015 Bearkats in Business reception, \$7,500 to sponsor the Alumni Association's Distinguished Alumni Gala and 2014 tailgating events, and \$7,625 in support of the football auction.

Mr. and Mrs. Michael R. Crook gave a total of \$7,500 to the School of Music. They also contributed \$1,000 to the Margo and Mike Crook Guitar Scholarship.

The Houston Livestock Show and Rodeo generously donated \$37,800 to the Department of Agricultural Sciences and Engineering Technology for graduate assistantships.

Mr. and Mrs. William T. Byler gave \$5,000 to sponsor the 2015 Bearkats in Business reception.

Mrs. Karey Patterson Bresenhan provided \$5,000 for Ferol Robinson Endowment for Tomorrow's Journalists.

Therm-Omega-Tech, Inc. generously contributed \$1,000,000 toward their pledge to the Fred Pirkle Technology Center.

Mr. John M. and Dr. Dana G. Hoyt donated \$5,000 to the Fred Gibson Football Enrichment Endowment. Additionally, they gave a total of \$5,000 to the training program, a total of \$700 to the football program, and \$1,500 to the Bearkat Champions General Fund.

Mr. and Mrs. Benjamin R. Lenamon gave \$7,500 to the Sam Houston-Austin Internship Program. They also provided \$1,000 to sponsor the Alumni Association's Night at the Hobby Center event, as well as \$100 for the David L. Smith Criminal Justice Endowed Scholarship.

Brenham National Bank provided for \$5,200 to Smith-Hutson Banking in support of the Texas Bankers Hall of Fame Gala and the 18th Annual Bank Executives' and Directors' Seminar and Golf Tournament.

Insperty contributed \$10,000 to Smith-Hutson Banking in support of the Texas Bankers Hall of Fame Gala and the 18th Annual Bank Executives' and Directors' Seminar and Golf Tournament.

RSUI Indemnity Company generously donated \$775,000 to establish the Dr. James D. Long Library Endowment.

Champions Pipe & Supply Inc. gave \$5,000 to the N. Reed Clark Memorial Scholarship.

Enterprise Holdings Foundation provided \$5,000 to the NCAA Academic Advisor Account.

San Antonio Livestock Exposition, Inc. supported agricultural graduate students' stipends with a total of \$13,750. They also contributed a total of \$14,750 to the San Antonio Livestock Exposition Scholarship Program for undergraduate students in Agriculture.

Mr. and Mrs. Gary L. Krone, Sr. contributed \$5,000 to the training program. They also supported the football auction with a gift of \$6,840, and the 2014 Bearkat Invitational Golf Tournament with a gift of \$1,500.

Mr. and Mrs. Gary S. Myrick gave \$6,600 in support of the football auction.

Mr. and Mrs. Joseph A. Amato provided a total of \$6,750 for the football program. In addition, they provided \$2,500 for the 2015 Bearkats in Business reception, \$2,500 for the training program, and \$750 for the Alumni Association's Incarnate Word Pre-Game Reception.

Mr. and Mrs. Mickey W. Tiner generously supported the football auction with a gift of \$28,745.

Midway Oilfield Constructors, Inc. donated \$5,000 to the training program, \$7,600 in support of the football auction, and an additional \$5,000 to the football program.

Texas Barge & Boat, Inc. gave a generous gift of \$50,000 to the football program.

Mr. and Mrs. Walter L. Fitzgerald supported the football auction with a gift of \$6,500. They also gave \$500 to sponsor the Alumni Association's 2014 tailgating season.

Barachel Foundation, Inc. added a total of \$11,500 to the Barachel Student Scholarship. This scholarship requires students who are proficient in a second language, and assists its recipients in summer internships abroad to prepare for international assignments outside of the United States upon graduation.

The 100 Club, Inc. contributed \$87,166 to the Hundred Club Tuition and Fees Scholarship Program. This program provides scholarship assistance to graduate and undergraduate students in criminal justice and law enforcement studies.

Mr. and Mrs. Russell D. Gordy gave \$10,000 to sponsor the 2015 Bearkats in Business reception.

The GHS Foundation provided \$557,000 to the Smith-Hutson College of Business Administration Scholarship program.

Tu-Mile Quail & Dove Chapter donated \$5,000 to the Department of Agricultural Sciences and Engineering Technology.

Mr. Scott and Mrs. Mary McCarley contributed \$5,424 to the Mary S. and G. Scott McCarley Performing Arts Scholarship Endowment. In addition, they gave \$250 to the Beverly Ann (Morgan) Christian Endowment Fund.

SHSU Agricultural Alumni Association provided \$7,600 for the Gibbs Ranch Expo Center. The association also gave \$500 to the Beverly Ann (Morgan) Christian Endowment Fund and \$400 to the Billy Harrell Endowment.

The Terry Foundation generously gave \$125,150 to establish the Terry Foundation Scholarship.

Mr. and Mrs. John J. Certa donated \$5,000 to the training program, and supported the football auction with a gift of \$2,925.

Mr. and Mrs. Ronald P. Koska contributed \$7,000 toward their pledge to the CHSS Next Steps Campaign. In addition, they gave \$1,500 to sponsor the Alumni Association's Distinguished Alumni Gala, \$1,000 to the Ron Mafrige Basketball Endowment, \$2,500 to the training program, \$750 to the football program, and \$750 to the Guinn Hugh "Hootie" Murray Baseball Operations Endowment.

Mr. and Mrs. Michael J. Neuman gave \$10,000 to the Gibbs Ranch Expo Center.

Mr. and Mrs. Charles L. Beckner sponsored the Alumni Association's Distinguished Alumni Gala with a gift of \$5,000.

CHS, Inc. – Corporate Citizenship provided \$8,000 to the Department of Agricultural Sciences and Engineering Technology.

Dr. and Mrs. Lee E. Olm contributed \$10,000 to the Lee E. Olm and Elizabeth Schofer Olm Endowment for the support of the Department of History.

Mr. and Mrs. George E. Parker added \$10,000 to the Erma Keefer Farris Endowment which provides scholarship assistance to undergraduate student's enrolled in the teacher education program.

Gifts-In-Kind

Mr. and Mrs. W. Lee Stringer generously gave an in-kind contribution of a gift deed of property totaling \$81,000 for three lots valued at \$24,000, \$25,500, and \$31,500. The net proceeds from the sale of the land will benefit the W. Lee and Joan Stringer Memorial Scholarship Endowment.

Sul Ross State University

DATE	DONOR	AMOUNT	BENEFICIARY(IES)
7/14/2014	ANRS and Rodeo Exes Association	\$25,000.00	ANRS & Rodeo Exes Association Academic Scholarship Fund
7/14/2014	ANRS and Rodeo Exes Association	\$25,000.00	ANRS & Rodeo Exes Association Rodeo Scholarship Fund
7/14/2014	Alfred S. Gage Foundation, The	\$10,000.00	Borderlands Research Institute Fund
7/14/2014	Shield-Ayres Foundation	\$15,000.00	Borderlands Research Institute Shield Ayres Foundation Fund
7/15/2014	Texas Parks & Wildlife Foundation	\$125,000.00	TPW Foundation - Pronghorn Study (Texas Parks & Wildlife Foundation)
7/22/2014	The Summerlee Foundation	\$100,000.00	Ecology of Mountain Lion in the Davis Mountains: Assessing Their Impacts on Prey
8/6/2014	Potts and Sibley Foundation	\$100,000.00	D. J. Sibley, Jr. Graduate Fellowship
8/13/2014	Big Bend Telephone Company	\$15,000.00	Neville Haynes Memorial Rodeo Scholarship Fund
8/13/2014	Mr. Ben F. Foster, Jr.	\$10,000.00	Museum Membership Fund
9/4/2014	Safari Club International, Hill Country Chapter, Inc.	\$5,000.00	Borderlands Research Institute Stewardship Program
9/4/2014	Mr. Dan Allen Hughes, Jr.	\$25,000.00	Borderlands Research Institute Trans Pecos Scaled Quail Research Program Fund
8/27/2014	La Brasada Foundation	\$10,000.00	Museum Membership Fund
9/4/2014	JB Bar Ranch Holdings LP	\$25,000.00	JB Bar Ranch - Scaled Quail Density, Recruitment and Survival Project (9-Point Scaled Quail)
8/27/2014	The Brown Foundation, Inc.	\$10,000.00	Theatre Summer Production
8/20/2014	Mr. Aaron Gibson	\$5,000.00	Richard and Bernadine Gibson Endowment Fund
9/10/2014	Anonymous	\$10,000.00	A. D. Neal Industrial Technology Scholarship Fund
9/11/2014	Mr. A. M. 'Mac' Stringfellow	\$10,000.00	Museum Membership Fund
9/10/2014	Ms. Jennifer Thorp	\$10,000.00	Century Club Athletic Fund
8/19/2014	Mr. and Mrs. N. Brent Beck	\$5,000.00	Baseball Excellence Fund
9/17/2014	San Antonio Livestock Exposition, Inc.	\$15,000.00	Borderlands Research Institute SALE Fellowship
9/23/2014	Park Cities Quail Coalition	\$45,000.00	Borderlands Research Institute Park Cities Quail Coalition Fund

Friends of the Center for Big Bend Studies

8/27/2014 The Cynthia and George Mitchell
Foundation \$10,000.00 Friends of the Center for Big Bend Studies

EXPLANATION

Sul Ross State University:

ANRS and Rodeo Exes Association, Ms. Michelle Farley, Treasurer of Fort Stockton, TX donated \$50,000 to the ANRS and Rodeo Exes Association Rodeo Scholarship Fund and to the ANRS and Rodeo Exes Association Academic Scholarship Fund. This scholarship funds provide exceptional benefits to students at Sul Ross as they continue their studies and compete in the rodeo program.

The Alfred S. Gage Foundation of San Antonio, TX donated \$10,000 to the Borderlands Research Institute Fund as second installment of a \$30,000 pledge. This donation helps to strengthen the Borderlands programs by funding innovative research, collaboration and experiential learning opportunities at Sul Ross.

Shield-Ayres Foundation of San Antonio, TX donated \$15,000 to the Borderlands Research Institute's Shield Ayres Foundation Fund to help with the Borderlands operating costs. This donation helps to strengthen the Borderlands programs by funding innovative research, collaboration and experiential learning opportunities at Sul Ross.

Texas Parks & Wildlife Foundation of Dallas, TX donated \$125,000 to the Borderlands Research Institute Pronghorn habitat and restoration project. This project helps to enhance the knowledge of Pronghorn antelope population and habitats and is an important step in the study and vital preservation of these animals.

The Summerlee Foundation, Mr. John W. Crain, President of Dallas, TX donated \$100,000 to the Borderlands Research Institute – Ecology of Mountain Lions in the Davis Mountains: Assessing Their Impact on Prey Project. This is the second installment of a \$300,000 grant in support of the research about the mountain lions in the Davis Mountains. This project will enhance the knowledge of mountain lion population and habitats and their impact on prey.

The Potts and Sibley Foundation, Mr. Robert W. Bechtel, Foundation Manager of Midland, TX donated \$100,000 to the D. J. Sibley, Jr. Graduate Fellowship Fund. This grant will be used for setting up and funding scholarships for graduate students in Science studies.

Big Bend Telephone Company, Mr. Justin Haynes, CEO of Alpine, TX donated \$15,000 to the Neville Haynes Memorial Rodeo Scholarship Fund. This fund is a critical factor in providing opportunities for Sul Ross students as they continue their studies and compete in the Sul Ross rodeo program.

Mr. Ben F. Foster Jr. of San Antonio donated \$10,000 to the Museum Membership Fund for their 'Lifetime' membership to the Museum of the Big Bend. This type of membership aids the Museum in its efforts to actively preserve, document and celebrate the rich history of the Big Bend Region.

Safari Club International, Hill country Chapter, Inc. of San Antonio, TX donated \$5,000 to the Borderlands Research Institute Stewardship Program to help with the Borderlands operating costs. This donation helps to strengthen the Borderlands programs by funding innovative research, collaboration and experiential learning opportunities at Sul Ross.

Hughes Apache Ranch LP, Mr. Dan Allen Hughes, Jr. and Mrs. Peggy Hughes of Beeville, TX donated \$25,000 to the Borderlands Research Institute – Trans Pecos Scaled Quail Research Program which investigates the effects of supplemental feeding and watering on Scaled Quail density, recruitment and survival in the Trans-Pecos region of Texas. This donation helps to strengthen the Borderlands programs by funding innovative research, collaboration and experiential learning opportunities at Sul Ross.

La Brasada Foundation, Mr. and Mrs. James L. Donnell of Fowlerton TX donated \$10,000 to the Museum Membership Fund for their 'Lifetime' membership to the Museum of the Big Bend. This type of membership aids the Museum in its efforts to actively preserve, document and celebrate the rich history of the Big Bend Region.

JB Bar Ranch Holdings, LP, Mr. John Nau, III of Houston, TX donated \$25,000 to the Borderlands Research Institute's Bighorn Sheep Restoration Project in support of year four of the Borderlands three year study about the Bighorn Sheep productivity and survival in the Trans Pecos area.

The Brown Foundation, Inc., Mr. Herman L. Stude of Houston, TX awarded a grant of \$10,000 to the Theatre Summer Production .These funds will contribute to providing theatre productions while helping students pursue a degree in Theatre.

Mr. Aaron Gibson donated \$5,000 to stablish the Richard and Bernadine Gibson Endowment and Scholarship Fund. This endowment and scholarship fund will provide benefits to students majoring in Education.

An Anonymous donor of Alpine, TX donated \$10,000 to establish the A. D. Neal Industrial Technology Scholarship Fund. This scholarship fund will provide benefits to students majoring in Industrial Technology.

Mr. A. M. Stringfellow of San Antonio, TX donated \$10,000 to the Museum Membership Fund for their 'Lifetime' membership to the Museum of the Big Bend. This type of membership aides the Museum in its efforts to actively preserve, document and celebrate the rich history of the Big Bend Region

Ms. Jennifer Thorp of Mertzon, TX donated \$10,000 to the Century Clun Athletic Fund to support the Sul Ross State University Athletic Department.

Mr. and Mrs. N. Brent Beck of Midland, TX donated \$5,000 to the Baseball Excellence Fund to support the new score board project.

San Antonio Livestock Exposition, Inc., Ms. Pamela Foster, Scholarship Coordinator of San Antonio, TX donated \$10,000 to the Borderlands Research Institute – San Antonio Livestock Exposition Fellowship to support graduate scholarships.

Park Cities Quail of Dallas, TX donated \$45,000 to the Borderlands Research Institute Park Cities Quail Coalition Fund. These research projects will enhance the knowledge of the Desert Quail population and habitats.

Friends of the Center for Big Bend Studies:

The Cynthia and George Mitchell Foundation of The Woodlands, TX donated \$10,000 to the Friends of the Center for Big Bend Studies. These funds support the Trans Pecos Archaeological Program and other programs of the Center for Big Bend Studies aimed at recovering and preserving significant data in archaeological and historical resources in the Trans Pecos and Big Bend areas.

Texas State University

The following gifts of \$5,000 or more were made payable to Texas State University.

<u>Date(s) of Gift</u>	<u>Gift Amount</u>	<u>Beneficiary(ies)</u>
6/13/2014	\$12,000	Department of Athletics—Athletic Suite Donations
6/16/2014	\$12,568	College of Liberal Arts—Forensic Anthropology
6/17/2014	\$5,450	Department of Athletics—Bobcat Club Annual Fund
6/19/2014	\$11,000	Department of Athletics—Athletic Club Seat Donations and Bobcat Club Annual Fund
6/24/2014	\$35,000	Office of the Provost and Vice President for Academic Affairs—River Book Series
6/24/2014	\$25,000	Department of Engineering Technology—Industry Support Scholarship Fund
6/25/2014	\$8,000	College of Fine Arts and Communication—Performing Arts Center
6/25/2014	\$100,000	Office of the Provost and Vice President for Academic Affairs—Meadows Center for Water and Environment Gifts
6/26/2014	\$7,000	Department of Athletics—Bobcat Club Annual Fund and Athletic Club Seat Donations
6/30/2014	\$6,500	Department of Athletics— Athletic Club Seat Donations and Bobcat Club Annual Fund
7/1/2014	\$8,000	Department of Athletics—Athletic Club Seat Donations and Bobcat Club Annual Fund
7/2/2014	\$6,000	Department of Athletics—Athletic Suite Donations
7/2/2014	\$5,000	McCoy College of Business Administration—Professional Selling Partners' Program
7/2/2014	\$5,000	Department of Athletics—Bobcat Club Annual Fund
7/2/2014	\$200,000	Division of Information Technology—Library and Research Repository Gifts
7/2/2014	\$5,000	McCoy College of Business Administration—Professional Selling Partners' Program
7/2/2014	\$5,000	McCoy College of Business Administration—Professional Selling Partners' Program

7/3/2014	\$8,000	Department of Athletics—Bobcat Club Annual Fund and Athletic Club Seat Donations
7/6/2014	\$9,000	Department of Athletics—Athletic Club Seat Donations
7/11/2014	\$10,000	Office of the Provost and Vice President for Academic Affairs—Meadows Center for Water and Environment Gifts
7/14/2014	\$6,000	Department of Athletics—Bobcat Club Annual Fund and Athletic Club Seat Donations
7/16/2014	\$10,000	College of Fine Arts and Communication—Joan Hays - Orchesis Dance Endowment
7/16/2014	\$5,000	Department of Athletics—Bobcat Club Annual Fund
7/16/2014	\$30,000	Department of Athletics—Athletic Suite Donations
7/21/2014	\$5,000	Division of Information Technology—William J. Hill Visiting Researcher Fund
7/21/2014	\$20,000	Division of Information Technology—William J. Hill Visiting Researcher Fund
7/22/2014	\$9,000	Department of Athletics—Athletic Club Seat Donations
7/22/2014	\$6,000	Department of Athletics—Athletic Club Seat Donations
7/23/2014	\$5,000	Department of Athletics—Bobcat Club Annual Fund
7/24/2014	\$25,000	Department of Athletics—Volleyball
7/24/2014	\$10,000	Office of the Provost and Vice President for Academic Affairs—River Book Series
7/28/2014	\$10,000	Department of Athletics—Athletic Suite Donations
8/1/2014	\$6,000	Department of Athletics—Athletic Club Seat Donations
8/1/2014	\$96,000	College of Education—The James Patterson Teacher Education Scholarship Fund
8/7/2014	\$10,000	Division of Information Technology—Wittliff Collections Support Fund
8/7/2014	\$20,000	College of Health Professions—Warm Springs Foundation Graduate Scholarship Program in Physical Therapy

8/7/2014	\$10,000	McCoy College of Business Administration—Students in Free Enterprise
8/8/2014	\$5,000	Department of Athletics—Bobcat Club Annual Fund
8/11/2014	\$8,000	Department of Athletics—Bobcat Club Annual Fund and Athletic Club Seat Donations
8/13/2014	\$10,000	Department of Athletics—Baseball
8/14/2014	\$10,000	Department of Athletics—Men's Golf
8/22/2014	\$125,000	Department of Athletics—Athletic Suite Donations, Bobcat Club Annual Fund, Volleyball, Baseball, Men's Basketball, Athletic Director Restricted, Touchdown Team, Men's Golf, and Women's Golf Restricted; College of Fine Arts and Communication—Mike and Dianne Bowman Visiting Guest Artists Series
8/22/2014	\$6,500	Department of Athletics—Athletic Club Seat Donations and Bobcat Club Annual Fund
8/23/2014	\$6,000	Department of Athletics—Athletic Club Seat Donations
8/25/2014	\$5,000	Department of Athletics—Strutters Gallery Bricks and Mortar
8/26/2014	\$30,000	Department of Athletics—Athletic Suite Donations
8/26/2014	\$28,506	Department of Athletics—Women's Golf - Restricted
8/27/2014	\$100,000	College of Liberal Arts—Gault School Archaeology Project
8/28/2014	\$30,000	Department of Athletics—Football Locker Room Project Donations
9/8/2014	\$8,750	Department of Athletics—Athletic Club Seat Donations; College of Fine Arts and Communication—Mary Rose Brown Scholarship in Journalism and Mass Communication
9/8/2014	\$12,500	College of Applied Arts—Agriculture Department
TOTAL:	<u>\$1,151,774</u>	

The following Gifts-in-Kind valued at \$5,000 or more were made to Texas State University.

<u>Date(s) of Gift</u>	<u>Gift Amount</u>	<u>Beneficiary(ies)</u>
7/22/2014	\$1,301,250	College of Science and Engineering—GIK—Materials Science, Engineering and Commercialization
8/12/2014	\$36,900	College of Fine Arts and Communication—GIK—Art and Design
TOTAL:	<u>\$1,338,150.00</u>	

The following gifts of \$5,000 or more were made payable to the Texas State University Development Foundation.

<u>Date(s) of Gift</u>	<u>Gift Amount</u>	<u>Beneficiary(ies)</u>
7/10/2014	\$26,000	Office of the Provost and Vice President for Academic Affairs—The Vicki Barnes Memorial Endowed Scholarship
8/7/2014	\$25,000	College of Liberal Arts—Everette and Donna Swinney Faculty Development Fund
8/26/2014	\$8,000	Division of Student Affairs—Department of Campus Recreation
TOTAL:	<u>\$59,000.00</u>	

The following gifts of \$5,000 or more were made payable to the Emmett and Miriam McCoy College of Business Administration Development Foundation. These gifts benefit scholarships, programs, or initiatives at the McCoy College of Business Administration at Texas State University.

<u>Date(s) of Gift</u>	<u>Gift Amount</u>	<u>Beneficiary(ies)</u>
7/21/2014	\$5,000	Division of University Advancement—Luman Richard Barton Endowed Scholarship
TOTAL:	<u>\$5,000.00</u>	

Explanation

The following gifts of \$5,000 or more were made to Texas State University.

- A company in Houston, Texas, donated \$12,000 to the Athletic Suite Donations account in the Department of Athletics.
- An alumna in Wimberley, Texas, donated \$12,568 to the Forensic Anthropology account in the College of Liberal Arts (alumna deceased, no address for executor).
- A company in Carrollton, Texas, donated \$5,450 to the Bobcat Club Annual Fund account in the Department of Athletics.

- An alumni couple in Incline Village, Nevada, donated \$11,000 to the Athletic Club Seat Donations account and the Bobcat Club Annual Fund account in the Department of Athletics.
- An individual in San Antonio, Texas, donated \$35,000 to the River Book Series account in the Office of the Provost and Vice President for Academic Affairs.
- An organization in Ponte Vedra Beach, Florida, donated \$25,000 to the Industry Support Scholarship Fund account in the Department of Engineering Technology.
- A company in San Antonio, Texas, donated \$8,000 to the Performing Arts Center account in the College of Fine Arts and Communication.
- A foundation in Dallas, Texas, donated \$100,000 to the Meadows Center for Water and Environment Gifts account in the Office of the Provost and Vice President for Academic Affairs.
- An alumnus and spouse in Kerrville, Texas, donated \$7,000 to the Bobcat Club Annual Fund account and the Athletic Club Seat Donations account in the Department of Athletics.
- An alumna and spouse in Plano, Texas, donated \$11,500 to the Athletic Club Seat Donations account and the Bobcat Club Annual Fund account in the Department of Athletics and to the Luman Richard Barton Endowed Scholarship account in the Emmett and Miriam McCoy College of Business Administration Development Foundation.
- An alumnus and spouse in Poth, Texas, donated \$8,000 to the Athletic Club Seat Donations account and the Bobcat Club Annual Fund account in the Department of Athletics.
- A company in San Marcos, Texas, donated \$6,000 to the Athletic Suite Donations account in the Department of Athletics.
- A company in Dallas, Texas, donated \$5,000 to the Professional Selling Partners' Program account in the McCoy College of Business Administration.
- An alumna and spouse in Holland, Texas, donated \$5,000 to the Bobcat Club Annual Fund account in the Department of Athletics.
- A foundation in Houston, Texas, donated \$200,000 to the Library and Research Repository Gifts account in the Division of Information Technology.
- A foundation in Austin, Texas, donated \$5,000 to the Professional Selling Partners' Program account in the McCoy College of Business Administration.
- A company in Austin, Texas, donated \$5,000 to the Professional Selling Partners' Program account in the McCoy College of Business Administration.
- A company in San Marcos, Texas, donated \$8,000 to the Bobcat Club Annual Fund account and the Athletic Club Seat Donations account in the Department of Athletics.
- An alumnus and spouse in Boerne, Texas, donated \$9,000 to the Athletic Club Seat Donations account in the Department of Athletics.
- An organization in Boston, Massachusetts, donated \$10,000 to the Meadows Center for Water and Environment Gifts account in the Office of the Provost and Vice President for Academic Affairs.

- An alumnus in Austin, Texas, donated \$6,000 to the Bobcat Club Annual Fund account and the Athletic Club Seat Donations account in the Department of Athletics.
- An individual in Denton, Texas, donated \$10,000 to the Joan Hays – Orchestis Dance Endowment account in the College of Fine Arts and Communication.
- An alumnus and spouse in New Braunfels, Texas, donated \$5,000 to the Bobcat Club Annual Fund account in the Department of Athletics.
- A couple in Kingsbury, Texas, donated \$30,000 to the Athletic Suite Donations account in the Department of Athletics.
- An individual in Houston, Texas, donated \$5,000 to the William J. Hill Visiting Researcher Fund account in the Division of Information Technology.
- A company in Houston, Texas, donated \$20,000 to the William J. Hill Visiting Researcher Fund account in the Division of Information Technology.
- A company in San Marcos, Texas, donated \$9,000 to the Athletic Club Seat Donations account in the Department of Athletics.
- A company in Austin, Texas, donated \$6,000 to the Athletic Club Seat Donations account in the Department of Athletics.
- An alumnus and spouse in San Antonio, Texas, donated \$5,000 to the Bobcat Club Annual Fund in the Department of Athletics.
- An alumnus and spouse in Temple, Texas, donated \$25,000 to the Volleyball account in the Department of Athletics.
- An individual in Santa Monica, California, donated \$10,000 to the River Book Series account in the Office of the Provost and Vice President for Academic Affairs.
- An alumni couple in San Marcos, Texas, donated \$10,000 to the Athletic Suite Donations account in the Department of Athletics.
- A company in Austin, Texas, donated \$6,000 to the Athletic Club Seat Donations account in the Department of Athletics.
- A foundation in New York, New York, donated \$96,000 to The James Patterson Teacher Education Scholarship Fund account in the College of Education.
- An individual in Dallas, Texas, donated \$10,000 to the Wittliff Collections Support Fund account in the Division of Information Technology.
- A foundation in San Antonio, Texas, donated \$20,000 to the Warm Springs Foundation Graduate Scholarship Program in Physical Therapy account in the College of Health Professions.
- A company in Houston, Texas, donated \$10,000 to the Students in Free Enterprise account in the McCoy College of Business Administration.
- An alumnus and spouse in Victoria, Texas, donated \$11,000 to the Bobcat Club Annual Fund account and the Athletic Club Seat Donations account in the Department of Athletics.

- A company in San Marcos, Texas, donated \$8,000 to the Bobcat Club Annual Fund account and the Athletic Club Seat Donations account in the Department of Athletics.
- An alumnus and spouse in La Grange, Texas, donated \$10,000 to the Baseball account in the Department of Athletics
- An alumnus and spouse in Victoria, Texas, donated \$10,000 to the Men's Golf account in the Department of Athletics.
- A company in Houston, Texas, donated \$125,000 to the Athletic Suite Donations account, the Bobcat Club Annual Fund account, the Volleyball account, the Baseball account, the Men's Basketball account, the Athletic Director Restricted account, the Touchdown Team account, the Men's Golf account, the Women's Golf – Restricted account in the Department of Athletics; and the Mike and Dianne Bowman Visiting Guest Artists Series account in the College of Fine Arts and Communication.
- An individual in Seguin, Texas, donated \$6,500 to the Athletic Club Seat Donations account and the Bobcat Club Annual Fund account in the Department of Athletics.
- An alumni couple in San Marcos, Texas, donated \$5,000 to the Strutters Gallery Bricks and Mortar account in the Department of Athletics.
- An alumnus and spouse in Boerne, Texas, donated \$30,000 to the Athletic Suite Donations account in the Department of Athletics.
- A company in Victoria, Texas, donated \$28,506 to the Women's Golf – Restricted account in the Department of Athletics.
- A company in Georgetown, Texas, donated \$100,000 to the Gault School Archaeology Project account in the College of Liberal Arts.
- An alumnus and spouse in Cypress, Texas, donated \$30,000 to the Football Locker Room Project Donations account in the Department of Athletics.
- A company in San Antonio, Texas, donated \$8,750 to the Athletic Club Seat Donations account in the Department of Athletics; and the Mary Rose Brown Scholarship in Journalism and Mass Communication account in the College of Fine Arts and Communication.
- A company in Gonzales, Texas, donated \$12,500 to the Agriculture Department in the College of Applied Arts.

The following Gifts-in-Kind valued at \$5,000 or more were made to Texas State University.

- A company in Lowell, Massachusetts, donated one Epi Reactor and associated spare equipment worth \$1,301,250 to the Materials Science, Engineering and Commercialization account in the College of Science and Engineering.
- An individual in Austin, Texas, donated several pieces of artwork worth \$36,900 to the Art and Design account in the College of Fine Arts and Communication.

The following gifts of \$5,000 or more were made payable to the Texas State University Development Foundation. These gifts benefit scholarships, programs, or initiatives at Texas State University.

- An organization in Schertz, Texas, donated \$26,000 to The Vicki Barnes Memorial Endowed Scholarship account in the Office of the Provost and Vice President for Academic Affairs.

- An alumna and spouse in San Marcos, Texas, donated \$25,000 to the Everette and Donna Swinney Faculty Development Fund account in the College of Liberal Arts.
- A foundation in New Braunfels, Texas, donated \$8,000 to the Department of Campus Recreation in the Division of Student Affairs.

The following gifts of \$5,000 or more were made payable to the Emmett and Miriam McCoy College of Business Administration Development Foundation. These gifts benefit scholarships, programs, or initiatives at the McCoy College of Business Administration at Texas State University.

- An alumna and spouse in Plano, Texas, donated \$5,000 to the Luman Richard Barton Endowed Scholarship account in the Emmett and Miriam McCoy College of Business Administration Development Foundation (acknowledged in previous letter).

Lamar Institute of Technology

DATE	DONOR	AMOUNT	BENEFICIARY(IES)
8/12/14	Golden Pass LNG	\$5,000.00	LIT Foundation
8/18/14	Beaumont Foundation of America	\$12,000.00	Scholarships
8/20/14	GHS Foundation	\$49,000.00	Scholarships
8/26/14	Texas State University System Foundation	\$5,000.00	Scholarship
9/1/14	ExxonMobil Corporation	\$5,000.00	LIT Foundation
9/2/14	Total Port Arthur Refinery	\$5,000.00	LIT Foundation
9/26/14	ExxonMobil Corporation	\$20,000.00	LIT Foundation
9/26/14	ExxonMobil Corporation	\$15,000.00	Process Operator Training Unit
Total:		<u>\$116,000.00</u>	

EXPLANATIONS

\$5,000 was received August 12, 2014 from Golden Pass LNG for a Gold Sponsorship of the LIT Salute to the Real American Heroes event and its endowment which provides scholarships to students in public service and safety programs.

\$12,000.00 was received August 18, 2014 from Beaumont Foundation of America for process operating and instrumentation scholarships.

\$49,000.00 was received August 20, 2014 from GHS Foundation for the Smith-Hutson Scholarship program benefitting seventeen students enrolled in accounting technology, business management development, welding, radiology, sonography, process operating, child care and development, occupational health and safety, computer networking, computer drafting and utility line programs.

\$5,000.00 was received August 26, 2014 from Texas State University System Foundation for an Edmund P. Kuempel scholarship distribution.

\$5,000.00 was received September 1, 2014 from ExxonMobil Corporation for a Gold Sponsorship of the LIT Salute to the Real American Heroes event and its endowment which provides scholarships to students in public service and safety programs.

\$5,000.00 was received September 2, 2014 from Total Port Arthur Refinery for a Gold Sponsorship of the LIT Salute to the Real American Heroes event and its endowment which provides scholarships to students in public service and safety programs.

\$20,000.00 was received September 26, 2014 from ExxonMobil Corporation for the ExxonMobil Endowment benefitting students in process operating technology.

\$15,000.00 was received September 26, 2014 from ExxonMobil Corporation for benefit of the process operator training unit.

Lamar State College-Orange

DATE	DONOR	AMOUNT	BENEFICIARY(IES)
08/05/14	Nelda C and H.J. Lutcher Stark Foundation	\$50,000.00	Lamar State College-Orange Scholarship Fund

EXPLANATION

The following gift of \$5,000 or more was made payable to Lamar State College-Orange.

- Scholarship donation from the Nelda C. and H.J. Lutcher Stark Foundation in the amount of \$50,000.00.

Lamar State College-Orange Foundation

DATE	DONOR	AMOUNT	BENEFICIARY(IES)
08/07/14	Orange Memorial Hospital Corporation	\$50,000	Lamar State College-Orange Foundation

EXPLANATION

The following Gift-in-Kind valued at \$5,000 or more were made payable to Lamar State College-Orange Foundation.

- Final disbursement of Orange Memorial Hospital Corporation Scholarship endowment in the amount of \$50,000.

LAMAR STATE COLLEGE-PORT ARTHUR

The following gifts of \$5,000 or more were made payable to Lamar State College-Port Arthur.

DATE	DONOR	AMOUNT	BENEFICIARY(IES)
8/26/2014	Mr. & Mrs. Terry Long	\$7,500	Seahawks Softball Program
	TOTAL	\$7,500	

PORT ARTHUR HIGHER EDUCATION FOUNDATION

The following gifts of \$5,000 or more were made payable to the Port Arthur Higher Education Foundation.

DATE	DONOR	AMOUNT	BENEFICIARY(IES)
7/30/2014	Exxon Mobil Corporation	\$5,000	Gulf Coast Gala
8/15/2014	Golden Pass LNG Terminal, LLC	\$5,000	Gulf Coast Gala
8/25/2014	Port Arthur Steam Energy, LP	\$5,623	Port Arthur Industrial Group Scholarship
8/25/2014	Oxbow Calcining, LLC	\$5,623	Port Arthur Industrial Group Scholarship
8/26/2014	Southeast Texas Plant Managers' Forum-Veolia	\$5,623	Port Arthur Industrial Group Scholarship
8/27/2014	A. Morris Albright	\$50,000	A. Morris Albright Scholarship
9/2/2014	BASF TOTAL Petrochemicals, LLC	\$5,623	Port Arthur Industrial Group Scholarship
9/8/2014	Maria Dolores Garcia	\$5,000	Dr. Jim Garcia Scholarship
9/15/2014	Koch Companies Public Sector, LLC –Flint Hills	\$14,067	Port Arthur Industrial Group Scholarship
9/30/2014	Valero Energy Foundation	\$33,750	Port Arthur Industrial Group Scholarship
	TOTAL	\$135,309	

EXPLANATION

The following gifts of \$5,000 or more were made to Lamar State College-Port Arthur.

Mr. & Mrs. Terry Long gave \$7,500 to the Seahawks Softball Program.

The following gifts of \$5,000 or more were made to the Port Arthur Higher Education Foundation.

Exxon Mobil gave \$5,000 to underwrite the 11th Annual Gulf Coast Gala.

Golden Pass LNG Terminal, LLC gave \$5,000 to underwrite the 11th Annual Gulf Coast Gala.

Port Arthur Steam Energy, LP gave \$5,623 to the Port Arthur Industrial Group Scholarship.

Oxbow Calcining, LLC gave \$5,623 to the Port Arthur Industrial Group Scholarship.

Southeast Texas Plant Managers' Forum-Veolia gave \$5,623 to the Port Arthur Industrial Group Scholarship.

Mr. A. Morris Albright gave \$50,000 to establish the A. Morris Albright Scholarship.

BASF TOTAL Petrochemicals, LLC gave \$5,623 to the Port Arthur Industrial Group Scholarship.

Ms. Maria Dolores Garcia gave \$5,000 to the Dr. Jim Garcia Scholarship.

Koch Companies Public Sector-Flint Hills gave \$14,067 to the Port Arthur Industrial Group Scholarship.

Valero Energy Foundation gave \$33,750 to the Port Arthur Industrial Group Scholarship.

**Texas State University System
Personnel**

Consent Item

1. CONSENT: TSUS: Personnel

TSUS: Personnel Actions

Recommendation

The proposed Personnel Actions for the Texas State University System components be approved.

Background

In accordance with the System *Rules and Regulations, Chapter III, Section 1.2 Personnel*, the following actions shall be submitted to the Board of Regents for approval.

LAMAR UNIVERSITY

FACULTY PERSONNEL CHANGES

RESIGNATION

1. Floyd, Darrell; Field Sup, Ed Leadership, effective June 30, 2014.
2. Rollins, Donald; Instructor, Music, effective May 31, 2014.
3. Watson, Gina; Clin Sup/Instr, Spch/Hearing, effective August 31, 2014.
4. Young, Megan; Director/Assist Prof, Dishman Art Museum, effective August 20, 2014.

RETIREMENT

1. Coppin, Charles; Professor, Math, effective August 31, 2014.
2. Lynch, Howell; Professor, Acct & Bus Law, effective August 31, 2014.
3. Monk, Pamela; Assoc Prof, C & SP, effective January 31, 2015.
4. Saur, Pamela; Professor, English/M Lang, began VME, effective September 1, 2014.
5. Smith, Sheila; Assoc Prof, Nursing, Option I, effective May 31, 2014.
6. Wallace, Faith; Assist Prof, Nursing, Option II, effective May 31, 2014.

SEPARATION

1. Autrey, Estus; Instructor, History, effective August 31, 2014.

TERMINAL CONTRACT

1. None

LEAVE OF ABSENCE

1. Andreev, Valentin; Professor, Math, returned from Fac Dev Leave, effective September 1, 2014.
2. Castillon, Catalina; Assoc Prof, English/M Lang, began Fac Dev Leave, effective September 1, 2014.
3. Hamza, Mohammad; Professor, C & SP, returned from Fac Dev Leave, effective September 1, 2014.
4. Hernandez, Barbara; Professor, Health & Kine, began Fac Dev Leave, effective September 1, 2014.
5. Johnson, Cecil; Instructor, English/M Lang, began FMLA, effective September 1, 2014.
6. Kennedy, Judy; Professor, Math, returned from Fac Dev Leave, effective September 1, 2014.
7. Lou, Helen H; Professor, Chem Engr, began Fac Dev Leave, effective September 1, 2014.
8. Mandal, Purnendu; Professor, Info Sys & Anal, returned from leave, effective September 1, 2014.
9. Martin, Christopher; Assoc Prof, Chem/Biochem, began Fac Dev Leave, effective September 1, 2014.
10. Owen, Donald; Professor, Earth/Space Sci, began FMLA, effective August 25, 2014.
11. Sandovici, Maria; Assoc Prof, Political Sci, began Fac Dev Leave, effective September 1, 2014.
12. Sato, Yasuko; Assist Prof, History, began Fac Dev Leave, effective September 1, 2014.
13. Scheer, Mary; Professor, History, began Fac Dev Leave, effective September 1, 2014.
14. Smith, Renee; Instructor, English/M Lang, began FMLA, effective August 25, 2014.

DECEASED

1. Smith, Renee, effective September 19, 2014.

FACULTY APPOINTMENTS, New (N) and Renewal (R)

Note: Appointments for Adjuncts and New-Hire and Full-time Faculty.

Name	Deg	Rank	Department	%FTE	Salary	Period
COLLEGE OF ARTS & SCIENCES						
R Alexander, Katrina	MS	Adjunct	Biology	.80	\$12,000	Fall 2014
R Allison, Amanda	MS	Adjunct	Earth/Space Sci	.47	\$7,000	Fall 2014
R Andino, Jose	PhD	Instructor	Chem/Biochem	1.0	\$7,072	SII 2014
R Andreev, Valentin	PhD	Professor	Math	.50	\$6,650	SII 2014
R Andrei, Stefan	PhD	Assoc Prof	Computer Sci	.50	\$7,935	SII 2014
R Armacost, James	PhD	Assoc Prof	Biology	1.0	\$9,415	SII 2014
R Baker, Blanche	PhD	Assoc Prof	Math	.50	\$35,213	2014-15
R Barjami, Saimir	PhD	Instructor	Physics	1.0	\$7,073	SII 2014
R Blackwell-Starnes, Adrienne	PhD	Assist Prof	English/M Lang	1.0	\$7,333	SII 2014
R Boone, Rebecca	PhD	Assoc Prof	History	.50	\$5,208	SII 2014
R Brice, Gary	MS	Instructor	Math	.50	\$3,800	SII 2014
R Brockman, Jennifer	MA	Instructor	English/M Lang	.50	\$2,732	SII 2014
R Bronson, Eric	PhD	Assoc Prof	Soc/Soc/CJ	.50	\$6,682	SII 2014
R Bryan, Jimmy	PhD	Assoc Prof	History	1.0	\$9,317	SII 2014
R Burkle, Jessie	MA	Adjunct	English/M Lang	.20	\$2,800	Fall 2014
N Butler, Kristina	MS	Adjunct	Political Sci	.60	\$8,400	Fall 2014
R Camak, Deborah	MS	Instructor	Nursing	1.0	\$55,000	2014-15
R Castillon, Catalina	PhD	Assoc Prof	English/M Lang	1.0	\$9,879	SII 2014
R Chandrasekaran, Perumalreddy	PhD	Assist Prof	Chem/Biochem	1.0	\$8,840	SII 2014
N Chou, Ching-En	PhD	Instructor	Chem/Biochem	1.0	\$42,000	2014-15
R Christensen, Ana	PhD	Assoc Prof	Biology	1.0	\$11,151	SII 2014
R Clanahan, Carolyn	MS	Instructor	Soc/SW/CJ	.50	\$3,544	SII 2014
R Clanahan, Michael	MS	Adjunct	Biology	.94	\$15,000	Fall 2014
R Clanahan, Michael	MS	Adjunct	Biology	.40	\$3,000	SII 2014
R Couch, P. J.	PhD	Visit Asst Prof	Math	.50	\$4,420	SII 2014
R Courmier, Sharon	MS	Adjunct	History	.20	\$2,737	Fall 2014
R DeLuca, Lorraine	PhD	Adjunct	English/M Lang	.40	\$5,600	Fall 2014
R Doiron, Jesse	MA	Instructor	English/M Lang	1.0	\$7,499	SII 2014
N Donnelly, Lisa	MS	Instructor	Nursing	1.0	\$55,000	2014-15
R Drake, Regina	MS	Adjunct	Soc/SW/CJ	.20	\$2,737	Fall 2014
N Dunn, Jennifer	MS	Adjunct	Psych	.20	\$2,737	Fall 2014
R Durso, Cassandre	MS	Adjunct	History	.40	\$5,474	Fall 2014
R Fagen, Jennifer	PhD	Assoc Prof	Soc/SW/CJ	1.0	\$9,468	SII 2014
R Forret, Jeffrey	PhD	Assoc Prof	History	.50	\$5,030	SII 2014
R Garza, Andrew	MS	Adjunct	Psych	1.20	\$16,422	Fall 2014
R Gen, Cengiz	PhD	Instructor	Physics	1.0	\$41,500	2014-15
R Gregory, Susan	MS	Adjunct	Math	.74	\$13,200	Fall 2014
R Gwin, Howell	PhD	Adjunct	History	.40	\$10,000	Fall 2014
R Gwynn, Robert	MA	Professor	English/M Lang	.50	\$6,824	SII 2014
R Haiduk, Michael	PhD	Professor	Biology	1.0	\$11,654	SII 2014
R Haidusek, Harrabeth	MS	Instructor	English/M Lang	.50	\$3,020	SII 2014
R Harakeh, Ali	MS	Adjunct	Math	.40	\$7,200	Fall 2014
R Harden, Garrick	PhD	Assist Prof	Soc/SW/CJ	1.0	\$8,310	SII 2014
R Hays, Jaqueline	MA	Adjunct	English/M Lang	.80	\$11,200	Fall 2014
R Hutto, Michelle	MS	Adjunct	Nursing	.75	\$11,200	Fall 2014
R Jaycox, Linda	MS	Adjunct	Math	.20	\$3,600	Fall 2014
R Johnson, June	MA	Instructor	Psych	1.0	\$5,707	SII 2014
R Karahouni, Mike	MS	Adjunct	Math	.40	\$7,200	Fall 2014
R Karahouni, Mike	MS	Adjunct	Math	.40	\$3,600	SII 2014
R Kibbe, Tina	PhD	Adjunct	History	.80	\$10,948	Fall 2014
R Kirk, Edythe	PhD	Assoc Prof	Psych	.50	\$5,157	SII 2014
R Knapp, Jennifer	MS	Adjunct	Earth/Space Sci	.40	\$7,000	Fall 2014
R Knapp, Jennifer	MS	Adjunct	Earth/Space Sci	.40	\$3,500	SII 2014
R Knight, Timothy	MS	Adjunct	History	.40	\$5,474	Fall 2014
N Kondo, Allison	MS	Adjunct	Psych	.40	\$5,474	Fall 2014
R Kucknoor, Ashwini	PhD	Assoc Prof	Biology	1.0	\$9,636	SII 2014
R Lanier, Boyd	PhD	Assoc Prof	Political Sci	1.0	\$12,352	SII 2014
R Loiodice, Bonnie	MS	Adjunct	Soc/SW/CJ	.20	\$2,737	Fall 2014
R Love, James	JD	Adjunct	Soc/SW/CJ	.20	\$5,000	Fall 2014
R Maesumi, Mohsen	PhD	Assoc Prof	Math	1.0	\$12,849	SII 2014
R Mahan, Janice	MS	Adjunct	Nursing	.38	\$3,050	Fall 2014
R Makki, Kami	PhD	Assoc Prof	Computer Sci	.50	\$7,609	SII 2014
N Marshall, Vicki	MA	Adjunct	English/M Lang	.20	\$2,800	Fall 2014
R Martin, Christopher	PhD	Assoc Prof	Chem/Biochem	1.0	\$10,882	SII 2014

R	Matthis, Michael	PhD	Assoc Prof	English/M Lang	.50	\$6,019	SII 2014
N	McAdams, Felicia	MS	Adjunct	Psych	.20	\$2,737	Fall 2014
R	Morrell, Patricia	MS	Adjunct	Nursing	.75	\$8,650	Fall 2014
N	O'Conner, Robert	MS	Adjunct	Math	.67	\$12,000	Fall 2014
R	Osborne, Lawrence	PhD	Professor	Computer Sci	.50	\$7,863	SII 2014
R	Oteng, Yaw	PhD	Assoc Prof	English/M Lang	.50	\$4,614	SII 2014
R	Owen, Cissie	MS	Adjunct	Political Sci	.40	\$5,600	Fall 2014
R	Owen, Cissie	MS	Adjunct	Political Sci	.40	\$2,683	SII 2014
R	Parish, Cynthia	EdD	Instructor	Earth/Space Sci	1.0	\$34,000	2014-15
R	Posey, Amanda	MS	Instructor	Biology	1.0	\$6,253	SII 2014
R	Price, Ruby	PhD	Adjunct	English/M Lang	.80	\$11,200	Fall 2014
R	Richards, Garry	MA	Adjunct	English/M Lang	.40	\$5,600	Fall 2014
R	Richards, Garry	MA	Adjunct	English/M Lang	.20	\$1,400	SII 2014
R	Robertson, Robert	MS	Adjunct	History	.40	\$5,474	Fall 2014
N	Rossi, Jarrod	MS	Adjunct	Psych	.20	\$2,737	Fall 2014
R	Sandovic, Maria	PhD	Assoc Prof	Political Sci	1.0	\$10,322	SII 2014
R	Saur, Stephen	MS	Assist Prof	Soc/SW/CJ	.33	\$22,086	2014-15
R	Saur, Stephen	MS	Assist Prof	Soc/SW/CJ	.50	\$5,505	SII 2014
R	Scheer, Mary	PhD	Professor	History	.50	\$5,725	SII 2014
R	Scheidamandel, Jeferson	MS	Instructor	Psychology	.26	\$2,500	SII 2014
R	Schmidt, Bennetta	PhD	Instructor	Earth/Space Sci	1.0	\$5,570	SII 2014
N	Schwartz, Sarah	PhD	Visit Asst Prof	Earth/Space Sci	1.0	\$41,500	2014-15
R	Seaman, J. T.	MS	Instructor	Nursing	1.0	\$55,000	2014-15
N	Sen, Cengiz	PhD	Instructor	Physics	1.0	\$41,500	2014-15
R	Seymour, Rebekah	MS	Adjunct	Nursing	.38	\$5,600	Fall 2014
R	Shearer, Raymond	JD	Assist Prof	Soc/SW/CJ	.50	\$4,243	SII 2014
R	Shelton, Jeremy	PhD	Assoc Prof	Psych	1.0	\$10,918	SII 2014
R	Shipper, Robbie	MS	Adjunct	Math	.20	\$3,600	Fall 2014
R	Shukla, Shyam	PhD	Professor	Chem/Biochem	1.0	\$15,074	SII 2014
R	Sjodin, Sara	MA	Adjunct	English/M Lang	.40	\$5,600	Fall 2014
R	Sjodin, Sarah	MA	Adjunct	English/M Lang	.20	\$1,400	SII 2014
R	Soularie, Crystal	MS	Adjunct	Nursing	.75	\$8,650	Fall 2014
R	Sowers, Thomas	PhD	Assoc Prof	Political Sci	.50	\$5,156	SII 2014
R	Staub, Nancy	MA	Instructor	English/M Lang	.50	\$3,387	SII 2014
R	Stelley, Karen	MS	Adjunct	Earth/Space Sci	.20	\$3,500	Fall 2014
R	Stelly, Karen	MS	Adjunct	Earth/Space Sci	.40	\$3,500	SII 2014
R	Stewart, Arthur	PhD	Assoc Prof	English/M Lang	.50	\$5,566	SII 2014
N	Svyeshnikova, Natalie	MS	Adjunct	Math	.87	\$15,600	Fall 2014
N	Tabor, Erin	MS	Adjunct	Psych	.20	\$2,737	Fall 2014
R	Tadmor, Maria	PhD	Adjunct	Math	.40	\$7,200	Fall 2014
N	Thompson, Darin	MA	Adjunct	English/M Lang	.80	\$11,200	Fall 2014
R	Thompson, Jerry	PhD	Professor	History	1.0	\$13,048	SII 2014
R	Tucker, Carla	MS	Adjunct	Earth/Space Sci	.47	\$8,167	Fall 2014
N	Tusa, Sarah	MA	Assoc Prof	English/M Lang	.20	\$2,800	Fall 2014
R	Wei, Suying	PhD	Assist Prof	Chem/Biochem	1.0	\$8,840	SII 2014
R	Westgate, James	PhD	Professor	Earth/Space Sci	.50	\$7,440	SII 2014
R	Wilbur, Christina	MS	Adjunct	History	.20	\$2,737	Fall 2014
R	Williams, Beverly	MA	Adjunct	History	.20	\$2,737	Fall 2014
R	Williams, Beverly	MA	Adjunct	English/M Lang	.80	\$11,200	Fall 2014
R	Wilson, Sandra	MS	Adjunct	Soc/SW/CJ	.20	\$2,737	Fall 2014
R	Winslow, Anne	MS	Adjunct	Nursing	.30	\$6,240	Fall 2014
R	Zarzosa, Miguel	MA	Adjunct	English/M Lang	.40	\$5,600	Fall 2014
R	Zarzosa, Norma	MA	Instructor	English/M Lang	.50	\$3,324	SII 2014
N	Zhang, Jing	PhD	Assist Prof	Computer Sci	1.0	\$83,500	2014-15

COLLEGE OF BUSINESS

R	Bandyopadhyay, Kakoli	PhD	Chair/Prof	Info Sys & Anal	.50	\$10,439	SII 2014
R	Blackwell, Heather	JD	Adjunct	Mgmt & Mktg	.20	\$3,950	Fall 2014
R	Burns, Clare	MBA	Instructor	Acct & Bus Law	1.0	\$11,881	SII 2014
R	Choi, Jai-Young	PhD	Professor	Econ & Finance	1.0	\$16,436	SII 2014
R	Crenshaw, Cory	JD	Adjunct	Acct & Bus Law	.20	\$3,950	Fall 2014
R	El-Houbi, Ashraf	PhD	Assoc Prof	Info Sys & Anal	.50	\$7,344	SII 2014
R	Flosi, Alicen	PhD	Instructor	Info Sys & Anal	.50	\$5,583	SII 2014
R	Ghosh, Kaushik	PhD	Asst Prof	Info Sys & Anal	.50	\$8,275	SII 2014
R	Glasscock, Harold	JD	Adjunct	Acct & Bus Law	.70	\$14,874	Fall 2014
N	Godeaux, Sherry	MSA	Adjunct	Acct & Bus Law	.20	\$3,950	Fall 2014
R	Isaac, Peter	MBA	Adjunct	Mgmt & Mktg	.25	\$5,462	Fall 2014

R	Karani, Komal	PhD	Assist Prof	Mgmt & Mktg	1.0	\$15,700	SII 2014
R	Kenyon, George	PhD	Assoc Prof	Mgmt & Mktg	1.0	\$103,222	2014-15
R	Lynch, Howell	PhD	Professor	Acct & Bus Law	1.0	\$18,754	SII 2014
R	Mandal, Purnendu	PhD	Professor	Info Sys & Anal	1.0	\$120,109	2014-15
R	Mayer, Bradley	PhD	Professor	Mgmt & Mktg	1.0	\$19,031	SII 2014
R	McCoy, Timothy	PhD	Assoc Prof	Acct & Bus Law	.50	\$8,638	SII 2014
R	Moss, Jimmy	DBA	Professor	Econ & Finance	.50	\$9,787	SII 2014
R	Natarajan, Vivek	PhD	Assist Prof	Mgmt & Mktg	1.0	\$15,807	SII 2014
R	Ortego, Robert	JD	Adjunct	Acct & Bus Law	.20	\$3,950	Fall 2014
R	Pratt, Jonathan	MSA	Adjunct	Acct & Bus Law	.20	\$3,950	Fall 2014
R	Rose, David	MBA	Instructor	Acct & Bus Law	.50	\$5,038	SII 2014
R	Sen, Kabir	PhD	Chair/Prof	Mgmt & Mktg	.50	\$9,395	SII 2014
R	Slaydon, James	PhD	Assoc Prof	Econ & Finance	1.0	\$16,583	SII 2014
R	Swandollar-Eger, Mary	MBA	Adjunct	Info Sys & Anal	.80	\$15,800	Fall 2014
R	Waddill, James	MS	Adjunct	Const Mgmt	.20	\$3,950	Fall 2014
N	Weeks, Kelly	PhD	Assist Prof	Mgmt & Mktg	1.0	\$98,000	2014-15
R	Yin, Stanley	MS	Adjunct	Const Mgmt	.20	\$5,100	Fall 2014

CENTER FOR COLLEGE READINESS

R	Karahouni, Ismail	MS	Instructor	Coll Readiness	1.0	\$3,740	Summer II
R	Riley, Melissa	MS	Instructor	Coll Readiness	1.0	\$6,074	Summer II

CENTER FOR DISTANCE EDUCATION

R	Adams, Mark	MA	Adjunct	Earth/Space Sci	.20	\$3,500	Fall 2014
R	Aguilar, Amanda	MA	Adjunct	Health & Kine	.50	\$8,211	Fall 2014
R	Allison, Amanda	MA	Adjunct	Earth/Space Sci	.27	\$3,500	SII 2014
R	Allison, Amanda	MA	Adjunct	Earth/Space Sci	.27	\$3,500	Fall 2014
R	Babineaux, Justin	MFA	Adjunct	Psych	.40	\$2,683	SI 2014
R	Babineaux, Justin	MFA	Adjunct	Psych	.40	\$2,683	SII 2014
R	Babineaux, Justin	MFA	Adjunct	Psych	.13	\$5,474	Fall 2014
N	Booth, Kara	MA	Adjunct	Econ & Finance	.20	\$3,950	Fall 2014
R	Boudreaux, Kyle	MA	Adjunct	English/M Lang	.20	\$2,800	Fall 2014
R	Broussard, Willie	MA	Adjunct	Fam & Con Sci	.40	\$5,366	SIII2014
R	Broussard, Willie	MA	Adjunct	Fam & Con Sci	.40	\$5,474	Fall 2014
R	Cavaliere, Frank	LLD	Professor	Acct & Bus Law	.33	\$6,358	SIII2014
R	Chiou, Peen-Peen	MA	Adjunct	Computer Sci	.20	\$6,200	Fall 2014
R	Fontenot, Linzay	MA	Adjunct	Fam & Con Sci	.20	\$2,737	Fall 2014
R	Gallaspy, Beth	MA	Adjunct	Communication	.20	\$2,737	Fall 2014
R	Gallego, Amber	MA	Adjunct	Deaf Stud/Ed	.25	\$2,737	Fall 2014
R	Gillespie, Brian	MA	Adjunct	Math	.20	\$18,000	Fall 2014
R	Hays, Jacqueline	MA	Adjunct	English/M Lang	.20	\$2,800	Fall 2014
R	Hefner-Babb, Theresa	MA	Instructor	History	.20	\$2,737	Fall 2014
R	Holland, Deidre	MA	Adjunct	Health & Kine	.20	\$2,737	Fall 2014
R	Jarrell, Johnny	MA	Adjunct	Computer Sci	.20	\$3,100	Fall 2014
R	Lindley, George	AuD	Adjunct	Spch/Hearing	.25	\$2,737	Fall 2014
R	Ma, Daoying	MA	Adjunct	Computer Sci	.20	\$3,100	Fall 2014
R	Malley, Wendi	MA	Adjunct	Math	.20	\$3,600	Fall 2014
R	Msengi, Israel	MA	Assist Prof	Health & Kine	.25	\$2,737	Fall 2014
R	Owen, Cissie	MA	Adjunct	Political Sci	.20	\$2,800	Fall 2014
R	Parish, Cynthia	MEd	Adjunct	Earth/Space Sci	.27	\$3,500	SII 2014
R	Parish, Cynthia	MEd	Instructor	Earth/Space Sci	.27	\$3,500	Fall 2014
R	Rawls, James	MFA	Adjunct	Communication	.20	\$2,737	Fall 2014
R	Rioux, Theresa	MA	Instructor	History	.20	\$5,474	Fall 2014
R	Sanchez, Florinda	MA	Adjunct	Chem/Biochem	.20	\$4,500	SIII2014
R	Sanchez, Florinda	MA	Adjunct	Chem/Biochem	.20	\$13,500	Fall 2014
R	Sowers, Thomas	PhD	Assist Prof	Political Sci	.25	\$2,737	Fall 2014
R	Stanley, Ruth	MA	Instructor	Communication	.13	\$2,737	Fall 2014
R	Stelly, Karen	MA	Adjunct	Earth/Space Sci	.27	\$3,500	SI 2014
R	Stelly, Karen	MA	Adjunct	Earth/Space Sci	.27	\$7,000	Fall 2014
R	Swandollar-Eger, Mary	MA	Adjunct	Communication	.20	\$3,873	Fall 2014
R	Titus, Freddie	EdD	Assist Prof	Coll Readiness	.40	\$3,000	SIII2014
N	Titus, Freddie	EdD	Assist Prof	Coll Readiness	.20	\$3,000	Fall 2014
R	Varner, Justin	MFA	Adjunct	Art	.20	\$2,683	SI 2014
R	Wagers, Stacey	MA	Adjunct	Communication	.20	\$2,683	SI 2014
R	Wagers, Stacey	MA	Adjunct	Communication	.20	\$2,737	Fall 2014
R	White, Curtis	MA	Adjunct	Math	.20	\$3,600	SIII2014
R	White, Michelle	MA	Adjunct	Music	.20	\$8,211	Fall 2014

R	White, Robert	MA	Adjunct	Soc/SW/CJ	.20	\$5,474	Fall 2014
R	Wilbur, Christina	MA	Adjunct	History	.20	\$5,474	Fall 2014
R	Wilson, Twana	MA	Adjunct	Health & Kine	.20	\$2,737	Fall 2014

COLLEGE OF EDUCATION & HUMAN DEVELOPMENT

R	Abernathy, Lucy	EdD	Assoc Prof	Ed Leadership	.50	\$6,035	SII 2014
R	Ammons, Rachael	MEd	Visit Asst Prof	C & SP	1.0	\$8,832	SII 2014
R	Arterbury, Elvis	PhD	Professor	Ed Leadership	.50	\$7,565	SII 2014
N	Ashley, Candice	PhD	Adjunct	Ac Partnership	.49	\$3,000	Fall 2014
R	Azodi, Donna	EdD	Assist Prof	Ed Leadership	.50	\$5,833	SII 2014
R	Bain, Richard	MEd	Field Sup	Ed Leadership	.49	\$3,200	Fall 2014
R	Beard, Ronald	EdD	Field Sup	Ed Leadership	.49	\$3,200	Fall 2014
R	Borel, DarylAnn	EdD	Clinic Instr	Ed Leadership	.50	\$5,167	SII 2014
N	Brooks, Susanna	PhD	Adjunct	Ac Partnership	.49	\$3,000	Fall 2014
R	Bumstead, Stacey	EdD	Assist Prof	Teacher Ed	.50	\$4,940	SII 2014
R	Butaud, Gayle	EdD	Dir/Instructor	Teacher Ed	.50	\$4,922	SII 2014
R	Byas, Deidra	MEd	Adjunct	C & SP	.40	\$2,683	SII 2014
N	Callaway, Stacey	PhD	Adjunct	Ac Partnership	.50	\$3,000	Fall 2014
R	Chen, Jau-Jiin	PhD	Assoc Prof	Fam & Con Sci	.50	\$5,513	SII 2014
R	Chilek, Daniel	PhD	Assist Prof	Health & Kine	1.0	\$10,762	SII 2014
R	Choate, Pamela	MEd	Adjunct	Teacher Ed	.20	\$2,737	Fall 2014
R	Collier, Virginia	EdD	Field Sup	Ed Leadership	.49	\$3,200	Fall 2014
N	Colunga, Tonya	MED	Clinic Instr	Ed Leadership	1.0	\$55,000	2014-2015
N	Coots, Megan	BS	Adjunct	Health & Kine	.20	\$2,737	Fall 2014
N	Corcoran, Katy	JD	Clinic Instr	Ed Leadership	1.0	\$55,008	2014-2015
R	Cortez-Rucker, V.	PhD	Assoc Prof	Ed Leadership	.50	\$6,047	SII 2014
N	Crayton, Tiffany	MEd	Field Sup	C & SP	.49	\$4,500	Fall 2014
R	Creel, Jimmy	EdD	Assist Prof	Ed Leadership	1.0	\$4,244	SII 2014
R	Cummings, Cynthia	EdD	Assist Prof	Ed Leadership	1.0	\$11,358	SII 2014
R	Cummings, Kenneth	EdD	Adjunct	Ac Partnership	.49	\$3,500	SII 2014
R	Davis, Randy	PhD	Assist Prof	C & SP	1.0	\$9,880	SII 2014
R	Duncan, Betty	EdD	Adjunct	Teacher Ed	.20	\$2,737	Fall 2014
R	Fikac, Natalie	EdD	Field Sup	Ed Leadership	.49	\$3,200	Fall 2014
R	Floyd, Darrell	EdD	Field Sup	Ed Leadership	.49	\$3,200	Fall 2014
R	Frels, Rebecca	PhD	Assist Prof	C & SP	1.0	\$10,600	SII 2014
R	Goldbeck, Tanya	EdD	Assist Prof	Teacher Ed	.50	\$4,685	SII 2014
R	Hamby, Nancy	MEd	Adjunct	Teacher Ed	.20	\$2,737	Fall 2014
R	Harris, Patricia	EdD	Assist Prof	C & SP	1.0	\$9,194	SII 2014
R	Henry, Lula	EdD	Coord/Assoc Prof	Teacher Ed	.50	\$6,722	SII 2014
R	House, Sally	MEd	Adjunct	Teacher Ed	.20	\$2,737	Fall 2014
R	Jenkins, Marshall	EdD	Assoc Prof	Ed Leadership	.50	\$6,650	SII 2014
N	Jordan, Shannon	MS	Visit Instr	Health & Kine	1.0	\$50,000	2014-2015
R	Keith, Marc	PhD	Field Sup	Ed Leadership	.49	\$3,200	Fall 2014
R	Killough, Jill	PhD	Assist Prof	Fam & Con Sci	.50	\$4,813	SII 2014
R	Kimmons, Janice	PhD	Assist Prof	Fam & Con Sci	.50	\$4,907	SII 2014
R	Krohn, Beverly	MEd	Adjunct	Teacher Ed	.20	\$2,737	Fall 2014
R	Long, Debbie	MS	Adjunct	Health & Kine	.20	\$2,737	Fall 2014
R	Lopez, Belinda	PhD	Assist Prof	C & SP	1.0	\$9,720	SII 2014
N	Mackenroth, Krin	MEd	Adjunct	Ac Partnership	.20	\$3,500	SII 2014
R	Martin, Gary	EdD	Professor	Ed Leadership	.50	\$7,425	SII 2014
N	McCauley, Janeal	MS	Adjunct	Fam & Con Sci	.50	\$2,683	SII 2014
R	Mohr, John	PhD	Assist Prof	C & SP	1.0	\$9,500	SII 2014
R	Monceaux, Alex	MEd	Field Sup	C & SP	.49	\$2,000	SII 2014
R	Moore, Mary	EdD	Adjunct	Teacher Ed	.40	\$5,474	Fall 2014
R	Morales, Maria	MPH	Adjunct	Health & Kine	.20	\$2,737	Fall 2014
R	Moye-Lavergne, Gatsy	MEd	Adjunct	Teacher Ed	.20	\$2,737	Fall 2014
N	Mullican, Jeanne	MS	Clinic Instr	Health & Kine	1.0	\$40,005	2014-2015
R	Nelson, Cheryl	EdD	Clinic Instr	C & SP	.50	\$4,333	SII 2014
R	Nguyen, Anna	PhD	Assist Prof	C & SP	1.0	\$10,333	SII 2014
R	Nicks, Robert	EdD	Assoc Prof	Ed Leadership	.50	\$4,454	SII 2014
R	Pekar, Marian	MEd	Adjunct	Teacher Ed	.40	\$5,474	Fall 2014
R	Preston, Rondall	PhD	Field Sup	Ed Leadership	.49	\$3,200	Fall 2014
N	Puente, Christina	EdD	Clinic Instr	Ed Leadership	1.0	\$55,000	2014-2015
R	Thompson, Bob	PhD	Professor	Ed Leadership	.50	\$5,461	SII 2014
R	Thompson, Stewart	PhD	Chair/Asst Prof	Fam & Con Sci	.50	\$5,521	SII 2014
R	Tidwell, Deborah	MA	Adjunct	C & SP	.49	\$4,500	Fall 2014
R	Titus, Freddie	EdD	Assist Prof	Teacher Ed	.50	\$5,127	SII 2014

R	Troxclair, Debbie	PhD	Assist Prof	Ac Partnership	.50	\$4,685	SII 2014
R	Vincent, Jennifer	MS	Adjunct	Fam & Con Sci	.20	\$2,737	Fall 2014
R	Wilbur, Christina	MEd	Adjunct	Teacher Ed	.20	\$2,737	Fall 2014
R	Wines, Lisa	PhD	Assist Prof	C & SP	1.0	\$10,833	SII 2014
R	Wines, Lisa	PhD	Assist Prof	C & SP	1.0	\$65,000	2014-2015
R	Wright, Howell	EdD	Field Sup	Ed Leadership	.49	\$3,200	Fall 2014
N	Yoes, Lisa	MEd	Adjunct	Ac Partnership	.20	\$3,500	SII 2014

COLLEGE OF ENGINEERING

R	Aung, Kendrick	PhD	Professor	Mech Engr	1.0	\$14,360	SII 2014
N	Erin-Tokgoz, Berna	PhD	Assist Prof	Indus Engr	1.0	\$78,000	2014-15
R	Fan, Xuejun	PhD	Professor	Mech Engr	.50	\$7,546	Sum II
R	Gossage, John	PhD	Assoc Prof	Chem Engr	1.0	\$14,730	SII 2014
R	Guo, Zhanhu	PhD	Assoc Prof	Chem Engr	1.0	\$13,632	SII 2014
R	Hirano, Koji	DE	Adjunct	Elect Engr	1.0	\$9,032	Fall 2014
N	Li, Yueqing	PhD	Assist Prof	Indus Engr	1.0	\$79,000	2014-15
R	Lin, Sy-Chyi	PhD	Assoc Prof	Chem Engr	.50	\$7,554	SII 2014
R	Liu, Xinyu	PhD	Assoc Prof	Indus Engr	1.0	\$13,535	SII 2014
R	Majdalani, Joseph	DE	Adjunct	Civil Engr	.50	\$3,873	SII 2014
R	Majdalani, Joseph	DE	Adjunct	Civil Engr	.50	\$9,060	Fall 2014
R	Marquez, Alberto	PhD	Assoc Prof	Indus Engr	.50	\$6,682	SII 2014
R	Qian, Qin	PhD	Assist Prof	Civil Engr	1.0	\$12,619	SII 2014
R	Sayil, Selahattin	PhD	Assoc Prof	Elect Engr	.80	\$15,004	SII 2014
R	Tadmor, Maria	PhD	Adjunct	Chem Engr	.40	\$5,368	Fall 2014
R	Tohme, Hani	DE	Adjunct	Civil Engr	.50	\$12,000	Fall 2014
R	Wang, Ruhai	PhD	Professor	Elect Engr	1.0	\$15,334	SII 2014
R	Xu, Qiang	PhD	Assoc Prof	Chem Engr	.50	\$7,019	SII 2014
R	Yentzen, Gary	MS	Instructor	Indus Engr	.50	\$5,208	SII 2014

COLLEGE OF FINE ARTS & COMMUNICATION

R	Balentine, Byron	MA	Adjunct	Communication	.20	\$2,737	Fall 2014
R	Blanton, Linnis	BA	Adjunct	Art	.28	\$2,737	Fall 2014
R	Brigham, Sheldra	MA	Adjunct	Communication	.20	\$2,737	Fall 2014
R	Bronson, Delanea	MA	Adjunct	Spch/Hearing	.25	\$4,000	Fall 2014
R	Capps, Aaron	MA	Adjunct	Deaf Stud/Ed	.20	\$2,737	Fall 2014
N	Channapatna Manchiaiah, Vinaya	PhD	Assoc Prof/Jo Mayo End Prof	Spch/Hearing	1.0	\$50,000	SP 2015
R	Cobb, Joshua	MA	Adjunct	Communication	.20	\$2,737	Fall 2014
N	Condit, Richard	MA	Visit Instr	Music	1.0	\$50,000	2014-15
R	Coughlan, Andrew	MA	Adjunct	Communication	.40	\$5,474	Fall 2014
R	Dionne, Vickie	PhD	Assoc Prof	Spch/Hearing	1.0	\$10,638	SII 2014
N	Dockens, Ashley	BS	Instructor	Spch/Hearing	1.0	\$59,500	2014-15
R	Dubuisson, Zachary	MFA	Adjunct	Art	0.5	\$2,683	SII 2014
R	Dubuisson, Zachary	MFA	Adjunct	Art	.76	\$8,211	Fall 2014
R	Fedorchenko, Xenia	MFA	Assoc Prof	Art	0.5	\$4,619	SII 2014
R	Gachot, Richard	MFA	Adjunct	Art	.20	\$2,737	Fall 2014
R	Gachot, Richard	MFA	Assoc Prof	Art	1.0	\$33,729	SP 2015
R	Gentry, Mary Anne	EDD	Assoc Prof	Deaf Stud/Ed	1.0	\$11,667	SII 2014
R	Harn, Monica	PhD	Chair/Prof	Spch/Hearing	0.5	\$6,321	SII 2014
R	Gilman, Kurt	PhD	Assoc Prof	Music	.50	\$6,318	SII 2014
R	Hemenway, Paul	PhD	Professor	Communication	.50	\$5,960	SII 2014
R	Howard, Connie	PhD	Assist Prof	Spch/Hearing	1.0	\$9,650	SII 2014
R	Hunt, Kristyn	MA	Adjunct	Communication	.20	\$2,737	Fall 2014
R	Jacobs, William	MFA	Adjunct	Communication	.20	\$2,737	Fall 2014
R	Knight, Tracy	EdD	Adjunct	Deaf Stud/Ed	1.0	\$10,948	Fall 2014
R	Lindley, George	PhD	Adjunct	Spch/Hearing	.25	\$4,000	Fall 2014
R	Martin, Gabriel	EDD	Professor	Deaf Stud/Ed	1.0	\$13,742	SII 2014
R	Matthis, Rose	MFA	Visit Instr	Art	0.5	\$2,683	SII 2014
N	McManus, Gary	MFA	Visit Instr	Theatre/Dance	1.0	\$35,000	Fall 2014
R	Michalski, Nicki	PhD	Assoc Prof	Communication	1.0	\$9,990	SII 2014
R	Nichols, Karen	MA	Adjunct	Communication	.40	\$5,474	Fall 2014
R	Radhakrishnan, Nandhu	PhD	Assist Prof	Spch/Hearing	1.0	\$10,770	SII 2014
R	Rawls, James	MFA	Adjunct	Communication	.40	\$5,474	Fall 2014
R	Reho, Joseph	MA	Adjunct	Communication	.40	\$5,474	Fall 2014
N	Saul, Richard	PhD	Adjunct	Spch/Hearing	0.5	\$4,000	SII 2014
R	Smith, Zanthia	EDD	Assoc Prof	Deaf Stud/Ed	0.5	\$6,102	SII 2014
R	Whisenhunt-Saar, Karen	MA	Adjunct	Spch/Hearing	0.5	\$4,000	SII 2014

R	Wright, Christopher	MFA	Assist Prof	Theatre/Dance	0.5	\$4,212	SII 2014
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LIBRARY
None

CHANGE OF STATUS

Last, First	Department	Change of Status	Period
Arrington, Lucy	Theatre/Dance	From Visit Instr to Instructor	Fall 2014
Curl, Eileen	Nursing	From Chair/Prof to Professor	Fall 2014
Forret, Jeffrey	History	From Associate Professor to Interim Chair/Assoc Professor	Fall 2014
Grothe, Joel	Theatre/Dance	From Instructor to Tenure Track Assistant Professor	Fall 2014
Holmes, William	College Edu & Hum Dev	From Associate Dean/Prof to Interim Dean/Professor	Fall 2014
Kirk, Edythe	Psych	From Associate Professor to Interim Chair/Assoc Professor	Fall 2014
Pyne, Matthew	Biology	From Instructor to Assist Prof	Fall 2014
Shelton, Jeremy	Psych	From Interim Chair/Assoc Prof to Associate Professor	Fall 2014
Stinson, Cynthia	Nursing	From Associate Prof to Interim Chair/Associate Professor	Fall 2014
Wright, Stuart	Soc/SW/CJ	From Interim Chair/Professor to Chair/Professor	Fall 2014

SALARY STIPEND

Last, First	Department	Amount of Stipend	Period
Abernathy, Lucy	Ac Partnership	Received \$3,500 for course instruction	SI 2014
Abernathy, Lucy	Ac Partnership	Received \$3,500 for course instruction	SII 2014
Akright, Jan	Ac Partnership	Received \$1,500 for course instruction	SI 2014
Akright, Jan	Nursing	Received \$2,250 for Coord. of RN-BSN Track duties	2014-15
Akright, Jan	Nursing	Received \$5,127 for RN-BSN Articulation Track Coordinator duties	SII 2014
Alasti, Sanaz	Ac Partnership	Received \$1,500 for course instruction	SI 2014
Ammons, Rachael	Ac Partnership	Received \$500 for course instruction	SII 2014
Azodi, Donna	Ac Partnership	Received \$3,500 for course instruction	SII 2014
Borel, DarylAnn	Ac Partnership	Received \$3,500 for course instruction	SII 2014
Chisholm, LeAnn	Ac Partnership	Received \$750 for course instruction	SI 2014
Chisholm, LeAnn	Nursing	Received \$4,500 for Director of Edna Horn Gay Learning Center duties	2014-15
Corder, Paul	Mech Engr	Received \$1,500 for	SI 2014

		Supervising the Study Abroad Program Students	
Cortez-Rucker, Vance	Ac Partnership	Received \$3,500 for course instruction	SI 2014
Cortez-Rucker, Vance	Ac Partnership	Received \$3,500 for course instruction	SII 2014
Creel, Jimmy	Ac Partnership	Received \$500 for course instruction	SII 2014
Cummings, Cynthia	Ac Partnership	Received \$3,500 for course instruction	SI 2014
Cummings, Cynthia	Ac Partnership	Received \$3,500 for course instruction	SII 2014
Cummings, Kenneth	Ac Partnership	Received \$3,500 for course instruction	SII 2014
Das, Kumer	Mathematics	Received \$10,399 for Director of Undergraduate Research duties	07-01-14 thru 08-31-14
Davis, Randy	Ac Partnership	Received \$500 for course instruction	SII 2014
Dyrhaug, Kurt	Art	Received \$500 for Pre-College Summer Art Program	Summer 2014
Ervin, Mel	Ac Partnership	Received \$500 for course instruction	SI 2014
Ervin, Mel	Distance Ed	Received \$500 for course instruction	SIII2014
Fischer, Julia	Art	Received \$500 for Pre-College Summer Art Program	Summer 2014
Flosi, Alicen	Ac Partnership	Received \$500 for course instruction	SI 2014
Forret, Jeffrey	History	Received \$1,800 for Interim Chair duties	Fall 2014
Frels, Rebecca	Ac Partnership	Received \$500 for course instruction	SII 2014
Garcia, Jesus	Ac Partnership	Received \$1,500 for course instruction	SI 2014
Godkin, Roy	Ac Partnership	Received \$500 for course instruction	SI 2014
Hall, Iva	Ac Partnership	Received \$500 for course instruction	SIII2014
Hall, Iva	Nursing	Received \$6,750 for Director of Undergraduate Nursing Studies duties	2014-15
Hall, Iva	Nursing	Received \$7,502 for Undergraduate Nursing Studies Director duties	SII 2014
Harris, Patricia	Ac Partnership	Received \$1,000 for course instruction	SII 2014
Holmes, William	College Edu & Hum Dev	Received \$810 for Interim Dean duties	08-07-14 thru 08-31-14
Holmes, William	College Edu & Hum Dev	Received \$12,000 for Interim Dean duties	2014-15
Jagneaux,Lara	College of Arts & Sciences	Received \$4,500 for Program Coordinator in Advising Center duties	2014-15
Jenkins, Marshall	Ac Partnership	Received \$3,500 for course instruction	SI 2014
Jenkins, Marshall	Ac Partnership	Received \$1,500 for course instruction	SII 2014
Lanier, Boyd	Political Sci	Received \$2,100 for MPA and Undergraduate Academic Advisor duties	Fall 2014
Lopez, Belinda	Ac Partnership	Received \$500 for course instruction	SII 2014
Marquez, Alberto	Indus Engr	Received \$1,200 for Director of BSIT program duties	2014-15
Martin, Gary	Ac Partnership	Received \$3,500 for course instruction	SII 2014
Mayper, Theresa	Distance Ed	Received \$500 for course instruction	SIII2014

McAfee, Nancye	Ac Partnership	Received \$500 for course instruction	SIII2014
McCollough, John	Ac Partnership	Received \$500 for course instruction	SI 2014
Meeks, Donna	Art	Received \$500 for Pre-College Summer Art Program	Summer 2014
Nguyen, Anna	Ac Partnership	Received \$1,500 for course instruction	SII 2014
Nicks, Robert	Ac Partnership	Received \$500 for course instruction	SI 2014
Nicks, Robert	Ac Partnership	Received \$500 for course instruction	SII 2014
O'Connor, Johnny	Ac Partnership	Received \$3,500 for course instruction	SII 2014
Pape, Tess	Ac Partnership	Received \$500 for course instruction	SI 2014
Popp, Charles	Ac Partnership	Received \$500 for course instruction	SI 2014
Poston, Ken	Ac Partnership	Received \$2,000 for course instruction	SI 2014
Rivers, Dianna	Nursing	Received \$4,500 for Interim Director of Graduate Nursing Studies duties	2014-15
Rose, David	Ac Partnership	Received \$500 for course instruction	SI 2014
Scheer, Mary	History	Received \$5,725 for Gladys City Liaison duties	SII 2014
Shows, Amy	Ac Partnership	Received \$500 for course instruction	SI 2014
Stanley, O'Brien	Ac Partnership	Received \$500 for course instruction	SI 2014
Stewart, Arthur	English/M Lang	Received \$2,925 as Director for Center for Philosophical Studies duties	2014-15
Stinson, Cynthia	Ac Partnership	Received \$500 for course instruction	SI 2014
Stinson, Cynthia	Nursing	Received \$4,500 for Director of Academic Excellence and Coordinator of Continuing Education duties	2014-15
Stinson, Cynthia	Nursing	Received \$9,467 for preparations for Department of Nursing Interim Chair duties	SII 2014
Sun, Frank	Computer Science	Received \$3,400 for departmental Systems Administrator duties	SII 2014
Swerdlow, Marleen	Ac Partnership	Received \$5,600 for Program Management of Lamar AP BBA Degrees	SP/SIII 2014
Swerdlow, Marleen	Acct & Bus Law	Received \$11,149 for Director of General Business Programs	Summer 2014
Swerdlow, Marleen	Ac Partnership	Received \$8,400 Program Management of Lamar AP BBA Degrees	2014-15
Theford, Jennifer	Ac Partnership	Received \$750 for course instruction	SI 2014
Wilsker, Donna	Nursing	Received \$2,000 for Admissions Committee Chair duties	06-01-14 thru 07-31-14
Wines, Lisa	Ac Partnership	Received \$2,000 for course instruction	SII 2014
Yentzen, Gary	Indus Engr	Received \$600 for assisting with BSIT program	2014-15
Young, Megan	Art	Received \$500 for Pre-College Summer Art Program	Summer 2014
Zhu, Weihang	Indus Engr	Received \$4,000 to serve as the graduate SACS Coordinator for Industrial Engineering	2014-15

ADMINISTRATIVE AND UNCLASSIFIED PERSONNEL CHANGES

ADDITION

1. Le, Kiet; Associate Director for Student Activities/Civic Engagement, Setzer Student Center, at \$46,800, effective September 2, 2014
2. Palis, Jeffrey; Director of Global Studies and Study Abroad, College of Graduate Studies, at a 12-month rate of \$70,000, effective September 1, 2014.

CHANGE OF DUTIES

1. Bartlett, Daniel; Director, Undergraduate Advisement Center, additional duties and increased responsibility, at a 12-month rate of \$83,000, effective September 1, 2014.
2. Benoit, Sherry; Associate Vice President, Strategic Enrollment Management, additional duties and increased responsibility, at a 12-month rate of \$120,000, effective September 1, 2014.
3. Marsh, Gregory; Director, Institutional Research and Reporting, additional duties and increased responsibility, at a 12-month rate of \$70,000, effective September 1, 2014.

LEAVE OF ABSENCE

1. Crayton, Baraka; Director for Residence Life began FMLA with pay effective October 15, 2014.
2. Fontenot, Brandi; Assist Dir of Distance Learning, began FMLA with pay, effective August 29, 2014.
2. Hunter, Robert; Dir of Student Support, Ac Services, began FMLA leave with pay, effective August 18, 2014.
3. Sheehan, Ashley; Dir of Military Student Services, Ac Partnership, began FMLA leave with pay, effective July 14, 2014.
4. Sheehan, Ashley; Dir of Military Student Services, Ac Partnership, began FMLA leave without pay, effective July 30, 2014.
5. Sheehan, Ashley; Dir of Military Student Services, Ac Partnership, began FMLA leave with pay, effective August 11, 2014.
6. Shoefstall, Sherri; Dir of STARS, began FMLA leave with pay, effective July 23, 2014.
7. Shoefstall, Sherri; Dir of STARS, began FMLA leave without pay, effective July 29, 2014.
8. Shoefstall, Sherri, Dir of STARS, began FMLA leave with pay, effective August 20, 2014.

RECLASSIFICATION

1. Boudreaux, Kyle; from University Instructional Designer to Assist Dir, Distance Ed, with a salary increase of \$2,500 for additional duties, effective August 1, 2014.

STIPENDS

1. Garret, David; Associate Director of Operations for Recreational Sports, receiving a monthly stipend of \$350 per month from September 1, 2014 to November 15, 2014 for Greek Tailgating Coordinator responsibilities
2. Earls, Christopher; Associate Director of Programs for Recreational Sports, receiving a monthly stipend of \$200 per month from September 1, 2014 to November 15, 2014 for Greek Tailgating Relief Coordinator responsibilities.

COMMISSIONING AND BONDING OF UNIVERSITY POLICE OFFICERS

1. Hector Flores, Effective April 3, 2014
2. Robert D. Cowart, Effective June 1, 2014

Sam Houston State University

FACULTY PERSONNEL CHANGES

RESIGNATIONS

1. Aulbach, Rebecca, Associate Professor, Nursing, effective August 31, 2014.
2. Edwards, Lauren, Assistant Professor, Political Science, effective August 31, 2014.
3. Ellis, Colter, Assistant Professor, Sociology, effective August 31, 2014.
4. Henderson, Howard, Associate Professor, Criminal Justice and Criminology, effective August 31, 2014.
5. Swackhamer, Leslie, Assistant Professor, Theatre, effective August 31, 2014.
6. Warak, Melissa, Assistant Professor, Art, effective August 31, 2014.

RETIREMENT

1. McIntyre, Maureen, Professor, Theatre, effective May 31, 2014.

NON-REAPPOINTMENT

1. Kuzmar, Aiman, Associate Professor, Agricultural Sciences and Engineering Technology, effective May 31, 2015.

CHANGES IN STATUS

1. Bilhartz, Terry, Professor, History and Associate Dean, College of Humanities and Social Sciences; to Professor, History, effective August 31, 2014.
2. Bruce, Jerry, Professor, Psychology and Acting Dean, College of Education; to Professor, Psychology and Associate Dean, College of Humanities and Social Sciences, effective September 1, 2014.
3. Callaway, Rhonda, Associate Professor and Chair, Political Science; to Associate Professor, Political Science and Associate Dean, College of Humanities and Social Sciences, effective September 1, 2014.
4. Constance, Douglas, Professor, Sociology; to Professor and Acting Chair, Sociology, effective September 1, 2014.
5. Fuller, Matthew, Assistant Professor, Education; to Assistant Professor and Assistant Dean, College of Education, effective September 1, 2014.
6. Gerber, Jurg, Professor, Criminal Justice and Criminology; to Professor and Acting Chair, Security Studies, effective September 1, 2014.
7. Greybeck, Barbara, Associate Professor, Education; to Associate Professor, Education and Acting Chair, Language, Literacy and Special Populations, effective September 1, 2014.
8. Harman, Marsha, Professor, Psychology and Acting Associate Dean, College of Education; to Professor, Psychology, effective August 31, 2014.
9. Keathley, Rosanne, Professor and Acting Chair, Health and Kinesiology; to Professor and Associate Dean, College of Health Sciences, effective September 1, 2014.
10. Lilly, Juliana, Associate Dean, College of Business Administration; to Professor, Management and Associate Dean, College of Business Administration effective September 1, 2014.
11. Lyons, Phillip, Professor and Chair, Security Studies; to Acting Dean, College of Criminal Justice, effective September 1, 2014.
12. Miller, Lee, Associate Professor, Sociology and Associate Dean, College of Humanities and Social Sciences; to Associate Professor, Sociology, effective August 31, 2014.

13. Miller, Melinda, Professor and Chair, Language, Literacy and Special Populations; to Professor, Education, effective August 31, 2014.
14. Nelson, Judith, Associate Professor, Education; to Associate Professor and Acting Chair, Educational Leadership, effective September 1, 2014.
15. Nichter, Mary, Professor, Education; to Professor and Acting Chair, Counseling, effective September 1, 2014.
16. Theodori, Gene, Professor and Chair, Sociology; to Professor, Sociology, effective August 31, 2014.
17. Waggener, Tamara, Associate Professor, Political Science; to Associate Professor and Acting Chair, Political Science, effective September 1, 2014.
18. Young, Eugene, Professor, English and Acting Dean, College of Humanities and Social Sciences; to Professor, English and Dean, Honors College, effective September 1, 2014.
19. Zapalac, Ryan, Associate Professor, Kinesiology; to Associate Professor and Acting Chair, Kinesiology, effective September 1, 2014.

TENURE

1. Lawson II, David, Professor, Educational Leadership and Counseling, effective September 1, 2014.
2. Zink, Abbey, Professor, English, effective September 1, 2014.
3. Zuniga, Miguel, Associate Professor and Chair, Health Services and Promotion, effective September 1, 2014.

FACULTY APPOINTMENTS, New (N) and Renewal (R)

NAME	DEG	RANK	DEPARTMENT	%FTE	SALARY	PERIOD
COLLEGE OF BUSINESS ADMINISTRATION						
R Allen, Paul	M.B.A.	Lect.-Pool	Gen. Bus. & Fnce.	0.75	22,491	FY 2015
R Baker, Jerrine	M.B.A.	Lect.-Pool	Mngt. & Marketing	1.00	14,004	F 2014
R Beaty, Nicholas	J.D.	Lect.-Pool	Gen. Bus. & Fnce.	0.25	3,600	F 2014
N Dai, Bo	Ph.D.	Lect.-Pool	Mngt. & Marketing	0.25	4,500	F 2014
R Dickens, Gregory	M.B.A.	Lect.-Pool	Mngt. & Marketing	1.00	14,004	F 2014
R Durham, William	J.D.	Lect.-Pool	Gen. Bus. & Fnce.	0.25	4,273	F 2014
R Garrison, Nicole	M.B.A.	Lect.-Pool	Gen. Bus. & Fnce.	1.00	31,788	FY 2015
R Grant, Marsie	M.A.T.	Lect.-Pool	Eco. & Intl. Bus.	0.50	7,317	F 2014
R Haberman, James	M.B.A.	Lect.-Pool	Gen. Bus. & Fnce.	0.25	3,215	F 2014
N Jones, Carla	Ph.D.	Asst. Prof.	Mngt. & Marketing	1.00	97,002	FY 2015
N Jones, Jr., Robert	Ph.D.	Lect.-Pool	Mngt. & Marketing	0.25	3,600	F 2014
N McSwain, Dwayne	Ph.D.	Assoc.Prof.	Accounting	1.00	120,006	FY 2015
R Mehta, Gurinderjit	Ph.D.	Lect.-Pool	Gen. Bus. & Fnce.	1.00	42,012	FY 2015
N Sanders, Raymond	M.A.	Lect.-Pool	Gen. Bus. & Fnce.	0.25	3,308	F 2014
N Washburn, Mark	M.S.	Asst. Prof.	Accounting	1.00	100,008	FY 2015
N Wukich, Jacqueline	M.B.A.	Lecturer	Accounting	1.00	70,002	FY 2015
COLLEGE OF CRIMINAL JUSTICE						
R Barber, David M.	M.S.	Lect.-Pool	CJ & Criminology	0.50	6,012	F 2014
N Benton, Jacqueline	M.S.	Lect.-Pool	CJ & Criminology	0.25	3,006	F 2014
R Betts, Catherine F.	M.S.	Lect.-Pool	CJ & Criminology	0.50	6,012	F 2014
R Bowen, Lisa C.	M.S.	Lect.-Pool	CJ & Criminology	0.75	9,018	F 2014
N Breaux, Mary M.	Ph.D.	Lecturer	CJ & Criminology	1.00	65,016	FY 2015
N Brown, Jr., Melvin	Ph.D.	Lect.-Pool	CJ & Criminology	0.25	3,006	F 2014
R Cox, Brian A.	M.S.	Lect.-Pool	CJ & Criminology	0.50	6,012	F 2014
R del Carmen, R.	J.S.D.	Lect.-Pool	CJ & Criminology	0.25	3,006	F 2014
R Denham, Mark V.	M.S.	Lect.-Pool	CJ & Criminology	0.25	3,006	F 2014
R Ferguson, Michael	M.S.	Lect.-Pool	CJ & Criminology	0.25	3,006	F 2014
R Frels, Jack C.	J.D.	Lect.-Pool	CJ & Criminology	0.25	3,006	F 2014
R Garcia, Mitchell L.	M.S.	Lect.-Pool	CJ & Criminology	0.75	9,018	F 2014
R Gollmitzer, William	M.S.	Lect.-Pool	CJ & Criminology	0.25	3,006	F 2014
N Hayes, Brittany E.	Ph.D.	Asst. Prof.	CJ & Criminology	1.00	70,020	FY 2015
R Houston, David B.	M.S.	Lect.-Pool	CJ & Criminology	0.75	9,018	F 2014
R Humphrey, Billy S.	M.S.	Lect.-Pool	CJ & Criminology	0.25	3,006	F 2014
R Hurst, Lane A.	M.S.	Lect.-Pool	CJ & Criminology	0.75	9,018	F 2014
N Jones, Nathan P.	Ph.D.	Asst. Prof.	Security Studies	1.00	70,020	FY 2015

N	King, Darla R.	M.S.	Lect.-Pool	CJ & Criminology	0.25	3,006	F 2014
R	Krumpholz, Lindsey	M.S.	Lect.-Pool	CJ & Criminology	0.75	9,018	F 2014
R	Kukua, Diana O.	M.S.	Lect.-Pool	CJ & Criminology	0.75	9,018	F 2014
R	Livingston, Jr., R.	M.S.	Lect.-Pool	CJ & Criminology	0.50	6,012	F 2014
N	Lovestock, Ian	M.S.	Lect.-Pool	CJ & Criminology	0.25	3,006	F 2014
N	Lundberg, Russell	Ph.D.	Asst. Prof.	Security Studies	1.00	70,020	FY 2015
R	Lunsford, Kevin P.	B.S.	Lect.-Pool	CJ & Criminology	0.25	3,006	F 2014
R	Mabry, James E.	M.A.	Lect.-Pool	CJ & Criminology	0.50	6,012	F 2014
R	Ortiz, Madeline M.	J.D.	Lect.-Pool	CJ & Criminology	0.50	6,012	F 2014
R	Porto, Joseph A.	J.D.	Lect.-Pool	CJ & Criminology	0.25	3,006	F 2014
R	Price, Rebecca A.	M.S.	Lect.-Pool	CJ & Criminology	0.50	6,012	F 2014
R	Ross, Terry J.	M.A.	Lect.-Pool	CJ & Criminology	0.25	3,006	F 2014
R	Sanchez, Andrea	M.S.W.	Lect.-Pool	CJ & Criminology	0.25	3,006	F 2014
R	Schiro, Bennie L.	J.D.	Lect.-Pool	CJ & Criminology	0.25	3,006	F 2014
R	Sibila, Deborah A.	M.P.A.	Lect.-Pool	CJ & Criminology	0.50	6,012	F 2014
N	Stovall, Jr., Jerry	M.S.	Lect.-Pool	CJ & Criminology	0.25	3,006	F 2014
R	Stroud, Stephanie	J.D.	Lect.-Pool	CJ & Criminology	0.25	3,006	F 2014
R	Templeton, Melissa	M.A.	Lect.-Pool	CJ & Criminology	0.50	6,012	F 2014
R	Warren, Thomas	M.S.	Lect.-Pool	CJ & Criminology	0.25	3,006	F 2014
R	Williamson, Bridget	M.A.	Lect.-Pool	CJ & Criminology	0.25	3,006	F 2014
R	Wilson, Brent Y.	M.S.	Lect.-Pool	CJ & Criminology	0.25	3,006	F 2014

COLLEGE OF EDUCATION

R	Aguilar-Crandall, M.	Ph.D.	Lect.-Pool	Library Science	0.25	2,462	F 2014
R	Ainsworth, Tina B.	Ph.D.	Lect.-Pool	Ed.Lead.& Couns.	0.25	2,462	F 2014
R	Akay, Sinem	Ph.D.	Lect.-Pool	Ed.Lead.& Couns.	0.50	4,923	F 2014
R	Allen, Elizabeth L.	M.Ed.	Lect.-Pool	Curr. & Instr.	0.50	4,376	F 2014
R	Allen, Robert S.	Ed.D.	Lect.-Pool	Ed.Lead.& Couns.	0.25	2,462	F 2014
R	Anderson, Glynda	M.Ed.	Lect.-Pool	Curr. & Instr.	0.16	1,400	F 2014
N	Anderson, Teresa	M.Ed.	Lect.-Pool	Lang.,Lit.&Sp.Pop	0.25	2,462	F 2014
R	Ansley, Denise D.	M.Ed.	Lect.-Pool	Lang.,Lit.&Sp.Pop	0.25	2,462	F 2014
R	Aucoin, Jennifer M.	Ph.D.	Lect.-Pool	Ed.Lead.& Couns.	0.50	4,923	F 2014
R	Bajza, Susan I.	Ph.D.	Lect.-Pool	Ed.Lead.& Couns.	0.50	4,923	F 2014
N	Baker, Christin D.	Ed.D.	Lect.-Pool	Lang.,Lit.&Sp.Pop	0.42	4,135	F 2014
N	Barrett, Judy K.	M.Ed.	Lect.-Pool	Curr. & Instr.	0.25	2,188	F 2014
R	Bethel, Jana W.	Ed.D.	Lect.-Pool	Lang.,Lit.&Sp.Pop	0.75	7,385	F 2014
R	Bordelon, Rachel	Ph.D.	Lect.-Pool	Curr. & Instr.	0.75	7,385	F 2014
R	Borg, Susan K.	Ed.D.	Lect.-Pool	Ed.Lead.& Couns.	0.25	2,462	F 2014
R	Boyter, Dennis G.	M.A.	Lect.-Pool	Curr. & Instr.	0.08	700	F 2014
R	Brady, Carole A.	M.Ed.	Lect.-Pool	Curr. & Instr.	1.00	9,846	F 2014
R	Branch, Sabine M.	M.Ed.	Lect.-Pool	Lang.,Lit.&Sp.Pop	0.17	1,674	F 2014
R	Brock, Rosemarie	Ph.D.	Lect.-Pool	Library Science	0.25	2,462	F 2014

R	Burt, Elizabeth A.	M.Ed.	Lect.-Pool	Curr. & Instr.	0.25	2,462	F 2014
N	Cain, Jaime L.	M.Ed.	Lect.-Pool	Curr. & Instr.	0.75	7,385	F 2014
R	Calfee, Lynette	M.Ed.	Lect.-Pool	Curr. & Instr.	0.25	2,188	F 2014
R	Causey, Mary C.	Ph.D.	Lect.-Pool	Ed.Lead.& Couns.	0.25	2,462	F 2014
R	Chapman, William	Ed.D.	Lect.-Pool	Curr. & Instr.	0.25	2,462	F 2014
R	Clark, Cindy L.	M.S.	Lect.-Pool	Lang.,Lit.&Sp.Pop	0.33	3,249	F 2014
R	Collier, Crystal A.	Ph.D.	Lect.-Pool	Ed.Lead.& Couns.	0.25	2,462	F 2014
R	Crews, Linda L.	M.Ed.	Lect.-Pool	Curr. & Instr.	0.25	2,462	F 2014
R	Dalton, Kathleen	M.Ed.	Lect.-Pool	Lang.,Lit.&Sp.Pop	0.50	6,003	F 2014
R	Dauzat, Jodine L.	M.Ed.	Lect.-Pool	Lang.,Lit.&Sp.Pop	0.50	4,923	F 2014
R	DeFrance, Emily A.	Ph.D.	Lect.-Pool	Ed.Lead.& Couns.	0.50	4,923	F 2014
N	Dillard, Benita R.	Ph.D.	Asst. Prof.	Lang.,Lit.&Sp.Pop	1.00	55,008	FY 2015
R	Earls, Elaine L.	M.Ed.	Lect.-Pool	Lang.,Lit.&Sp.Pop	0.50	4,923	F 2014
R	Fanning, Lily S.	M.Ed.	Lect.-Pool	Curr. & Instr.	0.50	4,376	F 2014
N	Farrar, Helen E.	M.Ed.	Lect.-Pool	Lang.,Lit.&Sp.Pop	0.50	4,923	F 2014
N	Fishburn, Catherine	M.Ed.	Lect.-Pool	Curr. & Instr.	0.08	700	F 2014
R	Fitzgerald, Evelyne	Ph.D.	Lect.-Pool	Ed.Lead.& Couns.	0.50	4,923	F 2014
R	Forester, Tiffany D.	M.Ed.	Lect.-Pool	Curr. & Instr.	0.50	4,923	F 2014
N	Frye, Julie M.	Ph.D.	Asst. Prof.	Library Science	1.00	63,000	FY 2015
R	Goodwin, Truman	M.Ed.	Lect.-Pool	Curr. & Instr.	0.25	2,188	F 2014
N	Gordon, Teandra	Ph.D.	Lect.-Pool	Ed.Lead.& Couns.	0.50	4,923	F 2014
N	Goyette, Diane T.	M.A.	Lect.-Pool	Lang.,Lit.&Sp.Pop	0.17	1,674	F 2014
N	Gray, Pamela L.	M.A.	Asst. Prof.	Ed.Lead.& Couns.	1.00	61,002	FY 2015
R	Haas, Lory E.	Ed.D.	Lect.-Pool	Lang.,Lit.&Sp.Pop	1.00	20,007	F 2014
R	Hail, Darol W.	Ed.D.	Lect.-Pool	Ed.Lead.& Couns.	0.25	2,801	F 2014
N	Hammons, C.	M.Ed.	Lect.-Pool	Lang.,Lit.&Sp.Pop	0.25	2,462	F 2014
R	Hastings, Robert F.	M.A.	Lect.-Pool	Curr. & Instr.	0.25	2,188	F 2014
R	Hemmen, Janene	M.Ed.	Lect.-Pool	Ed.Lead.& Couns.	0.25	2,462	F 2014
R	Henderson, David	Ed.D.	Lect.-Pool	Curr. & Instr.	0.50	4,923	F 2014
R	Hersperger, Susan	Ed.D.	Lect.-Pool	Ed.Lead.& Couns.	0.25	2,462	F 2014
R	Hood, Gary K.	Ph.D.	Lect.-Pool	Ed.Lead.& Couns.	0.75	8,404	F 2014
R	Horton, Robert W.	Ed.D.	Lect.-Pool	Ed.Lead.& Couns.	0.25	2,462	F 2014
R	Hubbard, Karen B.	M.Ed.	Lect.-Pool	Curr. & Instr.	0.29	2,538	F 2014
R	Hudson, Janice M.	M.Ed.	Lect.-Pool	Curr. & Instr.	0.20	1,750	F 2014
N	Ingram, Jacqueline	Ed.D.	Lect.-Pool	Lang.,Lit.&Sp.Pop	0.75	7,385	F 2014
R	Jett, Walter P.	M.Ed.	Lect.-Pool	Curr. & Instr.	0.54	4,726	F 2014
R	Jones, Carolyn D.	M.Ed.	Lect.-Pool	Curr. & Instr.	0.25	2,462	F 2014
R	Jones, Joan C.	M.Ed.	Lect.-Pool	Curr. & Instr.	0.41	3,588	F 2014
R	Kamman, Eldred K.	M.Ed.	Lect.-Pool	Curr. & Instr.	0.20	1,750	F 2014
R	Kennair, Glenda M.	M.Ed.	Lect.-Pool	Curr. & Instr.	0.45	3,938	F 2014
R	King, Carolyn S.	Ed.D.	Lect.-Pool	Ed.Lead.& Couns.	0.25	2,462	F 2014
R	Kinsworthy, Sarah	Ph.D.	Lect.-Pool	Ed.Lead.& Couns.	0.25	2,462	F 2014
R	Kohn, Lawrence Y.	Ed.D.	Lect.-Pool	Curr. & Instr.	0.25	2,462	F 2014
R	Kossie, Calvin E.	M.S.	Lect.-Pool	Curr. & Instr.	0.16	1,400	F 2014

N	Labby, Sandra A.	Ed.D.	Lect.-Pool	Curr. & Instr.	0.75	7,385	F 2014
N	Lackey, Steven L.	Ph.D.	Lect.-Pool	Ed.Lead.& Couns.	0.50	4,923	F 2014
N	Lane, Austin A.	Ed.D.	Lect.-Pool	Ed.Lead.& Couns.	0.25	2,462	F 2014
N	Lawson, II, David	Ph.D.	Professor	Ed.Lead.& Couns.	1.00	92,016	FY 2015
R	Lebo, Merri L.	M.S.Ed.	Lect.-Pool	Curr. & Instr.	0.33	2,888	F 2014
R	Lester, James A.	M.S.	Lect.-Pool	Curr. & Instr.	0.25	2,188	F 2014
R	Lobo-Guerrero, C.	M.A.	Lect.-Pool	Lang.,Lit.&Sp.Pop	1.00	20,007	F 2014
R	McIntush, Karen E.	M.Ed.	Lect.-Pool	Curr. & Instr.	1.00	9,846	F 2014
R	Meecker, Steven D.	Ed.D.	Lect.-Pool	Curr. & Instr.	0.25	2,462	F 2014
N	Mergele, Catherine	Ed.D.	Lect.-Pool	Lang.,Lit.&Sp.Pop	0.25	2,462	F 2014
R	Moehlman, John E.	M.Ed.	Lect.-Pool	Curr. & Instr.	0.37	3,238	F 2014
R	Moore, Donna G.	M.Ed.	Lect.-Pool	Curr. & Instr.	0.45	3,938	F 2014
R	Moore, J. Carolyn	M.Ed.	Lect.-Pool	Curr. & Instr.	1.00	9,846	F 2014
R	Moore, Robin L.	Ed.D.	Lect.-Pool	Library Science	0.25	2,462	F 2014
R	Nardone, Albert J.	Ph.D.	Lect.-Pool	Curr. & Instr.	0.20	1,750	F 2014
R	Nowlin, William E.	M.Ed.	Lect.-Pool	Curr. & Instr.	0.25	2,462	F 2014
R	Ogletree, Quinita	Ph.D.	Lect.-Pool	Lang.,Lit.&Sp.Pop	0.50	4,923	F 2014
N	Okoro, Ramonda	M.Ed.	Lect.-Pool	Lang.,Lit.&Sp.Pop	0.17	1,674	F 2014
R	Peters, Wole	Ph.D.	Lect.-Pool	Lang.,Lit.&Sp.Pop	0.25	2,462	F 2014
R	Peterson, Rachel	M.Ed.	Lect.-Pool	Lang.,Lit.&Sp.Pop	0.17	1,674	F 2014
N	Pinto, Prasopsuk	Ed.D.	Cln.Ast.Pro	Lang.,Lit.&Sp.Pop	1.00	40,014	FY 2015
N	Powell, Cynthia G.	Ph.D.	Lect.-Pool	Ed.Lead.& Couns.	0.25	2,462	F 2014
R	Richardson, R.	Ph.D.	Lect.-Pool	Lang.,Lit.&Sp.Pop	0.25	2,462	F 2014
R	Schott, Sally M.	M.M.Ed.	Lect.-Pool	Curr. & Instr.	0.08	700	F 2014
N	Seils, Traci A.	Ed.D.	Lect.-Pool	Lang.,Lit.&Sp.Pop	0.25	2,462	F 2014
R	Sheneman, Laura	Ed.D.	Lect.-Pool	Library Science	0.50	4,923	F 2014
R	Sheppard, Deana	Ed.D.	Lect.-Pool	Ed.Lead.& Couns.	0.25	2,462	F 2014
R	Simmons, Midge	M.Ed.	Lect.-Pool	Lang.,Lit.&Sp.Pop	0.50	4,923	F 2014
N	Simon, Tiffany T.	Ph.D.	Lect.-Pool	Ed.Lead.& Couns.	0.50	4,923	F 2014
R	Sinclair, Kimberly	Ed.D.	Lect.-Pool	Lang.,Lit.&Sp.Pop	0.34	3,348	F 2014
R	Singer, Erin A.	M.S.	Lect.-Pool	Curr. & Instr.	1.00	9,846	F 2014
R	Skeen, Christel L.	M.Ed.	Lect.-Pool	Lang.,Lit.&Sp.Pop	0.17	1,674	F 2014
R	Smedley, Linda	M.S.	Lect.-Pool	Curr. & Instr.	0.50	4,376	F 2014
R	Solomon, Jan	M.Ed.	Lect.-Pool	Lang.,Lit.&Sp.Pop	0.41	4,037	F 2014
R	Srinivasan, S.	Ed.D.	Lect.-Pool	Ed.Lead.& Couns.	0.25	2,462	F 2014
N	Stone, Thomas G.	M.Ed.	Lect.-Pool	Curr. & Instr.	0.08	700	F 2014
N	Stulmaker, Hayley	Ph.D.	Asst. Prof.	Ed.Lead.& Couns.	1.00	52,002	FY 2015
R	Swicegood, Sarah	M.Ed.	Lect.-Pool	Curr. & Instr.	1.00	20,007	F 2014
R	Taliaferro, Lynda J.	M.L.S.	Lect.-Pool	Curr. & Instr.	0.16	1,400	F 2014
N	Tandon, Madhulika	M.Ed.	Lect.-Pool	Lang.,Lit.&Sp.Pop	0.75	7,385	F 2014
R	Thompson, Jamie	M.Ed.	Lect.-Pool	Curr. & Instr.	1.00	9,846	F 2014
N	Trevino, Angelica	M.Ed.	Lect.-Pool	Lang.,Lit.&Sp.Pop	0.25	2,462	F 2014
R	Vijil, Veronica	Ed.D.	Lect.-Pool	Ed.Lead.& Couns.	0.25	2,462	F 2014
R	Walker, Kate M.	Ph.D.	Lect.-Pool	Ed.Lead.& Couns.	0.25	2,462	F 2014

R	Watts, Cheryl M.	M.Ed.	Lect.-Pool	Curr. & Instr.	1.00	18,999	F 2014
R	Webb, Michael A.	Ph.D.	Lect.-Pool	Lang.,Lit.&Sp.Pop	0.25	2,801	F 2014
N	Whitley, Megan J.	Ed.D.	Lect.-Pool	Lang.,Lit.&Sp.Pop	0.50	4,923	F 2014
R	Wienecke, Keith R.	M.Ed.	Lect.-Pool	Curr. & Instr.	0.33	2,888	F 2014
R	Wilder, Kameron	M.A.	Lect.-Pool	Curr. & Instr.	0.25	2,462	F 2014
R	Williams, Martha D.	M.Ed.	Lect.-Pool	Curr. & Instr.	0.25	2,188	F 2014
R	Wilson, Alena C.	M.Ed.	Lect.-Pool	Lang.,Lit.&Sp.Pop	0.50	4,923	F 2014
R	Wilson, Lillie B.	M.Ed.	Lect.-Pool	Curr. & Instr.	0.37	3,238	F 2014
R	Wilson, Tara D.	M.Ed.	Lect.-Pool	Lang.,Lit.&Sp.Pop	0.25	2,462	F 2014
R	Winfree-Holtkamp,L	Ed.D.	Lect.-Pool	Curr. & Instr.	0.25	2,462	F 2014
N	Woltz, Jeanie I.	M.Ed.	Lect.-Pool	Lang.,Lit.&Sp.Pop	0.25	2,462	F 2014
R	Yarbrough, Patricia	M.Ed.	Lect.-Pool	Curr. & Instr.	0.20	1,750	F 2014
R	Zoda, Pamela F.	Ph.D.	Lect.-Pool	Ed.Lead.& Couns.	0.25	2,462	F 2014

COLLEGE OF FINE ARTS AND MASS
COMMUNICATION

N	Aschauer, Mario	Ph.D.	Asst. Prof.	Music	1.00	54,000	FY 2015
R	Barth, John H.	B.M.	Lect.-Pool	Theatre	0.25	6,003	FY 2015
N	Carper, Kiira S.	B.F.A.	Vst.Ast.Pro	Theatre	1.00	45,000	FY 2015
N	Cascio, Christopher	M.F.A.	Lect.-Pool	Art	0.75	9,005	F 2014
R	Cho, James H.	D.M.A.	Lect.-Pool	Music	1.00	24,012	FY 2015
R	Crabtree, John M.	D.M.A.	Lect.-Pool	Music	1.00	24,012	FY 2015
N	Crouch, Kevin G.	M.F.A.	Lect.-Pool	Theatre	1.00	44,010	FY 2015
R	Daniel, Robert N.	M.M.	Lect.Spec	Music	0.70	14,011	FY 2015
R	Davis, Colin L.	M.M.	Lect.-Pool	Music	1.00	24,012	FY 2015
N	Deveau, David J.	M.F.A.	Vst.Ast.Pro	Dance	1.00	48,600	FY 2015
R	Dunham, Deborah	M.F.A.	Lect.Spec	Music	0.50	10,008	FY 2015
R	Eaton, Denise R.	M.M.	Lect.-Pool	Music	0.49	9,808	FY 2015
R	Englert, David J.	M.M.	Lect.-Pool	Music	1.00	24,012	FY 2015
R	Eschenfelder, C.	M.A.	Lect.-Pool	Mass Comm.	0.25	3,002	F 2014
R	Franklin, Nicole	M.M.	Lect.-Pool	Music	1.00	24,012	FY 2015
N	Funk, Marcus J.	Ph.D.	Asst. Prof.	Mass Comm.	1.00	52,002	FY 2015
N	Garrison, Ericca H.	M.F.A.	Lect.-Pool	Art	0.25	3,501	F 2014
N	Gibson, Elijah A.	M.F.A.	Asst. Prof.	Dance	1.00	48,600	FY 2015
N	Givens, Melissa E.	D.M.A.	Lect.-Pool	Music	1.00	24,012	FY 2015
R	Gjevre, Naomi K.	D.M.	Lect.Spec	Music	1.00	24,012	FY 2015
R	Gundersen, John	D.M.A.	Lect.Spec	Theatre	0.50	12,006	FY 2015
N	Haggard, Amy L.	Ph.D.	Lect.-Pool	Art	0.50	6,003	F 2014
N	Harrell, Andrea L.	M.M.	Lect.-Pool	Music	1.00	24,012	FY 2015
R	Herrington, Brian	M.M.	Lect.-Pool	Music	1.00	24,012	FY 2015
N	Herrmann, Elisa	M.F.A.	Asst. Prof.	Mass Comm.	1.00	50,004	FY 2015
R	Holm, Matthew D.	M.M.	Lect.-Pool	Music	1.00	24,012	FY 2015

R	Hunt, Robert E.	D.M.A.	Lect.-Pool	Music	0.50	10,008	FY 2015
N	Ikard, David E.	M.M.	Lect.-Pool	Music	1.00	24,012	FY 2015
R	Johnson, Jr., John	M.A.	Lect.-Pool	Music	0.50	10,008	FY 2015
N	Lantz, Victoria P.	Ph.D.	Vst.AstPro	Theatre	1.00	50,004	FY 2015
R	Lee, Karen A.	J.D.	Lect.-Pool	Mass Comm.	1.00	12,006	F 2014
N	Leydon, Joseph P.	M.A.	Lect.-Pool	Mass Comm.	0.25	3,002	F 2014
N	Lockey, Nicholas	Ph.D.	Asst. Prof.	Music	1.00	52,002	FY 2015
N	Magnusen, Kaia L.	Ph.D.	Vst.Ast.Pro	Art	1.00	45,000	FY 2015
R	McAdow, Seth T.	M.M.	Lect.Spec	Music	1.00	24,012	FY 2015
R	McCroskey, John		Lect.Spec	Music	0.25	5,004	FY 2015
R	McFarlane, Daniel	M.F.A.	Lect.-Pool	Art	0.75	9,005	F 2014
N	McLaughlin, K.	B.F.A.	Lect.-Pool	Theatre	0.50	6,003	F 2014
R	Meador, Charlotte	M.A.	Lect.-Pool	Mass Comm.	1.00	12,006	F 2014
R	Mitroi, Tudor	M.F.A.	Lect.-Pool	Art	1.00	24,012	FY 2015
R	Montiel, Alejandro	D.M.A.	Lect.Spec	Music	1.00	24,012	FY 2015
R	Mott, Jammieca D.	D.M.A.	Lect.-Pool	Music	1.00	24,012	FY 2015
R	Nicolay, Betty J.	M.Ed.	Lect.-Pool	Dance	1.00	12,006	F 2014
R	Osborne, Robert B.	M.M.	Lect.Spec	Music	1.00	24,012	FY 2015
N	Page, Paula	B.M.	Lect.-Pool	Music	0.25	5,004	FY 2015
N	Pasternack, J.	D.M.A.	Vst.AstPro	Music	1.00	54,000	FY 2015
R	Pepping, Amanda	D.M.A.	Lect.-Pool	Music	1.00	24,012	FY 2015
N	Petrova, Anna P.	M.M.	Lect.-Pool	Music	0.50	12,006	FY 2015
N	Prince, Andrew J.	M.M.	Lect.-Pool	Theatre	1.00	24,012	FY 2015
R	Rawlins, Debra A.	M.M.Ed.	Lect.-Pool	Music	0.50	10,008	FY 2015
R	Reid, Cynthia K.	M.F.A.	Lect.-Pool	Art	0.25	3,002	F 2014
R	Sawyers, Donna H.	M.M.	Lect.Spec	Theatre	1.00	24,012	FY 2015
R	Seaman, Charles	M.M.	Lect.-Pool	Music	1.00	24,012	FY 2015
N	Seo, Ki W.	Ph.D.	Vst.Ast.Pro	Mass Comm.	1.00	50,004	FY 2015
N	Snyder, Andra E.	M.M.	Vst.Ast.Pro	Music	1.00	50,004	FY 2015
N	Soto, Josu D.	D.M.A.	Asst. Prof.	Music	1.00	52,002	FY 2015
N	Strait, Mel L.	M.S.	ClnAssoc.P	Mass Comm.	1.00	51,012	FY 2015
R	Thetford, Brittany	M.F.A.	Lect.-Pool	Dance	0.75	9,005	F 2014
N	Tiebout, James E.	B.S.	Lect.-Pool	Art	0.25	3,501	F 2014
R	Valk, Alexis D.	D.A.	Lect.Spec	Music	1.00	24,012	FY 2015
R	Vogel, Pamela M.	M.F.A.	Lect.Spec	Theatre	1.00	24,012	FY 2015
R	Walsh, Michael E.	M.M.	Lect.-Pool	Music	1.00	24,012	FY 2015
N	Ware, Michael W.	M.M.	Lect.Spec	Music	0.50	10,008	FY 2015
R	Warkentin, Stephen	M.M.	Lect.Spec	Music	1.00	24,012	FY 2015
R	Weedman, Matthew	M.F.A.	Lect.-Pool	Art	1.00	24,012	FY 2015
R	White, Dawson L.	M.M.	Lect.Spec	Music	0.50	10,008	FY 2015

COLLEGE OF HEALTH SCIENCES

N	Arrowood, Tara S.	M.A.	Lect.-Pool	Hlth Ser & Promo	0.25	2,462	F 2014
R	Boaz, Eileen M.	M.A.	Lect.-Pool	Hlth Ser & Promo	0.75	7,385	F 2014
N	Brown, Stephen L.	Ph.D.	Assoc.Prof.	Hlth Ser & Promo	1.00	86,004	FY 2015
N	Chang, Seung H.	M.A.Ed.	Lect.-Pool	Kinesiology	1.00	12,096	F 2014
R	Collier, Charlotte	M.S.	Lect.-Pool	Kinesiology	0.50	5,585	F 2014
R	Dougherty, Laura	M.S.	Lect.-Pool	Kinesiology	1.00	9,846	F 2014
R	Doughty, Casi T.	M.A.	Lect.-Pool	Hlth Ser & Promo	0.25	2,462	F 2014
N	Duncan, Deborah	M.S.N.	Cln.Ast.Pro	Nursing	1.00	59,004	FY 2015
N	Evans, Barbara A.	M.A.T.	Lect.-Pool	Fam. & Con. Sci.	0.25	3,002	F 2014
R	Fenley, Ryan N.	M.H.M.	Lect.-Pool	Fam. & Con. Sci.	0.50	6,003	F 2014
N	Fulton, Daphne S.	M.P.H.	Lect.-Pool	Hlth Ser & Promo	1.00	12,096	F 2014
R	Gray, Devon D.	M.A.	Lect.-Pool	Hlth Ser & Promo	0.75	7,385	F 2014
R	Griggs, Harriet H.	Ph.D.	Lect.-Pool	Fam. & Con. Sci.	1.00	12,006	F 2014
N	Hale, Teresa L.	M.S.N.	Lect.-Pool	Nursing	0.83	10,271	F 2014
R	Jeter, Steven W.	M.A.	Lect.-Pool	Hlth Ser & Promo	0.50	4,923	F 2014
N	Kennedy, Deanna	M.S.	Lect.-Pool	Kinesiology	0.50	6,048	F 2014
R	Knesek, Natalie D.	M.S.	Lect.-Pool	Fam. & Con. Sci.	0.25	3,002	F 2014
R	Langelier, Adrienne	M.A.	Lect.-Pool	Kinesiology	0.25	2,462	F 2014
R	Le Norman, Dustin	M.S.	Lect.-Pool	Kinesiology	0.50	4,923	F 2014
R	Meyers, Penelope	M.A.	Lect.-Pool	Fam. & Con. Sci.	1.00	25,002	F 2014
N	Neill, C. Denise	Ph.D.	Assoc.Prof.	Nursing	1.00	78,012	FY 2015
R	Ocker, Liette B.	Ph.D.	Lect.-Pool	Kinesiology	0.75	14,101	F 2014
R	Oden, Simmie M.	M.A.	Lect.-Pool	Kinesiology	1.00	12,096	F 2014
N	Ramsay, Patricia	M.F.A.	Lect.-Pool	Fam. & Con. Sci.	0.50	6,003	F 2014
R	Sandlin, Judy R.	Ph.D.	Lect.-Pool	Hlth Ser & Promo	0.25	3,204	F 2014
N	Scarbrough, A.	Ph.D.	Asst. Prof.	Hlth Ser & Promo	1.00	66,006	FY 2015
R	Smith, Carol M.	M.A.	Lect.-Pool	Fam. & Con. Sci.	0.25	3,002	F 2014
R	Stone, Susie E.	M.A.	Lect.-Pool	Hlth Ser & Promo	1.00	17,991	F 2014
N	Tollenaere, Judy	M.S.	ClnAssoc.P	Nursing	1.00	63,774	FY 2015
R	Townsend, K.	M.S.	Lect.-Pool	Fam. & Con. Sci.	0.50	6,003	F 2014
N	Vander Stucken, M.	M.S.N.	Cln.Ast.Pro	Nursing	1.00	59,004	FY 2015
R	Wallace, Courtney	M.A.	Lect.-Pool	Hlth Ser & Promo	1.00	11,052	F 2014
R	Walton, Randall K.	M.S.	Lect.-Pool	Kinesiology	1.00	9,846	F 2014
R	Ward, Kevin L.	B.B.A.	Lect.-Pool	Kinesiology	0.13	1,280	F 2014
R	Wilcox-Pereira, R.	M.A.	Lect.-Pool	Kinesiology	1.00	9,846	F 2014
N	Wilhite, Elizabeth	M.S.	Cln.Ast.Pro	Nursing	1.00	59,004	FY 2015
N	Williams, Mary L.	M.A.	Asst. Prof.	Kinesiology	1.00	73,008	FY 2015
N	Zuniga, Miguel A.	D.P.H.	Assoc.Prof.	Hlth Ser & Promo	1.00	91,800	FY 2015

COLLEGE OF HUMANITIES AND SOCIAL SCIENCES

R	Abernathy, Carlton	M.A.	Lect.-Pool	Comm. Studies	0.50	6,003	F 2014
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R	Alford, Nadezhda	M.A.	Lect.-Pool	English	1.00	24,012	FY 2015
R	Baker, Caleb L.	M.A.	Lect.-Pool	Foreign Lang.	1.00	12,006	F 2014
R	Biles, John W.	Ph.D.	Lect.-Pool	History	0.25	3,002	F 2014
R	Brady, Micki L.	M.A.	Lect.-Pool	History	1.00	12,006	F 2014
R	Brown, Crystal G.	M.A.	Lect.-Pool	Sociology	1.00	12,006	F 2014
N	Brown, Jonathan	Ph.D.	Asst. Prof.	Political Science	1.00	55,512	FY 2015
N	Cabaniss, Emily R.	M.A.	Asst. Prof.	Sociology	1.00	55,008	FY 2015
N	Cantu, Aleha B.	Ph.D.	Lect.-Pool	Psy. & Philosophy	0.75	9,005	F 2014
R	Chabot, Bruce G.	Ph.D.	Lect.-Pool	English	1.00	24,012	FY 2015
N	Chen, Yixin	Ph.D.	Asst. Prof.	Comm. Studies	1.00	52,002	FY 2015
R	Child, Jennifer L.	M.A.	Lect.-Pool	English	1.00	24,012	FY 2015
N	Clemens-Clayton, C	Ph.D.	Lect.-Pool	Psy. & Philosophy	0.50	6,003	F 2014
R	Cook, Mary G.	M.A.	Lect.-Pool	English	1.00	24,012	FY 2015
N	Dancy, Jeremiah	Ph.D.	Asst. Prof.	History	1.00	58,014	FY 2015
N	Darrat, Suleiman	D.Engr.	Lect.-Pool	Foreign Lang.	0.50	6,003	F 2014
N	Dean, Amy K.R.	M.A.	Lect.-Pool	History	0.25	3,002	F 2014
N	Dluhos, Jarret J.	M.A.	Lect.-Pool	History	0.50	6,003	F 2014
N	Emiralioglu, M.	Ph.D.	Assoc.Prof.	History	1.00	62,514	FY 2015
R	Ferguson, III, W.	M.A.	Lect.-Pool	History	1.00	12,006	F 2014
R	Gaa, John P.	Ph.D.	Lect.-Pool	Psy. & Philosophy	0.25	3,002	F 2014
R	Gaines, David T.	M.A.	Lect.-Pool	English	1.00	24,012	FY 2015
R	Gallo, Joseph R.	Ph.D.	Lect.-Pool	Sociology	1.00	12,006	F 2014
N	Gaskamp, K.	M.A.	Lect.-Pool	History	1.00	12,006	F 2014
R	Gongora, Jennifer	M.A.	Lect.-Pool	Foreign Lang.	1.00	12,006	F 2014
N	Granic-White, Maria	Ph.D.	Lect.-Pool	English	1.00	12,006	F 2014
R	Greene, Deborah	M.A.	Lect.-Pool	English	1.00	24,012	FY 2015
N	Gutierrez-Beltran, V	M.A.	Lect.-Pool	Foreign Lang.	1.00	12,006	F 2014
R	Hanks, Wendy C.	M.A.	Lect.-Pool	English	1.00	24,012	FY 2015
N	Hill, Candice L.	M.S.	Lect.-Pool	Sociology	0.50	6,003	F 2014
R	Holmberg, Joseph	M.S.	Lect.-Pool	Foreign Lang.	1.00	12,816	F 2014
R	Jaggers, Terri E.	M.A.	Lect.-Pool	Comm. Studies	1.00	24,012	FY 2015
N	Jha, Nandan K.	Ph.D.	Vst.Ast.Pro	Political Science	1.00	45,000	FY 2015
R	Jones, Barbara A.	M.A.	Lect.-Pool	English	1.00	24,012	FY 2015
R	Kerr, William R.	M.A.	Lect.-Pool	English	1.00	24,012	FY 2015
R	Lanthorn, Thomas	Ph.D.	Lect.-Pool	Psy. & Philosophy	0.50	6,003	F 2014
R	Luu, Phuc	M.A.	Lect.-Pool	Psy. & Philosophy	0.50	6,003	F 2014
R	Magee, Joseph M.	Ph.D.	Lect.-Pool	Psy. & Philosophy	0.25	3,002	F 2014
N	Magyar, Melissa S.	Ph.D.	Asst. Prof.	Psy. & Philosophy	1.00	56,016	FY 2015
R	Marcom, Alice S.	M.A.	Lect.-Pool	English	1.00	24,012	FY 2015
R	McCoy, Kristine I.	M.A.	Lect.-Pool	History	1.00	12,006	F 2014
R	Medina Lopez, Julio	M.A.	Lect.-Pool	Foreign Lang.	1.00	12,006	F 2014
R	Miles, Barbara E.	M.A.	Lect.-Pool	English	1.00	24,012	FY 2015
N	Munoz, Austin J.	M.A.	Lect.-Pool	History	0.25	3,002	F 2014
N	Nelson, Karen L.	Ph.D.	Lect.-Pool	Psy. & Philosophy	0.25	3,002	F 2014

R	Norris, Jr., Ralph	Ph.D.	Lect.-Pool	English	1.00	24,012	FY 2015
R	Pappas, Lee M.	M.A.	Lect.-Pool	History	1.00	12,006	F 2014
R	Payne, Christine R.	M.Ed.	Lect.-Pool	Foreign Lang.	1.00	14,004	F 2014
N	Pierce, Katherine	Ph.D.	Lecturer	History	1.00	45,000	FY 2015
R	Plemons, April M.	M.S.	Lect.-Pool	Sociology	1.00	12,006	F 2014
R	Powell, Audrey B.	Ph.D.	Lect.-Pool	Foreign Lang.	0.25	3,002	F 2014
N	Quarles, David R.	M.A.	Lect.-Pool	English	1.00	24,012	FY 2015
R	Raju, Ritu	Ph.D.	Lect.-Pool	English	1.00	24,012	FY 2015
R	Renteria, Ray S.	M.A.	Lect.-Pool	Foreign Lang.	1.00	14,004	F 2014
R	Robinson, C.	M.A.	Lect.-Pool	Sociology	0.75	9,005	F 2014
R	Romansky, T.	M.A.	Lect.-Pool	History	0.50	6,003	F 2014
R	Sanchez, Reuben	Ph.D.	Lect.-Pool	English	0.75	10,503	F 2014
N	Sanders, Andrew	Ph.D.	Vst.Ast.Pro	History	1.00	45,000	FY 2015
N	Seaberg, Bradley	M.A.	Lecturer	Psy. & Philosophy	1.00	40,014	FY 2015
N	Self, Donnie J.	Ph.D.	Lect.-Pool	Psy. & Philosophy	0.25	5,004	F 2014
R	Shannon, Tannie	M.A.	Lect.-Pool	English	1.00	24,012	FY 2015
N	Shively, Elizabeth	Ph.D.	Cln.Ast.Pro	Political Science	1.00	42,012	FY 2015
R	Shupp, Susanna J.	M.A.	Lect.-Pool	English	1.00	24,012	FY 2015
N	Smith, Michael B.	M.A.	Lect.-Pool	Political Science	0.75	18,009	FY 2015
R	Spies-Upton, S.	M.A.	Lect.-Pool	Psy. & Philosophy	0.25	3,002	F 2014
R	Stoner, Haley K.	M.A.	Lect.-Pool	English	1.00	24,012	FY 2015
R	Tackett-Gibson, M.	Ph.D.	Lect.-Pool	Sociology	1.00	12,006	F 2014
R	Thornton, Joe F.	Ph.D.	Lect.-Pool	History	0.50	6,003	F 2014
N	Tomchik, C.	M.A.	Lect.-Pool	English	1.00	24,012	FY 2015
R	Tritico, Robert B.	M.A.	Lect.-Pool	History	1.00	12,006	F 2014
N	Vogel, Debbra G.	M.A.	Lect.-Pool	English	1.00	12,006	F 2014
N	Wright, David E.	Ph.D.	Vst.Ast.Pro	Psy. & Philosophy	1.00	42,516	FY 2015
N	Wright, Kathryn J.	M.A.	Lect.-Pool	English	1.00	24,012	FY 2015
N	Wynveen, Brooklyn	Ph.D.	Lect.-Pool	Sociology	0.75	9,005	F 2014
R	Young, Audrey R.	M.A.	Lect.-Pool	English	1.00	24,012	FY 2015
N	Zahrawi, Samar M.	Ph.D.	Asst. Prof.	Foreign Lang.	1.00	55,008	FY 2015

COLLEGE OF SCIENCES

R	Albert, Julie N.	B.S.	Lect.-Pool	Mth. & Statistics	1.00	12,006	F 2014
R	Andrews, Charles	M.S.M.	Lect.-Pool	Computer Sci.	0.25	5,002	F 2014
R	Benke, Dale L.	M.Ed.	Lect.-Pool	Ag. & Indus. Sci.	1.00	13,509	F 2014
R	Bullion, Alisha N.	M.S.	Lect.-Pool	Ag. & Indus. Sci.	1.00	15,003	F 2014
R	Coogler, Keith L.	Ed.D.	Lect.-Pool	Ag. & Indus. Sci.	1.00	17,316	F 2014
R	DeShazo, Mary L.	Ph.D.	Lect.-Pool	Chemistry	0.50	40,122	FY 2015
N	Franken, Jason R.	Ph.D.	Asst. Prof.	Ag. & Indus. Sci.	1.00	67,014	FY 2015
R	Fritsch, Sarah J.	B.S.	Lect.-Pool	Mth. & Statistics	1.00	12,006	F 2014
R	Fujimoto-Strait, Ava	M.A.	Lect.-Pool	Geog. & Geology	1.00	24,012	FY 2015

R	Gerren, Sally S.	Ph.D.	Lect.-Pool	Mth. & Statistics	1.00	12,006	F 2014
R	Harbison, Paul E.	M.S.	Lect.-Pool	Mth. & Statistics	1.00	12,006	F 2014
N	Herring, Jesse K.	M.S.	Lect.-Pool	Mth. & Statistics	1.00	12,006	F 2014
N	Hudgeons, Cheri L.	M.S.	Lecturer	Mth. & Statistics	1.00	40,014	FY 2015
N	Kafle, Ram C.	Ph.D.	Asst. Prof.	Mth. & Statistics	1.00	63,900	FY 2015
R	Lockwood, Cathy	M.S.	Lect.-Pool	Mth. & Statistics	1.00	12,006	F 2014
N	Lopez, Desire A.	M.S.	Lect.-Pool	Chemistry	0.50	6,003	F 2014
R	Mikishev, Alexander	Ph.D.	Lect.-Pool	Physics	1.00	24,012	FY 2015
R	Moore, Michael C.	D.V.M.	Lect.-Pool	Ag. & Indus. Sci.	0.50	7,002	F 2014
N	Morgan, David M.	M.S.	Lect.-Pool	Computer Sci.	0.50	10,004	F 2014
N	Nair, Shyam S.	Ph.D.	Asst. Prof.	Ag. & Indus. Sci.	1.00	63,000	FY 2015
N	Pluta, Robert G.	Ph.D.	Vst.Ast.Prof	Mth. & Statistics	1.00	50,400	FY 2015
N	Potkah, Gerald B.	M.S.	Lecturer	Mth. & Statistics	1.00	40,014	FY 2015
R	Prouty, Claire A.	M.S.	Lect.-Pool	Biological Sci.	1.00	24,012	FY 2015
R	Sczech, Mary B.	M.A.	Lect.-Pool	Mth. & Statistics	0.25	2,502	F 2014
N	Stewart, Christopher	M.S.	Lect.-Pool	Ag. & Indus. Sci.	0.25	2,502	F 2014
R	Sumrall, Jeanne L.	M.A.T.	Lect.-Pool	Geog. & Geology	1.00	24,012	FY 2015
R	Trahan, Cheramie	M.S.	Lect.-Pool	Biological Sci.	0.50	12,006	FY 2015
R	Tripp, Timothy N.	Ph.D.	Lect.-Pool	Biological Sci.	1.00	47,394	FY 2015
R	Waugh, Terrence	Ph.D.	Lect.-Pool	Ag. & Indus. Sci.	1.00	22,500	F 2014
R	Wiley, Leanne M.	M.S.	Lect.-Pool	Ag. & Indus. Sci.	1.00	13,509	F 2014
R	Wilson, John F.	Ph.D.	Lect.-Pool	Physics	1.00	47,016	FY 2015
R	Wilson, Marsha C.	M.B.A.	Lect.-Pool	Ag. & Indus. Sci.	1.00	13,509	F 2014
N	Wright, Casandra	M.S.	Lecturer	Mth. & Statistics	1.00	40,014	FY 2015
R	Young, Margaret S.	M.Ed.	Lect.-Pool	Mth. & Statistics	1.00	12,006	F 2014
R	Yung, Sonja B.	M.S.	Lect.-Pool	Biological Sci.	1.00	24,012	FY 2015

FIRST YEAR EXPERIENCE

R	Thomas, Jocelyn	M.S.	Lect.-Pool	FYE	0.25	2,502	F 2014
R	Wells, Edith C.	M.F.A.	Lect.-Pool	FYE	1.00	11,007	F 2014

NEWTON GRESHAM LIBRARY

N	Gerrish, Thomas E.	M.L.I.S.	Asst. Prof.	Newton G. Library	1.00	48,000	FY 2015
N	Melancon, Tami L.	M.L.I.S.	Asst. Prof.	Newton G. Library	1.00	52,008	FY 2015
N	Williamson, W.	M.S.	Asst. Prof.	Newton G. Library	1.00	48,000	FY 2015

SAM HOUSTON STATE UNIVERSITY

ADMINISTRATIVE and UNCLASSIFIED PERSONNEL CHANGES

ADDITIONS

1. Baillargeon, Jane, Psychologist Counseling Center, Counseling Services, at a 12-month rate of \$47,088, on a full-time basis effective September 1, 2014.
2. Benestante, Kristi, Nurse Registered, Health Center, at a 12-month rate of \$48,000, on a full-time basis effective September 1, 2014.
3. Coats, Jessica, ERP Analyst III, Information Technology Enterprise Services, at a 12-month rate of \$60,000, on a full-time basis effective September 1, 2014.
4. Deggs, Matthew, Head Baseball Coach, Athletics, at a 12-month rate of \$125,016, on a full-time basis effective July 1, 2014.
5. Goines, Sarah, Bursar Manager, Student Financial Services, at a 12-month rate of \$37,536, on a full-time basis effective July 16, 2014.
6. Goodman, Stanly, System Administrator III, Information Technology Infrastructure and Support, at a 12-month rate of \$65,016, on a full-time basis effective August 1, 2014.
7. Guerra, Selina, Psychologist Counseling Center, Counseling Services, at a 12-month rate of \$47,088, on a full-time basis effective September 1, 2014.
8. Harvell, Lance, Coach Associate, Athletics, at a 12-month rate of \$50,016, on a full-time basis effective July 16, 2014.
9. Hegman, Heidie, Admissions Counselor, Undergraduate Admissions, at a 12-month rate of \$32,976, on a full-time basis effective July 1, 2014.
10. Lewis, Michelle, ERP Analyst I, Information Technology Enterprise Services, at a 12-month rate of \$40,008, on a full-time basis effective September 1, 2014.
11. Luchak, Rebecca, Nurse Registered, Health Center, at a 12-month rate of \$48,000, on a full-time basis effective September 1, 2014.
12. Martin, Mauri, Enrollment Management Marketing Coordinator, Enrollment Management Communication, at a 12-month rate of \$36,504, on a full-time basis effective July 7, 2014.
13. Olmstead, Matthew, Director of Criminal Justice Budget and Administration, College of Criminal Justice, at a 12-month rate of \$84,600, on a full-time basis effective July 16, 2014.
14. Patterson, Lara, Traditions Camp/Outdoor Coordinator, Recreational Sports, at a 12-month rate of \$38,016, on a full-time basis effective August 16, 2014.
15. Rideout, Latrice, Assistant Director for Institutional Research, Institutional Research and Analysis, at a 12-month rate of \$64,272, on a full-time basis effective August 11, 2014.
16. Schaub, Tracy, Nurse Registered, Spirit Traditions Camp, at a 12-month rate of \$31,848, on a full-time basis effective August 1, 2014.
17. Slick, Joseph, Director of English Language Institute, English Language Institute, at a 12-month rate of \$60,000, on a full-time basis effective August 16, 2014.
18. Sirianni, John, Coach Assistant Baseball, Athletics, at a 12-month rate of \$70,008, on a full-time basis effective July 16, 2014.
19. Small, Jonathan, Football Operations Coordinator, Athletics, at a 12-month rate of \$40,176, on a full-time basis effective July 16, 2014.
20. Stone, Michelle, Mental Health Counselor Postgraduate Resident, Counseling Services, at a 12-month rate of \$32,016, on a full-time basis effective August 16, 2014.
21. Stovall, Vivian, Mental Health Counselor Postgraduate Resident, Counseling Services, at a 12-month rate of \$32,016, on a full-time basis effective August 16, 2014.
22. Valis, Garrett, Coach Assistant Softball, Athletics, at a 12-month rate of \$37,536, on a full-time basis effective September 1, 2014.
23. Vogt, Nickie, Phonathon Manager, Development, at a 12-month rate of \$36,000, on a full-time basis effective July 21, 2014.

CHANGES IN STATUS

1. Belcher, Helen, Museum Historical Interpreter II to Museum Curator, Museum, at a 12-month rate of \$36,816, effective August 1, 2014.

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2. Bentsen, Kelly, ERP Analyst III to ERP Analyst IV, Information Technology Enterprise Services, at a 12-month rate of \$69,552, effective September 1, 2014.
3. Brossman, Craig, Theatre Technical Coordinator to Performing Arts Center Facilities Manager, College of Fine Arts and Mass Communication Office of the Dean, at a 12-month rate of \$48,480, effective September 1, 2014.
4. Buccafurni, Laura, Staff Assistant II to Special Events Coordinator, Office of the President, at a 12-month rate of \$37,800, effective July 1, 2014.
5. Campbell, Brent, ERP Analyst III to ERP Analyst IV, Information Technology Enterprise Services, at a 12-month rate of \$69,552, effective September 1, 2014.
6. Chavez, Fernando, Veterans Resources Center Manager to Director of Veterans Resource Center, Registrar, at a 12-month rate of \$49,440, effective September 1, 2014.
7. Collins, Clair, Admissions Counselor to Admissions Counselor Senior, Undergraduate Admissions, at a 12-month rate of \$39,000, effective August 16, 2014.
8. Correa, Gonzalo, Information Technology Project Manager II to Information Technology Project Manager III, Information Technology Enterprise Services, at a 12-month rate of \$63,000, effective September 1, 2014.
9. Craven, Maria, Mental Health Counselor Postgraduate Resident to Psychologist Counseling Center, Counseling Services, at a 12-month rate of \$48,504, effective August 1, 2014.
10. Filson, Andrew, ERP Analyst I to ERP Analyst II, Information Technology Enterprise Services, at a 12-month rate of \$47,016, effective September 1, 2014.
11. Hernandez, Jose, Acting Vice President of Finance and Operations to Vice President of Finance and Operations, Office of Finance and Operations, at a 12-month rate of \$225,000, effective August 1, 2014.
12. Jeter, Garrett, Information Technology Project Manager II to Information Technology Project Manager III, Information Technology Enterprise Services, at a 12-month rate of \$60,624, effective September 1, 2014.
13. Jordan, John, Associate Director of Academic Support Programs to Director of Academic Support Programs, Student Advising and Mentoring Center, at a 12-month rate of \$60,912, effective August 1, 2014.
14. Krawzik, Kevin, Information Technology Project Manager II to Information Technology Project Manager III, Information Technology Enterprise Services, at a 12-month rate of \$60,672, effective September 1, 2014.
15. Price, Debra, Professor to Associate Dean of College, College of Education Office of the Dean, at a 12-month rate of \$119,760, effective September 1, 2014.
16. Richard, Vanessa, Career Services Counselor to Accommodation Counselor, Disability Services, at a 12-month rate of \$44,112, effective July 16, 2014.
17. Sechelski, Amber, Senior Academic Mentor to Assistant Director of Academic Support Programs, Student Advising and Mentoring Center, at a 12-month rate of \$45,168, effective August 1, 2014.
18. Stanosheck, Pamela, Clinical Assistant Professor to Nurse Practitioner Advanced, Health Center, at a 12-month rate of \$79,992, effective August 1, 2014.
19. Wadsworth, Lorna, Nurse Registered to Staff Associate II, Health Center, no change in pay, effective August 1, 2014.

DISMISSALS

1. None

RESIGNATIONS

1. Cartwright, Vincent, Admissions Counselor Senior, Undergraduate Admissions, effective July 31, 2014.
2. Clay, Cameron, Assistant Director of University Events, Office of the President, effective June 20, 2014.
3. Clark, Amanda, Assistant Director for Institutional Research, Institutional Research and Analysis, effective July 18, 2014.

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4. Daniel, Zachary, Assistant Athletic Director of Development, Athletics, effective July 3, 2014.
5. Gann, Jonathan, English Language Institute Coordinator, English Language Institute, effective June 30, 2014.
6. Hudson, Thomas, Performing Arts Ticket Office Manager, College of Fine Arts and Mass Communication Office of the Dean, effective August 31, 2014.
7. Katz, Daniel, Mental Health Counselor Postgraduate Resident, Counseling Services, effective August 15, 2014.
8. Kuczaj, Kathryn, Traditions Camp/Outdoor Coordinator, Recreational Sports, effective July 10, 2014.
9. Lee, Kaju, Piano Accompanist, School of Music, effective August 31, 2014.
10. Mahoney, Margaret, Residence Hall Director, Residence Life, effective July 18, 2014.
11. Mendoza, Daniel, Senior ERP Analyst I, Information Technology Enterprise Services, effective June 30, 2014.
12. Miller, Donielle, Assistant Director of Student Activities, Student Activities, effective June 30, 2014.
13. Miller, Lyndsey, Assistant Director for Visitor Center, Visitor Center, effective July 31, 2014.
14. Smith, Karen, Associate Dean of College, College of Education Office of the Dean, effective June 30, 2014.
15. Smith, Sandra, Associate Vice President, Budget, effective August 7, 2014.

RETIREMENTS

1. Butler, Marilyn, Director of Center for Assessment and Accreditation, Teacher Education Division, effective August 31, 2014.
2. Garner, John, Director of Infrastructure and Support Services, Information Technology Infrastructure and Support, effective August 31, 2014.
3. Kreier, Kristi, Criminal Justice Business Manager, College of Criminal Justice, effective August 31, 2014.
4. Routh, Larry, Performing Arts Center Facilities Manager, College of Fine Arts and Mass Communication Office of the Dean, effective August 31, 2014.
5. Sandlin, Steven, MCM Chief Engineer, Department of Mass Communication, effective June 30, 2014.
6. Webb, David, Project Director, College of Criminal Justice, effective August 31, 2014.

DEATH

1. Stewart, William, System Analyst Coordinator, College of Criminal Justice, effective June 30, 2014.
2. Brown, Tony, Assistant Golf Course Superintendent, Raven Nest, effective August 16, 2014.

COMMISSIONING AND BONDING OF UNIVERSITY POLICE OFFICERS

1. None

Sul Ross State University - Alpine and Rio Grande College

FACULTY PERSONNEL CHANGES

RESIGNATIONS

1. Faghihi, Usef, Assistant Professor of Computer Science, July 30, 2014.
2. Maestas, Ricardo, Professor, December 31, 2014.

RETIREMENTS

1. None to Report

DEATH

1. None to Report

NON-REAPPOINTMENTS

1. None to Report

CHANGES IN STATUS

1. Azuaje, Rafael, Interim to Chair of Mathematics and Computer Science, at a nine months' salary rate of \$72,344, effective September 1, 2014.
2. Glenn, Thea, Technical Support Specialist II to Lecturer in Computer Science and Curriculum Developer in the Computer Science Initiative Grant, at a twelve month's salary rate of \$42,000, effective July 15, 2014.

PROMOTION AND TENURE

1. None to Report

FACULTY APPOINTMENTS, New (N) and Renewal (R)

NAME	DEG	RANK	DEPARTMENT	%FT E	SALARY	PERIOD
COLLEGE OF AG & NATURAL RES SCIENCES						
N Allcorn, Robert A	M.S.	Lecturer	Natural Resource Management	20%	\$2,130	FA 2014
N Collins, Daniel P	Ph.D.	Adjunct	Natural Resource Management	NA	NA	2014-15
R Edwards, John T.	M.S.	Lecturer	Natural Resource Management	20%	\$2,130	FA 2014
N O'Shaughnessy, Ryan	M.S./ PH.D.	Lecturer	Natural Resource Management	20%	\$2,130	FA 2014
R Pipes, Christopher C.	M.S.	Lecturer	Natural Resource Management	40%	\$4,260	FA 2014
COLLEGE OF ARTS & SCIENCES						
R Alaniz, Alfred	M.S. B.A./	Lecturer	Biology, Geology, & Physical Sciences	57%	\$6,051	Sum I&II 2014
N Barcena, Lorenzo G.	J.D.	Lecturer	Behavioral & Social Sciences	20%	\$2,130	FA 2014
R Bennack, Steven M.	M.A.	Lecturer	Fine Arts & Communications	60%	\$6,416.67	FA 2014
N Campa, Kasey M.	B.A.	Lecturer	Fine Arts & Communications	7%	\$754	FA 2014
R Castillo, Elizabeth A.	M.F.A.	Lecturer	Fine Arts & Communications	27%	\$2,840	FA 2014
R Cockrum, David L.	Ph.D.	Professor	Behavioral & Social Sciences	50%	\$46,140	2014-15
R Cockrum, David L.	Ph.D.	Professor	Behavioral & Social Sciences	20%	\$2,130	FA 2014
R Easterling, Sherill E.	Ed.D. M.A.,	Lecturer	Computer Science & Mathematics	40%	\$4,260	FA 2014
R Fox, Caroline S.	M.Ed.	Lecturer	Behavioral & Social Sciences	20%	\$2,130	FA 2014
R Gawloski, Joan M.	M.S. M.M.E	Lecturer	Biology, Geology, & Physical Sciences	13%	\$1,360	FA 2014
N Gennette, Stephen R.	d.	Lecturer	Fine Arts & Communication	20%	\$150	FA 2014
R Giles, Antony N.	M.S.	Lecturer	Biology, Geology, & Physical Sciences	20%	\$2,130	FA 2014
N Glover, Nattalie L.	M.A.	Lecturer	Languages and Literature	20%	\$2,130	FA 2014
R Golden, Robie L.	M.Ed.	Lecturer	Mathematics	20%	\$2,130	FA 2014
R Hernandez, Tomas O.	M.S.	Lecturer	Biology, Geology, & Physical Sciences	13%	\$1,360	FA 2014
R Hirsch, Luanne F.	M.S.W.	Lecturer	Behavioral & Social Sciences	20%	\$2,130	FA 2014
R Johnson, Ann K.	Ph.D.	Lecturer	Languages and Literature	20%	\$2,130	FA 2014
R Jordan, Charles B.	M.S.	Lecturer	Biology, Geology, & Physical Sciences	27%	\$2,840	FA 2014
R Kelsch, Jessica M.	M.S.	Lecturer	Earth and Physical Sciences	19%	\$2,070	FA 2014
N Leaver, David	Ph.D.	Visiting Assistant Professor	Biology, Geology and Physical Sciences	100%	\$45,000	FA 2014
N Lopez, Catherine	M.F.A.	Lecturer	Languages and Literature	23%	\$2,430	FA 2014
R Loya, Judith A.	B.S.	Lecturer	Mathematics	20%	\$2,130	FA 2014
R Lynn, Charles M.	B.A.	Lecturer	Behavioral & Social Sciences	60%	\$6,390	FA 2014
R Magnum, Paul	Ph.D.	Lecturer	Biology, Geology, & Physical Sciences	13%	\$1,360	FA 2014
R Matthews, Ethel M.	M.S.	Lecturer	Biology, Geology, & Physical Sciences	13%	\$1,360	FA 2014
R Nelson, Barbara	Ph.D.	Associate Professor	Languages and Literature	20%	\$2,130	FA 2014
R Nichols, Jessica C.	B.S.	Lecturer	Biology, Geology, & Physical Sciences	73%	\$7,810	FA 2014
N Percoco, Dominick	B.S.	Lecturer	Biology, Geology, & Physical Sciences	7%	\$710	FA 2014
N Rohr, David M.	Ph.D.	Professor	Biology, Geology, & Physical Sciences	50%	\$46,607	2014-15
R Sager, Nelson C.	Ph.D.	Professor	Languages and Literature	50%	\$44,600	2014-15

N	Sanchez, Dominique	M.A.	Lecturer Associate	Fine Arts and Communications	100%	\$30,000	FA 2014
R	Sawyer, Jay M.	M.F.A.	Professor	Fine Arts & Communications	40%	\$4,260	FA 2014
R	Scott, Marjorie	M.F.A.	Lecturer	Fine Arts & Communications	47%	\$4,970	FA 2014
N	Scown, Barbara	M.S.	Lecturer	Biology, Geology, & Physical Sciences	27%	\$2,840	FA 2014
N	Snyder, Brandy A.	M.S.	Lecturer	First Year Seminar	7%	\$710	FA 2014
R	Walter, Matthew J.	M.A.	Lecturer	Behavioral & Social Sciences	40%	\$4,260	FA 2014
N	Ward, Robert L.	M.S.	Lecturer	Biology, Geology, & Physical Sciences	20%	\$2,130	FA 2014
R	Wilson, William C.	M.A.	Lecturer	Fine Arts & Communication	20%	\$300	FA 2014

COLLEGE OF PROFESSIONAL STUDIES

R	Barak, Shaun H.	M.S.	Lecturer	Criminal Justice	20%	\$2,130	FA 2014
R	Bullock, Ray A	B.A.	Lecturer	Industrial Technology	40%	\$4,260	FA 2014
R	Coleman, Shirley M.	M.Ed.	Lecturer	Education	10%	\$1,650	FA 2014
R	Dingwell, Heath	Ph.D.	Lecturer	Criminal Justice	20%	\$2,130	FA 2014
R	Fish, Wade W.	Ph.D.	Lecturer	Education	40%	\$4,260	FA 2014
R	Hayes, Ronda J.	M.Ed.	Lecturer	Education	20%	\$2,130	FA 2014
N	Lacox, Travis B.	M.S.	Lecturer	Criminal Justice	20%	\$2,130	FA 2014
N	Latham, Stephanie	PH.D.	Lecturer	Education	60%	\$6,390	FA 2014
R	Marsh, Matthew G.	M.A.	Lecturer	Behavioral & Social Sciences	40%	\$4,260	FA 2013
R	Matthews, Robert C.	M.B.A.	Professor	Business Administration	50%	\$40,795	2014-15
N	Mesker, Bobby S.	M.Ed.	Lecturer	Education	7%	\$710	FA 2014
R	Meyer, Ellen A.	M.A.	Lecturer	Business Administration	40%	\$4,260	FA 2014
R	Rubin, Mark R.	Ph.D	Lecturer	Criminal Justice	20%	\$2,130	FA 2014
R	Scown, Russell R.	M.A.	Lecturer	Criminal Justice	40%	\$4,260	FA 2014
N	Short, Glenn	M.Ed.	Lecturer	Education	100%	\$30,000	FA 2014
R	Smith, Roy	Ed.D.	Lecturer	Industrial Technology	40%	\$4,260	FA 2014
R	Wadley, Cynthia Ann	M.Ed.	Lecturer	Education	60%	\$6,390	FA 2014
R	Wickersham, Leah E.	Ph.D.	Lecturer	Education	40%	\$4,260	FA 2014
R	Wren, Rebecca G.	M.Ed.	Lecturer	Education	20%	\$2,130	FA 2014

RIO GRANDE COLLEGE

R	Acuña-Ruiz, Valerie M.	M.A.	Lecturer	Humanities	20%	\$2,130	FA 2014
R	DeLaCruz, Amado	M.Ed.	Lecturer	Education	20%	\$2,130	FA 2014
R	Garza, Cristobal	M.A.	Lecturer	Humanities	20%	\$2,130	FA 2014
N	Gomez, Cynthia Y.	M.B.A.	Lecturer	Humanities	40%	\$4,260	FA 2014
R	Hernandez, Sandra T.	M.Ed.	Lecturer	Education	20%	\$2,130	FA 2014
R	Kohut, Darren P.	M.Ed.	Lecturer	Natural & Behavioral Science	20%	\$2,130	FA 2014
N	Lopez, Rita	M.A.	Lecturer	Humanities	20%	\$2,130	FA 2014
N	Nunley, Barbara J.	M.S.	Lecturer	Humanities	20%	\$2,130	FA 2013
R	Overfelt, Robert C.	Ph.D.	Professor	Liberal Arts	50%	\$40,014	2014-15
R	Pena, Elizabeth	M.B.A.	Lecturer	Humanities	40%	\$4,260	FA 2013
R	Roethler, Jeremy S.	Ph.D.	Lecturer	Humanities	40%	\$4,260	FA 2014
N	Smith, Wayne E.	D.B.A.	Lecturer	Business Administration	20%	42,130	FA 2014
R	Syring, George R.	Ph.D.	Lecturer	Humanities	40%	\$4,260	FA 2014
R	Vela, Joel E.	Ed.D.		Humanities	60%	\$6,390	FA 2014
R	Watkins, Joy G.	M.A.	Lecturer	Education	20%	\$2,130	FA 2014

ADMINISTRATIVE and UNCLASSIFIED PERSONNEL CHANGES

ADDITIONS

1. Schwartz, Mary, M.Ed., Counselor and Accessibility Coordinator, Counseling Center, at a twelve months' salary rate of \$35,118, effective August 18, 2014.
2. Smith, Shana, B.S., Technical Support Specialist II, at a twelve months' salary rate of \$35,118, effective July 22, 2014.
3. Worley, James "Butch", J.D., Vice President for External Affairs, at a twelve months' salary rate of \$150,000, effective October 1, 2014.

CHANGES IN STATUS

1. Arredondo, Virginia, Financial Aid Specialist to Assistant Director of Financial Assistance, at a twelve months' salary rate of \$32,836, effective September 8, 2014.
2. Chazar, Lillian, Director of Customer Service to Help Desk Coordinator, Information Technology, at a twelve months' salary rate of \$47,100, effective October 1, 2014.
3. Cisneros, Juan, Help Desk Coordinator to Technical Support Specialist II, Information Technology, at a twelve months' salary rate of \$40,800, effective October 1, 2014.
4. Freidline, Gregory, Director of Infrastructure Services to Director of Technology Services, at a twelve months' salary rate of \$65,000, effective October 1, 2014.
5. Tout, Deborah, USAS Specialist to Associate Director of Records and Registration, at a twelve months' salary rate of \$35,118, effective May 12, 2014.

DEATH

1. None to Report

RESIGNATIONS

1. Brown, Karen, Director of Alumni Affairs, October 31, 2014.
2. Langford, Joseph, Director of Rio Grande College Information Technology Operations, October 10, 2014.
3. Ramirez, Hector, Business Development Specialist, Small Business Development Center, Rio Grande College, September 26, 2014.

RETIREMENTS

1. None to Report

COMMISSIONING AND BONDING OF UNIVERSITY POLICE OFFICERS

1. Madrid, Omar, Police Officer, August 13, 2014.

Texas State University

FACULTY PERSONNEL CHANGES

CHANGE IN STATUS

1. Holtz, Mark W., from Professor, Physics and University Chair, Materials Science, Engineering, and Commercialization, to Interim Chair and Professor, Physics and University Chair, Materials Science, Engineering, and Commercialization effective September 1, 2014.
2. Myers, Thomas H., II, from Interim Chair and Professor, Physics and Director, Materials Science, Engineering, and Commercialization, to Professor, Physics and Director, Materials Science, Engineering, and Commercialization effective September 1, 2014.

RESIGNATIONS

1. Mottet, Timothy P., Professor, Communication Studies and Dean, College of Fine Arts and Communication, effective July 17, 2014.
2. Sokan, Amanda E., Assistant Professor, Health Administration, effective August 31, 2014.

RETIREMENTS

1. Connolly, Colleen M., Associate Professor, Counseling, Leadership, Adult Education, and School Psychology, effective May 31, 2014.
2. De la Colina, Maria G., Associate Professor, Curriculum and Instruction, effective January 15, 2015.
3. Fields, Tina T., Associate Professor, Health Administration, effective May 31, 2015.
4. Friedman, BJ, Professor, Family and Consumer Sciences, effective May 31, 2015.
5. Martinez, Pablo, Associate Professor, Criminal Justice, effective August 31, 2014.
6. Rahe, Charles Hardin, Professor, Agriculture, effective January 15, 2015.
7. Rudzinski, Walter E., Professor, Chemistry and Biochemistry, effective January 15, 2015.
8. Smith, Bruce, Professor, Journalism and Mass Communication, effective August 31, 2015.

FACULTY APPOINTMENTS, New (N) and Renewal (R)

NAME	DEG	RANK	DEPARTMENT	%FTE	SALARY	PERIOD
COLLEGE OF APPLIED ARTS						
R Ackerson, Carla J.	M.S.W.	Clinical Lecturer	Social Work	1.00	51,273.32	2014-2015
R Andrade, Edwardo	M.S.	Lecturer	Occupational, Workforce, and Leadership Studies	.20	3,335.00	Fall 2014
R Arledge, Roy W., II	J.D.	Lecturer	Criminal Justice	.20	3,362.00	Fall 2014
N Bossley, Deborah	M.S.	Lecturer	Family and Consumer Sciences	.40	7,300.00	Fall 2014
R Brasfield, Karen	M.S.	Sr. Lecturer	Family and Consumer Sciences	1.00	53,246.36	2014-2015
R Briley, Sandra L.	Ph.D.	Lecturer	Family and Consumer Sciences	.20	4,000.00	Fall 2014
R Brockett, Elizabeth	Ph.D.	Lecturer	Criminal Justice	.20	4,112.00	Fall 2014
R Brudno, Ann E.	M.B.A.	Lecturer	Family and Consumer Sciences	1.00	45,660.06	2014-2015
R Buck, April A.	M.A.	Lecturer	Family and Consumer Sciences	.20	3,650.00	Fall 2014
R Buie, Barbara E.	M.S.W.	Lecturer	Social Work	.20	6,000.00	Fall 2014
R Burns, Judith M.	M.S.W.	Lecturer	Social Work	.40	7,000.00	Fall 2014
R Carreon, Jennifer	M.S.C.D.	Lecturer	Criminal Justice	.40	6,612.00	Fall 2014
R Chagnon, Pax	M.Arch.	Sr. Lecturer	Family and Consumer Sciences	1.00	48,320.11	2014-2015
R Clark, Thomas P.	J.D.	Lecturer	Criminal Justice	.20	3,362.00	Fall 2014
R Cogswell, Lillie H.	M.Ed.	Lecturer	Criminal Justice	.40	6,612.00	Fall 2014
R Crawford, Michelle	M.Ed.	Lecturer	Family and Consumer Sciences	.40	8,000.00	Fall 2014
R Davis, Bob	Ph.D.	Professor Emeritus	Agriculture	1.00	25,000.00	Fall 2014
R Drobeck, Bruce	Ph.D.	Sr. Lecturer	Family and Consumer Sciences	1.00	49,316.28	2014-2015
R Duciaume-Wright, Colette L.	Ph.D.	Lecturer	Social Work	1.00	23,566.34	Fall 2014
R Duke, Sandra E.	M.S.	Lecturer	Family and Consumer Sciences	1.00	47,000.07	2014-2015

R	DuPont, Ann M.	Ph.D.	Sr. Lecturer	Family and Consumer Sciences	1.00	56,007.87	2014-2015
R	Eckert, Mary A.	Ph.D.	Lecturer	Criminal Justice	.20	4,112.00	Fall 2014
R	Elshoff, James D.	J.D.	Lecturer	Criminal Justice	.40	6,612.00	Fall 2014
R	Espinosa, Erin M.	M.P.A.	Lecturer	Criminal Justice	.20	3,362.00	Fall 2014
R	Fontenot, Dienitha	M.Ed.	Clinical Asst. Professor	Family and Consumer Sciences	1.00	76,711.68	2014-2015
R	Fyall, Jeanne E.	M.S.	Lecturer	Family and Consumer Sciences	.20	3,600.00	Fall 2014
R	Gibson, Patricia A.	Ed.D.	Lecturer	Occupational, Workforce, and Leadership Studies	.20	3,415.00	Fall 2014
N	Gray, Christine R.	Ph.D.	Lecturer	Family and Consumer Sciences	.20	3,650.00	Fall 2014
R	Guerrero, Georgen	Ph.D.	Lecturer	Criminal Justice	.40	8,112.00	Fall 2014
R	Harkins, Betty L.	Ph.D.	Sr. Lecturer	Occupational, Workforce, and Leadership Studies	1.00	52,792.94	2014-2015
R	Henson, Verna	Ph.D.	Assistant Prof. Emerita	Criminal Justice	1.00	31,729.77	Fall 2014
R	Henton, David V.	M.S.S.W.	Clinical Asst. Professor	Social Work	1.00	60,275.58	2014-2015
R	Hernandez, Robert	M.S.C.D.	Lecturer	Criminal Justice	.40	6,612.00	Fall 2014
R	Klien, Colleen K.	M.S.I.S.	Lecturer	Occupational, Workforce, and Leadership Studies	.40	6,715.00	Fall 2014
R	Kovar, Jerry L.	M.S.C.D.	Lecturer	Criminal Justices	.20	3,362.00	Fall 2014
R	Le Duc, Frances	Ph.D.	Lecturer	Agriculture	.20	5,500.00	Fall 2014
R	Marks, Andrew T.	M.S.W.	Clinical Sr. Lecturer	Social Work	1.00	53,761.92	2014-2015
R	McGee, Stacie S.	M.S.W.	Lecturer	Social Work	.75	17,783.60	Fall 2014
R	Medel, Ruben S.	M.S.S.W.	Clinical Sr. Lecturer	Social Work	1.00	53,599.72	2014-2015
R	Missildine, James	M.Ed.	Lecturer	Agriculture	.20	5,835.00	Fall 2014
N	Moon, KeriAnne	D.S.W.	Lecturer	Social Work	1.00	50,187.06	2014-2015
R	Moore, Bobbie J.	M.B.A.	Sr. Lecturer	Family and Consumer Sciences	1.00	56,768.45	2014-2015
R	Morley, Richard H.	Ph.D.	Sr. Lecturer	Criminal Justice	1.00	44,896.78	2014-2015
R	O'Connor, Robert	Ph.D.	Lecturer	Occupational, Workforce, and Leadership Studies	.40	6,600.00	Fall 2014
R	Papick, Joseph F.	M.S.W.	Lecturer	Social Work	.20	3,500.00	Fall 2014
R	Parks, Amelie T.	M.Ed.	Lecturer	Family and Consumer Sciences	.75	17,122.50	Fall 2014
R	Penzerro, Rose M.	Ph.D.	Lecturer	Social Work	.40	7,000.00	Fall 2014
R	Phillips, Farya	Ph.D.	Lecturer	Family and Consumer Sciences	.20	4,000.00	Fall 2014
R	Poinsett, Hawley	M.S.	Lecturer	Family and Consumer Sciences	.40	8,000.00	Fall 2014
R	Poole, Shane J.	M.S.	Lecturer	Criminal Justice	.20	3,362.00	Fall 2014
R	Rajaganesh, Shamini	M.S.	Lecturer	Family and Consumer Sciences	1.00	45,660.00	2014-2015
R	Rattler, Lolita W.	M.S.S.W.	Lecturer	Social Work	.20	4,000.00	Fall 2014
R	Real, Patrick	M.Ed.	Lecturer	Agriculture	.20	6,112.00	Fall 2014
R	Rogers, Lisa	M.S.S.W.	Lecturer	Social Work	1.00	14,000.04	Fall 2014
R	Rollins, Tanya N.	M.S.W.	Lecturer	Social Work	.20	3,500.00	Fall 2014
R	Russell, Elizabeth	Ph.D.	Clinical Asst. Professor	Family and Consumer Sciences	1.00	63,675.50	2014-2015
R	Salcedo, Ramona	Ph.D.	Lecturer	Family and Consumer Sciences	1.00	22,830.03	Fall 2014
R	Sherron, Todd	Ph.D.	Lecturer	Occupational, Workforce, and Leadership Studies	1.00	53,044.98	2014-2015
R	Spencer, David	J.D.	Lecturer	Criminal Justice	.40	8,112.00	Fall 2014
R	Squires, Vickie L.	M.M.Ed.	Lecturer	Family and Consumer Sciences	.40	8,000.00	Fall 2014
R	Summerhill, Laura	M.S.S.W.	Lecturer	Social Work	.75	10,500.00	Fall 2014
N	Thompason, Courtney P.	M.S.	Lecturer	Family and Consumer Sciences	.20	3,000.00	Fall 2014
R	Thornton, Hannah	M.S.	Sr. Lecturer	Family and Consumer Sciences	1.00	47,000.00	2014-2015
R	Tibbe, Sherri K.	J.D.	Lecturer	Criminal Justice	.20	3,362.00	Fall 2014
R	Titus, Amber	M.A.	Lecturer	Family and Consumer Sciences	.40	7,000.00	Fall 2014
N	Tyler, Tee Robert	M.S.W.	Lecturer	Social Work	.20	3,500.00	Fall 2014
N	Velez, Lea R.	M.S.	Clinical Lecturer	Social Work	1.00	46,500.00	2014-2015
R	Von Bank, Julia	M.S.	Sr. Lecturer	Family and Consumer Sciences	1.00	48,649.98	2014-2015

R	Williams, Howard	Ph.D.	Lecturer	Criminal Justice	.40	8,112.00	Fall 2014
R	Wilson, Barbara D.	M.Ed.	Sr. Lecturer	Occupational, Workforce, and Leadership Studies	1.00	56,190.80	2014-2015
R	Youens, Christina	M.S.	Sr. Lecturer	Family and Consumer Sciences	1.00	48,711.75	2014-2015
R	Zerr, Warren A.	M.S.C.D.	Lecturer	Criminal Justice	.40	6,612.00	Fall 2014
MCCOY COLLEGE OF BUSINESS ADMINISTRATION							
R	Bishop, Sherwood	M.S.	Lecturer	Finance and Economics	1.00	42,219.42	2014-2015
R	Blanco, R. Ivan	Ph.D.	Clinical Asst. Professor	Management	1.00	96,742.14	2014-2015
R	Brown, Laurie D.	M.Acy.	Lecturer	Accounting	1.00	48,000.06	2014-2015
R	Cameron, David B.	Ph.D.	Lecturer	Management	1.00	80,000.01	2014-2015
R	Cavazos, Carmina	Ph.D.	Lecturer	Marketing	1.00	70,000.02	2014-2015
N	Chih, Yao-Yu	Ph.D.	Asst. Prof.	Finance and Economics	1.00	92,000.00	2014-2015
R	Chiodo, Beverly A.	Ph.D.	Professor Emeritus	Management	.50	48,461.13	2014-2015
R	Collins, Russell L.	M.S.	Lecturer	Computer Information Systems and Quantitative Methods	1.00	71,920.71	2014-2015
R	Dietert, Judy G.	M.B.A.	Sr. Lecturer	Management	1.00	74,345.60	2014-2015
R	Eixmann, Yvonne	Ph.D.	Lecturer	Management	1.00	85,430.09	2014-2015
R	Eure, Diane M.	M.B.A.	Sr. Lecturer	Accounting	1.00	64,724.38	2014-2015
R	Hale, Janet	J.D.	Sr. Lecturer	Finance and Economics	1.00	80,542.19	2014-2015
R	Herring, Lawrence	M.B.A.	Sr. Lecturer	Management	1.00	61,858.76	2014-2015
R	James, Randall S.	B.A.	Lecturer	Finance and Economics	.50	33,646.30	2014-2015
R	Jetton, Kevin J.	M.B.A.	Sr. Lecturer	Computer Information Systems and Quantitative Methods	1.00	73,448.46	2014-2015
N	Jewel, Robert Todd	Ph.D.	Professor	Finance and Economics	1.00	175,000.00	2014-2015
N	Kacmar, Karen Michele	Ph.D.	Professor	Management	1.00	180,000.00	2014-2015
R	Kebodeaux, Charles Keith	L.L.M. J.D.	Lecturer	Accounting	1.00	56,500.02	Fall 2014
R	Keefe, James F.	M.B.A.	Sr. Lecturer	Computer Information Systems and Quantitative Methods	1.00	65,811.39	2014-2015
R	Krou, Jennifer L.	M.A.	Sr. Lecturer	Computer Information Systems and Quantitative Methods	1.00	46,416.09	2014-2015
R	Krylova, Lyudmyla	M.P.A.	Lecturer	Accounting	1.00	56,669.38	2014-2015
N	Liu, Annie	Ph.D.	Assoc. Prof.	Marketing	1.00	118,000.00	2014-2015
R	Middlebrook, Bill J.	Ph.D.	Professor Emeritus	Management	.50	48,363.12	2014-2015
R	Moffitt, Kathleen A.	M.Acy.	Lecturer	Accounting	.75	46,113.83	2014-2015
R	Moore, Christina J.	M.S.	Lecturer	Management	1.00	72,000.00	2014-2015
R	Murdock, Kenneth	M.B.A.	Sr. Lecturer	Marketing	1.00	60,451.42	2014-2015
R	Noll, Arthur W.	M.B.A.	Sr. Lecturer	Marketing	1.00	58,426.04	2014-2015
R	Nugent, Gerard P.	M.S./J.D.	Lecturer	Computer Information Systems and Quantitative Methods	.25	9,303.03	Fall 2014
R	Painter, Matthew	M.B.A.	Sr. Lecturer	McCoy College of Business Administration	1.00	80,800.04	2014-2015
N	Pizzini, Wilhelmina	Ph.D.	Asst. Prof.	Accounting	1.00	140,000.00	2014-2015
R	Proschko, Christopher R.	J.D.	Lecturer	Accounting	1.00	61,356.86	2014-2015
R	Puffer, Thomas M.	B.B.A.	Lecturer	Accounting	1.00	96,356.86	2014-2015
R	Ramachandran, Indu	Ph.D.	Asst. Prof.	Management	1.00	113,000.00	2014-2015
R	Ross, John K.	Ph.D.	Associate Professor Emeritus	Management	.50	47,856.15	2014-2015
R	Severance, Robert	M.B.A.	Sr. Lecturer	Accounting	1.00	63,884.13	2014-2015
R	Spaeth, Mary S.	M.A.	Lecturer	Management	1.00	90,000.00	2014-2015
N	Todd, Jeffrey A.	J.D.	Asst. Prof.	Finance and Economics	1.00	90,000.00	2014-2015
R	Toles, Holland	Ph.D.	Sr. Lecturer	Finance and Economics	1.00	98,568.42	2014-2015

R	Trinidad, Jose	Ph.D.	Assistant Professor Emeritus	Finance and Economics	.50	45,955.78	2014-2015
R	West, Vicki L.	M.B.A.	Sr. Lecturer	Marketing	1.00	75,055.35	2014-2015
N	Wilson, Richard T.	Ph.D.	Asst. Prof.	Marketing	1.00	118,000.00	2014-2015
N	Young, Randall F.	Ph.D.	Asst. Prof.	Accounting	1.00	132,500.00	2014-2015
N	Zhang, Peigin	Ph.D.	Asst. Prof.	Computer Information Systems and Quantitative Methods	1.00	120,000.00	2014-2015

COLLEGE OF EDUCATION

R	Ahrens, Jennifer	Ph.D.	Clinical Asst. Professor	Health and Human Performance	1.00	54,825.83	2014-2015
R	Ashley, Lauren S.	M.Ed.	Lecturer	Curriculum and Instruction	.40	7,000.00	Fall 2014
R	Bahney, Jean S.	Ed.D.	Lecturer	Curriculum and Instruction	.40	7,500.00	Fall 2014
N	Baker, Candace	Ph.D.	Lecturer	Curriculum and Instruction	.20	4,000.00	Fall 2014
R	Baker, Daniel G.	M.Ed.	Sr. Lecturer	Health and Human Performance	1.00	53,949.41	2014-2015
R	Balcer, Mary K.	M.Ed.	Lecturer	Curriculum and Instruction	.40	7,000.00	Fall 2014
R	Bannerot, Joyce	Ph.D.	Lecturer	Curriculum and Instruction	.20	3,500.00	Fall 2014
R	Beck, Brandon L.	Ph.D.	Lecturer	Curriculum and Instruction	.40	7,500.00	Fall 2014
N	Beck, Jonna K.	M.Ed.	Lecturer	Curriculum and Instruction	.20	4,000.00	SSII
R	Berglund, Rose M.	M.Ed.	Lecturer	Health and Human Performance	.40	7,000.00	Fall 2014
N	Blackmon, Terry	Ph.D.	Clinical Prof.	Curriculum and Instruction	1.00	88,351.29	2014-2015
R	Brooks, Jodi M.	M.Ed.	Lecturer	Curriculum and Instruction	1.00	42,512.19	2014-2015
N	Brooks, Maneka	Ph.D.	Asst. Prof.	Curriculum and Instruction	1.00	65,000.00	2014-2015
R	Brown, Gale M.	M.A.	Lecturer	Curriculum and Instruction	.20	3,500.00	Fall 2014
R	Brown, Janis S.	M.S.	Lecturer	Curriculum and Instruction	.20	3,500.00	Fall 2014
N	Bruntmyer, Darlene	M.Ed.	Lecturer	Curriculum and Instruction	.50	21,000.06	2014-2015
R	Burbridge, Ann A.	M.M.Ed.	Lecturer	Curriculum and Instruction	.40	8,000.00	Fall 2014
N	Busey, Christopher	Ph.D.	Asst. Prof.	Curriculum and Instruction	1.00	66,800.00	2014-2015
R	Calley, Tracy K.	Ph.D.	Lecturer	Counseling, Leadership, Adult Education, and School Psychology	.40	8,000.00	Fall 2014
R	Carpenter, Delbert	Ph.D.	Lecturer	Counseling, Leadership, Adult Education, and School Psychology	.20	0.00	Fall 2014
R	Castillo, Alice V.	M.A.	Lecturer	Curriculum and Instruction	.40	7,000.00	Fall 2014
N	Cate-Linahan, Lindsey M.	M.Ed.	Lecturer	Curriculum and Instruction	.20	3,500.00	Fall 2014
R	Cates, Maggie M.	M.Ed.	Lecturer	Curriculum and Instruction	.20	3,500.00	Fall 2014
R	Cave, Roy M.	M.S.	Lecturer	Curriculum and Instruction	.40	7,000.00	Fall 2014
R	Cearley-Key, Terri	M.Ed.	Sr. Lecturer	Curriculum and Instruction	1.00	43,335.61	2014-2015
R	Clay, Carolyn C.	M.Ed.	Sr. Lecturer	Health and Human Performance	.75	32,599.92	2014-2015
R	Coleman, Margaret C.	Ph.D.	Lecturer	Curriculum and Instruction	.25	5,552.19	2014-2015
N	Collier, Denise L.	Ph.D.	Lecturer	Curriculum and Instruction	1.00	55,000.00	2014-2015
R	Crawford, Priscilla	Ph.D.	Sr. Lecturer	Curriculum and Instruction	1.00	47,171.82	2014-2015
R	Crook, Dena M.	M.Ed.	Sr. Lecturer	Curriculum and Instruction	1.00	44,598.12	2014-2015
R	Davenport, Katy	M.Ed.	Lecturer	Curriculum and Instruction	1.00	45,453.78	2014-2015
R	Davis, Catherine	Ph.D.	Lecturer	Curriculum and Instruction	.20	4,000.00	Fall 2014
R	Davis, Laura L.	M.Ed.	Sr. Lecturer	Curriculum and Instruction	1.00	43,448.76	2014-2015
N	Dean, Shannon R.	M.Ed.	Asst. Prof.	Counseling, Leadership, Adult Education, and School Psychology	1.00	59,500.00	2014-2015
R	Dixon, Mary O.	Ph.D.	Lecturer	Health and Human Performance	1.00	43,236.71	2014-2015
R	Dochen, Carol W.	Ph.D.	Lecturer	Curriculum and Instruction	.20	4,000.00	SSII
R	Dombroski, Maria	M.Ed.	Sr. Lecturer	Curriculum and Instruction	.50	23,042.88	2014-2015
R	Downey, Darcy L.	Ed.D.	Lecturer	Health and Human Performance	.20	3,500.00	SSII
R	Downey, Darcy L.	Ed.D.	Lecturer	Health and Human Performance	.25	9,970.33	2014-2015
R	Duchaine, Ellen L.	Ph.D.	Lecturer	Curriculum and Instruction	1.00	45,252.23	2014-2015
R	Duhon, Laura L.	M.Ed.	Sr. Lecturer	Curriculum and Instruction	1.00	46,524.49	2014-2015
R	Dupree, Jessica L.	M.S.R.L.S.	Lecturer	Health and Human Performance	1.00	38,049.11	2014-2015
R	Fanning, Lily S.	M.Ed.	Lecturer	Curriculum and Instruction	.40	7,000.00	Fall 2014
R	Fisher, Diane C.	Ed.D.	Lecturer	Counseling, Leadership, Adult Education, and School Psychology	.20	4,000.00	Fall 2014

R	Foster, Laura K.	M.Ed.	Lecturer	Counseling, Leadership, Adult Education, and School Psychology	.20	4,000.00	Fall 2014
R	Funderburk, Paula	M.Ed.	Lecturer	Curriculum and Instruction	1.00	40,208.18	2014-2015
R	Garcia, Michael I.	Ph.D.	Lecturer	Counseling, Leadership, Adult Education, and School Psychology	.40	8,000.00	Fall 2014
N	Gilmore, Sherri W.	M.Ed.	Lecturer	Curriculum and Instruction	.20	3,500.00	Fall 2014
R	Gorence, Deborah	M.Ed.	Lecturer	Curriculum and Instruction	.20	3,500.00	Fall 2014
R	Goudeau, Rita L.	M.S.	Lecturer	Curriculum and Instruction	.20	3,500.00	Fall 2014
N	Graham, Lori L.	Ph.D.	Lecturer	Curriculum and Instruction	.20	4,000.00	Fall 2014
R	Grande, Mark D.	M.Ed.	Lecturer	Health and Human Performance	1.00	37,929.23	2014-2015
R	Gravenor, Sandra	M.Ed.	Lecturer	Curriculum and Instruction	.40	7,500.00	Fall 2014
N	Greene, Jennifer	Ed.S.	Asst. Prof.	Counseling, Leadership, Adult Education, and School Psychology	1.00	60,500.00	2014-2015
R	Grimaldo, Leticia	Ph.D.	Lecturer	Counseling, Leadership, Adult Education, and School Psychology	.40	8,000.00	Fall 2014
R	Halinski, Katherine	Ph.D.	Lecturer	Counseling, Leadership, Adult Education, and School Psychology	.20	4,000.00	Fall 2014
R	Hallman, Victoria	M.A.	Lecturer	Health and Human Performance	.75	24,468.83	2014-2015
R	Harris, Bergeron	Ed.D.	Clinical Asst. Professor	Counseling, Leadership, Adult Education, and School Psychology	1.00	61,000.00	2014-2015
R	Harrison, Heather	B.S.	Lecturer	Health and Human Performance	.20	2,000.00	Fall 2014
R	Haynes, Megan B.	M.S.	Lecturer	Health and Human Performance	1.00	39,992.69	2014-2015
N	Heath, Lindsay A.	Ph.D.	Lecturer	Counseling, Leadership, Adult Education, and School Psychology	.20	4,000.00	Fall 2014
R	Heffner, Carla J.	M.S.	Sr. Lecturer	Health and Human Performance	1.00	42,099.00	2014-2015
R	Herzog, Stacey L.	M.Ed.	Lecturer	Health and Human Performance	1.00	37,979.18	2014-2015
R	Hinojosa, Jose A.	M.S.	Lecturer	Counseling, Leadership, Adult Education, and School Psychology	.20	0.00	Fall 2014
R	Hock, Alison C.	M.Ed.	Lecturer	Curriculum and Instruction	.50	21,685.41	2014-2015
R	Hock, Stephen	M.Ed.	Lecturer	Curriculum and Instruction	.40	7,000.00	Fall 2014
R	Holschuh, Douglas R.	Ph.D.	Sr. Lecturer	Curriculum and Instruction	1.00	59,618.51	2014-2015
R	Hoover, Jacqueline	M.Ed.	Lecturer	Curriculum and Instruction	.20	3,500.00	Fall 2014
R	Horony, Staci E.	M.Ed.	Lecturer	Curriculum and Instruction	.20	3,500.00	Fall 2014
R	Ikels, Ann B.	M.Ed.	Lecturer	Curriculum and Instruction	.40	7,000.00	Fall 2014
R	Jackson, James P.	M.Ed.	Lecturer	Curriculum and Instruction	.20	3,500.00	Fall 2014
R	Jobes, Cheryl S.	M.A.	Lecturer	Curriculum and Instruction	.40	7,000.00	Fall 2014
N	Johnson, Maurice	Ed.D.	Professor Emeritus	Health and Human Performance	.40	7,000.00	Fall 2014
R	Jones, Karen D.	Ph.D.	Lecturer	Counseling, Leadership, Adult Education, and School Psychology	.20	4,000.00	Fall 2014
R	Jones, Patricia L.	M.Ed.	Lecturer	Curriculum and Instruction	.20	3,500.00	Fall 2014
R	Joy, Vanessa J.S.	Psy.D.	Lecturer	Counseling, Leadership, Adult Education, and School Psychology	.20	4,000.00	Fall 2014
R	Judd, Laura A.	M.Ed.	Lecturer	Curriculum and Instruction	.40	7,000.00	Fall 2014
R	Karlik, Jason A.	M.Ed.	Sr. Lecturer	Health and Human Performance	.25	10,796.42	2014-2015
R	Kepner, Raechel	M.S.	Lecturer	Counseling, Leadership, Adult Education, and School Psychology	.20	0.00	Fall 2014
R	Klose, Laurie	Ph.D.	Clinical Assoc. Prof.	Counseling, Leadership, Adult Education, and School Psychology	1.00	67,678.59	2014-2015
R	Krieg, Karen A.	M.Ed.	Lecturer	Health and Human Performance	.40	7,000.00	Fall 2014
R	Langerock, Nancy	Ph.D.	Sr. Lecturer	Curriculum and Instruction	1.00	44,145.19	2014-2015
N	Lasater, Lisa M.	Ph.D.	Lecturer	Counseling, Leadership, Adult Education, and School Psychology	.20	4,000.00	Fall 2014
R	Lay, Ellis M.	M.Ed.	Lecturer	Curriculum and Instruction	.40	7,000.00	Fall 2014
R	Lepine, Sherry A.	Ph.D.	Lecturer	Counseling, Leadership, Adult Education, and School Psychology	.20	4,000.00	Fall 2014
R	Ligon, Jessica L.	M.Ed.	Sr. Lecturer	Curriculum and Instruction	1.00	45,446.29	2014-2015
R	Lopez, Ana B.	M.Ed.	Lecturer	Curriculum and Instruction	.50	17,398.08	2014-2015
R	Lord, Michal A.	Ph.D.	Lecturer	Health and Human Performance	.20	3,500.00	Fall 2014
R	Louden-Gerber, Gwen M.	Ph.D.	Lecturer	Counseling, Leadership, Adult Education, and School Psychology	.20	4,000.00	Fall 2014

R	Loya, Blanca T.	M.A.	Lecturer	Curriculum and Instruction	1.00	41,918.20	2014-2015
R	Martinez, Mary H.	M.A.	Lecturer	Curriculum and Instruction	.20	4,000.00	SSII
R	Masterson, Lynn	Ph.D.	Sr. Lecturer	Curriculum and Instruction	1.00	44,488.12	2014-2015
R	Matocha, Monica	M.Ed.	Lecturer	Health and Human Performance	1.00	39,985.64	2014-2015
N	Mayberry, Shaina	B.S.	Lecturer	Health and Human Performance	.20	0.00	Fall 2014
R	McAfee, Ryan J.	M.A.	Lecturer	Health and Human Performance	.20	3,500.00	Fall 2014
R	McDill, Leah W.	Ph.D.	Lecturer	Counseling, Leadership, Adult Education, and School Psychology	.20	4,000.00	Fall 2014
R	McDonald, Jacquelyn	Ph.D.	Clinical Asst. Professor	Health and Human Performance	1.00	62,920.35	2014-2015
R	Mencke, Paul D.	Ph.D.	Lecturer	Curriculum and Instruction	1.00	45,045.90	2014-2015
R	Mergen, Sandra L.	Ph.D.	Lecturer	Curriculum and Instruction	1.00	40,932.00	2014-2015
R	Meritt, Julia M.	M.Ed.	Sr. Lecturer	Curriculum and Instruction	1.00	43,826.71	2014-2015
N	Milk, Christopher	Ph.D.	Lecturer	Curriculum and Instruction	.40	7,000.00	Fall 2014
R	Moede, Kurt E.	M.M.	Lecturer	Curriculum and Instruction	.40	8,000.00	Fall 2014
R	Moreau, John A.	M.Ed.	Sr. Lecturer	Health and Human Performance	.20	3,500.00	Fall 2014
R	Moreita, Joan	M.A.	Sr. Lecturer	Curriculum and Instruction	1.00	43,953.95	2014-2015
N	Moroch, Martha T.	M.Ed.	Lecturer	Health and Human Performance	.20	3,500.00	Fall 2014
N	Morrison, Barbara	M.Ed.	Lecturer	Curriculum and Instruction	.40	7,000.00	Fall 2014
R	Nelson, Angela M.	Ed.D.	Lecturer	Curriculum and Instruction	.20	3,500.00	Fall 2014
N	Neubauer, Justin	M.A.	Lecturer	Health and Human Performance	.20	3,500.00	Fall 2014
R	Norwood, Bobby	M.Ed.	Lecturer	Curriculum and Instruction	.20	3,500.00	Fall 2014
R	Oestreich, Jo B.	Ph.D.	Sr. Lecturer	Curriculum and Instruction	1.00	44,858.33	2014-2015
R	Pape, Dianne R.	Ph.D.	Sr. Lecturer	Curriculum and Instruction	1.00	53,160.80	2014-2015
R	Patek, Kyle T.	M.S.	Lecturer	Health and Human Performance	1.00	38,039.12	2014-2015
R	Peacock, Stephanie	M.S.	Lecturer	Curriculum and Instruction	.20	3,500.00	SSII
R	Peacock, Stephanie	M.S.	Lecturer	Curriculum and Instruction	.20	3,500.00	Fall 2014
R	Peca, Yolanda C.	M.Ed.	Lecturer	Curriculum and Instruction	1.00	41,655.69	2014-2015
R	Pedroza, Bertha	Ph.D.	Lecturer	Counseling, Leadership, Adult Education, and School Psychology	.20	4,000.00	Fall 2014
R	Peregoy, Carol Z.	M.Ed.	Lecturer	Curriculum and Instruction	.40	7,000.00	Fall 2014
R	Peterson, Carol E.	M.A.	Lecturer	Curriculum and Instruction	.50	20,441.61	2014-2015
N	Peterson, Katie E.	Ph.D.	Lecturer	Curriculum and Instruction	.40	8,000.00	SSII
R	Peterson, Katie E.	Ph.D.	Lecturer	Curriculum and Instruction	.20	4,000.00	Fall 2014
R	Portefield, Jennifer	Ph.D.	Lecturer	Curriculum and Instruction	.50	23,000.04	2014-2015
R	Pratz, Mark A.	M.Ed.	Lecturer	Curriculum and Instruction	.40	7,000.00	Fall 2014
R	Rainey, Tammy R.	M.S.	Sr. Lecturer	Health and Human Performance	1.00	49,663.32	2014-2015
R	Ridgway, Morris	M.Ed.	Lecturer	Curriculum and Instruction	.40	7,000.00	Fall 2014
R	Robarts, Dawn M.	M.S.	Sr. Lecturer	Health and Human Performance	1.00	53,718.88	2014-2015
R	Robarts, Kaleigh	M.Ed.	Lecturer	Health and Human Performance	.50	18,000.00	2014-2015
R	Rocha, Maria G.Z.	M.S.	Lecturer	Curriculum and Instruction	.20	3,500.00	Fall 2014
R	San Miguel, Trinidad	Ed.D.	Lecturer	Counseling, Leadership, Adult Education, and School Psychology	.20	4,000.00	Fall 2014
R	Saur, Suzette W.	M.Ed.	Lecturer	Curriculum and Instruction	.40	7,000.00	Fall 2014
R	Schneider, Debra	M.Ed.	Lecturer	Curriculum and Instruction	.20	3,500.00	Fall 2014
R	Scholwinski, Edward J.	Ph.D.	Associate Professor Emeritus	Counseling, Leadership, Adult Education, and School Psychology	.50	30,678.80	2014-2015
R	Shank, Penny	M.A.	Lecturer	Curriculum and Instruction	.40	7,000.00	Fall 2014
N	Shuler, Michelle K.	Ph.D.	Lecturer	Counseling, Leadership, Adult Education, and School Psychology	.20	4,000.00	Fall 2014
R	Simmons, David	Ed.D.	Lecturer	Counseling, Leadership, Adult Education, and School Psychology	.20	4,000.00	Fall 2014
R	Smith, Maureen	M.S.	Sr. Lecturer	Health and Human Performance	1.00	40,483.15	2014-2015
R	Snow, Kathryn R.	M.Ed.	Lecturer	Health and Human Performance	.20	3,500.00	Fall 2014
R	Sparrow, Barbara	Ph.D.	Lecturer	Counseling, Leadership, Adult Education, and School Psychology	1.00	49,435.68	2014-2015
R	Spear, Elizabeth	M.Ed.	Lecturer	Curriculum and Instruction	.40	7,000.00	Fall 2014
R	Spencer, Jeanne	Ed.D.	Lecturer	Counseling, Leadership, Adult Education, and School Psychology	.40	8,000.00	Fall 2014
R	Thomas, Krista A.	M.S.R.L.S.	Sr. Lecturer	Health and Human Performance	1.00	45,708.22	2014-2015

R	Trenado, Eli	M.A.	Lecturer	Counseling, Leadership, Adult Education, and School Psychology	.20	0.00	Fall 2014
R	Turner, Peter	B.B.A.	Lecturer	Health and Human Performance	.20	1,612.00	Fall 2014
R	Van Wyatt, Carl	Ph.D.	Lecturer	Counseling, Leadership, Adult Education, and School Psychology	.2	0.00	Fall 2014
N	Vanderwege, Gary	A.B.	Lecturer	Health and Human Performance	.20	4,500.00	Fall 2014
R	Vaughan, Phillip	Ph.D.	Lecturer	Counseling, Leadership, Adult Education, and School Psychology	.20	4,000.00	Fall 2014
R	Veach, Mary J.	M.Ed.	Lecturer	Curriculum and Instruction	.20	3,500.00	Fall 2014
R	Vetter, Cynthia I.	M.A.	Sr. Lecturer	Curriculum and Instruction	1.00	42,932.43	2014-2015
R	Webb, Britney K.	M.Ed.	Sr. Lecturer	Health and Human Performance	1.00	48,123.08	2014-2015
R	Wendler, Linda F.	Ph.D.	Sr. Lecturer	Curriculum and Instruction	1.00	46,647.05	2014-2015
R	Werner, Patricia	M.Ed.	Lecturer	Health and Human Performance	.20	3,500.00	Fall 2014
R	Wiswell, Christina	Ed.D.	Lecturer	Curriculum and Instruction	.20	4,000.00	Fall 2014
R	Wiswell, Christina	Ed.D.	Lecturer	Counseling, Leadership, Adult Education, and School Psychology	.20	4,000.00	Fall 2014
R	Woolery, Jason S.	M.A.	Lecturer	Curriculum and Instruction	1.00	39,406.35	2014-2015
R	Wright, Marty R.	M.Ed.	Sr. Lecturer	Health and Human Performance	1.00	38,850.75	2014-2015
R	Wuestenberg, Pamela J.	Ph.D.	Sr. Lecturer	Health and Human Performance	1.00	64,435.32	2014-2015
R	Wyatt, Cheryl M.	Ed.D.	Lecturer	Curriculum and Instruction	1.00	45,117.81	2014-2015
R	Zapp, Teresa L.	M.Ed.	Sr. Lecturer	Curriculum and Instruction	1.00	42,509.54	2014-2015

COLLEGE OF FINE ARTS AND COMMUNICATION

R	Aamot, Craig A.	M.M.	Lecturer	Music	1.00	41,634.05	2014-2015
R	Aguirre, Emmeline	M.A.	Lecturer	Journalism and Mass Communication	1.00	52,863.23	2014-2015
R	Alfonso, Monica J.	M.F.A.	Lecturer	Art and Design	.75	31,504.29	2014-2015
R	Asbell, Stephanie	D.M.A.	Asst. Prof.	Music	1.00	50,288.00	2014-2015
R	Bajackson, Robert	M.A.	Sr. Lecturer	Journalism and Mass Communication	.25	14,096.34	2014-2015
R	Baldwin, Patricia	Ph.D.	Lecturer	Journalism and Mass Communication	.75	37,500.03	2014-2015
R	Bartz, Ezra J.	D.M.A.	Sr. Lecturer	Music	1.00	42,134.68	2014-2015
R	Bellini, Brigitte K.	M.M.	Sr. Lecturer	Music	1.00	44,068.08	2014-2015
R	Bird, Paula	M.M.	Sr. Lecturer	Music	1.00	49,769.17	2014-2015
R	Blasingame, Dale	M.A.	Lecturer	Journalism and Mass Communication	1.00	45,400.01	2014-2015
R	Bolin, Gregory B.	D.M.A.	Lecturer	Theatre and Dance	1.00	48,000.00	2014-2015
R	Bowers, Harry T., IV	M.S.I.S.	Sr. Lecturer	Journalism and Mass Communication	1.00	49,163.68	2014-2015
R	Brooks, Shea L.	M.A.	Lecturer	Communication Studies	1.00	12,800.03	Fall 2014
R	Burns, Michael E.	Ph.D.	Sr. Lecturer	Communication Studies	1.00	54,000.02	2014-2015
R	Butler, Adrienne R.	M.F.A.	Lecturer	Art and Design	.75	15,685.02	Fall 2014
R	Camp, Shawn R.	M.F.A.	Lecturer	Art and Design	1.00	40,569.52	2014-2015
R	Canavan, Claire	Ph.D.	Lecturer	Theatre and Dance	1.00	21,138.03	Fall 2014
R	Capwell, Grace H.	M.B.A.	Lecture	Journalism and Mass Communication	1.00	50,000.00	2014-2015
R	Carlson, Larry A.	M.Ed.	Sr. Lecturer	Journalism and Mass Communication	1.00	55,786.17	2014-2015
R	Chilton, Casey F.	M.A.	Sr. Lecturer	Communication Studies	1.00	43,300.00	2014-2015
R	Clark, Elizabeth J.	M.J.	Sr. Lecturer	Journalism and Mass Communication	1.00	49,909.03	2014-2015
N	Conway, LesLeigh	M.A.	Lecturer	Communication Studies	1.00	12,800.03	Fall 2014
R	Copeland, Thomas	H.S.	Lecturer	Theatre and Dance	.50	26,500.23	2014-2015
R	Corley, Alton L.	Ph.D.	Lecturer	Music	.75	31,662.54	2014-2015
R	Cornett, Michael	Ph.D.	Sr. Lecturer	Communication Studies	1.00	43,700.14	2014-2015
R	Critchfield-Jones, Nancy	M.A.	Lecturer	Communication Studies	.75	22,200.03	2014-2015
R	Crosby, Jeffery M.	M.F.A.	Lecturer	Art and Design	1.00	40,072.56	2014-2015
R	Cruz, Mark A.	M.M.	Sr. Lecturer	Music	1.00	44,374.05	2014-2015
R	Cunningham, Erin	M.F.A.	Lecturer	Art and Design	.75	28,050.03	2014-2015

N	Dailey, Stephanie	Ph.D.	Asst. Prof.	Communication Studies	.50	4,750.00	SS I
R	Dailey, Stephanie	Ph.D.	Asst. Prof.	Communication Studies	1.00	57,000.00	2014-2015
N	Davis, Soon Cho	Ph.D.	Sr. Lecturer	Music	1.00	50,000.00	2014-2015
R	Dawson, James D.	B.A.	Lecturer	Music	.80	28,119.20	2014-2015
R	DesChamps- Benke, Nicole	M.F.A.	Sr. Lecturer	Art and Design	1.00	39,598.64	2014-2015
N	DeVol, Cheri P.	M.F.A.	Lecturer	Theatre and Dance	1.00	53,000.00	2014-2015
R	Ditto, Charles	D.M.A.	Sr. Lecturer	Music	1.00	46,421.41	2014-2015
R	Eaton, Rebecca	Ph.D.	Sr. Lecturer	Music	1.00	44,406.73	2014-2015
N	Ehmer, Emily A.	M.A.	Asst. Prof.	Journalism and Mass Communication	1.00	60,000.00	2014-2015
R	Elliott, Barbara B.	M.M.	Lecturer	Music	.83	25,999.82	2014-2015
N	Faber, Jonathan	M.F.A.	Asst. Prof.	Art and Design	1.00	55,000.00	2014-2015
R	Fernandez, Veronica M.	M.F.A.	Lecturer	Art and Design	.50	18,550.21	2014-2015
R	Fleming, Patricia	M.Ed.	Sr. Lecturer	Music	.80	35,097.06	2014-2015
R	Fleuriet, Cathy	Ph.D.	Associate Professor Emeritus	Communication Studies	.50	50,000.04	2014-2015
R	Fox, Kymberly J.	M.A.	Sr. Lecturer	Journalism and Mass Communication	1.00	64,983.13	2014-2015
N	Frankfort, Dana M.	M.F.A.	Asst. Prof.	Art and Design	1.00	62,000.00	2014-2015
R	Frei, Seth S.	M.A.	Lecturer	Communication Studies	.75	9,600.03	Fall 2014
R	Gorina, Alena	D.M.A.	Asst. Prof.	Music	1.00	31,812.00	2014-2015
N	Grair, Steven M.	B.F.A.	Lecturer	Theatre and Dance	1.00	48,500.01	2014-2015
R	Haight, Russell P.	D.M.A.	Sr. Lecturer	Music	1.00	52,000.00	2014-2015
R	Hale, Daris W.	M.M.	Sr. Lecturer	Music	1.00	35,115.12	2014-2015
R	Hall, Richard D.	M.M.	Sr. Lecturer	Music	1.00	45,054.14	2014-2015
R	Hamelin, Karla M.	D.M.A.	Lecturer	Music	1.00	37,946.34	2014-2015
R	Hartung-Ishii, Shay L.	M.F.A.	Lecturer	Theatre and Dance	1.00	50,251.56	2014-2015
N	Hawk, Stephen L.	D.M.A.	Lecturer	Music	.61	25,620.00	2014-2015
R	Hefner, David	Ph.D.	Sr. Lecturer	Art and Design	1.00	43,672.11	2014-2015
R	Hehmsoth, Henry	M.M.	Sr. Lecturer	Music	1.00	48,709.17	2014-2015
R	Henry, William R., III	B.M.	Lecturer	Music	.50	16,307.14	2014-2015
R	Hickinbotham, Gary S.	H.S.	Lecturer	Music	.50	20,759.05	2014-2015
R	Higgins Joyce, Vanessa D.	Ph.D.	Asst. Prof.	Journalism and Mass Communication	1.00	60,000.00	2014-2015
R	Hinojosa, Estaban	M.A.	Lecturer	Art and Design	.75	15,685.02	Fall 2014
N	Holzner, Matthew	M.M.	Lecturer	Music	1.00	42,000.00	2014-2015
R	Hopkins, Kaitlin	H.S.	Sr. Lecturer	Theatre and Dance	1.00	63,200.00	2014-2015
R	Horan, Sean M.	Ph.D.	Asst. Prof.	Communication Studies	.50	5,000.00	SSII
R	Horan, Sean M.	Ph.D.	Asst. Prof.	Communication Studies	1.00	60,000.00	2014-2015
R	Hutchins, Jeremy	M.A.	Sr. Lecturer	Communication Studies	1.00	43,499.98	2014-2015
R	Ippolito, Michael L.	D.M.A.	Asst. Prof.	Music	1.00	52,000.00	2014-2015
R	Jackson, Shelley	M.F.A.	Lecturer	Art and Design	.75	29,250.00	2014-2015
R	Jennings, John J.	M.A.	Sr. Lecturer	Theatre and Dance	1.00	58,552.29	2014-2015
R	Johnson, Brian	M.F.A.	Sr. Lecturer	Art and Design	1.00	39,597.81	2014-2015
R	Jones, Gordon	P.G.C.E.	Sr. Lecturer	Music	1.00	42,216.06	2014-2015
R	Jones, Jules	M.F.A.	Lecturer	Art and Design	.75	15,685.02	Fall 2014
R	Jurrells, Summer	M.A.	Lecturer	Communication Studies	.75	9,600.03	Fall 2014
R	Kapila, Sameera	M.F.A.	Lecturer	Art and Design	1.00	51,306.35	2014-2015
R	Kaufman, Charles	M.S.	Sr. Lecturer	Journalism and Mass Communication	1.00	49,786.94	2014-2015
R	Kilday, Carolyn	B.F.A.	Lecturer	Art and Design	1.00	42,770.70	2014-2015
R	Klier, Kari	M.M.	Sr. Lecturer	Music	1.00	47,377.85	2014-2015
R	Krabbenhof, Eloiese	M.A.	Sr. Lecturer	Art and Design	1.00	40,875.80	2014-2015
R	Kraemer, Wayne	M.A.	Sr. Lecturer	Communication Studies	1.00	69,432.00	2014-2015
N	Lane, Laura	B.F.A.	Assoc. Prof.	Theatre and Dance	1.00	75,000.00	2014-2015

R	Lasater, Thomas	M.A.	Lecturer	Communication Studies	1.00	12,800.00	Fall 2014
R	Laughlin, Lowry A.	M.F.A.	Sr. Lecturer	Art and Design	1.00	39,405.39	2014-2015
R	LeBlanc, Kristen	M.A.	Sr. Lecturer	Communication Studies	1.00	44,600.06	2014-2015
R	Lee, Kyung-Ae	D.M.A.	Sr. Lecturer	Music	1.00	42,216.06	2014-2015
R	Lipton, Kay M.	Ph.D.	Sr. Lecturer	Music	1.00	44,225.12	2014-2015
R	Lopez, Robert A.	M.M.	Sr. Lecturer	Music	1.00	42,216.06	2014-2015
N	Mallios, Jessica E.	M.F.A.	Asst. Prof.	Art and Design	1.00	60,000.00	2014-2015
R	Maresca, Richard	H.S.	Lecturer	Theatre and Dance	1.00	52,600.03	2014-2015
R	Martinez, Gilbert	J.D.	Sr. Lecturer	Journalism and Mass Communication	1.00	51,874.01	2014-2015
R	Mattix, Christopher	M.A.	Lecturer	Communication Studies	1.00	12,800.03	Fall 2014
R	May, Thomas L.	M.F.A.	Lecturer	Art and Design	1.00	38,560.46	2014-2015
R	Mazak, Andrew G.	B.M.	Sr. Lecturer	Music	1.00	43,582.89	2014-2015
N	McMeeking, Anne	M.F.A.	Lecturer	Theatre and Dance	1.00	50,000.00	2014-2015
R	McShane-Bolton, Kathleen M.	M.F.A.	Lecturer	Art and Design	.75	15,685.02	Fall 2014
R	Michell, Monica A.	M.F.A.	Sr. Lecturer	Theatre and Dance	1.00	53,512.36	2014-2015
R	Miles, Charles J.	B.A.	Lecturer	Music	.50	19,190.83	2014-2015
R	Mooney, Kevin E.	Ph.D.	Sr. Lecturer	Music	1.00	55,892.98	2014-2015
R	Morris, Matthew B.	M.A.	Lecturer	Communication Studies	1.00	12,800.03	Fall 2014
R	Nelms, Morris H.	M.M.	Sr. Lecturer	Music	1.00	44,049.00	2014-2015
R	Ninov, Dimitar N.	Ph.D.	Lecturer	Music	1.00	36,579.78	2014-2015
R	Nolan, David S.	Ph.D.	Sr. Lecturer	Journalism and Mass Communication	1.00	57,007.53	2014-2015
R	Oliver, Christa J.	M.A.	Lecturer	Theatre and Dance	.50	24,250.05	2014-2015
N	Oltman, Matthew	M.A.	Lecturer	Music	1.00	21,000.00	2014-2015
N	Owen, Phillip D.	M.F.A.	Lecturer	Theatre and Dance	1.00	51,000.00	2014-2015
R	Parrish, Cheryl	M.M.	Asst. Prof.	Music	1.00	52,000.00	2014-2015
N	Passino, Morgan	M.A.	Lecturer	Communication Studies	1.00	12,800.03	Fall 2014
R	Payne, Dennis T.	M.A.	Lecturer	Communication Studies	1.00	41,000.05	2014-2015
R	Paz, Mark A., II	M.A.	Lecturer	Communication Studies	1.00	12,800.03	Fall 2014
R	Perrin, Elvia	M.F.A.	Lecturer	Art and Design	.75	29,007.53	2014-2015
R	Pierucci, Caprice	M.F.A.	Sr. Lecturer	Art and Design	1.00	39,657.18	2014-2015
R	Pizer, Alan D.	M.A.	Sr. Lecturer	Art and Design	1.00	47,132.57	2014-2015
R	Price, James W.	A.B.	Sr. Lecturer	Theatre and Dance	1.00	55,500.06	2014-2015
R	Pritzker, Burton	B.Arch.	Sr. Lecturer	Art and Design	1.00	39,598.27	2014-2015
R	Richey, Shannon	H.S.	Lecturer	Theatre and Dance	.50	24,250.05	2014-2015
R	Roach, Kristin L.	M.M.	Lecturer	Music	.50	15,600.03	2014-2015
R	Ruggiero, Benjamin	M.F.A.	Sr. Lecturer	Art and Design	1.00	39,011.87	2014-2015
R	Schumacher, Daniel W.	M.A.	Sr. Lecturer	Journalism and Mass Communication	.25	17,266.08	2014-2015
N	Soto, Amanda C.	Ph.D.	Asst. Prof.	Music	1.00	55,000.00	2014-2015
R	Sparrow, Polly L.	M.F.A.	Lecturer	Art and Design	.75	15,685.02	Fall 2014
R	Stewart, Neil P.	M.F.A.	Lecturer	Theatre and Dance	1.00	48,500.01	2014-2015
R	Stewart, Sue L.	M.A.	Sr. Lecturer	Communication Studies	1.00	48,100.06	2014-2015
N	Stob, Jennifer F.	Ph.D.	Asst. Prof.	Art and Design	1.00	55,000.00	2014-2015
R	Stone, Patricia A.	M.A.	Lecturer	Theatre and Dance	.20	3,500.00	SSII
R	Stone, Patricia A.	M.A.	Lecturer	Theatre and Dance	.50	28,000.08	2014-2015
R	Torres, Jeremy O.	M.F.A.	Lecturer	Theatre and Dance	1.00	42,276.06	2015-2015
R	Tower, Mollie	M.Ed.	Lecturer	Music	.75	28,086.77	2014-2015
R	Turner, Laura B.	M.F.A.	Lecturer	Art and Design	.75	14,850.00	2014-2015
R	Underhill, Barry A.	M.F.A.	Lecturer	Art and Design	1.00	41,099.96	2014-2015
R	Vandenberg, Scott	B.A.	Lecturer	Theatre and Dance	.20	4,000.00	SSI-SSII
N	Villagran, Paul D.	M.A.	Lecturer	Journalism and Mass Communication	1.00	50,000.00	2014-2015
N	Wiley, Gale F.	M.S.	Lecturer	Journalism and Mass Communication	1.00	50,000.04	2014-2015
R	Williams, M. Lee	Ph.D.	University Distinguished Professor	Communication Studies	.50	50,000.04	2014-2015
R	Wilson, Olga M.	M.A.	Sr. Lecturer	Journalism and Mass Communication	1.00	52,600.03	2014-2015

R	Wood, Thomas B.	M.M.	Lecturer	Music	.75	23,250.06	2014-2015
R	Worthington, Oliver W., II	D.M.A.	Sr. Lecturer	Music	1.00	43,048.09	2014-2015
R	Zmikly, Jonathan	M.A.	Sr. Lecturer	Journalism and Mass Communication	1.00	47,599.99	2014-2015

COLLEGE OF HEALTH PROFESSIONS

R	Armstead, Sharon	M.B.A.	Clinical Asst. Professor	Respiratory Care	1.00	64,182.06	2014-2015
R	Bachman, Teresa	D.P.T.	Clinical Asst. Professor	Physical Therapy	1.00	71,000.02	2014-2015
R	Baylor, Debbie A.	M.Ed.	Sr. Lecturer	Physical Therapy	.50	32,978.89	2014-2015
N	Bezner, Janet R.	Ph.D.	Assoc. Prof.	Physical Therapy	1.00	85,000.00	2014-2015
R	Biggan, Elizabeth	M.S.N.	Clinical Asst. Professor	Nursing	1.00	67,700.16	2014-2015
R	Boucher, Brenda	Ph.D.	Assoc. Prof.	Physical Therapy	1.00	77,775.03	2014-2015
R	Boyd, Shawn L.	M.S.N.	Clinical Assoc. Professor	Nursing	1.00	74,824.98	2014-2015
R	Dolezel, Diane M.	M.S.	Asst. Prof.	Health Information Management	1.00	68,500.00	2014-2015
N	Ellis, Joanna R.	M.S.	Clinical Asst. Professor	Clinical Laboratory Science	1.00	67,625.00	2014-2015
R	England, Susan	Ph.D.	Clinical Assoc. Professor	Nursing	1.00	75,685.66	2014-2015
R	Finney, Mary- Margaret	M.S.N.	Clinical Assoc. Professor	Nursing	1.00	74,910.36	2014-2015
R	Greene, Lloyd	Ed.D.	Sr. Lecturer	Health Administration	1.00	68,087.40	2014-2015
R	Guy, Sarah F.	M.S.N.	Clinical Asst. Professor	Nursing	1.00	68,990.00	2014-2015
R	Hale, Jennifer L.	Ph.D.	Clinical Asst. Professor	Physical Therapy	1.00	67,286.97	2014-2015
R	Harkins, Lynda T.	Ph.D.	Clinical Assoc. Professor	Respiratory Care	.25	18,564.27	2014-2015
R	Hogan, Mary A.	Ph.D.	Clinical Asst. Professor	Health Administration	1.00	62,432.03	2014-2015
R	Jeffery, Janene L.	M.S.N.	Clinical Assoc. Professor	Nursing	1.00	77,949.00	2014-2015
R	Jillapalli, Regina	M.S.N.	Clinical Assoc. Professor	Nursing	1.00	76,711.77	2014-2015
R	Johnson, Alisha	M.S.N.	Clinical Asst. Professor	Nursing	1.00	70,120.00	2014-2015
R	Johnson, Linda E.	M.Ed.	Lecturer	Communication Disorders	1.00	49,537.62	2014-2015
R	Jones, Gay Lynn	M.S.N.	Clinical Assoc. Professor	Nursing	1.00	69,858.00	2014-2015
R	Kajs-Wyllie, Marylyn R.	M.S.N.	Clinical Assoc. Professor	Nursing	1.00	76,424.07	2014-2015
N	Kim, Son Chae	Ph.D.	Professor	Nursing	1.00	98,321.00	2014-2015
R	Kroon, Pierre A.	D.P.T.	Clinical Asst. Professor	Physical Therapy	.50	32,500.08	2014-2015
R	Lalani, Karima H.	M.B.A.	Lecturer	Health Information Management	.75	45,134.47	2014-2015
R	Layton, Kimberly	M.S.H.P.	Lecturer	Health Administration	.75	33,507.84	2014-2015
R	Lopez, Dora P.	B.A.A.S.	Clinical Lect.	Radiation Therapy	1.00	60,503.54	2014-2015
R	Lorenz, James G.	D.P.T.	Clinical Lect.	Physical Therapy	.25	14,357.07	2014-2015
R	Love, Karen E.	M.S.N.	Lecturer	Nursing	.75	14,000.00	Fall 2014
R	MacKenzie, Todd	M.S.	Clinical Asst. Professor	Health Administration	.50	31,008.02	2014-2015
R	Martinez-Anderson, Dinorah G.	M.S.N.	Clinical Asst. Professor	Nursing	1.00	69,858.00	2014-2015
R	McDowell, Debra	Ph.D.	Clinical Asst. Professor	Physical Therapy	1.00	71,000.02	2014-2015
R	Murphy-Abdouch, Kim M.	M.P.H.	Clinical Asst. Professor	Health Information Management	1.00	63,461.78	2014-2015

R	Myers, Danette L.	M.B.A.	Clinical Asst. Professor	Health Information Management	1.00	61,967.94	2014-2015
R	Okere, Suzanna	Ph.D.	Clinical Assoc. Professor	Physical Therapy	1.00	76,879.78	2014-2015
R	Parker, Mary E.	Ph.D.	Clinical Asst. Professor	Physical Therapy	1.00	66,630.76	2014-2015
R	Petroff, Peter A.	M.D.	Clinical Prof.	Respiratory Care	.25	11,965.58	2014-2015
R	Poole, Marcia	Ed.D.	Clinical Assoc. Professor	Nursing	1.00	76,226.04	2014-2015
R	Rubenstein, David	M.H.A.	Clinical Assoc. Professor	Health Administration	.25	19,575.68	2014-2015
R	Schwarz, Amy L.	Ph.D.	Asst. Prof.	Communication Disorders	1.00	58,200.00	2014-2015
R	Spivey, Steven S.	D.P.T.	Clinical Asst. Professor	Physical Therapy	1.00	68,650.81	2014-2015
N	Stickley, Lois A.	Ph.D.	Assoc. Prof.	Physical Therapy	1.00	77,000.00	2014-2015
R	Stiriz, Lori L.	M.A.	Sr. Lecturer	Communication Disorders	1.00	63,437.20	2014-2015
R	Walston-Sanchez, Melissa E.	B.S.	Lecturer	Health Information Management	1.00	45,539.95	2014-2015
R	Wendel, Renee	M.S.	Sr. Lecturer	Communication Disorders	1.00	54,159.53	2014-2015
R	Wilburn, Brandi B.	M.B.A.	Sr. Lecturer	Radiation Therapy	1.00	59,665.68	2014-2015
R	Williams, Shannon	D.P.T.	Clinical Lect.	Physical Therapy	1.00	57,562.65	2014-2015
N	Willson, Pamela A.	Ph.D.	Clinical Professor	Nursing	1.00	97,110.99	2014-2015
R	Winegar, Rhonda	M.S.N.	Clinical Asst. Professor	Nursing	1.00	67,806.55	2014-2015

COLLEGE OF LIBERAL ARTS

N	Abreu Mendoza, Carlos	Ph.D.	Asst. Prof.	Modern Languages	1.00	55,000.00	2014-2015
R	Alfau, Antonio de Jesus	M.A.	Lecturer	Modern Languages	.50	21,611.15	2014-2015
R	Angulo, Sarah K.	Ph.D.	Sr. Lecturer	Psychology	1.00	41,388.89	2014-2015
R	Arnold, Charles B.	M.A.	Sr. Lecturer	Political Science	1.00	50,311.08	2014-2015
R	Atchison, Alan C.	M.A.	Sr. Lecturer	History	1.00	46,104.93	2014-2015
N	Barton, Seth	M.A.	Lecturer	Philosophy	1.00	24,000.03	2014-2015
N	Bein, Stephen M.	Ph.D.	Lecturer	Philosophy	1.00	37,000.00	2014-2015
R	Benavides, Ida M.	M.A.	Sr. Lecturer	Modern Languages	1.00	41,943.04	2014-2015
R	Benedikt, Amelie	Ph.D.	Sr. Lecturer	Philosophy	.75	34,729.75	2014-2015
R	Bitney, Catherine	Ph.D.	Lecturer	Psychology	1.00	38,637.78	2014-2015
N	Boeshart, Megan	M.A.	Lecturer	English	1.00	13,071.51	Fall 2014
R	Booker, Shiloh E.	M.F.A.	Lecturer	English	1.00	13,359.24	Fall 2014
R	Bouzard, Gayle G.	M.Ed.	Sr. Lecturer	Sociology	1.00	42,465.26	2014-2015
R	Bowman, Rebecca	M.A.	Lecturer	Modern Languages	.50	10,485.77	Fall 2014
R	Braud, Beverley	M.A.	Sr. Lecturer	English	1.00	48,909.60	2014-2015
R	Carroll, Justin W.	M.F.A.	Lecturer	English	1.00	13,222.22	Fall 2014
R	Carson, Jo Ann	Ph.D.	Sr. Lecturer	Philosophy	.50	27,610.56	2014-2015
R	Carter, Mark L.	M.A.G.	Sr. Lecturer	Geography	1.00	57,853.82	2014-2015
R	Chumbley, Cody K.	M.A.	Lecturer	Philosophy	1.00	24,000.03	2014-2015
R	Coates, Jason R.	M.F.A.	Sr. Lecturer	English	1.00	40,292.46	2014-2015
R	Cohen, Robin E.	Ph.D.	Sr. Lecturer	English	1.00	55,114.64	2014-2015
R	Conde, Jason L.	M.F.A.	Lecturer	English	1.00	13,071.51	Fall 2014
R	Conner, Matthew	Ph.D.	Sr. Lecturer	Modern Languages	.50	23,365.59	2014-2015
R	Cooper, Brian J.	Ph.D.	Sr. Lecturer	Geography	1.00	51,500.04	2014-2015
R	Cordaro, Mildred	Ph.D.	Sr. Lecturer	Psychology	1.00	44,960.96	2014-2015
R	Cortesi, Taylor D.	M.A.	Lecturer	English	1.00	13,222.22	Fall 2014
R	Decker, Julia C.	M.A.	Lecturer	Political Science	1.00	42,694.35	2014-2015
R	Dees, Lillian E.	M.A.I.S.	Sr. Lecturer	Sociology	1.00	37,491.42	2014-2015
R	DeHon, Rene	Ph.D.	Sr. Lecturer	Geography	1.00	53,186.04	2014-2015
R	Denton, Patricia L.	Ph.D.	Clinical Assoc. Prof.	History	1.00	74,899.56	2014-2015
R	DiMauro-Jackson, Moira M.	Ph.D.	Sr. Lecturer	Modern Languages	1.00	45,747.64	2014-2015

R	Diocares, Maria A.	M.A.	Sr. Lecturer	Modern Languages	1.00	41,943.04	2014-2015
N	Dominguez Navarro, David	Ph.D.	Asst. Prof.	Modern Languages	1.00	55,000.00	2014-2015
N	Drell, Laura C.	M.F.A.	Lecturer	English	1.00	13,071.51	Fall 2014
R	duBois, Jennifer J.	M.F.A.	Lecturer	English	1.00	55,012.54	2014-2015
R	Duffy, Shannon E.	Ph.D.	Sr. Lecturer	History	1.00	42,122.36	2014-2015
R	Dunaway, Margaret	Ph.D.	Sr. Lecturer	Modern Languages	1.00	41,943.23	2014-2015
R	Easton, Judith A.	Ph.D.	Lecturer	Psychology	1.00	39,888.95	2014-2015
R	Ellis-Lai, Laura	M.Ed.	Lecturer	English	.75	10,072.67	Fall 2014
R	Etienne-Gray, Trace M.	M.A.	Sr. Lecturer	History	1.00	46,168.02	2014-2015
N	Faber, Michael J.	Ph.D.	Asst. Prof.	Political Science	1.00	58,500.00	2014-2015
R	Farrar, Blake R.	M.A.	Lecturer	Political Science	1.00	40,219.61	2014-2015
R	Feeler, William R.	M.F.A.	Lecturer	English	1.00	13,220.42	Fall 2014
R	Finke, Ronald A.	Ph.D.	Sr. Lecturer	Psychology	1.00	42,857.93	2014-2015
R	Fitzgerald, Keri L.	M.A.	Lecturer	English	1.00	13,222.22	Fall 2014
N	Fountain, Benjamin E., III	J.D.	Professor and University Chair	English	1.00	125,000.00	2014-2015
R	Friedman, Stan	Ph.D.	Sr. Lecturer	Psychology	1.00	55,184.35	2014-2015
R	Fry, Logan J.	M.F.A.	Lecturer	English	1.00	13,222.22	2014-2015
R	Garrido, Rocio O.	Ph.D.	Sr. Lecturer	Modern Languages	1.00	39,922.83	2014-2015
R	Gibbons-Arhelger, Marilyn	M.A.	Lecturer	Psychology	1.00	41,234.54	2014-2015
R	Gilbertson, Eric N.	Ph.D.	Sr. Lecturer	Philosophy	1.00	44,337.35	2014-2015
R	Glass, Bryan S.	Ph.D.	Lecturer	History	1.00	37,900.72	2014-2015
R	Gomez, Carla P.	M.A.	Lecturer	Modern Languages	.75	14,677.56	Fall 2014
R	Gomez Ramirez, Maria L.	Ph.D.	Lecturer	Modern Languages	1.00	39,922.92	2014-2015
R	Griffin, Valery D.	M.F.A.	Lecturer	English	1.00	13,399.20	Fall 2014
R	Hadder, Neill	Ph.D.	Sr. Lecturer	Anthropology	1.00	46,673.09	2014-2015
R	Hammett, Chad A.	M.F.A.	Sr. Lecturer	English	1.00	43,362.87	2014-2015
R	Hanson, Susan K.	M.A.	Sr. Lecturer	English	.75	20,679.84	Fall 2014
R	Henderson, Richard	M.A.	Sr. Lecturer	Political Science	1.00	52,309.85	2014-2015
N	Hernandez, Rodolfo	M.A.	Lecturer	Political Science	1.00	45,000.00	2014-2015
R	Hickman, Lois M.	M.A.I.S.	Sr. Lecturer	Sociology	1.00	44,071.90	2014-2015
R	Hill, Kayla A.	M.A.	Lecturer	Modern Languages	.75	29,354.94	2014-2015
N	Hobbs, Charles A.	Ph.D.	Lecturer	Philosophy	1.00	37,000.00	2014-2015
R	Hobbs-Darilek, Kyla	M.A.	Lecturer	Philosophy	1.00	24,000.03	Fall 2014
R	Huber, John D.	Psy.D.	Sr. Lecturer	Psychology	1.00	44,531.30	2014-2015
R	Huebner, Donald	Ph.D.	Sr. Lecturer	Geography	1.00	53,222.22	2014-2015
R	Hunton-Chan, Winifred	M.A.	Lecturer	English	1.00	13,222.22	Fall 2014
R	Inbody, Donald S.	Ph.D.	Sr. Lecturer	Political Science	1.00	48,962.45	2014-2015
R	Jensen, William	M.F.A.	Lecturer	English	.50	15,574.95	2014-2015
R	Johnson, Christopher J.	Ph.D.	Sr. Lecturer	Sociology	1.00	61,680.03	2014-2015
N	Johnson, Vanessa	M.F.A.	Lecturer	English	1.00	13,071.51	Fall 2014
R	Kapurch, Katherine	Ph.D.	Asst. Prof.	English	1.00	56,000.00	2014-2015
R	Keltner, Daniel L.	M.F.A.	Lecturer	English	1.00	13,430.21	Fall 2014
N	Kitchens, Kayla L.	M.A.	Lecturer	English	1.00	13,071.51	Fall 2014
R	Knippen, James, III	M.F.A.	Lecturer	English	1.00	13,414.23	Fall 2014
N	Koenig, Charles W.	M.A.	Lecturer	Anthropology	.20	4,000.00	SSII
R	Kosmitis, Lindy M.	M.A.	Sr. Lecturer	English	1.00	41,656.96	2014-2015
R	Kucera, Neil W.	J.D.	Lecturer	Geography	.50	20,000.07	2014-2015
R	Kucera, Neil W.	J.D.	Lecturer	Political Science	.20	3,000.00	Fall 2014
R	Labay, JoAnn L.	M.A.	Sr. Lecturer	English	1.00	52,458.00	2014-2015
R	Laird, Edgar S.	Ph.D.	Distinguished Professor Emeritus	English	.25	16,000.02	2014-2015
R	Lamb, Lisa A.	M.S.	Sr. Lecturer	Sociology	1.00	41,043.43	2014-2015

R	Lannon, Deirdre	M.A.	Lecturer	History	1.00	35,337.45	2014-2015
R	Law, Debra A.	M.A.	Lecturer	History	.20	4,000.00	SSII
N	Laycock, Joseph	Ph.D.	Asst. Prof.	Philosophy	1.00	56,500.00	2014-2015
R	LeBlanc, Theresa	M.F.A.	Lecturer	English	.25	8,723.88	2014-2015
R	Leder, Priscilla G.	Ph.D.	Professor Emerita	English	.50	41,678.10	2014-2015
R	Lemoine, Florence	M.A.	Lecturer	Modern Languages	.75	14,677.51	Fall 2014
R	Limage Montesinos, Lupita	Ph.D.	Sr. Lecturer	Modern Languages	1.00	45,247.89	2014-2015
R	Linville, Emiko M.	M.A.	Lecturer	Modern Languages	.50	19,961.46	2014-2015
R	Lyons-Gray, Marsha C.	Ph.D.	Sr. Lecturer	History	.50	18,000.00	2014-2015
R	Mann, Bryan N.	Ph.D.	Sr. Lecturer	History	1.00	41,722.36	2014-2015
R	Margrave, Christopher K.	M.A.	Lecturer	English	1.00	13,488.35	Fall 2014
R	Marquiss, Christopher S.	M.F.A.	Lecturer	English	.25	7,750.53	2014-2015
R	Mauck, Jeffrey	Ph.D.	Sr. Lecturer	History	1.00	53,547.69	2014-2015
R	McCrory, Caitlin	M.F.A.	Sr. Lecturer	English	1.00	40,288.46	2014-2015
N	McKeown, Ashley	Ph.D.	Lecturer	English	1.00	53,000.00	2014-2015
R	Meeks, Amy M.	Ph.D.	Sr. Lecturer	Psychology	1.00	45,763.44	2014-2015
N	Mehrinfar, Kamron	M.F.A.	Lecturer	English	1.00	13,071.51	Fall 2014
R	Mehta, Binita V.	Ph.D.	Sr. Lecturer	Philosophy	1.00	44,337.34	2014-2015
R	Melgar-Lopez, Alba	M.A.	Sr. Lecturer	Modern Languages	1.00	41,668.93	2014-2015
R	Meyer, Amanda	M.A.	Lecturer	English	1.00	13,222.22	Fall 2014
N	Moody, Thomas E., III	Ph.D.	Lecturer	English	1.00	13,071.51	Fall 2014
R	Mora, Sherri L.	M.A.	Sr. Lecturer	Political Science	1.00	58,516.84	2014-2015
R	Moriuchi, Mayumi	Ph.D.	Sr. Lecturer	Modern Languages	1.00	47,514.96	2014-2015
R	Mosel-Talavera, Kelly M.	M.A.	Sr. Lecturer	Sociology	1.00	43,911.20	2014-2015
R	Moses, Russell G.	Ph.D.	Lecturer	Philosophy	1.00	39,780.00	2014-2015
R	Motz, Stephanie A.	M.F.A.	Lecturer	English	1.00	13,359.24	Fall 2014
N	Mullen, Graeme B.	M.F.A.	Lecturer	English	1.00	13,071.51	Fall 2014
R	Needham, Keith A.	M.A.	Sr. Lecturer	English	1.00	48,112.46	2014-2015
N	Newbold, Stephanie	Ph.D.	Asst. Prof.	Political Science	1.00	75,000.00	2014-2015
R	Newling, Kay M.	M.A.	Sr. Lecturer	Sociology	1.00	42,201.45	2014-2015
R	Noll, Michael T.	M.F.A.	Lecturer	English	1.00	14,331.06	Fall 2014
R	Noll, Stephanie L.	M.F.A.	Sr. Lecturer	English	1.00	48,643.02	2014-2015
N	North, Amanda K.	M.F.A.	Lecturer	English	1.0	13,071.51	Fall 2014
R	Oliver, Michele	Ph.D.	Lecturer	Psychology	1.00	43,635.39	2014-2015
N	Ozturk, Burkay T.	Ph.D.	Lecturer	Psychology	1.00	43,635.39	2014-2015
R	Parent, Patricia C.	Ph.D.	Sr. Lecturer	Political Science	1.00	55,837.18	2014-2015
N	Parks, Cecily G.	Ph.D.	Asst. Prof.	English	1.00	57,000.00	2014-2015
N	Pepas, Samantha	M.A.	Lecturer	Philosophy	.20	3,000.00	SSII
R	Pepas, Samantha	M.A.	Lecturer	Philosophy	1.00	24,000.03	2014-2015
N	Perilloux, Carin J.	Ph.D.	Lecturer	Psychology	1.00	38,500.02	2014-2015
N	Perna, Maryann	M.F.A.	Lecturer	English	.50	6,535.76	Fall 2014
N	Peters, Danny W.	M.F.A.	Lecturer	English	1.00	13,071.51	Fall 2014
R	Pierson, Colin R.	M.S.	Lecturer	Sociology	1.00	40,000.05	2014-2015
R	Pilkington, Francine	M.F.A.	Lecturer	English	1.00	17,744.76	Fall 2014
R	Pisak, Gyongyi	Ph.D.	Lecturer	Modern Languages	.75	14,250.01	Fall 2014
R	Price, Daniel J.	M.A.	Sr. Lecturer	English	1.00	40,294.37	2014-2015
R	Price, Robert	Ph.D.	Sr. Lecturer	Sociology	1.00	50,636.30	2014-2015
R	Reed, Benjamin A.	M.F.A.	Lecturer	English	1.00	13,222.22	Fall 2014
R	Reesor, Nevitt D.	Ph.D.	Lecturer	Philosophy	1.00	39,780.00	2014-2015
R	Robertson, Richard	M.F.A.	Lecturer	English	1.00	13,359.24	Fall 2014
R	Rogers, Robyn R.	M.Ed.	Sr. Lecturer	Psychology	1.00	61,597.32	2014-2015
R	Romero, Rachel	Ph.D.	Sr. Lecturer	Sociology	1.00	47,749.39	2014-2015
N	Rose, Sean G.	M.F.A.	Lecturer	English	.75	9,803.66	Fall 2014
R	Rosenbaum, Michael J.	M.F.A.	Lecturer	English	1.00	13,071.57	Fall 2014

R	Ross, Rebekah J.	M.A.	Sr. Lecturer	Philosophy	1.00	43,057.72	2014-2015
N	Sabo, Amanda R.	M.A.	Lecturer	English	1.00	13,071.51	Fall 2014
R	Schaefer, Edward	M.A.	Lecturer	English	1.00	13,961.84	Fall 2014
R	Schiely, Lauren E.	M.A.	Lecturer	English	1.00	13,222.22	Fall 2014
N	Schoch-Spana, Monica L.	Ph.D.	Assoc. Prof.	Anthropology	1.00	85,000.00	2014-2015
N	Schwebel, Leah A.	Ph.D.	Asst. Prof.	English	1.00	55,000.00	2014-2015
R	Seay, Ollie J.	Ph.D.	Clinical Asst. Professor	Psychology	1.00	57,065.59	2014-2015
R	Selcraig, James T.	Ph.D.	Sr. Lecturer	History	1.00	54,396.71	2014-2015
N	Shepherd, Anna M.	M.F.A.	Lecturer	English	1.00	13,071.51	Fall 2014
R	Shipman, Kelsey E.	M.F.A.	Lecturer	English	.50	6,535.75	Fall 2014
R	Siegenthaler, Peter	Ph.D.	Sr. Lecturer	History	1.00	44,894.84	2014-2015
R	Sims, Laura	M.A.	Lecturer	English	1.00	13,758.98	Fall 2014
R	Siwak, Ewa	Ph.D.	Sr. Lecturer	Modern Languages	1.00	40,721.26	2014-2015
R	Smith, Jon M.	M.F.A.	Sr. Lecturer	English	1.00	43,170.68	2014-2015
N	Sprayberry- Thompson, Dana	M.F.A.	Lecturer	English	1.00	13,071.51	Fall 2014
N	Surovell, Jonathan	Ph.D.	Lecturer	Philosophy	1.00	37,000.08	2014-2015
N	Synnestvedt, Cedric	M.F.A.	Lecturer	English	1.00	13,222.22	Fall 2014
N	Szymczak, Daniel	M.F.A.	Lecturer	English	1.00	13,071.51	Fall 2014
R	Tilka, Susan D.	M.A.	Sr. Lecturer	English	1.00	55,175.75	2014-2015
R	Tise, Pam A.	M.A.	Lecturer	Political Science	1.00	40,000.05	2014-2015
R	Townsend, Christi	Ph.D.	Lecturer	Geography	1.00	41,000.00	2014-2015
N	Trujillo, Logan T.	Ph.D.	Asst. Prof.	Psychology	1.00	59,000.00	2014-2015
R	Utley, Dan K.	M.A.	Lecturer	History	1.00	38,280.36	2014-2015
N	VanderLind, Blake	M.A.	Lecturer	English	1.00	13,071.51	Fall 2014
R	Velasquez, Gloria	M.A.	Sr. Lecturer	Modern Languages	1.00	41,943.04	2014-2015
N	Verma, Kanika	Ph.D.	Lecturer	Geography	1.00	40,000.05	2014-2015
R	Vigil, Joseph J.	M.A.	Lecturer	English	.25	3,267.90	Fall 2014
N	Villarreal, Tina	M.S.	Sr. Lecturer	Sociology	1.00	40,000.00	2014-2015
R	Wagner, Jonathan	M.S.	Sr. Lecturer	Geography	1.00	46,831.04	2014-2015
R	Wallenstein, Eric	M.A.	Lecturer	English	1.00	13,222.22	Fall 2014
N	Watson, Coleen	M.A.	Lecturer	Philosophy	1.00	24,000.03	2014-2015
N	Weaver, Russell	Ph.D.	Asst. Prof.	Geography	1.00	60,500.00	2014-2015
R	Wells, Jeremy L.	Ph.D.	Lecturer	Political Science	1.00	45,000.00	2014-2015
R	Whitehawk, Michael	M.A.	Sr. Lecturer	Sociology	1.00	39,310.82	2014-2015
R	Wilson, Carolina	M.A.	Lecturer	Modern Languages	.75	15,270.48	Fall 2014
R	Wilson, Paul A.	M.A.	Sr. Lecturer	Philosophy	1.00	43,057.72	2014-2015
R	Winchell, Anne E.	M.F.A.	Lecturer	English	1.00	14,320.17	Fall 2014
R	Wivagg, Jonathan	Ph.D.	Sr. Lecturer	Sociology	.25	10,483.57	2014-2015
R	Wood, Karen L.	M.F.A.	Lecturer	English	1.00	13,359.24	Fall 2014
R	Wright, Micah C.	M.A.	Lecturer	English	.50	6,535.75	Fall 2014
R	Youree, Sarah	M.A.	Sr. Lecturer	English	1.00	40,955.31	2014-2015
N	Yuan, Yihong	Ph.D.	Asst. Prof.	Geography	1.00	63,750.00	2014-2015
R	Zarria, Emily D.	M.A.	Sr. Lecturer	Modern Languages	1.00	42,175.72	2014-2015

COLLEGE OF SCIENCE AND ENGINEERING

N	Abel, Michael G.	Ph.D.	Sr. Lecturer	Biology	1.00	47,446.00	2014-2015
R	Aspbury, Andrea	Ph.D.	Sr. Lecturer	Biology	1.00	54,619.35	2014-2015
N	Balmer, Elizabeth	M.S.	Lecturer	Mathematics	1.00	40,000.05	2014-2015
R	Banta, Marilyn R.	Ph.D.	Sr. Lecturer	Biology	1.00	51,467.91	2014-2015
N	Bergeler, Elmar	Ph.D.	Lecturer	Physics	1.00	44,000.00	2014-2015
N	Bergh, Joel J.	Ph.D.	Sr. Lecturer	Biology	1.00	47,446.00	2014-2015
R	Betros, Glynda B.	M.S.	Sr. Lecturer	Mathematics	1.00	43,536.25	2014-2015
R	Burch, Tammy M.	M.S.	Lecturer	Mathematics	1.00	37,576.66	2014-2015
R	Casey, Michael L.	Ph.D.	Sr. Lecturer	Engineering	1.00	58,080.31	2014-2015
N	Chase, Timothy M.	Ph.D.	Lecturer	Mathematics	1.00	40,000.05	2014-2015
N	Cheong, Chi Weng	Ph.D.	Lecturer	Mathematics	1.00	40,000.05	2014-2015
R	Close, Eleanor W.	Ed.D.	Asst. Prof.	Physics	1.00	79,000.00	2014-2015

R	Compton, Ross D.	Ph.D.	Associate Professor Emeritus	Chemistry and Biochemistry	.50	36,600.07	2014-2015
N	Das Gupta, Shilpa	Ph.D.	Lecturer	Mathematics	1.00	40,000.05	2014-2015
R	Dalrymple, Leslie	M.S.	Lecturer	Mathematics	1.00	38,181.76	2014-2015
R	Davenport, Rachel	Ph.D.	Sr. Lecturer	Biology	1.00	48,739.39	2014-2015
R	David, Wendi M.	Ph.D.	Sr. Lecturer	Chemistry and Biochemistry	1.00	52,682.98	2014-2015
R	Davis, Jean F.	M.A.	Sr. Lecturer	Mathematics	.75	37,406.88	2014-2015
R	Dharmasiri, Sunethra	Ph.D.	Sr. Lecturer	Biology	1.00	48,169.70	2014-2015
R	Doescher, Russell	M.S.	Sr. Lecturer	Physics	1.00	47,909.75	2014-2015
R	Dorman, Walter A.	M.S.	Sr. Lecturer	Mathematics	1.00	46,349.00	2014-2015
R	Dorsey, Christopher L.	Ph.D.	Lecturer	Chemistry and Biochemistry	1.00	46,985.01	2014-2015
N	Ekstrand, Michael	Ph.D.	Asst. Prof.	Computer Science	1.00	83,000.00	2014-2015
R	Gerlofs, Maureen	M.S.	Lecturer	Mathematics	1.00	41,717.66	2014-2015
R	Gholoom, Husain	M.A.	Lecturer	Computer Science	1.00	51,248.03	2014-2015
R	Gray, Joel W.	Ph.D.	Lecturer	Chemistry and Biochemistry	1.00	43,112.48	2014-2015
N	Grilliette, William	Ph.D.	Lecturer	Mathematics	1.00	40,000.05	2014-2015
R	Gronberg, Sharon	Ph.D.	Sr. Lecturer	Mathematics	1.00	62,558.81	2014-2015
R	Gutt-Lehr, Joanna	M.S.	Lecturer	Mathematics	1.00	37,789.65	2014-2015
R	Hager, Cassandra	Ph.D.	Sr. Lecturer	Engineering Technology	1.00	52,476.84	2014-2015
R	Hanzel, David W.	M.Ed.	Sr. Lecturer	Engineering Technology	1.00	51,922.60	2014-2015
R	Hardy, Thomas	Ph.D.	Professor	Biology	1.00	90,000.00	2014-2015
R	Harper, Mary B.	M.Ed.	Lecturer	Biology	1.00	43,702.21	2014-2015
R	Holtz, Susan L.	Ph.D.	Sr. Lecturer	Physics	1.00	45,900.00	2014-2015
R	Ioudina, Vera	Ph.D.	Lecturer	Mathematics	1.00	40,767.78	2014-2015
R	Jones, Theresa L.	Ph.D.	Lecturer	Mathematics	1.00	41,176.22	2014-2015
N	Juett, Jason R.	Ph.D.	Lecturer	Mathematics	1.00	40,000.05	2014-2015
R	Kakirde, Kavita S.	Ph.D.	Sr. Lecturer	Biology	1.00	46,813.50	2014-2015
R	Knittel, Jarred L.	M.S.	Lecturer	Mathematics	1.00	37,495.90	2014-2015
R	Koh, Lee-Song	Ph.D.	Sr. Lecturer	Computer Science	1.00	56,235.81	2014-2015
N	Kumar, Manish	Ph.D.	Sr. Lecturer	Biology	1.00	47,446.00	2014-2015
R	Larson, Lawrence	Ph.D.	Professor of Practice	Engineering	1.00	109,196.19	2014-2015
R	Lee, Kyoung-Keun	Ph.D.	Asst. Research Professor	Materials Science, Engineering, and Commercialization	1.00	40,945.77	2014-2015
R	Lemke, Maureen	M.A.	Sr. Lecturer	Biology	1.00	51,282.29	2014-2015
N	Lewis, Karen A.	Ph.D.	Asst. Prof.	Chemistry and Biochemistry	1.00	65,000.00	2014-2015
R	Londa, Michelle	Ph.D.	Sr. Lecturer	Engineering	1.00	51,700.04	2014-2015
R	Lowe, Shane A.	M.Ed.	Lecturer	Mathematics	1.00	37,910.22	2014-2015
R	Lund, Merrie E.	M.S.	Lecturer	Mathematics	1.00	38,066.21	2014-2015
R	McCabe, Glenn A.	M.S.	Lecturer	Mathematics	1.00	37,475.98	2014-2015
R	McVey, William, III	M.S.	Sr. Lecturer	Chemistry and Biochemistry	1.00	43,366.89	2014-2015
N	Metsis, Vangelis	Ph.D.	Asst. Prof.	Computer Science	1.00	85,000.00	2014-2015
N	Miller, Micah I.	Ph.D.	Lecturer	Mathematics	1.00	40,000.00	2014-2015
R	Mount, Jennifer D.	Ph.D.	Sr. Lecturer	Physics	1.00	45,661.12	2014-2015
N	Namwon, Kim	Ph.D.	Asst. Prof.	Engineering	1.00	80,000.00	2014-2015
R	Nankervis, Bryan	Ph.D.	Sr. Lecturer	Mathematics	1.00	61,804.90	2014-2015
N	Oh, Suho	Ph.D.	Asst. Prof.	Mathematics	1.00	62,500.00	2014-2015
R	Patschke, Ronald	Ed.D.	Lecturer	Mathematics	1.00	41,754.48	2014-2015
N	Patterson, Donald	Ph.D.	Lecturer	Chemistry and Biochemistry	.50	25,000.02	2014-2015
R	Patterson, Mary J.	Ph.D.	Lecturer	Chemistry and Biochemistry	1.00	49,671.50	2014-2015
R	Pesthy, Carolyn	Ph.D.	Sr. Lecturer	Biology	1.00	51,631.25	2014-2015
R	Peterson, Michael	M.Ed.	Lecturer	Mathematics	.75	29,550.17	2014-2015
R	Phillips, Ronn	Ph.D.	Lecturer	Engineering	.75	28,284.00	2014-2015
R	Pool, William R.	M.S.	Sr. Lecturer	Engineering Technology	1.00	52,552.45	2014-2015
R	Powell, Clois E.	Ph.D.	Lecturer	Materials Science, Engineering, and Commercialization	.25	10,000.08	2014-2015
R	Prabhakaran, Thoniot T.	Ph.D.	Sr. Lecturer	Biology	1.00	52,647.73	2014-2015
R	Priebe, Roger L.	Ph.D.	Sr. Lecturer	Computer Science	1.00	59,018.41	2014-2015

N	Prueitt, Paul S.	Ph.D.	Lecturer	Mathematics	1.00	40,000.00	2014-2015
R	Ratliff, Ernest F., Jr.	Ph.D.	Associate Professor Emeritus	Mathematics	.50	46,694.59	2014-2015
N	Rauh, Nikolas M.	Ph.D.	Lecturer	Mathematics	1.00	40,000.00	2014-2015
R	Ray, Douglas W., Jr.	M.S.	Sr. Lecturer	Mathematics	1.00	42,744.34	2014-2015
R	Reichenau, Becky	M.S.	Sr. Lecturer	Computer Science	1.00	60,007.08	2014-2015
N	Rhodes, Christopher P.	Ph.D.	Asst. Prof.	Chemistry and Biochemistry	1.00	72,000.00	2014-2015
R	Rodriguez, David	Ph.D.	Lecturer	Biology	.25	8,000.01	Fall 2014
R	Rosen, Rudolph A.	Ph.D.	Research Professor	The Meadows Center for Water and the Environment	1.00	114,183.00	2014-2015
R	Rowe, Scott L.	M.Ed.	Sr. Lecturer	Engineering Technology	.75	42,922.27	2014-2015
R	Rusnak, Lucas J.	Ph.D.	Sr. Lecturer	Mathematics	1.00	45,081.36	2014-2015
N	Sass, Catherine B.	Ph.D.	Lecturer	Mathematics	1.00	40,000.05	2014-2015
N	Schwalb, Astrid N.	Ph.D.	Asst. Prof.	Biology	1.00	67,000.00	2014-2015
N	Schemmel, John J.	Ph.D.	Professor	Engineering Technology	1.00	138,000.00	2014-2015
R	Scolfaro, Luisa M.	Ph.D.	Sr. Lecturer	Physics	.50	48,284.87	2014-2015
R	Seaman, Jill M.	Ph.D.	Lecturer	Computer Science	1.00	52,550.33	2014-2015
R	Seol, Youngsoo	Ph.D.	Lecturer	Mathematics	1.00	38,710.83	2014-2015
R	Sharma, Vivek	M.S.	Sr. Lecturer	Engineering Technology	1.00	53,446.23	2014-2015
R	Shroff, Piyush R.	Ph.D.	Lecturer	Mathematics	1.00	42,500.51	2014-2015
R	Simpson, Erica N.	Ph.D.	Sr. Lecturer	Biology	1.00	48,669.66	2014-2015
R	Smith, Daniel A.	M.S.	Sr. Lecturer	Biology	1.00	46,884.45	2014-2015
R	Spencer, Bobbi J.	M.Arch.	Lecturer	Engineering Technology	1.00	49,670.06	2014-2015
N	Streusand, Barry I.	Ph.D.	Lecturer	Chemistry and Biochemistry	1.00	53,000.01	2014-2015
R	Summers, Mark T.	M.S.T.	Lecturer	Engineering Technology	.20	4,000.00	SSII
R	Talley, Austin B.	Ph.D.	Lecturer	Engineering	.75	33,000.03	2014-2015
R	Taylor, Teresa K.	M.S.	Lecturer	Biology	.40	7,000.00	SSII
N	Trujillo, Timothy O.	Ph.D.	Lecturer	Mathematics	1.00	40,000.05	2014-2015
N	Tsishchanka, Kiryl	Ph.D.	Lecturer	Mathematics	1.00	40,000.05	2014-2015
R	Verma, Ram U.	Ph.D.	Lecturer	Mathematics	1.00	42,138.61	2014-2015
N	Walker, Amanda N.	M.S.	Lecturer	Mathematics	1.00	36,000.00	2014-2015
R	Westbrook, Thersa	Ph.D.	Lecturer	Mathematics	1.00	47,974.32	2014-2015
R	Wilson, Samuel N.	M.S.	Lecturer	Mathematics	1.00	37,052.77	2014-2015
N	Wolf, Steven F.	Ph.D.	Lecturer	Physics	1.00	45,500.00	2014-2015
N	Zakhidov, Alexander	Ph.D.	Asst. Prof.	Physics	1.00	79,000.00	2014-2015
R	Zare, Khalil	Ph.D.	Lecturer	Mathematics	.50	19,945.29	2014-2015
R	Zare, Khalil	Ph.D.	Lecturer	Engineering	.50	22,400.77	2014-2015
N	Zhang, Xiaofen	Ph.D.	Lecturer	Mathematics	1.00	40,000.05	2014-2015
R	Zielinski, Gary M.	M.S.	Sr. Lecturer	Mathematics	1.00	51,649.38	2014-2015
N	Zuniga, Miguel A.	Ph.D.	Lecturer	Chemistry and Biochemistry	1.00	45,000.00	2014-2015

HONORS COLLEGE

R	Ellis-Lai, Laura L.	M.Ed.	Lecturer	Honors College	.25	3,500.00	Fall 2014
R	Hood, John R.	M.F.A.	Sr. Lecturer	Honors College	1.00	42,813.03	2014-2015
R	McCabe, Diann A.	M.F.A.	Sr. Lecturer	Honors College	1.00	66,957.21	2014-2015

UNIVERSITY COLLEGE

R	Carter, Temple M.	M.Ed.	Sr. Lecturer	University College	1.00	42,000.03	2014-2015
R	Chrans, Lisa J.	M.A.	Sr. Lecturer	University College	1.00	49,151.39	2014-2015
R	Marquiss, Christopher S.	M.F.A.	Sr. Lecturer	University College	.75	37,791.66	2014-2015
R	Peterson, Michael	M.Ed.	Lecturer	University College	.25	9,464.58	2014-2015

Texas State University

ADMINISTRATIVE AND UNCLASSIFIED PERSONNEL CHANGES

ADDITIONS

1. Altamirano, Gerardo, M.R.C., Student Development Specialist II, Office of Disability Services, at a 12-month rate of \$45,000, on a full-time basis, effective September 22, 2014.
2. Anand, Hilary A., M.A., Program Staff, Counseling Center, at a 12-month rate of \$27,000, on a full-time basis, effective August 11, 2014.
3. Barrera, Michael R., M.S., Supervisor, College Academic Advising, University College, at a 12-month rate of \$57,750, on a full-time basis, effective August 18, 2014.
4. Bedee, Amber R., M.S., Academic Advisor I, PACE Advising Center, at a 12-month rate of \$32,000, on a full-time basis, effective September 15, 2014.
5. Cantu, Cesar E., M.D., Physician, Student Health, at a 12-month rate of \$135,000, on a full-time basis, effective August 25, 2014.
6. Chavez III, Andres, B.M.C., Grant Specialist, University College, at a 12-month rate of \$26,004, on a full-time basis, effective September 15, 2014.
7. Crespino, Anthony M., B.B.A., Coordinator, Team Operations, Football, at a 12-month rate of \$36,000, on a full-time basis, effective July 7, 2014.
8. Davis, Judith K., J.D., Staff Attorney, Dean of Students, at a 6-month rate of \$34,380, on a part-time basis, effective August 11, 2014.
9. Dillard II, Benjamin F., M.A., Residence Hall Director, Department of Housing and Residential Life, at a 12-month rate of \$30,216, on a full-time basis, effective July 7, 2014.
10. Dominguez, Daniela G., M.S., Program Staff, Counseling Center, at a 12-month rate of \$27,000, on a full-time basis, effective August 11, 2014.
11. Doran, Kathrine G., M.Ed., Residence Hall Director, Department of Housing and Residential Life, at a 12-month rate of \$30,216, on a full-time basis, effective July 7, 2014.
12. Evans, Bethany J., M.C.P., Program Staff, Counseling Center, at a 12-month rate of \$34,000, on a full-time basis, effective August 11, 2014.
13. Garry, Margaret G., M.A., Academic Advisor I, PACE Advising Center, at a 12-month rate of \$32,000, on a full-time basis, effective August 8, 2014.
14. Gire, Robert D., B.A., Assistant Coach, Track, at a 12-month rate of \$31,000, on a full-time basis, effective July 28, 2014.
15. Gonzalez, Rebeca P., B.A., Program Staff, Counseling Center, at a 12-month rate of \$27,000, on a full-time basis, effective August 11, 2014.
16. Gonzalez, Norma C., B.S., Grant Specialist, University College, at a 12-month rate of \$26,004, on a full-time basis, effective September 15, 2014.
17. Hefner, Blain A., B.F.A., Graphic Artist I, Office of University Marketing, at a 12-month rate of \$33,996, on a full-time basis, effective August 25, 2014.
18. Karajankovich, Deborah J., M.B.A., Assistant Director, Student Health Center, Student Health Center, at a 12-month rate of \$70,008, on a full-time basis, effective August 11, 2014.
19. Knoedler, Timothy R., B.S., Grant Specialist, University College, at a 12-month rate of \$26,004, on a full-time basis, effective September 15, 2014.
20. Kretschman, Kelly S., B.E.S., Assistant Coach, Softball, at a 12-month rate of \$42,107, on a full-time basis, effective September 8, 2014.
21. Kruwell, Judith F., B.B.A., Budget Analyst, Office of Budgeting, Financial Planning and Analysis, at a 12-month rate of \$66,000, on a full-time basis, effective July 21, 2014.
22. Lang, Jesse M., M.A., Instructional Design Course Developer, Instructional Design Support, at a 12-month rate of \$42,000, on a full-time basis, effective August 18, 2014.
23. Mai, Nhu T., M.S., Program Staff, Counseling Center, at a 12-month rate of \$34,000, on a full-time basis, effective August 11, 2014.
24. Manning, Nakaya., Ed.S., Academic Advisor I, University College of Advising Center, at a 12-month rate of \$32,000, on a full-time basis, effective September 8, 2014.
25. McKay, Brian R., M.B.A., Assistant Director, Facilities Operations, Facilities Operations, at a 12-month rate of \$86,124, on a full-time basis, effective August 4, 2014.
26. Mitschke, Sara B., B.A., Coordinator, Theatre and Dance Publicity, Department of Theatre and Dance, at a 12-month rate of \$33,000, on a full-time basis, effective September 15, 2014.
27. Montellano, Shatzie A., M.S.N., Nurse Practitioner, Student Health Center, at a 6-month rate of \$42,000, on a full-time basis, effective August 18, 2014.

28. Nickell, Marc D., M.S., Coordinator, Annual Giving, Athletics, at a 12-month rate of \$53,000, on a full-time basis, effective August 18, 2014.
29. Osterman, Catherine L., B.A., Assistant Coach, Softball, at a 12-month rate of \$45,000, on a full-time basis, effective August 25, 2014.
30. Read, Michelle F., Ph.D., Instructional Designer, Instructional Design Support, at a 12-month rate of \$52,000, on a full-time basis, effective July 21, 2014.
31. Saab, Mohamad A., M.S., Residence Hall Director, Department of Housing and Residential Life, at a 12-month rate of \$30,216, on a full-time basis, effective July 7, 2014.
32. Sanchez, Christopher D., H.S., Budget Analyst, Office of Budgeting, Financial Planning and Analysis, at a 12-month rate of \$61,950, on a full-time basis, effective August 18, 2014.
33. Shen Jr., John L., B.A.A., Manager, Operations and Energy, Utility Operations, at a 12-month rate of \$81,420, on a full-time basis, effective August 11, 2014.
34. Vaughan, Rebecca A., ASSOC., Child Care Teacher, Child Development Center, at a 12-month rate of \$24,996, on a full-time basis, effective July 14, 2014.
35. Willhoite, Landon R., B.A., Grant Coordinator, ALERRT Center, at a 12-month rate of \$65,000, on a full-time basis, effective August 25, 2014.
36. Yue, Stacy, M.Ed., Residence Hall Director, Department of Housing and Residential Life, at a 12-month rate of \$30,216, on a full-time basis, effective July 7, 2014.

RESIGNATIONS

1. Baker, Brittany N., Procurement Analyst, Procurement and Strategic Sourcing, July 1, 2014.
2. Bartko, John M., Systems Programmer I, Core Systems, effective September 6, 2014.
3. Bigony, Mary-Love, Publications Coordinator, Office of University Marketing, effective July 19, 2014.
4. Brun, Patti J., Assistant Coach, Softball, effective August 21, 2014.
5. Bryant, Robert A., Academic Advisor I, PACE Advising Center, effective August 30, 2014.
6. Castillo, Juan F., Academic Advisor I, PACE Advising Center, effective September 29, 2014.
7. Christopher, Charles A., Physician, Student Health, effective September 1, 2014.
8. Damron, Elisabeth J., Coordinator, International Office, International Office, effective August 9, 2014.
9. Early, Ryanne P., Grant Specialist, University College, effective September 26, 2014.
10. Gooch, Jessica L., Residence Hall Director, Department of Housing and Residential Life, effective July 11, 2014.
11. Greene, Andre D., Coordinator, Housing and Residential Life, Department of Housing and Residential Life, effective August 2, 2014.
12. Grell, Lorraine B., Academic Advisor I, Applied Arts Academic Advising Center, effective July 31, 2014.
13. Guerra, Selina A., Program Staff, Counseling Center, effective August 2, 2014.
14. Holmes, Sylvia A., Staff Attorney, Dean of Students, effective September 1, 2014.
15. Jones, Cortney L., Grant Specialist, Center for Children and Families, effective August 7, 2014.
16. Keaton, Jamar, Admissions Specialist, Office of Undergraduate Admissions, effective July 2, 2014.
17. Lauderdale, Keith D., Foundation Accountant, VP for University Advancement, effective August 1, 2014.
18. McAfee, Ryan J., Assistant Director, Campus Recreation, Campus Recreation, effective July 1, 2014.
19. Mock, Elizabeth L., Academic Advisor I, Fine Arts and Communication Academic Advising Center, September 2, 2014.
20. Moon, Sarah H., Program Staff, Counseling Center, effective August 2, 2014.
21. Mottet, Timothy P., Dean, Fine Arts and Communication, College of Fine Arts and Communication, effective July 19, 2014.
22. Ortiz, Shawne S., Program Staff, Counseling Center, effective August 9, 2014.
23. Ott, Nathan R., Assistant Coach, Track, effective July 26, 2014.
24. Peters, Matthew J., Athletics Development Officer, Athletics, effective July 19, 2014.
25. Plaza, Eduardo J., Supervisor, Systems Services, FI Master Data Center, effective July 1, 2014.
26. Rizvi, Fazia B., Program Specialist, Client Services, effective August 5, 2014.
27. Seidenberger, Jill K., Student Development Specialist II, Round Rock Campus, effective August 2, 2014.
28. Shewbart, Austin M., Systems Programmer I, Academic Lab/Server Support, effective July 4, 2014.
29. Terrazas-Carrillo, Elizabeth C., Program Staff, Counseling Center, effective August 2, 2014.
30. Thornton-Barnett, Senna R., Grant Specialist, Center for Archaeological Studies, effective August 22, 2014.
31. Tradup, Eric, Assistant Director, Undergraduate Admissions, Office of Undergraduate Admissions, effective July 12, 2014.

32. Vaidyanathan, Preethi, Grant Specialist, Biology, effective August 16, 2014.
33. Villarreal, Victoria N., Child Care Teacher, Child Development Center, effective August 8, 2014.
34. Wildhagen, Nancy A., User Services Consultant I, Educational Technology Center, effective August 8, 2014.
35. Zacharisen, Joni, Assistant Director, Procurement and Strategic Sourcing, Procurement and Strategic Sourcing, effective July 19, 2014.

RETIREMENTS

1. Burns, Gina V., Assistant Director, Student Health Center, Student Health Center, effective August 2, 2014.
2. Cauble, Mary E., IT Project Manager, Educational Technology Center, effective July 1, 2014.
3. Olivo, Rosie, Human Resources Analyst, Human Resources, effective September 1, 2014.
4. Harrell, Diana, Director, University Marketing, Office of University Marketing, September 1, 2014.
5. Landry, George A., Grant Director, Non-Faculty, Institute of Criminal Justice Studies, September 1, 2014.
6. Bavousett, Sarah M., Programmer Analyst II, Core Systems, September 1, 2014.
7. Longley, Glenn, Director, Edwards Aquifer Research Data Center, Edwards Aquifer Research, September 1, 2014.

TERMINATIONS

1. Gish, David M., Deceased
2. Hong, Tammy Y., Residence Hall Director, Department of Housing and Residential Life, September 6, 2014.

PROMOTIONS

1. Barnes, JaNelle, H.S., Executive Assistant to Director, IT Operations, VP for Information Technology, at a 12-month rate of \$98,520, on a full-time basis, effective August 1, 2014.
2. Bonner, Kathryn L., B.S., Senior Human Resources Assistant to Human Resources Analyst, Human Resources, at a 12-month rate of \$45,996, on a full-time basis, effective September 1, 2014.
3. Guerra, Karen P., H.S., Administrative Assistant II to Academic Advisor I, Fine Arts and Communication Academic Advising Center, at a 12-month rate of \$32,000, on a full-time basis, effective September 28, 2014.
4. Lamar, Edward A., B.A., Academic Advisor I to Student Development Specialist I, PACE Advising Center, at a 12-month rate of \$38,000, on a full-time basis, effective July 8, 2014.
5. Litwinowich, Kimberly G., M.S., Program Staff to Psychologist, Counseling Center, at a 12-month rate of \$54,000, on a full-time basis, effective August 11, 2014.
6. Pilling, Andrew A., B.S., Business Process Analyst to Database Administrator, Core Systems, at a 12-month rate of \$74,000, on a full-time basis, effective September 1, 2014.
7. Powell, Clois E., Ph.D., Grant Specialist to Director, Polymers/Nanomaterial Lab, Materials Science, Engineering and Commercialization Program, at a 12-month rate of \$62,400, on a part-time basis, effective September 1, 2014.
8. Haider, Jaimie R., M.A., Student Development Specialist I to Academic Advisor II, University College Advising Center, at a 12-month rate of \$44,140, on a full-time basis, effective July 1, 2014.
9. Reyes-Pergioudakis, Dolores, B.B.A., Academic Advisor I to Program Specialist, Psychology, at a 12-month rate of \$34,044, on a full-time basis, effective August 14, 2014.
10. Rodriguez, Julie A., B.A., Administrative Assistant II to Coordinator, International Office, International Office, at a 12-month rate of \$43,400, on a full-time basis, effective August 10, 2014.
11. Smith, Emily B., H.S. Grant Secretary to Grant Specialist, ALERRT Center, at a 12-month rate of \$48,000, on a full-time basis, effective September 28, 2014.
12. Timmins, Gabrielle N., B.S., Grant Specialist to Research Associate, Edwards Aquifer Research, at a 12-month rate of \$42,027, on a full-time basis, effective September 23, 2014.
13. Whittenburg, Joshua M., M.Ed., Coordinator, Bobcat Club to Athletics Development Officer, Athletics, at a 12-month rate of \$51,000, on a full-time basis, effective August 3, 2014.
14. Wood III, William R., M.A., Career Advisor to Assistant Director, Career Services, Career Services, at a 12-month rate of \$60,000, on a full-time basis, effective July 28, 2014.

RECLASSIFICATION

1. Ahlberg, Jon A., B.A., from Associate Director, International Office to Associate Director, International Affairs, International Office, at a 12-month rate of \$84,542, on a full-time basis, effective August 1, 2014.
2. Allen, Lisa A., B.B.A., from Assistant Director, Student Business Services to Associate Director, Student Business Services, Student Business Services and Bursars, at a 12-month rate of \$78,505, on a full-time basis, effective July 1, 2014.
3. Book, Joshua Z., M.A., from Communications Technician to Assistant Director, Distance and Extended Learning, Office of Distance and Extended Learning, at a 12-month rate of \$56,652, on a full-time basis, effective July 6, 2014.
4. Boucher, Mark R., M.B.A., from Programmer Analyst II to Database Administrator, Core Systems, at a 12-month rate of \$75,500, on a full-time basis, effective September 1, 2014.
5. Burgess, Leslie J., ASSOC., from User Services Consultant I to User Services Consultant II, Client Services, at a 12-month rate of \$49,000, on a full-time basis, effective July 1, 2014.
6. Davis, Rosario, M.A., from Learning Specialist to Assistant Director, International Affairs, International Office, at a 12-month rate of \$65,136, on a full-time basis, effective August 1, 2014.
7. De La O, Isis D., M.B.A., from Coordinator, Continuing Education to Associate Director, International Affairs, Study Abroad Office, at a 12-month rate of \$65,136, on a full-time basis, effective August 1, 2014.
8. Martinez-Prather, Kathy E., M.S.C.J., from Grant Coordinator to Grant Senior Coordinator, Tx School Safety Center, at a 12-month rate of \$85,179, on a full-time basis, effective September 1, 2014.
9. McKenna, Joseph M, B.S., from Grant Specialist to Grant Coordinator, Tx School Safety Center, at a 12-month rate of \$63,866, on a full-time basis, effective September 1, 2014.
10. Murphy, Jennifer G., B.S., from Coordinator, Group Fitness to Coordinator Adult Fitness, Department of Health and Human Performance, at a 6-month rate of \$16,686, on a part-time basis, effective August 3, 2014.
11. Palmer, Ronda K., B.A., from Student Development Specialist I to Student Development Specialist II, Retention Management and Planning, at a 12-month rate of \$43,673, on a full-time basis, effective September 1, 2014.
12. Parker, Terence L., M.S.I.S., from Assistant Director, Retention Management and Planning to Associate Director, Retention Management and Planning, Retention Management and Planning, at a 12-month rate of \$64,871, on a full-time basis, effective September 1, 2014.
13. Rolls, Susan M., M.Ed., from Program Specialist to Student Development Specialist II, University Seminar, at a 12-month rate of \$57,422, on a full-time basis, effective July 1, 2014.
14. Sander, Dwayne B., H.S., from Grant Specialist to Grant Coordinator, ALERRT Center, at a 12-month rate of \$69,480, on a full-time basis, effective July 1, 2014.
15. Valdez, Naomi L., M.Ed., from Student Development Specialist I to Student Development Specialist II, Retention Management and Planning, at a 12-month rate of \$45,158, on a full-time basis, effective September 1, 2014.
16. Willis, Marketa M., ASSOC., from Accounting Clerk II to Procurement Analyst, Procurement Analyst, Procurement and Strategic Sourcing, at a 12-month rate of \$33,397, on a full-time basis, effective August 3, 2014.

**LAMAR INSTITUTE OF TECHNOLOGY
NOVEMBER 2014**

FACULTY PERSONNEL CHANGES

RESIGNATIONS

1. None to report.

RETIREMENTS

1. None to report

LEAVE OF ABSENSE

1. None to report

NON-REAPPOINTMENTS

1. None to report

CHANGES IN STATUS/TITLE

1. None to report

WITH TENURE

1. None to report

FACULTY APPOINTMENTS, New (N) and Renewal (R)

	Name	Degree	Rank	Program	%FTE	Salary	Period
ALLIED HEALTH AND SCIENCE							
R	Alford, Nathaniel	M.D.	Adjunct	Dental Hygiene	.14	\$3,000	Fall 2014
R	Barron, Bryan	D.C.	Instr. I	Biology	1.00	\$52,862	2014-2015
R	Barrow, Brenda	M.Ed.	Instr. IV	Radiology Tech	1.00	\$66,390	2014-2015
R	Barrow, Brenda	M.Ed.	Coordinator	Radiology Tech	1.00	\$3,000	2014-2015
R	Blanchard, Ruth	A.A.S.	Adjunct	Health Info Tech	.25	\$2,625	Fall 2014
R	Bland, Lisa	A.A.S.	Instr. I	Radiology Tech	1.00	\$42,914	2014-2015
R	Bland, Lisa	A.A.S.	Instr. I	Radiology Tech	.05	\$875	Fall 2014
R	Boland, Deena	A.A.S.	Adjunct	Sonography	.60	\$9,525	Fall 2014
R	Brown, Deborah	M.S.	Instr. III	Dental Hygiene	1.00	\$51,833	2014-2015
R	Browning, Tami	A.A.S.	Adjunct	Dental Hygiene	.50	\$6,090	Fall 2014
R	Burke, Shannon	D.C.	Adjunct	Biology	.33	\$5,250	Fall 2014
R	Cobb, Tena	A.A.S.	Instr. I	Health Info Tech	1.00	\$41,671	2014-2015
R	Cross, Deborah	B.S.	Adjunct	Health Info Tech	.32	\$3,325	Fall 2014
R	Cruz, Tiffanie	A.A.S.	Adjunct	Child Care & Dev	.18	\$3,150	Fall 2014
R	Cummings, Barbara	B.S.	Instr. I	Respiratory Care	1.00	\$42,951	2014-2015
N	Dean, Brandy	B.A.	Adjunct	Chemistry	.10	\$1,050	Fall 2014
R	Delgado, Candace	B.S.	Adjunct	Health Info Tech	.20	\$2,100	Fall 2014

R	DeMoss, Michelle	B.S.	Adjunct	Dental Hygiene	.48	\$5,775	Fall 2014
R	DeRaneiri, Dianne	A.A.S.	Inst. I	Sonography	1.00	\$42,767	2014-2015
R	Dorsey, Monica	A.A.S.	Adjunct	Dental Hygiene	.11	\$1,365	Fall 2014
R	Duplechin, Joe	M.S.	Adjunct	Occup Safety	.25	\$2,625	Fall 2014
R	Facey, Barrington	M.B.A.	Adjunct	Respiratory Care	.08	\$1,400	Fall 2014
R	Fakuda, John	D.D.S.	Adjunct	Dental Hygiene	.06	\$1,155	Fall 2014
R	Fortenberry, Melinda	B.S.	Adjunct/DE	Child Care	.47	\$0.00	Fall 2014
N	Garrett, Coya	A.A.S.	Adjunct	Pharmacy Tech	.20	\$2,100	Fall 2014
R	Gregory III, Larry	B.S.	Adjunct	Chemistry	.40	\$4,200	Fall 2014
R	Griffin, Joy	B.S.I.T.	Inst. II	Occup. Safety	1.00	\$43,270	2014-2015
R	Griffin, Joy	B.S.I.T.	Director	Occup. Safety	1.00	\$2,400	2014-2015
R	Griffin, Joy	B.S.I.T.	Inst. II	Occup. Safety	.02	\$175	Fall 2014
R	Griffin, Richard	B.A.	Adjunct	Occup. Safety	.02	\$2,625	Fall 2014
R	Harrell, Lisa	B.S.	Instr. II	Dental Hygiene	1.00	\$43,270	2014-2015
R	Hunt, Angela	Cert.	Adjunct	Sonography	.35	\$3,675	Fall 2014
R	Jaynes, Alicia	A.A.S.	Adjunct	Radiology	.70	\$8,000	Fall 2014
R	Johnson, Tonia	A.A.S.	Instr. I	Cert. Nurse Asst.	1.00	\$41,671	2014-2015
N	Kincer, Ruth	A.A.S.	Adjunct	Dental Hygiene	.19	\$2,625	Fall 2014
R	Landry, Cynthia	A.A.S.	Adjunct	Child Care & Dev	.40	\$4,200	Fall 2014
R	Lanoué, Stephanie	M.A.	Instr. II	Biology	1.00	\$47,264	2014-2015
R	Lewis, Shunetta	B.G.S.	Instr. I	Pharmacy Tech	1.00	\$44,481	2014-2015
R	Lewis, Shunetta	B.G.S.	Instr. I	Pharmacy Tech	1.00	\$2,400	2014-2015
R	Mann, Melissa	A.A.S.	Instr. I	Sonography	1.00	\$41,671	2014-2015
R	McKinley, Cynthia	B.A.A.S.	Instr. III	Respiratory Care	1.00	\$52,097	2014-2015
R	McMahon, Gina	A.A.S.	Instr. II	Radiology Tech	1.00	\$44,880	2014-2015
R	Mendoza, Kristina	D.D.S.	Adjunct	Dental Hygiene	.21	\$2,835	Fall 2014
R	Morgan, Harry	M.S.	Adjunct	Biology	.40	\$6,300	Fall 2014
R	Nance, Sheryl	B.A.A.S.	Instr. IV	Radiology Tech	1.00	\$53,001	2014-2015
R	Nantz, William	D.D.S.	Adjunct	Dental Hygiene	.11	\$2,210	Fall 2014
N	Neal, Bryan	B.S.	Adjunct	Chemistry	.70	\$7,350	Fall 2014
R	Nwankwo, Ngozi	B.S./MBA	Adjunct	Respiratory Care	.08	\$1,400	Fall 2014
R	Parrott, Patti	M.S.	Instr. II	Dental Hygiene	1.00	\$46,619	2014-2015
R	Parrott, Patti	M.S.	Coordinator	Dental Hygiene	1.00	\$3,000	2014-2015
R	Porter, Jackson	D.D.S.	Adjunct	Dental Hygiene	.05	\$1,050	Fall 2014
R	Quinn, Ginger	M.B.A.	Instr. I	Respiratory Care	1.00	\$47,264	2014-2015
R	Reeves, Debhra	Certificate	Adjunct	Sonography	.25	\$3,650	Fall 2014
R	Roach, Thomas	B.S.	Adjunct	Physics	.40	\$4,200	Fall 2014
R	Robinson, Samantha	B.A.A.S.	Instr. I	Radiology Tech	1.00	\$42,626	2014-2015
R	Robinson, Samantha	B.A.A.S.	Instr. I	Radiology Tech	.05	\$875	Fall 2014
R	Rogers, Lori	B.S.	Instr. I	Dental Hygiene	1.00	\$42,626	2014-2015
R	Rowlett, Vicki	B.S.	Instr. III	Chemistry/Physics	1.00	\$57,663	2014-2015
R	Rowlett, Vicki	B.S.	Instr. III	Chemistry/Physics	.50	\$5,250	Fall 2014
R	Sandusky, Renee	B.S.	Instr. I	Dental Hygiene	1.00	\$42,626	2014-2015
R	Shaw, Arthur	D.D.S.	Adjunct	Dental Hygiene	.06	\$1,225	Fall 2014
R	Smith, April	A.A.S.	Instr. I	Radiology Tech	1.00	\$42,437	2014-2015
R	Smith, April	A.A.S.	Instr. I	Radiology Tech	.02	\$263	Fall 2014
R	Smith, Robert	D.D.S.	Adjunct	Dental Hygiene	.12	\$2,310	Fall 2014
N	Spikes, Sara	Ph.D.	Adjunct	Biology	.20	\$2,100	Fall 2014
R	Stinebrickner, Lacey	A.A.S.	Instr. I	Sonography	1.00	\$42,031	2014-2015

R	Summers, Donna	Certificate	Adjunct	Pharmacy Tech	.27	\$2,800	Fall 2014
R	Taylor, Stacy	A.A.S.	Instr. I	Respiratory Care	1.00	\$41,865	2014-2015
R	Tinsley, Judy	A.A.S.	Instr. I	Sonography	1.00	\$45,706	2014-2015
R	Tinsley, Judy	A.A.S.	Coordinator	Sonography	1.00	\$3,000	2014-2015
R	Tornwall, Ruth	M.S.	Instr. IV	Dental Hygiene	1.00	\$72,757	2014-2015
R	Trahan, Sheila	M.S.	Instr. II	Sonography	1.00	\$80,926	2014-2015
R	Trahan, Sheila	M.S.	Dept. Chair	Allied Health	1.00	\$6,000	2014-2015
R	Walden, Gwen	B.S.	Instr. II	Respiratory Care	1.00	\$46,107	2014-2015
R	Walden, Gwen	B.S.	Coordinator	Respiratory Care	1.00	\$3,000	2014-2015
R	Waldrep, Staci	M.S.	Instr. III	Health Info Tech	1.00	\$50,922	2014-2015
R	Waldrep, Staci	M.S.	Coordinator	Health Info Tech	1.00	\$3,000	2014-2015
R	Waldrep, Staci	M.S.	Instr. III	Health Info Tech	.01	\$175	Fall 2014
R	White, John	D.D.S.	Adjunct	Dental Hygiene	.06	\$1,050	Fall 2014
R	Wiggins, Robert	D.D.S.	Adjunct	Dental Hygiene	.11	\$2,275	Fall 2014
R	Williams, Gail	M.S.	Instr. IV	Child Care & Dev	1.00	\$66,390	2014-2015
R	Williams, Gail	M.S.	Director	Child Care & Dev	1.00	\$2,400	2014-2015
R	Williams, Roland	D.D.S.	Adjunct	Dental Hygiene	.12	\$2,310	Fall 2014
R	Yaseen, Niveen	Ed.D.	Adjunct	Chemistry	.20	\$2,100	Fall 2014

BUSINESS TECHNOLOGIES

R	Adams, Mike	A.A.S.	Adjunct	Comp. Networking	.33	\$3,500	Fall 2014
R	Arnold, Lauri	M.Ed.	Instr. II	Comp. Networking	1.00	\$48,508	2014-2015
R	Arnold, Lauri	M.Ed.	Director	Comp. Networking	1.00	\$2,400	2014-2015
R	Arnold, Lauri	M.Ed.	Instr. II	Comp. Networking	.80	\$8,400	Fall 2014
R	Booth, Kara	M.B.A.	Instr. II	Management	1.00	\$47,457	2014-2015
R	Booth, Kara	M.B.A.	Director	Management	1.00	\$2,400	2014-2015
R	Booth, Kara	M.B.A.	Instr. II	Management	.13	\$1,400	Fall 2014
R	Bourgeois, Luke	B.A.A.S.	Instr. II	Comp. Networking	1.00	\$43,874	2014-2015
R	Bourgeois, Luke	B.A.A.S.	Instr. II	Comp. Networking	.67	\$7,000	Fall 2014
R	Cobb, Bonnie	B.S.	Adjunct	Comp. Networking	.67	\$7,000	Fall 2014
R	Hill, Angela	M.Ed.	Instr. II	Bus Comp Info	1.00	\$52,203	2014-2015
R	Hill, Angela	M.Ed.	Instr. II	Bus Comp Info	.23	\$2,450	Fall 2014
R	Hudnall, Stephen	A.A.S.	Instr. I	Real Estate	1.00	\$41,671	2014-2015
R	Jacobs, Sharon	M.Ed.	Instr. II	Bus Comp Info	1.00	\$47,264	2014-2015
R	McNamara, Josh	A.A.S.	Instr. II	Bus Comp Info	1.00	\$43,270	2014-2015
R	McNamara, Josh	A.A.S.	Instr. II	Bus Comp Info	.03	\$350	Fall 2014
R	Miller, Stephen	D.M.	Instr. II	Accounting	1.00	\$89,778	2014-2015
R	Miller, Stephen	D.M.	Director	Accounting	1.00	\$2,400	2014-2015
R	Miller, Stephen	D.M.	Dept.Chair	Business Tech	1.00	\$6,000	2014-2015
R	Miller, Stephen	D.M.	Instr. II	Accounting	.07	\$700	Fall 2014
R	Peyton, Carol	M.A.	Adjunct	Management	.20	\$2,100	Fall 2014
R	Pinson, Thomas	M.B.A.	Instr. II	Comp. Networking	1.00	\$49,107	2014-2015
R	Pinson, Thomas	M.B.A.	Instr. II	Comp. Networking	.67	\$7,000	Fall 2014
R	Pratt, Jonathan	B.B.A.	Instr. I	Accounting	1.00	\$45,645	2014-2015
R	Rivera, Lizzette	M.A.	Instr. II	Office Technology	1.00	\$55,118	2014-2015
R	Rivera, Lizzette	M.A.	Instr. II	Office Technology	.27	\$2,800	Fall 2014
R	Stewart, Sean	B.B.A.	Adjunct	Comp. Networking	.67	\$7,000	Fall 2014
R	Storbeck, Tim	B.A.A.S.	Instr. II	Comp. Networking	1.00	\$48,601	2014-2015
R	Storbeck, Tim	B.A.A.S.	Instr. II	Comp. Networking	.67	\$7,000	Fall 2014

R	Stoudemayer, Linda	M.S.	Instr. III	Bus Comp Info	1.00	\$67,456	2014-2015
R	Stoudemayer, Linda	M.S.	Director	Bus Comp Info	1.00	\$2,400	2014-2015
R	Stoudemayer, Linda	M.S.	Instr. III	Bus Comp Info	.73	\$7,700	Fall 2014
R	Wilsker, Ira	M.B.A.	Instr. IV	Management	1.00	\$73,633	2014-2015

GENERAL EDUCATION & DEVELOPMENT STUDIES

R	Abedelwahab, Widad	M.Ed.	Instr. I	Dev Math	1.00	\$45,645	2014-2015
R	Abedelwahab, Widad	M.Ed.	Instr. I	Dev Math	.40	\$4,200	Fall 2014
R	Allen, Carol	M.Ed.	Adjunct	College Success	.40	\$4,200	Fall 2014
R	Allen, Carol	M.Ed.	Adjunct	College Success	.13	\$1,400	12-Week
R	Atmar, Richard	M.A.	Adjunct	English	.40	\$4,200	Fall 2014
R	Baker, Mary	M.P.A.	Adjunct	Government	.33	\$3,500	Fall 2014
R	Baker, Mary	M.P.A.	Adjunct	College Success	.13	\$1,400	12-Week
R	Brown, Mary L.	M.Ed.	Instr. III	English	1.00	\$47,264	2014-2015
R	Byars, Richard	M.Ed.	Adjunct	College Success	.27	\$2,800	Fall 2014
R	Childs, William	M.A.	Adjunct	English	.40	\$4,200	Fall 2014
R	Comer, Stephen	B.S.	Adjunct	Dev. Math	.33	\$3,500	Fall 2014
R	Comer, Stephen	B.S.	Adjunct	Dev Math	.20	\$2,100	12-Week
R	Courmier, Sharon	M.A.	Adjunct	History	.20	\$2,100	Fall 2014
R	Culbertson, Patricia	B.A.A.S.	Adjunct	Dev Read/Writing	.53	\$5,600	Fall 2014
R	Dailey, Natasha	M.A.	Adjunct	English	.40	\$4,200	Fall 2014
R	Dailey, Natasha	M.A.	Adjunct	Humanities	.60	\$6,300	12-Week
R	Davis, Michelle	M.S.	Instr. I	Speech	1.00	\$67,896	2014-2015
R	Davis, Michelle	M.S.	Dept. Chair	Speech	1.00	\$6,000	2014-2015
R	De la Rosa, Alfred	M.S.	Instr. III	Math	1.00	\$47,264	2014-2015
R	De la Rosa, Alfred	M.S.	Instr. III	Math	.27	\$2,800	Fall 2014
R	De la Rosa, Alfred	M.S.	Instr. III	Math	.20	\$2,100	12-Week
R	Drake, Regina	M.A.	Adjunct	Sociology	.40	\$4,200	Fall 2014
R	Drake, Regina	M.A.	Adjunct	Sociology	.20	\$2,100	12-Week
R	English, Jesse	J.D.	Adjunct	College Success	.27	\$2,800	Fall 2014
R	English, Jesse	J.D.	Adjunct	College Success	.40	\$1,400	12-Week
R	Flosi, Alicen	Ph.D.	Adjunct	College Success	.13	\$1,400	12-Week
R	Flosi, Alicen	Ph.D.	Adjunct	College Success	.27	\$2,800	Fall 2014
R	Garza, Andrew	M.S.	Adjunct	Psychology	.40	\$4,200	Fall 2014
R	Hayes, Mary	M.A.	Adjunct	Humanities	.20	\$2,100	Fall 2014
R	Hayes, Mary	M.A.	Adjunct	Humanities	.20	\$2,100	12-Week
R	Henry, Bradd	M.A.	Instr. I	Dev Math	1.00	\$46,298	2014-2015
R	Henry, Bradd	M.A.	Instr. I	Dev Math	.20	\$2,100	Fall 2014
R	Henry, Bradd	M.A.	Instr. I	Math	.20	\$2,100	12-Week
R	Hill, Angela	M.Ed.	Instr. II	College Success	.13	\$1,400	Fall 2014
R	Hill, Angela	M.Ed.	Instr. II	College Success	.13	\$1,400	12-Week
R	Hooker, David	M.A.	Instr. III	Eng/Humanities	1.00	\$57,484	2014-2015
R	Hooker, David	M.A.	Instr. III	English	.40	\$4,200	Fall 2014
R	Hurlbut, Brian	M.B.A.	Instr. III	Dev Math	1.00	\$53,647	2014-2015
R	Jacobs, Sharon	M.Ed.	Instr. II	College Success	.17	\$1,750	Fall 2014
R	Katz, Dawn	M.A.	Instr. I	Speech	1.00	\$46,298	2014-2015
R	Katz, Dawn	M.A.	Instr. I	Speech	.40	\$4,200	Fall 2014
R	Katz, Dawn	M.A.	Instr. I	Speech	.20	\$2,100	12-Week
R	Kees, Arthur	M.Ed.	Adjunct	Dev Math	.20	\$2,100	12-Week

R	Linn, Mary	M.A.	Adjunct	Speech	.40	\$4,200	Fall 2014
R	McClelland, Rita	M.A.	Instr. III	Eng/Humanities	1.00	\$53,842	2014-2015
R	McClelland, Rita	M.A.	Instr. III	Dev Writing	.20	\$2,100	Fall 2014
R	Miller, Beverly	M.A.	Adjunct	Sociology	.20	\$2,100	Fall 2014
R	Miller, Beverly	M.A.	Adjunct	Sociology	.20	\$2,100	12-Week
R	Mitchell, Nicole	M.S.	Instr. I	College Success	.27	\$2,800	12-Week
R	Mosley, David	B.B.A.	Adjunct	College Success	.27	\$2,800	12-Week
R	Mosley, David	B.B.A.	Adjunct	College Success	.13	\$1,400	Fall 2014
R	Mosley, Sarah	M.A.	Adjunct	English	.53	\$5,600	Fall 2014
R	Moulton, Irma	M.Ed.	Adjunct/DE	Math	.20	\$2,100	Fall 2014
R	Noyola, Thomas	Ed.D.	Instr. I	College Success	.13	\$1,400	Fall 2014
R	Partain, Trudie	B.A.	Adjunct	College Success	.40	\$4,200	Fall 2014
R	Placette, Amber	B.A.	Adjunct	Dev Writing	.27	\$2,800	Fall 2014
R	Richard, Kimberly	M.S.	Adjunct	Dev Math	.20	\$2,100	Fall 2014
R	Rueda, Emily	M.S.	Instr. III	Math	1.00	\$52,220	2014-2015
R	Rueda, Emily	M.S.	Instr. III	Math	.40	\$4,200	Fall 2014
R	Sams, Christopher	B.S.	Adjunct	Dev. Math	.40	\$4,200	Fall 2014
R	Scott, Laura	M.A.	Adjunct	Humanities	.20	\$2,100	Fall 2014
R	Sizemore, William	M.A.	Instr. I	English	1.00	\$45,645	2014-2015
R	Sizemore, William	M.A.	Instr. I	English	.40	\$4,200	Fall 2014
R	Smith, Leigh	M.Ed.	Instr. II	College Success	1.00	\$65,528	2014-2015
R	Smith, Leigh	M.Ed.	Instr. II	College Success	.20	\$2,100	Fall 2014
R	Spencer, Tracy	M.A.	Instr. II	Humanities	1.00	\$56,621	2014-2015
R	Spencer, Tracy	M.A.	Instr. II	Humanities	.60	\$6,300	Fall 2014
R	Strickland, Mary	M.A.	Instr. III	Sociology	1.00	\$52,741	2014-2015
R	Templain-Kuehn, Amber	M.Ed.	Adjunct	College Success	.40	\$4,200	Fall 2014
N	Thurber, Brenda	M.A.	Adjunct	Psychology	.20	\$2,100	Fall 2014
R	Tillie, John	B.B.A.	Adjunct	Dev Math	.40	\$4,200	Fall 2014
R	Toups, Melanie	M.Ed.	Adjunct	Dev Read/Writing	.53	\$5,600	Fall 2014
N	Webber, Betty	M.A.	Adjunct	Psychology	.20	\$2,100	12-Week
R	White, Dennis	M.S.	Instr. II	Sociology	.40	\$4,200	Fall 2014
R	Whiteley, Gloria	M.A.	Adjunct	English	.47	\$4,900	12-Week
N	Williams, Ella	Ed.D.	Adjunct	Dev Read/Writing	.27	\$2,800	12-Week

PUBLIC SERVICE & SAFETY

R	Lyons, Wilburn	A.A.S.	Adjunct	Fire Technology	.20	\$2,100	Fall 2014
R	Mitchell, Nicole	M.S.	Instr. I	Criminal Justice	1.00	\$45,645	2014-2015
R	Noyola, Tom	Ed.D.	Instr. I	Criminal Justice	1.00	\$59,773	2014-2015
R	White, Dennis	M.S.	Instr. II	Homeland Sec	1.00	\$47,457	2014-2015

TECHNOLOGY

R	Banks, Baron	B.A.	Instr. II	Process Operate	1.00	\$51,176	2014-2015
R	Banks, Baron	B.A.	Instr. II	Process Operate	.37	\$3,850	Fall 2014
R	Bingham, Jason	A.A.S.	Instr. I	Welding	1.00	\$41,671	2014-2015
R	Bingham, Jason	A.A.S.	Instr. I	Welding	.27	\$2,800	Fall 2014
R	Bradley, Clyde	N/A	Adjunct	Industrial Mech	.33	\$3,500	Fall 2014
N	Brown, Rickie	A.A.S.	Adjunct	Welding	.80	\$8,400	Fall 2014
R	Caballero, Armin	A.A.S.	Adjunct	Construction	.40	\$4,200	Fall 2014

R	Campbell, Jerry	A.A.S.	Instr. IV	Adv Engine	1.00	\$64,335	2014-2015
R	Champagne, Steve	A.A.S.	Instr. I	Instrumentation	1.00	\$45,655	2014-2015
R	Champagne, Steve	A.A.S.	Instr. I	Instrumentation	.47	\$4,900	Fall 2014
R	Culp, Thomas	N/A	Adjunct	Instrumentation	.87	\$9,100	Fall 2014
R	Dry, Randle	N/A	Adjunct	Industrial Mech	.20	\$2,100	Fall 2014
N	Fontenot, Martin	A.A.S.	Adjunct	PTAC	.30	\$3,150	Fall 2014
R	Gaus, Henry	A.A.S.	Instr. II	Heat, Vent, Air	1.00	\$50,017	2014-2015
R	Gaus, Henry	A.A.S.	Director	Heat, Vent, Air	1.00	\$2,400	2014-2015
R	Gaus, Henry	A.A.S.	Instr. II	Heat, Vent, Air	.07	\$700	Fall 2014
R	Griffin, Tom	A.A.S.	Adjunct	Industrial Mech	.20	\$2,100	Fall 2014
R	Grissom, Darrell	A.A.S.	Instr. I	Heat, Vent, Air	1.00	\$44,252	2014-2015
R	Hall, Deanna	M.S.	Instr. I	Comp Drafting	1.00	\$45,972	2014-2015
R	Hall, Deanna	M.S.	Instr. I	Comp Drafting	.27	\$2,800	Fall 2014
R	Hargrave, Minus	A.A.S.	Instr. I	Instrumentation	1.00	\$56,411	2014-2015
R	Hargrave, Minus	A.A.S.	Instr. I	Instrumentation	.33	\$2,800	Fall 2014
R	Holton, William	B.B.A.	Instr. I	Industrial Mech	1.00	\$43,270	2014-2015
R	Holton, William	B.B.A.	Director	Industrial Mech	1.00	\$2,400	2014-2015
R	Holton, William	B.B.A.	Instr. I	Industrial Mech	.07	\$700	Fall 2014
R	Jacobs, Weldon	B.A.A.S.	Instr. II	Instrumentation	1.00	\$52,097	2014-2015
R	Jacobs, Weldon	B.A.A.S.	Director	Instrumentation	1.00	\$2,400	2014-2015
R	Jacobs, Weldon	B.A.A.S.	Instr. II	Instrumentation	.70	\$7,700	Fall 2014
N	Johnson, Justin	A.A.S.	Adjunct	Instrumentation	.20	\$2,100	Fall 2014
R	Khatri, Vinod	B.S.	Instr. I	Rest. Inst. Food	1.00	\$44,241	2014-2015
R	Khatri, Vinod	B.S.	Director	Rest. Inst. Food	1.00	\$2,400	2014-2015
R	Khatri, Vinod	B.S.	Instr. I	Rest. Inst. Food	.33	\$3,500	Fall 2014
R	Kirk, Charles	B.S.I.T.	Adjunct	Process Operate	.33	\$3,500	Fall 2014
R	Koenig, Russell	A.A.S.	Instr. II	Utility Line Tech	1.00	\$49,374	2014-2015
R	Koenig, Russell	A.A.S.	Director	Utility Line Tech	1.00	\$2,400	2014-2015
R	Koenig, Russell	A.A.S.	Instr. II	Utility Line Tech	.40	\$4,200	Fall 2014
R	LeBlanc, James	N/A	Adjunct	Process Operate	.53	\$5,600	Fall 2014
R	Lewis, Alex	N/A	Adjunct	Welding	.80	\$8,400	Fall 2014
R	Lopez, Ramon	B.S.I.T.	Adjunct	Process Operate	.27	\$2,800	Fall 2014
R	Matak, Pete	A.A.S.	Instr. IV	Adv. Engine	1.00	\$60,845	2014-2015
R	Matak, Pete	A.A.S.	Director	Adv. Engine	1.00	\$2,400	2014-2015
R	Matak, Pete	A.A.S.	Instr. IV	Adv. Engine	.20	\$2,800	Fall 2014
R	McAnally, Richard	A.A.S.	Instr. I	Welding	1.00	\$41,671	2014-2015
R	McAnally, Richard	A.A.S.	Instr. I	Welding	.33	\$3,500	Fall 2014
R	McKeehan, John	A.A.S.	Instr. II	Welding	1.00	\$44,252	2014-2015
R	McKeehan, John	A.A.S.	Director	Welding	1.00	\$2,400	2014-2015
R	McKeehan, John	A.A.S.	Instr. I	Welding	.47	\$4,900	Fall 2014
N	McLendon, Gary	B.A.A.S.	Adjunct	Welding	.80	\$8,400	Fall 2014
R	Montalbano, John	N/A	Adjunct	Industrial Mech	.20	\$2,100	Fall 2014
R	Moore, Bobby	N/A	Adjunct	Construction	.50	\$5,250	Fall 2014
R	Neely, Edgar	A.A.S.	Instr. II	Instrumentation	1.00	\$46,568	2014-2015
R	Neely, Edgar	A.A.S.	Instr. II	Instrumentation	.33	\$4,900	Fall 2014
R	O'Connor, Patrick	B.S.	Instr. II	Comp Drafting	1.00	\$87,747	2014-2015
R	O'Connor, Patrick	B.S.	Dept. Chair	Technology	1.00	\$6,000	2014-2015
R	O'Connor, Patrick	B.S.	Instr. II	Comp Drafting	.67	\$2,800	Fall 2014
R	Paris, Cecil	A.A.S.	Adjunct/DE	Heat, Vent, Air	.33	\$0.00	Fall 2014

R	Piazza, William	A.A.S.	Adjunct	Instrumentation	.20	\$2,100	Fall 2014
R	Pousson, Johnny	A.A.S.	Instr. I	Comp Drafting	1.00	\$42,744	2014-2015
R	Pousson, Johnny	A.A.S.	Instr. I	Director	1.00	\$2,400	2014-2015
R	Pousson, Johnny	A.A.S.	Instr. I	Comp Drafting	.67	\$7,000	Fall 2014
R	Roark, David	N/A	Adjunct	Process Operate	.60	\$6,300	Fall 2014
R	Rodriguez, Pablo	B.S.I.T.	Instr. III	Process Operate	1.00	\$52,554	2014-2015
R	Rodriguez, Pablo	B.S.I.T.	Instr. III	Process Operate	.40	\$3,150	Fall 2014
N	Sedoruk, Henry	A.A.S.	Adjunct	Instrumentation	.33	\$3,500	Fall 2014
N	Spooner, Stanley	B.S.	Instr. I	Comp Drafting	1.00	\$43,594	2014-2015
N	Spooner, Stanley	B.S.	Instr. I	Comp Drafting	.07	\$700	Fall 2014
R	Tucker, Walter	A.A.S.	Instr. III	Process Operate	1.00	\$49,374	2014-2015
R	Tucker, Walter	A.A.S.	Instr. III	Process Operate	.20	\$2,100	Fall 2014
R	Waidley, Michael	N/A	Adjunct	Construction	.53	\$5,600	Fall 2014
R	Williams-Parker, Tiffany	B.A.A.S.	Instr. I	Process Operate	1.00	\$42,626	2014-2015
R	Williams-Parker, Tiffany	B.A.A.S.	Instr. I	Process Operate	.40	\$4,200	Fall 2014
R	Willis, James	M.S.	Adjunct	Process Operate	.47	\$4,900	Fall 2014
R	Worry, Valerie	Ed.D.	Instr. I	Process Operate	1.00	\$54,405	2014-2015
R	Worry, Valerie	Ed.D.	Coordinator	Process Operate	1.00	\$3,000	2014-2015
R	Worry, Valerie	Ed.D.	Instr. I	Process Operate	.57	\$5,950	Fall 2014

ADMINISTRATIVE AND UNCLASSIFIED PERSONNEL CHANGES

ADDITIONS

1. Egnor, William Danford, M.P.A. QEP Coordinator, at an annual salary of \$46,000, effective 09/01/14

RETIREMENTS

1. None to report

RESIGNATIONS

1. Barnett, Vacochia, Ed.D., Director of Corporate Training, effective 09/30/14
2. Lisk, Robin, M.Ed., Director of Distance Education, effective 09/05/14

CHANGES IN STATUS/TITLE

1. None to report

Lamar State College-Orange

FACULTY PERSONNEL CHANGES

ADDITIONS

1. None to Report

RETIREMENTS

1. None to Report

RESIGNATIONS

1. Ellis, James, Instructor of Business Management, effective August 31, 2014.

NON-REAPPOINTMENTS

1. None to Report

CHANGES IN STATUS

1. None to Report

WITH TENURE

1. None to Report

FACULTY APPOINTMENTS, New (N) and Renewal (R)

NAME	DEG	RANK	DEPARTMENT	%FTE	SALARY	PERIOD
ALLIED HEALTH/FULL-TIME OVERLOADS AND ADJUNCT FACULTY						
R Andrews, Jo	A.A.S.	Adjunct	Vocational Nur	103	12312	Fall 2014
R Antoon, Melody	M.S.N.	Instructor	Upward Mobility	107	824	Fall 2014
R Baker, Suzanne	A.A.S.	Instructor	Vocational Nur	46	5568	Fall 2014
R Carroll, Linda	A.S.	Instructor	Vocational Nur	27	3224	Fall 2014
R Cole, Angela	B.S.N.	Instructor	Vocational Nur	38	4600	Fall 2014
R Daniel, Martha	B.S.N.	Instructor	Vocational Nur	13	1512	Fall 2014
N Davis, Tammy	B.S.N.	Adjunct	Vocational Nur	91	8208	Fall 2014
R Fears, Dixie	A.D.N.	Instructor	Vocational Nur	24	2824	Fall 2014
R Flippen, Carolyn	Cert.	Instructor	Dental Assisting	35	4208	Fall 2014
R Flippen, James	D.D.S.	Adjunct	Dental Assisting	36	4336	Fall 2014
R Foreman, Sherri	A.A.S.	Instructor	Vocational Nur	41	4880	Fall 2014
R Granger, Thera	A.S.	Instructor	Pharmacy Tech	4	1744	Fall 2014
R Simmons, Jerri	A.A.S.	Adjunct	Vocational Nur	134	12018	Fall 2014
R Keogh, Drue	A.A.S.	Instructor	Vocational Nur	103	12312	Fall 2014
R Land, Richard	Cert.	Adjunct	Emergency Med	60	7192	Fall 2014
R Latham, Stacie	Cert.	Adjunct	Dental Assisting	23	2052	Fall 2014
R LeBlanc, Lorrie	B.S.N.	Instructor	Vocational Nur	57	6848	Fall 2014
R Marks, Tonya	Cert.	Adjunct	Dental Assisting	8	684	Fall 2014
R Moore, Jessica	A.A.S.	Adjunct	Vocational Nur	118	14136	Fall 2014
R Paulk, Charlene	B.S.N.	Instructor	Vocational Nur	26	3112	Fall 2014
R Procella, Terri	A.S.	Adjunct	Vocational Nur	148	17800	Fall 2014
R Simar, Gina	M.Ed.	Instructor	Vocational Nur	2	1600	Fall 2014
R Switzer, Janis	A.A.S.	Adjunct	Vocational Nur	49	5936	Fall 2014
R Trotter, Jennifer	M.S.N.	Instructor	Upward Mobility	101	136	Fall 2014
R Tucker, Mandee	A.A.S.	Adjunct	Vocational Nur	115	13744	Fall 2014
BUSINESS AND TECHNOLOGY/FULL-TIME OVERLOADS AND ADJUNCT FACULTY						
R Bryant, Christy	M.Ed.	Adjunct	Information Tech	40	4800	Fall 2014
R Bryant, Jennifer	M.S.	Adjunct	Business Mgt	40	3600	Fall 2014
R Burns, Edgar	B.S.	Adjunct	Process Tech	41	4912	Fall 2014
R Choate, Judy	B.S.	Adjunct	Business Mgt	20	1800	Fall 2014
R Crockett, Melvin	A.S.	Adjunct	Process Tech	31	2826	Fall 2014
R Dando, Carla	M.B.A.	Adjunct	Business	40	4800	Fall 2014
R Dorman, Bonnie	M.Ed.	Instructor	Medical Office	10	1256	Fall 2014
R Dotson, Diane	M.Ed.	Instructor	Information Tech	101	8394	Fall 2014
R Edwards, Angela	A.S.	Adjunct	Medical Office	51	2772	Fall 2014
R Geis, Earl	B.S.	Instructor	Process Tech	158	9124	Fall 2014
R January, Cheryl	M.B.A.	Adjunct	Process Tech	47	4200	Fall 2014
R Kirk, Charles	B.S.	Adjunct	Process Tech	48	5712	Fall 2014
R Lundquist, Gary	M.S.	Adjunct	Process Tech	55	6624	Fall 2014
R McKinney, Billy Jack	M.S.	Adjunct	Process Tech	61	5484	Fall 2014
R McLendon, Gary	B.S.	Adjunct	Process Tech	88	7884	Fall 2014

R	Mott, Keith	M.S.	Adjunct	Information Tech	20	2400	Fall 2014
R	Phillips, Cathie	M.S.	Instructor	Information Tech	5	568	Fall 2014
R	Reeder, Mike	B.S.	Instructor	Process Tech	134	4104	Fall 2014
R	Smith, Claire	B.S.	Adjunct	Information Tech	20	2100	Fall 2014
R	Spears, Jackie	M.Ed.	Adjunct	Business Mgt	20	2400	Fall 2014
R	Stewts, Chris		Adjunct	Process Tech	75	9024	Fall 2014
R	Turner, Carol	A.S.	Instructor	Medical Office	61	6472	Fall 2014
R	Vickers, Amber	M.B.A.	Instructor	Business	34	1641	Fall 2014

LIBERAL ARTS/FULL-TIME OVERLOADS AND
ADJUNCT FACULTY

R	Clark, Lynn	M.A.	Adjunct	Arts	20	2100	Fall 2014
R	Dando, Carla	M.S.	Adjunct	English	20	2400	Fall 2014
R	Doss, Kevin	M.A.	Instructor	Speech	60	10800	Fall 2014
R	Harmon, Don	Cert.	Adjunct	Criminal Justice	20	960	Fall 2014
R	Holmes, Bradley	M.M.	Adjunct	Music	20	1800	Fall 2014
R	Lacy, Anna	M.A.	Adjunct	Drama	20	1800	Fall 2014
R	Lindley, Neil	Ph.D.	Adjunct	Philosophy	20	1800	Fall 2014
R	Lindsey, Richard	M.A.	Adjunct	Government	40	4800	Fall 2014
R	Little, Meredith	M.A.	Adjunct	History	120	14400	Fall 2014
R	Matt, Nancy	M.S.	Adjunct	Sociology	20	2400	Fall 2014
R	McClure, Matt	Ph.D.	Professor	Criminal Justice	8	912	Fall 2014
R	Miller, Roberta	M.S.	Instructor	Sociology	20	2400	Fall 2014
R	Owens, Eric	M.A.	Asst Prof	History	40	10000	Fall 2014
R	Peebles, Robert	Ph.D.	Prof Emer	History	40	7200	Fall 2014
R	Preslar, Andrew	M.A.	Instructor	English	40	6000	Fall 2014
R	Rathbun, Diane	M.A.	Adjunct	Speech	40	4200	Fall 2014
R	Rather, Michael	M.A.	Instructor	English	40	4800	Fall 2014
R	Rector, Marcus	M.S.	Adjunct	History	40	4800	Fall 2014
N	Sellers, Shana	M.S.	Adjunct	Criminal Justice	80	7200	Fall 2014
N	Sizemore, William	M.S.	Adjunct	Arts	20	1800	Fall 2014
R	Smith, Amanda	MFA	Adjunct	English	100	10500	Fall 2014
R	Steinfeldt, Andrew	Ph.D.	Asst Prof	Government	40	4800	Fall 2014
R	Thrasher, Shelley	Ph.D.	Prof Emer	Humanities	20	4319	Fall 2014
R	Turkel, Arlene	Ph.D.	Assoc Prof	English	0	1200	Fall 2014
R	Whitehead, Gwen	Ph.D.	Asst Prof	English	20	2400	Fall 2014
R	Williams, Kathryn	M.A.	Instructor	Speech	40	4800	Fall 2014

MATHEMATICS & SCIENCE/FULL-TIME OVERLOADS
AND ADJUNCT FACULTY

R	Ahearn, Frances	M.S.	Adjunct	Psychology	40	4800	Fall 2014
R	Foreman, Jason	B.S.	Adjunct	Biology	30	3648	Fall 2014
R	Hodges, Lisette	M.S.	Instructor	Psychology	20	2400	Fall 2014
R	Jureidini, Elias	M.S.	Asst Prof	Mathematics	0	2400	Fall 2014
R	Kenney, Hunter	M.S.	Instructor	Biology	26	3072	Fall 2014
R	Lundquist, Gary	Ph.D.	Adjunct	Chemistry	35	4224	Fall 2014
R	McClure, Matthew	Ph.D.	Professor	Biology	26	4272	Fall 2014
R	Marshall, Donald	Ph. D.	Adjunct	Chemistry	28	3312	Fall 2014
R	Sanford, Jerry	Ph.D.	Adjunct	Biology	30	3648	Fall 2014
R	Song, Ni	Ph.D.	Asst Prof	Biology	26	3072	Fall 2014

R	Taylor, Ronald	Ph.D.	Adjunct	Geology	55	5796	Fall 2014
R	Thomas, Donald	M.S.	Instructor	Physical Ed	11	1360	Fall 2014
R	Whalen, Samantha	Ph.E.	Adjunct	Biology	20	2400	Fall 2014
R	Wilmore, Larry	M.S.	Asst Prof	Biology	8	4112	Fall 2014
R	Wilson, Theresa	M.E.D.	Adjunct	Psychology	20	2400	Fall 2014

COLLEGE SUCCESS/COLLEGE PREP/FULL-TIME
OVERLOADS AND ADJUNCT FACULTY

R	Crockett, Suzonne	M.Ed.	Instructor	Mathematics	37	4400	Fall 2014
R	Jureidini, Elias	M.S.	Asst Prof	Mathematics	40	4800	Fall 2014
R	Kennaugh, Christopher	Ph.D.	Instructor	Mathematics	20	2400	Fall 2014
R	Kim, Jongchul	Ph.D.	Asst Prof	Mathematics	20	2400	Fall 2014
R	Pederson, Jan	M.Ed.	Adjunct	English	27	3200	Fall 2014
R	Peveto, Barbara	M.S.	Adjunct	Mathematics	40	7200	Fall 2014
R	Scarborough, George	M.S.	Instructor	Mathematics	20	2400	Fall 2014
R	Sethna, Bishar	Ed. D.	Adjunct	Mathematics	37	4400	Fall 2014

COLLEGE SUCCESS INITIATIVE/FULL-TIME
OVERLOADS AND ADJUNCT FACULTY

R	Babcock, Dorraine	M.Ed.	Adjunct	Education	80	9600	Fall 2014
N	Gilliland, James	M.Ed.	Adjunct	College Success	100	9000	Fall 2014
R	Simar, Gina	M.Ed.	Staff	Director	20	2400	Fall 2014
R	Smith, Amanda	MFA	Adjunct	English	47	3500	Fall 2014
R	Spears, Jackie	M.Ed.	Staff	Director	40	2400	Fall 2014
R	Srinivasan, Sribhagyam	Ed. D.	Staff	CIDDIL	40	4800	Fall 2014
R	Stephenson, Andrea	M.S.	Staff	Advising	20	1800	Fall 2014
R	Thomas, Donald	M.Ed.	Instructor	Physical Ed	20	2400	Fall 2014
R	Wernig, Ken	M.Ed.	Adjunct	English	20	2400	Fall 2014

LAMAR STATE COLLEGE-ORANGE

ADMINISTRATIVE AND UNCLASSIFIED PERSONNEL CHANGES

ADDITIONS

1. None to Report

CHANGES IN STATUS

1. None to Report

DISMISSALS

1. None to Report

RESIGNATIONS

1. Marcantel, Laurie, Academic Advisor/Special Populations effective August 19, 2014.2.

RETIREMENTS

1. None to Report

COMMISSIONING AND BONDING OF UNIVERSITY POLICE OFFICERS

1. None to Report

Lamar State College – Port Arthur

FACULTY PERSONNEL CHANGES

1. Tamalla Green, B.S., Instructor of Accounting, Business and Technology, at a 9 month rate of \$36,500 on a full-time, tenure track appointment effective September 1, 2014.
2. Morgan Brown, A.A.S., Automotive Instructor, Business and Technology, at a 9 month rate of \$34,500 on a full-time, non-tenure track appointment effective September 1, 2014.
3. Cynthia Arceneaux, B.S.N., Instructor, Allied Health, at a 12 month rate of \$46,632, on a full-time non-tenure track appointment effective September 1, 2014.
4. Helen Fonteno, License/Certificate, Instructor of Cosmetology, Business and Technology, at a 9 month rate of \$34,500, on a full-time non-tenure track appointment effective September 1, 2014.

RESIGNATIONS

1. Kim Jones, effective August 31, 2014.
2. Emma Humphries, effective August 31, 2014.

RETIREMENTS

1. None to report.

NON-REAPPOINTMENTS

1. None to report.

CHANGES IN STATUS

1. None to report.

WITH TENURE

1. None to report.

FACULTY APPOINTMENTS, New (N) and Renewal (R)

	NAME	DEG	RANK	DEPARTMENT	%FTE	SALARY	PERIOD
COLLEGE OF ACADEMICS							
R	Askew, Michelle	M.S.	Instruct III	Math	.20	2,122	Fall 2014
R	Belyeu, Jeremy	M.A.	Instructor	English	.40	4,244	Fall 2014
R	Bertin, Darren	J.D.	Adjunct	Philosophy	.40	4,244	Fall 2014
R	Brown, Lawanda	M.A.	Adjunct	Sociology	.50	2,122	Fall 2014
R	Byrd, Sally	M.A.	Instructor	English	.20	2,122	Fall 2014
R	Cammack, James	M.B.A.	Instructor	BCIS	.30	3,225	Fall 2014
R	Carona, Ken	M.S.	Adjunct	Psychology	.60	6,366	Fall 2014
R	Clark, Jamie	M.A.	Instructor	Education	.53	5,581	Fall 2014
R	Cockrell, Keith	Ph.D.	Prof.	Drama	.20	2,122	Fall 2014
R	Durso, Cassandra	M.A.	Adjunct	History	.40	4,244	Fall 2014
R	Faggard, Albert	M.A.F.A	Adjunct	Art	1.00	10,610	Fall 2014
R	Gengo, Damon	M.S.	Instructor	Speech	.20	2,122	Fall 2014
R	Gongre, Charles	Ph.D.	Professor	English	.20	2,122	Fall 2014

N	Gregory, Susan	M.S.	Adjunct	Math	.60	6,366	Fall 2014
R	James, Caitlin	M.A.	Adjunct	English	.20	2,122	Fall 2014
R	Jones, Kim	M.Ed.	Adjunct	Math	.40	4,244	Fall 2013
R	Jordan, Percy	Ph.D.	Assc. Prof.	Biology	.83	8,606	Fall 2014
R	Jordan, Sue	M.Ed.	Adjunct	Chemistry	.35	3,738	Fall 2014
R	Judice, Michelle	M.A.	Instructor	English	.20	2,122	Fall 2014
R	Knowles, Mark	M.S.	Instructor	Math	.40	4,244	Fall 2014
R	Lindley, Neil	Ph.D.	Adjunct	Philosophy	.40	4,244	Fall 2014
R	Longlet, Nancy	Ph.D.	Asst. Prof.	Biology	.38	4,032	Fall 2014
R	Lowe, Zeb	M.A.	Instructor	English	.20	2,122	Fall 2014
R	McIntire, Darren	M.B.A.	Adjunct	Math	.40	4,244	Fall 2014
R	Megnet, Ruth	M.F.A.	Asst. Prof.	Art	.09	912	Fall 2014
R	Ned, Kayla	M.S.	Adjunct	BCIS	.28	2,930	Fall 2014
R	Neeb, Amy	M.Ed.	Adjunct	Education	.28	2,930	Fall 2014
R	Nelson, Alice	M.Ed.	Adjunct	English	.50	4,042	Fall 2014
R	Osborne, Angela	M.F.A.	Adjunct	Art	.60	6,366	Fall 2014
R	Pate, Martha	M.S.	Adjunct	Math	.40	4,244	Fall 2014
R	Peeler, Robert	M.Ed.	Instructor	Government/Edu	.50	5,315	Fall 2014
R	Pitre, James	B.S.	Adjunct	Math	.40	4,244	Fall 2014
R	Pollock, Richard	M.S.	Instructor	Biology	.28	3,013	Fall 2014
R	Steele, Sherry	M.Ed.	Instructor	Math	.65	8,254	Fall 2014
R	Stafford, Laura	Ph.D.	Prof.	Speech	.20	2,122	Fall 2014
R	Taylor, Ron	Ph.D.	Adjunct	Geology	.27	2,930	Fall 2014
R	Tran, Martin	B.S.	Adjunct	Math	.60	6,366	Fall 2014
R	Triebel, Mavis	M.P.A.	Instructor	Government	.20	2,122	Fall 2014
R	Trevey, Diane	M.A.	Adjunct	Education	.08	808	Fall 2014
R	Trevey, Robert	M.A.	Adjunct	Education	.20	2,122	Fall 2014
R	Woodard, Amber	M.S.	Adjunct	Nutrition	.20	2,122	Fall 2014
R	Woodford, Duncan	M.P.A.	Adjunct	Adjunct	.20	2,122	Fall 2014

COLLEGE OF BUSINESS AND TECHNOLOGY

R	Amaya, Janet	MASN	Adjunct	RNSG	.11	3,637	Fall 2014
R	Andress, William	A.A.S.	Instructor	INTC	.06	605	Fall 2014
R	Arrington, Kim	M.Ed.	Adjunct	DAAC	.40	4,244	Fall 2014
R	Brown, Morgan	A.A.S.	Instructor	AUMT	.10	1,011	Fall 2014
R	Bryant, Jennifer	M.S.	Instructor	Economics	.20	2,122	Fall 2014
R	Cammack, Jim	M.B.A.	Instructor	BUSI	.20	2,122	Fall 2014
R	Cammack, Nancy	Ph.D.	Adjunct	ITSC	.51	5,456	Fall 2014
N	Canedo, Blas	M.M.	Adjunct	MUSP	.56	5,972	Fall 2014
R	Champagne, Adria	B.A.A.S.	Instructor	ITSC	.13	1,314	Fall 2014
R	Ducote, Mark	A.A.S.	Adjunct	ITNW/CPMT	.50	5,254	Fall 2014
R	Eades, Tamara	MASN	Adjunct	RNSG	.11	3,636	Fall 2014
R	Fontenot, Dale	B.S.	Adjunct	ITNW	.48	5,052	Fall 2014
R	Freyermuth, John	MAFA	Instructor	MUSC	.74	7,882	Fall 2014
R	Gillam, Robert	M.M.	Instructor	MUSC	.71	7,463	Fall 2014

R	Guillot, Sheila	M.Ed.	Instr. IV	Office Adm.	.68	7,174	Fall 2014
R	Granger, Pat	M.B.A.	Instructor	ITSW	.13	1,415	Fall 2014
R	Harding, Ricky	MASN	Adjunct	RNSG	.11	3,637	Fall 2014
R	Hoffpauir, Judy	A.A.S.	Adjunct	POFI/POFT	.54	5,759	Fall 2014
R	Hopman,LaMonda	MASN	Adjunct	RNSG	.11	3,636	Fall 2014
R	Lacher, Joe	MASN	Adjunct	RNSG	.11	3,636	Fall 2014
R	McPherson, Miche	J.D.	Adjunct	LGLA	.20	2,122	Fall 2014
R	Mills, Zach	A.A.S.	Instructor	ITSC	.27	2,829	Fall 2014
R	Osborne, Angela	M.F.A.	Adjunct	PHTC	.20	2,122	Fall 2014
R	Powell, James	-	Instructor	PTAC	.50	5,356	Fall 2014
R	Quist, Ed	J.D.	Instructor	LGLA	.28	2,930	Fall 2014
R	Rangel, Angelina	MASN	Adjunct	RNSG	.11	3,636	Fall 2014
R	Rangel, Elise	MASN	Adjunct	RNSG	.11	3,636	Fall 2014
R	Richardson, Carl	B.A.	Instructor	MUSC/MUSP	.36	3,842	Fall 2014
R	Smith, Amanda	License	Instructor I	Cosmetology	.20	2,222	Fall 2014
N	Sparrow, Mike	-	Adjunct	PTAC	.49	5,154	Fall 2014
R	Sweat, Ray	M.S.	Adjunct	ARTC/ITSC	.48	5,052	Fall 2014
R	Thigpen, Albert	Ph.D.	Adjunct	HRPO	.20	2,122	Fall 2014
R	Tilman, Donna	MASN	Adjunct	RNSG	.11	3,637	Fall 2014
N	Turner, Kenneth	-	Adjunct	MUSP	.18	1,868	Fall 2014

INMATE EDUCATION

R	Bourque, Brenda	B.B.A.	Adjunct	Math	.13	1,415	Fall 2014
R	Decker, Bill	B.A.A.S.	Adjunct	Math	.20	2,122	Fall 2014
R	Doiron, Jesse	M.B.A.	Adjunct	English	.20	2,122	Fall 2014
R	Dubuisson, Zach	MAFA	Adjunct	Art	.20	2,122	Fall 2014
R	Faggard, Albert	M.F.A.	Adjunct	Art	.31	3,334	Fall 2014
R	Jeanise, Phyllis	B.S.	Adjunct	SSC	.13	1,415	Fall 2014
R	Neely, John	A.S.D.	Adjunct	DAAC	.40	4,244	Fall 2014
R	Placette, Amber	B.A.	Adjunct	English	.20	2,122	Fall 2014
R	Taylor, Ron	Ph.D.	Adjunct	Geology	.28	2,930	Fall 2014
R	Thigpen, Albert	Ph.D.	Adjunct	Government	.20	2,122	Fall 2014
R	Trevey, Diane	M.S.	Adjunct	History	.20	2,122	Fall 2014
N	Williams, Jules	B.S.	Adjunct	DAAC	.40	4,244	Fall 2014

ADMINISTRATIVE and UNCLASSIFIED PERSONNEL CHANGES

ADDITIONS

1. Laurie Marcantel, M.Ed., Special Populations Coordinator, Business and Technology, at a 12 month rate of \$52,000 on a full-time basis effective August 20, 2014.
2. Carl Miles, A.S., Systems Administrator, Information Technology, at a 12 month rate of \$50,000 on a full-time basis effective August 18, 2014.

CHANGES IN STATUS

1. None to report.

DISMISSALS

1. None to report.

RESIGNATIONS

1. Andrea Rodriguez, effective August 1, 2014.

RETIREMENTS

1. None to report.



LAMAR UNIVERSITY

MEMBER THE TEXAS STATE UNIVERSITY SYSTEM™

President's Briefing
for the Board of Regents
November 2014

RETENTION & RECRUITMENT: This fall, Lamar set an all-time record headcount of 14,899, a 6.08% increase over last fall. The (CBM) reportable headcount was 14,451 (up 5.01%), also a record. Credit hours followed at 140,626, a 4.07% jump, and reportable hours climbed to 137,927, a 3.19 % increase. Both figures, respectively, were the second highest in Lamar's history. The University's growth resulted from surges in online M.Ed. students (especially in the new Counseling and Special Populations M.Ed. program) and master's students in engineering (especially chemical engineering). Lamar, in addition, hit a historically high mark of 400 doctoral students, up 8.11%. All of the Colleges experienced growth except Arts & Sciences which remained flat. Engineering majors grew by 23.87% and Education and Human Development increased by 8.81 percent. Six of the top ten departments with the largest growth were STEM departments. The quality of this fall's freshman class remained very high which bodes well for future performance metrics such as retention and 4 and 6 year graduation rates. TSI-restricted freshmen and enrollments in College-Readiness sections both tumbled.

Lamar's strategic enrollment management team has invested considerable effort and resources in new recruitment and marketing strategies in the greater Houston region. Early returns appear promising for next fall's freshman class. Efforts are also underway to further expand freshmen interest groups, learning communities, study abroad opportunities, recycling/sustainability, and undergraduate research opportunities.

UNIVERSITY ADVANCEMENT: Lamar conducted the first-ever "Thank a Donor" event on campus in September, 2014. "Thank a Donor" is one part of the increased donor stewardship campaign in which students write thank you notes to donors. The purpose is two-fold, to ensure that donors understand the effect of their philanthropic support on students, and to inculcate in students an understanding of the impact and importance of private support on higher education. In addition to thank you notes, students took pictures and gave recorded thank you statements which will be shared through social media with donors, alumni, and friends of Lamar.

The Lamar University Foundation manages donated funds on behalf of the University. As of August 31, 2014, the Foundation held \$70,177,639 for the benefit of Lamar University. This portfolio represents an increase of over 18% from the previous year. The growth in managed funds, based on contributions and market growth, caused funds available for budgeting for scholarships, faculty endowments, and other academic support to increase by 19% from FY14 to FY15.

The Lamar University President's Circle, a new initiative to involve more donors in annual contributions to Lamar, initiated 83 members in its inaugural year. The members of the first group inducted into the President's Circle were welcomed by President Ken Evans and Chancellor Brian

McCall at a black tie gala on October 10, 2014. Members of the inaugural group received medallions and lapel pins recognizing them as founding members of the President's Circle.

PROGRAM DEVELOPMENTS: By the end of 10th grade, Mr. Chris York had already completed Calculus I and Calculus II and taught himself advanced mathematics, earning advanced placement credit for Calculus for Business Applications, Pre-Calculus, Calculus & Analytical Geometry I, and Calculus & Analytical Geometry II. He is a Texas Academy of Leadership in the Humanities senior and a LU sophomore from Beaumont who has appeared on the President's list each semester, and is currently enrolled in Real Analysis I, a senior level mathematics course. Chris competed at the 94th Annual Meeting of the Texas Section of the Mathematical Association of America at Texas A&M International University in April as a member of Lamar's mathematics team, earning 1st place in the Calculus Bowl. Chris was elected president of Lamar's Math Club and is currently serving his first term. He is working on an independent research project entitled, "Enumerating k^{th} Roots in the Symmetric Inverse Monoid," which he will present at LU's STEM conference as well as at the Texas Undergraduate Math Conference in October. His faculty mentor is Dr. Valentin Andreev, a Professor in the Department of Mathematics.

Dr. Helen Lou, University Professor of Chemical Engineering, has received the 2014 Sustainability Education Award from the American Institute of Chemical Engineers, the world's leading organization for chemical engineering professionals with 45,000 members from 100 countries. The award recognizes and honors one educator annually who has made outstanding contributions to sustainability education.

Students from LU's Department of English & Modern Languages nearly swept the top awards at the recent Texas Association of Creative Writing Teachers' Student Contest. In the Undergraduate Fiction competition, Skyler Williams (English senior from Groves) won 1st Place and Michelle Lansdale (English senior student from Bridge City) won 2nd Place. In the Graduate Fiction competition, Melissa Becker from Beaumont won 1st Place. In the Undergraduate Poetry competition, Ashleigh Ray (Liberal Arts sophomore and member of the Texas Academy of Leadership in the Humanities from Rowlett) won 1st Place. These students were mentored by University and Piper Professor of English Jerry Bradley and Professor and Chair Jim Sanderson. Participating students in the contest were from universities and colleges throughout Texas.

Mr. Warren Brown, a 4th year audiology doctoral student in the Department of Speech & Hearing Sciences, was recently chosen to participate in a global audiology project funded by Starkey Hearing Foundation. Mr. Brown is one of only four students chosen to accompany the Starkey group of professionals to Peru where they will perform hearing testing and dispense hearing aids to hearing impaired children and adults in the less populated areas of the country. The group is being accompanied by a television film crew from the Oprah Network which will document the trip and air it on the network's program, *Operation Change*. Mr. Brown is from Austin, and is currently completing his audiology externship in Waco at the VA Medical Center.

Dr. Ruhai Wang, a professor in the Drayer Department of Electrical Engineering, has been appointed as a Concurrent Professor by Nanjing University, one of the oldest and most prestigious Chinese research universities. Concurrent Professor is a type of chaired professorship which is generally awarded to world-renowned scientists who work on campus for two (or more) months a year. Most of Nanjing's Concurrent Professors are members of the China Academy of Science,

China Academy of Engineering, and Nobel Laureates. Dr. Wang's research interests include space Internet and deep-space communications, and he has worked on the development of interplanetary networking technologies in collaboration with the Jet Propulsion Laboratory of the California Institute of Technology.

A team of LU faculty has been selected to attend, during this fall, one of two advanced technical workshops at the Council on Undergraduate Research's NSF-funded Workshop Program for State Systems and Consortia on "Connecting Undergraduate Research to Other High-Impact Practices." Members of the team are: Dr. Kumer Das, Associate Professor of Mathematics and Director of the Office of Undergraduate Research; Dr. Cristian Bahrim, Associate Professor of Physics and mentor to LU's two recent Goldwater Scholars; Dr. Matthew Hoch, Associate Professor of Biology; and Dr. Jennifer Daniel, Associate Professor of Mathematics and a co-PI of LU's acclaimed STAIRSTEP program.

Dr. ChongMin Lee, an assistant professor in the Department of Deaf Studies & Deaf Education, recently published a chapter, "Navigating Two Languages in the Classroom: Goals, Evidence, and Outcomes," in *Bilingualism and Bilingual Deaf Education*, Oxford University Press: New York. A native of Korea, Dr. Lee is a multilingual/multicultural professional who is fluent in her native Korean as well as Korean Sign Language, American Sign Language, and English. Dr. Lee earned her doctorate at Ohio State University in deaf education with a specialty in mathematics.

Ms. Cynthia Lin, Texas Academic of Leadership in the Humanities senior and student body co-president as well as a LU sophomore, was one of 20 high school seniors accepted from among 80 applicants in 10 states who had demonstrated a record of academic excellence and strong interest in the sciences into the BASF Science Academy. While at this two week residential experience hosted by Fairleigh Dickinson University, these students focused on advanced science, interacted with BASF scientists and business leaders, and took part in hands-on learning experiments and field trips. Cynthia is from Beaumont and plans to major in chemical engineering.

Ms. Audrene Edwards, a senior mathematics major from Dallas, has been selected as an Alliance Scholar in the National Alliance for Doctoral Studies in the Mathematical Sciences, a National Science Foundation (NSF) funded community of mathematical sciences faculty and students whose goals include increasing the number of doctoral degrees in the mathematical sciences among traditionally underrepresented groups. In addition, Ms. Edwards recently won a prize in the Undergraduate Statistics Research Project Competition, sponsored by the Consortium for the Advancement of Undergraduate Statistics Education, which is also funded by NSF. Her research project was entitled, "Shakin' Things Up: Using the Statistical Approach to Model Natural Disasters," and her mentor is Dr. Kumer Das, Associate Professor of Mathematics and Director of LU's Office of Undergraduate Research.

Ms. Samantha Moody, a Speech & Hearing Sciences senior and McNair Scholar from Smithville, will spend her last semester abroad in Kunming, China, and will complete 90 class hours of intensive Chinese language instruction. Ms. Moody was accepted to The School for International Training, which prepares students to be effective intercultural leaders through experiential learning programs. While there, she also will complete her McNair research project entitled, "The Effects of Interactive Storybook Reading with Augmentative Assistive Technology on Turn Taking with a

Non-Verbal School-Age Child.” Ms. Moody’s mentor is Dr. Monica Harn, Associate Professor & Chair of the Department of Speech & Hearing Sciences.

Dr. Bo Sun and Dr. Qin Qian, associate professors in the Department of Computer Science and the Department of Civil Engineering, respectively, have received a National Science Foundation research grant entitled, "MRI: Acquisition of Equipment to Develop an Ubiquitous Wireless Sensor Network for Measurement, Modeling, and Prediction in Water Resource Management." This three-year, \$200,000 award will support their water resource management project through the application of state-of-the-art Wireless Sensor Network (WSN) technology. The goal of this project is to revolutionize water quantity and quality modeling and predictions through intensive data acquisition. Dr. Bo Sun is the P.I, Dr. Qian is the Co-P.I., and Mr. Frank Sun, an Instructor in the Department of Computer Science, is the senior member of the project staff.

CAPITAL IMPROVEMENTS: A) Brooks-Shivers Renovation: Lamar is reviewing the New Administration and Honors Building design drawings which are at 100%; B) Utilization of Indefinite Delivery/Indefinite Quantity for Mechanical Electrical Plumbing (MEP): Currently, Dabhi Engineering Associates has completed work on the MEP Building Assessments for the North Plant Chiller Upgrade Project as part of energy conservation. Decker Mechanical was awarded this project; C) Utilization of Indefinite Delivery/Indefinite Quantity for Architect/Engineer firm (A/E): Currently, VLK Architect/Engineer has developed the design and specifications for roof replacements for the Dishman Art Museum which are 95% complete, and the Student Setzer Center Building which are 75% complete; D) Utilization of Indefinite Delivery/Indefinite Quantity for Facility Programming and Consulting Firm: Programming for the Renovations of the Student Setzer Center Building has begun with the New Vice President of Student Engagement Dr. Vickie McNeil and the programming team; E) Regional Center for Innovation and Commercialization: Lamar University entered into a contract with the Texas General Land Office (administrator of federal disaster recovery grant funding provided by the U.S. Department of Housing and Urban Development in response to Hurricane Ike) to construct the Center on the campus of the University. The Center will house a technology business incubator with space and infrastructure to help develop and grow new technology-based businesses. The Center will also include training facilities, a Small Business Development Center, the Institute for Entrepreneurial Studies and several anchor tenants. The building will include classrooms, offices and service areas. The facility is envisioned at 24,000 gross square feet of which approximately one-half is to be unfinished for future tenants. KBR is producing design drawings and are at 100%; F) Lamar University Sports: New Women’s Softball Field construction documents are at 100% and the architect, Brown Reynolds Watford, Inc., and ALLCO Construction were selected as the Construction Managers at Risk (CMAR). They have completed the solicitation of proposals process (August 27 – September 11) for this construction. The project Start Date is October 2014 and Scheduled Completion is March 2015.

INFORMATION TECHNOLOGY: Completed projects include: Phase 1 of SciQuest e-procurement; Disaster Recovery Storage Array upgrade and DR data center move at Texas State University; Windows XP deprecation; and the submission to DIR of a security plan template for SB1134. New projects chartered during the past quarter include an upgrade to the Central Authentication System (CAS); implementation of Shibboleth authentication; Active Directory upgrade; Blackboard Learning Management System integration with Banner; and implementation of credit card processing through Touchnet Marketplace and Cashiering. Current projects include:

Campus Wireless Network upgrade; Phase 2 of the SciQuest eProcurement solution; AcademicWorks Scholarship Management software; Firewall replacement; Health Center System migration; and security and system management policy development. Upcoming projects include: expansion of Xtender document imaging system; Parking System upgrade; and email/collaboration solution evaluation.



SAM HOUSTON STATE UNIVERSITY

President's Briefing for the TSUS Board of Regents
November 2014

RECRUITMENT AND RETENTION

\$400,000 For Minority Health Research & Education – The Texas Higher Education Coordinating Board has awarded Sam Houston State University a \$400,000 minority health research and education grant, which will provide coordinated career guidance for students from Sanchez Charter School in Houston through Houston Community College-Coleman College to the completion of a Bachelor of Science degree in health care administration at SHSU. The Pathways to Allied Health Success program (PALS) targets Hispanic and African American students – groups that are underrepresented in the health care professions. Each year, ten students from Sanchez will be recruited for PALS to matriculate into one of several allied health degree programs offered by Coleman. Learning coaches and career coordinators will mentor and offer guidance to students so they will have the skills and competencies needed for academic success when they go to Coleman. In addition, ten Hispanic and African-American allied health students at Coleman will be advised and mentored through a career guidance and assessment program to complete their Bachelor of Science in Health Care Administration at SHSU.

THECB Nursing & Dietetics Grant – The Texas Higher Education Coordinating Board has awarded Sam Houston State University \$150,000 to assist with the recruitment and retention of students for two academic programs. The Collegiate Hispanic and African American Mentoring Program (CHAAMP) will target first-generation students in the two ethnic groups who are preparing for application to the Master of Science in Dietetics and the Bachelor of Science in Nursing. Students who participate in CHAAMP will be required to attend weekly study groups focusing on study skills and academic expectations; submit grade check forms periodically; be engaged in community projects both academically and within their community; attend workshops focusing on issues that minority students face, such as test anxiety and ESL barriers; and be involved with a professional speaker series, which will bring in minority faculty and experts from the healthcare professions field to speak with program participants.

INSTITUTIONAL DEVELOPMENT

Once again, the university surpassed the \$10 million mark in gifts received as an all-time record \$11.6 million was given by more than 11,000 donors during the 2013-14 fiscal year. This was the second consecutive year that the donor count topped 11,000 and the third straight year that more than 20,000 gifts were received. The Sam Houston University Foundation received more than \$403,000 compared to nearly \$251,000 in 2012-13. In preparation for a major gifts campaign, the university has retained the services of Dini Spheris (formerly Dini Partners) a Houston-based fund-raising consulting firm.

In July, the university received notification from the Council for Advancement and Support of Education (CASE) that SHSU was among those institutions being honored for “superior fundraising programs.” Sam Houston State was selected to receive an Overall Improvement



SAM HOUSTON STATE UNIVERSITY

Award based on an independent panel of judges' analysis of three years of data supplied to the Council for Aid to Education's annual Voluntary Support of Education Survey (VSE). Institutions do not enter a competition and judges review reports without the names of the universities and colleges submitting them.

The SHSU Alumni Association surpassed the 11,000 member mark for the second year and now has almost 2,500 Life Members. The program has officially recognized 18 regional clubs and constituent-based support groups. At the close of the fiscal year, the alumni office had held 325 meetings and events, which attracted the participation of more than 25,000 alumni and friends. The alumni program's endowments are almost at the \$3 million mark.

The Advancement Services department completed the fiscal year with more than 127,000 valid addresses on the alumni and development database, including nearly 116,000 alumni. They also have more than 120,000 good telephone numbers and nearly 68,000 valid email addresses. The department processed a record 6,300 data requests during the year.

Marketing & Communication (MarCom) completed more than 2,000 projects during 2013-14, which was an all-time record and a 78% increase over 2012-13. During that time, Facebook monthly users rose to 45,000 and Facebook likes surpassed 59,000. Twitter Followers passed the 12,000 mark.

CAPITAL IMPROVEMENTS

Phase I of the fourth floor of The Woodlands Center is 75% complete. The floor plan includes skills labs, simulation lab and faculty offices in support of the growing nursing program.

CAMPUS SPECIFIC ITEMS

James A. Baker, President's Speaker Series - James A. Baker, III, former United States secretary of state and the first of only four recipients of the Sam Houston Humanitarian Award, visited Sam Houston State University on October 16th as the featured guest for the President's Speaker Series. Baker served as the nation's 61st secretary of state from January 1989 through August 1992 under President George H. W. Bush; he served as the 67th secretary of the treasury from 1985 to 1988 under President Ronald Reagan; from 1981 to 1985, he served as White House chief of staff to President Reagan. A native Houstonian, Baker graduated from Princeton University in 1952. He received his law degree with honors from the University of Texas School of Law in 1957 and practiced with the Houston firm of Andrews and Kurth from 1957 to 1975. His memoir—*Work Hard, Study...and Keep Out of Politics! Adventures and Lessons from an Unexpected Public Life*—was published in October 2006. Baker is currently a senior partner in the law firm of Baker Botts.



SAM HOUSTON STATE UNIVERSITY

Animation Program Flourishes – After only eight years of existence, the computer animation program, along with its faculty and students, have been recognized across the country. The program was listed among Animation Career Review's 2014 “Top 100 Animation Programs in the United States,” debuting at 81st in the U.S. and 6th in the Southwest. In addition, computer animation professors Edward Ramsay-Morin and Willie Williams took three of their works to San Francisco this summer for its chapter of the American Society for Independent Film Animators’ Spring Show. All three of their entries were among the thirty-one short animated films selected. In addition to presenting their own work, the animation professors have encouraged their students in the program to submit their works for national competitions. Over the last year, three group projects were selected for the 2014 West Virginia Mountaineer Short Film Festival, and another project was selected for the Vision Festival in Brooklyn, New York.



SUL ROSS STATE UNIVERSITY
MEMBER THE TEXAS STATE UNIVERSITY SYSTEM™



President's Briefing for the Board of Regents November 2014

Retention and Recruitment

Our 12th class day report showed 1940 students enrolled in Alpine and 1047 enrolled at RGC for fall 2014. The fall 2014 recruiting and admissions cycle ended with a 2.7% increase over fall 2013 and an 8.3% increase over 2012 with the largest areas of growth coming from freshmen and graduate students. Overall, semester credit hours increased 5% from 2012. Retention in our freshmen class from 2013 to 2014 has also increased six percent. Spring 2015 continues to trend positively with numbers matching last year's applicants and admits for spring 2014 and showing an increase from fall 2012. Sul Ross-Rio Grande College fall enrollment increased approximately 9% over the previous fall term and exceeded 1000 for the first time in 3 years. The total credit hours increased and the per student credit hours increased as well.

We have been recruiting for the fall 2015 cycle with name buys from our traditional sources-CollegeBoard and ACT. This year, we added NRCCUA as one of our vendors and selected prospective students within a 250 mile radius of Alpine who were also interested in outdoor recreation. As our recruiters begin their classroom visits and TACRAO college day/night high school visits, we will continue to see our inquiries, applicants, and admitted FTIC numbers grow. At this time, applications for FTIC show a 16% increase over this same time last year. Both graduates and transfers remain the same, but it should be remembered that these groups are traditionally late applicants. As with the fall 2014 cycle, the applications for both groups should increase after the new year.

In addition to the newly implemented housing software, we recently went online with AcademicWorks, software designed to help with the scholarship application and award process. Additionally, the graduate application approval process is expected to go paperless through a workflow process designed by Perceptive Software. We expect to go live with this process at the end of November and feel that the workflow will begin to positively impact the late graduate applicants for spring with a quicker admission time that allows for plenty of time for graduate advising and registration.

University Advancement

The University received gifts from individuals and foundations totaling \$671,248.67 for this last quarter of the fiscal year 2014, and continues to focus on strengthening alumni and major donor giving, as well as increased support from corporate and private foundations. We are excited to have hired a new Vice President for External Affairs, Mr. James "Butch" Worley. Mr. Worley comes from 26 years of experience at the University of Texas at Austin as the Deputy Director for Athletics. He was previously the Director of Enforcement at the National Collegiate Athletics Association (NCAA) and also holds a law degree from Texas Tech Law School. The Office of External Affairs will oversee the university's athletics programs, development, and alumni affairs.

Rio Grande College

The new Castroville campus facility built by the Medina Valley ISD has been completed and the Grand Opening was held on October 30. It was well attended and enrollment for the spring semester is underway.

Rio Grande College has received another community scholarship. Maxey Industries, a retail and wholesale energy company in our region, has committed to funding a student scholarship for the Fall, 2015 academic year. This scholarship will also include financial literacy training to help students manage their resources and debt. We have several additional scholarships pending and expect completion of them in the next few months.

Capital Improvements

Master plan initiatives scheduled for 2014 have been identified and structured as the Campus Access Phase I project. Architectural firm ARTchitecture (Indefinite Delivery Indefinite Quantity architect), has been assigned to begin design services for the projects. The campus Access I project will better join the east end of campus to the main campus utilizing landscaping, pedestrian paths/walkways, vehicular traffic surfaces incorporating way finding and student gathering sites. Total Project is \$ 1.1M. A number of in-house projects are underway including landscape improvements at Jackson Field, the construction of new student outdoor basketball court north of Graves-Pierce and additional Geology storage at the vineyard location. Work continues at the President's residence with upgrades as directed. Other deferred maintenance projects scheduled for design include Swimming Pool repairs, Road and parking lot crack repairs and Kokernot Lodge Refurbishment.

Information Technology

The Ellucian Mobile Application Platform was implemented in June. This application allows faculty, staff and students to access administrative and academic data on their mobile devices. Both iOS and Android devices are supported in the initial rollout.

A number of additional Blackboard features were licensed and are being tested for a fall implementation. These features include the Blackboard Mobile Learn component, the Content application, which includes the ePortfolio application, along with a feature that allows for better communication between Blackboard users.

SRSU is implementing Office 365 during the fall semester. This offering allows students to download and use the Microsoft productivity suite: Word, Excel, etc.

The initial setup of hardware for our Business Continuity and Disaster Recovery projects was completed in July. Over the coming months, OIT will configure the servers and begin testing to ensure data is being saved to this alternate location. An additional server is being configured to allow desktop computer backups for the campuses.

We continue to evaluate ways to more effectively engage the campus on security issues for their desktop computers.

Institutional Development

Promoting Post-baccalaureate Opportunities for Hispanic Americans (PPOHA) – Expanding Graduate Horizons (SRSU - Alpine campus) was awarded from the Department of Education to SRSU to increase the attainment of graduate degrees by Hispanic and low-income students by expanding and enhancing graduate educational offerings in high-demand fields and by strengthening existing programs, updating learning spaces and improving graduate student support services. Total awarded for five years: **\$2,497,222.**

Promoting Post-baccalaureate Opportunities for Hispanic Americans (PPOHA) – Expanding Post-Baccalaureate Access Along the rural South Texas Border (SRSU – Rio Grande College) was awarded to SRSU Rio Grande College from the Department of Education to increase access via expanded instructional facilities and curriculum development, to improve graduate degree attainment through development of a Graduate Student Support Center and to increase access and attainment through scholarships. Total awarded for five years: **\$2,496,941**.

Title V: El Camino del Lobo al Éxito (Lobo Road to Success) was awarded to SRSU by the Department of Education to improve the academic attainment of Hispanic students and to expand and enhance the program quality overall, increase undergraduate retention rates and increase undergraduate six year graduation rates. Specific activities include developing a Comprehensive Educational Career Program and a Comprehensive Student Success Environment, including experiential learning components and ESL training. Total budget request for five years: **\$2,622,942**.

The Center for Big Bend Studies continues to seek funding from a variety of sources for research and archaeological investigation of Late Paleoindian deposits at the Genevieve Lykes Duncan (GLD) site on the 02 Ranch, for development of a Rock Art Database for the region, and for the Trans-Pecos Archaeological Program (TAP) in general. The CBBS is wrapping up a long-term archaeological survey project in Big Bend National Park as a 800+ page manuscript nears completion. The CBBS remains actively engaged in archaeological research on various private ranches, especially Pinto Canyon Ranch and the 02 Ranch. At Pinto Canyon Ranch they continue to document clustered and scattered boulders containing unusual petroglyphs (pecked, scratched, or abraded images). Three or four different themes are represented, including renderings of nineteenth century brands, apparent handiwork of Historic Indians. On the 02 Ranch they continue with a block excavation at the 11,000-year-old GLD site. Their research continues to place the site among the first in North America in regard to several technologies—the use of rock as thermal heating elements and ground stone for grinding plant materials. A recent collaboration with a UT-Austin anthropology professor who does plant phytolith and starch grain analyses promises to shed new light on what was being cooked in the thermal features at the site. A mostly intact 10,600-year-old oven is slated for excavation next. The CBBS continues down the path in construction of a searchable rock art database for the region, having made appreciable strides recently. A final round of editing is underway for the seventh publication in the TAP series, “Middle Archaic People of Eastern Trans-Pecos Texas: Their Life and Times.” Also underway is the publication provisionally entitled “In the Rimrock’s Shadow: A History of Pinto Canyon and the Southern Sierra Viejas in the Big Bend of Texas.” In addition, through the CBBS’s Cultural Resources Management Program, the CBBS completed archaeological services for a private firm investigating oil and gas well pad locations in the Permian Basin.

Recent CBBS grants and donations received:

\$2,277 received from individuals as donations

\$50,000 received from the Wayne and Joann Moore Foundation

\$150,000 grant awarded the CBBS from the Brown Foundation of Houston at their September 16 board meeting

The Rio Grande Research Center has been awarded a National Park Service Task Agreement through the Gulf Coast Gulf Coast Cooperative Ecosystem Studies Unit (GC-CESU): Ecohydrology studies along the Big Bend reach of the Rio Grande within Big Bend National Park and the Rio Grande Wild and Scenic. The project will involve data assessment of sand and gravel bars in Boquillas Canyon that have been identified by NPS for monitoring and restoration efforts. Another activity will focused on the Lower Canyons to collect physical resource

information (topographic surveys, discharge measurements, water quality) associated with biological surveys. Total budget request: **\$25,933**.

In August 2014, Center submitted a Research Experiences for Undergraduates (REU) proposal to the National Science Foundation. Project objectives are centered on promoting participation by undergraduate students in a 5 week long structured, mentored hydrologic field science experience during the summer that from which they will emerge competent and experienced in an array of field data collection and laboratory analysis methods. The proposed budget is approximately \$120,000 per year.

Through the **Adelante Tejas Project**, the Rock Prep Lab and the Analytical Lab have received several updates to equipment. The installation of an X-Ray Fluorescence Spectrometer (XRF) allows researchers to acquire additional quantitative data of whole rock compositions, and an X-Ray Diffractometer allows students and faculty to conduct refined quantitative analysis. This completes the Analytical Lab's list of instruments and analytical resources.

The Adelante Tejas project, authorized through the Department of Education Title III part (f), is oriented on strengthening instruction in the sciences and provides a budget through September 30, 2016 dedicated to creating the best possible instructional environment in the sciences at our small (<2,000 enrollment), predominantly undergraduate-serving institution. The Adelante Tejas project focuses on introducing students to industry-standard scientific instrumentation and research experiences in preparation to be successful in their future academic and professional careers. It is a cooperative project with San Antonio College which will yield (at least) nine articulation agreements in STEM (Science, Technology, Engineering and Math) disciplines between SAC and Sul Ross specifically, and the Alamo Colleges in general. The five Alamo colleges enroll over 62,000 students, therefore the project bears potential to beneficially impact Sul Ross enrollment through increased transfer as a result of the articulation activities.

Borderlands Research Institute within the College of Agricultural and Natural Resource Sciences, provides land managers with the most current scientific information on the management of natural resources of the Chihuahuan Desert Borderlands. BRI is co-hosting (or actively participating in) 3 conferences/workshops this fall at SRSU in Alpine:

- Texas Section of the Society for Range Management Annual Conference – Oct 7-10, 2014 (co-host)
- Texas Section for Ecological Restoration Annual Conference – October 18-19, 2014
- Texas Agriculture Land Trust Workshop – November 7, 2014 (co-host)

Recent Proposals/Grants

- A subaward agreement has been finalized with the Cesar Kleberg Wildlife Research Institute (CKWRI) at Texas A&M University – Kingsville for **\$61,636** to continue support for the Texas Native Seeds Project.
- Negotiating the continuation of a partnership subcontract for through the University of Arizona to provide GIS expertise and analyses in a jaguar habitat monitoring study.

The following grant proposals have also been submitted:

The Theater Program has submitted a proposal for an **National Endowment for the Arts** (NEA) Art Works grant for a two-year project to support the Pueblo Unido Playwriting Institute. This builds on the five-year tradition of the Pueblo Unido Festival that brings bilingual theater and life presentations to our community. This new endeavor will add a Playwriting Institute component which will be open to students and to public school teachers. An original commissioned play by renowned Latino Playwright, Octavio Solis, will be produced. Total

requested from NEA: **\$99,792**

SRSU is continuing to participate in the **Joint Admission Medical Program (JAMP)**, with a proposed budget of **\$12,166** for the next fiscal year.

Dr. Christopher Estep has submitted a cooperative grant proposal for **\$229,585** to the **USDA NIFA – Capacity Building Grants for Non Land Grant Colleges of Agriculture (NLGCA) Program**. This is a cooperative grant with University of Tennessee. Project title: Filling the Pipeline: Building Human Resource Capacity in the Food, Agricultural, and Natural Resource Sciences. This project addresses recruitment, retention and preparation of undergraduate students, especially from underrepresented populations, in the agricultural sciences.

Campus Specific Items

Dr. Kathy Stein, Associate Professor of English and Director of Academic Center of Excellence, is the secretary for the College Reading and Learning Association (CRLA), a national organization of developmental education and learning assistance professionals. She is also on the College Academic Support Programs (CASP) Board. The CASP Board works to present the CASP Conference for Texas developmental education and learning assistance professionals. In addition, she is the Membership Chair for the Texas chapter of the CRLA (TxCRLA).

Dr. Kristofer Jorgenson published an article in late 2013 entitled “The Rota Method for Solving Polynomial Equations: A Modern Application of Invariant Theory” in the *International Journal of Pure and Applied Mathematics*, Volume 89, No. 2 (2013), 153-172 ISSN 1314-3395, DOI: <http://dx.doi.org/10.12732/ijpam.v89i2.4> .

Dr. Jorgenson also presented this paper to the Texas Section of the Mathematical Association of America in Lubbock, April 2013.

Dr. Rafael Azuaje, Associate and Chair of Computer Science and Mathematics reviewed: Objective-C and iOS Programming: A Simplified Approach To Developing Apps for the Apple iPhone & iPad, 1st Edition; ISBN-10: 1285187059, ISBN-13: 9781285187051. Also, is currently working on a Computer Science articulation program with San Antonio College (SAC) to transfer SAC students to Sul Ross State University.

Dr. Angela Brown, Assistant Professor of Mathematics is currently involved in the reviewing process of the Math program which is being considered in the articulation program with SAC.

Donald Callen Freed, Ph.D. Professor of Voice, reviewed: *Vocal Technique: A Guide for Conductors, Teachers, and Singers* by Julia Davids and Stephen LaTour; and *The Owner's Manual to the Voice: A Guide for Singers and Other Professional Voice Users* by Gates, Forrest, and Obert. *Choral Journal* 55(3), Sept. 2014, pp. 88-94.

Carol Fairlie, Professor of Art, had a one-person show at the GOTS Gallery in Alpine, Texas from June to August 2014. Cabochon “Silk & Silver”, (hand dyed silk and wire-wrapped gemstones)

The Lobo Marching Band had its first two performances in over 22 years! They were well received, and the group continues to grow and get better!

The SRSU Wind Ensemble will perform its 3rd Annual Wind Ensemble Composition Contest Winners Concert on October 9. This year's winners were selected from a field of over 90

entries!

Christopher Dobbins, Lecturer in Music, was invited to present two sessions at the Louisiana Music Educators Association annual clinic in November. The sessions are titled “How to Play (and Win) the Transition Game” and “Time Crunch: Score Study for the MS and HS Band Director”. Mr. Dobbins was also invited to present the same two sessions at the Colorado Music Educator’s Association in January.

In addition, Mr. Dobbins was invited to conduct the College Honor Choir at the International Tuba-Euphonium Conference’s Southwest Regional Clinic in April.

Dr. Filemon Zamora, Assistant Professor of Spanish, traveled to Mexico City to present a paper at CILDE 2014, Conferencia Internacional de Literatura Detectivesca en Español), at Texas Tech University, Universidad Nacional Autonoma De Mexico.

Business Administration is currently preparing for their fall Graduate Program review. The Graduate faculty will evaluate and assess their program and also have an external reviewer prepare a report. The Department is currently conducting a tenure-track faculty search for an Accounting Professor to begin fall 2015.

Criminal Justice is currently preparing for their fall Graduate Program review. The Graduate faculty will evaluate and assess their program and also have an external reviewer prepare a report. The Department is currently conducting a tenure-track faculty search for a Cyber-Security Professor to begin fall 2015. The Department will begin offering courses in the new Master of Science degree in Homeland Security in January 2015.

The Education Department began the fall semester with four new faculty. The new Professors were needed to sustain growth and relieve the current faculty of overloads and student teaching internships. There are two new faculty in Counseling, one in Education, and one in Kinesiology. The Department is currently conducting a tenure-track faculty search for an Educational Leadership Professor to begin fall 2015. The Education Department has experienced the highest growth over the last few semesters with a University leading 610 total majors. The new Master of Science degree in Health and Human Performance has increased its graduate students from 1 last semester to 27 new students this fall.

Industrial Technology has increased its enrollment to 15 total majors from a year ago. The program has purchased a significant amount of new items from HEAF funding to replace unsafe and outdated equipment.

Vocational Nursing has been in several lengthy discussions to collaborate with Midland College to offer the Bachelor of Science degree in Nursing (BSN) and Registered Nurse (RN) license. If approved by the Board of Regents and President, courses could be offered as early as fall 2015. This will eventually phase out the LVN program to be replaced by the BSN degree. This could potentially increase enrollment campus wide.

Law Enforcement Academy is currently providing services to the community by providing “in service” training to law enforcement personnel. The next Law Enforcement Academy will take

place May 2015.



TEXAS STATE UNIVERSITY
President's Briefing
for the Board of Regents
November 2014

PLANNING

The 2012-2017 University Plan has been in place for two years. In practice, we update the plan every two years, so the President's Cabinet recently reviewed the current Plan as a first step in our update. The Cabinet's preliminary revisions addressed initiatives that have been achieved, are no longer relevant, or should be added, and included a draft of key performance indicators to more efficiently and consistently report progress to both internal and external constituents. Currently, academic and administrative units are providing feedback to the preliminary revisions and key performance indicators of the plan. We expect to finalize the updated plan by the end of November.

We continue our preparation for the Southern Association of Colleges and Schools Commission on Colleges Fifth-Year Interim Report. We are finalizing preliminary drafts of narratives with policy and procedures statements and other documentation supporting the narratives. Information Technology is providing support to convert documentation to an easily accessible, electronic format. The President's Cabinet and the Council of Deans are being updated periodically on the progress.

RETENTION AND RECRUITMENT

For the 17th consecutive year, we recorded a record enrollment. Our fall 2014 preliminary 9th class day headcount totaled 36,790 -- a 3.5 percent increase (+1,244 students) over last fall. Undergraduate enrollment increased 3.9 percent (+1,210 students). Doctoral enrollment rose 2.7 percent (+12 students). Master's student enrollment increased .3 percent (+ 11 students). Post baccalaureate enrollment also increased 1.9 percent (+11 students).

Diverse students comprised 46 percent of our total enrollment. Total Hispanic enrollment increased 8.9 percent (+948 students). Total African American enrollment increased 19.6 percent (+553 students). Diverse students made up 48 percent of all undergraduates. Total undergraduate Hispanic enrollment increased 9.4 percent (+912 students). Total undergraduate African American enrollment grew 21.6 percent (+546 students). Diverse graduate students made up 33 percent of all graduate students.

Hispanic graduate student enrollment increased 3.7 percent (+30 students). African American graduate enrollment increased by 1.2 percent (+3 students).

I am pleased to report that we enrolled a record 5,365 freshmen, a 3.6 percent increase (+186 students) over last fall's class. This figure includes 228 Pathway Program students, who are co-enrolled at ACC-Hays. This program is similar to Texas A&M's Blinn TEAM Program. Thirty-seven percent of this fall's freshmen were Hispanic and 13 percent were African American. Our transfer class totaled 3,850, which was an increase of 3.1 percent (+115) over last fall. Thirty-three percent of transfers were Hispanic, and ten percent were African American.

Finally, the retention rate of new freshmen who enrolled in fall 2013 was 76 percent, and the six-year graduation rate for freshmen entering in 2008 was 55.1 percent.

CAPITAL IMPROVEMENTS

Projects in the Planning and Programming Phase:

Perry Dean Rogers Partners Architects completed the Albert B. Alkek Library Learning Commons Feasibility Study in May 2012. The Program effort for the Albert B. Alkek Learning Commons should begin in the summer/fall of 2015. Harrison-Kornberg Architects completed the Albert B. Alkek Library Repository Feasibility Study in February 2013. The Baseball/Softball Team Building project was amended in the May 2014 CIP update to include options developed for Athletics as part of a Feasibility Study prepared by Facility Programming & Consulting in February 2014. These projects remain on hold pending funding.

ARUP Consultants is under contract to assess the viability of a private-public partnership for the construction and operation of the Cogeneration Plant Gas Turbines project. The existing infrastructure has been evaluated for capacity, distribution, and age. Current and forecasted power requirements, risks, and costs have been assessed and the results support the project both from an economic and technical perspective. The next steps include scheduling follow-on discussions with the City of San Marcos, CenterPoint Energy, and LCRA to finalize the utility support requirements and preparing the draft Request for Qualifications by January 2015 for review with the System office.

The Blanco Residence Hall was constructed in 1987 and has been continuously occupied for student housing. The original building's mechanical, electrical, and plumbing infrastructure has never been replaced or updated. In January 2014, we contracted with an engineering firm to perform a comprehensive assessment of the mechanical, electrical, and plumbing systems. The Renovation project is on the CIP and the current total project cost is about \$20,700,000. The scope includes modernization of the mechanical, plumbing, and electrical systems, Fire Protection/Fire Alarm System, and other interior/exterior renovations, repairs, and improvements. The project has been placed on hold pending funding.

A Tuition Revenue Bond request for the Engineering and Science Building in the amount of \$107,012,293 that would provide full funding for this project is pending. A Tuition Revenue Bond request for Health Professions #1 on the Round Rock Campus, with modified programming to reflect a total of 107,564 square feet (shelled space option in the Gross Anatomy Lab), in the amount of \$67,583,621 that would provide full funding for this project is pending.

The LBJ Student Center Renovation Study prepared by the firm, Page, is complete. The next steps include: determining the direction the university wishes to pursue regarding the renovation and expansion projects; internally formalizing an Architectural Program for a major renovation project and an expansion project; and preparing a Student Fee Referendum, if necessary, in support of the expansion. We will issue a Request for Qualifications (RFQ) for Architect/Engineer (AE) design services and Construction Management at Risk (CM@R) services for the Renovation project once a final scope of work and funding is identified. Further action on the Expansion project will depend upon further development of the project.

Retama Hall was constructed in 1956 and is in need of major building repairs and renovations as well as ADA modifications. The Renovation project is on the current CIP at a total project cost of \$9,026,200. A condition assessment and program for this project will begin this fall. The Strahan Expansion and Renovations project was amended as part of the CIP update in May 2014 following the feasibility study prepared by Moody Nolan in November 2013. A program will be developed in 2015 based on the Feasibility Study options.

Projects in the Design Phase:

The Albert B. Alkek Library Renovations project was added to the CIP in May 2014, including phased repairs and upgrades of mechanical, electrical, and plumbing systems, and other infrastructure components to be accomplished with HEAF funds. We submitted the Project Execution Plan to the System office in July 2014, and released AE and CM@R RFQs in July 2014, with selection of both teams expected in October 2014. The goal is to submit the Design Development (DD) package for approval in February 2015, begin construction by summer 2015, and complete renovations by fall 2016.

The Joann Cole Mitte Renovations project, added to the CIP in May 2014, includes the phased repairs, upgrades, and renovations of space at Joann Cole Mitte and Sabinal as developed in a Feasibility Study prepared by Facility Programming & Consulting in November 2013. Facility Programming and Consulting completed a program in September 2014 based on the Feasibility Study. The selection of the AE design team is anticipated in early October 2014. Request for proposals were issued to three CM@R teams with proposals due October 20, 2014. The goal is to submit the DD package for approval in February 2015, begin construction by summer 2015, and complete the renovations by summer 2016.

The DD documents for the Jones Dining Hall Replacement and Renovation project were approved by the Board of Regents in August 2014. Pfluger Architects prepared the DD documents and Vaughn Construction is providing pre-construction services. The Guaranteed Maximum Price (GMP) is targeted for System review in October 2014. Construction is expected to begin in December 2014, and renovations are to be completed by fall 2016.

The STAR One Expansion project program document for construction of a 16,000 square foot expansion was issued and updated in May 2014. The RFQ responses to the AE and the CM@R solicitations were delivered in July 2014. Philo Wilke was selected as the architect and Hill & Wilkinson was selected as the CM@R. Contracts for design and pre-construction services were finalized in September 2014. Schematic Design will be delivered in October 2014 with a tentative target of obtaining DD approval at the February 2015 Board meeting. Construction activities are anticipated to begin by summer 2015 and Substantial Completion is anticipated to be in summer 2016.

Projects in the Construction Phase:

DD documents for the Bobcat Trail Redevelopment/Enhancement project were approved in November 2013. Construction documents were prepared by TBG Partners. The GMP submitted by Flynn Construction was approved in April 2014. Construction activities started in June 2014 and are expected to be complete in three phases. Phase one encompassing the Bobcat Trail street area is anticipated to be complete in May 2015; Phase two encompassing the N. LBJ street area is anticipated to be complete in July 2015; and, Phase three encompassing the Edward Gary Street area is anticipated to be complete in December 2015. A campus-wide Town Hall Meeting was held on September 18, 2014.

The DD documents for the Bobcat Trail Utilities Upgrade project were approved in February 2014. Construction documents were prepared by TTG-Goetting Engineers. Flynn Construction prepared the GMP which was approved May 2014. Construction activities of the utility portion of the project started in June 2014, with Phase One expected to be completed in January 2015, and Phase Two expected to be completed in June 2015.

The Comal Renovation project was substantially complete in June 2014. The building was occupied in July 2014. The project is complete and a rededication event was held on October 1, 2014. Construction of the 578-bed Falls Sayers Residence Hall is complete and occupied. A dedication event was held on August 6, 2014. The Final Report is being prepared for submission to the System by November 2014.

The Moore Street Housing project is a 190,947 square foot, 598-bed facility, consisting of two residence halls and a connecting community building. DD documents were approved in April 2014. SpawGlass Construction prepared the GMP which was approved in June 2014. Demolition and site clearing began in June 2014, and the construction of the residence halls began in August 2014.

Phase Two of the Electrical Infrastructure Upgrades work is underway including replacement of transformers, switches, and other deteriorated components of the electrical distribution system, electrical service upgrades at specific building locations, and associated repairs and upgrades in electrical manholes on campus. Power outages will be scheduled during breaks to minimize the disruption of services on campus. The overall project completion date is anticipated to be June 2016.

The Performing Arts Center Recital Hall and Theatre close-out report will be submitted to the System office by November 2014. We have submitted LEED certification documentation to the U. S. Green Building Council with final review pending. Jaffe Holden and Hairel consultants are working to resolve acoustic issues in the Recital Hall.

The Roy F. Mitte Renovations project was added to the CIP in May 2014, and includes phased reconfiguration of space and renovations at Roy F. Mitte. A Feasibility/Space Use Study was completed by Facility Programming and Consulting in June 2014. We obtained delegated authority from the Chancellor for the 18 separate projects in June 2014. The renovations started in summer 2014, with some work completed by August 2014, and the completion of the rest of the renovations anticipated by summer 2015.

We finalized the Program document for the STAR One laboratory finish-out of the remaining 6,700 gsf shell space in May 2014. Since the total project cost is less than \$4 million, we obtained delegated authority from the Chancellor in July 2014 allowing Texas State to manage and administer this project. SpawGlass has completed the design package and is expected to commence construction of the finish-out spaces in October 2014, with occupancy in spring 2015.

INSTITUTIONAL DEVELOPMENT

During the past quarter, we have received funds that added one new couple to our Hero group, bringing the total number of Heroes to 36. Heroes are donors, foundations, and organizations who contributed \$1 million or more to Texas State. We have also added three gifts of \$100,000 or more from three donors, thus bringing our list of Pillar Society members (donors of \$100,000 or more) to 146. In a revamped planned giving program, more the \$1.2 million in expectancies was added for last year.

We continue to update our new donor database and lay the ground work for our Annual Giving program. Each of these will help us identify new major gift prospects.

Research and training grants over \$100,000 received since the last report were awards to the following principal investigators:

Recipient/Unit	Funding Source	Project Title	Purpose	Amount
Dr. Araceli Ortiz, Department of Curriculum and Instruction	NASA's Minority University Research and Education Project	NASA STEM Education	Provide educator professional development using NASA-related science, technology, engineering and math (STEM) content.	\$14,898,963 over five years
Mr. Roger R. Rountree, Texas Justice Court Training Center	Court of Criminal Appeals of Texas through the Justice of the Peace and Constables Association of Texas	Texas Justice Court Training Center (2015)	Work with DPS, ICT, and The TxFC to fill the gaps in the selection, training, standards, certification, and continuing education for intelligence analysts in the State of Texas.	\$1,654,574
Dr. Amy Russell, School of Social Work	U. S. Department of Health and Human Services	Behavioral Health Workforce Education and Training for Professionals and Paraprofessionals Program	Expand the mental health and substance abuse workforce serving children, adolescents, and transitional-age youth at risk for developing or who have developed a recognized behavioral health disorder.	\$1,440,000
Dr. Victoria Lynn Calder, Texas School Safety Center	Texas Department of State Health Services	TPCP-FDA Tobacco Prevention and Control Program FY15	Assist the FDA in regulating the marketing and distribution of tobacco products in Texas to protect public health and reduce tobacco use by minors through DSHS.	\$1,285,866
Dr. Victoria Lynn Calder, Texas School Safety Center	Texas Department of State Health Services	Tobacco Enforcement Program (SA/TEP) FY15	Develop framework and coordination of statewide efforts to enforce Texas laws regarding youth access to cigarettes or tobacco products.	\$1,000,000
Dr. Victoria Lynn Calder, Texas School Safety Center	Texas Department of State Health Services	Tobacco Youth Prevention (SA/TYP) FY15	Conduct interventions specifically aimed at influencing youth by providing tobacco prevention and control, best-practice knowledge, skills, and implementation strategies and teen leadership activities.	\$888,315

Mr. Paul Joseph Harper, McCoy College of Business Administration	Small Business Development Center (UTSA)	Small Business Development Center (FY 15-16)	Provide management and technical assistance to the small business community in the twelve-county area in Central Texas as required in the Cooperative Agreement with the U. S. Small Business Administration.	\$787,841
Dr. Daniel A. Brown, University College	The College Advising Corps and the Texas Higher Education Coordinating Board	Advise Texas	Increase the number of low-income, first-generation, and underrepresented students entering and completing higher education.	\$456,274 (\$306,274 from CAC and \$150,000 from THECB)
Dr. Victoria Lynn Calder, Texas School Safety Center	Texas Department of State Health Services	Tobacco Special Program (TOB) – Synar FY15	Conduct approximately 1,500 random, unannounced inspections of local retailers that are licensed in Texas to sell tobacco products (Synar Survey) in 19 randomly selected counties in Texas.	\$269,668
Dr. Phillip Ryan Saucier, Department of Agriculture	U. S. Department of Agriculture Natural Resources Conservation Service	Southwest Agriculture and Food Security Education: Preparing Future Leaders for a Safe and Secure U. S. Food Supply System	Recruit, retain, educate, and support 21 underserved Hispanic/Latino undergraduate and graduate students for careers in agriculture, the various USDA agencies, and the Department of Homeland Security.	\$250,000
Dr. Cynthia Plotts, Department of Counseling, Leadership, Adult Education and School Psychology	U. S. Department of Education	Project SUPERB: Scholars Using Psychology and Education to Reach Bilinguals	Increase the number of bilingual (Spanish-English) state licensed/certified school psychologists delivering quality services to children with disabilities, including Spanish-speaking English Language Learners.	\$222,189
Dr. Ravindranath Droopad, Ingram School of Engineering	Tapesolar Inc.	Thin Film Deposition	Combine the resources and talents of the University and Tapesolar, Inc. for further development and commercialization of Tapesolar's Intellectual Property.	\$158,375

Dr. Martin Burtscher, Department of Computer Science	National Science Foundation	Collaborative Research: Nixing Scale Bugs in HPC Applications	Attain high energy efficiencies in Extreme Scale High Performance Computing Systems by accelerating each piece of the application using multicore or GPU type together with the necessary libraries.	\$150,000
Mr. Roger R. Rountree, Texas Justice Court Training Center	Texas Department of Transportation Research and Technology	Texas Justice Court Traffic Safety Initiative (FY 2015)	Reduce DWI offenses by providing judicial education and support to Texas Justices of the peace and court personnel.	\$138,678
Dr. Richard Boehm, Department of Geography	Association of American Geographers	Rapid: Geospatial Resources, Materials and Tools for STEM Teacher Preparation	Provide resources, materials and tools to enhance the teaching methods and effectiveness of Texas State University professors and their students once they move on to their teaching assignments in math and science.	\$120,000
Mr. William D. Montague and Dr. John P. Blair, School of Criminal Justice	Walmart, Inc.	Active Shooter Awareness Campaign Phase I to IV	Utilize ALERRT expertise and models to develop an awareness program that will emphasize general practices and may assist Walmart associates worldwide if ever faced with an active shooter situation.	\$112,943
Dr. Semih Aslan and Dr. Bahram Asiabanpour, Ingram School of Engineering	U. S. Department of Agriculture Natural Resources Conservation Service	A Bridge Program to Engage, Sustain, and Empower Women and Minorities in STEM fields	Create a BRIDGE program in which university students and faculty can create an outreach program with industry help to recruit and create interest in STEM fields for students with limited access to technological equipment and technical expertise.	\$106,505
Dr. Ronald B. Walter, Xiphophorus Genetic Stock Center	University of Oregon	Development of Aquatic Model Resources for Therapeutic Screens	Develop a new way to perform whole organism high throughout compound screening to identify new drugs that may lessen the severity of debilitating human diseases.	\$102,822

INFORMATION TECHNOLOGY

Texas State University and the Division of Information Technology realize that technology moves and changes very quickly. These days, it is a given that employees possess the adequate computer and interpersonal skills to effectively perform their job duties. The challenge is that technology changes so quickly that it is sometimes difficult to keep up. We are a large university with a variety of professional development and technology skillset needs. Several campus organizations offer instructor-led professional development and technology-related courses to help our faculty and staff keep pace with the changing world. Needs are great and resources can be limited, and this is where e-learning surfaces as an excellent resource for faculty and staff.

After an extensive search to provide a 24/7, on or off campus, diverse e-learning tool, the Division of Information Technology is proud to offer Lynda.com to all faculty and staff. Lynda.com helps users with a variety of skillsets learn software, creative tools, and business skills to achieve personal and professional goals. Texas State faculty and staff receive unlimited access to a vast library of high quality, current, and engaging video tutorials taught by industry experts who are also working professionals.

Adult learners have a variety of needs which include hands-on experience learned quickly and just-in-time. Lynda.com offers exercise files for the learner to work alongside the instructor. Each course is made up of several short videos, which allow the learner to skip around or jump to the needed section, quickly learn the content, and then get back on task with their jobs. With Lynda.com, faculty and staff can learn, when they have the need, in a comfortable and easy-to-use environment, and at their own pace.

New courses are added weekly with a speed-to-market like no other e-learning provider, often releasing course content at the same time that the software itself hits the consumer market. Course topics including soft skills such as Communication Fundamentals and Management Tips, Presentation, Time Management, and Project Management techniques allow professionals to sharpen these skillsets. Teaching Tools provide guidance on such topics as Student-Centric Teaching techniques. Thousands of IT-related topics include how to use the latest releases of Microsoft Office as well as Adobe products like Photoshop. There are even courses related to photography, blogging, and safe and effective social networking.

Lynda.com can be accessed on Windows or Mac computers as well as smartphones and tablets, from on or off campus. Tutorials can also be downloaded to mobile devices for use when one does not have an Internet connection.

Lynda.com allows Texas State faculty and staff to keep up with the changing times, sharpen their technology and professional skills, and access necessary learning tools at their convenience. We are very pleased to provide Lynda.com to all Texas State faculty and staff, available today.

CAMPUS SPECIFIC ITEMS

Our MFA program in graphic design has been named among the nation's best in the August issue of *Print* magazine.

Our Division of Dance was named one of the top 25 dance programs in the nation by Dance-Colleges.com. Among schools that only offer undergraduate degrees in dance, Texas State was ranked fifth.

The Kathy Soliz Radiation Therapy Outreach Program, along with faculty members Dr. Ronnie Lozano and Ms. Dora Lopez, received the 2014 Volunteerism Award from “Be the Match,” operated by the National Marrow Donor Program (NMDP). Each year, “Be the Match” recognizes individuals, groups, and organizations for its outstanding efforts to raise awareness of NMDP’s mission.

Mr. Steven L. Davis, Curator of The Wittliff Collections and a Texas State alumnus, and his co-author Mr. Bill Minutaglio have been awarded the PEN Research Nonfiction Award for their book, *Dallas 1963*. The award will be presented by PEN Center USA, a California-based literary nonprofit during the 24th Annual Literary Awards Festival to be held November 11, 2014, in California. The winning works were judged by distinguished panels of writers, editors, critics, and journalists in a range of categories honoring the best writing in the western United States.

Astronomer and physics professor Dr. Donald Olson has applied his distinctive brand of celestial sleuthing to Claude Monet's masterpiece, *Impression, Soleil Levant (Impression, Sunrise)*, concluding it was painted from his hotel room in Le Havre, France, on November 13, 1872, at 7:35 a.m. local mean time. Dr. Olson's findings are published by the Musée Marmottan Monet of Paris, France, in *Monet's Impression Sunrise: The Biography of a Painting*, the catalog of the museum's major Monet exhibition running September 18, 2014, to January 18, 2015.

The Bobcat volleyball team has begun its conference season with an 8-1 record, 13-8 overall, and is currently ranked second in the Sun Belt Conference.

Our soccer team’s conference record is 4-1, with an overall record of 9-4-1, and is currently tied for second in the conference.

Football has begun its season with a 3-3 record after defeating Arkansas-Pine Bluff, then losing to Navy before a sold out crowd of 32,007 fans, losing on the road to Illinois, winning a thrilling triple overtime game at Tulsa, and winning its conference opener at home against Idaho. Senior Terrence Franks’ 284-yard, three touchdown night against Idaho resulted in his being named the national Running Back Performer of the Week by College Football Performance Awards (CFPA). For the same game, CFPA selected defensive end Mr. Michael Odiari as an honorable mention Defensive Lineman of the

Week. In its sixth game, the Bobcats had a disappointing loss to Louisiana-Lafayette in a Tuesday night game that was nationally televised on ESPN2.

The Bobcat Club, Texas State Athletics' fundraising arm, announced that for the second straight year its members have contributed over \$1 million to support student-athlete scholarships. Breaking all-time fundraising records, \$1,040,567 has been raised for student-athlete scholarships to date. This marks the sixth consecutive year that a new benchmark has been set in fundraising for the Bobcat Club.

LAMAR INSTITUTE OF TECHNOLOGY

President's Report

November, 2014

Enrollment

For fall 2014 (One 16-Week, one 12-Week, Two 8-Week, and one 4-Week terms), Lamar Institute of Technology enrolled 2,920 Credit students who generated 663,728 Contact Hours and 30,260 Semester Credit Hours (SCH). This represents a 0.9% decrease in headcount, a 10.0% increase in Contact Hours, and a 9.8% increase in Semester Credit Hours (SCH) over the previous Certified Fall 2013 semester (n=2,947 / n= 603,376 / n= 27,558), respectively.

In addition, LIT enrolled 770 non-credit students who generated 31,080 Contact Hours for Quarter 4, 2014 which represents a 24.6% decrease in headcount and a 10.6% decrease in Contact Hours over the previous Quarter 4, 2013 (n=1,021 / n= 34,766) respectively.

Grants

Lamar Institute of Technology is pursuing through the Texas Workforce Commission (TWC):

- A Skills Development Fund (SDF) grant with Lucite Inc. to provide customized skills training to employees at their Jefferson County facility.

SACS

Lamar Institute of Technology has successfully completed its SACS/COC On-site Committee visit after documenting compliance with the Southern Association of Colleges and Schools Commission on Colleges ninety-five (95) Core, Comprehensive, and Federal Requirements. The visit resulted in only three (3) recommendations that will be addressed in an institutional Response to the SACS/COC On-site Committee Report due in March 2015.

Academic Affairs and Workforce Development

The Office of Academic Affairs worked diligently during September and early October to complete preparations for the SACS/COC reaffirmation site visit October 7-9, 2014. The STEP Project, LIT's quality enhancement plan was the main focus of the visiting team's inquiries.

Departments continue to revise the curriculum to address the THECB 60 semester credit hour limit for all associate of applied science degrees. Every program on campus has reduced the semester credit hours in their program or submitted documentation to request an exemption based on compelling academic reasons. The estimated completion date for the revision of all programs is November 1, 2014.

Testing Center

During the third quarter of 2014, The Testing Center provided 2,526 exams.

Office of Distance Education (Online Learning)

The Office of Distance Education focus was on supporting instructors in the wrap-up of the summer semesters, providing student Blackboard workshops, serving on the local SACS QEP committee, and delivering just-in-time training and support for students and instructors in multiple fall semesters.

Personnel in the Office of Distance Education played a major role during the overall new student orientations by providing training on how to access the major systems on campus and facilitating labs to ensure incoming students are able to access LIT email, self-service banner, degree works, and Blackboard.

In addition, the Office of Distance Education coordinated and provided support for the design/development of additional fully online courses. The new fully online courses offered in the fall semesters include: Jumpstart Reading/Writing (INRW 0100), Jumpstart Intermediate Algebra (TMTH 0114), Jumpstart Developmental Mathematics (TMTH 0132), Globalization of Security Threat Groups (CJSA 2371), and Homeland Security Emergency Communications Management (HMSY 1338).

General Education and Developmental Studies Department

The Associate of Arts Degree program started August 2014 with approximately 175 majors. Students who chose the major fall under one of a few categories: students who want to get their GPA higher and attend Lamar University, students who want to obtain their Core through LIT and transfer, and students who want to graduate with an Associate of Arts Degree and transfer.

Michelle Davis, Department Chair, has worked on the SACS Leadership Team in the areas of General Education/Institutional Assessment and Library Services. The Core Assessment plan has been written with assistance from the Dean of Instruction and Coordinator of Institutional Effectiveness and Grants. Additional training regarding Assessment and Data tracking is planned for November for all faculty teaching in the Core.

General Education and Developmental Studies faculty are rotating responsibilities for recruiting and advising. A system for assigning advisors will be developed for use during advising for the spring term.

Several faculty have started the semester by attending Professional Development Seminars. These include “Innovations in Teaching” presented by Pearson, TeamUp/INRW conference and the CASP conference focusing on developmental education.

LIT's Learning Lab in the Technology Center building now has a new security system to help with the integrity of students testing in the lab. The sign-in system is designed to track the purpose of the student's visit to the lab. All staff and student workers have been trained in the use of the security, lockers and sign-in systems.

Cynthia King, Administrative Assistant, has been promoted to Executive Assistant for the Dean of Instruction.

Technology Department

HVAC

Aaron Bell, Silver Medalist in HVAC at the National SkillsUSA competitions this summer, received a signed certificate from Governor Perry last week.

Welding

The annual Winnie Rice Festival Welding Competition was held at LIT again this year. The event this year brought in 174 contestants from school districts throughout Southeast Texas. This year's competition was supported by the following vendors: Costal Welding, Lincoln Electric, Miller Electric, DeWalt Tools, 3M Safety and Welding Division, and Nasco. All prizes for the students were donated by these companies. They also provided all of the food and drinks for everyone.

LIT Welding Alumni (2009) student, Evan Wedgeworth , spoke with the students explaining job opportunities in the welding industry. He spoke about how job opportunities are out there, if you just look and work for them. Evan is taking off the rest of the year because he has already made over \$167,000 this year.

The Welding program has been to Buna High School, Burkeville High School and Lumberton High School to recruit students, and has plans to continue visiting area high schools.

Process Operating

New Simtronics Software that was purchased through a grant from the Texas Comptroller's office has been installed. The software is now being used in five PTAC Classes. The software came with electronic Lab Book material that allows students to purchase a printed copy of the books for under \$20. The students are responding positively to the change and believe it provides them a better environment in which to learn.

Computer Aided Drafting

All of the faculty in the Computer Aided program tested and received their AutoCAD Certified User certificate. Two faculty members received their Autodesk Inventor Certification as well.

Workforce Development

Leisure Education program offerings are being reviewed and updated for an implementation date of spring 2015. Class offerings will include: Introduction to Event Planning, Scrapbooking, Introduction to Windows 8, Portrait Drawing, Introduction to Digital Photography, Jewelry Beading, Crochet and Knitting, Personal Safety and Defense, Mobile Handheld Devices and Healthy Lifestyle Habits.

LIT faculty and staff professional development topics to be implemented in January 2015 include Customer Service 101, E-mail and Phone Etiquette, Promoting Educators' Competence to Better Serve Culturally Diverse Students, MS Outlook: Managing Your Inbox Using Automated Folders.

LIT Corporate College is conducting Safety Lock Out/ Tag Out training for over 100 of ExxonMobil employees. LIT is offering Cat 5 Resources Customer Service and Excel computer training. We are also working with Setex Construction to offer 10 Hour OSHA Safety Training. LIT worked with Daniels Construction in offering Forklift Training, CPR AED Training, 10 Hour OSHA Safety, and Basic HazCom Courses.

LIT was awarded \$20,000 in Skills for Small Business Grant funds for the 2014-2015 fiscal year and \$272,333 in Carl D. Perkins Grant funds for the 2014-2015 fiscal year.

Student Services

LIT has awarded \$3.8 million in aid during the 2014-20145 year.

LIT has implemented a new training program though Campus Clarity this fall for all new students and all new employees.

Student Activities had a busy start this fall term. Student government hosted Week of Welcome events the first week of classes August 25 - 28, 2014.

Student Activities hosted a scavenger hunt allowing the students an opportunity to win tickets to a Lamar football game September 15 – 19, 2014.

LIT students participated in different Spirit week events September 22 – 26, 2014. These events included students participating in Silly Hat day, Program Pride day, Crazy Tie day, Go Green day, and Proud to be LIT day.

Student Activities sponsored multiple events on campus including a Freshman Social, September 3, 2014; Constitution Day, September 16, 2014; Resume-Support Training with Workforce Development, September 17, 2014; a student vs. employee Bowling Challenge October 18, 2014; and Project Interview, October 29, 2014.

Special Populations

The special population's office recently received a loan of two video phones that allow hearing impaired students the opportunity to communicate with anyone. The Department of Assistive Rehabilitation Services (DARS) is hosting a career fair at LIT on October 17, 2014.

Recruitment

LIT hosted its Counselor Workshop seminar for High School Counselor's to learn about LIT on October 24, 2014.

Technology Services

TAC 202 Compliance

Considerable progress has been made toward TAC 202 compliance in the area of policy. Final changes have been approved by President's Council. Changes have been submitted for the Policies and Procedures Manual and posting to the website. Continued work is to be dedicated to the procedures component of the compliance along with documentable evidence. LIT will continue to work diligently to insure that full sustained compliance will be achieved by August of 2015.

SciQuest E-Procurement Project

LIT has been working with TxState to coordinate the implementation of the TSUS SciQuest purchasing portal. Details to existing purchasing procedures have been identified to insure proper tracking of vendors and HUB credits are realized. LIT has contacted Ellucian Professional Services to assist in implementing internal procedures for processing the purchases through third party payments. Once the LIT Banner system is configured to process purchase through payment properly, the system will be implemented into production. It is anticipated that the system will be live by the end of October.

Network Upgrade

Initial meetings have taken place and the wireless equipment has been received. Project managers have met and goals and objectives have been communicated. It is anticipated that the equipment will be delivered and installed toward the end of the fall semester.

Foundation

The Smith-Hutson Scholarship program is a new scholarship program underwritten by GHS Foundation in Houston. The program is providing seventeen full scholarships for the Fall 2014 semester. The donor hosted a banquet for their scholarship recipients September 8.

The LIT Salute to the Real American Heroes event was held September 11, 2014 with a special presentation by District Chief John Seamans of the Houston Fire Department.

Four hundred and five (405) donors attended the event benefiting students in the Public Service and Safety department. The event honored the Sabine Neches Chiefs' Association for their 65 years of service to the community.

The Foundation board of directors held a new board member orientation and campus tour on September 15, 2014.



**LAMAR STATE COLLEGE-ORANGE
PRESIDENT'S BRIEFING
TSUS BOARD OF REGENTS
November 6-7, 2014**

CAPITAL PROJECTS

Our Legislation Appropriation Request includes an exceptional item for debt service on \$12.5 million of tuition revenue bonds that would fund the construction of a multi-purpose educational building. The proposed site for this building is the city block bordered by Green and Main avenues on the north and south and First and Second streets on the east and west. The campus owns one-half of that block. The Lamar State College – Orange Foundation is pursuing the acquisition of the two remaining properties.

ENROLLMENT

Enrollment for the Fall semester was down. Headcount enrollment decreased 6.9 percent while contact hour production declined by 5.3 percent. This drop in enrollment is similar to what other two-year institutions in Texas are experiencing. Of the 47 community colleges reporting preliminary headcount figures, 32 have experienced a drop. An improving state economy appears to be the biggest factor in these decreases. We are addressing this issue by increasing enrollment in our nursing program for the Spring semester and by continuing to explore new program options.

PLANNING/BUDGETING

Despite the decline in enrollment, our financial situation is sound, and we should have no trouble sustaining the budget you approved in August. The planning/budgeting cycle for the next fiscal year will begin in November and will include an emphasis on new program development.

INSTITUTIONAL DEVELOPMENT

As indicated above, the Lamar State College – Orange Foundation is pursuing the acquisition of additional properties for the campus. The Foundation is also planning its fundraising campaign for Spring 2015.

CAMPUS SPECIFIC ITEMS

- Lamar State College – Orange hosted a visit by an on-site evaluation committee from the SACS Commission on Colleges during the week of October 13. The report from that visit was very positive. The committee determined that the college was in compliance with all of the core requirements and comprehensive standards established by the Commission. The committee made two recommendations regarding our Quality Enhancement Program (QEP). Our QEP concept was to improve reading skills by integrating reading strategies into core courses and implementing an aggressive tutoring program. The committee's recommendations were to scale back the size of our program and to adjust our assessment plan to match a smaller cohort of students. We will make those changes and submit our revised QEP to the Commission in the spring. The formal vote on our reaffirmation will take place in June 2015.
- The campus is proceeding with the implementation of the Title IX sexual misconduct policy approved at the last Board meeting. All first-time and new transfer students have completed the required training. We have created a webpage that includes information about reporting incidents and resources that could be helpful to students and employees. The employee training module is available and employees will be required to complete the module by the end of November. Several staff members have completed training related to Title IX enforcement procedures.
- In September, the campus hosted a community economic development seminar presented by Entergy. The seminar introduced business leaders to the tools and services available through Entergy and local economic development agencies.
- The Texas Workforce Commission (TWC) awarded a \$307,374 skills development fund grant to Lamar State College – Orange and Firestone Polymers. The funds will be used to train 167 new and incumbent workers at the Firestone facility in Orange. TWC Chairman Andres Alcantar came to Orange to make the presentation and to recognize the successful partnership between business and higher education.



**LAMAR STATE COLLEGE-PORT ARTHUR
President's Briefing
November 2014**

PLANNING

The Lamar State College-Port Arthur welcomed a new president, Dr. Betty Reynard on September 1, 2014. The president has met with personnel in each LSCPA office, faculty, students, and numerous community leaders. An Executive Committee was formed to assist in the development of a campus plan and explore campus issues. The committee continues to work with the president to address concerns as the campus plans for the 2015 Academic Year.

Lamar State College-Port Arthur continues to work on a comprehensive Business Continuity Plan. Administrative and instructional departments are developing or improving their business continuity plans. Plans that prove to be effective require knowledge of essential functions, workflows, and required resources. The committee and planning work will continue for the foreseeable future.

The Lamar State College-Port Arthur Paralegal Program is 1 of 6 associate degree programs in Texas that are approved by the American Bar Association (ABA). Approval is granted for 7 years. The program is due for re-approval in 2015. The program submitted a self-study in May 2013 that involved faculty, staff, former students, and the legal community. The ABA dispatched a committee to the campus September 24-25, 2014, for an on-site review. Following the visit the College was informed that the committee will recommend re-approval. Three additional level of approval are required, with a final decision scheduled for February 2015.

On October 8 the Associate of Applied Science degree in Process Technology received program recognition from the Texas Skills Standards Board, recognizing it as being integrated with the industry-defined skill standards for the occupation of Chemical Refining Process Technician. The skill standards enhanced program will benefit both employers and graduates by ensuring that graduates will attain the work competencies – skills and knowledge – that employers have agreed are required for success as a process technician in many industries. Employers in turn will gain a more qualified workforce. The North American Process Technology Alliance, an industry-driven coalition of employers and educators of process technicians, oversaw the skill standards development and validation process. Recognition came after a year of planning and curriculum revision.

The deans and department chairs are reducing the length of the associate degree plans to conform to the SB 497 requirement limiting the length of associate degrees to 60 semester credit hours unless the institution determines that a compelling academic reason exists for requiring additional semester hours. All degree plans must be reduced to 60 semester credit hours or receive formal approval from the Texas Higher Education Coordinating Board for additional semester hours prior to the fall 2015 semester. All of the academic degrees have been reduced and were submitted to the Board of Regents for approval in May. The technical programs have taken longer because of advisory committee involvement and the need to meet the requirements of external licensing and accreditation agencies and organizations, although reductions in semester hours for 4 technical education degree plans were approved by the Board in May. The remaining technical degrees are included in the August agenda. The Coordinating Board has a provision for approving degree curricula that are shorter than 60 semester hours but the Southern Association of College and Schools Commission on Colleges has a 60-hour minimum, so there are few opportunities for flexibility.

Work is progressing on moving the Substance Abuse Counseling associate degree program to a totally-on-line/distance format (except at the LeBlanc Unit). The first on-line courses will be offered in 2015.

Lamar State College-Port Arthur continues its five-year Quality Enhancement Plan (QEP) *Seahawks SOAR: Students Obtaining Achievement in Reading*. Activities associated with SOAR include student and faculty reading clubs, campus-wide events, and lectures by visiting authors and researchers. The Office of Institutional Effectiveness and Assessment has implemented a strategy to measure improvement in reading across the student body. The QEP Student Book Club selection this semester is *The Liar's Club* by local author and Syracuse University professor Mary Karr. Five student book clubs meet on campus and another on Facebook. On November 10 students will participate in a podcast with Ms. Karr and then attend a follow-up lecture by psychologist Dr. Frankie Clark about overcoming the effects of dysfunctional families. The Faculty Book Club is also reading *The Liar's Club* and discussing it from the viewpoint of how faculty can assist students from dysfunctional or abusive families to be successful in college.

RECRUITMENT AND RETENTION

In October, the Admission and Financial Aid staff participated in College Day programs at Silsbee, Lumberton, and Memorial High Schools. The recruitment team, comprised of representatives from the Advising Office and Financial Aid Office, participated in College Night programs at the Beaumont Independent School District and at Lamar State College-Orange for the Orange County High Schools (Bridge City, West-Orange Stark, Little Cypress-Mauriceville, Orangefield and Vidor). LSCPA hosted the Mid/South County College Night for the high schools in Mid-South County (Nederland, Port Neches-Groves, and Sabine Pass). We also attended the College Day programs at Buna High School and West Brook High School. We hosted the annual Lamar-Port Arthur Counselors' and Principals' Luncheon to inform participants and local state agency leaders of new and updated State, Federal and LSCPA programs that impact future graduating seniors and new college students. The Recruitment and Retention staff also presented the "MYLamarpa" student on-line information to Learning Frameworks classes on campus. This information will assist retention and recruitment to help students to become more aware of the many tools and points of information that are available to them via the web.

Representatives from our Financial Aid office continued with their programs to educate and assist students with their future planning for the use and availability of financial aid. In December, Financial Aid will be conducting their annual workshop for area high school counselors as contracted with the Region V Service Center. This training provides the latest information regarding Federal and State financial aid programs to regional high school counselors. Training is offered to those who just need an update of the latest changes in the programs and provides the new professional proper training of the overall and complete Financial Aid Program offerings available to graduating high school students.

The Financial Aid Staff attended state and federal conferences in October and November to keep updated on the various state and federal programs.

The Spring 2015 Class Schedules have been completed and distributed to the students. Advising for the Spring 2015 Semester began October 23. Registration for the Spring 2015 Semester started November 3.

INFORMATION TECHNOLOGY SERVICES

Microsoft Office 365 ProPlus is available at no cost to students who are currently enrolled in one or more credit courses at Lamar State College-Port Arthur. Office 365 ProPlus is a full version of Microsoft Office and includes Word, PowerPoint, Excel, OneNote, Outlook, and more. The products are available because of the College's relationship with Microsoft and its use of Microsoft e-mail.

Each currently enrolled student can install Office 365 ProPlus on as many as five home or personally-owned PCs/Macs and mobile devices. Students must connect to the Internet once every 30 days to verify they are still eligible for the program.

The primary areas of emphasis in this quarter were the implementation of a new information security management framework continues, upgrade of all campus servers' infrastructure to Windows 2012 Operating System, and the evaluation and re-engineer DR/BC Infrastructure based on the new Windows 2012 server platform.

Systems, Networking, and Telecommunications

An on-campus secondary disaster site has been established in the Student Success Center. This site will serve as the first-choice failover location in the event that the primary datacenter in the Madison Monroe Building is rendered unusable. This will allow for much faster recovery and business continuity as opposed to failing over to our second location (Texas State University). The site is operational and has been successfully tested.

Aging networking equipment (building switches) are being replaced. About half of the switches will be upgraded this fiscal year and we anticipate replacing the remainder in FY 2016.

The Finance Office and IT Services are evaluating the feasibility of implementing electronic-based shopping stores using the TouchNet Market Place product to serve various college constituents. Shopping carts will be developed to enable online credit card payments for continuing education courses, donations, and events such as theater and athletic events. We anticipate some of the stores will be available early October 2014.

IT Service started the process of updating and redesigning the College's website. A software package will be used to allow all stakeholder to update their own websites and minimize the reliance on IT Services. The project is expected to be completed by summer 2015.

IT Services is supporting administrative departments as they migrate to and implement the latest version of the document imaging systems. The new system will allow for integration with the College's Banner ERP system. This project is anticipated to be completed in spring 2015.

IT Services continues to implement the newly developed Information Security Framework standards. The department will implement strategies and solutions based on these standards. The standards will be reviewed and re-approved annually. Few items remain to achieve 100% compliance and are planned to be completed in the next 2 quarters. Internal Audit plans to visit and evaluate progress made and advise as necessary. The Texas Department of Information Resources has introduced new requirements to Texas Administrative Code 202 (IT Specific) to which all agencies must adhere. IT Services will be examining and incorporating these requirements into current policies, procedures, and security programs in the next quarter.

The Standard Operating Procedures (SOP) manual is being revised. The SOP contains all pertinent departmental guidelines and procedures necessary for year-round operations. The SOP will be updated regularly as necessary.

IT Services continues to upgrade and re-evaluate data storage and server infrastructure in conjunction with other TSUS institutions. TSUS institutions participated in a technical workshop related to data storage to discuss the viability and feasibility of shared services that would allow for enhanced data backup and retrieval strategies. The technical team reported to the CIO Council in July 2014. The project will be discussed again in October 2014.

Upgrading to the Windows 2012 server platform is underway. The new platform provides significant improvements in LSC-PA's disaster recovery and business continuity infrastructure. The improvements will allow for significant simplification of the process and recovery time from days to hours. Upgrading to the new 2012 platform will continue throughout the year. Initial testing was performed and the results were outstanding in regards to simplification of the process from failing over to the disaster recover site and restoring of all changes back to primary site. Two more full tests are planned in July and August that will involve all data owners.

Between July 1, 2014 and September 30, 2014, the Systems, Networking and Telecom area received approximately 1,679 work orders and completed 1,633 totaling 358 employee hours. Student computer password/account support assistance accounted for 1,303 of the requests.

Information Services

Fifty-three Banner base and Texas Connection Consortium upgrades and patches were installed in Production across all modules. The work performed consisted of solutions and enhancements for the Banner administrative system.

Institutional Research worked closely with Financial Aid on the fall reporting for the state FADS and federal FISAP reports.

A major Banner enhancement, The (D)ata(B)ase(E)xtension(U)tility, was installed in Production. This enhancement adds six new columns to all Banner tables in anticipation of the latest Banner 9 release.

Between July 1, 2014 and September 30, 2014, Information Services completed 88 work orders for a total of 308 employee hours with another 47 work orders in progress.

Distance Learning

Computers, ELMOs, and large screen monitors were installed in two classrooms at the beginning of the semester. The two classrooms are the latest additions in a continuing project to bring technology into all classrooms on campus. The large screen monitors are being evaluated as alternatives to projectors. The evaluation criteria include overall cost, ease of use, and suitability to the application. The goal is to have equipment installed in all classroom before the end of the academic year.

The Distance Learning Coordinator helped produce the seminars for the Faculty Development program in August. This year's program was centered on building technology skills from basic to advanced levels. The topics included use of LSC-PA's online applications such as the My.LamarPA.edu portal and the Blackboard system as well as classroom technology such as ELMOs and projectors. The sessions were well received by the faculty with many positive comments.

Support continues to be available to the distance learning faculty on an individual basis to answer questions, build content, and evaluate and enhance courses.

STUDENT ACTIVITIES – August-November, 2014

SGA and Student Activities hosted events during Welcome Week held August 25-29. Student Government and Student Activities opened the week with Gator Country presenting a lecture and live exotic animals. Digital caricatures entertained students on Tuesday. Both days included serving refreshments to the students. On Wednesday, a spirit rally introducing the LSC-PA dance team; basketball, softball and volleyball team members along with their respective coaches was held. Cake, punch and popcorn was served. Student Activities brought in Texas Music Masters DJ on Thursday playing music for all to enjoy. An ice cream social was also part of the day's activities. Friday concluded the week of activities outdoor games and a bungee run. Daily drawings were held with a grand prize drawing of a laptop computer concluding the week long festivities.

The Student Government Association held their first official meeting September 5 followed by a meet and greet event for ambassadors after the meeting. The ever popular game show Blizzard of Bucks arrived on campus September 2 much to the delight of some very enthusiastic students. The LSC-PA basketball team joined SGA members riding the college float in the Groves Pecan Festival parade on Saturday, September 20. Hispanic Heritage Days was celebrated on Wednesday, September 24 with dancers from the Mexican Heritage Society performing. The students thoroughly enjoyed this event. SGA and Student Activities hosted a luncheon for campus organization sponsors September 26 at the Student Center on campus. Everyone in attendance welcomed sponsors for three new prospective organizations. SGA officers were introduced followed by a program from Claire Thomason, Director of Student Activities.

SGA and Student Activities hosted Organization Days in the month of September that highlight and helped our campus organizations network and recruit new members for the new year. A blood drive was conducted by Lifeshare Blood Centers September 18 and 19.

Lamar State College-Port Arthur Student Government and students from student campus organizations participated in a community homecoming parade on October 3. The Distinguished Lecture Series program presented Pulitzer-Prize winning journalist Ron Suskind on October 8 in the Parker Center. The event is open to the public. Student Activities started promoting the Seahawk Scholar program which

provides two scholarships to students interested in running for this honor. The successful candidates are announced at the homecoming game which is scheduled for November 22 this year.

A leadership conference hosted by SGA and Student Activities for organization leaders on campus was held on October 11 in the Student Center. SGA and Student Activities presented Halloween events on campus including a costume contest and Halloween party for local elementary school students and area day care children. Student Government and Student Activities hosted a Fall Festival event October 27-31. Indoor and outdoor events included balloons with a twist, henna tattoos and outdoor games. SGA hosted a haunted house and costume contest for students. A children's Halloween party was hosted by SGA and Student Activities on October 31 in the Student Center. Representatives from Student Government attended the annual NACA (National Association of Campus Activities) conference in Arlington, TX October 23-26 along with many other schools from Texas, Louisiana, Arkansas and Oklahoma.

The month of November began with a birthday bash celebration for the campus mascot, Arthur Seahawk, who has been representing LSC-PA for four years. A photo booth to take pictures with Arthur was on hand for students to commemorate the event. This event also kicked off the campus food drive. Donated items are distributed to local food banks. A pizza party is given to the campus organization that collects the most food items. Pre-homecoming week will feature a novelty event, "It's a Wrap" giving students the opportunity to decorate their cell phones. Homecoming week included voting for the scholar program; a pep rally was held and ended with the tailgate party and Homecoming game on November 22 where the two recipients of the scholarships were announced. A blood drive is scheduled for November 19 & 20. The month of November will conclude with SGA and ambassadors delivering food items collected to four local charities in the community.

Board of Regents Texas State University System

LITIGATION REPORT November 2014

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|---|--|---|
| <p>1. Bach v. TXST
(August 2013)
3d Ct of Appeals</p> <p>Bach v. TXST
(January 2014)
US Dist Ct, Austin</p> | <p>Whistleblower – Former Freeman Ranch manager claims he was fired for reporting illegalities by University employees.</p> <p>Wage Claim – Although an exempt employee, Plaintiff claims overtime pay is owed him under Fair Labor Standards Act.</p> | <p>Plaintiff appealed DISMISSAL but missed the deadline to file his brief; the court likely will dismiss the case.</p> <p>Plaintiff appealed DISMISSAL but missed the deadline to pay the filing fee; court likely will dismiss the case.</p> |
| <p>2. Brown v. TXST
(June 2013)
US Dist Ct, Austin</p> | <p>Civil Rights – Removed from the men’s basketball team for non-performance, student claims race discrimination.</p> | <p>The court DISMISSED the appeal on October 9, 2014 for want of prosecution.</p> |
| <p>3. Campbell v. LIT
(August 2014)
US Dist Ct, Beaumont</p> | <p>Civil Rights – Former student alleges disability discrimination and failure to accommodate his learning disabilities.</p> | <p>LIT filed its answer on September 12, 2014. The discovery phase of the case is about to begin.</p> |
| <p>4. Champion v. TXST
(November 2013)
Hays County</p> | <p>Personal Injury – Former student falls while climbing rock wall in Student Recreation Center; claims negligence.</p> | <p>The University filed its response on November 25, 2013. This case is in the discovery phase.</p> |
| <p>5. Felton v. TXST
(August 2014)
Hays County – JP Ct</p> | <p>Civil Rights – Representing herself, former student files a lawsuit alleging discrimination.</p> | <p>This case was DISMISSED on October 16, 2014.</p> |
| <p>6. Fox v. TXST
(June 2013)
Hays County</p> | <p>Wrongful Death -- Mother of intoxicated student, killed in 2011 fall from Aquarena Springs tower, claims negligence.</p> | <p>The University has filed a motion to dismiss this case. A hearing on the motion has not been set.</p> |
| <p>7. Jenkins v. LU
(October 2014)
Jefferson County</p> | <p>Civil Rights – Professor claims denial of promotion & tenure because he objected to use of the GRE in graduate admissions.</p> | <p>The University will file its answer on or before October 27, 2014.</p> |
| <p>8. Lopez v. TXST
(May, 2010)
Hays County</p> | <p>Civil Rights – Ex-employee claims her termination was race based and retaliatory.</p> | <p>Hearing on the University’s Motion to Dismiss is scheduled for November 12, 2014.</p> |
| <p>9. Ozmun v. LU
(April, 2012)
Jefferson County</p> | <p>Civil Rights – Faculty member alleges religious discrimination and other rights violations.</p> | <p>This case settled and the matter was NON-SUITED WITH PREJUDICE in October 2014.</p> |
| <p>10. Randle v. TXST
(September 2014)
Hays County</p> | <p>Civil Rights – Former employee alleges her termination was discriminatory based on age and retaliation.</p> | <p>The University filed its answer in this matter on October 10, 2014.</p> |
| <p>11. Steinbach v TXST
(February, 2010)
Hays County</p> | <p>Personal Injury – Student slips and falls in residence hall laundry area stairs; claims negligence.</p> | <p>Its motion to dismiss having been denied on May 1, 2014, the University filed an interlocutory appeal.</p> |

LITIGATION REPORT
November 2014 (cont.)

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| <p>12. <i>Sterling v. LIT</i>
(February, 2013)
US Dist Ct, Beaumont</p> | <p>Civil Rights – Former faculty member alleges racial discrimination, unequal pay and retaliation.</p> | <p>This case was DISMISSED in August of 2014. No appeal was filed.</p> |
| <p>13. <i>Ward v. LU/TSUS</i>
(May 2013)
Jefferson County</p> | <p>Whistleblower – Expecting to be fired, employee filed suit prematurely (she remained employed until she resigned).</p> | <p>On November 6, 2013, this case was DISMISSED. Plaintiff appealed, resigned, and left Texas.</p> |

Lawsuit filed on behalf of Sam Houston State University:

SHSU v. American Campus Communities
(April, 2013)
Walker County

Extensive repairs, resulting from non-conforming and deficient design and construction, have been required on Sam Houston Village, a dormitory designed and built under contract with American Campus Communities in 2004. To date, the cost of repairing the facility, including lost dormitory revenues (estimated to be in excess of \$9.6 million), has been borne by the University alone. This lawsuit seeks compensation.

At the May 2012 meeting, this Board authorized necessary actions, including litigation, be taken to protect the University's interests and, if possible, recover losses incurred with respect to the design and construction of Sam Houston Village. We sued the developer, who, in turn, sued the general contractor. The subcontractors have since been brought in as defendants. The Texas Office of Attorney General authorized hiring of outside counsel. The case is in active discovery.

A hearing on Defendant ACC's motion to transfer venue from Walker to Travis County is scheduled for November 10, 2014.

MEMORANDUM

TO: Student Advisory Board Members

FROM: Brian McCall, Ph.D. - Chancellor

RE: Response to August 29, 2014, report to the Board of Regents

This memorandum responds to your August 29, 2014, report to the Board of Regents. The System Office compiled this report with assistance from the component institutions. The topics included in your report to the Board of Regents, and the System's responses, where appropriate, are listed below.

Fee Structure and Usage

Tuition and fee exemptions, such as the Hazlewood Act, are established by the Texas Legislature and apply to all public higher education institutions in the state. The System has worked to educate the Legislature about the impact of tuition and fee exemptions on tuition-paying students. These efforts resulted in the inclusion of \$30 million in the 2014-15 state budget to partially reimburse institutions for foregone tuition revenue under the Hazlewood Legacy Act.

TSUS component institutions must be as transparent as possible when it comes to the collection and expenditure of student fees. A review of component institution websites found that all TSUS institutions provide some information about student fees, and most institutions provide detailed information about the expenditure of these fees. The system's vice chancellor for finance will work with component institutions going forward to insure that all institutions update their websites annually to show how student fee revenue is being spent.

University Growth and Expansion

Over the past decade, enrollment growth at TSUS institutions has outpaced statewide enrollment growth by 31 percent. The rapid growth of our system has created a significant demand for new classroom, laboratory and residential facilities. To address the most critical facilities needs on our campuses, the system and component institutions in 2013 requested tuition revenue bond (TRB) debt service for more than \$200 million in construction projects through Senate Bill 16 (Zaffirini). Although the legislature did not pass SB 16, the system will renew its request for TRB debt service for these projects in the next legislative session. Additionally, component institutions may on occasion request special item or exceptional item funding through the Legislative Appropriations Request (LAR) process to address additional needs.

Guaranteed Price Plan

On March 4, 2013, the Texas State University System Board of Regents directed the system's four-year institutions to develop optional fixed-rate tuition plans, making TSUS the first system in Texas to offer students Guaranteed Price Plans. Since the adoption of these plans, all four senior institutions within the system have added information to their websites outlining the cost of tuition and fees under the new, optional pricing structure. Guaranteed Price Plans were first offered as an option to students in the fall 2014 semester, and approximately 170 students have opted to participate.